Static Polarizabilities of Copper Cluster Monocarbonyls Cu_nCO (n=2-13) and Selectivity of CO Adsorption on Copper Clusters

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Density functional calculations for copper clusters Cu_n and their monocarbonyls Cu_nCO ($n \le 13$) have been performed using the relativistic ECP plus DZ basis set augmented by an f polarization function for copper atom. Equilibrium geometries, harmonic frequencies, and static mean polarizabilities of Cu_n and Cu_nCO are determined. The feature of CO adsorption on the copper cluster and the effect of CO adsorption on stability and polarizability of the cluster are investigated. Calculations show that CO adsorption on copper clusters is selective in terminal coordination, and the favored adsorption sites are dominated by the local orientation of relevant frontier orbitals and the distribution of overall electrostatic potential surfaces of copper clusters. The interaction of Cu_n with CO in the copper cluster carbonyls leads to significant odd—even variations of the static polarizability differences between Cu_nCO and the separated components Cu_n and CO. Size dependences of cohesive energies, CO binding energies, and static mean polarizabilities have been explored.

I. Introduction

In the past few years, the metal clusters have attracted considerable attention, both experimental and theoretical, because of their significant importance in catalytic processes¹ and organometallic chemistry.² The characterization of the metal clusters allows a better understanding of the physics and chemistry of surfaces and gives insight into fundamental properties of their nanoscale and bulk counterparts.

A large number of new experimental techniques³⁻⁸ and theoretical calculations^{9–15} have recently been applied to copper clusters with different sizes and charges as well as the copperadsorbate systems. Recent multiple-collision-induced dissociation (MCID) experiments by Kruckeberg et al. 16 show that singly charged odd-size copper clusters Cu_n⁺ have higher dissociation energies than the average value of their even-size neighbors in their favored dissociation processes by neutral dimer evaporation for small odd-size clusters Cu_n^+ with n = 3, 5, 7, 11 and by neutral monomer evaporation for other clusters. They also found that the single-charged clusters Cu_n^+ for n = 3, 9, 15, and 21show high stability for such fragmentations. Similarly, anionic species Cu₇⁻, ⁸ Cu₅CO⁻, ⁸ and the neutral Cu₁₆CO⁷ were found to have elevated stability. Such relatively high stability agrees with the closed electronic shells of 2, 8, 10, 18, and 20 valence electrons in the jellium model. Electronic shell closures and odd-even effects were observed in abundance in spectra of different charged states and in photoelectron spectroscopy, as well as in the reactivity for Cu_n to form $Cu_nCO.^{3,4,7,14}$

Though many properties of copper clusters have been investigated experimentally in detail, little is known about general features of their electronic interactions, dissociation, and absorption behavior. To understand their microscopic features and correlation with the bulk state, much theoretical effect at various levels has been directed to small copper clusters and their carbonyls. Calaminici et al. 12 performed density functional

calculations for small copper clusters Cu_n up to n=5. The structures and spectroscopic properties of such clusters are predicted. Balbuena et al.¹⁴ carried out a systematic density functional theory study of copper clusters in linear, planar, and 3-D structures. Their study supports the validity of the cluster approach to improve the understanding of fundamental properties at interfaces. At the INDO/CI level, Persson et al.¹⁵ proposed new parametrization for copper and they calculated spectroscopic properties of copper clusters Cu_n ($n \le 10$). Their study has been extended to $Cu_{10}CO$ as model of CO adsorption on the Cu(100) surface. Nygren and Siegbahn⁹ used ab initio methodologies to calculate dissociation energies of copper cluster carbonyls Cu_nCO and Cu_nCO^+ with relaxed geometries of the copper clusters. Their calculated results show an agreement with the adsorption energy from surface science.

The noble metal Cu has an electronic configuration of a closed d shell $3d^{10}$ and a single valence electron $4s^1$, and it is thus closely related to that of the alkali metals. Presumably, the copper clusters have certain similarities to the alkali-metal clusters in the electronic and geometrical structures. Recent density functional calculations by Calaminici et al. ¹³ present the first study of static polarizabilities and polarizability anisotropies of copper clusters Cu_n ($n \le 9$). The calculated polarizability per atom for bare copper clusters displays a similar trend as for sodium clusters. These theoretical studies on well-characterized clusters have provided details on electronic interactions which complement experimental investigations, even different theoretical predictions exist for the ground-state properties of several copper clusters.

In the current work, we present an extensive theoretical study of copper clusters and their monocarbonyls of up to thirteen Cu atoms within the density functional formalism. All these clusters were fully optimized, and vibrational frequencies, static polarizabilities, cohesive energies, and CO adsorption on the copper clusters were investigated.

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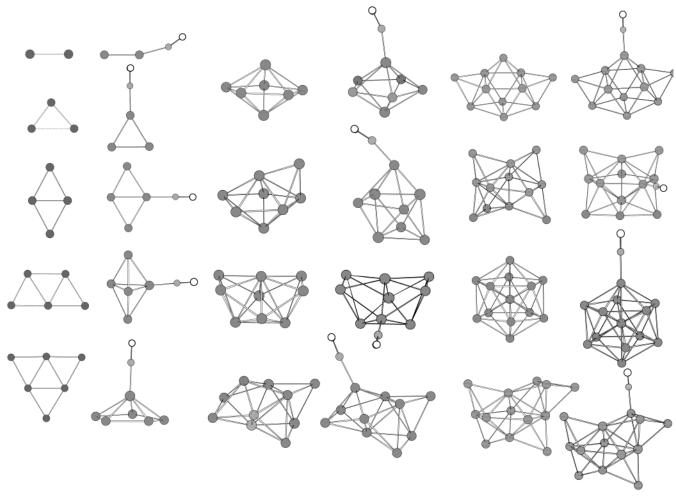


Figure 1. Structures of the ground states of Cu_n and Cu_nCO ($n \le 13$) clusters.

II. Computational Details

The electronic structure of transition-metal clusters is very complicated, and reliable study from the first principle is rather demanding computationally. Presently, the density functional theory techniques, combined with relativistic effective core potentials, seem to be the most practicable tool to deal with these metal clusters. To calibrate the accuracy of this approach, we calculated spectroscopic parameters of Cu₂ and its anion by the B3LYP functional^{17,18} with Los Alamos ECP plus DZ basis set¹⁹ augmented with an *f* polarization function²⁰ for copper. B3LYP calculated results in Table 1 show good agreement with experimental values. In the present study, the B3LYP functional with the relativistic ECP plus DZ basis set augmented with an *f* polarization function is used for all geometry optimization and frequency calculations. The 6-311G* basis set is used for C and O atoms.

The mean polarizability was calculated from the polarizability components as

$$\bar{\alpha} = \frac{1}{3}(\alpha_{xx} + \alpha_{yy} + \alpha_{zz})$$

The basic quantity for discussing the stability of clusters is the cohesive energy $\epsilon_{\rm coh}$ defined as

$$\epsilon_{\text{coh}} = -[E(Cu_n) - nE(Cu)]/n$$

Here, only electronic effects are considered and the zero point vibrational energy (ZPE) is not included. Actually, the ZPE

TABLE 1: Comparison of the Calculated and Experimental Spectroscopic Parameters for the Ground State of Cu_2 and Cu_2^-

	bond lengths (Å)		frequencies (cm ⁻¹)		D _e (eV)	
species	B3LYP	exp	B3LYP	exp.	B3LYP	exp
Cu ₂	2.26	2.22^{a}	256	265a	2.02	2.08^{c}
Cu_2^-	2.39	2.35^{b}	191	210 ± 15^{b}	1.88	1.64 ± 0.15^d

^a From ref 37. ^b From ref 38. ^c From ref 39. ^d From ref 8.

effects in such metal clusters are neglectable. All calculations were performed using the Gaussian-98 programs.²¹

III. Results and Discussion

A. Bare Copper Clusters. 1. Structures and Stabilities. The most stable structures located by B3LYP calculations for copper clusters are displayed in Figure 1. The optimized Cu—Cu distances in these structures vary from 2.26 to 2.80 Å. Vibrational frequency analyses show that all structures are stable on the potential energy surfaces. Cu₂ has a ground state of $^{1}\Sigma g^{+}$ with a closed-shell configuration $(3d^{10})(3d^{10})(4s\sigma_{g}^{2})$, and the B3LYP Cu—Cu bond length is 2.260 Å. Cu₃ was characterized by extensive experiments^{22,23} and theoretical calculations. 12,24 The ground state of Cu₃ has a triangle structure in C_{2V} symmetry. Two short bond lengths and the long one, respectively, are 2.326 and 2.803 Å by the B3LYP calculation. This triangle structure in the $^{2}B_{2}$ ground state is corresponding to a Jahn—Teller distortion of an equilateral triangle in the $^{2}E'$ state. For Cu₄, a rhombus arrangement in the $^{1}A_{g}$ state is the most stable structure

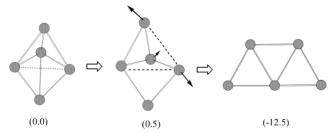


Figure 2. Conversion of the distorted trigonal bipyramid to the trapezoidal structure of Cu₅.

TABLE 2: Calculated Cohesive Energies ϵ_{coh} (in kcal/mol) and Static Mean Polarizabilities $\bar{\alpha}$ (in au) of Cu_n (n = 2-13) by the B3LYP Functional

cluster	spin	ϵ_{coh}	$\bar{\alpha}$
Cu ₂	0	23.3	76.58 (78.50) ^a
Cu_3	1/2	23.2	131.57 (130.06)
Cu_4	0	30.1	153.82 (151.49)
Cu_5	1/2	32.6	195.60 (192.07)
Cu_6	0	36.7	221.37 (217.64)
Cu ₇	1/2	37.4	241.70 (233.11)
Cu_8	0	39.3	272.94 (256.83)
Cu ₉	1/2	39.0	309.93 (295.17)
Cu_{10}	0	40.2	346.31
Cu_{11}	1/2	40.6	373.10
Cu_{12}	0	40.7	424.70
Cu_{13} (in I_h symmetry)	5/2	40.0	410.90
Cu_{13} (in C_1 symmetry)	1/2	41.1	465.30

^a The values in parentheses are from ref 13.

by the B3LYP calculation, in agreement with previous theoretical studies. 12,25

Current B3LYP calculations for Cu₅ clusters found a trapezoidal C_{2V} structure in the ${}^{2}A_{1}$ state as the most stable neutral pentamer, in agreement with previous LSD and GGA calculations. 12 However, a trigonal bipyramid structure was the most stable isomer for Cu₅ in early theoretical calculations at various levels from semiempirical CNDO²⁶ to ab initio SCF method.²⁷ Present B3LYP calculations located a stable distorted trigonal bipyramid structure in the ²B₂ state, lying about 12 kcal/mol above the most stable trapezoidal structure. The distorted trigonal bipyramid structure arises from a Jahn-Teller distortion of a D_{3h} trigonal bipyramid in the ${}^{2}E'$ state, which is converted to the trapezoidal C_{2V} structure (${}^{2}A_{1}$) with a neglectable barrier of 0.5 kcal/mol at the B3LYP level. Figure 2 presents relative energies along the conversion process.

For Cu₆ clusters, we found the ${}^{1}A_{1}'$ state in D_{3h} symmetry as the ground state using the B3LYP functional, in agreement with previous calculations. ¹³ A pentagonal pyramid structure in C_{5V} symmetry is slightly higher in energy than the ¹A₁' ground state by 5 kcal/mol. For Cu₇-Cu₁₃ clusters, a pentagonal bipyramid subunit can be found in their stable structures. Many experimental^{28,29} and theoretical investigations^{30,31} show that 5-fold symmetry is the natural choice of small microclusters of materials that crystallize in fcc lattices. Such structures are based on a centered 13-atom icosahedron, representing energypreferred packing configuration in the metal cluster.

It is well known that transition-metal 13-atom clusters favor the I_h symmetry. For example, within the density functional formalism Reddy, Khanna, and Dunlap³² find the I_h structure more stable than O_h for Pd_{13} , Rh_{13} , and Ru_{13} , and giant magnetic moments are predicted for these metal clusters. We found a stable I_h structure for Cu₁₃ in the ⁶A_g state with an electronic configuration \cdots (h_g),⁵ and the I_h structure is more stable than O_h . The higher stability of the I_h structure than O_h is also found for Pd₁₃ at the SCF/CI level within the INDO model.³³ Notably,

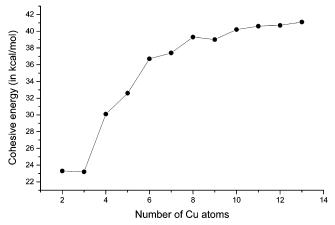


Figure 3. Calculated cohesive energies for Cu_n (n = 2-13).

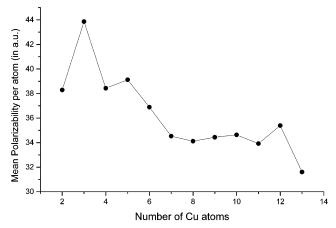


Figure 4. Calculated mean polarizability per atom of Cu_n (n = 2-13).

our B3LYP calculations found a doublet state of Cu₁₃ in C₁ symmetry more stable than the I_h structure (6A_g) by about 14 kcal/mol. This C_1 isomer of Cu_{13} consists of one pentagonal bipyramid, one trigonal bipyramid, and several trigonal pyramid arrangements.

2. Size Dependence of Cohesive Energies and Mean Polarizabilities. In Table 2 and Figure 3, we present the cohesive energies $\epsilon_{\rm coh}$ and the static mean polarizations. The cohesive energy increases significantly first, and then this trend becomes slow as the cluster increases. As Table 2 shows, going from Cu_2 to Cu_{13} (in C_1 symmetry), the static mean polarizability of copper clusters increases monotonically, in agreement with previous calculations for Cu_n ($n \le 9$). ¹³ A similar behavior was observed for the static polarizability of sodium clusters. 13 Compared with the sodium clusters, the calculated polarizability values of copper clusters are much smaller, showing that the electronic structures of the copper clusters are much more compact than those of the sodium clusters, that is, in copper clusters the electrons are more strongly attracted by the nuclei as in the sodium clusters. This difference in the electronic structure results in distinct properties in physics and chemistry between the bulk copper and sodium, even both have an ns¹ configuration.

In Figure 4, the mean polarizability per atom of copper clusters is plotted, and an oscillation behavior is observed from Cu_2 to Cu_{13} . For small clusters ($n \le 9$), the mean polarizability per atom of the odd-size cluster is relatively higher than that of the even-size cluster, which is consistent with an open-shell delocalization electronic structure for the odd-numbered cluster and a closed-shell configuration for the even-size cluster. With the increasing of the cluster size, the interactions of the electrons

TABLE 3: Calculated CO Binding Energies E_b (in kcal/mol), Static Mean Polarizabilities $\bar{\alpha}$ (in au) of Cu_nCO (n=2-13), and Static Mean Polarizability Differences $\Delta\bar{\alpha}$ ($\bar{\alpha}_{CunCO}-\bar{\alpha}_{Cun}-\bar{\alpha}_{CO}$) by the B3LYP Functional

species	$E_{ m b}$	$\bar{\alpha}$	$\Delta \bar{\alpha}$
CO		10.18	
Cu ₂ CO	14.0	90.25	3.49
Cu ₃ CO	22.5	152.16	10.41
Cu ₄ CO	22.8	168.61	4.61
Cu ₅ CO	27.0	210.70	7.67^{a}
Cu ₆ CO	13.7	231.00	1.25^{a}
Cu ₇ CO	11.2	263.30	11.40
Cu ₈ CO	13.4	288.32	5.20
Cu ₉ CO	15.8	334.73	14.62
$Cu_{10}CO$	16.1	367.52	11.03
$Cu_{11}CO$	14.9	401.05	17.76
$Cu_{12}CO$	20.6	425.30	-9.58
$Cu_{13}CO$ (in C_{5v} symmetry)	16.4	447.10	26.02
$Cu_{13}CO$ (in C_1 symmetry)	17.6	488.75	13.27

^a An approximate trigonal bipyramid for Cu₅ and a pentagonal pyramid for Cu₆, corresponding to the subunits in Cu₅CO and Cu₆CO, respectively, are used in calculation of CO binding energies and the static mean polarizability differences $\Delta\bar{\alpha}$.

with the nuclei in the clusters generally become stronger as the calculated cohesive energies show, which result in more compact electronic structure and make the mean polarizability per atom decrease overall. For larger copper clusters, Cu_{11} in C_{2V} symmetry and Cu_{13} in I_h symmetry have smaller the mean polarizability per atom than their even-size neighbors without symmetry. The C_1 structure of Cu_{13} without symmetry has larger polarizability than the I_h structure, even the I_h structure has more unpaired electrons. These results show significant effect of the geometrical configuration on the polarizability for copper clusters.

B. Copper Cluster Carbonyls. *1. Structures and Stabilities.* Carbonyls may be adsorbed on copper surfaces in terminal and bridged coordination and both sites show similar adsorption energies. 34,35 In this work, we focus on the terminal coordination. The most stable structures obtained by the B3LYP approach are incorporated into Figure 1 for comparison. Significant effects of association of CO to copper clusters on stabilities of certain copper cluster carbonyls have been noted. For example, the most stable structures for Cu_5CO and Cu_6CO are of distorted trigonal bipyramid and pentagonal pyramid copper framework, respectively, while bare copper clusters Cu_5 and Cu_6 have the most stable planar structures. Notable changes in geometry also take place in Cu_8CO and $Cu_{12}CO$ in comparison with the frameworks of Cu_8 and Cu_{12} . In other copper cluster carbonyls, the copper frameworks are less changed with association of CO.

2. Size Dependence of CO Binding Energies and Mean Polarizabilities. Table 3 collects the CO binding energies and the static mean polarizabilities of Cu_nCO up to n = 13. As Table 3 shows, the binding energies for the even-numbered copper carbonyls are in general slightly larger than that of the oddsize neighbors except Cu₅CO. Dissociation of Cu₅CO to Cu₅ (in a distorted trigonal bipyramid) and CO requires a large energy of 27 kcal/mol. In consideration of the facile conversion from the distorted trigonal bipyramid to the trapezoidal C_{2V} structure as mentioned before, the dissociation energy is reduced to 15 kcal/mol. To examine effects of BSSE on the binding energies, we computed the counterpoise correction for Cu₄CO, and the BSSE correction reduces the binding energy by about 3 kcal/mol. For the copper cluster carbonyls, frequency calculations show that ZPE corrections lower the calculated binding energies by about 1 kcal/mol.

The static mean polarizability of Cu_nCO in Table 3 presents the same trend as bare copper clusters Cu_n , which increases

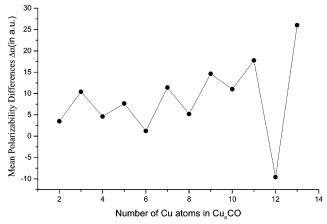


Figure 5. Calculated mean polarizability difference between Cu_nCO ($n \le 13$) and separated Cu_n and CO.

TABLE 4: Net Charges of CO, Selected DFT Bond Lengths (in Å), and Corresponding Vibrational Frequencies (in cm⁻¹) of Copper Cluster Monocarbonyls

cluster	spin	q_{CO}	$R_{ m M-CO}$	$R_{\mathrm{C-O}}$	$v_{ m M-CO}$	$v_{\mathrm{C-O}}$
Cu ₂ -CO	0	0.070	1.9562	1.1304	333	2179
Cu_3-CO	1/2	0.020	1.9040	1.1358	369	2113
Cu_4-CO	0	0.043	1.9061	1.1333	365	2152
Cu_5-CO	1/2	0.022	1.8854	1.1342	385	2142
Cu_6-CO	0	0.023	1.9177	1.1332	342	2151
Cu_7 - CO	1/2	0.034	1.9788	1.1337	283	2130
Cu_8 $-CO$	0	0.045	1.9812	1.1308	298	2165
Cu ₉ -CO	1/2	0.024	1.9414	1.1352	320	2117
Cu_{10} $-CO$	0	0.027	1.9299	1.1333	331	2144
Cu_{11} - CO	1/2	0.049	1.9498	1.1314	309	2152
Cu_{12} - CO	0	0.031	1.9315	1.1364	327	2116
Cu_{13} - CO	5/2	0.006	1.9311	1.1334	336	2132

monotonically, going from Cu₂CO to Cu₁₃CO. To get insight into the electronic structure of copper cluster carbonyls, we compute the static mean polarizability difference between Cu_n-CO and $Cu_n + CO$. As Table 3 and Figure 5 show, an oddeven alternation change exists for the polarizability differences $\Delta \bar{\alpha}$ s. The polarizability increment for the odd-numbered copper cluster carbonyl is larger than that of the even-numbered species. This result indicates that association of CO to the even-size copper clusters results in the electronic structure more compact than the odd-size copper clusters, especially for Cu₁₂CO, the $\Delta \bar{\alpha}$ is negative. Interestingly, the mean polarizability of Cu₁₃-CO with an approximate I_h copper framework increases by 26 au in comparison with the separated Cu_{13} (I_h) and CO, showing a strong electron delocalization between the surface Cu atoms and CO. In comparison with the sextet $Cu_{13}CO$ in C_{5V} symmetry, the doublet $Cu_{13}CO$ in C_1 symmetry is more stable by 16 kcal/ mol and has a larger mean polarizability of 488.75 au.

3. Vibrational Frequencies of Adsorbed CO and Selectivity of CO Adsorption. The optimized Cu-CO and C-O bond lengths and corresponding vibrational frequencies are presented in Table 4. Inspection of data in Table 4 reveals a strong correlation between bond length and stretching frequency for C-O as well as Cu-CO bond. The relationship between CO bond length and its vibrational frequency has been investigated in different carbonyl complexes.³⁶ To examine such relationship in copper cluster carbonyls, we performed a regression analysis based on the calculated results shown in Table 4, and the relationship may be expressed as

$$\ln v_{\rm CO} = 12.84415 - 4.56555 r_{\rm CO}$$

There is a good linear correlation between the calculated CO bond length and the natural logarithm of frequency. This linear

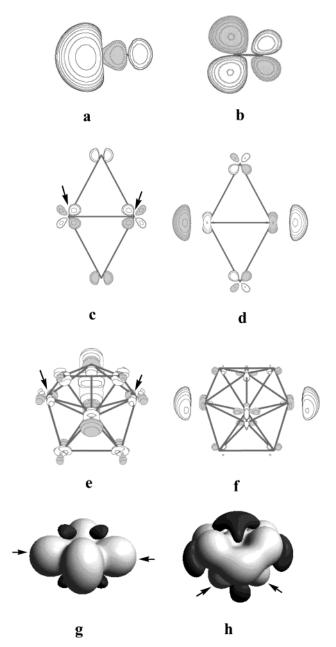


Figure 6. The frontier molecular orbitals and electrostatic potential surfaces (ESP): (a) HOMO of CO; (b) LUMO of CO; (c) HOMO of Cu₄; (d) LUMO of Cu₄; (e) the HOMO of Cu₉; (f) LUMO of Cu₉; (g) ESP of Cu₄; (h) ESP of Cu₉. The blue and gray indicate negative and positive region, respectively, and favored site for CO adsorption is marked by arrow.

correlation in copper cluster carbonyls is similar to that in transition-metal carbonyl complexes,³⁶ suggesting that there is a similar bonding between the transition metal and CO in clusters and in compounds.

The net charges of CO presented in Table 4 show that partial electrons from CO are transferred to copper clusters in Cu_nCO, where CO behaves as a donor while Cu_n behaves as an acceptor in bonding between Cu_n and CO.

During the optimization of copper cluster carbonyls, we found that the stability of Cu_nCO strongly depends on the adsorption site on copper cluster carbonyls. For small copper clusters Cu_n up to n = 8, CO adsorption generally favors the electrondeficient site. However, Cu₉-CO and Cu₁₀-CO, formed by CO adsorbed on the most electron-deficient sites of Cu₉ and Cu₁₀, have almost neglectable binding energies. To understand this

selectivity of CO adsorption on copper clusters, we analyze related frontier molecular orbitals and the overall electrostatic potential surfaces of Cu_n .

Figure 6 displays relevant frontier molecular orbitals and electrostatic potential surfaces (EPS) of Cu₄ and Cu₉, where the favored adsorption sites are indicated with arrows. These HOMOs and LUMOs are located on active sites, respectively, and match the antibonding π^* (LUMO) and the HOMO σ molecular orbitals of CO very well, leading to strong donationback-donation bonding attractions. On the basis of the features of related frontier molecular orbitals in other clusters, the stable copper cluster carbonyls are successfully located. The electrostatic potential in outer sphere of copper clusters in general is positive, and local negative parts are distributed in certain different regions. In the active site for CO adsorption, the positive and negative electrostatic potential distribution in space may match the σ donor and the π^* acceptor from CO, respectively. This local orientation of the overall electrostatic potential distribution of copper clusters, much like the spatial local distribution of relevant frontier molecular orbitals in the copper clusters, is relative to the selectivity of CO adsorption.

IV. Conclusions

In this work, we have performed extensive density functional calculations for the structures, static mean polarizabilities, vibrational properties, and CO adsorption on copper clusters Cu_n ($n \le 13$). DFT calculations show that all the ground-state geometries of the small clusters Cu_n ($n \le 6$) are planar. For the clusters Cu_n (n = 7-13), a pentagonal bipyramid subunit exists in their stable structures. The association of CO to the copper clusters results in that frameworks of certain copper cluster carbonyls Cu_nCO (n = 5, 6, 8, 12) in their ground states significantly differ from corresponding bare copper clusters.

The calculated static mean polarizability of Cu_n and Cu_nCO increases monotonically as the cluster increases, while the mean polarizability per atom has an oscillator behavior and the overall trend decreases with increasing of the cluster size. This is in agreement with the size dependence of the cohesive energy. This size dependence shows a compact electronic structure in the large copper cluster. In general, association of CO to copper clusters may enhance the static mean polarizability, especially, in the sextet $Cu_{13}CO$ the $\bar{\alpha}$ increases by about 26 au compared with separated components, showing that there is stronger electron delocalization between the surface Cu atoms with CO in the C_{5V} symmetry structure.

Present calculations show that CO adsorption on copper clusters in terminal coordination has selectivity, and the local symmetry of relevant frontier molecular orbitals and the orientation of the overall electrostatic potential surface are responsible for the selectivity. Calculated CO frequencies in the copper cluster monocarbonyls reveal a linear relationship between the natural logarithm of frequency and the CO bond length.

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