

A Comparative ^{13}C NMR Study of Local Ordering in a Homologous Series of Bent-core Liquid Crystals

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A ^{13}C NMR study is carried out in a number of banana-shaped molecules belonging to a homologous series. The derivatives contain chlorine or bromine substituent(s) in the center ring of 1,3-phenylene bis[4'-alkenyloxy biphenyl]-4-carboxylate (nPBBc), and different terminal chain lengths are systematically compared in terms of their local order parameters and their ability to form an aligned nematic phase in the NMR magnet. The chemical shift anisotropy tensors measured from nPBBc by fitting the ^{13}C powder patterns are now extensively used on these molecules to interpret their observed ^{13}C chemical shifts in the nematic phase. The bend angles are estimated as a function of temperature in two members of the nPBBc series. Conformation twists in the bent-core region inferred from the local molecular biaxial parameters are discussed.

1. Introduction

Since the discovery of liquid crystals (LC) formed from bent-core molecules,¹ they have received much interest because of the associated new class of “banana” (B) phases that show potential technical relevance, and also of the long sought for biaxial nematic phase in thermotropic LC systems. This new class of molecules possesses a V-shaped structure (usually a five aromatic rings system in the “rigid” core with terminal chains), thereby exhibiting the necessary molecular biaxiality required in the prediction of biaxial nematics by Freiser.² Another novel property of this class of materials is the formation of tilted layer structures in various B_1 – B_7 phases. Although these V-shaped molecules are achiral, because of the effective packing and polarity they can show chirality and become switchable electro-optically.³ Nuclear magnetic resonance (NMR) spectroscopy has played a dominant role in characterizing orientational order parameters in uniaxial and biaxial mesophases of LC.^{4–7} In terms of an order supermatrix $S_{\nu\mu}^{ii}$, where $i = (X, Y, Z)$ refers to the principal axis of the laboratory (L) frame, and $\nu, \mu = (x, y, z)$ denote an axis of the molecular (M) frame attached on the molecule, four common order parameters in the simple case (e.g., biaxial nematic) are:⁸

$$S = S_{zz}^{zz}, \quad D = S_{xx}^{zz} - S_{yy}^{zz}, \quad P = S_{zz}^{xx} - S_{zz}^{yy}, \quad C = (S_{xx}^{xx} - S_{yy}^{xx}) - (S_{xx}^{yy} - S_{yy}^{yy})$$

The order parameters S and D are nonzero, whereas P and C are zero in the uniaxial nematic (N) phase (for a cylindrical rod, D is also identical to zero); in the biaxial nematic phase all four order parameters are nonzero. It is interesting to note the evidence of similar temperature behaviours for D and C observed in the biaxial nematic phase of tetrapode molecules.⁹ In general, there exist more orientational order parameters (see next section) depending on the symmetry of the phase and the symmetry of the LC forming molecules. One structural factor of V-shaped molecules is the bend angle Θ subtended by the two lateral wings next to the central ring (see Figure 1a). The phase diagrams predicting the presence of biaxial nematic phase and the uniaxial–biaxial nematic phase transition seem to

depend on the magnitude of the bend angle.^{10,11} A Θ angle approaching 110° is most favored to induce a biaxial nematic phase. Apart from the ability to study local order parameters of various fragments in the bent-core, NMR can also shed light on the average molecular structure and provides a means to estimate the bend angle in V-shaped molecules. It has recently been pointed out that local order matrices for para (p) axes of phenyl rings may be better than the principal order parameters of the V-shaped molecule to study the onset of phase biaxiality, i.e., S_{pp}^{xx} differs from S_{pp}^{yy} in simulation.¹² For this reason, ^{13}C NMR is indispensable for examining site-specific information, specifically regarding local order parameters, and has been adopted to study biaxial nematic phases when deuterated materials are unavailable.¹³ It is noted that one of our earlier works on the homologous nPBBc series (1,3-phenylene bis[4'-alkenyloxy biphenyl]-4-carboxylate derivatives) has instead aimed at determining the order parameter S of the molecular core, while ignoring the molecular biaxiality as a first approximation.¹⁴ In fact, local fragment biaxiality could play an essential role in shedding light on conformations within the aromatic region of the V-shaped molecules.

The homologous nPBBc series has been studied using ^{13}C NMR chemical shifts, $\langle\delta\rangle$, in the uniaxial nematic (N) phase as well as using a two-dimensional NMR technique termed as separation of undistorted powder patterns by effortless recoupling (SUPER) in a rotating solid sample at the magic angle¹⁵ to determine the chemical shift anisotropy (CSA) tensors of various carbons in the past.^{14,16,17} The lack of suitable CSA tensors have prevented us in an earlier report¹⁴ to do an in-depth and self-consistent analysis of the observed $\langle\delta\rangle$ values in the nPBBc series. Indeed the derived order parameters and the bend angle based on $\langle\delta\rangle$ relative to its corresponding isotropic shift may be problematic unless the principal components and the orientation of each CSA tensor are known. Recently we found that density functional theory (DFT) can indeed be useful for calculating CSA tensors to compare with those obtained by the SUPER method.¹⁸ Furthermore, the orientation of the CSA tensor in the molecular frame can be directly obtained in DFT calculations. Such information is lacking in the SUPER method, as it can only provide the CSA powder patterns. The present report aims to provide new ^{13}C analyses of four molecules—

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10DCIPBBC, 11CIPBBC, 10CIPBBC, and 10BrPBBC (Figure 1a)—using their own CSA tensors and previous experimental data reported in the literature. In particular, the local order parameter tensors of various fragments in each mesogen are exploited in order to contrast their molecular conformations in the bent-core region as well as their different field alignment effects. The paper is organized as follows. A brief review of the theory of chemical shift interaction in the mesophase is given in the next section. This is followed by the section on Results and Discussion. A brief summary is given at the end.

2. Theory

To allow the discussion of ^{13}C chemical shifts, $\langle\delta\rangle$, in the mesophase, only the necessary formulas are given here, and the details can be found in the literature.¹⁹ The time-averaged chemical shift Hamiltonian in the high-field limit is given by eq 1,

$$\bar{H}_{\text{CS}} = \gamma\hbar B I_z \delta_{\text{iso}} + \sqrt{\frac{2}{3}} \gamma\hbar B I_z \langle R_{2,0} \rangle \quad (1)$$

where the bar over the Hamiltonian denotes a time average over internal bond rotations and overall motions of the molecule, and $\langle R_{2,0} \rangle$ is the corresponding time-averaged second rank irreducible spherical tensor in the L frame. To describe internal motions of the fragment and overall motions of the molecules, the following Euler transformations need to be considered, as illustrated in Figure 1b:

$$\text{L frame } (\vec{B}) \xrightarrow{(\varphi_0, \theta_0, \psi_0)} \varphi \text{ Director frame } (\vec{n})$$

$$\text{Director frame } (\vec{n}) \xrightarrow{(\varphi, \theta, \psi)} \text{Molecular frame } (x, y, z)$$

$$\text{Molecular frame } (x, y, z) \xrightarrow{(\alpha_F, \beta_F, \gamma_F)} \varphi \text{ Fragment frame } (x', y', z')$$

$$\text{Fragment frame } (x', y', z') \xrightarrow{(0, \beta_P, \pi/2)} \text{PAS frame } (\delta_{11}, \delta_{22}, \delta_{33})$$

The transformation from the fragment frame (x', y', z') to PAS frame $(\delta_{11}, \delta_{22}, \delta_{33})$ involves $\beta_P = 60^\circ, 120^\circ$ for protonated carbons, and $\beta_P = 0^\circ$ for quaternary carbons, and the Euler angles $(\alpha_F, \beta_F, \gamma_F)$ are those to transform between the M and fragment frame. Now, the observed chemical shift of a particular carbon site in a mesophase can be calculated:¹⁹

$$\begin{aligned} \langle\delta\rangle &= \delta_{\text{iso}} + \sqrt{\frac{2}{3}} \langle R_{2,0} \rangle \\ &= \delta_{\text{iso}} + a \left(\sqrt{\frac{2}{3}} P_2(\cos \beta_F) R'_{2,0} + (\sin^2 \beta_F) R'_{2,2} \right) + \\ &\quad b \left(\sqrt{\frac{3}{8}} (\sin 2\beta_F) R'_{2,0} - \frac{1}{2} (\sin 2\beta_F) R'_{2,2} \right) + \\ &\quad c \left(\sqrt{\frac{3}{8}} (\sin^2 \beta_F) R'_{2,0} + \frac{1}{2} (1 + \cos^2 \beta_F) R'_{2,2} \right) \quad (2) \end{aligned}$$

where the primed tensor $R'_{2,n}$ in the fragment frame (x', y', z') are given by:

$$\begin{aligned} R'_{2,0} &= \sqrt{\frac{2}{3}} \left[P_2(\cos \beta_P) (\delta_{33} - \delta_{22}) + \frac{1}{2} (\delta_{22} - \delta_{11}) \right] \\ R'_{2,\pm 1} &= \mp \frac{1}{2} \sin 2\beta_P (\delta_{33} - \delta_{22}) \\ R'_{2,\pm 2} &= \frac{1}{2} [(\sin^2 \beta_P) \delta_{33} + (\cos^2 \beta_P) \delta_{22} - \delta_{11}] \quad (3) \end{aligned}$$

and

$$\begin{aligned} a &= P_2(\cos \theta_0) S_{00} + \frac{3}{4} \sin 2\theta_0 \sin \varphi_0 S_{10} + \frac{3}{4} \sin^2 \theta_0 \cos 2\varphi_0 S_{20} \\ b &= P_2(\cos \theta_0) S_{01} + \sin 2\theta_0 \sin \varphi_0 S_{11} - \sin^2 \theta_0 \cos 2\varphi_0 S_{21} \\ c &= -P_2(\cos \theta_0) S_{02} + \sin 2\theta_0 \sin \varphi_0 S_{12} - \sin^2 \theta_0 \cos 2\varphi_0 S_{22} \quad (4) \end{aligned}$$

are coefficients involving the nine generalized order parameters: molecular order parameters $S_{00} = S_{zz}^{zz} \equiv S$, $S_{01} = (4/3) S_{yz}^{zz}$, and $S_{02} = (2/3) (S_{xx}^{zz} - S_{yy}^{zz}) \equiv (2/3) D$, as well as six phase biaxial order parameters:²⁰

$$\begin{aligned} S_{10} &= \langle \sin 2\theta \sin \varphi \rangle \\ S_{11} &= \langle \cos \theta \cos \varphi \cos \psi - \cos 2\theta \sin \varphi \sin \psi \rangle \\ S_{12} &= \left\langle \sin \theta \cos \varphi \sin 2\psi + \frac{1}{2} \sin 2\theta \sin \varphi \cos 2\psi \right\rangle \\ S_{20} &= \langle \sin^2 \theta \cos(2\varphi) \rangle = \frac{2}{3} P \\ S_{21} &= \left\langle \sin \theta \sin 2\varphi \cos \psi + \frac{1}{2} \sin 2\theta \cos 2\varphi \sin \psi \right\rangle \\ S_{22} &= \frac{1}{2} \langle (1 + \cos^2 \theta) \cos 2\varphi \cos 2\psi - \\ &\quad 2 \cos \theta \sin 2\varphi \sin 2\psi \rangle = \frac{1}{3} C \quad (5) \end{aligned}$$

Now, the phase biaxial order parameters appear always multiplying by either $\sin 2\theta_0$ or $\sin \theta_0$ in the coefficients a, b, c of eq 4. Thus, they become unobservable when $\theta_0 = 0$, which is normally the case for an aligned sample with the director lying along the external magnetic field. As a consequence, the phase biaxiality is usually not needed in interpreting ^{13}C chemical shifts in aligned mesophases. For a uniaxial phase, eq 2 reduces to:

$$\begin{aligned} \langle\delta\rangle &= \delta_{\text{iso}} + \sqrt{\frac{2}{3}} P_2(\cos \theta_0) \times \left\{ \left[P_2(\cos \beta_F) S_{00} + \right. \right. \\ &\quad \left. \frac{3}{4} \sin(2\beta_F) S_{01} - \frac{3}{4} \sin^2 \beta_F S_{02} \right] R'_{2,0} + \left[\sqrt{\frac{3}{2}} \sin^2 \beta_F S_{00} - \right. \\ &\quad \left. \sqrt{\frac{3}{8}} \sin(2\beta_F) S_{01} - \sqrt{\frac{3}{8}} (1 + \cos^2 \beta_F) S_{02} \right] R'_{2,2} \left. \right\} \quad (6) \end{aligned}$$

Note that the observed chemical shift $\langle\delta\rangle$ is still scaled by the second Legendre $P_2(\cos \theta_0)$ should the director not be along the magnetic field.

If molecules have fast rotations about their long axes, such as in uniaxial N and SmA phases, S_{01} is averaged to zero, and for the director aligned along the magnetic field ($\theta_0 = 0$), eq 6 then becomes:

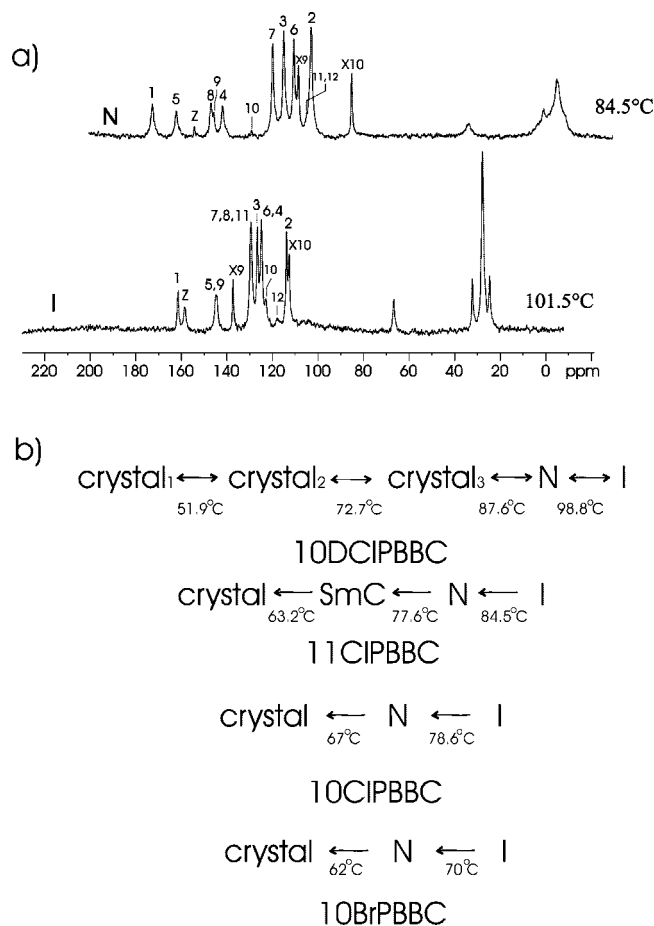


Figure 2. (a) Typical ^{13}C NMR spectra of 10DCIPBBC in the isotropic and nematic phase. (b) Phase sequences of the studied nPBBC LC.

constants, and T^* ($T^* = T_c + 1$ K) is the temperature slightly above T_c at which $S_{z'z'}$ vanishes. Also, a linear equation has been used to describe the temperature dependence of D , that is, $D = \Delta(T - T_c)$ where Δ was a fitting constant.

The local order parameters for all the phenyl rings are, therefore, obtained by optimizing the fittings between the calculated and experimental $\langle\delta\rangle$, and the calculated $\langle\delta\rangle$ values are shown as solid lines in Figure 3. The derived local S values of the central ring and biphenyl groups are shown in Figure 4 with S_0 and f for the biphenyl group and central ring being given by (0.698, 0.159) and (0.858, 0.137), respectively. Figure 4 also shows the linear temperature behaviours of the D for the two biphenyl rings and central ring. These results imply that the local z -axis located on the central ring represents a more ordered axis (or the long molecular axis), and thus reflects the overall molecular ordering. The z' -axis along the para axes in the biphenyl group is less ordered. Now one can relate the ordering of these local z -axes using eq 9, where β_F is the angle between the para axes of the biphenyl group and the more ordered axis (z -axis of the center ring). Using this equation, the β_F angle is found to vary with temperature, increasing from 26 to 29° upon decreasing temperature. Hence, the bend angle Θ subtended by the two lateral arms in 10DCIPBBC is also temperature dependent, ranging between 128 and 122° at the low temperature end (see Figure 5). It is noted that among all the phenyl rings, the D value for the inner ring in the biphenyl group and the center ring have similar magnitude, but their signs are opposite. Thus, these two phenyl ring planes oriented differently with respect to the director, implying a definite twist conformation in the molecular core. Also, a slight twist of the phenyl ring

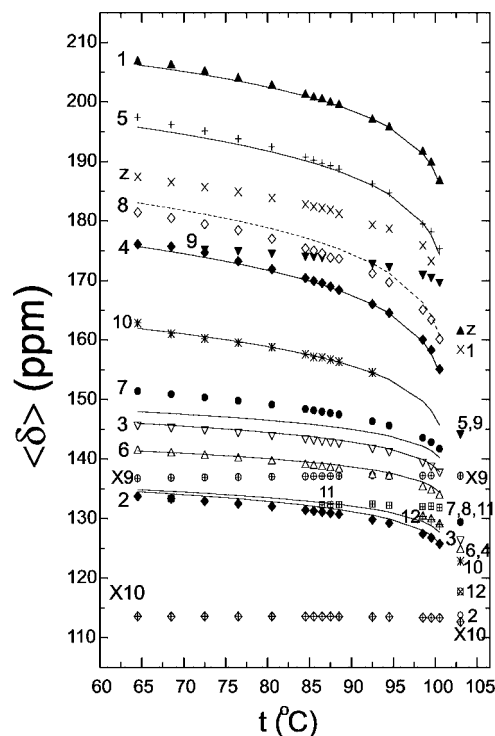


Figure 3. Plots of $\langle\delta\rangle$ for the aromatic carbons and aliphatic carbons X9 and X10 in the isotropic and nematic phases of 10DCIPBBC. The carbon labels are those indicated in Figure 1. Solid curves denote calculated $\langle\delta\rangle$ values after a global minimization.

TABLE 1: CSA Tensors for Various Carbon Sites of 10DCIPBBC (Carbon Labels Same for Both Lateral Wings)^a

sites	6	7	9	10	12	z
δ_{11}	34.4	28.3	72.2	44.6	30	111
δ_{22}	140.7	145.7	145.2	109	149.3	133
δ_{33}	204.6	212.7	217.2	213.6	183	242

^a Determined from SUPER with an Uncertainty in Each Principal Value of ca ± 3 ppm.

TABLE 2: CSA Tensors for Carbons Obtained from SUPER in 10CIPBBC^a

sites	1	3	4	5	7
δ_{11}	50.4	9.6	27.3	58.9	11.3
δ_{22}	161.2	146.8	127.4	129.9	154.5
δ_{33}	257.2	216.5	223.7	249.3	219.7

sites	8	9	11	13	14
δ_{11}	8.6	44.6	29.3	44.6	30.7
δ_{22}	144.9	160.1	130.7	160.1	124.2
δ_{33}	229.0	261.8	201.7	261.8	189.6

^a Principal values from ref 17 are corrected for the missing scaling using $\delta_{ii}^{new} = (\delta_{ii} - \delta_{iso})0.667 + \delta_{iso}$.

planes in the biphenyl fragment can be inferred from Figure 4. For the center ring, D is about 0.01 at 64.5 °C and vanishes at T_c .

The present analysis does not require specific motional processes (internal and external motions of the bent-core) or need to know its particular core conformation. Indeed, there may be several possible configurations generated by a rotation of the lateral arm about the $\text{C}_{ar}\text{--O}$ bond at the central ring.¹⁶ Note that the conformational changes must be fast on the NMR time scale as only one ^{13}C spectrum is observed at a particular temperature. Otherwise, different subspectra would be visible

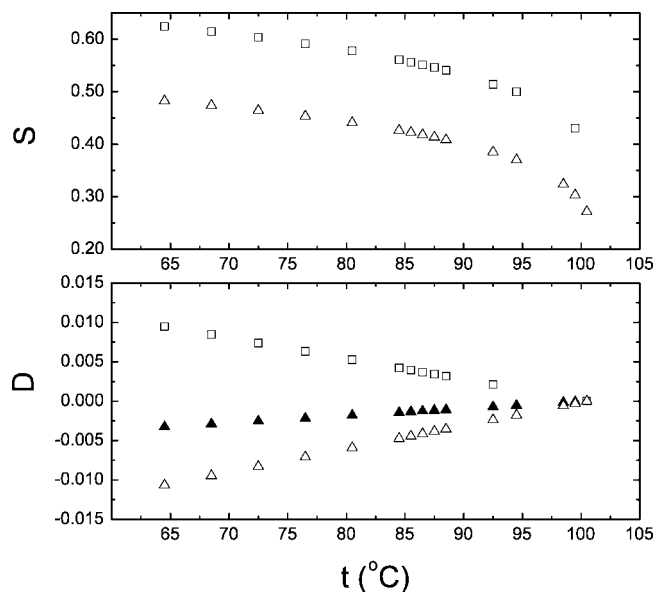


Figure 4. Top: Plot of the local order parameter S for the biphenyl group (triangles) and central ring (squares) in the N phase of 10DCIPBBC. Bottom: Plot of the local biaxial order parameter D vs temperature for the center ring (squares), and in the biphenyl group for the ring closer to the center ring (triangles), and for the outer ring (full triangles).

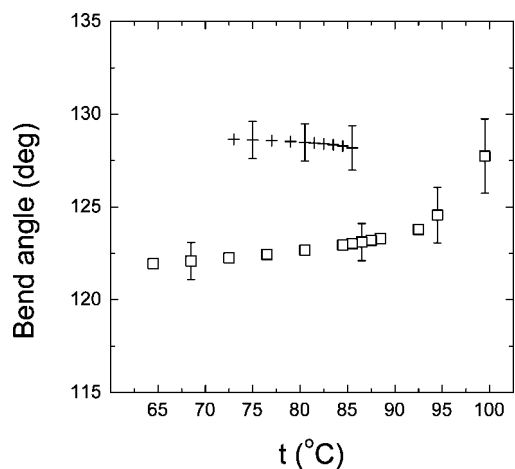


Figure 5. Plot of the bend angle versus temperature in the uniaxial nematic phase of 10DCIPBBC (squares) and of 11CIPBBC (pluses).

in the observed spectrum just like the doubling of the ^{13}C peaks seen in a monosubstituted homologue 11CIPBBC.¹⁴ Finally, the bend angle Θ may be interpreted as some configurational average angle between the two lateral wings in this bent-core molecule. The question of exactly how many (if any) conformations and their structures as well as their probabilities for a relatively large molecule remains open. Indeed, DFT calculations of different configurations in the energy surface landscape may be a first step to possibly address the conformation states in the bent-core region.

3.2. 11CIPBBC. The same approach used to treat 10DCIPBBC can be applied to analyze the chemical shift data observed in the N phase of 4,6-chloro-1,3-phenylene bis[4'-(10-undecyloxy)-1,1'-biphenyl] carboxylate (11CIPBBC). The temperature-dependent $\langle\delta\rangle$ for the aromatic region and X10 and X11 of 11CIPBBC¹⁴ are reproduced in Figure 6. Notice the fact that 11CIPBBC has a monosubstituted chlorine on the central ring; the two biphenyl fragments become inequivalent to generate two subspectra of aromatic carbon peaks. The same set of CSA

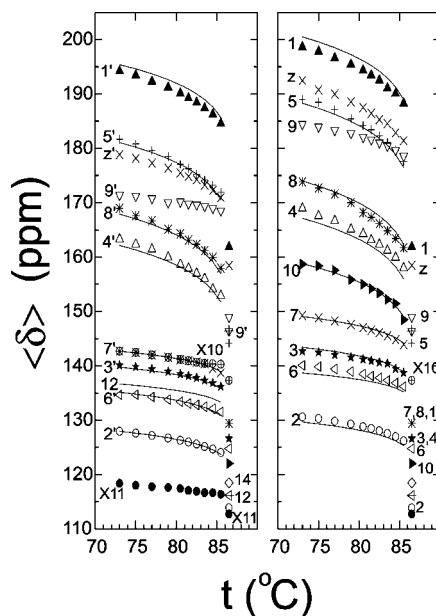


Figure 6. Plots of $\langle\delta\rangle$ for the aromatic carbons and aliphatic carbons X10 and X11 in the I and N phases of 11CIPBBC. The carbon labels are indicated in Figure 1. The unprimed labels refer to biphenyl fragment attached closer to the chlorine substituent, and the prime carbon labels refer to the other biphenyl fragment. Solid curves denote calculated $\langle\delta\rangle$ values.

tensors used in 10DCIPBBC is used to analyze the $\langle\delta\rangle$ from carbons 10 and 12 of the center ring, and from carbons 1–8 of the “unprimed” and “primed” biphenyl fragments to get their local order parameters. The purpose is to see the effects, if any, of the disubstituted and monosubstituted PBBC on the overall molecular ordering and packing in their N phases.

The calculated $\langle\delta\rangle$ of the fitted carbon sites are shown as solid curves in Figure 6, and the fits are very good. The S values of center ring and two biphenyl fragments obtained from the global fit are shown in Figure 7 with S_0 and f for the center ring being (0.869, 0.142), whereas for the two biphenyl fragments they are very similar ((0.600, 0.144) for primed labels and (0.659, 0.145) for unprimed carbon labels). Again, the z -axis of the center ring is the most ordered axis. It is noted that the two para axes of the biphenyl fragments are ordered more or less the same. The β_F angles for the two biphenyl lateral wings are very close, with decreasing temperature from 24.4 to 24°, and from 27.5 to 27.3° for the unprimed and primed lateral wings. The bend angle Θ between the two lateral wings averages to ca. 128.5° and is relatively insensitive to temperature (see Figure 5). This angle is larger than that of 10DCIPBBC. It is very interesting to examine the behaviors of local molecular biaxial order parameters shown in Figure 7. There is a sign change in D of the center ring between this compound and 10DCIPBBC, implying different ordering of its x - and y -axes with respect to \vec{n} for the two cases. For 11CIPBBC, D for the two biphenyl fragments differ in sign. This is likely a result of the monosubstitution in the center ring, causing the two lateral wings to be distinct from each other. The phenyl ring of the unprimed wing closer to the center ring appears to behave with D similar to that of the center ring. When comparing D of 10DCIPBBC with those of this compound, the former has much larger absolute D values for various phenyl rings.

3.3. 10BrPBBC. The homologue 10BrPBBC¹⁷ could only be weakly aligned very close to the I–N transition. The aligned N phase has produced a ^{13}C NMR spectrum at 69 °C. As

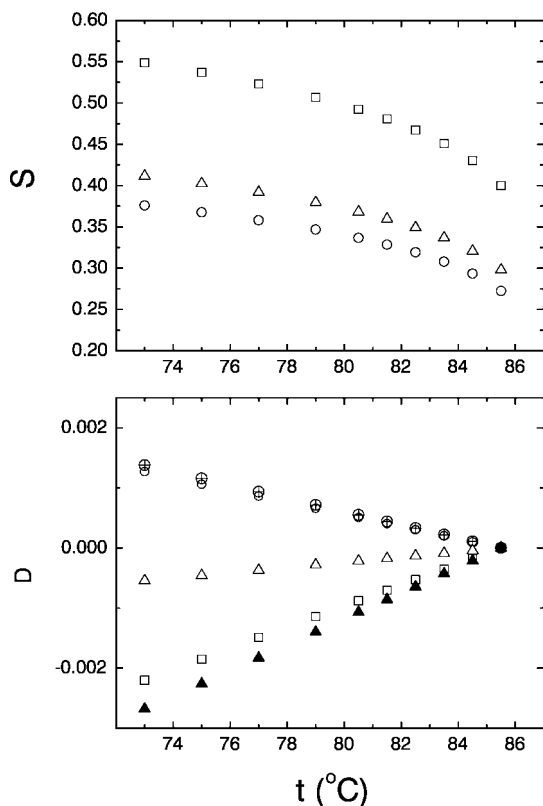


Figure 7. Top: Plot of the local order parameter S for the biphenyl fragments (Δ for unprimed fragment, \circ for primed fragment) and center ring (\square) in the N phase of 11CIPBBC. Bottom: Plot of the local biaxial order parameter D vs temperature. (same symbols as for S , solid symbols for phenyl ring closer to the center ring, and open symbols for the outer phenyl ring in the biphenyl group).

TABLE 3: CSA Tensors for Some Carbons in 10BrPBBC from SUPER^a

sites	1	3,6	4	5	7, 8, 11
δ_{11}	60.6	21.2	8.8	55.6	0.7
δ_{22}	159.2	136.2	131.2	140.7	151.7
δ_{33}	257.0	225.2	227.7	225.1	240.6
sites	9	10	12	13	14
δ_{11}	56.3	15.4	-7.8	58.2	5.1
δ_{22}	156.8	126.0	144.7	130.2	137.7
δ_{33}	261.7	192.3	215.5	259.8	220.9

^a CSA components are modified by using $\delta_{ii}^{\text{new}} = (\delta_{ii} - \delta_{\text{iso}})/0.667 + \delta_{\text{iso}}$.

mentioned above, the simple replacement of bromine by chlorine in this molecule has caused a total lack of alignment in the NMR field, thus preventing any possibility of studying ordering in this banana-shaped LC. Yet when the latter has an extra methylene group in the lateral chains, the compound could be studied as discussed above. The CSA tensors of 10BrPBBC reported in ref 17 are again corrected and listed in Table 3. These are used to interpret the ^{13}C $\langle\delta\rangle$ values for most of the carbon peaks at the only available temperature close to the I phase, and the fitted ^{13}C $\langle\delta\rangle$ values together with experimental $\langle\delta\rangle$ values are given in Table 4 for direct comparison. The z -axis of the central ring is still the more ordered axis with $S = 0.24$, a value that seems too low and may well indicate that the aligned N phase is metastable. Thus, any change in temperature could easily disrupt the molecular alignment, making a full study of orientational order and structure impossible.

TABLE 4: Calculated ^{13}C Chemical Shifts (δ_{cal}) for Various Sites^a

sites	δ_{iso}	δ_{exp}	δ_{cal}	sites	δ_{iso}	δ_{exp}	δ_{cal}
1	159.0	182.4	180.5	1'	159.0	177.9	177.9
2	114.7	126.1	124.7	2'	114.7	124.1	121.9
3	127.5	136.5	136.2	3'	127.5	132.1	133.4
4	122.6	143.2	146.1	4'	122.6	142.1	142.8
5	140.5	157.5	160.2	5'	130.5	153.6	156.8
6	127.5	136.5	137.6	6'	127.5	132.1	133.5
7	131.0	143.2	144.8	7'	131.0	138.3	139.4
8	131.0	162.1	158.5	8'	131.0	155.8	152.3
9	158.3	177.9	176.4	12	117.5	124.1	124.0
10	111.2	126.1	126.4	13	149.4	167.8	167.7
11	131.0	136.5	136.1	14	121.3	138.4	139.8

^a Measured in ppm of 10BrPBBC at 69 °C.

In summary, a twisted conformation of the bent-core can be inferred in nPBBC series from the local molecular biaxiality D , and the details in the orientations of ring planes depend on the substitution at the center ring. Moreover, the substitution on the center ring and the chain length have a profound effect on the alignment of the bent-core molecules by the magnetic field. The difficulty in alignment of V-shaped molecules by the magnetic field has been noted by other researchers,²⁴ and the reason behind this remains to be investigated. It is noted that in the studied nPBBC series the bend angle of 11CIPBBC is relatively insensitive to temperature, whereas that of 10DCIPBBC decreases with lowering temperature. Thus, the choice of molecular structure in the bent-core region may ultimately determine the occurrence of a biaxial nematic phase before the crystallization set in. Recently, a new two-dimensional NMR technique called R-PDLF²⁵ has been successfully used under magic angle spinning to measure the C–H dipolar couplings of LC that fails to form an aligned phase. This opens an opportunity to study 10CIPBBC and 10BrPBBC to obtain ordering information. This is currently being pursued in our laboratory.

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