

# Prediction of free energies of hydration with COSMO-RS on the SAMPL3 data set

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**Abstract** The COSMO-RS method has been used for the prediction of free energies of hydration on the dataset of 36 chlorinated ethanes, biphenyls and dioxins considered in the SAMPL3 challenge. Straight application of the latest version of the COSMOtherm software yields an overall predictive accuracy of 1.05 kcal/mol (RMSE). The predictions for the chlorinated ethanes and dioxins are much better with 0.40 and 0.49 kcal/mol RMSE, respectively. The predictions for the chlorinated biphenyls show a systematic shift of approximately 1 kcal/mol, but the large RMSE of 1.59 kcal/mol mainly arises from two exceptional outliers. Possible reasons for this observation are discussed.

**Keywords** Hydration free energy · COSMO-RS · SAMPL3 · Molecular modeling · Molecular simulation

## Introduction

Blind tests, like the SAMPL challenges or the Industrial Fluid Property Simulation Challenge, are valuable instruments for the evaluation of computational simulation methods. We therefore participate in as many blind prediction contests as possible to provide independent data for the assessment of COSMO-RS [1–4] in its COSMOtherm

implementation to the scientific community. In the SAMPL2 contest the COSMO-RS had the smallest RMSE of all submissions [5]. Unfortunately we missed the SAMPL3 challenge and can therefore only present our predictions after the experimental data have been published. Nevertheless, because no special adjustments to experimental data were made, the predictions are still appropriate to evaluate the performance of COSMO-RS versus other simulation methods. The data set is well described in the papers of Geballe and Guthrie [6] and Beckstein and Iorga [7] including 2D structures. The latter contribution also presents the best results of all participants in the SAMPL3 blind prediction challenge. We will compare the quality of our predictions with the experimental results and also the best data from Beckstein and Iorga.

The COSMO-RS method is well established and only some important aspects of the method will be described. The basis of COSMO-RS are quantum chemical calculation of the molecules using the conductor like screening model (COSMO), which is available in several quantum chemistry software suites as COSMO [8] or C-PCM [9]. In addition to the dielectric continuum solvation approach, COSMO-RS adds other interaction contributions, e.g. hydrogen bonding, and combines it with a statistical thermodynamics treatment. To predict the free energy of solvation an estimate for the chemical potential in gas phase ( $\mu_{\text{gas}}$ ) is needed in addition to the chemical potential in the liquid state ( $\mu_{\text{liq}}$ ). Within the COSMOtherm software  $\mu_{\text{gas}}$  is based on the quantum chemical energy of the molecule in gas phase, which is not a native part of COSMO-RS theory. The prediction of the free energy of hydration  $\Delta G_{\text{hydr}}^X$  is thus based on quantum chemical calculations in the liquid phase (COSMO) and the gas phase, and the COSMO-RS post-processing. This allows for the

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prediction of arbitrary organic compounds in any kind of solvent or solvent mixtures without additional adjustments or fitting. The accuracy of  $\Delta G_{\text{solv}}^X$  for small and medium sized, neutral organic compounds is generally in the range of 0.5 kcal/mol as was shown on the large training dataset of the SM8-model containing overall 2,346 solvation free energies [10], 284 of these being hydration free energies.

## Methods

The workflow of our COSMO-RS predictions is the same as in the SAMPL2 evaluation and is described in detail in the respective publication [6]. The COSMOtherm software, with the current parameterization BP-TZVP\_C30\_1201 was directly used to calculate the free energies of hydration. Many of the compounds have been already available in our geometry databases. The databases contain a set of gas-phase and COSMO conformations for each compound. In addition some experimental values for vapour pressure, melting and boiling point and free energy of fusion, but generally no experimental data for  $\Delta G_{\text{solv}}^X$  are available. The predictions have been conducted without any experimental data and only the conformations have been taken from the databases to conduct the COSMOtherm calculations. Only 18 compounds (see Table 1) had to be calculated in COSMO state and gas phase, which took a few CPU days on a typical 2.4 GHz processor. A conformational search has been conducted with our COSMOconf workflow [4], though the most of the compounds of this dataset can be expected to have only one *relevant* conformation. Relevant conformations within the COSMO-RS framework are only those with low total energy and a  $\sigma$ -profile different from other conformations. In this light not all possible energetic minima have to be taken into account. Only for three of the chlorinated ethanes two conformations have been taken into account.

During the development of a COSMOtherm parameterization, similar to the development of a force field or group parameters, experimental data are used. The most important difference is that COSMOtherm uses only universal, element specific and element pair specific parameters, with the exception of water where a single molecule specific parameter is used. Among the over 6,000 data points used for the BP-TZVP\_C30\_1201 parameterization 7 ethane compounds overlap with the present set and free energy of hydration values for these compounds have been used for many years. These values, which differ from the data given in SAMPL3, are also listed in Table 1, marked as Exp. 2. Using this general parameterization is similar to using the not adjusted standard OPLS-AA force field, e.g. protocol 1 from [7] (RMSE 2.4 kcal/mol). Using 7 chlorinated ethane data points among

over 6,000 parameterization data points total, will not significantly bias the parameterization towards chlorinated ethanes, dioxins or PCBs.

## Results and discussion

Figure 1 and Table 1 show the predicted versus experimental data. The predictions for chlorinated ethane and dioxins show a very good correlation with no exceptional outliers and a low root mean square error (RMSE) of 0.40 and 0.49 kcal/mol, respectively. In contrast the predictions for PCBs show a generally weaker agreement (1.59 kcal/mol RMSE) and two severe outliers (>3 kcal/mol). The overall error for the 36 compounds is 1.05 kcal/mol.

In contrast to molecular dynamics (MD) or Monte-Carlo (MC) simulations used in almost all submissions of SAMPL3, COSMO-RS is deterministic and has no statistical uncertainty. Obviously all methods have systematic errors, but these are very hard to estimate. As mentioned above, the systematic error of COSMO-RS predictions of  $\Delta G_{\text{hydr}}$  with our COSMOtherm parameterizations can be estimated to be  $\sim 0.5$  kcal/mol, based on the large scale on almost 2,500 solvation free energies [10]. No such statistically sound estimates of the accuracy of the MD and MC based methods is available yet.

Given this insufficient knowledge about the systematic error of the methods, it is impossible to finally decide whether the difference between our RMSE of 1.05 kcal/mol to the best RMSE achieved within the SAMPL3 contest, i.e. the RMSE of 1.21 kcal/mol achieved by Beckstein and Iorga [7] with one of their three submitted methods, is significant. We would like to emphasize that Beckstein and Iorga made true blind predictions, but with three different methods, whereas our results have been calculated with our previously released highest quality COSMOtherm parameterization, after the publication of the SAMPL3 results. As we did not make any adjustments to the SAMPL3 experimental data, every COSMOtherm user, using the current release, would get the same results. Therefore essentially our results are of similar blind prediction character as the predictions submitted within the SAMPL3 contest.

When interpreting the COSMO-RS results, the compounds show similar interaction schemes when solved in water. All compounds show no or low hydrogen bonding capacity. The chlorine atoms are not sufficiently polar to be acceptors and only a few of the hydrogen atoms are sufficiently polarized by the chlorine atoms in order to be weak donors. Even the oxygen atoms in the dioxins are rather non-polar and only weak acceptors. The dominating factors for the free energy of hydration are the van der Waals (vdW) interactions and the polarity. While the vdW

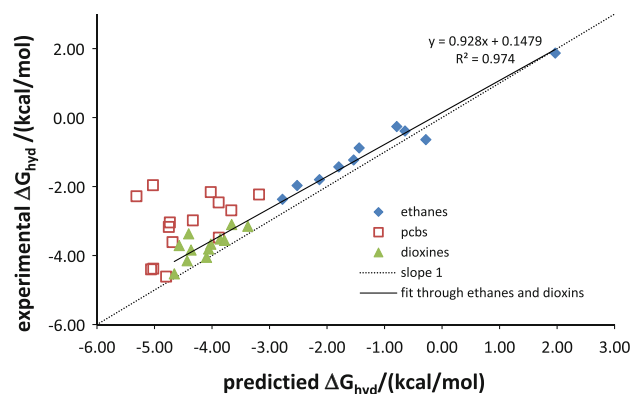
**Table 1** Experimental and predicted hydration free energies in kcal/mol

Id	Name (used conformations)	DB	# Chlor	Exp.1	Exp.2	Pred.	Error
1	Ethane	y	0	1.87	1.83	1.97	0.10
2	Chloroethane	y	1	−0.39	−0.63	−0.64	−0.25
3	1,1-Dichloroethane	y	2	−0.88		−1.44	−0.56
4	1,2-Dichloroethane (2)	y	2	−1.80		−2.13	−0.33
5	1,1,1-Trichloroethane	y	3	−0.26	−0.25	−0.79	−0.53
6	1,1,2-Trichloroethane (2)	y	3	−1.97	−1.95	−2.52	−0.55
7	1,1,1,2-Tetrachloroethane	y	4	−1.43	−1.15	−1.80	−0.37
8	1,1,2,2-Tetrachloroethane (2)	n	4	−2.37		−2.77	−0.40
9	Pentachloroethane	y	5	−1.23	−1.96	−1.54	−0.31
10	Hexachloroethane	y	6	−0.64	0.46	−0.28	0.36
11	Biphenyl	y	0	−2.23		−3.18	−0.95
12	2-Chlorobiphenyl	y	1	−2.69		−3.67	−0.98
13	2,5-Dichlorobiphenyl	n	2	−2.46		−3.88	−1.42
14	2,4,6-Trichlorobiphenyl	n	3	−2.16		−4.02	−1.86
15	2,3,4,5-Tetrachlorobiphenyl	n	4	−3.48		−3.88	−0.40
16	2,2',6,6'-Tetrachlorobiphenyl	n	4	−2.28		−5.31	−3.03
17	2',3,4,5,5'-Pentachlorobiphenyl	n	5	−3.61		−4.69	−1.08
18	2,2',4,6,6'-Pentachlorobiphenyl	n	5	−1.96		−5.03	−3.07
19	2,3,3',4',5,6-Hexachlorobiphenyl	n	6	−4.38		−5.02	−0.64
20	2,3,3',4,4',5-Hexachlorobiphenyl	n	6	−3.04		−4.73	−1.69
21	2,2',3,3',4,4',5-Heptachlorobiphenyl	n	7	−4.40		−5.06	−0.66
22	2,3,3',4,4',5,5'-Heptachlorobiphenyl	n	7	−3.17		−4.75	−1.58
23	2,2',3,3',4,4',5,6'-Octachlorobiphenyl	n	8	−4.61		−4.80	−0.19
24	Decachlorobiphenyl	n	10	−2.98		−4.33	−1.35
25	Dibenzo- <i>p</i> -dioxin	y	0	−3.15		−3.38	−0.23
26	1-Chlorodibenzo- <i>p</i> -dioxin	y	1	−3.52		−3.86	−0.34
27	2-Chlorodibenzo- <i>p</i> -dioxin	y	1	−3.10		−3.66	−0.56
28	2,3-Dichlorodibenzo- <i>p</i> -dioxin	y	2	−3.56		−3.78	−0.22
29	2,7-Dichlorodibenzo- <i>p</i> -dioxin	y	2	−3.67		−4.02	−0.35
30	1,2,4-Trichlorodibenzo- <i>p</i> -dioxin	y	3	−4.05		−4.10	−0.05
31	1,2,3,4-Tetrachlorodibenzo- <i>p</i> -dioxin	n	4	−3.81		−4.06	−0.25
32	1,2,3,7-Tetrachlorodibenzo- <i>p</i> -dioxin	n	4	−3.84		−4.36	−0.52
33	2,3,7,8-Tetrachlorodibenzo- <i>p</i> -dioxin	y	4	−3.37		−4.41	−1.04
34	1,2,3,4,7-Pentachlorodibenzo- <i>p</i> -dioxin	n	5	−4.15		−4.43	−0.28
35	1,2,3,4,7,8-Hexachlorodibenzo- <i>p</i> -dioxin	n	6	−3.71		−4.57	−0.86
36	Octachlorodibenzo- <i>p</i> -dioxin	y	8	−4.53		−4.66	−0.13

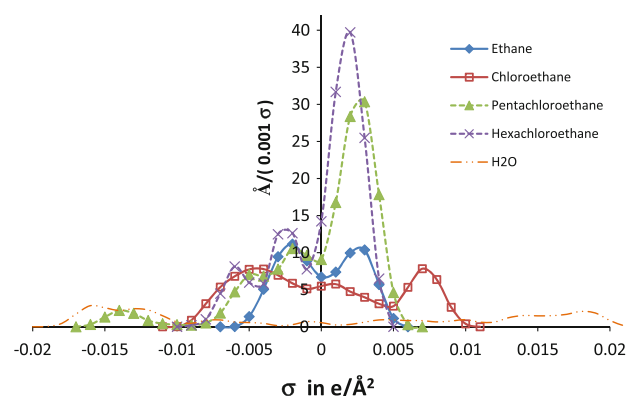
Exp.1 data from [6] and Exp.2 from internal parameterization data. The column DB marks geometries taken from our databases

interaction energy increases with the number of chlorine atoms in each class, the polarity is more complex. The initial chlorination always increases the polarity, but partial compensation of the local dipole moments sometimes goes along with further chlorination, often leading to almost completely non-polar per-chlorinated compounds. This is illustrated in Fig. 2. While ethane (compound 1) and hexachloroethane (compound 10) show the same low polarization in the positive  $\sigma$  region (negative surface charges) chloroethane (compound 2) is more polar in this

area. In the region of negative  $\sigma$  (positive charges) the pentachloroethane (compound 9) is much more polarized ( $\sigma$  around  $-0.015$ ) and chloroethane is slightly more polarized ( $\sigma$  around  $-0.0075$ ) than ethane or hexachloroethane, which is caused by polarized C–H bonds. Though the polar bump for pentachlorohexane looks rather tiny, it is very important as it corresponds to the strongest CH-donor of all ethanes with a polar area of about 50 % of two water donors. Though hydrogen bonding is not the dominating effect, it influences the free energy of solvation for some



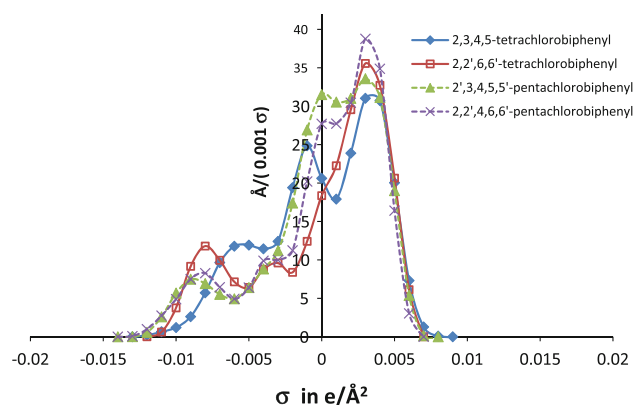
**Fig. 1** COSMOtherm predictions versus experimental data



**Fig. 2**  $\sigma$  profiles of ethane, chloroethane, pentachloroethane, hexachloroethane and water for comparison. The y-axis shows the amount of area of a certain polarity interval  $\sigma$ , where the integral of the curve is the total surface area of the molecule. The  $\sigma$  (surface screening charge density) results from the quantum chemical COSMO calculations and has the opposite sign of the molecular polarity

compounds. By simply looking at the sigma profiles, the ethane and hexachloroethane can be identified as the least polar compounds of the ethane series. The  $\Delta G_{\text{hydr}}^x$  of ethane consequently has the highest value, i.e. is the most hydrophobic, in this series and hexachloroethane shows a rather high value as compared to pentachloro- or tetrachloro-ethanes. The presented qualitative analysis of the polarities is well resembled by the experimental data.

The prediction quality for the chlorinated ethanes and dioxins shows that COSMO-RS in general catches the trends of chlorination problems quite well, even for the more complex dioxins. No trend can be observed with respect to the number of chlorine atoms in these two subsets. Nevertheless, a regression line of the experimental data versus the COSMO-RS predictions has a slope of 0.93, indicating that COSMO-RS slightly overestimates the hydration energies of the more polar chlorinated compounds. This might be due to a slight overestimation of the



**Fig. 3**  $\sigma$  profiles two tetrachloro PCBs and two pentachloro PCBs

polarity of the carbon-chlorine bond, or due to a slight overestimation of hydrogen bond acidity of the hydrogen atoms polarized by neighbouring chlorine atoms. The residual RMSD for these two classes with respect to this regression line is only 0.26 kcal/mol.

For a further analysis of these outliers, Fig. 3 shows the  $\sigma$ -profiles of 2,3,4,5-tetrachlorobiphenyl (compound 15) and 2,2',6,6'-tetrachlorobiphenyl (compound 16) and of 2',3,4,5,5'-pentachlorobiphenyl (compound 17) and 2,2',4,6,6'-pentachlorobiphenyl (compound 18). All four PCBs show very little differences in the positive  $\sigma$  region and small differences in the negative  $\sigma$  region. Especially the two pentachloro compounds show the same profile in the polar regions. It can also be seen that the 2,3,4,5-tetrachlorobiphenyl is less polar than the 2,2',6,6'-tetrachlorobiphenyl. The experimental data, however, show a completely different picture, the less polar 2,3,4,5-tetrachlorobiphenyl has a 1.2 kcal/mol lower free energy of hydration than its counterpart and is thus less hydrophobic. The two pentachloro compounds differ by 1.65 kcal/mol despite the fact that the  $\sigma$  profiles show almost the same polarity. Given the fact that all of the chlorinated compounds have been treated in a systematic way in our COSMO-RS workflow, we were not able to find any possible reason why the COSMO-RS predictions of  $\Delta G_{\text{hydr}}$  should have such different errors for as similar compounds as the two pairs of PCBs considered here. Taking into account the fact that the different experimental data reported in the supplementary material [6] for some of the PCBs scatter by up to 3 kcal/mol, we tend to address at least the two extreme outliers in the PCB data set to experimental error rather than considering them as indications for mispredictions by COSMO-RS.

For a complete analysis, however, some of the possible COSMO-RS error sources need to be discussed. Finding the lowest energy conformations in gas phase and COSMO is crucial for the prediction of  $\Delta G_{\text{hydr}}$  as the quantum chemical energies enter the calculation. This is a complex problem for many classes of flexible molecules, but not at all for the PCBs. The PCBs have only one rotatable bond

and the minima are very easily found by standard geometry optimization.

The used DFT method has an estimated inaccuracy of 0.5–1 kcal/mol if one takes the energy difference between gas phase and COSMO state, but even this error would be systematic for all PCBs and should thus result in a trend or shift. The last aspect to be considered is the parameterization. Some details on COSMO-RS parameterization have already been mentioned above. The parameterization is not adjusted to SAMPL3 dataset and it might be accidentally good or bad for certain compound classes, which results in a systematic error for each class of compounds.

## Conclusion

COSMO-RS as implemented in the *COSMOtherm* software was able to yield a RMSE of 1.05 kcal/mol for the SAMPL3 dataset. Though the predictions were conducted after publication of the SAMPL3 results, no fitting or adjustments were made to the method and a limited comparison is therefore reasonable. In addition the computational effort was low, even though quantum chemical calculations were necessary. Apart from purely predicting the values, a qualitative analysis regarding the relevant interactions and their origin can be conducted. The chlorinated ethanes series is completely explainable within the picture of polarity, hydrogen bonding and vdW interaction as used by COSMO-RS.

Though giving good results, we are not satisfied as the error is much larger than typically observed for COSMO-

RS. This large error can solely be attributed to mispredictions for the PCBs, while chlorinated ethane and dioxins stay within the typical COSMO-RS expectations. The analysis of the two tetrachloro and pentachloro PCBs show large differences within the experimental data for similar compounds. The origin of this behaviour remains unclear and might result from experimental error, as quantum chemically calculated properties do not indicate strong differences in polarity, and hydrogen bonding and vdW forces should only give minor differences.

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