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Toward panchromatic organic functional molecules: Density functional theory study on the nature of the broad UV-Vis-NIR spectra of substituted tetra(azulene)porphyrins

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ABSTRACT

To achieve full solar spectrum absorption of organic dyes for organic solar cells and organic solar antenna collectors, a series of tetra(azulene)porphyrin derivatives including $H_2(TAzP)$, $H_2(\alpha-F_4TAzP)$, $H_2(\beta-F_4TAzP)$, $H_2(\gamma-F_4TAzP)$, $H_2(\delta-F_4TAzP)$, $H_2(\varepsilon-F_4TAzP)$, $H_2(\zeta-F_4TAzP)$, $H_2[\alpha-(NH_2)_4TAzP]$, $H_2[\beta-(NH_2)_4TAzP]$, $H_2(\gamma-F_4TAzP)$, $(NH_2)_4TAzP$], $H_2[\gamma-(NH_2)_4TAzP]$, $H_2[\delta-(NH_2)_4TAzP]$, $H_2[\epsilon-(NH_2)_4TAzP]$, and $H_2[\zeta-(NH_2)_4TAzP]$ were designed and their electronic absorption spectra were systematically studied on the basis of TDDFT calculations. The nature of the broad and intense electronic absorptions of H₂(TAzP) in the range of 500–1450 nm is clearly revealed. In addition, different types of $\pi \rightarrow \pi^*$ electronic transitions associated with different absorption bands are revealed to correspond to different electron density moving direction between peripherally-fused ten electron- π -conjugated azulene units and the central eighteen electron- π -conjugated porphyrin core. Introduction of electron-donating groups onto the periphery of $H_2(TAzP)$ macrocycle is revealed to be able to lead to novel NIR dyes such as $H_2[\alpha-(NH_2)_4TAzP]$ and $H_2[\varepsilon-$ (NH₂)₄TAzP] with regulated UV-Vis-NIR absorption bands covering the full solar spectrum in the range of 300-2500 nm. In addition, the basic designing rules for panchromatic organic functional molecules based on tetrapyrrole derivatives are proposed together with the suggestions in experiments, including low molecular symmetry and narrow gap between HOMO and LUMO/LUMO+1, which will be helpful toward the design and synthesis of new panchromatic organic functional molecules.

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1. Introduction

Near infra-red (NIR) dyes have received considerable attentions in recent years due to their potential applications in solar cells [1–7], photodynamic therapy for cancer [8–11], NIR imaging [12–15], solar antenna collectors [16–18], and astronomical telescopes [19,20]. Tetrapyrrole derivatives, in particular porphyrins, phthalocyanines, and naphthalocyanines, are among the most important functional molecular materials with intense NIR absorption due to their high photo-chemical stability and intense absorption in the NIR region [21–28]. In recent years, a new skeleton of tetrapyrrole derivatives, tetra(azulene)porphyrins (TAzPs), with significantly intensified NIR absorption in the range of 900–1300 nm was synthesized [29,30]. Nevertheless, the very intense absorptions covering an astonishing broad UV, visible, and NIR range from 300 to 1400 nm revealed in their electronic

absorption spectra suggest their great potentials in the fields of organic solar antenna collectors and organic solar cells [29–34].

Investigations in inorganic solar antenna collectors have made significant progress [35]. Actually multilayered inorganic solar antenna collector with absorption ability as high as nearly 90% of the full solar energy was reported [36]. In addition, solar cell fabricated from inorganic semiconductors can also reach high photo-electron transition efficiency of ~75% due to their intense absorptions over the full UV–Vis–NIR region of solar spectrum [37]. However, exploration in molecular functional materials with application in organic solar antenna collectors [38,39] and/or organic solar cells [35] appears to still be limited to those with absorptions in the UV–Vis region without extending into the NIR region. Taking account of the quite high ratio of NIR region emission over the total solar energy [26], 43%, easily synthesized and stable organic NIR dyes become highly desired towards high efficiency solar antenna collectors and solar cells [31–34].

Recently, an inorganic band-anticrossing full solar spectrum absorption material GaN_xAs_{1-x} was prepared by López and coworkers [40]. By inserting an intermediate energy band between the former valence band (VB) and conduction band (CB), the

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absorptions in the UV and visible range of the intrinsic material was extended into the NIR region due to the resulting narrow gaps [40]. In a similar manner, in the case that more extraneous orbitals are inserted into the original frontier orbitals of specific organic dyes with conjugated molecular structure, novel dyes with absorption extending into the NIR region are also expected to improve the efficiency of organic solar antenna collectors and solar cells [26].

Concerning the tetra(azulene)porphyrin (TAzP), significant interaction can be expected to exist between the central eighteen electron- π -conjugated porphyrin core and the four peripherallyfused ten electron- π -conjugated azulene units in the TAzP skeleton, leading to densely-distributed frontier orbitals and narrower gaps between these orbitals. This in turn will induce remarkably red-shifted broad Q bands into the NIR region, resulting in complicated electron absorption spectrum of tetra(azulene)porphyrins (TAzPs) relative to most general porphyrins (Pors) [41–43], tetraazaporphyrins (TAPs) [43], phthalocyanines (Pcs) [43-46], naphthalocyanines (Ncs) [47,48], and even many core-modified porphyrins [49-57]. In addition, the absorption spectra of some sandwich-type multi(tetarpyrrole) metal multiple-decker complexes can also cover such a broad NIR region [58-65]. However, their absorption intensity in this region is much weaker than TAzPs. Nevertheless, Osuka and co-workers synthesized a series of fully conjugated porphyrin tapes and porphyrin arrays also with broad and intense NIR electronic absorptions in their spectra, which significantly increases their light harvesting ability [1-3]. However, the great difficulty in their large scale of preparation precludes their wide range of applications in corresponding field. In good contrast, with a limited macrocylic tetrapyrrole molecular skeleton, the preparation of TAzPs is relatively easy in terms of large scale synthesis in comparison with either the sandwich-type multi(tetarpyrrole) metal multiple-decker complexes or the conjugated porphyrin tapes, therefore revealing their great potential as organic NIR dyes.

In the present paper, the electronic structures and electronic absorption spectra of a series of $H_2(TAzP)$ have been theoretically investigated on the basis of density functional theory (DFT) and time dependent density functional theory (TDDFT) calculations. Introduction of electron-withdrawing or electron-donating groups onto the periphery of TAzP skeleton further tunes the HOMO–LUMO gap, inducing obvious red/blue-shift of the NIR electronic absorption bands of $H_2[\alpha-(NH_2)_4TAzP]/H_2[\epsilon-(NH_2)_4TAnP]$ into the range of 1000-2500 nm. Moreover, in combination with the previous results [22,25–30,58–65], general rule regarding the design of panchromatic organic functional molecules is also proposed.

2. Computational summary and validity

Hybrid generalized gradient approximation (hGGA) method B3LYP [66,67] is proved suitable for the geometry optimization of porphyrins, phthalocyanines, as well as their various analogs [68–72]. As a consequence, in the present study B3LYP functional was employed for conducting the geometry optimization of the series of TAzP derivatives, Scheme 1. However, B3LYP always overestimates the transition energies for this type of large conjugated system, while generalized gradient approximation (GGA) method significantly improves the agreement between theoretical and experimental results [26,27,73-77]. As a result, GGA functional BP86 [78,79] with Becke88 exchange functional and Perdew86 correlation functional was used to simulate the photon-induced transition densities [26]. In order to further verify the reliability of our TDDFT computation results, the comparison between the calculated and experimental electronic absorption spectrum of tetra(azulene)porphyrins [H₂(TAzP)] was shown in Supporting Information.

In addition, Pople basis sets have been proved excellent for calculating the structure and properties of porphyrins and phthalocyanines as well as their analogs [80–83], leading to many applications in this field. Both DFT and TDDFT calculations were carried out using the basis set of 6-311++G(d,p). In addition, reduced density gradient (RDG) [84–86] and localized orbital locator (LOL) [85–90] were all calculated to explain corresponding properties. All the calculations are carried out using Gaussian 03 [91] and Multiwfn 2.1 [85,86]. Computational details are listed in Supporting Information.

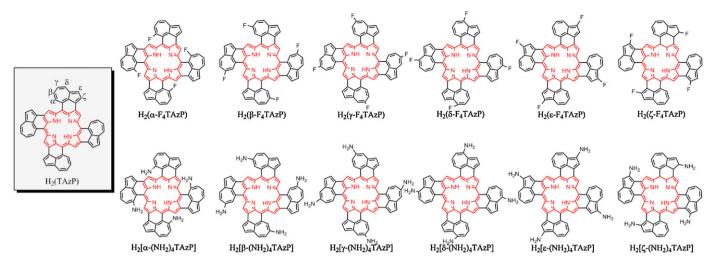
3. Results and discussion

As shown in Scheme 1 and Table S1 (Supporting Information), direct fusion between the porphyrin (central core) and azulene (peripheral substituents) leads to tetra(azulene)porphyrins [H₂(TAzP)] with extended conjugated molecular skeleton. There are six types of substituent sites $\alpha, \ \beta, \ \gamma, \ \delta, \ \epsilon, \ \text{and} \ \zeta$ in this porphyrin molecule. NH₂ and F are chosen as the representative electron-donating and -withdrawing groups, respectively [25,26,92–97], leading to a series of tetra(azulene)porphyrin derivatives including H₂(TAzP), H₂(α -F₄TAzP), H₂(β -F₄TAzP), H₂(γ -(NH₂)₄TAzP], H₂[β -(NH₂)₄TAzP], H₂[γ -(NH₂)₄TAzP], H₂[γ -(NH₂)₄TAzP], H₂[γ -(NH₂)₄TAzP], H₂[γ -(NH₂)₄TAzP].

3.1. Molecular structure and electronic structure

Fig. 1a and Table S1 (Supporting Information) show the optimized molecular structure of metal-free tetra(azulene)porphyrin [H₂(TAzP)] together with a scheme indicating that fusion between the four peripheral ten electron- π -conjugated azulene units (π^{Az}_{10e} units) and a central eighteen electron- π -conjugated porphyrin core (π_{18e}^{Por} core) forms the tetra(azulene)porphyrin skeleton. Fig. 1b shows the localized orbital locator (LOL) of all the π orbitals of the TAnP skeleton. In the LOL scheme, the π electron density is significantly localized between the anthracene and porphyrin units, confirming that fusing the central π^{Por}_{18e} core with four π^{Az}_{10e} units leads to the uniform non-coplanar conjugated system π^{TAZP}_{58e} in the TAZP skeleton. In comparison with the azulene ten-electron conjugated system and porphyrin eighteenelectron conjugated system, this type of tetra(azulene)porphyrin with fifty-eight-electron conjugated system provides much more densely-distributed coupled frontier orbitals, which in turn results in many narrow gaps between frontier molecular orbitals [29,30]. This actually just resembles the inorganic full solar spectrum absorption material GaNxAs1-x reported recently as mentioned above [40]. Briefly summarizing above, bond interaction analysis for TAzP skeleton shows that fusion of the central π_{18e}^{Por} core and four π_{10e}^{Az} units forms the conjugated system in the TAzP skeleton and results in the densely-distributed TAnP frontier orbitals.

The non-bond interaction analysis of TAnP is shown in Fig. 2 and Fig. S1 (Supporting Information). Obviously, due to the steric hindrance of the peripheral azulene units as well as the $\alpha\text{-H}$ atoms, $\text{H}_2(\text{TAzP})$ employs a saddle structure instead of the planar one as for metal free porphyrin [H $_2(\text{Por})$] and azulene (Az), therefore leading to a distorted π_{58e}^{TAzP} system. It is worth pointing out that the balance between the repulsive force (between the $\alpha\text{-H}$ atoms of the peripheral azulene units) and the π_{58e}^{TAzP} planar tendency induces the saddle H $_2(\text{TAzP})$ structure. As for TAzPs, the distorted TAzP skeleton is maintained since the peripheral substituents do have little influence on the molecular distorting degree, Table S1 (Supporting Information).



Scheme 1. Molecular structures of H₂(TAzP) and its derivatives with peripheral electron-donating/-withdrawing groups (NH₂ and F as the representatives).

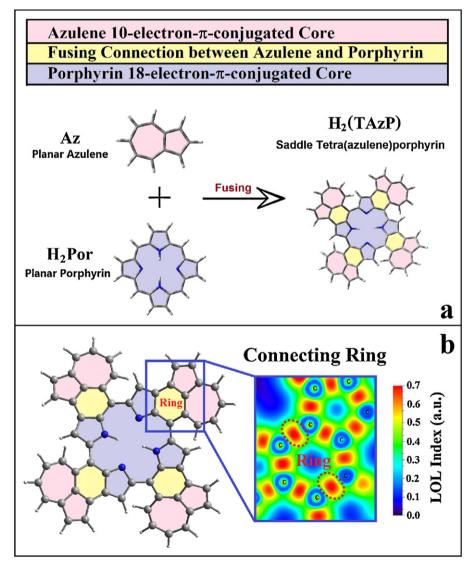


Fig. 1. Uniform TA2P conjugated skeleton. (a) Conjugated model of TA2P skeleton. (b) Localized orbital locator (LOL) analysis for all occupied π orbitals of H₂(TA2P).

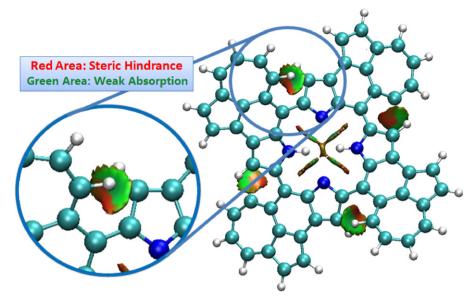


Fig. 2. Reduced density gradient (RDG) model for H₂(TAzP).

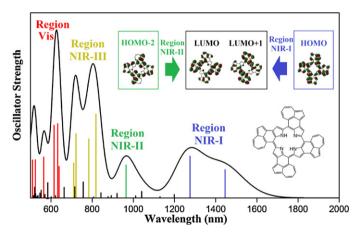


Fig. 3. Simulated UV–Vis–NIR spectrum and main electron transition models for $H_2(TAzP)$ (blue: Region NIR-I; green: Region NIR-II; yellow: Region NIR-III; red: Region Vis). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

3.2. Nature of the broad near-IR electronic absorption of $H_2(TAzP)$

Fig. 3 shows the simulated electronic absorption spectrum of $H_2(TAzP)$, which can be divided into four regions due to the different electron transition models, Fig. 3 and Table S2 (Supporting Information).

In Region NIR-I, an astonishingly red-shifted NIR absorption band from 1050 to 1450 nm was revealed, which corresponds well with the experimentally observed one [29,30]. Absorptions in this region is mainly due to the electron transitions of HOMO \rightarrow LUMO/LUMO+1, which are assigned to the Q bands of H₂(TAzP) [92–95]. According to TDDFT, the Q bands are assigned as:

$$\begin{split} \varPhi_{^{1}B\leftarrow^{1}A}^{1446\,nm} &\approx 0.639 \varPsi_{H(a)}^{L(b)} - 0.253 \varPsi_{H-2(a)}^{L(b)} - 0.126 \varPsi_{H(a)}^{L+1(b)} \\ &- 0.118 \varPsi_{H-2(a)}^{L+1(b)} - 0.107 \varPsi_{L(b)}^{H(a)}; \end{split}$$

$$\begin{split} \boldsymbol{\varPhi}_{^{1275\,nm}_{B\,\leftarrow\,^{1}A}}^{1275\,nm} &\approx 0.658\boldsymbol{\varPsi}_{H(a)}^{L+1(b)} - 0.178\boldsymbol{\varPsi}_{H-4(a)}^{L(b)} - 0.135\boldsymbol{\varPsi}_{H-4(a)}^{L+1(b)} \\ &- 0.118\boldsymbol{\varPsi}_{H-2(a)}^{L+1(b)} + 0.112\boldsymbol{\varPsi}_{H(a)}^{L(b)}. \end{split}$$

It is noteworthy that the excited states with $|c_{m\rightarrow n}| < 0.1$ are ignored in the TD-DFT transition equations since the electron transition model of $MO(m) \rightarrow MO(n)$ does not have obvious effect on the TD-DFT result when $c_{m\to n}^2/\sum c_{m\to n}^2 < 0.02$. As shown in Fig. S2 (Supporting Information), the LUMO/LUMO+1 energy is -3.74/-3.63 eV for $H_2(TAnP)$, while the HOMO energy is as high as -4.35 eV, resulting in the very narrow gap between HOMO and LUMO/LUMO+1 of 0.61/0.72 eV and the exciting energy of $0.86/0.97\,\text{eV}$ for the transition between S_0 and S_1/S_2 . The HOMO-LUMO/LUMO+1 gaps for H₂(TAzP) are much lower in comparison with other tetrapyrrole counterparts such as 2.93 and 2.91 eV for porphyrin, 2.73 and 2.63 eV for tetraazaporphyrin, 2.19 and 2.15 eV for phthalocyanine, 1.92 and 1.78 eV for napthalocyanine, and 0.86 and 0.96 eV for anthracocyanine [23,25,98]. This clearly explains the significantly red-shifted nature of these bands of H₂(TAzP)s in comparison with those for other tetrapyrrole compounds.

An intense NIR absorption band observed from 900 to 1050 nm is named as Region NIR-II, which also corresponds well with the experimental findings [29,30]. This band is mainly attributed to the electronic transitions of HOMO $-2 \rightarrow LUMO/LUMO+1$ and assigned to the Soret bands of H₂(TAzP) [92–95]. According to TDDFT, the Soret band of H₂(TAnP) is assigned as:

$$\begin{split} \boldsymbol{\varPhi}_{^{1}B \leftarrow ^{1}A}^{964\,nm} &\approx 0.516 \boldsymbol{\varPsi}_{H-2(a)}^{L+1(b)} + 0.291 \boldsymbol{\varPsi}_{H-2(a)}^{L(b)} + 0.251 \boldsymbol{\varPsi}_{H-4(a)}^{L(b)} \\ &+ 0.203 \boldsymbol{\varPsi}_{H-4(a)}^{L+1(b)} + 0.184 \boldsymbol{\varPsi}_{H(a)}^{L(b)}. \end{split}$$

As shown in Fig. S2 (Supporting Information), the gaps between HOMO-2 and LUMO/LUMO+1 are still only 1.02/1.19 eV for H₂(TAzP), leading to the significantly decreased Soret excited energy of 1.29 eV for H₂(TAzP). The result indicates the significant influence of the peripheral π_{10e}^{Az} units on the electronic structure of the central π_{18e}^{Por} core.

In addition, a new absorption band was found in the region of 700–900 nm, Region NIR-III. This band is mainly attributed to the electronic transitions of HOMO–3/HOMO–4 \rightarrow LUMO/LUMO+1/LUMO+2 and HOMO \rightarrow LUMO+4/LUMO+5. In other word, this absorption band originates mainly from two types of electron transitions: one from semi-corelevel occupied orbitals to frontier virtual orbitals, and another from frontier occupied orbitals to shell-level virtual orbitals. As

shown in Fig. S2 (Supporting Information), HOMO–3, LUMO+4, LUMO+4, and LUMO+5 mainly locate on the fused azulene units, while HOMO–4, LUMO, LUMO+1, and LUMO+2 are the coupling orbitals between azulene units and porphyrin core, indicating the origination of the new absorption band associated with the introduction of the peripheral conjugated systems. In addition, due to the significant red-shift of the Q/Soret bands of the TAzP skeleton, the absorption region between 700 and 900 nm in the electronic absorption spectrum of H₂(TAzP) is occupied by this new type of transition between the peripheral azulene units and central porphyrin core. According to TDDFT, the absorption band of H₂(TAnP) in Region NIR-III is assigned as:

$$\begin{split} \varPhi_{^{1}B \leftarrow ^{1}A}^{818\,nm} &\approx 0.523 \varPsi_{H-4(a)}^{L(b)} - 0.241 \varPsi_{H-2(a)}^{L(b)} - 0.219 \varPsi_{H-3(b)}^{L+2(a)} \\ &- 0.188 \varPsi_{H(a)}^{L+5(b)} + 0.152 \varPsi_{H-1(b)}^{L+2(a)} + 0.122 \varPsi_{H(a)}^{L+1(b)} \\ &- 0.120 \varPsi_{H(a)}^{L(b)} + 0.113 \varPsi_{H-5(a)}^{L+1(b)}; \end{split}$$

$$\begin{split} \boldsymbol{\varPhi}_{1B\leftarrow^{1}A}^{783\,nm} &\approx 0.486\boldsymbol{\varPsi}_{H-3(b)}^{L+2(a)} + 0.360\boldsymbol{\varPsi}_{H-4(a)}^{L+1(b)} + 0.170\boldsymbol{\varPsi}_{H(a)}^{L+4(b)} \\ &- 0.165\boldsymbol{\varPsi}_{H-2(a)}^{L(b)} - 0.144\boldsymbol{\varPsi}_{H(a)}^{L+5(b)} - 0.132\boldsymbol{\varPsi}_{H(a)}^{L(b)} \\ &+ 0.131\boldsymbol{\varPsi}_{H-1(b)}^{L+2(a)}; \end{split}$$

$$\begin{split} \varPhi_{^{1}B \leftarrow ^{1}A}^{721\,nm} &\approx 0.404 \varPsi_{H(a)}^{L+4(b)} + 0.373 \varPsi_{H-5(a)}^{L(b)} - 0.328 \varPsi_{H-4(a)}^{L+1(b)} \\ &\quad + 0.171 \varPsi_{H-3(b)}^{L+2(a)} + 0.112 \varPsi_{H(a)}^{L+1(b)} - 0.110 \varPsi_{H(a)}^{L+5(b)}; \end{split}$$

$$\begin{split} \boldsymbol{\varPhi}_{^{18}\leftarrow^{1A}\,\text{A}}^{710\,\text{nm}} &\approx 0.627 \boldsymbol{\varPsi}_{\text{H}(a)}^{\text{L}+4(b)} + 0.152 \boldsymbol{\varPsi}_{\text{H}-5(a)}^{\text{L}+1(b)} \\ &- 0.128 \boldsymbol{\varPsi}_{\text{H}-1(b)}^{\text{L}+3(a)} + 0.127 \boldsymbol{\varPsi}_{\text{H}-4(a)}^{\text{L}(b)}. \end{split}$$

Briefly summarizing above, the intense broad NIR absorption of $H_2(TAzP)$ in Region NIR is actually formed by coupling of absorptions associated with various $\pi \to \pi^*$ electron transition types: the significantly red-shifted Q/Soret bands of $H_2(TAzP)$ are in the region of $900-1450\,\mathrm{nm}$, and a new absorption band related with the azulene units appears in the region of $700-900\,\mathrm{nm}$ (where the naphthalocyanine Q bands also appear in the same region). It is worth noting that electron transitions, which contribute to the absorption bands in Region NIR, result in the electron density moving between x and y axis for Q band, between azulene units and porphyrin core for Soret band, and between the azulene seven-membered rings and the combination of the porphyrin core and azulene five-membered rings for the new absorption band.

It is worth noting that for the purpose of explaining the experimental spectrum, Nakai and co-workers calculated the absorption spectrum of Ni(TAzP) at the level of [96]. Associated with the usually overestimated transition energies for the large conjugated systems of the B3LYP/6-31G(d) level, the Q/Soret bands take obvious red-shift in the simulated spectrum. As a consequence, the BP86 method was employed in the present case, resulting in better agreement with the experimental data as detailed below and in the Supporting Information.

3.3. Nature of the visible electronic absorption of $H_2(TAzP)$

For the bands in Region Vis (from 500 to 700 nm), the most intense absorptions appear at 632 nm and 615 nm, which are mainly attributed to the electron transitions of

HOMO−5 → LUMO/LUMO+1 coupled with HOMO−1 → LUMO+3. As displayed in Fig. S2 (Supporting Information), the main electron-donating orbital HOMO−5 locates mainly on the central π_{18e}^{por} core, while the main electron-accepting orbitals LUMO/LUMO+1 extensively locate at the total π_{58e}^{TA2P} conjugated system. Furthermore, the secondary important electron-donating orbital HOMO−1 and the secondary important electron-accepting orbital LUMO+3 locate on the peripheral π_{10e}^{Az} core. These results indicate that this band originates from the introduction of the peripherally-fused conjugated systems. According to TD-DFT theory, this new band is assigned as:

$$\begin{split} \varPhi_{^{1}B \leftarrow ^{1}A}^{632\,nm} &\approx 0.365 \varPsi_{H-5(a)}^{L(b)} - 0.362 \varPsi_{H-1(b)}^{L+3(a)} - 0.324 \varPsi_{H-5(a)}^{L+1(b)} \\ &+ 0.221 \varPsi_{H-4(a)}^{L+1(b)} - 0.135 \varPsi_{H-2(a)}^{L+5(b)} - 0.109 \varPsi_{H-3(b)}^{L+2(a)} \\ &- 0.108 \varPsi_{H-1(b)}^{L+6(a)}; \end{split}$$

$$\begin{split} \varPhi_{^{18}H^{-1}A}^{615\,nm} &\approx 0.546 \varPsi_{H^{-5}(a)}^{L+1(b)} - 0.211 \varPsi_{H^{-1}(b)}^{L+3(a)} - 0.208 \varPsi_{H^{-2}(a)}^{L+4(b)} \\ &\quad + 0.189 \varPsi_{H^{-5}(a)}^{L(b)} - 0.122 \varPsi_{H^{-4}(a)}^{L(b)} - 0.110 \varPsi_{H(a)}^{L+5(b)} \\ &\quad - 0.106 \varPsi_{H^{(a)}}^{L+1(b)}. \end{split}$$

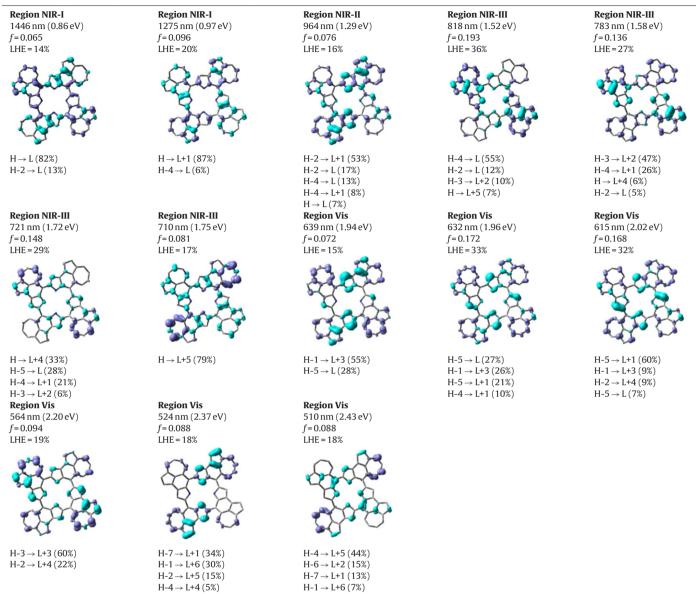
The maps of electron difference between the ground state and exited states are shown in Table 1. Obviously, the electronic absorption bands in Region Vis are due to the electron density transitions from the central π_{18e}^{Por} core to the peripheral π_{10}^{Az} units, respectively. As a total result, the Vis absorption bands of $H_2(TAzP)$ actually originate from various types of $\pi\to\pi^*$ electron transitions among the frontier molecular orbitals.

3.4. Dependence of absorption spectrum on the peripheral electron-donating and electron-withdrawing substituents

To reveal the effect of the electron-donating groups attached at the periphery of the H₂(TAnP) macrocycle skeleton on the NIR electronic absorption spectra of tetraanthracenylporphyrin derivatives, $H_2[\alpha-(NH_2)_4TAzP]$, $H_2[\beta-(NH_2)_4TAzP]$, $H_2[\gamma-(NH_2)_4TAzP]$, $H_2[\delta-(NH_2)_4TAzP]$ $(NH_2)_4TAzP$], $H_2[\varepsilon-(NH_2)_4TAzP]$, and $H_2[\zeta-(NH_2)_4TAzP]$ were calculated at the same TD-BP86/6-311+G(d,p)//B3LYP/6-311+G(d,p)level [97]. As exhibited in Fig. 4 and Fig. S3 (Supporting Information), the NIR absorption bands of $H_2[\alpha-(NH_2)_4TAzP]$ and $H_2[\varepsilon-(NH_2)_4TAzP]$ are significantly red-shifted due to the introduction of four strongly electron-donating NH2 groups onto the periphery of TAzP macrocycle at the α/ϵ positions. However, the Q bands of $H_2[\beta-(NH_2)_4TAzP]$, $H_2[\gamma-(NH_2)_4TAzP]$, $H_2[\delta (NH_2)_4TAzP$], and $H_2[\zeta-(NH_2)_4TAzP]$ remain almost unshifted, indicating the slight substituent effect at the $\beta/\gamma/\delta/\zeta$ positions. According to our calculation results, the frontier orbital energies are significantly affected by incorporating peripheral NH₂ groups, which appears to form the microscopic mechanism of the above-mentioned red-shifted NIR absorption bands. The HOMO-LUMO-gap decreases from $0.61\,\text{eV}$ for $H_2(\text{TAzP})$ to $0.39/0.46\,\text{eV}$ for $H_2[\alpha-(NH_2)_4\text{TAzP}]/H_2[\epsilon-(NH_2)_4\text{TAzP}]$, leading to the red-shifted NIR absorption bands to 1500-2500 nm,

This is also true for $H_2(\alpha-F_4TAzP)$, $H_2(\beta-F_4TAzP)$, $H_2(\gamma-F_4TAzP)$, $H_2(\delta-F_4TAzP)$, $H_2(\delta-F_4TAzP)$, $H_2(\epsilon-F_4TAzP)$, and $H_2(\zeta-F_4TAzP)$ incorporating the peripheral electron-withdrawing F atoms [97]. As shown in Fig. 4 and Fig. S3 (Supporting Information), the NIR absorption bands of $H_2(\alpha-F_4TAzP)/H_2(\epsilon-F_4TAzP)$ are red-shifted due to the introduction of four intense electron-withdrawing F atoms onto the periphery of TAzP ring at the α/ϵ positions, which is the direct result of the decreased HOMO–LUMO–gap of 0.56/0.53 eV for $H_2(\alpha-F_4TAzP)/H_2(\epsilon-F_4TAzP)$, Fig. 5. Nevertheless, the substituent effect

Table 1 Electron density difference plots of electron transitions of H₂(TAZP).^a



^a Electron density transfers from the green area to the blue area. Excited states with less than 20,000 cm⁻¹ and configurations which contribute more than 5% are shown.

of incorporating the electron-withdrawing groups is revealed to be much slighter than incorporating the electron-donating groups, Fig. 4.

As a consequence, the HOMO–LUMO gap changes significantly along with introducing electron-withdrawing and in particular electron-donating substituents at the α/δ positions, leading to the regulation of NIR absorption by the positions of peripheral substituents. In the case that the Gaussian band model with the half-bandwidth of $500\,\mathrm{cm^{-1}}$ is employed to simulate the real absorption, the NIR absorption band for $H_2[\alpha-(\mathrm{NH}_2)_4\mathrm{TAzP}]$ and $H_2[\epsilon-(\mathrm{NH}_2)_4\mathrm{TAzP}]$ will cover the region of $1500-2500\,\mathrm{nm}$, which are obviously different from the $H_2(\mathrm{TAzP})$ NIR absorption band in the range of $1050-1450\,\mathrm{nm}$, revealing that introduction of electron-donating groups onto different positions of the TAzP skeleton periphery is an effective way to generate novel near-IR (NIR) dyes with potential applications in different fields such as organic solar antenna collectors and solar cells [31–34].

3.5. Rule for designing the panchromatic tetrapyrrole organic functional molecules

Combination of the present result with previous investigations [22–30,58–65,99–101], the following points appear to be useful in the design of general panchromatic tetrapyrrole organic functional molecules, Scheme 2.

(1) Low molecular symmetry: It is well known that the high molecular symmetry generally leads to the high-order symmetrical electronic states, which in turn results in a number of transitions forbidden. As a result, reducing the molecular symmetry is an effective way to achieve full solar spectrum absorption due to the decrease in forbidden transition number. In terms of detailed experimental synthesis, introduction of large peripheral substituents which is able to induce distortion in the tetrapyrrole skeleton plays such kind of role [99–101].

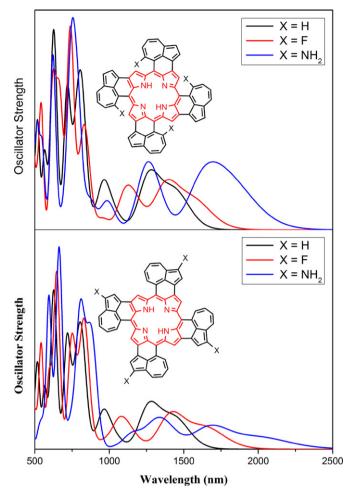


Fig. 4. Simulated UV–Vis–NIR spectrum for $H_2(TAzP)$ and its derivatives with peripheral electron-donating/-withdrawing groups at α/ϵ position.

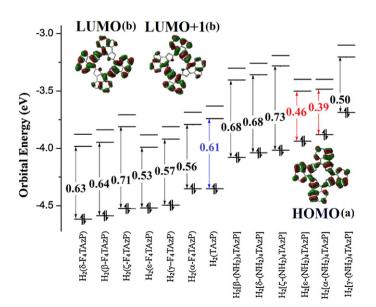
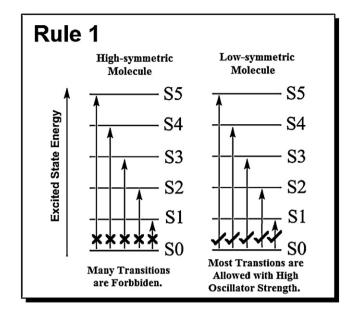
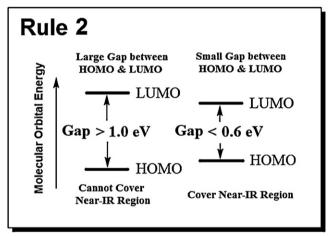


Fig. 5. Frontier orbitals for $H_2(TAzP)$ and its derivatives with peripheral electron-donating/-withdrawing groups.





Scheme 2. Basic designing rules for panchromatic organic functional molecules.

(2) Narrow gap between HOMO and LUMO/LUMO+1: Since the Q band of tetrapyrrole derivatives is mainly contributed by the electron transition between HOMO and LUMO/LUMO+1. If the gap between LUMO and HOMO/HOMO+1 decreases to 0.6 eV or lower, the Q band absorption will extend to the region beyond 2000 nm, leading to the broad absorption covering the full NIR region. To realize this aim, introducing fused peripheral conjugated substituents which are able to result in the frontier orbital coupling between peripheral conjugated units and central tetrapyrrole core seem to be an effective pathway [26]. In addition, incorporating electron-donating/withdrawing substituents onto the periphery of tetrapyrrole macrocycle, which can lead to the further change of frontier orbital energies, appears to be also meaningful.

4. Conclusion

The nature of the broad and intense NIR absorptions of $H_2(TAzP)$ derivatives is revealed on the basis of DFT and TD-DFT calculations, and the electron density moving direction between peripherally-fused ten electron- π -conjugated systems and the eighteen electron- π -conjugated core due to different types of electron transitions (actually associated with different absorption bands) has also been clarified. Theoretical calculation results

indicate that the astonishing narrow HOMO–LUMO-gap is responsible for the significant red-shift of the Q bands of TAzPs. A new absorption band appearing between 700 and 850 nm of TAzPs is considered as the result of electron density transfer from the eighteen electron– π -conjugated porphyrin core to the peripherally-fused azulene moieties. Introduction of electron-donating groups onto the periphery of azulene moiety, in particular at the α/ϵ positions, leads to novel NIR dyes with regulated redshifted NIR absorption bands covering the full solar spectrum. Low molecular symmetry and narrow gap between HOMO and LUMO/LUMO+1 should be considered as necessary points in the molecular design of tetrapyrrole-based panchromatic organic functional molecules with potential applications in the fields of organic solar antenna collectors and organic solar cells.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.jmgm.2012.06.001.

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