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# Evolution of structure, stability, and nonlinear optical properties of the heterodinuclear CNLi<sub>n</sub> (n = 1-10) clusters



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#### ABSTRACT

The lowest-energy structures and stabilities of the heterodinuclear clusters,  $\text{CNLi}_n(n=1-10)$  and relevant  $\text{CNLi}_n^+$  (n=1-10) cations, are studied using the density functional theory with the 6-311 + G(3df) basis set. The  $\text{CNLi}_6$  and  $\text{CNLi}_5^+$  clusters are the first three-dimensional ones in the  $\text{CNLi}_n^{0/+}$  series, respectively, and the CN group always caps the  $\text{Li}_n^{0/+}$  moiety in the  $\text{CNLi}_n^{0/+}$  (n=1-9) configurations. The C—N triple bond is found to be completely cleaved in the  $\text{CNLi}_1^{0/+}$  clusters where the C and N atoms are bridged by two Li atoms. The  $\text{CNLi}_n$  (n=2-10) clusters are hyperlithiated molecules with delocalized valence electrons and consequently possess low VIP values of 3.780–5.674 eV. Especially, the  $\text{CNLi}_8$  and  $\text{CNLi}_{10}$  molecules exhibit lower VIPs than that of Cs atom and can be regarded as heterobinuclear superalkali species. Furthermore, these two superalkali clusters show extraordinarily large first hyperpolarizabilities of 19,423 and 42,658 au, respectively. For the  $\text{CNLi}_n^+$  cationic species, the evolution of the energetic and electronic properties with the cluster size shows a special stability for  $\text{CNLi}_2^+$ .

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#### 1. Introduction

In the last several decades, a great number of theoretical and experimental investigations have been extensively carried out to understand the physical and chemical properties of clusters including structural and electronic properties, the nature of bonding, thermodynamic stability, etc. [1–8]. Experiments alone cannot provide the complete information of the clusters and only by combing them with theoretical investigations can a comprehensive description of the geometric arrangement and the corresponding properties of clusters be achieved. On the other hand, experimental data are necessary for developing a realistic theoretical model for the cluster structure [9,10]. Recently, the rapid progress in computer technology with adjacent advancements of the quantum chemical theory has provided an opportunity to explore new, unknown molecules or clusters before experimentalists can observe or synthesize them [11].

Atomic clusters can exhibit many interesting properties that are neither atom like nor extended solidlike, and show remarkable size-dependent variations. More interestingly, the properties of clusters can usually be changed by doping the clusters with other species. Hence, the pure and doped clusters have attracted

numerous interested in the research field of materials as a prototype for understanding the cluster-assembled nanomaterials. Among the studied clusters, the doped lithium clusters have received considerable attention because of the well-known ability of lithium to form heterogeneous clusters with various elements. In 1978, Wu and co-workers [12] first observed Li<sub>3</sub>O in experiment as a stable molecule in gas phase. Later, the existence of Li<sub>4</sub>O and Li<sub>5</sub>O was also confirmed [13]. The CLi<sub>6</sub> cluster was predicted and verified experimentally to be stable with respect to dissociating into the CLi<sub>4</sub> and Li<sub>2</sub> molecules [14]. These molecules with unusual stoichiometry possess valence electrons violating the octet rule, and can be regarded as hypervalent molecules. They have been named as hyperlithiated molecules after Schleyer's review [15]. Up to now, a large number of mononuclear hyperlithiated clusters of the  $MLi_n$  type have been investigated by theoretical approaches and experimental techniques, such as BLi<sub>n</sub> [16-20], FLi<sub>n</sub> [21,22] BeLi<sub>n</sub> and  $MgLi_n$  [23–25],  $AlLi_n$  [26], and  $SnLi_n$  [27–29], etc. Usually, the hyperlithiated molecules can exhibit very low ionization potentials (IPs) because they contain delocalized excess valence electrons. For instance, the Li<sub>3</sub>O molecule has a very low IP of 3.54 eV and can be regarded as a superalkali cluster [30].

As the first experimentally characterized binuclear hyperlithiated molecule, Li<sub>2</sub>CN is of great interest. The Li<sub>2</sub>CN molecule has two isomers with linear and bridged structures, respectively, and its favored structure is best described as a "salt" composed of Li<sub>2</sub>\* cation and CN<sup>-</sup> anion [31]. More recently, Xu and co-workers [32]

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have found that the linear Li<sub>2</sub>CN possesses a remarkably large hyperpolarizability ( $\beta_0$ ) value of 30,1096 au and thereby can be regarded as a kind of potential nonlinear optical (NLO) molecule. Such interesting features of  $CNLi_2$  inspired us to carry out a research on the larger CNLi<sub>n</sub> clusters. Recently, Roy and co-workers [33] have inserted N<sub>2</sub> molecule into small lithium clusters and demonstrated that at least eight lithium atoms could completely cleave the triple bonded nitrogen molecule. In our previous work, we have systemically explored the heterodinuclear system, i.e,  $COLi_n$  (n=2, 4, 6, 8) and  $COLi_n^+$  (n = 5, 7) [34], and found that at least  $Li_6$  or  $Li_7^+$ cluster is needed to completely cleave the triple bond of CO. So, some interesting questions emerge: Can the triple bond of CN be reduced by small Li<sub>n</sub> clusters likewise? How many Li atoms should be needed to cleave the CN triple bond completely? Do the IP values of CNLi<sub>n</sub> decrease with increasing number of lithium atoms? Moreover, how do the energy properties, NLO responses, binding nature, etc. of CNLi<sub>n</sub> evolve with the increasing cluster size?

In order to answer the above questions, the heterodinuclear clusters  $\mathrm{CNLi}_n$  (n = 1–10) and their corresponding cations have been systemically studied in the present work. First, the lowest-energy structures of  $\mathrm{CNLi}_n$  and  $\mathrm{CNLi}_n^+$  clusters were identified and compared with each other. Then, the evolution of geometrical structure, bonding nature as well as electronic and energetic properties of these species with the cluster size was investigated in detail. Results show that the  $\mathrm{CNLi}_n$  clusters exhibit superalkali nature on  $\mathrm{CNLi}_8$  and  $\mathrm{CNLi}_{10}$ , which is verified by their low vertical ionization potential of 3.780 and 3.832 eV, respectively. Additionally, we also predict extraordinarily large nonlinear optical (NLO) responses of the  $\mathrm{CNLi}_4$ ,  $\mathrm{CNLi}_8$ , and  $\mathrm{CNLi}_{10}$  clusters.

#### 2. Computational details

We performed the search for the global minima of the CNLi<sub>n</sub> (n=1-10) clusters on their potential energy surfaces by using the stochastic method [35–37]. This method generates structures randomly and makes the exploration of unknown isomers more thoroughly. All the atoms were initially placed at a common point in geometrical space and then kicked in random directions within a spherical shell. The setting radius of the sphere ranges from 3 Å to 10 Å in this work. Several hundred starting geometries were obtained at the B3LYP/3-21G level until no new structures appeared. Then, these structures were reoptimized with the larger 6-311 + G(3df) basis set, followed by vibrational frequency calculations. A number of isomers of the CNLin clusters are shown in Figs. S1–S8, respectively, in the Supporting information. In addition, the single point calculations were carried out at the CCSD(T)/6-311 + G(d) level for the first five low-lying isomers of each CNLi<sub>n</sub> cluster to ensure that the global minimum was found. Then, the minimum structures of the CNLin+ cations were obtained at the B3LYP/6-311+G(3df) level by optimizing their corresponding  $CNLi_n$  configurations upon losing an electron.

The natural bond orbital (NBO) charges [38,39] of the lowest-energy  $\text{CNLi}_n$  were calculated at the B3LYP/6-311+G(3df) level. All the single-point energy calculations of minimum structures were carried out at the CCSD(T)/6-311+G(3df) level. Based on these single-point energies, the vertical electron affinities (VEA), vertical ionization potentials (VIP), adiabatic ionization potentials (AIP) of the  $\text{CNLi}_n$  clusters, and the binding energies per atom  $(E_b)$ , the second difference in energies ( $\Delta^2 E$ ), and dissociation energies ( $\Delta E$ ) of the  $\text{CNLi}_n/\text{CNLi}_n^+$  clusters were calculated in the present work, where

$$\begin{split} E_b\left(\mathsf{CNLi}_n\right) &= \frac{\left[E\left(\mathsf{C}\right) + E\left(\mathsf{N}\right) + nE\left(\mathsf{Li}\right) - E\left(\mathsf{CNLi}_n\right)\right]}{(n+2)} \\ E_b\left(\mathsf{CNLi}_n^+\right) &= \frac{\left[E\left(\mathsf{C}\right) + E\left(\mathsf{N}\right) + (n-1)E\left(\mathsf{Li}\right) + E\left(\mathsf{Li}^+\right) - E\left(\mathsf{CNLi}_n^+\right)\right]}{(n+2)} \\ \Delta^2 E\left(\mathsf{CNLi}_n^{+/0}\right) &= E\left(\mathsf{CNLi}_{n+1}^{+/0}\right) + E\left(\mathsf{CNLi}_{n-1}^{+/0}\right) - 2E\left(\mathsf{CNLi}_n^{+/0}\right) \\ \Delta E\left(\mathsf{CNLi}_n^{+/0}\right) &= E\left(\mathsf{Li}\right) + E\left(\mathsf{CNLi}_{n-1}^{+/0}\right) - E\left(\mathsf{CNLi}_n^{+/0}\right) \end{split}$$

The nonlinear optical (NLO) properties of the  $CNLi_n$  clusters were calculated using the BhandHLYP method [40] in conjunction with the 6-311 + G(3df) basis set. The mean polarizability ( $\alpha_0$ ), and the mean first hyperpolarizability ( $\beta_0$ ) are defined as

$$\alpha_0 = \frac{\left(\alpha_{xx} + \alpha_{yy} + \alpha_{zz}\right)}{3}$$
 
$$\beta_0 = \left({\beta_x}^2 + {\beta_y}^2 + {\beta_z}^2\right)^{1/2}$$
 where 
$$\beta_i = \frac{3}{5}\left({\beta_{iii} + \beta_{ijj} + \beta_{ikk}}\right), i, j, k = x, y, z$$

All the calculations were performed by using the GAUSSIAN 03 [41] and GAUSSIAN 09 [42] programs. The dimensional plots of molecular configurations and orbitals were generated with the GaussView [43] program (Gaussian, Inc., Pittsburgh, PA).

#### 3. Results and discussion

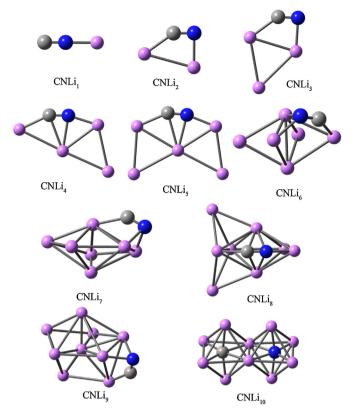
# 3.1. Geometrical characteristics

The lowest-energy structures of  $\mathrm{CNLi}_n$  (n = 1–10) and their corresponding  $\mathrm{CNLi}_n^+$  cations are shown in Figs. 1 and 2, respectively. The highest occupied molecular orbitals (HOMOs) of the  $\mathrm{CNLi}_n$  molecules are presented in Fig. 3. The symmetry point groups, lowest vibrational frequencies, C—N distances ( $R_{\mathrm{C-N}}$ ), sum of NBO charges on C and N atoms ( $Q^{\mathrm{C+N}}$ ), and the HOMO–LUMO gaps of the  $\mathrm{CNLi}_n$  and  $\mathrm{CNLi}_n^+$  clusters are listed in Table 1.

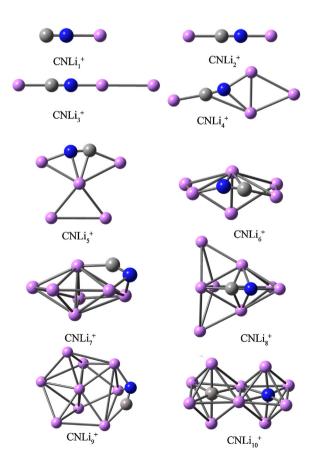
From Fig. 1, it can be seen that the  $CNLi_n$  (n = 1-5) clusters possess planar structures. Among them, the CNLi possesses a linear

**Table 1** Symmetry point group, the C—N distances ( $R_{C-N}$ , in Å), the lowest vibrational frequencies ( $\nu$ , in cm<sup>-1</sup>) and the sum of NBO charges on C and N atoms of the most stable CNLi<sub>n</sub> (n = 1 - 10) and CNLi<sub>n</sub><sup>+</sup> (n = 1 - 10) clusters.

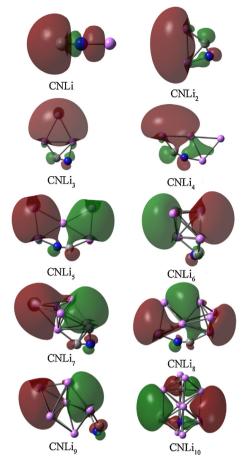
n	$CNLi_n$				CNLi <sub>n</sub> <sup>+</sup>			
	Symmetry	$R_{C-N}$	ν	Q <sup>C+N</sup>	Symmetry	$R_{C-N}$	ν	Q <sup>C+N</sup>
1	$C_{\infty \nu}$	1.172	118	-0.933	$C_{\infty V}$	1.150	177	0.023
2	$C_s$	1.168	202	-0.841	$C_{\infty v}$	1.158	128	-0.888
3	$C_s$	1.166	129	-0.876	$C_{\infty v}$	1.157	44	-0.859
4	$C_{s}$	1.171	88	-0.865	$C_{\rm s}$	1.161	48	-0.826
5	$C_{s}$	1.168	79	-0.791	$C_1$	1.167	59	-0.814
6	$C_s$	1.171	54	-0.767	$C_1$	1.168	65	-0.780
7	$C_s$	1.172	115	-0.735	$C_{\rm s}$	1.172	74	-0.770
8	$C_s$	1.177	76	-0.805	$C_{\rm s}$	1.176	75	-0.836
9	$C_1$	1.170	39	-0.758	$C_1$	1.167	71	-0.754
10	$C_{2\nu}$	3.444	101	-5.288	$C_{2\nu}$	3.429	72	-5.342



**Fig. 1.** The lowest-energy structures of the  $CNLi_n$  (n = 1-10) clusters.



**Fig. 2.** The optimized minimum structures of the  $CNLi_n^+$  (n = 1-10) cluster cations.



**Fig. 3.** The HOMO orbitals of the  $CNLi_n$  clusters.

global minimum, in which the Li atom is bound to the N-side. CNLi<sub>2</sub> exhibits a trapezoid geometry in which the CN unit carries -0.841|e| NBO charge. Hence, the CNLi₂ cluster can be regarded as a combination of Li<sub>2</sub><sup>+</sup> and CN<sup>-</sup>, just as reported in the literature [31]. Lately, Boldyrev and co-workers [44] performed an interesting study of ozone valence isoelectronic Li<sub>3</sub>N<sub>3</sub> cluster, which possesses a similar chemical bonding pattern to that of ozone. In the present work, the valence molecular orbitals of CNLi and CNLi2 and their valence isoelectronic CO and NO/CO- molecules were compared in Figs. S9 and S10 (Supporting information), respectively. From Fig. S9, the molecular orbitals of CNLi show great resemblances to those of CO, suggesting their electronic structural similarities. This is also the case for the CNLi<sub>2</sub> molecule which has molecular orbitals much like those of its valence isoelectronic counterparts NO and CO-(see Fig. S10). The CNLi<sub>3</sub> cluster can be generated by assembling Li<sub>3</sub><sup>+</sup> cluster with CN<sup>-</sup>. Such a description is supported by the NBO analysis, that is, the charge on the Li<sub>3</sub><sup>+</sup> unit is 0.876|e| in the CNLi<sub>3</sub> cluster. Moreover, CNLi3 can also be viewed as adding a Li atom to the Li-Li side of the CNLi2 structure. It has been reported that the lowest-energy structure of  $Li_4$  is rhombic  $(D_{2h})$ , and the most stable structures of Li  $_5$  is a "W-shaped planar" geometry with  $C_{2\nu}$ symmetry [45]. In this system, the CNLi<sub>4</sub> and CNLi<sub>5</sub> can be regarded as being generated by inserting a CN unit into a Li-Li bond of the global minimum structures of Li<sub>4</sub> and Li<sub>5</sub>, respectively. From NBO analysis, the CNLi<sub>4</sub> molecule is composed of Li<sub>2</sub>+, Li<sub>2</sub> and CN<sup>-</sup> particles. The CNLi<sub>5</sub> cluster can also be taken as adding a Li atom to the CNLi<sub>4</sub> structure. To examine whether the CNLi<sub>5</sub> cluster has a more stable three-dimensional structure, the CN ligand was manually added to the optimized Li<sub>5</sub> and Li<sub>5</sub><sup>+</sup> structures at various positions. We optimized all the resulting structures and found that the planar

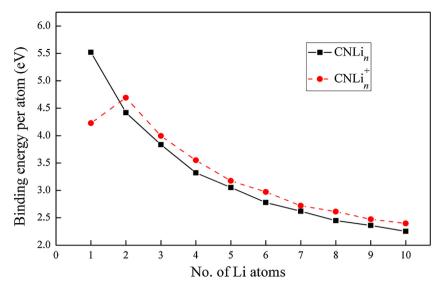


Fig. 4. The binding energies per atom (eV/atom) for the  $CNLi_n$  and  $CNLi_n^+$  clusters with 1 < n < 10, shown as a function of the number of Li atoms.

structure is the lowest-energy one whereas the three-dimensional structures are low-lying isomers.

From n=6 onwards, the  $\mathrm{CNLi}_n$  clusters began to favor three-dimensional structures. The global minima of  $\mathrm{CNLi}_6$  and  $\mathrm{CNLi}_7$  can be viewed as a CN unit face-capping the tetragonal bipyramidal  $\mathrm{Li}_6$  and pentagonal bipyramidal  $\mathrm{Li}_7$  clusters, respectively. The lowest-energy structure of  $\mathrm{CNLi}_8$  possesses  $C_s$  symmetry and could be obtained by capping two faces of  $\mathrm{CNLi}_6$  with two Li atoms. The CN unit is located in the symmetry plane of  $\mathrm{CNLi}_7$  and  $\mathrm{CNLi}_8$ . The global minimum structure of  $\mathrm{CNLi}_9$  is of  $C_1$  symmetry and features a face-capping CN unit. It is clearly seen from Fig. 1 that the CN ligand always occupies a peripheral position of the  $\mathrm{Li}_n$  (n < 10) moiety. From Table 1, the CN bond lengths of  $\mathrm{CNLi}_n$  (n = 1-9) ranging from 1.166 Å to 1.177 Å are only 1.1–2.1% longer than that of isolated  $\mathrm{CN}^-$  anion (1.153 Å) [46], indicating typical C—N triple bond in the  $\mathrm{CNLi}_n$  (n = 1-9) clusters.

Different from the above-mentioned cases, the CN unit begins to be trapped in the Li<sub>10</sub> cluster. Consequently, the CNLi<sub>10</sub> cluster exhibits a capsule geometry of  $C_{2\nu}$  symmetry. Note that the C-N triple bond is completely cleaved in this structure where the C and N atoms are bridged by two Li atoms. From Table 1, the C-N distance of CNLi<sub>10</sub> is 3.444 Å, which are greatly longer than that of isolated CN-. In previous works, it has been reported that eight lithium atoms are required to completely cleave the triple bond of N<sub>2</sub> molecule [33] and at least six lithium atoms are needed to split the C-O triple bond [34]. However, at least ten Li atoms are required to totally cleave the CN triple bond though the bond dissociation energy of 750 kJ/mol for CN<sup>-</sup> is much lower than those of N<sub>2</sub> (944 kJ/mol) and CO (1076 kJ/mol) molecules [47]. Besides, different from the cases in N<sub>2</sub>Li<sub>n</sub> and COLi<sub>n</sub> where the N-N and C-O triple bonds were gradually reduced by  $Li_n$  in the process of triple bond → double bond → single bond → separation, the C—N bond in the  $CNLi_n$  system is directly cleaved from triple bond.

From Table 1, the NBO charges (-0.735|e| to -0.933|e|) on the CN moieties in the CNLi<sub>n</sub> (n=1-9) clusters are close to -1, indicating that the CN<sup>-</sup> group preserves its electronic integrity herein. On the other hand, the charge populations on the Li atoms indicate that the CNLi<sub>n</sub> (n=2-9) species are hyperlithiated molecules, which can be supported by their molecular orbitals. From Fig. 3, the valence electrons of CNLi<sub>n</sub> (n=2-9) delocalized over the lithium network. For the lowest-energy structure of CNLi<sub>10</sub>, the sum of NBO charges on C and N atoms is -5.288|e|. Hence, the CNLi<sub>10</sub> cluster can also be described as a hyperlithiated molecule with excess valence electrons.

The optimized geometries of the  $\mathrm{CNLi}_n^+$  (n = 1–10) cations are shown in Fig. 2. Apparently, removal of an electron from  $\mathrm{CNLi}_n$  (n = 1–6) leads to elongated structures that helps to reduce the mutual repulsion interaction inside the cation, especially for  $\mathrm{CNLi}_2^+$ ,  $\mathrm{CNLi}_3^+$  and  $\mathrm{CNLi}_4^+$ . The first three-dimensional (3D) structure in this series occurs at  $\mathrm{CNLi}_5^+$ , and the structure of  $\mathrm{CNLi}_6^+$  can be regarded as generated by attaching a Li atom to the configuration of  $\mathrm{CNLi}_5^+$ . From Fig. 2, it is found that losing an electron has little effect on the structures of  $\mathrm{CNLi}_n$  (n = 7, 8, 10), that is, the structures of  $\mathrm{CNLi}_n^+$  (n = 7, 8, 10) nearly coincide with the neutral parents, respectively. Likewise, at least a  $\mathrm{Li}_{10}^+$  cluster is needed to completely cleave the C–N triple bond.

#### 3.2. Energetic properties

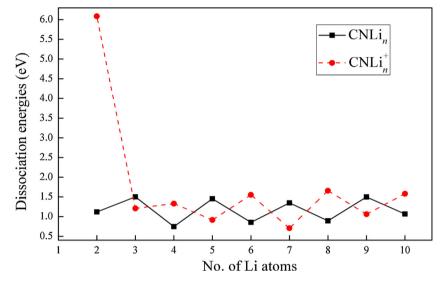
The relative stabilities of the CNLi<sub>n</sub> (n = 1–10) clusters are examined on the basis of the energetic properties, including the binding energy per atom ( $E_b$ ), the dissociation energy ( $\Delta E$ ) and the second difference in energy ( $\Delta^2 E$ ). These properties of CNLi<sub>n</sub> are listed in Table 2, and illustrated in Figs. 4–6, respectively. For comparison, the energetic properties of the corresponding CNLi<sub>n</sub><sup>+</sup> (n = 1–10) cations are also calculated and shown in Table S1 in the Supporting information and plotted in Figs. 4–6, respectively.

In general, the binding energy per atom of a mononuclear  $MLi_n$ system increases with the number of Li atoms [20,24,26,27]. However, the E<sub>b</sub> values of the binuclear CNLi<sub>n</sub> and CNLi<sub>n</sub><sup>+</sup> series decrease as the cluster size increases (see Fig. 4). The maximum  $E_b$  values of the CNLi<sub>n</sub> and CNLi<sub>n</sub><sup>+</sup> clusters are 5.521 eV for CNLi and 4.692 eV for CNLi2+, respectively, indicating that these two clusters have relatively high stability. From Fig. 4, except for the unstable CNLi+ cation, the  $E_b$  value of a cationic  $CNLi_n^+$  is higher than that of its corresponding neutral one, which is similar to the case of pure  $Li_n$ clusters [45]. For the neutral Li<sub>n</sub> and cationic Li<sub>n</sub><sup>+</sup> (n = 2-10) clusters, the binding energies obtained by experimental and computational methods are less than 1.15 eV [45]. From Fig. 4, the  $E_h$  values greatly rise when CN is doped into the  $Li_n$  clusters. The larger  $E_h$  values of  $CNLi_n$  (2.254–5.521 eV) and  $CNLi_n^+$  (2.398–4.692 eV, Table S1) than the corresponding  $Li_n$  and  $Li_n^+$  host clusters indicate the relatively higher stability of the title clusters.

The dissociation energy ( $\Delta E$ ) represents the energy needed for dissociating one atom from the host cluster, namely,  $\text{CNLi}_n \rightarrow \text{CNLi}_{n-1} + \text{Li}$  and  $\text{CNLi}_n^+ \rightarrow \text{CNLi}_{n-1}^+ + \text{Li}$ . Thus, the larger the  $\Delta E$  value, the more stable the cluster is against the elimination of a Li atom. From Fig. 5, both  $\Delta E$  curves show an odd-even

Table 2
Binding energy per atom ( $E_b$ , in eV), the dissociation energy ( $\Delta E$ , in eV), the second difference in energy ( $\Delta^2 E$ , in eV), the HOMO–LUMO gaps (in eV), the vertical ionization potential (VIP, in eV), the adiabatic ionization potential (AIP, in eV), the vertical electron affinity (VEA, in eV), the hardness ( $\eta$ , in eV) of the most stable CNLi<sub>π</sub> (n = 1–10) clusters.

n	$E_b$	$\Delta E$	$\Delta^2 E$	Gap	VIP	AIP	VEA	η
1	5.521	6.476		4.93	9.372	9.221	0.692	4.340
2	4.420	1.117	-0.384	2.04	5.110	4.251	0.536	2.287
3	3.837	1.501	0.756	2.42	5.674	4.545	0.004	2.835
4	3.321	0.746	-0.707	1.85	4.566	3.960	0.409	2.079
5	3.054	1.453	0.599	2.12	4.949	4.498	0.245	2.352
6	2.779	0.854	-0.495	1.50	4.151	3.800	0.661	1.745
7	2.620	1.349	0.457	1.66	4.552	4.443	0.500	2.026
8	2.448	0.892	-0.844	1.20	3.780	3.678	0.860	1.460
9	2.383	1.737	0.907	1.99	4.631	4.354	0.982	1.824
10	2.254	0.830		0.90	3.832	3.602	0.330	1.751



**Fig. 5.** The dissociation energies ( $\Delta E$ , in eV) for the CNLi<sub>n</sub> and CNLi<sub>n</sub><sup>+</sup> clusters with  $2 \le n \le 10$ , shown as a function of the number of Li atoms.

pattern, demonstrating that the Li atoms are more tightly bound in closed-shell species than in open-shell ones, whether for  $\mathrm{CNLi}_n$  or  $\mathrm{CNLi}_n^+$ . The conspicuous peak at  $\mathrm{CNLi}_2^+$  implies that dissociating a Li atom from  $\mathrm{CNLi}_2^+$  is an unfavorable process.

The second difference in energy ( $\Delta^2 E$ ) of the CNLi<sub>n</sub> clusters represents a comparison between two dissociation processes, namely,

 ${
m CNLi}_{n+1} 
ightharpoonup {
m CNLi}_n + {
m Li} \ {
m and} \ {
m CNLi}_n 
ightharpoonup {
m CNLi}_{n-1} + {
m Li}. \ {
m A positive} \ \Delta^2 E \ {
m value}$  indicates that the dissociation of  ${
m CNLi}_{n+1}$  into  ${
m CNLi}_n$  is a more favorable process than the fragmentation of  ${
m CNLi}_n$  into  ${
m CNLi}_{n-1}$ , and the same is true for  ${
m CNLi}_n^+$ . Therefore,  $\Delta^2 E > 0$  means that the  ${
m CNLi}_n$  and  ${
m CNLi}_n^+$  clusters are particularly stable. Besides, the tips of the  $\Delta^2 E$  curves indicate relatively high stability of the

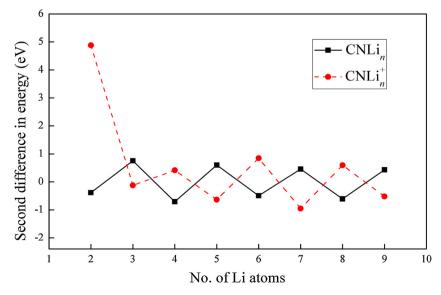


Fig. 6. The second difference in energy ( $\Delta^2 E$ , in eV) for the CNLi<sub>n</sub> and CNLi<sub>n</sub><sup>+</sup> clusters with  $2 \le n \le 9$ , shown as a function of the number of Li atoms.

corresponding species. From Fig. 6, both the curves of  $\mathrm{CNLi}_n$  and  $\mathrm{CNLi}_n^+$  show clear odd–even oscillations. That is to say, the species with closed electronic shell are relatively stable compared with open-shell ones. Note that the  $\mathrm{CNLi}_2^+$  cluster exhibits a significantly large  $\Delta^2 E$  value of  $4.879\,\mathrm{eV}$ , indicating its special stability among all the clusters considered in this study. Positive  $\Delta^2 E$  values are presented for  $\mathrm{CNLi}_3$  (0.756 eV),  $\mathrm{CNLi}_5$  (0.599 eV),  $\mathrm{CNLi}_7$  (0.457 eV),  $\mathrm{CNLi}_9$  (0.907 eV),  $\mathrm{CNLi}_2^+$  (4.879 eV),  $\mathrm{CNLi}_4^+$  (0.415 eV),  $\mathrm{CNLi}_6^+$  (0.847 eV),  $\mathrm{CNLi}_8^+$  (0.596 eV), showing their relative stabilities.

#### 3.3. Electronic properties

The electronic properties of the  $CNLi_n$  clusters can be reflected by highest occupied–lowest unoccupied molecular orbital (HOMO–LUMO) energy gaps, vertical ionization potential (VIP), adiabatic ionization potential (AIP), vertical electron affinities (VEA), and global chemical hardness ( $\eta$ ). These properties of  $CNLi_n$  are shown in Table 2 and Fig. S11.

The HOMO–LUMO energy gap, as is well-known, is a useful quantity for examining the electronic stability of clusters. It is found that the systems with large HOMO–LUMO gaps are, in general, less reactive. From Table 2, the CNLi $_n$  clusters show large HOMO–LUMO energy gaps of 0.90–4.93 eV, and the stable salt molecule CNLi possesses the largest gap value among these species. As is shown in Table S1, the CNLi $_n$ <sup>+</sup> cations show larger HOMO–LUMO gaps than their corresponding neutral parents no matter whether the cluster is closed–shell or not. Hence, the cationic species are more stable than the neutral ones. An exception here is the CNLi $_n$ <sup>+</sup> cation where the CN ligand is almost neutral and in an unstable electron–deficient state. Consequently, the gap value of CNLi $_n$ <sup>+</sup> is as small as 0.18 eV. In contrast, the CNLi $_n$ <sup>+</sup> cation exhibits a quite large gap value of 8.57 eV because it does not contain any delocalized valence electron.

Ionization potential (IP) is defined as the amount of energy required to remove an electron from a molecule. Thus, a lower IP of neutral molecule means that the generation of the corresponding cation is more feasible. In our case the vertical ionization potential (VIP) is determined as the energy difference between CNLi<sub>n</sub> and its ionized cluster at the optimized geometry of CNLin, while the adiabatic ionization potential (AIP) is calculated as the energy difference between  $CNLi_n$  and the corresponding cationic  $CNLi_n^+$  at their respective optimized geometries. Therefore, the VIP values are always larger than the AIPs with the energy difference derived from structure relaxation, as shown in Table 2. From Table 2 and Fig. S11, the VIPs of  $CNLi_n$  are quite close to the AIP values when n = 1, 7, 8 and 10 because these clusters possess similar geometrical structures to the corresponding cationic ones. In contrast, the differences between VIPs and AIPs of  $CNLi_n$  (n = 2-6, 9) are considerable, especially for the CNLi2 and CNLi3 clusters.

As expected, the  $CNLi_n$  (n=2–10) clusters have low VIP values of 3.780–5.674 eV, which are comparable to or slightly higher than that of Li atom. This feature accords well with their hyperlithiated molecule identity. The VIPs of 3.780 and 3.832 eV for the  $CNLi_8$  and  $CNLi_{10}$  clusters are even lower than the IP = 3.89 eV for Cs atom, hence these two clusters can be regarded as heterobinuclear superalkali species.

The vertical electron affinities (VEA) of  $CNLi_n$  are determined as VEA = E (optimized neutral) -E (anion at optimized neutral geometry). As one can notice from Table 2, all the VEA values (0.004–0.982 eV) of the  $CNLi_n$  clusters are very low, indicating their stability against addition of an electron. Besides, there are clear dips at  $CNLi_3$ ,  $CNLi_5$ ,  $CNLi_7$ , and  $CNLi_{10}$  in the VEA curve, suggesting that these clusters are less likely to gain an electron than the other ones. Compared with previously reported results, it is interesting to find that the VEA value of 3.285 eV for heterobinuclear

 $CNLi_8^+$  is just between those of homobinuclear superalkali cations  $C_2Li_9^+$  (3.40 eV) [48] and  $N_2Li_7^+$  (3.08 eV) [48].

With the knowledge of the ionization potential and the electron affinity, it is possible to calculate the global chemical hardness  $(\eta)$  [49], which can be approximated as,

$$\eta \approx \frac{1}{2} (\text{VIP} - \text{VEA})$$

The hardness is a useful measurement for examining the stability of clusters. Structures with large hardness values are often considered to be harder, namely, less reactive and more stable. From Table 2 and Fig. S11, it is noted that the hardness values of the  $CNLi_n$  clusters tend to decrease with increasing cluster size. Meanwhile, the clear peaks at n = 3, 5, 7 and 9 imply the relative stability of the closed-shell clusters.

## 3.4. Nonlinear optical (NLO) properties

The NLO response of the  $\mathrm{CNLi}_n$  clusters is also investigated by evaluating their (hyper)polarizabilities. First, we performed our test calculation on the chosen open-shell  $\mathrm{CNLi}_2$  and  $\mathrm{closed}$ -shell  $\mathrm{CNLi}_3$  by using the B3LYP, BhandHLYP, MP2 and  $\mathrm{CAM}$ -B3LYP [50] methods in conjunction with the 6-311+G(3df) basis set, and the results are given in Table S2 in the Supporting information. As can be seen from the table, on the whole, the BhandHLYP results agree well with the MP2 values. For example, the  $\beta_0$  values of  $\mathrm{CNLi}_2$  and  $\mathrm{CNLi}_3$  at the BhandHLYP level are 9.8% and 2.0% lower than the MP2 results, respectively. Thus, the more time-saving BhandHLYP method was chosen to calculate the NLO properties of the  $\mathrm{CNLi}_n$  clusters.

The resulting static polarizabilities  $(\alpha_0)$  and first hyperpolarizabilities  $(\beta_0)$  of the CNLi<sub>n</sub> clusters are listed in Table 3. Since the electrons are delocalized in the  $CNLi_n$  (n = 2-10) clusters (see Fig. 3), we consider that these hyperlithiated clusters are easily polarizable and can be expected to have large polarizabilities. From Table 3, the  $\alpha_0$  values of CNLi<sub>n</sub> (n = 2-10) range from 149.17 to 770.47 au, which are much larger than that of 23.63 au for the CNLi molecule. Besides, it can be seen that the  $\alpha_0$  values increase with the increasing cluster size. To investigate the NLO properties, we focused on the first hyperpolarizabilities ( $\beta_0$ ). From Table 3, the  $\beta_0$  value of the lowest-energy structure of CNLi<sub>2</sub> is 4034 au, which is not so large as that of its low-lying linear isomer [32]. The CNLi<sub>4</sub>, CNLi<sub>8</sub>, and CNLi<sub>10</sub> clusters show remarkably large first hyperpolarizabilities of 14,238–42,658 au, while the other CNLi<sub>n</sub> clusters exhibit  $\beta_0$  values in the range of 3623–4034 au. Unlike the hyperlithiated CNLi<sub>n</sub> (n=2-10) compounds with considerable  $\beta_0$  values, the  $\beta_0$  value of CNLi is only 65 au. Our results also show that the  $\beta_0$  values of openshell CNLin clusters are relatively larger compared with those of closed-shell ones.

From a physical standpoint, the first hyperpolarizability  $\beta_0$  is expressed by the "sum-over-states" (SOS) expression in theory, Oudar and Chemla established a simple link between the  $\beta_0$  and a low-lying charge-transfer transition by the two-level model [51,52]. For the static case ( $\omega$ =0.0), the following expression is employed to estimate  $\beta_0$ :

$$eta_0 \propto rac{\Delta \mu imes f_0}{\Delta E^3}$$

where the  $\Delta E$ ,  $f_0$ , and  $\Delta \mu$  represent the transition energy, oscillator strength and the difference of the dipole moment between the ground state and the crucial excited state, respectively. According to the two-level model, the low transition energy is the decisive factor for a large  $\beta_0$  value. From Table 3, the crucial transition energy ( $\Delta E$ ) values of 1.338–2.780 eV for the CNLi $_n$  (n = 2–10) clusters are pretty small compared with that of 7.230 eV for the CNLi molecule.

Table 3
The polarizabilities ( $\alpha_0$ , in au), the first hyperpolarizabilities ( $\beta_0$ , in au), the crucial transition energies ( $\Delta E$ , in eV) and oscillator strengths ( $f_0$ ) of the most stable CNLi<sub>n</sub> (n = 1–10) clusters.

n	$\alpha_{\scriptscriptstyle XX}$	$\alpha_{yy}$	$\alpha_{zz}$	$lpha_0$	$eta_0$	$f_0$	$\Delta E$
1	20.27	20.27	30.35	23.63	65	0.1174	7.230
2	197.75	119.39	130.38	149.17	4034	0.1530	1.353
3	166.79	189.38	145.95	167.37	3957	0.3365	2.269
4	455.16	260.15	240.26	318.52	14,238	0.2903	2.484
5	469.53	293.41	252.64	338.53	3632	0.3311	2.160
6	314.76	530.81	434.84	426.80	3846	0.2720	1.955
7	382.68	448.82	533.38	454.96	3623	0.6445	2.270
8	817.29	495.40	597.61	636.77	19,423	0.1991	2.056
9	499.36	524.09	520.21	514.55	3715	0.4715	2.780
10	948.08	664.96	698.38	770.47	42,658	0.2844	1.338

As a result, the hyperlithiated  $\text{CNLi}_n$  clusters exhibit considerably large  $\beta_0$  values.

#### 4. Conclusions

In summary, we have theoretically investigated a new type of heterodinuclear hyperlithiated clusters CNLi<sub>n</sub> and its corresponding CNLi<sub>n</sub><sup>+</sup> cluster cations. The lowest-energy geometries for these CN-doped Li clusters are revealed by employing the density functional theory. It is found that removal of an electron from CNLi<sub>n</sub> (n=1-6) leads to elongated structures, especially for CNLi<sub>2</sub>, CNLi<sub>3</sub>, and CNLi<sub>4</sub>. In contrast, losing an electron has little effect on the latter CNLi<sub>n</sub> clusters and the structures of CNLi<sub>n</sub><sup>+</sup> (n=7, 8, 10) almost coincide with the neutral parents, respectively. Different from the cases in N<sub>2</sub>Li<sub>n</sub> and COLi<sub>n</sub> where the N-N and C-O triple bonds were gradually reduced by Lin, the C-N triple bond in the CNLi<sub>n</sub><sup>0/+</sup> system is directly cleaved by the Li<sub>10</sub><sup>0/+</sup> cluster. The CNLi<sub>8</sub> and CNLi<sub>10</sub> clusters featuring considerable low VIPs of 3.780 and 3.832 eV, respectively, and can be regarded as heterobinuclear superalkali species. Meanwhile, such clusters exhibit considerable NLO responses with large first hyperpolarizabilities ( $\beta_0$ ) of 19,423 and 42,658 au, respectively.

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## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.jmgm.2015.04.

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