Comparative Study of the Photophysical Properties of Nonplanar Tetraphenylporphyrin and Octaethylporphyrin Diacids

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The photophysical properties of the lowest excited singlet states, $S_1(\pi, \pi^*)$, of two porphyrin diacids have been investigated. The diacids are H₄TPP²⁺ and H₄OEP²⁺, the diprotonated forms of free base tetraphenylporphyrin (H₂TPP) and octaethylporphyrin (H₂OEP), respectively. Both diacids exhibit perturbed static and dynamic characteristics relative to the parent neutral complexes in solution at room temperature. These properties include enhanced yields of $S_1 \rightarrow S_0$ radiationless deactivation (internal conversion), which increase from ~ 0.1 for H₂TPP and H₂OEP to 0.4 for H₄OEP²⁺ and 0.6 for H₄TPP²⁺. The fluorescence lifetimes of both diacids are strongly temperature dependent, with an activation enthalpy of \sim 1400 cm⁻¹ for S₁-state deactivation. The enhanced nonradiative decays and many other photophysical consequences of diacid formation are attributed primarily to nonplanar macrocycle distortions. Both H₄TPP²⁺ and H₄OEP²⁺ have been shown previously by X-ray crystallography to adopt saddle-shaped conformations, and the magnitudes of the perturbed properties for the two diacids in solution correlate with the extent of the deviations from planarity in the crystals. A model is proposed to explain the nonradiative decay behavior of the porphyrin diacids that is relevant to nonplanar porphyrins in general. The model includes the existence of decay funnels on the S₁- (π,π^*) -state energy surface that are separated from the equilibrium conformation and other minima by activation barriers. It is suggested that these funnels involve configurations at which the potential-energy surfaces of the ground and excited states approach more closely than at the equilibrium excited-state structure(s) from which steady-state fluorescence occurs. Possible contributions to the relevant nuclear coordinates are discussed.

Introduction

Recent studies have demonstrated that the photophysical properties of nonplanar porphyrins differ significantly from those of their planar analogues. $^{2.3}$ The main differences are: (1) shifts to longer wavelengths of the electronic ground-state absorption bands, (2) large spacings between the fluorescence and long-wavelength absorption maxima (i.e., large "Stokes" shifts), (3) reduced structure and increased breadth of the fluorescence emission, and (4) reduced fluorescence yields and shortened lifetimes of the $S_1(\pi,\pi^*)$ excited states due primarily to enhanced internal conversion to the ground state. The nonplanar distortions (e.g., ruffle, saddle, etc.) of the macrocycle in these systems are generally induced by nonspecific steric interactions involving multiple and/or bulky peripheral substituents (e.g., octaethyltetraphenyl, dodecaphenyl, and tetra-*tert*-butyl porphyrins).⁴

Nonplanar distortions of the porphyrin core also can be induced without such modifications to the periphery of the essentially planar parent compound. These distortions are realized by the addition of two protons (for a total of four) to the tertiary nitrogens of the porphyrin core to form the diacid, also called the dication (Figure 1). Such diprotonation can be achieved in organic solvents by the addition of acid, trifluoroacetic acid being a common reagent. In the diacid adducts, there appears to be close interactions between the diprotonated

porphyrin and the conjugate bases of two acid molecules, such as hydrogen bonding to the central nitrogens.^{5,6} For example, the tetraphenylporphyrin diacid formed by reaction of H₂TPP and CF₃COOH is best represented as [H₄TPP](CF₃COO)₂, which will be denoted H₄TPP²⁺. Porphyrin diacids typically have nonplanar structures with mainly saddle-type distortions, as revealed by X-ray crystallography, although other structures can be realized depending, for example, on the acid reagent used.6 The deviations from planarity for some diacids, such as saddle-shaped H₄TPP²⁺, approach in magnitude those seen in neutral saddle-shaped dodeca-substituted porphyrins such as free base octaethyltetraphenylporphyrin (H2OETPP) and dodecaphenylporphyrin (H₂DPP);⁴ the diacids of these highly substituted porphyrins exhibit even larger distortions. However, the diacids of H₂TPP and H₂OEP lack the additional electronic influence and solvent interactions of the extra peripheral substituents that may contribute to the properties of the highly substituted porphyrins. Thus, the diacids provide an opportunity to study in more pure form the influence of the macrocycle nonplanarity on static and dynamic photophysical properties.

The diacids exhibit a number of perturbed photophysical properties compared to their neutral parent complexes. $^{8-11}$ In an early study performed over 20 years ago, a quantum yield of triplet-state formation $(S_1 \rightarrow T_1)$ of $\Phi_T = 0.26$ was found for the tetraphenylporphyrin diacid $(H_4 TPP^{2+}).^8$ This value is substantially lower than $\Phi_T = 0.70 - 0.85$ for the neutral parent

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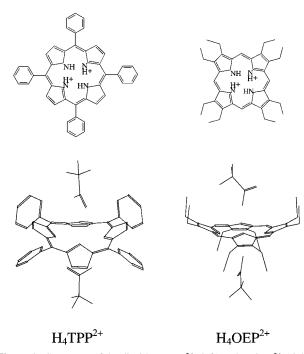


Figure 1. Structures of the diacids H_4TPP^{2+} (left) and H_4OEP^{2+} (right). For each molecule, an energy-minimized structure obtained from semiempirical calculations (PM3 method implemented in HyperChem (Hypercube, Inc.) software) is shown, including the two CF_3COO^- species from the acid reagents that are hydrogen-bonded to the central nitrogens and seen in the X-ray structures.⁶ The nonplanar distortions in these energy-minimized structures are comparable to those seen in X-ray data.

free-base porphyrin $H_2TPP.^{12}$ The $S_1 \rightarrow S_0$ fluorescence quantum yield of $\Phi_{\rm F} \approx 0.1$ did not differ markedly for H₄-TPP²⁺ and H₂TPP. These findings indicate that the quantum yield of $S_1 \rightarrow S_0$ internal conversion ($\Phi_{IC} = 1 - \Phi_F - \Phi_T$) has increased dramatically to $\Phi_{IC}\approx 0.65$ for H_4TPP^{2+} from 0.1 to 0.2 for H₂TPP. However, the reasons for substantially enhanced S₁-state nonradiative decay in the diacid were not delineated. Recently, two studies have offered different explanations for the unusual excited-state deactivation behavior of H₄TPP²⁺. ^{10,11} In one study, the increased rate of internal conversion in this diacid was ascribed to macrocycle nonplanarity, by analogy with photophysics of highly substituted neutral distorted porphyrins such as H_2DPP . In the other study, the enhanced nonradiative decay was attributed to quenching of the $S_1(\pi,\pi^*)$ state via a low-lying electronic state involving charge transfer between the macrocycle and the peripheral phenyl rings, with the charge-transfer process facilitated by the near coplanar disposition of the phenyl groups relative to the neighboring pyrrole rings.11

Here we have compared the photophysical properties of the neutral forms and the diacid derivatives of the benchmark free base tetraphenyl and octaethyl porphyrins (H₂TPP and H₂OEP, respectively). For all four compounds, fluorescence decay profiles were determined with high accuracy using time-correlated single-photon counting, and other photophysical properties were measured. The available crystallographic structural information for these diacids⁶ has enabled us to find a correlation between the photophysical behavior and the ground-state distortions and flexibility of the porphyrin macrocyle in the diacids relative to the neutral complexes. A mechanism involving deactivation funnels on the excited-state surface is proposed to explain the enhanced nonradiative decay properties of the porphyrin diacids, and is applicable to nonplanar porphyrins in general.

Experimental Section

5,10,15,20-tetraphenylporphyrin (H_2TPP) and 2,3,7,8,12,13,-17,18-octaethylporphyrin (H_2OEP) were synthesized following literature methods. ¹³ Diacid species [H_4TPP](CF_3COO)₂ and [H_4OEP](CF_3COO)₂ (H_4TPP^{2+} and H_4OEP^{2+} , respectively) were prepared by adding trifluoroacetic acid (TFA, 5 vol %) into solutions of the corresponding neutral porphyrins.

Absorption spectra were recorded using a Cary 500 Scan spectrophotometer. Corrected steady-state fluorescence and fluorescence excitation spectra were recorded on an SDL-2 fluorescence spectrometer (LOMO production, Russia) with right-angle detection; spectra were collected out to 1100 nm. For steady-state fluorescence measurements, we used a 90° angle between the excitation and the detection directions.

Time-resolved fluorescence measurements were carried out using time-correlated single-photon counting as described earlier. 14 The pulse duration of excitation pulses was \sim 4 ps full width at half-maximum (fwhm), the maximum pulse energy was \sim 100 pJ, and the excitation wavelength could be tuned over the entire visible and near-UV regions. Samples for the fluorescence kinetics measurements were placed in 0.5 cm³, 1 cm light-path fused silica cuvettes. The emission was selected via a polarizer set at the magic angle (54.7°) with respect to the electric vector of the excitation light. The fluorescence was collected at an angle of 90° with respect to the direction of the exciting light beam. A monochromator was used for wavelength selection of emission. Detection electronics were standard timecorrelated single-photon counting modules containing some additional improvements. 14b The instrument response function was \sim 35 ps fwhm. A reference light-scattering sample was used to obtain an instrument response function as a reference for deconvolution of the fluorescence-lifetime profiles. 14c Data analysis was performed on a personal computer with homemade software.

Quantum yields of the lowest excited triplet state formation (Φ_T) were determined by two methods. The first was the comparison method 15a using triplet-state transient absorption techniques 15b and an H_2OEP standard 12a ($\Phi_T=0.85$). The second method utilized porphyrin-sensitized singlet oxygen generation and a comparison method 15b using a PdOEP standard 15 ($\Phi_T=1.0$); it was assumed that the quantum yield of the singlet oxygen generation is equal to the quantum yield of triplet state formation for the compounds under study, which is typically the case for the normal porphyrins. 16,17 The two methods gave similar Φ_T values for each of the porphyrin diacids.

All measurements were carried out in toluene solutions containing dissolved oxygen (from air). The effects of air-equilibrated dissolved oxygen on the lifetimes of the parent neutral free base porphyrins H_2OEP and H_2TPP are well documented (e.g., shortening the lifetime of H_2TPP from $\sim \! 13$ to $\sim \! 10$ ns). The effect on the measured fluorescence lifetimes of the corresponding diacids should be no more than $\sim \! 10\%$ given that the lifetimes are only several nanoseconds in duration (as is well documented with neutral Zn porphyrins, which have similar lifetimes). Porphyrin concentrations of 10 to $50~\mu M$ were used.

Results

Static Absorption and Fluorescence Spectra. A distinguishing feature of the H_4TPP^{2+} ground-state absorption spectrum is that the Q(0,0) band maximum (651 nm) is redshifted from its position in neutral H_2TPP (646 nm) (Figure 2). This is an exception to the more general rule that formation of

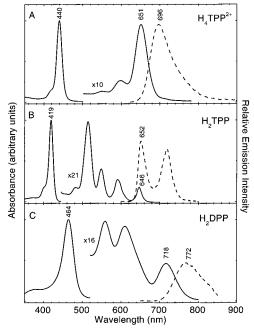


Figure 2. Ground-state absorption spectra (solid) and fluorescence spectra (dashed) of H_4TPP^{2+} (A), H_2TPP (B) and H_2DPP^{3f} (C) in toluene at room temperature. The fluorescence maxima and $Q_X(0,0)$ maxima have been normalized for H_4TPP^{2+} and H_2DPP but not for H_2TPP .

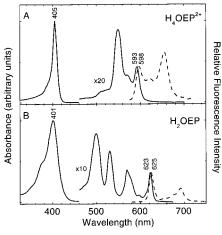


Figure 3. Ground-state absorption spectra (solid) and fluorescence spectra (dashed) of H_4OEP^{2+} (A) and H_2OEP (B) in toluene at room temperature. The fluorescence and $Q_X(0,0)$ maxima have been normalized.

a porphyrin diacid from the corresponding neutral free base complex results in a substantial blue shift of the long-wavelength absorption band, as can be seen for H₄OEP²⁺ in Figure 3.¹¹ The H₄TPP²⁺ fluorescence spectrum is also unusual in that it consists of a single broad, structureless feature with a maximum at 696 nm, which is substantially (~990 cm⁻¹) displaced from the absorption maximum (Figure 2A).9b,11 This emission behavior is again distinct from that of neutral H₂TPP, which shows well-resolved vibronic structure and a $Q_X(0,0)$ band that is only modestly (\sim 140 cm $^{-1}$) displaced from the absorption maximum (Figure 2B). Neither the fluorescence nor the fluorescence-excitation spectrum of H₄TPP²⁺ changes as the excitation or detection wavelength is varied. As an additional reference compound, the absorption and fluorescence spectra of the sterically crowded nonplanar porphyrin H₂DPP are shown in Figure 2C. As has been discussed previously, the optical bands of H₂DPP are red-shifted and broadened compared to

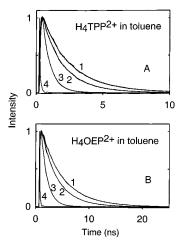


Figure 4. Fluorescence decay profiles (at 660 nm) and biexponential fits for the diacids H_4TPP^{2+} (A) and H_4OEP^{2+} (B) in toluene at several temperatures (276 K=1, 300 K=2, 343 K=3). Profile 4 is the instrument response function. The resulting lifetime components are given in Table 2.

those of H_2 TPP, with a large (\sim 970 cm⁻¹) spacing between the absorption and fluorescence maxima.^{3a,d}

The absorption and emission spectra of H_4OEP^{2+} are also perturbed from those of its H_2OEP parent, but in a slightly different manner than for the corresponding TPP complexes. The long-wavelength absorption band of H_4OEP^{2+} (593 nm) is blue shifted from that for H_2OEP (623 nm), which is more typical for diacid formation (Figure 3). The emission spectrum of H_4OEP^{2+} exhibits two resolved features, with a modest (\sim 130 cm $^{-1}$) spacing between the Q(0,0) absorption and fluorescence maxima (Figure 3A). The H_2OEP parent also exhibits well-resolved emission features, but a smaller (\sim 50 cm $^{-1}$) spacing between the $Q_X(0,0)$ absorption and fluorescence peaks. Like the H_2TPP diacid, neither the fluorescence nor the fluorescence-excitation spectrum of H_4OEP^{2+} changes as the excitation or detection wavelength is varied.

Fluorescence Lifetimes. The fluorescence decay profiles for H₄TPP²⁺ are not monoexponential. Much better fits are obtained with a double-exponential decay model with time constants (and relative amplitudes) of $\tau_1 = 0.48 \pm 0.08$ ns ($A_1 =$ 0.24) and $\tau_2 = 1.73 \pm 0.09$ ns ($A_2 = 0.76$) at room temperature (Figure 4A). The major, longer-lived component agrees well with values from single-exponential decays reported previously (Table 1). 9a,11 The relative amplitudes of the two components do not change appreciably across the 660-690 nm region monitored. Both of the lifetime components for H₄TPP²⁺ lengthen as the temperature is reduced and shorten as the temperature is elevated from room temperature (Figure 4A and Table 2). For example, the lifetime of the major, long-lived component at 660 nm was found to be 2.33 ns at 276 K, 1.73 ns at 300 K and 0.65 ns at 343 K. Preliminary measurements at cryogenic temperatures indicate that the major fluorescencelifetime component of H₄TPP²⁺ increases to 5.85 ns at 77 K in toluene/tetrahydrofuran = 1/1 glass (along with a 1.95 ns minor component comprising 20% of the amplutide). An activation enthalpy of 3.7 kcal/mol (~1300 cm⁻¹) was estimated from the 276-343 K data for the S₁-state decay assuming Arrhenius behavior.

Generally similar fluorescence-decay behavior was found for H₄OEP²⁺, with the following differences relative to H₄TPP²⁺ (Figure 4B and Table 2): (1) The minor, shorter-lived component has a smaller amplitude at all temperatures. (2) The time constants of both fluorescence components (1.5 and 3.3 ns at

TABLE 1: Photophysical Data for the Porphyrin Diacids and Parent Neutral Complexes a

	$\tau_{\mathrm{F}}(\mathrm{ns})^b$		$\Phi_{\mathrm{F}}{}^{c}$		$\Phi_{ ext{T}}^{\;\;d}$	
compd	this work	lit.	this work	lit.	this work	lit.
H ₄ TPP ²⁺	0.48/1.73 (0.24/0.76)	1.8^{e} 1.6^{g}	0.106	$0.14^{e} \ 0.095^{h,i}$	0.30	0.26^f $0.29^{h,i}$
H ₄ OEP ²⁺	1.51/3.30 (0.20/0.80)	3.5 ^e	0.043	$0.052^{e} \ 0.042^{j}$	0.55	
H_2TPP	5.0/9.8 (0.13/0.87)	$10^{i,k}$		0.11^{l}		$0.70 - 0.80^m$
H_2OEP	10	$10^{i,k}$		0.16^{k}		$0.80 - 0.85^{m}$

 a Lifetime in solvents containing oxygen from air at room temperature. Toluene was used as solvent in this work and in refs 8, 19c, benzene in refs 9a, 12e, and solvent mixture EPIP (diethyl ether—petroleum ether—2-propanol, 5:5:2) in ref 11. b Fluorescence lifetime components and relative amplitudes (in parentheses). c Fluorescence quantum yield measured with respect to $Φ_F = 0.11$ for H₂TPP. 31 d Quantum yield of triplet state formation. c From ref 9a. f From ref 8. s From ref 11. b This value is for the related compound a p-tetrahydroxyphenylporphyrin in methanol. i From ref 12e. j From ref 19c. k From ref 18. t From ref 31. m From ref 12.

TABLE 2: Biexponential Fits of the Fluorescence Decay Profiles of H_4TPP^{2+} and H_4OEP^{2+} in Toluene at Different Temperatures

compd	temp (K)	decay components (ns)	compd	temp (K)	decay components (ns)
H ₄ TPP ²⁺	276	0.53 (0.21) 2.33 (0.79)	H ₄ OEP ²⁺	276	1.73 (0.15) 4.50 (0.85)
	300	0.48 (0.24) 1.73 (0.76)		300	1.51 (0.20) 3.30 (0.80)
	343	0.26 (0.32) 0.65 (0.68)		343	0.57 (0.14) 1.20 (0.86)

room temperature) are approximately twice as long as those for H_4TPP^{2+} (0.48 and 1.7 ns). Like H_4TPP^{2+} , the fluorescence lifetimes of H_4OEP^{2+} increase as the temperature is reduced, giving an activation enthalpy of 4.0 kcal/mol (\sim 1400 cm $^{-1}$).

As points of reference for the diacids, the fluorescence decays of $\rm H_2TPP$ and $\rm H_2OEP$ in toluene at room temperature were also examined. For $\rm H_2TPP$, decay profiles were better described with a dual-exponential decay model than a monoexponential function, although the shorter-lived component (~ 5 ns) represents only $\sim 10\%$ of the decay. The lifetime of the predominant component (9.8 ± 0.2 ns) is in good agreement with values reported previously from monoexponential decays in oxygen-containing solvents. 12e,18 The presence of a minor (more short-lived) fluorescence component was found in a number of solvents, using $\rm H_2TPP$ prepared in a number of laboratories and purchased from several companies. On the other hand, the fluorescence decay profile of $\rm H_2OEP$ in toluene is well described with a monoexponential function with a time constant of 10.0 ± 0.5 ns.

Quantum Yields of the $S_1(\pi,\pi^*)$ Decay Pathways in the Diacids. The lowest excited singlet state, $S_1(\pi,\pi^*)$, of a porphyrin diacid, like its neutral parent, can decay to the ground state (S_0) and the lowest excited triplet state (T_1) by $S_1 \rightarrow S_0$ fluorescence emission, $S_1 \rightarrow S_0$ nonradiative internal conversion, and $S_1 \rightarrow T_1$ nonradiative intersystem crossing. Fluorescence quantum yields in toluene at room temperature are found to be $\Phi_F = 0.11$ and 0.043, for H_4TPP^{2+} and H_4OEP^{2+} , respectively, in excellent agreement with previous results (Table 1). A triplet quantum yield of $\Phi_T = 0.30$ was measured for H_4TPP^{2+} in toluene at room temperature, which agrees well with the values of 0.26 and 0.29 determined previously^{8,9b} (Table 1). A similar measurement afforded $\Phi_T = 0.55$ for H_4OEP^{2+} . The triplet

yields for both diacids are lower than $\Phi_T=0.70-0.85$ for H_2 -TPP and $H_2 \text{OEP},^{12}$ more so for $H_4 \text{TPP}^{2+}$ than for $H_4 \text{OEP}^{2+}$. Internal conversion yields were calculated from the fluorescence and triplet yields using the expression $\Phi_{IC}=1-\Phi_F-\Phi_T$. The results are $\Phi_{IC}\sim 0.6$ for $H_4 \text{TPP}^{2+}$ and $\Phi_{IC}\sim 0.4$ for $H_4 \text{OEP}^{2+}$. Literature data give $\Phi_{IC}\sim 0.1$ for both neutral parent complexes $H_2 \text{TPP}$ and $H_2 \text{OEP}$ (Table 1), which is typical of "normal" porphyrins. 19a,b,f Thus, $S_1\to S_0$ nonradiative decay yields are considerably enhanced in the diacids relative to the neutral complexes, more so for $H_4 \text{TPP}^{2+}$ than for $H_4 \text{OEP}^{2+}$. This enhancement of the nonradiative internal converson pathway is largely responsible for the shortened $S_1(\pi,\pi^*)$ lifetimes found for the diacids.

Discussion

Origins of the Perturbed Photophysical Properties of the Porphyrin Diacids. The addition of two more protons to the central nitrogens of the porphyrin macrocycle is expected to have electronic effects on the photophysical properties of the diacids H₄TPP²⁺ and H₄OEP²⁺ relative to H₂TPP and H₂OEP. Some of these effects, such as those derived from increased symmetry, are analogous to those that occur upon formation of a corresponding metal derivative (e.g., MgTPP from H₂TPP). These effects include (1) a collapse of the four main visibleregion ground-state absorption bands $[Q_Y(1,0), Q_Y(0,0) Q_X(1,0)]$ $Q_X(0,0)$] of the neutral complexes to two [Q(1,0) and Q(0,0)], and (2) an associated blue shift of the Q(0,0) band of the diacid (like the metal chelate) from the $Q_X(0,0)$ band of the neutral free base, namely to a position between the $O_Y(0,0)$ and $O_{X^{-1}}$ (0,0) pair of the parent complex. Such effects are observed for many diacids (like the metal chelates), as has been shown here and previously for H₄OEP²⁺ (Figure 3).¹¹ However, although H₄TPP²⁺ has the expected two-banded Q-region absorption spectrum, there is a large red shift, not a blue shift, in the longwavelength Q-band compared to H₂TPP (Figure 2).

Additionally, relative to the neutral parent complexes, both H₄TPP²⁺ and H₄OEP²⁺ exhibit (1) broadened optical bands, (2) increased spacing between the absorption and emission maxima (i.e., larger "Stokes shifts"), and (3) reduced lifetimes of the predominant (and minor) component of the $S_1(\pi,\pi)$ fluorescence decay. All of these effects are larger for H₄TPP²⁺ than for H₄-OEP²⁺. These findings cannot be explained simply by the electronic effects of diprotonation or increased symmetry. For example, spectral widths and absorption-fluorescence spacings are not significantly altered by metal insertion into the free base compounds, and there is no a priori electronic reason to expect anything different upon diprotonation to form the diacids. Similarly, the insertion of a light metal ion such as Mg-(II) that has only a small spin-orbit effect causes only modest changes in the $S_1(\pi,\pi^*)$ lifetimes (e.g., ~ 10 ns for MgTPP and \sim 13 ns for H₂TPP in deoxygenated solvents). Vibrations involving the central protons do not appear to materially affect $S_1 \rightarrow S_0$ internal conversion in free-base porphyrins.²⁰ These factors indicate that the substantially increased internal conversion rates and the resulting reduced $S_1(\pi,\pi)$ lifetimes found in the diacids are not easily understood simply on electronic or vibronic grounds. It has been suggested that the enhanced S₁ \rightarrow S₀ internal conversion in H₄TPP²⁺ may derive from the participation of a low-energy charge-transfer excited-state involving the macrocycle and the peripheral phenyl rings.11 It is possible that such a charge-transfer state drops closer to, and mixes more with, the lower-energy $S_1(\pi,\pi^*)$ state in the diacid, enhancing nonradiative decay; however, participation of a charge-transfer state cannot additionally explain the perturbed

static optical properties of H₄TPP²⁺ or the characteristics of H₄-OEP²⁺, which lacks the peripheral phenyl rings. It is similarly difficult to use purely electronic arguments in general to explain why the photophysical differences between H₄TPP²⁺ and H₂-TPP are greater than those between H₄OEP²⁺ and H₂OEP (Table 1 and Figures 2 and 3).

All of these effects can be understood if diacid formation is accompanied by both purely electronic effects and the (ultimately electronic) consequences of nonplanar distortions. These two sources may complement or counterbalance each other in giving rise to the individual photophysical characteristics of a given diacid, including the magnitude of the differences relative to the neutral complexes; the extent of this balance between these factors may depend, for example, on the magnitude of the deviations of the diacid from planarity. Indeed, conformationally derived effects on the photophysical properties of the diacids are expected for two interrelated reasons:

(1) Both H₄TPP²⁺ and H₄OEP²⁺ are known from X-ray crystallography to adopt saddle-shaped structures, in which alternating pyrrole rings are tilted above and below a mean plane containing the meso carbons of the macrocycle.⁶ The pyrrolering C_{β} carbons are displaced an average ~ 1 Å with respect to the mean plane for H_4TPP^{2+} and ~ 0.7 Å for H_4OEP^{2+} . The distortions from planarity for H₄TPP²⁺ approach those exhibited by free base dodecaphenylporphyrin (H₂DPP) and octaethyltetraphenylporphyrin (H2OETPP), which have nonplanar saddleshaped structures as a result of steric interactions involving the multiple peripheral substituents.⁴ (Similarly, the diacids of the sterically crowded porphyrins are more nonplanar than their neutral parents.⁷) For H₄OEP²⁺, the deviations from planarity are about 30% smaller than for H₄TPP²⁺. Thus, the more perturbed photophysical properties of H₄TPP²⁺ versus H₄OEP²⁺ correlate with the extent of distortion from planarity seen in the crystallographic data.

(2) The neutral sterically crowded nonplanar porphyrins (e.g., H₂DPP and H₂OETPP) exhibit dramatic differences in electronic properties compared to the nominally planar analogues (e.g., H₂TPP and H₂OEP). Indeed, the photophysical characteristics of the diacid H₄TPP²⁺ have striking similarities to those of H₂DPP. These comparisons include the red-shifted and broadened absorption and emission bands with large absorptionfluorescence spacings and short $S_1(\pi,\pi)$ lifetimes (Figure 2 and Table 1). The same is true of H_4OEP^{2+} relative to neutral nonplanar porphyrins such as H₂OETPP (with the caveat that the magnitudes of the differences are increased by the four meso phenyl rings in the latter complex). The comparisons extend to the tendency of the S₁ lifetimes of both the diacids and many neutral nonplanar porphrins to lengthen and the static optical properties to change toward those of the nominally planar parent complexes as the temperature is reduced (Tables 1 and 2 and ref 3d). For the H₂TPP diacid, the electronic effects arising from macrocycle-distortion-induced rotation of the phenyl rings may amplify some of the static-optical perturbations relative to those that occur upon formation of the H₂OEP diacid. These effects include the magnitude of the red shift in the optical bands of H₄TPP²⁺ relative to H₂TPP (due to increased conjugation of the phenyl rings with the macrocycle in the diacid). The absence of the phenyl-ring effects for H₄OEP²⁺ no doubt contributes to the purely electronic effects of formation of this diacid playing a more significant role relative to the distortion-induced electronic effects than is the case for H₄TPP²⁺ formation. One result is a net blue shift for H₄OEP²⁺ versus a red shift for H₄-TPP²⁺ in the long-wavelength optical band compared to the respective parent free base complexes.

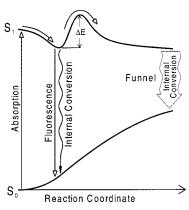


Figure 5. Schematic potential-energy surface diagram showing a proposed funnel geometry at which the excited-state nonradiative deactivation is enhanced compared to internal conversion at the equilibrium configuration at which fluorescence is predominantly observed. A small energy barrier must be surmounted to reach the funnel point(s).

The static and time-resolved optical data are most readily understood if the diacids H₄TPP²⁺ and H₄OEP²⁺ can (1) change conformation after photoexcitation and (2) access more than one conformation in the ground and/or excited electronic states. These considerations are analogous to the interpretations given previously concerning the sterically crowded nonplanar porphyrins.³ That there are different equilibium configurations of the diacid (and solvent) in the S_0 and $S_1(\pi,\pi^*)$ states, associated with photoinduced structural changes, is indicated by the larger spacings between the absorption and fluorescence maxima found for H₄TPP²⁺ and H₄OEP²⁺ relative to H₂TPP and H₂OEP. This situation is depicted schematically in Figure 5. This figure also indicates the possibility that conformations other than the one associated with the lowest excited-state minimum may be accessed via barrier crossings. That multiple accessible conformations are energetically close in the ground electronic state (for the diacids and distorted neutral porphyrins) is indicated by the observation that a given nonplanar porphyrin, particularly dodecaarylporphyrins, can crystallize in more than one form (e.g., saddle, ruffle, or mixtures or variants of these).4 For example, H₂DPP crystallizes in both a symmetric saddle conformation and an asymmetric modified saddle conformation. 4b,e,f Indeed, H₂TPP itself crystallizes in both a near-planar triclinic structure and S₄-ruffled tetragonal conformation.²¹ It must be noted that the photoinduced conformational changes, and the subsequent excursions about the excited-state equilibrium geometry, do not necessarily involve a complete change in the type of porphyrin nonplanar deformation mode, such as from a saddle to ruffle stucture. Rather, they may involve alterations about a given excited-state structure such as asymmetric perturbations of, or addition of ruffle distortions to, a basic saddle shaped structure. Such structural modifications have been seen in various ground-state structures of nonplanar porphyrins.⁴ The excited-state configurational changes may also involve the peripheral substituents on the macrocycle and the solvent depending on the system.

A number of observations indicate that the interactions between the nonplanar porphyrin macrocyle and solvent molecules are generally stronger than those involving planar porphyrins, further extending the relevant configurational space. 2g,3e,g,h These porphyrin-solvent interactions will not necessarily be homogeneous, contributing to the presence of more than one conformer in the ground and excited states in solution, somewhat analogous to the manner in which packing forces can readily induce the existence of multiple ground-

state conformations in the crystals of nonplanar porphyrins. For aryl-substituted porphyrins such as H₄TPP²⁺, the conformational degrees of freedom and thus the number of accessible conformations are increased due to the presence of the multiple peripheral aryl rings and their steric and electronic interactions with the solvent. These interactions, which will not be homogeneous, no doubt contribute to the larger spectral widths of H₄TPP²⁺ relative to H₂TPP found here and for the related neutral highly substituted nonplanar porphyrins such as H₂DPP described previously. 3a,d If the excited-state conformers (involving the macrocycle, peripheral substituents, and solvent) interconvert on a time scale comparable to or shorter than the fluorescence lifetime, then their presence may not be easily resolved in fluorescence excitation measurements (especially if they have similar spectra) but may lead to non-single-exponential excitedstate decays, as is observed for the dications.

The enhanced and temperature-dependent nonradiative decay properties of the diacids (and sterically crowded porphyrins) can be understood in terms of such conformational excursions on the excited-state surface, as is described further below. These conformations would be accessed following motions away from the excited-state configuration(s) formed at the moment of photon absorption. The differences in the magnitudes of the perturbed properties of H₄OEP²⁺ compared to those of H₄TPP²⁺ suggest that there are differences in the associated conformational landscapes. The data suggest that the H₂OEP diacid is (1) less distorted in the ground and excited electronic states, (2) less able to access conformers alternate to the predominate form in either state, and (3) undergoes smaller conformational changes upon photoexcitation. One way to view these differences is that H₄OEP²⁺ is less "flexible" than H₄TPP²⁺. That H₄TPP²⁺ is not only more distorted but more flexible that H₄-OEP²⁺ has been discussed regarding the X-ray structural data.^{6a} A factor that comes into play here, as noted above, is the interactions involving the peripheral phenyl rings of the H₂-TPP diacid, the rotation and tilting of which may be coupled with the nonplanarity of the macrocycle;^{7a} these interactions include π -stacking with the aromatic toluene solvent molecules, similar to the situation for H₂DPP and NiDPP. ^{3c,f,h} For H₄TPP²⁺, there also may be a connection between the nonplanar conformers giving rise to the dual-exponential fluorescence decays and the (major) near-planar and (minor) ruffled forms that may participate in the similar behavior (with less of a shorted-lived conformer) for H₂TPP. Additional factors that contribute, perhaps differently for H₄OEP²⁺ and H₄TPP²⁺, to the conformational landscapes of the diacids (and sterically crowded porphyrins³) include the following photoinduced effects: (1) displacement of solvent molecules by out-of-plane motions of the macrocycle, (2) changes in the porphyrin-solvent interactions (polarity, polarizibility) if an asymmetric macrocycle structure is accessed, and (3) changes in the interactions of the macrocycle with the conjugate base of the acid reagent (e.g., the CF₃COO⁻ groups of H₄TPP(CF₃COOH)₂).

Origin of the Enhanced Nonradiative $S_1(\pi,\pi^*)$ Decays in the Diacids. Internal conversion $S_1 \rightarrow S_0$ is small $(\Phi_{IC} \sim 0.1)$ for nominally planar porphyrins such as H_2TPP and H_2OEP ; these and other "normal" porphyrins do not contain quenching substituents and/or heavy or open-shell metals that enhance or provide new (e.g., energy- and charge-transfer) excited-state deactivation pathways. Like sterically crowded nonplanar porphyrins, 2,3 both H_4TPP^{2+} and H_4OEP^{2+} have much larger internal conversion yields: ~ 0.60 for H_4TPP^{2+} and ~ 0.40 for H_4OEP^{2+} at room temperature. We believe that this parameter, Φ_{IC} , is in some respects a photophysical integrating parameter

influenced by the degree of the porphyrin macrocycle nonplanarity and conformational flexibility. As noted above, the internal conversion yields measured for H₄TPP²⁺ and H₄OEP²⁺ correlate with differences between the two diacids regarding (1) the degree of ground-state nonplanarity seen in the X-ray crystallographic data,⁶ and (2) structural excursions in the ground and/or excited electronic states reflected in the static and time-resolved optical data.

What are the means by which macrocycle nonplanarity and flexibility so strongly enhance $S_1 \rightarrow S_0$ nonradiative decay? The rate of the internal conversion process is governed in part by the vibrational-wave function overlap (Franck-Condon) factor, which is determined by shapes and relationships of the S₁ and S₀ potential-energy surfaces. For typical large aromatic molecules, the ground and excited (π,π^*) electronic states each have a single accessible conformation, each corrresponding to the minimum on a relatively harmonic potential energy surface. The $S_1(\pi,\pi^*)$ and S_0 equilibrium configurations are typically very similar to one another, corresponding to a very small (horizontal) coordinate displacement between the two potential-energy minima, compared to a rather large (typically > 1.5 eV) (vertical) energy gap. Both fluorescence and internal conversion occur out of the single excited-state configuration (potential well). Furthermore, internal conversion follows the familiar energygap law for radiationless decay²³ in which the rate decreases exponentially with increasing S_1-S_0 energy gap. Nominally planar porphyrins such as H₂TPP and H₂OEP are typical examples: the molecules have large S_1 – S_0 energy gaps (1.9– 2.1 eV), reflected in the $Q_X(0,0)$ band positions, and minimal differences in equilibrium structure, reflected in the small (50-150 cm⁻¹) shifts between the relatively sharp $Q_X(0,0)$ absorption and emission maxima. In comparison, the diacids and sterically crowded nonplanar porphyrins have significantly larger differences between the equilibrium ground- and excited-state configurations (associated with photoinduced conformational changes), as is indicated by larger absorption-fluorescence spacings. Additionally, for many of these complexes, such as H_4TPP^{2+} and H_2DPP , the S_1-S_0 energy gaps are smaller than for the parent H_2TPP , as is indicated by the red-shifted Q(0,0)bands. These two effects (larger coordinate displacements and reduced energy gaps) complement each other in enhancing S₁ \rightarrow S₀ internal conversion in the nonplanar complexes.

Although these simple Franck—Condon arguments provide a general means of rationalizing the enhanced $S_1 \rightarrow S_0$ enhanced nonradiative decay in the diacids and sterically crowded porphyrins, the situation is clearly more complex. Additional factors regarding the mechanims of internal conversion and the conformational landscapes of the nonplanar porphyrins must be considered. Three examples help to make this point:

(1) The absorption-fluorescence shifts indicate that there is a smaller difference in the S_1 and S_0 equilbrium configurations between $H_4 OEP^{2+}$ and $H_2 OEP$ than there is between $H_4 TPP^{2+}$ and $H_2 TPP$. This finding is consistent with the lower internal conversion yield of $H_4 OEP^{2+}$ ($\Phi_{IC} \sim 0.4$) versus $H_4 TPP^{2+}$ ($\sim\!0.6$), with both being larger than the yield for $H_2 TPP$ ($\sim\!0.1$). However, the $S_1 - S_0$ gap for $H_4 OEP^{2+}$ is actually larger than that for the parent $H_2 OEP$, which would tend to have the opposite effect, namely to diminish nonradiative decay. Although the apparently smaller configurational change could outweigh the larger energy gap for the $H_2 OEP$ diacid relative to its parent $H_2 OEP$, it is not straightforward to use the simple considerations to quantitatively assess the results on these two complexes in comparison with each other and the $H_2 TPP$ analogues.

(2) The room-temperature absorption-fluorescence spacings for saddle-shaped H_2DPP (970 cm⁻¹) and H_4TPP^{2+} (990 cm⁻¹) are larger than those for ruffled H₂T(t-Bu)P (free base tetratert-butylporphyrin) (400 cm⁻¹) and H₂TPP (140 cm⁻¹).^{3a,d} Similarly, the S_1-S_0 energy gaps follow the same trend: H_2 - $DPP > H_4TPP^{2+} > H_2T(t-BuP) > H_2TPP$. However, internal conversion is much more facile for $H_2T(t-BuP)$ ($\Phi_{IC} > 0.8$) than for the other complexes, causing the $S_1(\pi,\pi^*)$ lifetime to shorten dramatically to \sim 50 ps at room temperature. ^{3b,d} These findings are contrary to the prediction of the simple Franck-Condon arguments: the larger energy gap and apparently smaller photoinduced coordinate displacement both should result in a slower internal conversion rate for $H_2T(t-BuP)$, contrary to observations.

(3) The $S_1(\pi,\pi^*)$ lifetimes and the underlying internal conversion rates of the diacids, like many sterically crowded porphyrins,^{3d} increase toward (but remain much different than) those of the planar analogues as the temperature is reduced. [Note that these effects cannot be explained simply by a reversal toward planar ground-state structures at low temperature: for the sterically crowded nonplanar porphyrins, the red-shifted ground-state absorption spectra and many other perturbations are as large, if not larger, at cryogenic temperatures.] These findings are not easily reconciled with standard descriptions of the potential-energy surfaces and nonradiative decay rates that are applicable to planar complexes such as H₂TPP and H₂OEP.

These observations and many others for the diacids, and for nonplanar porphyrins in general, are more easily reconciled using the idea, expressed above, that these photoexcited molecules can access multiple configurations on the excited-state surface (conformational flexibility). Again, these configurations may involve distortions about a given nonplanar structure and not necessarily a whole-scale change in the type of macrocycle distortion mode, and may include motions of the peripheral substitents and the solvent. Some of these configurations would contribute to changes in the optical properties of the diacid or neutral nonplanar porphyrin, some to the nonradiative decay properties, and some to both. The key new idea is that the fluorescence and nonradiative decay may not principally occur from the same, single conformation, but from different energetically accessible conformations. Although the fluorescence, and some of the nonradiative deactivation, would occur mainly from the equilibrium configuration (which may consist of a number of close-lying populated configurations of the porphyrin and solvent), there are other accessible excited-state configurations at which internal conversion is greatly enhanced (the "funnel" configuration in Figure 5). Nonradiative decay would be enhanced at these "quenching" configurations because the ground and excited states are even closer energetically due, for example, to added destabilization on the ground-state surface at those points. In the Born-Oppenheimer framework (which likely remains a good approximation at the funnel points if the S_0 and S_1 surfaces are not too close) the rates would again depend on the relevant vibrational-wave function overlaps. The nonradiative decay rates may be additionally enhanced relative to the planar porphyrins at all the accessible conformations due to a strong interplay between the electronic and nuclear degrees of freedom involving the macrocycle, peripheral groups and solvent in the diacids and nonplanar porphyrins. The Born-Oppenheimer breakdown (inseparability of the electronic and nuclear motions) would be particularly acute, giving essentially instantaneous radiationless decay, if So and So become very close or actually touch, and such points are known in the literature as conical intersections (see below).

To access multiple excited-state configurations following photon absorption, barriers must be crossed involving lowenergy out-of-plane motions of the porphyrin and motions of the solvent and, if applicable, rotation/tilting of the peripheral phenyl rings on the macrocycle. These barriers seem to have a height of \sim 1400 cm⁻¹ for the nonplanar diacids studied here. Similarly, barriers also may need to be crossed in passing from the excited-state configuration formed at the instant of photon absorption to the conformation(s) from which fluorescence predominates.^{3f} These barriers contribute to the temperaturedependent photophysics observed here for the diacids, and the temperature and solvent-viscosity-dependent dynamics reported elsewhere for a number of neutral nonplanar porphyrins.3d,e,h

Fluorescence may be minimal at the "quenching" excitedstate configurations for at least two reasons: (1) The vibrationaloverlap factors for the radiative process, which involve the Franck-Condon-active in-plane vibrations (different than the modes participating in nonradiative decay), may be poorer than those at the lowest-energy excited-state configuration. This effect would further decrease the emission amplitude (and increase the spectral bandwidths) compared to the situation at the lowestenergy S₁ configuration, where the emission characteristics are already greatly perturbed from those of the nominally planar complexes. (2) The internal conversion rates at these "quenching" configurations may be sufficiently large so as to overwhelm the radiative probabilities.

The configurations that efficiently return the excited molecule to the ground electronic state have been termed "funnels" in the photochemical literature.²⁴ The considerations given above suggest that such funnels exist for nonplanar porphyrins, including nonplanar porphyrin diacids. Such a funnel point is indicated schematically in Figure 5. A given nonplanar porphyrin may have a number of such funnel points, that differ in their relationship and thus accessibility (coordinate shift and intervening barrier height) from the predominant excited-state form (lowest-energy excited-state minimum), as well as in their relationship (surface curvature and energy gap) with the groundstate surface; these collective differences will dictate the contributions of the various funnel points to overall $S_1 \rightarrow S_0$ internal conversion.

Two main types of funnels have been discussed in the literature: those in which the two electronic surfaces touch (socalled conical intersections) and those in which touching is avoided.²⁴ The latter situation is depicted in Figure 5, and most likely represents the situation for diacids such as saddle-shaped H₄TPP²⁺ and many sterically crowded porphyrins such as saddle-shaped H₂DPP. Perhaps in the highly ruffled porphyrins such as $H_2T(t-Bu)P$ or ZnT(t-Bu)P, where S_1 lifetimes of 5-50ps are observed, 3b,d the situation of a conical intersection of the ground- and excited-state surfaces is more closely approached. Detailed calculations will be required to map out the potentialenergy surfaces for these systems and help elucidate the differences in the conformational landscapes for the various types of nonplanar diacids and sterically crowded porphyrins.

The existence of conical intersections in various molecules has been proposed recently on the basis of high-level calculations²⁵ and used to rationalize experimental results²⁶ on polyenes and on stilbene isomerization. In the case of cis-trans ethylene isomerization, ab initio calculations suggest that accessing a conical intersection requires pyramidalization of one of the methylene carbons.²⁷ Although the conformational excursions involving out-of-plane motions in the porphyrin systems are not expected to be as extensive as those occurring in these smaller noncyclic polyene systems, common aspects may exist. A

possible connection is that modification of the hybridization state of the macrocycle carbon atoms in the nonplanar porphyrins may be involved in the nuclear coordinate displacements (the reaction coordinate in Figure 5) leading to funnel configurations. A tendency toward nitrogen-atom pyramidalization in the ground electronic state has been observed for the porphyrin diacids^{6d} and monocations²⁸ and N-trimethylated tetraphenylporphyrin,²⁹ implying that the hybridization state of the central nitrogens is indeed influenced by porphyrin-macrocycle nonplanarity. In this regard, it is interesting that a zinc isoporphyrin, a tautomer bearing a saturated sp³ meso-carbon, has photophysical properties very similar to those of the nonplanar porphyrins. 3d,30 Note that a modification of the hybridization state of one or more macrocycle atoms is only one possible reaction coordinate leading to the funnel points depicted in Figure 5. Whatever the specific origin, our model suggests that the conformational flexibility of the photoexcited nonplanar porphyrins (involving the macrocycle, peripheral substituents and solvent) allows access, via barrier crossings, to nonplanar configurations that have reduced energy gaps between the ground and excited states (due principally to a large HOMO destabilization^{2a,4a}), with dramatic consequent effects on the static and dynamic photophysical behavior. High-level calculations will be required to examine this issue in more detail and elucidate the underlying physical origins driving the conformational dynamics indicated by a wide variety of observations on the nonplanar porphyrins, including our results on the porphyrin diacids.

Conclusions

The photophysical properties of nonplanar porphyrin diacids H₄TPP²⁺ and H₄OEP²⁺ resemble in many ways those of neutral sterically crowded nonplanar porphyrins. One of these properties is enhanced $S_1 \rightarrow S_0$ internal conversion relative to the nominally planar analogues. For the two diacids, the magnitude of the perturbations to the photophysical properties correlates with the degree of nonplanarity in the ground state. For the diacids, and nonplanar porphyrins in general, the ground-state distortions may be accompanied by conformational flexibility: the molecules are able to access additional nonplanar conformations in the ground and/or excited electronic states, and they are prone to photoinduced conformational changes. A new model that incorporates and extends previous interpretations is proposed to more fully understand the unusual behavior of the nonplanar (diacid and sterically crowded) porphyrins. For these molecules, fluorescence predominantly occurs from the lowest-energy excited-state configuration (just as for planar porphyrins) or a group of accessible forms, but the equilibrium configuration(s) is different from that for the S₀ state. Furthermore, although S₁ \rightarrow S₀ nonradiative internal conversion occurs to some extent from this same configuration, it takes place mainly from other configurations that have smaller energy gaps from the ground state (funnel points). The conformational excursions leading to these quenching configurations require barrier crossings, giving rise to temperature- (and solvent-) dependent properties. Differences in the extent of out-of-plane distortions and conformational flexibility among different nonplanar porphyrins together give rise to the varied and, in some cases, novel photophysical properties exhibited by these molecules.

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