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# The origin of the unusual broad and intense visible absorption of tetrathiafulvalene-annulated zinc porphyrazine: A density functional theory study

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#### ABSTRACT

The vertical excitation energies of tetrathiafulvalene (TTF)-annulated zinc porphyrazine (ZnPzTTF) were investigated using time-dependent density functional theory (TDDFT) calculations and compared to the experimental UV-vis spectra. To examine the effects of the aza substitutions and TTF groups on the molecular properties, zinc complexes of porphyrin (ZnP), porphyrazine (ZnPz) and tetraTTF-annulated porphyrin (ZnPTTF) were also selected for comparison. It was shown that numerous electronic transitions with TTF-to-porphyrin or porphyrazine charge transfer character exist and the Q band of ZnPzTTF is dominated by TTF-to-porphyrazine charge transfer transition mixed with porphyrazine core unit itself except for classic porphyrazine  $\tau \to \tau^*$  transitions. The Q band of ZnPzTTF mixes with other configurations, which breaks down the Gouterman's classic four-orbital model for the spectral interpretation. The data suggest that TDDFT/SAOP performs best for Q and B bands of ZnPzTTF with the maximum error in excitation energy being 0.17 eV. The CAM-B3LYP,  $\omega$ B97XD and M06-2X calculations qualitatively predict that the low-lying electronic transitions of ZnPzTTF with TTF-to-porphyrazine charge transfer character located below the Q band. The broad and intense red-shifted Q band suggests that ZnPzTTF can be a candidate for dye-sensitized solar cells.

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### 1. Introduction

Metal complexes of porphyrins, porphyrazines and their related tetrapyrrole ligands, have been of great importance as model compounds due to their biological functions such as photosynthesis, and as important functional materials in organic photoelectronic devices such as organic light-emitting diodes, organic photovoltaics and organic field-effect transistors [1]. The redox-active tetrathia-fulvalene (TTF) is also an excellent  $\pi$ -electron donor with three different stable redox states (TTF, TTF+, TTF2+). TTF derivatives have been widely known as charge transporting and superconducting materials [2–4]. Recently, TTF-containing porphyrins [5–10], porphyrazines [11], phthalocyanines [12] and their analogues represent an exciting class of macrocyclic compounds because of their important applications such as electrochemical sensing of anions and second-order nonlinear optical (NLO)response. As to

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the photophysical properties, the absorption spectrum of the TTFcontaining porphyrins shows a strong Soret band and two or three weak Q bands [6,9]. To our surprise, the absorption spectrum of the TTF-containing metalloporphyrazines or metallophthalocyanines shows a broad, red-shifted and intense Q band [11,12]. Experimentally, the appearance of the broad, red-shifted and intense Q band was attributed to a transition from the nonbonding sulfur and nitrogen electrons (n) to the porphyrazine (phthalocyanine) rings  $(\pi^*)$   $(n \to \pi^*)$  and aggregation of the macrocyclic system. However, the origin of this intense Q band has not been demonstrated convincingly. The character of UV-vis spectra of TTF-containing metalloporphyrazines or metallophthalocyanines is similar to meso (ferrocenyl)-containing porphyrins [13,14] in which the redox-active ferrocene is also a good donor. The reasons for broadening of the Q band of the meso (ferrocenyl)-containing porphyrins could be the presence of ferrocene-centered molecular orbitals (MOs) located between the classic Gouterman-type porphyrin-centered  $\pi$  and  $\pi^*$  MOs  $(\pi \to \pi^*)$ . So we hypothesize TTF-centered MOs are located between the Gouterman-type  $\pi$  and  $\pi^*$  MOs. Such a situation could potentially result in numerous TTFto-porphyrazine (phthalocyanine) charge transfer transitions thus complicating the absorption spectra of the TTF-to-porphyrazine (phthalocyanine).

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It is well recognized that density functional theory (DFT) and time-dependent DFT (TDDFT) methods have been employed successfully to explore both electronic structures and spectral properties on porphyrins and related tetrapyrrole ligands [15–26]. For example, Nguyen and Rosa carried out TDDFT/B3LYP and TDDFT/SAOP calculations on the excitation energies of zinc complexes of porphyrin (ZnP), porphyrazine (ZnPz) and phthalocyanine (ZnPc), respectively [15,16]. The results show that the TDDFT/SAOP results give satisfactory results. However, up to now, theoretical investigations on the photophysical properties of TTF-annulated metallotetrapyrroles were sparse. Our group has recently reported the electron spectra and second-order NLO properties of monoTTF-metalloporphyrins by means of DFT calculation [27].

In this paper, our initial interest focused on the ambiguous assignment of the electronic spectra of TTF-annulated tetrapyrrole derivatives. Here, in order to shed light on the role of tetraaza substitutions and tetraTTF annulations, electronic structure and absorption spectra of tetraTTF-annulated zinc porphyrazine (ZnPzTTF) and its parent compounds (tetraTTF-annulated zinc porphyrin (ZnPTTF), ZnPz and ZnP) were systematically investigated in detail using DFT and TDDFT calculations. In addition, we also employed some representative (meta)-hybrid functionals and long range corrected functionals in an attempt to explore the prediction of the low-lying CT states of ZnPzTTF. TDDFT results suggest that the Q band of ZnPzTTF are dominated by TTF-to-porphyrazine charge transfer character mixed with porphyrazine core unit itself except for classic porphyrazine  $\pi \rightarrow \pi^*$  transitions and conformational inhomogeneity is also likely to contribute to the broadening of the Q band of ZnPzTTF to some extent, ZnPzTTF is able to harvest light over a wide spectral range, so they are potential sensitizers for dye-sensitized solar cells (DSSCs). We hope that our investigation of the electronic spectra of TTF-annulated tetrapyrrole derivatives can provide guidance to the design novel tetrapyrrole sensitizers for DSSCs.

# 2. Computational details

The models of ZnP, ZnPz, ZnPTTF and ZnPzTTF are shown in Fig. 1. The ground state geometry of the four complexes was optimized at the DFT/BP86 level [28,29] using ADF2008.01 program [30–32] with triple- $\xi$  plus polarization Slater-type orbital basis sets (TZP). The numerical integration of 6.0 and the scalar relativistic effects via the zero-order regular approximation (ZORA) [33] have been used to provide accurate results. Vertical singlet excitation energies and oscillator strengths of the lowest dipole and spin allowed excited

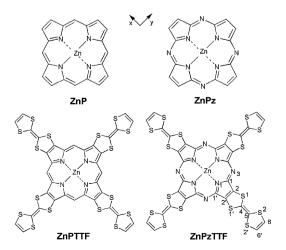


Fig. 1. Molecular structures of ZnP, ZnPz, ZnPTTF and ZnPzTTF.

states of the four complexes were calculated at the scalar relativistic TDDFT level using the asymptotically correct statistical-average-of-orbital potentials (SAOP) [34,35] along with the TZP basis sets. SAOP is widely and reliably used for the prediction of electronic structures and absorption spectra of porphyrins and related tetrapyrrole ligands [16,18,19,22,23,36,37]. The solvation was modeled through the conductor-like screening model (COSMO) [38,39] as implemented in ADF programs [40] with chloroform as solvent.

The theoretical description of the absorption spectra of ZnPTTF and ZnPzTTF is complicated due to the introduction of charge transfer excited states upon incorporation of TTF units. In general, the conventional TDDFT methods notoriously underestimate the excitation energy of charge transfer (CT) transitions [41-43]. To this end, we also performed geometric optimization and frequency analysis of ZnPzTTF at DFT/PBE0 level [44] using Gaussian09 program [45] with 6-31G\* basis set for C, H, O, N, S and def2-TZVP basis set [46] for zinc atom. In particular, based on the optimized ZnPzTTF geometry, vertical singlet excitation energies were calculated using PBEO, B3LYP [47–49], LC- $\omega$ PBE [50–53], CAM-B3LYP [54],  $\omega$ B97XD [55], M06-HF [56,57] and M06-2X [58], because the hybrid functionals (PBEO and B3LYP) with some fraction of the non-local Hartree–Fock (HF) exchange can alleviate, partly or satisfactorily, the CT problem of TDDFT. Recently, the long-range corrected (LC) functionals (LC- $\omega$ PBE, CAM-B3LYP and  $\omega$ B97XD) was widely and accurately used to improve the CT states in porphyrins [17,20,59]. M06-HF is a full Hartree-Fock exchange functional with adapting to long-range properties and that performs well for Rydberg and CT excitations. M06-2X with double amount of nonlocal exchange was designed for valence and Rydberg excitations. The solvent effect of chloroform was simulated using the integral equation formalism of the polarized continuum model (IEFPCM) in Gaussian suite of program [60].

Molecular orbital compositions were analyzed using the AOMix program [61,62] and the absorption spectra were simulated by the SWizard program [61,63] using the Gaussian model with a half-bandwidth of  $4000\,\mathrm{cm}^{-1}$ .

#### 3. Results and discussion

### 3.1. Geometrical structures

The geometrical structures of ZnP and ZnPz in the ground state were both optimized under  $D_{4h}$  symmetry [15]. The optimized geometries were subsequently verified to be local minima by frequency calculations. According to the crystal structure of analogous bisTTF-porphyrin [9], where the TTF fragments are bowed,  $C_s$ ,  $C_{2h}$ ,  $C_{4v}$  and  $D_{2d}$  symmetry structure of ZnPzTTF were checked (Fig. S1). The lowest energy was found for the  $C_{4v}$  conformer, though the energy difference between other conformers was found to be small in the range of 0.01-0.65 kcal/mol (Table 1). The frequency calculations showed that the  $C_{2h}$  and  $C_{4v}$  structures are true minima (all positive frequencies) and that the  $C_s$  and  $D_{2d}$  structures contain imaginary frequencies and hence are not local minima (Supporting information pp. S5–S15). Therefore, the  $C_{4\nu}$  structure of ZnPTTF and ZnPzTTF is mainly considered. These four molecules lay in the xy plane with the x and y axes passing through pyrrolic nitrogens (Fig. 1). The selected optimized structure data at BP86 and PBE0 level are summarized in Table 2, and the available experimental values of ZnP are also included.

**Table 1** Relative energies  $\Delta$ Er (in kcal/mol) of the ZnPzTTF conformers calculated by BP/TZP.

Symmetry	$C_{4v}$	$C_{2h}$	Cs	$D_{2d}$	$D_{4h}$
$\Delta E_r$	0	0.01	0.16	0.22	0.65

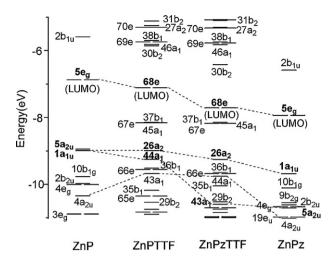


Fig. 2. Energy level schemes for ZnP, ZnPz, ZnPTTF and ZnPzTTF calculated by SAOP.

As evident from Table 2, the calculated structural data are in good agreement with the available experiment result. The deviations of the bond lengths of ZnP are less than 0.020 Å. The bond angles of ZnP agree well with experimental values. We note that the C<sub>1</sub>–N<sub>3</sub> bond of ZnPz shorten by 0.058 Å in comparison with ZnP, which leads to a reduction of the size of the central hole because of the nitrogen substitutions at the four *meso* positions. The same tendency has also been reported by Nguyen and Pachter [15]. The maximum difference of the bond lengths between ZnP and ZnPTTF is 0.006 Å, and the maximum difference of the bond angles is 0.5°. Compared to ZnPz, the variation of the bond lengths and angles of ZnPzTTF is also negligible. These observations indicate that tetraTTF annulations wave little influence on the structure of the tetrapyrrolic rings.

The effect on the optimized geometries of ZnPzTTF caused by the two different functionals (BP86 and PBE0) is small. The differences of the bond lengths are less than 0.014 Å and the deviations of the bond angles are less than  $0.5^{\circ}.$ 

# 3.2. Electronic structure

Metalloporphyrin-like systems show two UV-vis absorption bands, which are intense absorption, so-called near-ultraviolet Soret or B bands, followed by weaker absorption, known as visible Q bands. In terms of the Gouterman's four-orbital model [64–66], the principal excitations of B and Q bands involve a pair of highest filled orbitals (quasidengerate  $a_{1u}$  and  $a_{2u}$ ) and the lowest empty orbitals (doubly degenerate  $e_{\rm g}$ ). The model is based on the assumption of four energetically isolated Gouterman's orbitals. The transition dipole moments of  $a_{1u} \rightarrow e_g$  and  $a_{2u} \rightarrow e_g$  transitions are similar in magnitude and direction, leading to a strong intensity (B bands) transitions with high energy; while the weak intensity (Q bands) transitions are in opposite directions with low energy. Fig. 2 shows the energy levels of frontier molecular orbitals (FMOs) of four complexes at the SAOP/TZP level, and the electron density distributions of the important FMOs of four complexes are collected in Fig. S2 in Supplementary Information. In addition, the orbital compositions of ZnPTTF and ZnPzTTF in terms of zinc, porphyrin (porphyrazine) core and TTF are depicted in Fig. S3 in Supplementary Information.

In ZnP, the  $5a_{2u}$  (HOMO) and  $1a_{1u}$  (HOMO – 1) are quasi-degenerate orbitals, and the  $5e_{g,xz}$  and  $5e_{g,yz}$  (LUMOs) are doubly degenerate (Fig. 2). The four Gouterman's orbitals of ZnP are indeed isolated, with  $4.18\,\text{eV}$  separating them from the HOMO –  $2\,(10b_{1g})$  and LUMO+2  $(2b_{1u})$  orbitals, which supports the four-orbital Gouterman's model. In ZnPz, the  $N_3$ -based  $5a_{2u}$  is not degenerate

with the  $1a_{1u}$ , and it is even more stabilized (Fig. 2). Both  $5e_g$  (LUMOs) and  $1a_{1u}$  (HOMO) energies shift downwards, and LUMOs energies reduce with a larger extent, resulting in a decreased HOMO–LUMO gap for ZnPz. The four Gouterman's orbitals energy shifts are caused by tetraaza substitutions. The same tendency has been reported by Nguyen and Rosa et al. [15,16].

The direct annulation of the porphyrin core with four TTF units in ZnPTTF makes the four highest occupied molecular orbitals (37b<sub>1</sub>, 67exz, 67exz and 45a1) predominantly TTF-centered MOs while the classic porphyrin core-centered occupied  $\pi$ -orbitals (26a<sub>2</sub>) and 44a<sub>1</sub>) are located at lower energies (Fig. 2; Supplementary Information Figs. S2 and S3). The energies of the TTF-based MOs shift up largely owing to introducing the excellent  $\pi$ -electron donor TTF units. However, the porphyrin core  $\pi$ -orbital contribution both shift downward to a small extent, and the 44a<sub>1</sub> orbital decreases by a larger extent than the 26a2 orbital and this removes the quasi-degeneracy of two filled Gouterman's orbitals (Fig. 2). The two lowest unoccupied orbitals of ZnPTTF are still degenerate empty orbitals (doubly degenerate 67e) and predominantly porphyrin based Gouterman's type  $\pi^*$  orbitals (Fig. 2; Supplementary Information Figs. S2 and S3). The energies decrease with the extension of the  $\pi$  system caused by tetraTTF-annulated porphyrin core, and they are energetically well separated from higher energy unoccupied orbitals (LUMO + 2). The other occupied 36b<sub>1</sub>, 66e and 43a<sub>1</sub> orbitals of ZnPTTF with high energy correspond to the 2b<sub>2u</sub>, 4e<sub>g</sub> and  $4a_{2u}$  orbitals in ZnP and are mainly localized on both the TTF units and porphyrin core with larger population on the former unit than on the latter (Fig. 2; Supplementary Information Figs. S2 and S3). The energies of the 36b<sub>1</sub>, 66e and 43a<sub>1</sub> orbitals increase due to introduction of the TTF fragments, so that they are close to the Gouterman's 44a<sub>1</sub> orbital in energy. The near degeneracy of the 44a<sub>1</sub> orbital with the other occupied orbitals gives rise to the complex structure of the Q and B regions in the spectra of ZnPTTF.

ZnPzTTF shows similar features to those of ZnPz and ZnPTTF, due to the effects of the aza substitutions and TTF groups on the frontier molecular orbitals of ZnP. As we expected, the four HOMOs of ZnPzTTF with large amplitude on the TTF increase by a large extent (Fig. 2; Supplementary Information Figs. S2 and S3) and lie between the Gouterman-type porphyrin-centered  $\pi$  and  $\pi^*$  MOs. The two LUMOs decrease significantly (Fig. 2), which is influenced by a combination of tetraaza substitutions and tetraTTF annulations. These changes in the energy levels of HOMOs and LUMOs of ZnPzTTF lead to the smallest HOMO-LUMO gap of the four complexes (Fig. 2). The tetraaza substitutions and tetraTTF annulations on the porphyrin ring lead to a significant change in the FMOs of ZnPzTTF by generating new orbitals and lifting prior degeneracies. The alterations affect the electronic absorption spectral character, especially for the Q band. These points will be further demonstrated in detail in the following sections.

#### 3.3. Electronic absorption spectra

## 3.3.1. Spectra for ZnP, ZnPz, ZnPTTF and ZnPzTTF

To observe the effects of aza bridges and TTF units on the excited-state properties of the basic porphyrinic ring, the absorption spectra of ZnP, ZnPz, ZnPTTF and ZnPzTTF were investigated using scalar relativistic TDDFT at the SAOP/TZP level of theory. Fig. 3 shows the simulated absorption spectra of the four complexes calculated by TDDFT/SAOP and experimental spectra. In order to clarify the electronic transition nature for absorption of these four complexes, the calculated excitation energies, oscillator strengths, and main configurations of the  $^1\mathrm{E}_\mathrm{u}$  and  $^1\mathrm{E}$  states are collected in Table 2. Fig. 4 displays transitions between molecular orbitals for the four complexes, and gives some insight into the nature of Q and B bands.

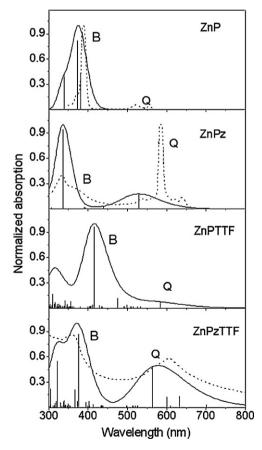
**Table 2** Optimized bond length (Å) and angles (°) for ZnP, ZnPz, ZnPTTF and ZnPzTTF.

	BP86					
	$ZnP(D_{4h})$	$ZnPz\left( D_{4h} ight)$	$ZnPTTF(C_{4v})$	$ZnPzTTF(C_{4v})$		
Bond length						
$Zn-N_1$	2.052 (2.037) <sup>a</sup>	1.976	2.058	1.983	1.978	
$N_1-C_1$	1.376 (1.376)	1.373	1.382	1.381	1.367	
$C_1$ – $C_2$	1.447 (1.440)	1.461	1.442	1.451	1.447	
$C_2$ - $C_2$	1.367 (1.349)	1.364	1.373	1.376	1.367	
$C_1 - C_3(N_3)$	1.397 (1.400)	1.339	1.393	1.334	1.326	
Bond angles						
$Zn-N_1-C_1$	126.6 (126.3)	125.8	126.2	125.5	125.4	
$C_2-C_1-C_3(N_3)$	125.3 (125.0)	123.8	125.6	124.1	124.2	
$N_1-C_1-C_3(N_3)$	125.0 (126.3)	127.5	125.5	127.9	127.8	
$C_1-C_3(N_3)-C_1$	126.8 (124.7)	123.3	126.5	123.2	123.7	

<sup>&</sup>lt;sup>a</sup> Experimental values are taken from [67].

As displayed in Fig. 3, the absorption spectrum of the four complexes has two representative bands (Q and B bands) in the UV–vis region. It is noteworthy that the Q band of ZnPzTTF shows broadening and a bathochromic shift together with increased intensity relative to the Soret band. This character is significantly changed by the combination of tetraaza substitutions and tetraTTF annulations.

TDDFT/SAOP calculations have been previously shown to be effective for the prediction and assignment of electronic transitions in ZnP and ZnPz [16]. The present prediction of the Q and B bands of ZnP and ZnPz are mostly in excellent agreement with the experimental values with a maximum error in excitation energy of 0.05 eV. Only for the Q band of ZnPz, the computed value overestimates the excitation energy by 0.21 eV. As expected, the prediction of the most intense  $\pi \to \pi^*$  transitions by TDDFT in the Q and Soret



**Fig. 3.** Computed (TDDFT/SAOP) electronic absorption spectrum in gas phase (solid line) and experimental spectrum (dashed line) of ZnP, ZnPz, ZnPTTF and ZnPzTTF.

band regions of ZnP and ZnPz are in agreement with Gouterman's four orbital model and originate from  $a_{1u}$  and  $a_{2u}$  to  $e_{g,xz}$  and  $e_{g,xz}$  excitations (Table 3; Fig. 4).

For ZnPTTF, the presence of four predominant TTF-centered HOMO-HOMO – 3 molecular orbitals results in 1<sup>1</sup>E and 2<sup>1</sup>E states with TTF-to-porphyrin charge transfer character. These two states cannot be considered as the traditional red-shifted Q band of porphyrin derivatives. And that the oscillator strengths are small due to the little overlap between the two transition orbitals. In addition, two obvious states (8<sup>1</sup>E and 10<sup>1</sup>E state) were predicted by TDDFT between the Q and the Soret bands. The two states arise from 36b<sub>1</sub> to 68e (92%) and 43a<sub>1</sub> to 68e (87%), respectively. In terms of the electronic density populatioin of 36b<sub>1</sub> and 43a<sub>1</sub> of ZnPTTF as detailed above, the two states can be assigned to the transition of TTF-to-porphyrin charge transfer mixed with porphyrin core unit itself. The classic  $\pi \rightarrow \pi^*$  Q band of ZnPTTF is assigned to the 3<sup>1</sup>E state. In despite of the opposite directions of the two transition dipole moments ( $26a_2 \rightarrow 68e$  and  $44a_1 \rightarrow 68e$  transitions), the absorption of the Q band is obvious compared to ZnP due to the breakdown of the quasi-degeneracy of the 26a2 and 44a1 orbitals caused by tetraTTF annulations, which induces the transition dipole moments difference of the two transitions. On the other hand, the B band is assigned to the 14<sup>1</sup>E state. The transitions give rise to the B band involve contributions from the 43a<sub>1</sub> orbital which are mainly localized on both the TTF units and porphyrin core with larger distribution on the former unit than on the latter (Table 3; Fig. 4). This departs from the traditional four-orbital model. The Q and Soret bands of ZnPTTF all have red-shifts compared to ZnP due to the extension of the  $\pi$  system caused by tetraTTF-annulated porphyrin

As shown in Fig. 3, the Q band of ZnPzTTF is the broad and bathochromically shifted absorption band, which has a significantly increased intensity compared to the Soret band. They are predicted to lie at 1.96 eV, 2.07 eV and 2.20 eV, which are in excellent agreement with the experiment maximum absorption in chloroform solvent at 2.05 eV [11]. The Q band arises from the  $26a_2 \rightarrow 68e$ transition (the Gouterman transition) with a main contribution from the  $36b_1 \rightarrow 68e$  and  $44a_1 \rightarrow 68e$  transitions (Table 3; Fig. 4). It is worth to note that 26a2 and 68e of ZnPzTTF almost completely come from  $1a_{1u}$  and  $5e_g$  of ZnPz, while the  $36b_1$  and  $44a_1$ orbitals of ZnPzTTF are localized on the TTF units and porphyrazine core with larger distribution on the former unit than on the latter (Supplementary Information Figs. S2 and S3). The transitions from 36b<sub>1</sub> to 68e and 44a<sub>1</sub> to 68e can be assigned to the transition of TTF-to-porphyrazine charge transfer mixed with porphyrazine core unit itself. As a result, the Q band is assigned to TTF-toporphyrazine charge transfer transition mixed with porphyrazine core unit itself except for classic porphyrazine  $\pi \to \pi^*$  transitions. Then, the B band is assigned to the 22<sup>1</sup>E state. This band is predicted

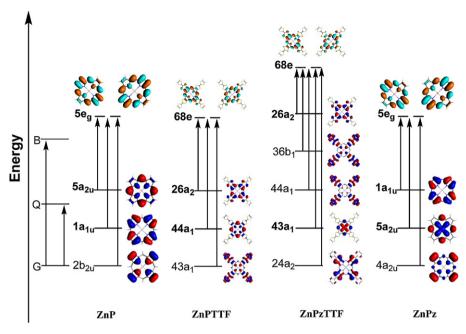


Fig. 4. Molecular transition orbital diagrams of ZnP, ZnPz, ZnPTTF and ZnPzTTF with corresponding simulated electron density maps calculated by SAOP/TZP. Energy is not to scale

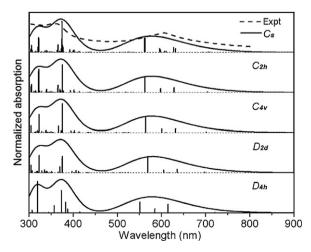
to appear at 3.30 eV with oscillator strength of 1.4429. These predicted excitation energies for the B band are in good agreement (within 0.17 eV) with the experiment values [11]. Note that the B band arises mainly from the  $43a_1 \rightarrow 68e$  transition (the Gouterman transition) with a minor contribution from the  $24a_2 \rightarrow 68e$  transitions. Furthermore, the 24a2 orbital mainly distributes on the donor TTF units. The nature of the B band can also be defined as the Gouterman transition along with charge transfer from donor TTF units to the porphyrazine ring (Table 3; Fig. 4). The combination of tetraaza substitutions and tetraTTF annulations not only influences the Q and B bands but also gives rise to the new and complex peaks (Table 3). The presence of four predominant TTF-centered HOMO-HOMO – 3 MOs in ZnPzTTF results in the 1<sup>1</sup>E, 2<sup>1</sup>E and 3<sup>1</sup>E states with largely TTF-to-porphyrazine charge transfer character. The presence of predominant N<sub>3</sub>-centered 29b<sub>2</sub> MOs in ZnPzTTF results in  $14^{1}E$  state with  $n \rightarrow \pi^{*}$  character.

To get an insight into the effect of the solvent on the electronic excitation energies of the ZnPzTTF, Table 2 also shows the excitation energies of ZnPzTTF in chloroform. The effects of the solvent on the excitation energies of the representative Q and B bands are found to be negligible (within 0.06 eV). The calculated excitation energies of ZnPzTTF in chloroform reveals bathochromic shifts in Q and B bands compared with the excitation energies calculated in gas phase.

The motion from one  $C_{4v}$ -crown structure of ZnPzTTF to the opposite one through a planar  $D_{4h}$  structure has also been determined. Based on Ricciardi and Rosa et al. [71] stated, it is likely to flap from a saddled structure to the opposite one through a planar structure if the electronic transitions computed for the planar conformer were essentially identical in excitation energy, intensity and character to those computed for the saddled structure. Although the electronic transitions computed for the  $D_{4h}$  conformer of ZnPzTTF are appreciably different in absorption wavelength and intensity to those computed for the  $C_{4\nu}$  conformer, the shapes of the absorption spectrum of the two conformers are essentially identical (a half-bandwidth of 4000 cm<sup>-1</sup>) (Fig. 5). Besides, the relative energy of  $D_{4h}$  conformer is a maximum of the five conformers, with four imaginary frequencies (Table 1; Supplementary Information pp. S5–S15). This indicates that the  $D_{4h}$  conformer is not stable and lasts short. Therefore, the flapping motion of the molecule from one crown conformation through the planar maximum to the opposite crown structure would not be detectable in the absorption spectrum

The nature of the Q band of ZnPzTTF has been predicted in former section. Conformational inhomogeneity, which may contribute to the broadening of the Q band has also been considered. The simulated absorption spectra of the  $C_s$ ,  $C_{2h}$ ,  $D_{2d}$  and  $D_{4h}$  symmetry structures of ZnPzTTF at SAOP/TZP level have been collected in Fig. 5. It shows that the shapes of the absorption spectrum of the five conformers are nearly identical.  $C_s$  and  $C_{2h}$  symmetry structures are identical in absorption wavelength and intensity to the  $C_{4v}$  conformer. The wavelength of the Q band of  $D_{2d}$  conformer is appreciably red shift compared to the  $C_{4v}$  conformer, while the wavelength of the Q band of  $D_{4h}$  conformer shows appreciably blue shift compared to the  $C_{4v}$  conformer. Therefore, to some extent, conformational inhomogeneity is also likely to contribute to the broadening of the Q band of ZnPzTTF.

The effect of tetraTTF annulations on the absorption spectra of the unsubstituted zinc porphyrin is notable. The Q and Soret bands of ZnPTTF all have red-shifts with strong absorption in the Q



**Fig. 5.** Computed (TD-SAOP/TZP) electronic absorption spectrum in gas phase of  $C_s$ ,  $C_{2h}$ ,  $C_{4v}$ ,  $D_{2d}$  and  $D_{4h}$  symmetry structure of ZnPzTTF.

**Table 3**Calculated excitation energies and oscillator strengths (*f*) of ZnP, ZnPz, ZnPTTF, and ZnPzTTF in the gas phase and ZnPzTTF in the chloroform compared with experimental data calculated by SAOP.

State	Composition (%)	E <sup>exc</sup> ,eV(nm)			Assignment	
		Calc. Expt.		f		
ZnP						
$1^1E_u$	$51(5a_{2u} \rightarrow 5e_g); 47(1a_{1u} \rightarrow 5e_g)$	2.28 (544)	2.23 <sup>a</sup> , 2.18 <sup>X</sup>	0.0024	$\pi \!  ightarrow \! \pi^*\left(\mathrm{Q} ight)$	
$2^1E_u$	$68(2b_{2u} \rightarrow 5e_g); 18(1a_{1u} \rightarrow 5e_g); 10(5a_{2u} \rightarrow 5e_g)$	3.25 (382)	3.18 <sup>a</sup> , 3.13 <sup>b</sup>	0.4968	$\pi \!  ightarrow \! \pi^*$	
$3^{1}E_{u}$	$31(\textbf{5a}_{2\textbf{u}}\rightarrow\textbf{5e}_{\textbf{g}});30(2b_{2\textbf{u}}\rightarrow\textbf{5e}_{\textbf{g}});27(\textbf{1a}_{\textbf{1u}}\rightarrow\textbf{5e}_{\textbf{g}})$	3.32 (373)	3.35 <sup>a</sup>	0.9504	$\pi \! \to \pi^* \left( B \right)$	
ZnPz						
$1^1E_u$	$78(1a_{1u} \rightarrow 5e_{g}); 19(5a_{2u} \rightarrow 5e_{g})$	2.34 (530)	2.13 <sup>c</sup>	0.2554	$\pi \rightarrow \pi^*(Q)$	
$2^{1}E_{11}$	$97(2b_{2u} \rightarrow \mathbf{5e_g})$	2.82 (439)		0.0241	$\pi \rightarrow \pi^*$	
$3^{1}E_{u}$	$76(4a_{2u} \rightarrow 5e_g); 21(5a_{2u} \rightarrow 5e_g)$	3.17 (391)	3.44 <sup>c</sup>	0.0120	$\pi \rightarrow \pi^*(B)$	
$4^{1}E_{u}$	$57(5a_{2u} \rightarrow 5e_g); 18(4a_{2u} \rightarrow 5e_g); 17(1a_{1u} \rightarrow 5e_g)$	3.69 (336)	3.73 <sup>c</sup>	1.4463		
ZnPTTF						
$1^1E$	$98(37b_1 \rightarrow 68e)$	1.07 (1158)		0.0332	$TTF \rightarrow PCT$	
$2^{1}E$	$97(45a_1 \rightarrow 68e)$	1.10 (1129)		0.0543	$TTF \rightarrow P CT$	
$3^{1}E$	$63(26a_2 \rightarrow 68e); 34(44a_1 \rightarrow 68e)$	2.13 (583)		0.1926	$\pi \rightarrow \pi^* (0, P)$	
81E	$92(36b_1 \rightarrow 68e)$	2.49 (498)		0.0517	$TTF \rightarrow PCT \pi \rightarrow \pi^*(P)$	
$10^{1}E$	$87(43a_1 \rightarrow 68e)$	2.62 (474)		0.2921	$TTF \rightarrow P CT \pi \rightarrow \pi^* (P)$	
$14^{1}E$	$51(\mathbf{44a_1} \rightarrow \mathbf{68e}); 25(\mathbf{26a_2} \rightarrow \mathbf{68e}); 11(43a_1 \rightarrow \mathbf{68e})$	2.99 (415)		2.8140	$\pi \rightarrow \pi^* (B, P) TTF \rightarrow P CT$	
ZnPzTTF						
$1^1E$	$73(37b_1 \rightarrow 68e); 27(45a_1 \rightarrow 68e)$	0.50 (2510)		0.0020	$TTF \rightarrow Pz \ CT$	
$2^{1}E$	$72(45a_1 \rightarrow 68e); 27(37b_1 \rightarrow 68e)$	0.58 (2151)		0.1833	$TTF \rightarrow Pz \ CT$	
31E	$87(67e \rightarrow 30b_2); 11(26a_2 \rightarrow 68e)$	1.77 (701)		0.0261	$TTF \rightarrow Pz CT$	
41 E	$64(36b_1 \rightarrow 68e); 22(26a_2 \rightarrow 68e); 11(44a_1 \rightarrow 68e)$	1.96 (632)	2.05 <sup>d</sup>	0.1947	$\pi \rightarrow \pi^* (Q, Pz)$	
51E	$36(44a_1 \rightarrow 68e); 32(36b_1 \rightarrow 68e); 21(26a_2 \rightarrow 68e)$	2.07 (600)		0.1822	$TTF \rightarrow Pz CT$	
61E	$51(44a_1 \rightarrow 68e); 36(26a_2 \rightarrow 68e)$	2.20 (564)		0.7787		
$14^{1}E$	$99(29b_2 \rightarrow 68e)$	2.86 (434)		0.0083	$n\to \pi^*$	
$21^{1}E$	$90(25a_2 \rightarrow 68e)$	3.14 (395)		0.0792	$TTF \rightarrow Pz CT$	
221E	$64(43a_1 \rightarrow 68e); 11(24a_2 \rightarrow 68e)$	3.30 (375)	3.47 <sup>d</sup>	1.4429	$\pi \rightarrow \pi^*$ (B, Pz) TTF $\rightarrow$ Pz CT	
23 <sup>1</sup> E	$90(66e \rightarrow 30b_2)$	3.33 (373)		0.0986	$TTF \rightarrow Pz \ CT$	
ZnPzTTF (in	the chloroform)					
1 <sup>1</sup> E	$68(37b_1 \rightarrow 68e); 32(45a_1 \rightarrow 68e)$	0.43 (2889)		0.0008	$TTF \rightarrow Pz CT$	
2 <sup>1</sup> E	$68(45a_1 \rightarrow 68e); 31(37b_1 \rightarrow 68e)$	0.53 (2324)		0.1995	$TTF \rightarrow Pz CT$	
3 <sup>1</sup> E	$87(67e \rightarrow 30b_2); 11(26a_2 \rightarrow 68e)$	1.71 (726)		0.0151	$TTF \rightarrow Pz CT$	
4 <sup>1</sup> E	$76(36b_1 \rightarrow 68e); 14(26a_2 \rightarrow 68e)$	1.90 (652)	2.05 <sup>d</sup>	0.1206	$\pi \rightarrow \pi^* (Q, Pz)$	
51E	$58(44a_1 \rightarrow 68e)$ ; $20(36b_1 \rightarrow 68e)$ ; $14(26a_2 \rightarrow 68e)$	2.01 (618)		0.0920	$TTF \rightarrow Pz CT$	
6 <sup>1</sup> E	$51(\mathbf{26a_2} \rightarrow \mathbf{68e}); 31(44a_1 \rightarrow \mathbf{68e})$	2.17 (573)		0.9668		
12 <sup>1</sup> E	$99(29b_2 \rightarrow 68e)$	2.92 (425)		0.0336	$n {\to} \pi^*$	
22 <sup>1</sup> E	$91(66e \rightarrow 30b_2)$	3.25 (381)		0.0165	$TTF \rightarrow Pz CT$	
23 <sup>1</sup> E	$69(43a_1 \rightarrow 68e); 10(24a_2 \rightarrow 68e)$	3.30 (376)	3.47 <sup>d</sup>	1.3574	$\pi \rightarrow \pi^*$ (B, Pz) TTF $\rightarrow$ Pz CT	

<sup>&</sup>lt;sup>a</sup> Data taken in *n*-octane, from Ref. [68].

band. Moreover, the Soret band mixes with the contributions from the 43a<sub>1</sub> orbital, which breaks down the traditional four-orbital model. Similarly, the transitions of ZnPzTTF are more complex than that of ZnPTTF. The Q band of ZnPzTTF shows remarkably broad and intense absorption. The Q band also involves contributions from the 36b<sub>1</sub> and 44a<sub>1</sub> orbitals besides the Gouterman orbital (26a<sub>2</sub>), thus the transition of the Q band is assigned to TTF-to-porphyrazine charge transfer character mixed with porphyrazine core unit itself except for classic porphyrazine  $\pi \to \pi^*$  transitions. Conformational inhomogeneity is also likely to contribute to the broadening of the Q band of ZnPzTTF to some extent.

# 3.3.2. Hybrid and long-range corrected functionals exctied states of ZnPzTTF

Taking ZnPzTTF as an example, TDDFT/SAOP yields fairly accurate results for non-CT valence-excited states (Q and B bands). However, within the typical TDDFT errors, the vertical excitation energies of the low-lying CT transitions are underestimated by the TDDFT method, which prevents the correct assignment of some spectral features [43]. So, we also employ PBEO, B3LYP, LC-ωPBE, CAM-B3LYP, ωB97XD, M06-HF and M06-2X to investigate the low-lying CT states of ZnPzTTF at the PBEO optimized geometry. Table 4

and Table S1 show excitation energies and oscillator strength of the former ten excited states ZnPzTTF obtained from the seven functionals in the gas phase and in chloroform. The solvent effect on the excitation energies of the low-lying CT and Q bands is still found to be small (within 0.08 eV).

For functional SAOP, PBEO and B3LYP, the CT bands of ZnPzTTF lie below the Q bands (Table 2). The two low-lying CT bands lie at the near-infrared region and the CT transition energies are underestimated notablely. For functional M06-2X and CAM-B3LYP, the CT states also lie below the Q band and the excitation energies of the CT states are largely improved. However, for the Q band of ZnPzTTF, the M06-2X and CAM-B3LYP results are poor to experiment (with 0.28–0.30 eV). The  $\omega$ B97XD result shows that the Q band lies between the two CT states and the excitation energies of the CT states are also largely improved. Interestingly, the LC-ωPBE and M06-HF calculations are substantially different from the above functionals, and the CT bands lie above the Q band. For the Q band,  $\omega$ B97XD, LC- $\omega$ PBE and M06-HF results are closer to experiment (within 0.11 eV). The  $\omega$ B97XD gives the best result for the Q<sub>v</sub> band, which is supported by studies on Pd-bacteriopheophorbide (Pd-BPheid) and metal-free BPheid [20]. As Dreuw and Head-Gordon depicted [42], in oligomeric tetrapyrrol systems, the excited Q-states can, in principle, decay

<sup>&</sup>lt;sup>b</sup> Data taken in MeOH, from Ref. [69].

c Ref. [70].

d Ref. [11].

**Table 4**Calculated excitation energies (eV) and oscillator strengths (in parentheses) of ZnPzTTF calculated by different functionals in the gas phase.

State	$E_{\rm exc}$ , eV(nm)	f	Assignment
PBE0			
1 <sup>1</sup> E	1.09 (1134)	0.0010	$TTF \rightarrow Pz CT$
31E	1.19 (1039)	0.1710	$TTF \rightarrow Pz CT$
5 <sup>1</sup> E	2.31 (537)	1.0562	$\pi \rightarrow \pi^*(0)$
	2.51 (557)	1.0302	/ (2)
B3LYP			
$1^{1}E$	1.01 (1230)	0.0002	$TTF \rightarrow Pz \ CT$
31E	1.09 (1137)	0.1682	$TTF \rightarrow Pz CT$
5 <sup>1</sup> E	2.28 (545)	0.9510	$\pi \rightarrow \pi^*\left(\mathrm{Q}\right)$
LC-ωPBE			
1 <sup>1</sup> E	1.96 (633)	0.5310	$\pi \rightarrow \pi^*\left(\mathrm{Q}\right)$
31E	2.40 (517)	0.2074	$TTF \rightarrow Pz CT$
51E	2.94 (422)	1.0410	$TTF \rightarrow Pz CT$
	2.31(122)	1.0110	111 /12 C1
CAM-B3LYP			
1 <sup>1</sup> E	1.78 (696)	0.0000	$TTF \rightarrow Pz CT$
31E	2.02 (613)	0.0004	$TTF \rightarrow Pz CT$
5 <sup>1</sup> E	2.33 (533)	1.4594	$\pi \rightarrow \pi^*\left(Q\right)$
$\omega$ B97XD			
1 <sup>1</sup> E	1.92 (646)	0.0270	$TTF \rightarrow Pz CT$
3 <sup>1</sup> E	2.16 (575)	0.2668	$\pi \rightarrow \pi^* (0)$
51E	2.46 (504)	1.2764	TTF $\rightarrow$ Pz CT $\pi \rightarrow \pi^{*a}$
	, ,		
M06-HF	0.40/=043		* (=)
1 <sup>1</sup> E	2.10 (591)	0.5864	$\pi \rightarrow \pi^*(Q)$
3 <sup>1</sup> E	2.52 (493)	0.1914	$TTF \rightarrow Pz CT$
5 <sup>1</sup> E	3.10 (401)	1.0526	$TTF \rightarrow Pz CT$
M06-2X			
1 <sup>1</sup> E	1.65 (751)	0.0018	$TTF \rightarrow Pz CT$
$3^{1}E$	1.88 (660)	0.0792	$TTF \rightarrow Pz CT$
51E	2.35 (527)	1.3846	$\pi \rightarrow \pi^*\left(Q\right)$
			, =,

<sup>&</sup>lt;sup>a</sup> The transition is caused by the Gouterman's orbitals.

nonradiatively into the CT states if low-lying charge transfer (CT) excited states lie energetically below the Q states. Moreover, the process corresponds to electron-transfer quenching of the excited-state fluorescence. Experimentally, the fluorescence quenching has been observed in monoTTF–porphyrin [9]. This suggests that the CT states of ZnPzTTF lie well below the Q states. Hence, the CAM-B3LYP, M06-2X and  $\omega$ B97XD calculations are qualitatively correct

In conclusion, the CAM-B3LYP, M06-2X and  $\omega$ B97XD calculations may correctly predict features of the CT states of ZnPzTTF. Especially,  $\omega$ B97XD calculations also perform well on the Q band.

# 4. Conclusions

We have performed a detailed TDDFT analysis on the ground state structures and spectrum of tetraTTF-annulated zinc porphyrin and tetraTTF-annulated zinc porphyrazine. The calculations indicate that meso-tetraaza substitutions significantly reduce the central hole in ZnPz and ZnPzTTF compared to ZnP. Tetraaza substitutions and tetraTTF annulations invoke a large perturbation to both the nature and energy of the frontier molecular orbitals, which results in the generation of additional molecular orbitals from the parent metalloporphyrin species. The removing of the quasidegeneracy between the a<sub>1u</sub> and a<sub>2u</sub> orbitals induces the lifting of the near-degeneracy of the configurations  $(a_{1u}e_g)$  and  $(a_{2u}e_g)$  of the four-orbital model, which increases the intensity of the Q band. Moreover, the most intensive Q band is observed in ZnPzTTF. Additional electronic transitions arise from orbitals localized on the TTF groups. Numerous electronic transitions with TTF-to-porphyrin or porphyrazine charge transfer character are found and the Q band of ZnPzTTF is dominated by TTF-to-porphyrazine charge transfer transition mixed with porphyrazine core unit itself except for classic porphyrazine  $\pi \to \pi^*$  transitions. Conformational inhomogeneity is also likely to contribute to the broadening of the Q band of ZnPzTTF to some extent. In solution the theoretical results indicated that it can flap from one  $C_{4\nu}$ -crown conformation of ZnPzTTF to the opposite one through a planar  $D_{4h}$  structure. ZnPzTTF has broad and red-shifted Q bands with enhanced intensity that may make this dye as a potential sensitizer for dye-sensitized solar cells.

Comparing results from different functionals, we conclude that SAOP provides more accurate excitation energies of Q and B bands of ZnPzTTF than other functionals. The CAM-B3LYP, M06-2X and  $\omega$ B97XD calculations qualitatively predict that the low-lying electronic transitions with TTF-to-porphyrin or porphyrazine charge transfer character are localized below the Q band, which are in agreement with the experiment.

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# Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.jmgm.2011.10.008.

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