

# PIPER: An FFT-Based Protein Docking Program with Pairwise Potentials

**Dima Kozakov,** <sup>1</sup> **Ryan Brenke,** <sup>2</sup> **Stephen R. Comeau,** <sup>2</sup> **and Sandor Vajda** <sup>1</sup> Department of Biomedical Engineering, Boston University, Boston, Massachusetts 
<sup>2</sup> Program in Bioinformatics, Boston University, Boston, Massachusetts

**ABSTRACT** The Fast Fourier Transform (FFT) correlation approach to protein-protein docking can evaluate the energies of billions of docked conformations on a grid if the energy is described in the form of a correlation function. Here, this restriction is removed, and the approach is efficiently used with pairwise interaction potentials that substantially improve the docking results. The basic idea is approximating the interaction matrix by its eigenvectors corresponding to the few dominant eigenvalues, resulting in an energy expression written as the sum of a few correlation functions, and solving the problem by repeated FFT calculations. In addition to describing how the method is implemented, we present a novel class of structure-based pairwise intermolecular potentials. The DARS (Decoys As the Reference State) potentials are extracted from structures of protein-protein complexes and use large sets of docked conformations as decoys to derive atom pair distributions in the reference state. The current version of the DARS potential works well for enzyme-inhibitor complexes. With the new FFT-based program, DARS provides much better docking results than the earlier approaches, in many cases generating 50% more near-native docked conformations. Although the potential is far from optimal for antibody-antigen pairs, the results are still slightly better than those given by an earlier FFT method. The docking program PIPER is freely available for noncommercial applications. Proteins 2006;65:392–406. © 2006 Wiley-Liss, Inc.

Key words: Fast Fourier Transform; rigid body docking; intermolecular potentials; structure based potentials; scoring function

#### INTRODUCTION

The goal of protein–protein docking is to determine the structure of a complex in atomic detail, starting from the coordinates of the unbound component molecules. <sup>1–3</sup> Most of the current docking methods start with rigid body docking that generates a large number of docked conformations with good surface complementarity. <sup>4</sup> The Fast Fourier Transform (FFT) correlation approach, introduced in 1992 by Katchalski-Katzir and coworkers, <sup>5</sup> revolutionized this step of rigid body search. Owing to the numerical effi-

ciency of this algorithm it became computationally feasible, for the first time, to systematically explore the conformational space of protein-protein complexes evaluating the energies for billions of conformations on a grid, and thus to dock proteins without any a priori information on the expected structure.<sup>6,7</sup> Other approaches, primarily Monte Carlo, also perform well if the search can be restricted to regions of the conformational space, 8,9 but become computationally expensive if no such constraints are available. For this reason, FFT-based docking is the first step in many methods that have performed well at CAPRI (Critical Assessment of Predicted Interactions), the first community-wide experiment devoted to protein docking.6,7 We note that this approach is obviously restricted to proteins with moderate conformational changes upon binding.4

Although the FFT-based method represents major progress in protein docking, it also has serious limitations, even beyond the consequences of the rigid body assumption. The most important constraint is on the target function, which is restricted to have the form of a correlation function, resulting in rather inaccurate estimation of the binding free energy. The original scoring function, introduced by Katchalski-Katzir et al.,<sup>5</sup> was based only on shape complementarity, but was later extended to include additional terms representing electrostatic interactions, <sup>10,11</sup> or both electrostatic and solvation contributions. 12 Although the new potentials improved performance, energy evaluation remains relatively crude. Due to this uncertainty, to avoid the loss of near-native solutions when docking unbound structures of proteins, one has to retain a large number (usually 2000 to 20,000) of docked conformations for further analysis. Because the number of near-native structures among the ones retained is generally smallfrom a few to at most a hundred—the rigid body search ends with many false positives,4 that is, conformations that are geometrically distant from the native but score as well as the ones close to it. Accordingly, in the best-per-

The first two authors contributed equally to this article.

<sup>\*</sup>Correspondence to: Sandor Vajda, Department of Biomedical Engineering, Boston University, 44 Cummington street, Boston, MA 02215. E-mail: vajda@bu.edu

Received 8 January 2006; Revised 20 March 2006; Accepted 29 March 2006

Published online 24 August 2006 in Wiley InterScience (www.interscience.wiley.com). DOI: 10.1002/prot.21117

forming docking methods the initial search is followed by a refinement and discrimination step that ranks the docked conformations and selects the ones close to the native, usually using a more accurate energy function that accounts for the affinity of binding between the two proteins. $^{10,13,14}$  The discrimination between near-native and other structures can be further improved by clustering methods. 15,16 These procedures improve the discrimination such that conformations with less than 10 Å RMSD are generally found within the top 10-100 structures. However, needless to say, the discrimination step is difficult if the rigid body search generates only a few nearnative structures, and it is obviously futile if no such structures are in the set. Thus, improving FFT methods remains the key to the success of the entire procedure that starts with rigid body docking.

In this article we explore the use of pairwise structurebased potentials with FFT correlation docking. Such potentials (also called knowledge-based or statistical potentials) have emerged as powerful tools for finding near-native conformations in sets of structures generated by search algorithms in macromolecular modeling, and have substantially contributed to improving the accuracy in protein structure prediction. 17-23 Pairwise knowledge-based potentials have also been used with success in the discrimination stage of protein–protein docking.  $^{13-15,24-27}$  Hence, their use directly in the docking stage is expected to increase the number of near-native structures found. In principle, FFT-based methods can use pairwise potentials as their scoring function. A potential defined for *K* atom types and given by a  $K \times K$  interaction matrix can be written as the sum of K correlation functions. The function can be then evaluated by performing K forward and K inverse Fourier transformations. The major difficulty is that *K* is generally around 20, and hence, the approach is computationally expensive, even with the increasing computer power currently available. Here, we show that this problem can be avoided by an eigenvalue-eigenvector decomposition of the coefficient matrix that substantially reduces the complexity of the calculations. In fact, adequate accuracy can be achieved by restricting consideration to the eigenvectors corresponding to the P largest eigenvalues where  $2 \le P \le 4$ , and thus performing only 2 to 4 forward and one inverse FFT calculations. According to the results presented in this article, this approach substantially increases the number of near-native solutions (hits) at relatively moderate additional computational costs.

Although our focus is on the extension of the FFT docking, we also describe preliminary work on developing a new class of structure-based potentials. Although a large variety of intramolecular potentials are available for protein folding and fold recognition, relatively little attention was given to intermolecular potentials, partly because the number of known protein–protein complexes only recently started to grow. Sternberg and coworkers developed both residue-level and atom-level intermolecular pair potentials; a residue-level potential based on  $C_{\alpha}$  atoms, a residue-level potential based on all atoms (RPScore), a residue-level potential based on all side-chain atoms, and

an atom-level potential with K = 40 grouped atom types.<sup>24</sup> The potentials were derived from a small training set that included a few heterodimers, a few homodimers, and a nonredundant set of protein domains. Moont et al. $^{24}$  tested the potentials using decoy sets of docked conformations only for nine complexes. The best discrimination was obtained by the residue-level potentials. However, we have observed<sup>25</sup> that RPScore was much more likely to fail for a complex that was not represented (directly or by homology) in its training set, suggesting that the dataset was too small and biased toward certain types of complexes. Skolnick and coworkers<sup>26</sup> also developed both a residuelevel (K = 20) contact potential and an atom-level potentials with all K = 167 heavy atoms as atom types. The potentials have been derived from 768 protein complexes (617 homodimers and 151 heterodimers), and were tested using decoy sets of docked conformations for 15 complexes. In contrast to the results by Moont et al., 24 the discrimination turned out to be much better using the atom-level potential than using the residue-level version, suggesting that the training set used by Moont et al. was simply too small. This is in good agreement with our preliminary results, and hence, we restrict consideration to atom-level potentials.

Two atom-level potentials will be used in this work, both written in the form  $E_{\mathrm{pair}} = \Sigma_{ij} \epsilon_{ij}$ , where  $\epsilon_{ij}$  denotes the energy contribution by a pair of interacting atoms  $a_i$  and  $a_i$ , and the sum is taken over all pairs of atoms that are closer to each other than a cutoff distance D. The first potential  $E_{\mathrm{ACP}}$  termed ACP (Atomic Contact Potential), <sup>28,29</sup> is an atom-level extension of the well-known residue-level potential by Miyazawa and Jernigan. 20,21 ACP is a solvent-mediated potential (see the Methods section and ref. 30 for the discussion of this concept), and the atomic contact energy,  $\varepsilon_{ij}$ , is defined as the effective free energy of a reaction in which two fully solvated atoms desolvate and associate to form the interacting atom pair  $a_i a_i$ . The ACP potential has been derived for K=18atom types from 89 nonhomologous proteins. 28 Due to the solvent-mediated character and the small number of charged residues in the interior of proteins, the atomic contact potentials may be attractive even between atoms with like charges. More generally, because ACP includes both desolvation and atom-atom binding, the atomic contact energies between polar or charged atoms are weak. For this reason, we have used the potential in conjunction with a coulombic electrostatic term. For docking applications we also added a van der Waals term, representing shape complementarity of the component proteins.

The second atom-level contact potential has been specifically developed for application with the FFT docking. As will be described in the Methods section, to derive the potential we considered the nonredundant data set of 621 protein–protein interfaces, compiled by Glaser et al., 31 but removed all complexes that also belong to the benchmark sets 32,33 used for testing our method. Unlike the solvent-mediated ACP, this potential is residue-mediated (see the Methods section and ref. 30). Although in a solvent-mediated potential the reference state is defined by

noninteracting and fully solvated atoms, in a residue-mediated potential the reference state is obtained by averaging interactions over compact structures, most frequently by using the mole fractions of specific atom types. <sup>18,19,30</sup> The novelty of our approach is that we generate a large decoy set of docked conformations to be used as a reference state. Developing the potential, we compare the frequency of contacts between two specific atom types in the native state to the frequency of contacts in the decoys. Because the goal is finding complex conformations close to the native among the many structures that all have good shape complementarity, this scoring scheme is natural, as it rewards the occurrence in the interface of the atom pairs that are frequently seen to interact in native complexes.

In the Methods section we describe implementing FFTbased docking with pairwise potentials, and briefly the development of the novel DARS (Decoys as the Reference State) potential. As with ACP, we add electrostatic and van der Waals terms to the DARS potential when used for docking. The properties of the newly developed DARS potential significantly differ from those of the Atomic Contact Potential (ACP),<sup>28</sup> and the best results are obtained when using a linear combination of ACP and DARS as the scoring function. For enzyme-inhibitor complexes the results are much better than those obtained by a traditional FFT method. In particular, the number of nearnative docked structures increased by at least 50% for more than half of the enzyme-inhibitor complexes in the well-known protein docking benchmark sets. 32,33 For the antigen-antibody test set the results are weaker, but still better than those by an earlier method. The difference is not completely surprising, as analyses of protein complexes<sup>34–37</sup> clearly show that the interfaces in enzymeinhibitor and antigen-antibody complexes substantially differ. Our results further emphasize that to substantially improve the docking of antibodies to antigens one needs a special potential accounting for the properties of the interface in this type of complexes.

## METHODS FFT Docking with Multiple Correlations

Fast Fourier Transform (FFT) docking algorithms perform exhaustive evaluation of simplified energy functions in discretized 6D space of mutual orientations of the protein partners. The larger docking partner is considered the receptor, and its center of mass is fixed at the origin of the coordinate system. The other partner is considered the ligand and all its possible orientational and translational positions are evaluated at the given level of discretization. The rotational space is sampled using a deterministic layered Sukharev grid sequence for the rotational group SO(3), which quasi-uniformly covers the space with a given number of samples.<sup>38</sup> The translational space is represented as a grid of displacements of the ligand center of mass with respect to the receptor's center of mass.

Here we assume that the energy-like scoring function describing the receptor-ligand interactions is defined on a grid, and is expressed as the sum of P correlation functions for all possible translations  $\alpha$ ,  $\beta$ ,  $\gamma$  of the ligand relative to the receptor

$$E(lpha,eta,\gamma) = \sum_{p} \sum_{i,j,k} R_p(i,j,k) L_p(i+lpha,j+eta,k+\gamma)$$

where  $R_p(i,j,k)$  and  $L_p(i,j,k)$  are the components of the correlation function defined on the receptor and the ligand, respectively. This expression can be efficiently calculated using P forward and one inverse Fast Fourier transforms, denoted by FT and IFT, respectively:

$$\begin{split} E(\alpha,\beta,\gamma) &= \mathit{IFT} \bigg\{ \sum_{p}^{p} \mathit{FT}^* \{R_p\} \mathit{FT} \{L_p\} \bigg\} (\alpha,\beta,\gamma) \\ \mathit{FT} \{F\}(l,m,n) &= \sum_{i,j,k} F(i,j,k) \exp^{-2\pi \mathrm{i}(li/N_1 + mj/N_2 + nk/N_3)} \\ \mathit{IFT} \{f\}(i,j,k) &= \frac{1}{N_1 N_2 N_3} \sum_{l,m,n} f(l,m,n) \exp^{2\pi \mathrm{i}(li/N_1 + mj/N_2 + nk/N_3)} \end{split}$$

where  $\mathbf{i}=\sqrt{-1}, N_1, N_2$ , and  $N_3$  are the dimensions of the grid along the three coordinates. If  $N_1=N_2=N_3=N$ , the efficiency of this approach is  $O(N^3\log(N^3))$  as compared to  $O(N^6)$  when all evaluations are performed directly. For each rotational orientation, which is taken consecutively from the set of rotations, the ligand is rotated and the  $L_p$  function is calculated on the grid. We then calculate the correlation function of  $L_p$  with the precalculated  $R_p$  function using FFT. The resulting sum provides scoring function values for all possible translations of the ligand. The results are clustered with a 10-Å cube size and one or several lowest energy translations for the given rotation are reported. Finally, results from different rotations are collected and sorted.

#### **Scoring Function**

The energy function is given as the sum of terms representing shape complementarity, electrostatic, and desolvation contributions, the latter described by a pairwise potential as follows.

$$egin{aligned} E &= E_{ ext{shape}} + w_2 E_{ ext{elec}} + w_3 E_{ ext{pair}} \ E_{ ext{shape}} &= E_{ ext{attr}} + w_1 E_{ ext{rep}} \ E_{ ext{elec}} &= \sum_{i=1}^{N_R} \sum_{j=1}^{N_L} rac{q_i q_j}{\left(r_{ij}^2 + D^2 \exp\left(rac{-r_{ij}^2}{4D^2}
ight)
ight)^{rac{1}{2}}} \ E_{ ext{pair}} &= \sum_{i=1}^{N_R} \sum_{j=1}^{N_L} arepsilon_{ij} \end{aligned}$$

where  $N_R$  and  $N_L$  denote the numbers of atoms in the receptor and the ligand, respectively. According to these expressions, the shape complementarity term  $E_{\rm shape}$  accounts for both attractive and repulsive interactions, the latter eliminating atomic overlaps. The specific form of  $E_{\rm shape}$  will be defined on a grid in the next section. The electrostatic term,  $E_{\rm elec}$  is given by a simplified generalized Born-type expression. The coefficients  $w_1$ ,  $w_2$ , and  $w_3$ 

weight the different contributions to the scoring function. The value of  $w_1$  is selected to avoid substantial steric clashes, but to allow for some atomic overlaps that occur due the differences between bound and unbound (i.e., separately crystallized) structures of the component proteins. We note that all rigid body docking methods assume that such differences exist but are moderate. Although this assumption is frequently acceptable, it excludes the application of the method to certain types of complexes. For example, the benchmark sets<sup>32,33</sup> include a number of "difficult" cases with substantial backbone conformational changes upon association. Most docking methods, including ours, provide few if any near-native conformations for these complexes. Although we include some "difficult" cases in our test set, backbone flexibility is beyond the scope of this article, and the problem will not be further discussed here. The coefficients  $w_2$  and  $w_3$  will be selected to provide maximum performance for specific classes of proteins.

### Shape Complementarity Energy Terms Defined on a Grid

For efficient evaluation we are using a rectangularly smoothed shape complementarity term as suggested by Vakser. <sup>39</sup> The repulsive interactions are cut off at the van der Waals radius  $r_{\rm vdw}$  plus 2 Å because we want the penalty function to be tolerant enough and to allow for differences between bound and unbound structures. To further account for the potential flexibility of the component proteins we have reduced the van der Waals radii of atoms on the protein surface, and increased the radii in the core. The attractive part has the same cutoff radius (6 Å) for all atom types. On the grid, the functions describing the receptor and the ligand can be represented as follows

$$egin{aligned} R_p(l,m,n) &= -c_{l,m,n} + w_1 r_{l,m,n} \ L_p(l,m,n) &= egin{cases} 1 & ext{if} & (l,m,n) 
ightarrow (a_j \in J) \ 0 & ext{otherwise} \end{cases} \end{aligned}$$

where  $(l, m, n) \ni (a_j \in J)$  means that the grid point (l, m, n) overlaps with atom  $a_j$  of atom type  $J, c_{l,m,n}$  is the number of atoms that are at the distance d < r < D from the grid point (l, m, n), and  $r_{l,m,n}$  is number of atoms that are at the distance r < d from the same grid point. We have used the values D = 6 Å and  $d = r_{\text{vdw}} + 2$  Å. The correlation of these two functions provides a shape complementarity term representing both repulsive and attractive interactions, the former for the distances r < d, and the latter in the range d < r < D.

### **Electrostatic Interactions on a Grid**

To account for the electrostatic interactions between the two proteins surrounded by solvent we use a simplified Generalized Born (GB) type equation with constant Born radii. This approximation neglects the dependence of the Born radii on the atomic environment, but allows for writing the electrostatic interactions as a correlation between the electrostatic potential field of the receptor and the charges on the ligand:

$$egin{aligned} R_p(l,m,n) &= \sum_{i=1}^{N_r} rac{q_i}{\left(\hat{r}_{i,(l,m,n)}^2 + D^2 \exp\left(rac{-\hat{r}_{i,(l,m,n)}^2}{4D^2}
ight)
ight)^{rac{1}{2}}} \ L_p(l,m,n) &= egin{cases} q_i & ext{if} & (l,m,n) 
ightarrow (l,m,n) 
ightarrow (a_j \in J) \\ 0 & ext{otherwise} \ & \hat{r}_{i,(l,m,n)} &= \max(r_{i,(l,m,n)}, 2D) \end{aligned}$$

where  $r_{i,(l,m,n)}$  is the distance between atom  $a_i$  and the grid point (l,m,n). The potential is truncated at the distance 2D for the same reason as the shape complementarity term. In addition, the electrostatic interactions are made less sensitive to conformational perturbations by smoothing it through a convolution with square boxes of size 3 Å. This type of smoothing is very important. As shown in Figure 1A, the function  $R_n(l,m,n)$ yields a very rugged electrostatic potential field where the positions of the local minima and maxima heavily depend on the atomic coordinates. The convolution with the box yields a much smoother potential (Fig. 1B), which is less sensitive to coordinate perturbations. The same applies to the electrostatic part of the receptorligand interaction energy. Figures 1C shows a slice of this energy, calculated with the original electrostatic potential, as a function of two translational coordinates. Figure 1D shows the same slice of the energy, but this time calculated using the smoothed potential.

#### **Corellation Decomposition of Pairwise Potentials**

In general form of a pairwise contact potential is

$$E_{ ext{pair}} = \sum_{i=1}^{N_R} \sum_{j=1}^{N_L} arepsilon_{ij}$$

In this equation  $N_R$  and  $N_L$  denote the numbers of atoms in the receptor and the ligand, respectively;  $\varepsilon_{ij} = \varepsilon_{IJ}$  if the interacting atoms  $a_i$  and  $a_j$  are of types I and J, respectively, and  $d < r_{ij} < D$ ; whereas  $\varepsilon_{ij} = 0$  if  $r_{ij} > D$ . Here  $\varepsilon_{IJ}$  is the contact energy between interacting atoms of types I and J. The above expression for  $E_{\rm pair}$  does not have the form of a correlation function, but it can be written as a sum of correlation functions. This latter representation is based on the eigenvalue–eigenvector decomposition of the pairwise interaction matrix of the elements  $\varepsilon_{IJ}$ . The matrix is symmetric and hence has K real eigenvalues, where K is the number of different atom types. The matrix elements can be written as

$$arepsilon_{IJ} = \sum_{p=1}^{K} \lambda_p u_{pI} u_{pJ}$$

where  $\lambda_p$  is pth eigenvalue of the interaction matrix, and  $u_{pl}$  is the Ith component of the pth eigenvector. Thus, any pairwise potential can be calculated using K real or K/2

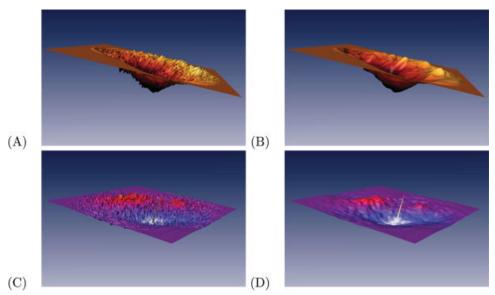


Fig. 1. **A:** Slice of the scalar electrostatic potential field of Ribonuclease A, the receptor in the complex 1DFJ. **B:** The same slice as in (**A**), but the potential is smoothed by convolution as described in Methods. **C:** Slice of the field of the electrostatic receptor-ligand interaction in the complex of ribonuclease A with ribonuclease inhibitor (1DFJ) as function of the translations of the inhibitor along two coordinates. The ligand orientation is as in the native complex. The large spike indicates the native position of the ligand. **D:** The same as in (**C**), but the electrostatic interaction energy is calculated using the smoothed potential.

complex FFTs. Because the existing pairwise interaction potentials can have up to 167 atom types, the calculation can be computationally very expensive. However, we can approximate the total pairwise energy with arbitrary accuracy using a much simpler expression. Each term in the eigenvalue-eigenvector decomposition represents an energy contribution proportional to the absolute value of the eigenvalue  $\lambda_p$ , and such contributions are independent due to the orthogonality of the eigenvectors. We order the eigenvalues by their absolute values, starting with the largest, and restrict consideration to the first *P* terms, that is, neglect the contribution of the remaining terms. Note that restricting consideration to a grid may yield up to 10% error in the energy values, and hence, it is well justified to truncate the summation when the energy contributions of the neglected terms are comparable to this error. We have performed analysis on several existing pairwise potentials such as ACP<sup>28</sup> and RPScore, <sup>24</sup> and found that, depending on the number of atom types, only two to four eigenvalues are needed to achieve this accuracy (see Results). The energy term with the pth eigenvalue of the pairwise potential on the grid is represented by the function

$$egin{aligned} R_p(l,m,n) &= \sum_{i=1}^{N_r} u_{pI} \delta_i \ L_p(l,m,n) &= egin{cases} u_p J & ext{if} & (l,m,n) 
ightarrow (l,m,n) 
ightarrow (a_j \in J) \ 0 & ext{otherwise} \end{cases}$$

where  $\delta_i$  is 1 if the grid point (l, m, n) is at a distance less then D from atom i of the receptor.

### Parameters for Enzyme-Inhibitor and Antigen-Antibody Complexes

Most parameters of the FFT algorithm are independent of the type of the proteins to be docked. We sampled 70,000 rotations, which aproximately corresponds to sampling at every 5 degrees in the space of Euler angles. Increasing the number of rotations generally improved the results. Thus, the number of points was chosen as a compromise between performance and computational efficiency. We used grids with 1.2-Å cell size, which was found to be adequate for representing protein structures with sufficient details and at the same time providing acceptable computational efficiency. The number of grid cells along each direction was selected on the basis of the size of the receptor and the ligand by the following algorithm:

$$\begin{split} a(v) &= abs(v_i - v_j) \\ S_x &= \max_{ij}(a(x_r)) + \max_{ij}(a(x_l), a(y_l), a(z_l)) \\ S_y &= \max_{ij}(a(y_r)) + \max_{ij}(a(x_l), a(y_l), a(z_l)) \\ S_z &= \max_{ij}(a(z_r)) + \max_{ij}(a(x_l), a(y_l), a(z_l)) \\ N_x &= r((S_x/1.2) + 2) \\ N_y &= r((S_y/1.2) + 2) \\ N_z &= r((S_z/1.2) + 2) \end{split}$$

where  $N_x$ ,  $N_y$ , and  $N_z$  denote the number of cells along the x, y, and z directions, respectively, and r(N) is a function which moves N to the nearest product of small prime numbers. The algorithm selects the smallest grid that can accommodate the two proteins, and is efficient for

the Fast Fourier Transform. It is interesting to note that the grid size we have used was actually too small to fit the whole ligand if the ligand center of mass was shifted to the far ends of the grid. Because the grid is assumed periodical in the FFT calculations, such ligands are effectively wrapped around the receptor. However, this effect occurs only at substantial separations of the two proteins where the interactions are weak, and hence, do not effect the calculated energy values.

As will be discussed, the ACP potential works well for complexes with a largely hydrophobic interface, but the DARS potential provides better discrimination if the interface is more polar. Because the properties of the interface are not a priori known, we use the linear combination of the two potentials defined by

$$E_{\text{DARS+ACP}} = (3 * E_{\text{DARS}} + 0.5 * E_{\text{ACP}})/4.0$$

as the pairwise potential  $E_{\rm pair}$  in the FFT-based docking calculation.

The parameters that differ between different types of complexes are the weights  $w_1$ ,  $w_2$ , and  $w_3$  of the energy terms in the energy expression. These parameters were optimized and adjusted using a small subset of benchmark proteins<sup>32</sup> taken from the Protein Data Bank (PDB). For enzyme-inhibitor pairs we have used the complexes 1ACB, 1BRC, 1DFJ, 2KAI, and 4HTC, whereas the complexes 1WEJ, 1AHW, 1E08, and 1NCA were used to find appropriate weights for docking antibody and antigen pairs. For each complex, 20,000 docked conformation were generated using the FFT algorithm with some initial values of the weights. The resulting structures were divided into two subsets, one with conformations within 10 Å RMSD from the native structure, and the other beyond this RMSD cutoff. We then used logistic regression as provided in the package R (see http://www.r-project.org/), and optimized the weighting coefficients. This was done several times iteratively to achieve convergence. Based on these calculations we used the values  $w_1 = 2.1, w_2 =$ 133.0, and  $w_3 = 2.2$  for enzyme–inhibitor complexes, and  $w_1 = 2.0, w_2 = 400.0, \text{ and } w_3 = 1.0 \text{ for antigen-antibody}$ pairs. Thus, the coefficient  $w_1$  of the repulsive contribution in the shape complementarity term turned out to be essentially independent of the type of the complex. We have found, however, that the optimal weight  $w_2$  of the electrostatic component is three times larger in antigen-antibody than in enzyme-inhibitor complexes, in agreement with the fact that the latter complexes generally have a less polar interface

As will be described in the Results, test calculations were performed on 33 enzyme–inhibitor complexes found in the protein docking benchmark sets 1.0 and 2.0, <sup>32,33</sup> and for 16 antigen–antibody pairs found in the benchmark set 1.0. For each complex we docked the unbound–unbound or the unbound–bound structures of the component proteins as available in the benchmark sets. <sup>32,33</sup> In both the receptor and the ligand, we masked the attractive shape complementarity terms for the terminal residues. The reason is that the position of these residues is fre-

quently uncertain, which may lead to false positive interactions. For antibodies we also masked the attractive shape term for all residues that did not belong to the Complementarity Determinig Regions (CDRs), see Chen et al.  $^{40}$ 

### Development of Potentials with Decoys as the Reference State (DARS)

Within the framework of the inverse Boltzmann approach, a statistical potential between two atoms  $a_i$  and  $a_j$  that are of types I and J, respectively, and are located within a certain cutoff distance D, is defined by the expression of the form

$$\varepsilon_{\rm IJ} = -RT \ln(p_{IJ})$$

where R is the gas constant, T is the temperature, and  $p_{IJ}$  denotes the probability of two atoms of types I and J interacting. This probability is approximated by the frequency

$$p_{IJ} = rac{ extstyle V_{IJ}^{ ext{obs}}}{ extstyle V_{IJ}^{ ext{ref}}}$$

where  $\mathsf{v}_{IJ}^{\mathrm{obs}}$  is the observed number of interacting atom pairs if types I and J, and  $\mathsf{v}_{IJ}^{\mathrm{ref}}$  is the expected number of interacting atom pairs of types I and J assuming an appropriate reference state. If the state of the protein or complex is fully determined by the interactions among its interactions sites, the (structure-based, knowledge-based, or statistical) potential of the system is calculated by the sum  $E_{\mathrm{pair}} = \Sigma_i \Sigma_j \varepsilon_{ij}$ , where  $\varepsilon_{ij} = \varepsilon_{IJ}$  if the interacting atoms  $a_i$  and  $a_j$  are of types I and J, respectively, and  $d < \mathsf{r}_{ij} < D$ ; whereas  $\varepsilon_{ij} = 0$  if  $r_{ij} > D$ .

The basic idea of knowledge-based potential is that  $v_{LI}^{\text{obs}}$ can be directly determined by counting the number of intermolecular interactions between atoms of types I and J in a database of protein complexes. The advantages of structure-based potentials are clear. The potentials include the essential features of intermolecular interactions as well as solvent effects. Because the potentials are fast to compute, they allow better sampling of the conformational space in the calculations. Because the numbers of known protein complex structures have increased greatly in recent years, and certainly will grow even faster in the near future, these potentials are expected to become more and more accurate if the additional structural information is properly utilized. The selection of the reference state remains a critical feature. The general assumption in the reference state is that the specific interactions determining the distribution of interaction sites are removed as much as possible. Because experiments do not provide us with such "random" protein complexes, additional assumptions have to be made, and this is the point where the various structure-based potentials start to differ. 19 On the basis of the reference state, structure-based potentials can be divided into two large groups. 19,30,41

In solvent-mediated potentials the reference state is defined in terms of solvated but otherwise noninteracting residues.<sup>30</sup> The advantage of this approach is that the ref-

398 D. KOZAKOV ET AL.

erence state has some physical meaning, that is, for an intermolecular potential the reference state is defined by solvated component proteins at infinite separation. By definition, solvent-mediated potentials are required for estimating the binding free energy, and thus evaluating the strength of the association. Due to the finite size of proteins, interresidue distances in complexes are relatively short even for residue pairs that might repel each other. Because these effects are not compensated by the reference state, solvent-mediated potentials may be attractive even for two interacting residues with charges of the same sign.<sup>20</sup> By definition, the Atomic Contact Potential (ACP), one of the target functions we use in our FFT calculations, is a solvent-mediated potential. 28 Because of this. and because it has been derived from protein structures in which salt bridges are rare, ACP essentially fails to represent the electrostatic interactions. Nevertheless, it performs well for complexes in which the interface is largely hydrophobic, which is the case in the majority of enzymeinhibitor complexes.

In residue-mediated potentials the reference state is obtained by averaging the interactions over compact structures. 30,42 Because we observe the unfavorable pair interactions less frequently than in the reference state, the corresponding contributions to the potential are repulsive as they should be. Such potentials are more suitable for finding near-native conformations in a set of compact structures than the ones based on the solventmediated approach. The disadvantages are that averaging generally involves an ensemble of compact conformations that are nonphysical, and the derivation requires additional assumptions. The most frequently used reference state uses the mole fractions to define  $v_{IJ}^{\rm ref} = v^{\rm obs} \times X_I \times X_J$ , where  $v^{\rm obs}$  is the total number of interacting pairs with the distance constraints  $d < r_{ij} < R$ , and  $X_I$  is the mole fraction of atom type I, defined as  $v_I/v$ , that is, the atom composition of the entire complex was used to normalize the number of expected interactions. A similar approach is based on the same formulas, but considering only the atoms in some neighborhood of the interface when calculating the reference frequencies. Both approaches assume that the reference state contains a random mixture of atoms in volumes that correspond either to the complex or to the interface region.

Most residue-mediated potentials have been derived from folded protein structures, and were primarily used for finding near-native conformations among protein structures generated by some prediction algorithm, involving searches in a large conformational space. <sup>17,18,20,22</sup> Because rigid-body protein-protein docking requires searching only in six dimensions, it is feasible to generate large sets of docked conformations. Using only the van der Waals interaction term as the target function, the resulting conformations do not depend on specific atomic interactions, and hence, are essentially random complexes, but with good shape complementarity. Thus, frequencies of atom pair interactions in the reference state can be obtained by counting the specific atom-pair interactions in such decoy sets. Because our goal is finding docked structures with

high levels of "chemical" complementarity among the many compact structures generated by the FFT algorithm, it is natural to define the probability for an atom pair as the frequency of the pair in the native complexes, divided by the frequency of the same pair in the decoys. The frequencies are normalized using the total numbers of atom pairs in the native structures and in the decoys, respectively. Hence, the relative sizes of native and decoy sets do not matter, provided that they are large enough to yield appropriate statistics. We note that an approach somewhat similar to DARS has been developed by Bernauer et al., 43 who considered the native states of about 80 protein complexes, together with about 100 decoys for each complex. However, rather than the decoys providing the reference distribution function as in the current work, an evolutionary learning program was used to generate a scoring function to separate the natives and the decoys. Although the approach needs further refinement, it shows that it is possible to generate meaningful decoy sets of docked protein structures, and to use the properties of these decoys for discrimination.

### RESULTS AND DISCUSSION Developing and Testing DARS Potentials

Developing the structure-based DARS potential requires the selection of atom types, the definition of interactions (i.e., distance cutoff values), a training set of native complexes, and a decoy set of docked complexes for the reference state. Because we also used the Atomic Contact Potential (ACP) in the FFT calculations, for simplicity we adopted the same 18 atom types defined by Zhang et al.28 in ACP. Although the classification of atoms was somewhat intuitive, it was generally based on considerations of chemical properties and interactions. A detailed description of the 18 atom types is given in the original ACP article.<sup>28</sup> Here we note only that the backbone atoms are considered as separate-atom types N, CA, C, and O, whereas most hydrophobic side-chain atoms are in the  $FC^{\zeta}$  and  $LC^{\delta}$  categories (Table I). We have used the cutoff distance of 6.5 Å when counting the frequencies of atom-atom interactions.

As the training set, we have used a nonredundant database of native protein-protein complexes collected by Glaser et al.<sup>31</sup> from the Protein Data Bank (PDB). The original set included 621 protein interfaces from 492 PDB entries. The nonredundant character of this database was assured by excluding proteins with more than 30% sequence identity. Although nonredundant, the database is far from unbiased in terms of representing proteinprotein complexes. In fact, of the 621 interfaces, 404 are from homodimers. In addition, the set includes a number of enzyme-inhibitor and antibody-antigen complexes, and few other types. As will be described, we use the proteinprotein benchmark sets by Chen et al.<sup>32</sup> and by Mintseris et al.<sup>33</sup> for testing the docking algorithm, and hence, the complexes in these benchmark sets were removed from the training set, resulting in 583 interfaces from 466 protein entries. This set clearly over-represents oligomeric

	$CS^{\gamma}$	1.52	-1.54	1.54	1.40	98.0	1.52	0.19	5.04	90.0	0.27	70.C	89.0	0.79	1.67	1.39	1.46	5.00	2.67
	$LC^\delta$	-0.6	-0.79	-0.6	-0.6	-0.2	-0.9	0.7	0.2	0.2	-0.3	-0.1	-0.5	-0.2	-0.7	-0.8	-1.3	-1.9	-2.0
	$FC^{\zeta}$	-0.22	-0.38	-0.26	-0.25	-0.11	-0.54	0.71	0.48	0.31	-0.28	0.09	-0.47	0.09	-0.40	-0.48	-0.96	-1.31	-1.46
	$YC^{\zeta}$	0.04	-0.11	0.00	0.04	0.18	-0.26	0.61	0.42	0.19	-0.21	0.18	-0.46	0.22	-0.46	-0.31	-0.48	-0.80	-1.39
	$HN^{arepsilon}$	-0.05	-0.18	-0.12	-0.14	-0.10	-0.26	0.85	0.87	-0.15	-0.26	0.23	-0.34	-0.00	-0.93	-0.46	-0.40	-0.76	-1.67
	$SO^{\gamma}$	0.42	0.34	0.41	0.45	0.66	0.26	0.90	0.91	0.45	0.20	0.53	0.19	0.45	-0.00	0.22	0.09	-0.20	-0.79
tential	$RN^{arepsilon}$	0.10	-0.04	-0.01	-0.12	-0.06	-0.13	1.00	1.06	-0.46	-0.46	0.24	-0.50	0.19	-0.34	-0.46	-0.47	-0.56	-0.68
ARS Potentia	$NN^{\delta}$	0.38	0.30	0.35	0.37	0.59	0.22	0.81	0.82	0.54	0.23	0.13	0.24	0.53	0.23	0.18	0.09	-0.10	-0.07
of the D	$RN^{\eta}$	0.05	-0.08	-0.03	-0.01	-0.00	-0.12	0.66	0.79	-0.55	-0.36	0.23	-0.46	0.20	-0.26	-0.21	-0.28	-0.30	-0.27
1. Contact Energies	$DO^{\delta}$	0.72	0.67	0.71	0.73	0.77	0.49	0.12	0.19	0.70	-0.55	0.54	-0.46	0.45	-0.15	0.19	0.31	0.21	90.0
	$KC^{\delta}$	0.93	0.78	0.76	0.71	89.0	0.69	1.34	1.37	0.19	0.79	0.82	1.06	0.91	0.87	0.42	0.48	0.28	0.04
	$KN^{\zeta}$	86.0	0.83	0.92	0.85	0.80	0.75	1.20	1.34	0.12	99.0	0.81	1.00	0.90	0.85	0.61	0.71	0.70	0.19
TABLE	$C^{eta}$	0.01	-0.11	0.01	90.0	0.13	-0.25	0.75	0.69	0.49	-0.12	0.22	-0.13	0.26	-0.26	-0.26	-0.54	-0.95	-1.52
	$GC^{lpha}$	0.23	0.20	0.29	0.40	0.17	0.13	0.80	0.68	0.77	-0.00	0.59	-0.06	0.66	-0.10	0.18	-0.11	-0.28	-0.88
	0	0.17	0.18	0.12	0.12	0.40	0.06	0.85	0.71	0.73	-0.01	0.37	-0.12	0.45	-0.14	0.04	-0.25	-0.60	-1.40
	C	80.0	0.05	0.05	0.12	0.29	0.01	0.92	0.76	0.71	-0.03	0.35	-0.01	0.41	-0.12	0.00	-0.26	-0.62	-1.54
	$C_{lpha}$		-0.04																
	N	0.07	0.03	0.08	0.17	0.23	0.01	0.98	0.93	0.72	0.02	0.38	0.10	0.42	-0.05	0.04	-0.22	-0.60	-1.52
		N	$C^{\alpha}$	C	0	$GC^{lpha}$	$C^{g}$	ΚŊζ	$KC^{\delta}$	$DO_{\delta}$	$RN^{"}$	$NN^{\circ}$	$RN^{arepsilon}$	$SO^{\gamma}$	$HN^{arepsilon}$	$NC^{\zeta}$	$FC^{\zeta}$	$TC_{\mathbb{S}}$	$CS^{\gamma}$

proteins. The fraction of enzyme–inhibitor complexes is also high. Thus, the resulting potential is expected to work best for oligomeric proteins and enzyme–inhibitor complexes. Because structures of the separate subunits in oligomeric proteins are rarely determined, in this article we will focus on the docking of the enzyme–inhibitor pairs in the protein docking benchmarks 1 and 2. <sup>32,33</sup> For comparison we also docked the antibody–antigen pairs in the benchmark set 1. However, because oligomeric proteins and enzyme–inhibitor complexes dominate the training set, the current version of the DARS potential is far from optimal for antibody–antigen pairs (and the "other" types of complexes, not considered here).

As discussed, to develop the DARS (Decoys as the Reference State) type potential one also needs a large set of decoys, that is, docked structures generated by considering only shape complementarity as the target function. We have previously generated 20,000 docked conformations for each of the 22 targets of the CAPRI docking experiment.<sup>6,7</sup> We use these structures as the reference decoy set in the current work. Table I shows the  $18\times18$ matrix of interaction energies for the resulting DARS potential. The new approach provides clear improvement over the Atomic Contact Potential (ACP).<sup>28</sup> As we mentioned, the ACP describes relatively well the energetics of a largely hydrophobic interfaces, but almost completely ignores the electrostatic interactions. In particular, negative-negative and positive-positive interactions  $(DO^{\delta} DO^{\delta}$  and  $RN^{\eta}$ - $RN^{\eta}$ ) are weakly attractive, and the  $DO^{\delta}$ - $RN^{\eta}$  interaction is weakly repulsive.<sup>28</sup> As shown in Table I, the DARS potential does not suffer from these problems, and most parwise interactions have signs as expected. For example, the  $DO^{\delta}$ - $DO^{\delta}$  interaction is strongly repulsive, whereas the  $DO^{\delta}$ - $RN^{\eta}$  interaction is strongly attractive. The only finding that is somewhat unexpected is the slightly attractive  $RN^{\eta}$ - $RN^{\eta}$  DARS energy, most likely due to the interactions between the hydrophobic parts of the arginine side chains. As usual with structure-based potentials, the large value of the  $SC^{\gamma}$ - $SC^{\gamma}$  term, representing cystine-cystine interactions, is an artifact and can be ignored. Disulfide bridges in protein-protein interfaces are rare, causing this coefficient to be determined from few occurrences.

We used unbound-unbound (in some cases boundunbound) enzyme-inhibitor and antigen-antibody complex structures of the protein docking benchmark 1.0<sup>32</sup> to test the DARS potential, first for its ability of finding "hits," that is, conformations with less than 10 Å  $C_{\alpha}$ RMSD from the native, in a large set of docked structures. Throughout the article the RMSD is calculated by superimposing the unbound receptor (the larger protein) on the receptor structure in the complex, and calculating the RMSD for the ligand. The entry 1TAB was excluded, because it forms a dimer of two complexes, in which the carboxyterminal tail of the inhibitor extends into the interface between the two trypsin molecules and interacts with both of them simultaneously, 44 and such multisubunit interactions are not considered in our calculations. Table II lists the target complexes. In addition to

TABLE II. Number of Hits Retained by Various Scoring Functions

		Binding fr	ee energy <sup>b</sup>				$2\mathrm{K}^{\mathrm{d}}$	
Complex	Type <sup>a</sup>	$E_{ m elec}$	$E_{ m ACP}$	$20\mathrm{K}^\mathrm{c}$	$Mixed^e$	$\mathrm{ACP}^\mathrm{f}$	$\mathrm{DARS}^{\mathrm{g}}$	$DARS + ACP^h$
1ACB	e-i	-12.23	-16.08	218	99	168	135	132
1AVW	e-i	-24.39	-0.71	44	2	3	4	4
1BRC	e-i	-15.08	-7.61	175	39	80	115	108
1BRS	e-i	-41.17	11.59	113	25	5	25	27
1CGI	e-i	-14.46	-18.29	161	36	123	27	28
1CHO	e-i	-14.10	-12.28	183	66	101	91	90
1CSE	e-i	-18.40	-8.56	248	107	201	64	80
1DFJ	e-i	-63.93	18.75	31	30	0	30	30
1FSS	e-i	-35.35	-0.13	15	6	7	5	5
1MAH	e-i	-30.01	-4.47	12	8	10	8	8
1PPE	e-i	-19.62	-7.22	354	124	145	174	168
1STF	e-i	-6.33	-10.25	71	22	36	17	20
1TGS	e-i	-23.48	-8.37	186	57	153	44	55
1UDI	e-i	-35.86	-0.53	102	41	78	44	44
1UGH	e-i	-37.84	0.72	115	34	54	46	44
2PTC	e-i	-22.87	-3.76	153	57	132	33	46
2SIC	e-i	-11.74	-14.01	89	66	84	52	61
2SNI	e-i	-12.18	-12.61	127	56	92	55	58
2TEC	e-i	-11.67	-11.17	270	131	166	70	75
4HTC	e-i	-53.05	4.07	118	10	7	47	38
1AHW	a-a	-44.05	18.89	186	93	0	93	93
1BQL	a-a	-34.52	7.58	280	43	49	39	39
1BVK	a-a	-10.98	9.20	234	15	33	22	25
1DQJ	a-a	-20.51	13.63	35	1	0	1	1
1E08	a-a	-21.39	-0.40	90	22	10	55	53
1FBI	a-a	-38.82	10.80	45	7	3	7	7
1IAI	a-a	-5.90	-2.22	139	30	82	56	57
1JHL	a-a	-19.67	10.05	51	10	0	10	10
1MLC	a-a	-21.44	-3.84	165	40	78	32	48
1NCA	a-a	-30.96	8.41	72	13	0	13	13
1NMB	a-a	-20.67	1.38	19	8	3	8	8
1QFU	a-a	-20.75	-0.74	126	16	45	48	42
1WEJ	a-a	-37.62	15.92	20	20	0	20	20
2JEL	a-a	-14.50	8.42	74	7	2	8	7
2VIR	a-a	-16.99	1.10	118	15	40	12	12

<sup>&</sup>lt;sup>a</sup>e-i: enzyme–inhibitor; a-a: antibody–antigen.

the Protein Data Bank (PDB) code, we show the Coulombic electrostatic interaction energy  $E_{\rm elec}$  between the two component proteins, calculated with the distance-dependent dielectrics  $\varepsilon=4r$ , as well as  $E_{\rm ACP}$ , the interaction energy through the interface calculated by the Atomic Contact Potential. As discussed, due to the properties of the ACP, a negative  $E_{\rm ACP}$  indicates a largely hydrophobic interface. Thus, Table II shows that in most antibody–antigen complexes the interface is mostly polar. The value of  $E_{\rm ACP}$  is more variable in enzyme–inhibitor complexes, but most of these have fairly hydrophobic interfaces

The unbound proteins for each target listed in Table II are given in the original benchmark paper.<sup>32</sup> To test the

discriminatory power of structure-based potentials, we have used these unbound proteins and the DOT docking program with a geometrical scoring function to generate 20,000 conformations for each target. The column labeled 20K in Table II shows the number of hits among these 20,000 structures. For evaluating various scoring functions we used them to rank the 20,000 conformations, selected the top 2000, and determined the number of hits. The better the scoring function, the closer we should get to the maximum number of "hits" among the 20,000 structures or "decoys," shown as 20K. Table II shows first the discrimination results for a "mixed" strategy we have used for many years.  $^{13,15}$  The strategy involves calculating the electrostatic interaction energy  $E_{\rm elec}$  and the ACP energy

 $<sup>^{\</sup>mathrm{b}}\mathrm{Based}$  on bound complex conformation.

<sup>&</sup>lt;sup>c</sup>Twenty thousand structures with the best shape complementarity, generated by DOT.

<sup>&</sup>lt;sup>d</sup>Two thousand structures selected from the 20,000 generated by DOT.

 $<sup>^{</sup>m e}$ Five hundred structures with the best  $E_{
m ACP}$  and 1500 structures with the best  $E_{
m elec}$ 

 $<sup>{}^{</sup>m f}$ Two thousand structures with the best  $E_{
m ACP}$ 

<sup>&</sup>lt;sup>g</sup>Two thousand structures with the best values of the DARS potential.

 $<sup>^{</sup>m h}$ Two thousand structures with the best values of the DARS + ACP potential.

 $E_{\mathrm{ACP}}$  for all the 20,000 structures, and retaining 500 with the best (lowest)  $E_{ACP}$  values, and an additional 1500 with the best  $E_{\rm elec}$  values. The motivation of this strategy is to have acceptable discrimination for complexes that are stabilized by strong hydrophobic interactions, but also for those that are not. We keep more structures with favorable electrostatics, because  $E_{\mathrm{elec}}$  is much more sensitive to small perturbations in the coordinates than  $E_{\rm ACP}$ . For comparison, Table II also lists the number of hits within the 2000 structures with the lowest ACP values, and clearly shows that the atomic contact potential is a good discriminator for complexes with a hydrophobic interface (i.e., when  $E_{ACP}$  is negative). However, most of the hits may be lost if  $E_{ACP}$  is positive, indicating a more polar interface. The "mixed" strategy improves results for many such complexes, but reduces the number of hits for complexes with a predominantly hydrophobic interface. The next column in Table II provides the number of hits among the 2000 conformations with the best (lowest) values of the new DARS potential. It appears that DARS performs somewhat worse than ACP for complexes with a hydrophobic interface, but it also finds hits for the electrostatically driven complexes, achieving a discrimination performance that is close to the one provided by the "mixed" strategy, although no explicit electrostatic interactions were taken into account. This is an important advantage, because a contact potential is much less sensitive to small perturbations in the coordinates than the electrostatic energy, and this will contribute to improving the docking results. Finally, the last column of Table II (DARS + ACP) demonstrates that the results can be further improved by a simple combination of the DARS and ACP potentials. Although DARS + ACP does not necessarily produce the best results among the considered strategies, it does not fail badly for any of the complexes, demonstrating a balanced performance.

For the docking we calculate the eigenvalues and eigenvectors of the matrix of pairwise interactions in the DARS + ACP potential, and restrict consideration to the eigenvectors corresponding to the few eigenvalues with the largest magnitude. Table III shows the top four eigenvalues and the corresponding eigenvectors of the combined DARS + ACP potential. If we ignore the last column  $(CS^{\gamma})$ , representing cystine-cystine interactions, and based on poor statistics, the largest elements in the first eigenvector are for  $LC^{\delta}$  and  $FC^{\zeta}$ , both groups representing hydrophobic side-chain atoms. Thus, the first and largest eigenvalue represents favorable (i.e., negative) hydrophobic interactions. Notice that in the second eigenvector the hydrophobic components are small, that is, the favorable contribution due to the first (negative) eigenvalue is not affected. The second eigenvector shows that Lys side chains (i.e., groups  $KN^{\zeta}$  and  $KC^{\delta}$ ) are generally not favorable in the interface. The same vector indicates repulsive samesign electrostatic (i.e.,  $DO^{\delta}$ – $DO^{\delta}$  and  $RN^{\eta}$ – $RN^{\eta}$ ) interactions. Because the eigenvalues  $\lambda_3$  and up are substantially smaller in magnitude than  $\lambda_1$  and  $\lambda_2$ , in this article we restrict consideration to the first two eigenvectors.

				77	ADLE III.	.	genvalu	es ann E	agenvec	Top Eigenvalues and Eigenvectors for the Datas $\pm$ ACL Totellian	me Dai		I OLEII	ıaı				
$\lambda^{a}$	N	$C^{\alpha}$	C	0	$GC^{lpha}$	$C^{eta}$	$KN^{\zeta}$	$KC^\delta$	$DO^{\delta}$	$RN^{\eta}$	$NN^{\delta}$	$RN^{arepsilon}$	$SO^{\gamma}$	$HN^{arepsilon}$	$YC^{\zeta}$	$FC^{\zeta}$	$LC^\delta$	$CS^{\gamma}$
-8.9	-0.17	-0.19	-0.18	-0.15	-0.08	-0.20	0.16	0.11	0.08	-0.05	0.02	-0.11	-0.05	-0.21	-0.18	-0.25	-0.37	-0.71
9.9	-0.21	-0.17	-0.19	-0.22	-0.23	-0.15	-0.48	-0.47	-0.21	-0.15	-0.26	-0.18	-0.28	-0.13	-0.10	-0.07	0.02	0.18
1.8	-0.08	-0.13	-0.14	-0.22	-0.21	-0.09	0.26	0.32	-0.67	0.29	-0.05	0.31	-0.09	0.12	-0.12	-0.03	0.07	0.13
-1.7	-0.09	-0.01	-0.07	-0.05	-0.02	0.07	-0.28	-0.22	0.13	0.36	0.02	0.46	-0.07	0.16	0.16	0.28	0.33	-0.50
a Figure	sonales with the lerges	the large	+	magnitude and th	tousouton o	ding eigenmentors	nyzortore											

TABLE IV. Percentage of Hits Among Conformations Generated by PIPER and ZDOCK for Enzyme-Inhibitor Complexes

	1000 pr	redictions		2000 pre	edictions	
Complex	PIPER	ZDOCK	PIPER	ZDOCK	Shape <sup>a</sup>	Filter <sup>b</sup>
1ACB	63.2	8.9	50.2	7.3	16.3	8.4
1AVW	8.1	5.7	6.1	4.0	0.1	0.5
1AVX	19.3	8.8	13.4	5.9	0.0	0.7
1AY7	0.5	2.1	0.8	1.7	5.7	2.7
1BRC	37.5	13.5	37.6	11.1	14.1	9.8
1BRS	0.7	3.3	1.3	3.6	10.2	5.7
1BVN	44.3	5.4	30.2	5.0	9.7	7.5
1CGI	47.7	17.6	42.8	14.2	30.6	11.8
1CHO	51.0	9.5	41.7	7.9	3.7	3.9
1CSE	4.5	1.9	4.3	2.4	0.0	1.4
1DFJ	3.0	16.3	2.1	10.1	2.9	1.4
1E6E	5.5	3.3	7.0	3.1	0.0	0.0
1EAW	11.4	13.1	11.5	10.0	4.2	6.4
1EZU	1.8	0.1	1.9	0.1	0.1	0.2
1F34	0.1	3.3	0.3	2.3	0.0	0.0
1FSS	2.1	1.4	2.0	1.5	0.0	0.1
1HIA	0.0	0.0	0.0	0.0	0.2	0.5
1KKL	0.0	0.0	0.0	0.0	0.0	0.0
1MAH	17.1	2.5	12.6	1.9	0.2	0.9
1PPE	60.5	47.8	43.7	33.9	26.3	11.9
1STF	3.5	7.0	2.3	4.4	0.8	0.5
1TGS	36.5	14.2	24.1	11.8	15.5	5.5
1TMQ	2.5	1.0	2.4	1.1	0.2	0.2
1UDI	21.7	3.3	16.5	2.2	0.0	0.4
1UGH	9.7	3.2	7.4	2.2	0.0	0.1
2KAI	6.1	2.3	7.5	2.4	2.5	2.5
2MTA	16.1	0.5	14.4	0.4	0.1	0.0
2PTC	32.2	6.3	21.8	5.5	4.6	2.5
2SIC	7.6	7.8	5.5	5.9	1.0	1.1
2SNI	10.3	0.9	9.4	1.5	1.1	1.5
2TEC	23.5	17.0	16.0	10.8	11.5	5.2
4HTC	7.3	4.3	4.8	3.3	1.2	0.7
7CEI	17.8	19.2	13.4	13.3	0.0	0.6

<sup>&</sup>lt;sup>a</sup>Top 2000 structures generated using shape complementarity.

### **Docking Results**

To test the new FFT program we first docked the enzyme-inhibitor pairs from the protein docking benchmarks 1.0 and 2.0. 32,33 This test set, shown in Table IV, excludes the complexes 1D6R and 1EWY for the same reason as 1TAB was excluded, that is, the complexes are oligomeric, and the intersubunit interactions affect the results. Although Table IV lists the PDB codes of the complexes, in the docking calculations we used the unbound-unbound or the unbound-bound structures of the component proteins as available in the benchmark sets. 32,33 Notice that for some complexes (e.g., for 1ACB) the two sets provide different unbound structures. In such cases the structures given in benchmark set 132 were used. In each calculation the center of mass of these component proteins were moved to the center of the coordinate system, and were randomly rotated to avoid that the correct docked conformations occur at a grid position. We checked on several complexes that such random perturbations in grid placement can change the results by more than 10%.

Table IV shows the percentage of the hits (structures with less than 10 Å  $C_{\alpha}$  RMSD from the native) in the top 1000 and in the top 2000 docked conformations generated by our program PIPER, as well as by one of the best FFTbased docking programs ZDOCK. 12 According to these results, PIPER is a major improvement relative to ZDOCK, although the latter also works extremely well for enzyme-inhibitor complexes. Generating 2000 structures for each of the 33 complexes, which is the default for the ZDOCK server, PIPER performs much better (defined as producing at least 50% more hits) than ZDOCK in 14 cases, it is better in 11 cases, worse in 5, and the results are essentially the same for 3 complexes. Thus, the results improve in 75% of the tests, and get worse in 15%. Considering only the top 1000 conformations, the improvements are even more pronounced for a number of complexes. The results become much better in 19 cases, better in 4, worse in 6, and remain essentially unchanged in 4. It is particularly advantageous to have a larger number of hits in the top 1000 structures, because

<sup>&</sup>lt;sup>b</sup>The 2000 best scoring structures selected from the 20,000 with the best shape complementarity.

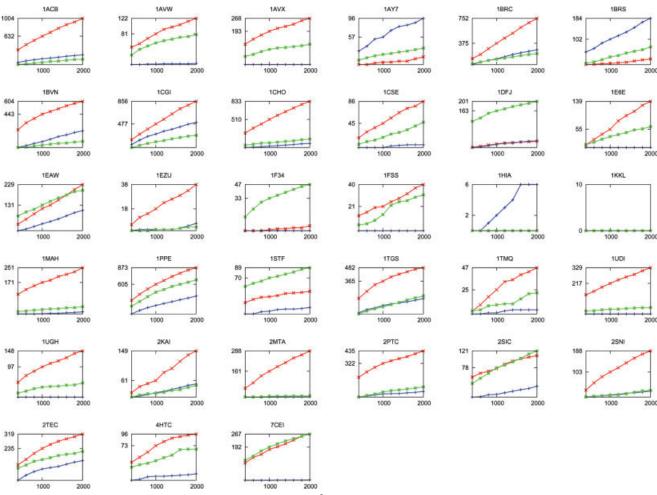


Fig. 2. The number of hits (near-native structures with less than 10 Å RMSD from the native) as function of the number of docked conformations retained from the FFT calculations for the enzyme–inhibitor complexes in the protein–protein docking benchmark sets 1 and 2. The curves are coded as follows: red crosses—PIPER; green stars—ZDOCK, version 2.3; blue—predictions using shape complementarity as the scoring function.

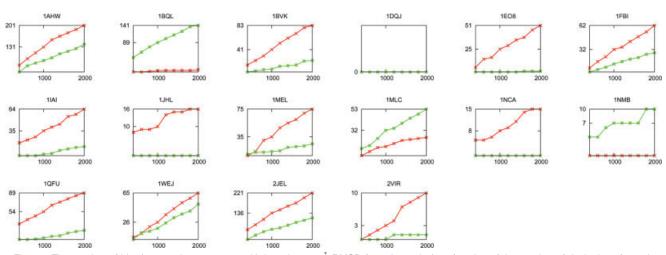


Fig. 3. The number of hits (near-native structures with less than 10 Å RMSD from the native) as function of the number of docked conformations retained from the FFT calculations for the antibody–antigen complexes in the protein–protein docking benchmark 1. Residues not in the Complementarity Determining Regions (CDRs) were masked by removing the attractive shape complementarity term. Color codes are as in Figure 2.

TABLE V. Percentage of Hits Generated for Antibody-Antigen Complexes

	1000 pr	edictions	2000 predictions			
Complex	PIPER	ZDOCK	PIPER	ZDOCK		
1AHW	13.1	8.7	10.0	7.0		
1BQL	0.4	8.9	0.4	7.0		
1BVK	4.1	0.7	4.2	1.1		
1DQJ	0.0	0.0	0.0	0.0		
1EO8	2.5	0.0	2.5	0.1		
1FBI	3.2	1.4	3.1	1.4		
1IAI	3.5	0.4	3.2	0.7		
1JHL	1.0	0.0	0.8	0.0		
1MEL	3.5	1.4	3.8	1.3		
1MLC	1.6	3.2	1.3	2.7		
1NCA	0.8	0.0	0.8	0.0		
1NMB	0.0	0.7	0.0	0.5		
1QFU	5.4	0.5	4.5	1.0		
1WEJ	2.6	1.8	3.3	2.5		
2JEL	13.6	6.8	11.1	5.8		
2VIR	0.3	0.0	0.5	0.1		

retaining 1000 rather than 2000 structures substantially facilitates finding the near-native conformations among them.  $^{13,15}$  The improvement is substantial for a number of complexes: for example, the top 1000 structures generated by ZDOCK for the complex 1ACB contains 89 hits, whereas the number of hits in 1000 structures generated by PIPER is 632. Of course, not all cases are this great, but as we stated, the improvement is more than 50% over ZDOCK for 19 of the 33 complexes in Table IV. The test set includes one "difficult" case (1KKL) for which neither method generated any near-native solution. The other structures for which PIPER did not give good results (e.g., 1BRS and 1DFJ) are nontypical enzyme-inhibitor complexes in which the association is driven by electrostatics rather than hydrophobic shape complementarity. In fact, the Atomic Contact Potential (ACP) is generally positive in these complexes (see Table II). It is clear that our current DARS potential is far from optimal if the interface is not hydrophobic enough, and further development is required for this case.

Table IV also shows the percentage of hits in the 2000 structures generated when using only shape complementarity as the scoring function. Finally, the last column, labeled "Filter," shows the results of generating 20,000 conformations using the shape complementarity part as the scoring function, and then selecting among them the 2000 conformations with the lowest values of the complete scoring function, including electrostatics and the DARS potential. From these results it is clear that including the latter energy terms in the docking stage yields much better results than docking first for good shape complementarity, and then reranking and filtering with the additional energy terms. In fact, for enzyme-inhibitor complexes even the top 70,000 decoys generated using only shape complementarity include fewer "hits" than the 2000 structures generated by using the complete scoring function in the docking. Figure 2 shows, for the enzyme-inhibitor complexes, how the number of hits depends on the number of docked structures retained. For comparison, the same curves are also shown for ZDOCK and for the use of shape complementarity term as the scoring function.

For the antigen-antibody docking problems we restricted consideration to the complexes in benchmark set 1.0. The results shown in Table V and Fig. 3, although comparable to those obtained by other docking methods, should be considered preliminary. PIPER yields more hits than ZDOCK in 12 of the 16 test problems, but the improvements are much less substantial than the ones we have seen for enzyme-inhibitor complexes. In fact, we already noted that the current version of the DARS potential is far from optimal for antibody-antigen complexes. This is not surprising, as analyses of protein complexes<sup>34-37</sup> show that the interfaces in enzyme-inhibitor and antibody-antigen complexes substantially differ.4 In particular, the latter interfaces are generally more polar, more planar, less well packed, and include more water molecules than the enzyme-inhibitor interfaces, and these differences result in more challenging docking and free energy evaluation problems. The analysis of docking results from three different research groups clearly shows this increased level of difficulty, even when the the segments that belong to the Complementarity Determining Regions (CDRs) are a priori known.4 Therefore, we are convinced that the results can be substantially improved by introducing a potential specific to these pairs. However, because the number of antigen-antibody complex structures in the PDB is relatively small, the development of antigen-antibody potentials will require reducing the number of atom types from 18, a topic of our current research. Nevertheless, the antibody-antigen docking results shown in Table V are good enough to indicate that the use of pairwise potentials in docking increases the number of hits among the complex structures generated.

The program PIPER was implemented in C for different cluster environments. The CPU time required for determining an average complex by docking the free component proteins is 40 min on a 30-dual processor cluster with P3 1GHZ nodes, and it is approximately 2 min on 512 nodes of an IBM BlueGene/L. The PIPER program is free to academic users, and will be sent upon request.

### **CONCLUSIONS**

We have extended the well-known Fast Fourier Transform (FFT) correlation approach for use with pairwise potentials defined among K different atom types. The method involves the eigenvalue—eigenvector decomposition of the  $K \times K$  interaction energy matrix, which converts the scoring function to the sum of K correlation functions. Although correlation functions can be efficiently evaluated by FFT calculations, the computational costs are prohibitive if K is large. The main contribution of this article is the observation that one can restrict consideration to a few (two to four) dominant eigenvalues and the corresponding eigenvectors of the interaction energy matrix without substantially reducing the accu-

racy of the method, but substantially reducing the computational costs and rendering the approach computationally feasible.

We use the new FFT method with a novel structurebased potential termed DARS (Decoys As the Reference State), extracted from a set of protein-protein complex structures. The novelty of the DARS potential is that we generate large sets of docked structures using shape complementarity as the scoring function, and use these structures to derive the frequencies of atom pair interactions in the reference state. Because the decoy structures do not depend on specific atomic interactions, they can be considered random complexes. Thus, the probability of interaction between two atoms of types I and J, respectively, can be estimated by determining the frequency of *I*–*J* interactions in protein complexes, divided by the frequency of *I*–*J* interactions in the decoy set. Because our goal is finding docked structures with high levels of "chemical" complementarity among the many compact structures generated by the FFT algorithm, it is natural to define the probability of interaction between two atoms as the frequency of the pair in native complexes, divided by the frequency of the same pair in the decoys. We have found the best performance using a linear combination of the new DARS potential and the Atomic Contact Potential (ACP),<sup>28</sup> an atom-level extension of the Miyazawa-Jernigan potential. Note that although the results of this article are based on the use of the combined DARS + ACP potential, the new FFT method can be used with any pairwise potential as part of the scoring function.

The method has been tested on docking enzyme-inhibitor and antibody-antigen pairs. It was expected that the use of pairwise potentials would improve the results. We have found that the improvement is substantial for enzyme-inhibitor complexes, whose energetics is well described by the current version of the DARS + ACP potential. Indeed, for 19 of the the 33 enzyme-inhibitor pairs considered, the number of "hits" (near-native structures) in the top 1000 docked conformations has been increased by more than 50% relative to ZDOCK, one of the best FFT-based docking programs. 12 Although the improvements are less substantive for antigen-antibody complexes, the results show that, due to the use of pairwise potentials, the new program PIPER tends to produce more hits than traditional FFT-based methods. Our results clearly show that the improvement is due to the use of the pairwise potential directly in the docking calculations. In fact, the two-step strategy of generating a large number of docked conformations and then ranking them with the pairwise potential yields much fewer nearnative structures. We believe that the results for antibody-antigen pairs can be further improved by developing a specific potential which is more appropriate for this type of complexes.

Because we need only a few eigenvectors to estimate the pairwise interaction matrix, the computational load is relatively moderate. This implies that the method will be applicable not only to contact potentials considered in the present work but also to distance-dependent potentials. The use of more detailed scoring functions is expected to further improve the results. We note that the distance-dependent potentials will be represented as sums of pairwise contact potentials with different cutoff radii, and hence, they will increase the number of required FFT calculations. However, with computer speeds consistently increasing, the approach will remain computationally feasible. Thus, the primary limitation on further improving the method is the accuracy of the potential functions. This accuracy in part is determined by the availability of protein–protein complex structures, which is expected to grow.

We note that in the past pairwise potentials have been used with great success in the second step of docking for finding near-native docked conformations among the thousands of structures generated. As shown here, it is much more effective to use such pairwise potentials directly in the docking step rather than for discrimination. Indeed, we have shown that the top 1000 structures from the docking generally include a fair number of near-native complex conformations. However, it is still necessary to identify the best models among these 1000 retained. It is clear that we cannot use the same potential that has been used for docking. More generally, we have recently shown that the combination of different potentials can substantially improve docking and discrimination results.<sup>25</sup> This fact emphasizes the need for developing higher accuracy potentials that combine molecular mechanics with empirical solvation and entropic terms, and are able to discriminate near-native complex conformations from the rest of structures generated by the docking.

### **ACKNOWLEDGMENTS**

The development of the PIPER program has been partially supported by SolMap Pharmaceuticals, Inc., in collaboration with Mercury Computer Systems, Inc. The application of the program to protein–protein docking and the development of structure-based potentials have been supported by grant GM61867 from the National Institute of Health. For the CPU time used for this article we are grateful to grant MRI DBI-0116574 and to the Boston University Scientific Computing and Visualization Center for the opportunity of running the program on the Blue Gene/L supercomputer.

#### REFERENCES

- Halperin I, Ma B, Wolfson H, Nussinov R. Principles of docking: an overview of search algorithms and a guide to scoring functions. Proteins 2002;47:409

  –443.
- Smith G, Sternberg M. Prediction of protein-protein interactions by docking methods. Curr Opin Struct Biol 2002;12:28–35.
- Camacho C, Vajda S. Protein-protein association kinetics and protein docking. Curr Opin Struct Biol 2002;12:36–40.
- Vajda S, Camacho C. Protein-protein docking: is the glass half full or half empty? Trends Biotechnol 2004;22:110–116.
- Katchalski-Katzir E, Shariv I, Eisenstein M, Friesem A, Aflalo C, Vakser I. Molecular surface recognition—determination of geometric fit between proteins and their ligands by correlation techniques. Proc Natl Acad Sci USA 1992;89:2195–2199.
- Mendez R, Leplae R, De Maria L, Wodak S. Assessment of blind predictions of protein-protein interactions: Current status of docking methods. Proteins 2003;52:51-67.

- Mendez R, Leplae R, Lensink M, Wodak S. Assessment of CAPRI predictions in rounds 3–5 shows progress in docking procedures. Proteins 2005:60:150–169.
- 8. Fernandez-Recio J, Totrov M, Abagyan R. Soft protein-protein docking in internal coordinates. Protein Sci 2002;11:280–291.
- Gray J, Moughon S, Wang C, Schueler-Furman O, Kuhlman B, Rohl C, Baker D. Protein-protein docking with simultaneous optimization of rigid-body displacement and side-chain conformations. J Mol Biol 2003;331:281–299.
- Gabb H, Jackson R, Sternberg M. Modelling protein docking using shape complementarity, electrostatics, and biochemical information. J Mol Biol 1997;272:106–120.
- Mandell J, Roberts V, Pique M, Kotlovyi V, Mitchell J, Nelson E, Tsigelny I, Ten Eyck L. Protein docking using continuum electrostatics and geometric fit. Protein Eng 2001;14:105–113.
- Chen R, Li L, Weng Z. ZDOCK: an initial-stage protein-docking algorithm. Proteins 2003;52:80–87.
- Camacho C, Gatchell D, Kimura S, Vajda S. Scoring docked conformations generated by rigid-body protein-protein docking. Proteins 2000;40:525–537.
- Li L, Cheng R, Weng Z. RDOCK: refinement of rigid-body protein docking predictions. Proteins 2003;53:693–707.
- Comeau S, Gatchell D, Vajda S, Camacho C. ClusPro: an automated docking and discrimination method for the prediction of protein complexes. Bioinformatics 2004;20:45–50.
- Kozakov D, Clodfelter K, Vajda S, Camacho C. Optimal clustering for detecting near-native conformations in protein docking. Biophys J 2005;89:867–875.
- 17. Skolnick J, Jaroszewski L, Kolinski A, Godzik A. Derivation and testing of pair potentials for protein folding. When is the quasi-chemical approximation correct? Protein Sci 1997;6:1–13.
- Lu H, Skolnick J. A distance-dependent atomic knowledge-based potential for improved protein structure selection. Proteins 2001; 44:223–232.
- Godzik A. Knowledge-based potentials for protein folding: what can we learn from known protein structures?. Structure 1996;4: 363–366
- Miyazawa S, Jernigan R. Estimation of effective interresidue contact energies from protein crystal structures: quasi-chemical approximation. Macromolecules 1985;18:534–552.
- Miyazawa S, Jernigan R. Residue-residue potentials with a favorable contact pair term and an unfavorable high packing density term, for simulation and threading. J Mol Biol 1996;256: 623–644.
- Rojnuckarin A, Subramaniam S. Knowledge-based interaction potentials for proteins. Proteins 1999;36:54–67.
- Zhou H, Zhou Y. Distance-scaled, finite ideal-gas reference state improves structure-derived potentials of mean force for structure selection and stability prediction. Protein Sci 2002;11:2714–2726.
- Moont G, Gabb H, Sternberg M. Use of pair potentials across protein interfaces in screening predicted docked complexes. Proteins 1999:35:364–373.
- Murphy J, Gatchell D, Prasad J, Vajda S. Combination of scoring functions improves discrimination in protein-protein docking. Proteins 2003;53:840–854.

- Lu H, Lu L, Skolnick J. Development of unified statistical potentials describing protein-protein interactions. Biophys J 2003;84: 1895–1901.
- Liu S, Zhang C, Zhou H, Zhou Y. A physical reference state unifies the structure-derived potential of mean force for protein folding and binding. Proteins 2004;56:93–101.
- Zhang C, Vasmatzis G, Cornette J, DeLisi C. Determination of atomic desolvation energies from the structures of crystallized proteins. J Mol Biol 1997:267:707–726.
- Zhang C, Cornette JL, DeLisi C. Consistency in structural energetics of protein folding and peptide recognition. Protein Sci 1997;6:1057–1064.
- Keskin O, Bahar I, Badretdinov A, Ptitsyn O, Jernigan R. Empirical solvent-mediated potentials hold for both intra-molecular and inter-molecular inter-residue inter-actions. Protein Sci 1998; 7:2578–2586.
- Glaser F, Steinberg DM, Vakser IA, Ben-Tal N. Residue frequencies and pairing preferences at protein-protein interfaces. Proteins 2001;43:89-102.
- 32. Chen R, Mintseris J, Janin J, Weng Z. A protein–protein docking benchmark. Proteins 2003;52:88–91.
- Mintseris J, Wiehe K, Pierce B, Anderson R, Chen R, Janin J, Weng Z. Protein-protein docking benchmark 2.0: an update. Proteins 2005:60:214–216.
- 34. Jones S, Thornton J. Principles of protein–protein interactions. Proc Natl Acad Sci USA 1996;93:13–20.
- 35. LoConte L, Chothia C, Janin J. The atomic structure of protein–protein recognition sites. J Mol Biol 1999;285:2177–2198.
- 36. Jackson R. Comparison of protein–protein interactions in serine protease–inhibitor and antibody–antigen complexes: implications for the protein docking problem. Protein Sci 1999;8:603–613.
- Chakrabarti P, Janin J. Dissecting protein-protein recognition sites. Proteins 2002;47:334–343.
- 38. Lindemann S, Yershova A, LaValle S. Incremental grid sampling strategies in robotics. In *Proc. Sixth International Workshop on the Algorithmic Foundations of Robotics*; 2004.
- Vakser I, Aflalo C. Hydrophobic docking: a proposed enhancement to molecular recognition techniques. Proteins 1994;20:320–329.
- Chen R, Weng Z. Docking unbound proteins using shape complementarity, desolvation, and electrostatics. Proteins 2002;47:281–294.
- Pokarowski P, Kloczkowski A, Jernigan RL, Kothari NS, Pokarowska M, Kolinski A. Inferring ideal amino acid interaction forms from statistical protein contact potentials. Proteins 2005; 59:49–57.
- 42. Furuichi E, Koehl P. Influence of protein structure databases on the predictive power of statistical pair potentials. Proteins 1998; 31:139–149.
- Bernauer J, Poupon A, Aze J, Janin J. A docking analysis of the statistical physics of protein–protein recognition. Phys Biol 2005; 2:17-23
- 44. Tsunogae Y, Tanaka I, Yamane T, Kikkawa J, Ashida T, Ishikawa C, Watanabe K, Nakamura S, Takahashi K. Structure of the trypsin-binding domain of Bowman-Birk type protease inhibitor and its interaction with trypsin. J Biochem (Tokyo) 1986;100: 1637–1646.