



Fold recognition by concurrent use of solvent accessibility and residue depth

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ABSTRACT

Recognizing the structural similarity without significant sequence identity (called fold recognition) is the key for bridging the gap between the number of known protein sequences and the number of structures solved. Previously, we developed a fold-recognition method called SP3 which combines sequence-derived sequence profiles, secondary-structure profiles and residue-depth dependent, structure-derived sequence profiles. The use of residue-depth-dependent profiles makes SP³ one of the best automatic predictors in CASP 6. Because residue depth (RD) and solvent accessible surface area (solvent accessibility) are complementary in describing the exposure of a residue to solvent, we test whether or not incorporation of solvent-accessibility profiles into SP³ could further increase the accuracy of fold recognition. The resulting method, called SP⁴, was tested in SALIGN benchmark for alignment accuracy and Lindahl, LiveBench 8 and CASP7 blind prediction for fold recognition sensitivity and model-structure accuracy. For remote homologs, SP4 is found to consistently improve over SP³ in the accuracy of sequence alignment and predicted structural models as well as in the sensitivity of fold recognition. Our result suggests that RD and solvent accessibility can be used concurrently for improving the accuracy and sensitivity of fold recognition. The SP⁴ server and its local usage package are available on http://sparks.informatics.iupui. edu/SP4.

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Key words: fold recognition; remote homolog; structure prediction; solvent accessibility

INTRODUCTION

An effective method for protein structure prediction is homology or comparative modeling, which predicts the structure of a query sequence based on the similarity of the sequence to the sequences with known three-dimensional structures. Fold recognition, on the other hand, goes beyond sequence similarity by attempting to recognize structurally related sequences in the absence of significant sequence identity. An accurate method for fold recognition is essential for achieving the goal of structural genomics project^{1,2} because proteins adopt a limited number of unique structural folds many of which may have already been solved.^{3,4}

One approach to improve the accuracy of fold-recognition is to improve the accuracy of a single method for aligning remote homologs. Advances have been made from profile-based alignment techniques, $^{5-15}$ to a combination of sequence profile and structural information (from either threading or structure-profile scores). $^{16-26}$ Methods of machine-learning-based information retrieval have also been developed. 18,27 For recent review or evaluation, see Refs. 28–34.

Another approach is to integrate several existing methods for an improved ability of detecting structural homologs. This type of consensus methods (or meta-servers), collects models from other single servers and uses them to generate consensus predictions. ^{35–39} The emergence of consensus methods has led to a significant improvement in the quality of predicted structures, as monitored by recent CASP, CAFASP, and LiveBench competitions. ⁴⁰ However, these methods rely on the availability and accuracy of individual methods. Thus, developing or improving individual methods, albeit challenging, is crucial ⁴¹ to further advance the accuracy of fold recognition.

In searching for an improved fold-recognition method, we focus on the effect of solvation since the driving force of protein folding is water mediated interaction among amino acid residues. The most widely-used geomet-

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636 PROTEINS

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rical parameter to quantify the effect of solvation is solvent accessibilities (SA) of amino acid residues. SA of an amino acid residue in a protein is defined here as the ratio of the solvent accessible surface area of the residue in a folded protein structure to that in the unfolded structure. SA of a residue equals to 0% (or 100%) if the residue is fully buried from (or fully accessible by) solvent. In general, hydrophobic residues are less solvent accessible than hydrophilic residues.

The SAs of residues at different sequence positions can be calculated analytically for any template structures. This information together with the propensity score of residual burial tendency (mainly derived from either representative protein structures or polar-to-nonpolar solvent transfer energy), has been widely used in the sequence-tostructure matching scores in many methods. 17,18,43,44 Predicted SAs of query sequences, 45,46 like predicted secondary structures, 47–49 are also employed, 50–53 although SA is less conserved than secondary structures and thus more difficult to predict. 45,46 It is expected that SA information might be useful in sequence to structure match of regions with low sequence similarity, where the alignment is error prone between correctly predicted secondary structure element of query sequence and the corresponding native secondary structure string of template structure.

In addition to SA, another important geometrical parameter to characterize the effect of solvation is residue depth (RD). RD of an amino acid residue in a protein is defined as the average distance of its atoms from the nearest surface water molecule.⁵⁴ RD is originally developed to study protein interior (e.g., to distinguish residues close to the protein surface and those deep within the protein interior) and is shown to correlate better than SA with protein stability, because it provides a more detailed description of residue burial.⁵⁵ The application of RD information to fold recognition, unlike SA, is introduced only recently in a method⁵⁶ called SP³. In SP³, RD is used to derive a depth-dependent, structure-based sequence profile of template. The depth-dependent sequence profile is, then, combined with secondary-structure and sequence-derived profiles for fold recognition. SP³ is one of the best performing single-method servers in CASP 6.57,58

Although both SA and RD describe the level of solvent exposure of a residue, studies^{55,59,60} indicate that they provide substantially different, complementary information and there is no simple correlation between them. This raises an interesting question: can SA be incorporated into SP³ to further improve the accuracy of fold recognition?

In this work, we address this question by developing a fold recognition method, called SP⁴. SP⁴ integrates sequence-derived profile, secondary structure profile, RD-dependent structure-based profile, and solvent accessibility profile to recognize structural homologs. To our knowledge, none of the previously published work has incorporated both RD and SA information for fold rec-

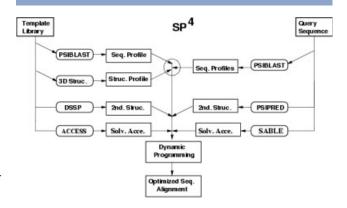


Figure 1The flow chart of SP⁴ for query-template alignment.

ognition. We show that SP⁴ provides a robust improvement in remote-homolog recognition comparing with its variants lacking either RD or SA or both.

METHOD

The SP⁴ method is built on the SP³ method⁵⁶ by incorporating the relative solvent accessibility profile. The flow-chart of SP⁴ algorithm for pairwise query-template alignment is shown in Figure 1. The details are as follows.

Profile generation

For matching a query sequence to a template structure, the SP⁴ algorithm requires four profiles as input. They are (1) an RD-dependent sequence profile derived from the template structure, (2) sequence profiles generated from query and template sequences, (3) predicted and actual secondary structures of the query sequence and the template structure, respectively, and (4) predicted and actual SA of the query sequence and the template structure, respectively.

RD-dependent structure-derived sequence profile

A template structure is divided into structural fragments with a sliding window of 9 amino acid residues along the template sequence. Each structural fragment of the template is compared to same-size fragments in a collection of 1011 high-resolution, nonhomologous protein structures.⁶¹ The similarity score between a template fragment and a fragment in the structural database is given by the equation

$$S_{\text{str}} = d_{\text{rmsd}}^2 + 10 \times \sum_{j \in [1,9]} [\exp(-D_j^{\text{template}}/2.8) - \exp(-D_j^{\text{database}}/2.8)]^2, \quad (1)$$

where $d_{\rm rmsd}$ is the root-mean-squared distance (RMSD) between the two fragment structures, $D_j^{\rm template}$ and $D_j^{\rm database}$

are the depth⁵⁵ of the fragment residue j from the surface of the template structure and the depth of the corresponding residue from the surface of the protein structure in the structural database, respectively. The depth (in Å) is scaled by 2.8 Å, the diameter of a water molecule. For each template fragment, the sequences of top 25 fragments ranked by the similarity score $S_{\rm str}$ are retained for constructing the structure-derived sequence profile.

Sequence profiles

The sequence profiles are constructed by three iterations of PSIBLAST⁵ searching (*E* value cutoff of 0.001) against nonredundant (NR) sequence database, which was filtered to remove low-complexity regions, transmembrane regions, and coiled-coil segments.⁶² Sequence profiles for the sequences of both query and template are obtained.

Secondary-structure

The secondary structure of query sequence with a three-state classification (helix, strand, and coil) is predicted by PSIPRED.⁶² The secondary structures of templates are obtained by MolAuto⁶³ using a DSSP-like algorithm.⁶⁴

Solvent accessibility

The solvent accessibility of query sequence with a twostate classification (buried and exposed based on a 25% SA threshold) is predicted by SABLE .⁶⁵ The residue SAs of templates are obtained by the ACCESS algorithm⁴² (normalized by the extended state solvent-accessible surface areas which are obtained from Chothia⁶⁶).

Profile-profile comparison

The local–local dynamic programming method⁶⁷ is used to optimize the score that matches the query profiles with template profiles. The alignment score for aligning query sequence position i with the template sequence position j is given by the equation

$$S(i,j) = -(1 - w_{\text{struc}}) F_{\text{query}}^{\text{seq}}(i) \cdot M_{\text{template}}^{\text{seq}}(j) - w_{\text{struc}} F_{\text{template}}^{\text{struc}}(j) \cdot M_{\text{query}}^{\text{seq}}(i) - w_{\text{2ndary}} \delta_{si,sj} - w_{\text{sa}} \delta_{ai,aj} + s_{\text{shift}},$$
 (2)

where $F_{\rm query}^{\rm seq}(i)$ is the sequence-derived frequency profile of the query sequence, $M_{\rm template}^{\rm seq}(j)$ is the sequence-derived log odd profile (position-specific substitution matrix as in PSIPRED) of the template, $F_{\rm template}^{\rm struc}(j)$ is the RD-dependent structure-derived frequency profile of the template, $M_{\rm query}^{\rm seq}(i)$ is the sequence-derived, log odd profile of the query sequence, $s_{\rm shift}$ is a to-be-determined constant shift, $w_{\rm struc}$, $w_{\rm 2ndary}$, and $w_{\rm sa}$ are the three weight parameters for RD-dependent structure-derived sequence

profiles, secondary structure profiles, and solvent accessibility profiles, respectively, and $\delta_{si,sj}$ (or $\delta_{ai,aj}$) is a simple function of the secondary structure element si of the query at sequence position i and sj of the template at sequence position j (or ri and rj, the states based on the SA):

$$\delta_{m,n} = \begin{cases} 1 & m = n, \\ -1 & m \neq n, \end{cases}$$

where m = si and n = sj, or m = ri and n = rj. A secondary-structure dependent gap penalty is employed. That is, no gaps are allowed if $si = sj = \alpha$ (helix) or $si = sj = \beta$ (sheet). The gap opening (w_0) and gap extension (w_1) penalties are applied to other regions.

Template ranking

We used the same empirical method as in SP³ for template ranking. Briefly, the templates are ranked based on the difference between the raw alignment score and the reverse alignment raw score in which the alignment is made with the reversed query sequence.⁶ If there is no structural similarity between first two models (defined as zero MaxSub score⁶⁸), templates will be re-ranked by the larger one of the two *Z*-scores, which are calculated based on the raw alignment score normalized by the full alignment length and the non-end-gap alignment length, respectively. Here, the *Z*-score for a template *i* is given by $Z(i) = [S_n(i) - S_n^{ave}]/S_n^{sd}$, where ave and sd denote the average and standard deviation of normalized scores for all the templates.

Method variants, parameterization and Testing

For a better understanding of the role of SA and RD in the accuracy of fold recognition, we compare many variants of SP methods that include SP¹ (Sequence profile only), SP² (Sequence profile + secondary structures), SP³ (Sequence profile + secondary structures + RD-dependent structure-derived sequence profile), SP²⁺ (Sequence profile + secondary structures + SA), and SP⁴ (Sequence profile + secondary structures + RD-dependent structure-derived sequence profile + SA). There are six adjustable parameters (w_0 , w_1 , w_{2ndary} , w_{sa} , w_{struc} , and s_{shift}) for SP⁴ and five for SP²⁺. The parameters for all methods are optimized separately for the best alignment accuracy for the ProSup benchmark.⁶⁹ The parameters for SP, SP², and SP³ were obtained previously.⁵⁶ The parameters for SP²⁺ and SP⁴ are obtained in this work.

All SP methods are tested in SALIGN,¹¹ Lindahl,⁷⁰ and LiveBench 8⁷¹ benchmarks. To facilitate comparison with early SP methods, we use the original profiles⁵⁶ used in SP¹, SP², and SP³ for ProSup, Lindahl, and LiveBench 8 benchmarks. In the SALIGN benchmark, new profiles are generated for all SP methods. However, it

638 PROTEINS DOI 10.1002/prot

 Table I

 The Alignment Accuracy for ProSup Training Set

| Method | Accuracy ^a (%) | ±4 Residues ^b (% | | |
|------------------|---------------------------|-----------------------------|--|--|
| SP ¹ | 55.9 | 72.4 | | |
| SP ² | 62.9 | 79.2 | | |
| SP ²⁺ | 64.4 | 80.5 | | |
| SP ³ | 65.3 | 82.2 | | |
| SP ⁴ | 66.8 (68.1) ^c | 83.8 | | |

^aOne-to-one match given by the method and benchmark.

should be emphasized that the comparison made between SP methods and other published methods is not a strict one, because of the limitation of time-dependent library used by different methods. Both SP³ and SP⁴ are also evaluated in the recently completed CASP7 blind test.

RESULTS

Training set: ProSup benchmark

The ProSup benchmark consists of 127 pairwise protein alignments obtained by structural alignment program ProSup.⁶⁹ We optimized the parameters of SP²⁺ and SP⁴ by maximizing the percent of matches between the structural alignment and the alignment made by the SP^x fold-recognition method. The optimization is done by sequential grid-search until further iterations do not improve the alignment accuracy.⁵⁶ The final optimized parameter sets are shown in the supplement material (Table S1).

Table I compares the performance of SP methods. After optimization, introducing the SA term to SP² and SP³ makes 1.5% and 1.5% in alignment accuracy, respectively. Similar improvements are observed if we define correct alignment as a match within four residues from structural alignment. This can be compared to 7, 2.4, and 1% improvements from adding secondary structures (SP¹ to SP²), RD-dependent, structure-derived sequence profiles (SP² to SP³), and RD-independent structure-derived sequence profiles, respectively.

SP⁴ achieves the highest alignment accuracy (66.8%). This is 1.5% higher than that from SP³ (without SA), 2.4% than from SP²⁺ (without RD dependent term), and 3.9% than from SP² (without both RD and SA). The trend of additive improvement is consistent with the complementary nature of RD and SA. Table I also shows that if the exact SA states (rather than predicted) are used in SP⁴, the alignment accuracy can be further improved by another 1%.

Test set 1: SALIGN benchmark

To test the alignment accuracy, we used the SALIGN benchmark. 11 This benchmark contains 200 selected pairs with an average pair sharing 20% sequence identity and 65% of structurally equivalent C_{α} atoms superposed with an rmsd of 3.5 Å.11 We use SALIGN benchmark as an independent test from the ProSup benchmark for alignment accuracy because sequence identities between any pairs of proteins from the two benchmarks are less than 40%. Alignment accuracy for this benchmark is assessed by calculating the fraction of the alignment that is the same as the structural alignment obtained from the TMalign program⁷² [i.e., TM overlap]. We use TMalign instead of CE program⁷³ because TMalign is a global alignment program which yields a single alignment between the whole protein pairs by optimizing their TMscore. In contrast, CE is a local alignment program which often produces several alignments between different (sometimes overlapped) fragment pairs conferring structural similarity. These alternative alignments between overlapped fragment pairs prevent a straightforward evaluation of different SP methods.

The alignment accuracies of different SP methods in the SALIGN benchmark are shown in Table II. Introduction of the SA term (SP² to SP²⁺ and SP³ to SP⁴) leads to at least 1% consistent improvement in alignment accuracy. This improvement is smaller than that from the use of secondary structure (2.7% from SP¹ to SP²) or the use of RD-dependent structure-derived sequence profiles (2.1% from SP² to SP³). Nevertheless, we observed here again that concurrent use of RD and SA made an additive improvement. That is, the 3.1% improvement of SP⁴ over SP² roughly equals to the sum of improvement of SP⁴ over SP³ (1.0%) and that of SP⁴ over SP²⁺ (1.8%). Similar results are obtained if the assessment of alignment accuracy is made only on those most reliably aligned residues. These reliably aligned residues (i.e., TM core overlap⁷²) are the pair of residues whose C_{α} atom distance between the query structure and template structure is less than 5 Å. To make clearer the statistical significance of the difference among SP methods, Figure 2 shows the alignment accuracies of

Table IIThe Alignment and Model Accuracy for the SALIGN Benchmark

| Method | SP^1 | SP^2 | SP^{2+} | SP^3 | SP^4 |
|--|--------------|--------------|--------------|--------------|--------------|
| TM overlap ^a (%) Core TM overlap ^b (%) | 51.5 53.8 | 54.2 56.6 | 55.5 58.0 | 56.3 58.8 | 57.3 59.9 |
| Total MaxSub Score ^c | 68.7 | 70.3 | 71.8 | 74.1 | 75.3 |

One-to-one match given by the method and TMalign.

 $^{\rm b} \rm One\textsc{-}to\textsc{-}one$ match, only the residue pairs of distance $<\!5$ Å between query and template are counted.

^cTotal MaxSub score for the models built based on the fold-recognition alignment.

^bMatch within 4 residues from one-to-one match.

^cThe number in parenthesis is the alignment accuracy if the exposed and buried states are obtained from the native structure of the query sequence rather than from prediction.

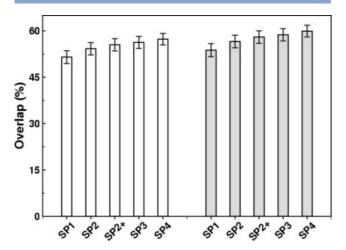


Figure 2 The alignment accuracies of different SP methods in SALIGN benchmark. The open bars on the left and gray bars on the right are for TM overlaps and TM core overlaps, respectively. (See the Results section.)

various methods along with error bars of one standard deviation of the mean.

Another way to measure the performance of SP4 is to calculate the accuracy of the model built from the foldrecognition alignment. This is done by transferring the C_{α} coordinates of the template structures to the aligned residues in the query sequence. The accuracy of the resulting model can be assessed by the MaxSub score between the model and the known native structure. Max-Sub score⁶⁸ between the predicted (model) structure and the native structure is a measure of similarity between 0.0 (no similarity) and 1.0 (perfect similarity). The value is calculated by searching the largest subset of well-superimposed residues (<3.5 Å). Table II reports the sum of the MaxSub scores for the models built by various SP methods in the SALIGN benchmark. The magnitude of improvement in MaxSub scores and the additive trend is similar to that in alignment accuracy. This indicates the improvement of SP4 over SP3 and SP4 over SP2+ not only in alignment but also in the structural models produced.

The improvement of alignment accuracy due to the SA and RD terms in SALIGN benchmark, although is slightly smaller than that in the training ProSup benchmark, is remarkable, considering that all SP methods are trained by a different structural alignment program called ProSup whereas the SALIGN benchmark is evaluated according to the TM structural alignment. It is known that different structural alignment program might generate different alignment for structurally variable regions. 72,74 Thus, the improvement of alignment accuracy due to the SA and/or RD terms is independent of the method that is used for measuring alignment ac-

Test set 2: Lindahl benchmark

The Lindahl set contains 976 proteins, with 555, 434, and 321 pairs of proteins in the same family, superfamily, and fold, respectively. 70 The fold-recognition sensitivity of each method is tested by aligning each protein with the rest 975 proteins, and checking whether or not the method can recognize the member of same family, superfamily or fold as the first rank or within the top 5 ranks. Results obtained from various SP methods and several other methods are listed in Table III. We use the original profiles from Ref. 56 to facilitate the comparison within SP methods (see Method section). We emphasize that the comparisons between SP methods and other published methods only serve as an approximate guide because of the time dependent nature of sequence database for sequence profiles.

Within SP methods, the concurrent use of RD and SA consistently improves the success rates for recognizing proteins within superfamily or fold. The biggest improvement of SP⁴ over SP³ is 6.2% on ranking top 5 at the fold level. There is a slight reduction at the family level $(-0.7\% \text{ from } SP^3 \text{ to } SP^4)$. This suggests that the most significant improvement of SP4 over SP3 is on recognizing remote homologs. Indeed, the best success rate within SP methods on ranking top 5 at the family level is

Table III The Success Rates for Recognizing Proteins Within the Same Family, Superfamily, or Fold in the Lindahl Benchmark

| | Family only (%) | | Superfamily only (%) | | Fold only (%) | |
|-----------------------|--------------------|-------|----------------------|-------|---------------|-------|
| Method | Top 1 | Top 5 | Top 1 | Top 5 | Top 1 | Top 5 |
| PSIBLAST ^a | 71.2 ^b | 72.3 | 27.4 | 27.9 | 4.0 | 4.7 |
| HMMER ^a | 67.7 | 73.5 | 20.7 | 31.3 | 4.4 | 14.6 |
| SAMT98 ^a | 70.1 | 75.4 | 28.3 | 38.9 | 3.4 | 18.7 |
| THREADER ^a | 49.2 | 58.9 | 10.8 | 24.7 | 14.6 | 37.7 |
| FUGUE ^a | 82.2 | 85.8 | 41.9 | 53.2 | 12.5 | 26.8 |
| RAPTOR ^c | 75.2 | 77.8 | 39.3 | 50.0 | 25.4 | 45.1 |
| PROSPECT IId | 84.1 | 88.2 | 52.6 | 64.8 | 27.7 | 50.3 |
| SPARKS ^e | 81.6 | 88.1 | 52.5 | 69.1 | 24.3 | 47.7 |
| SPARKS-0 ^e | 82.9 | 90.1 | 56.5 | 72.4 | 23.1 | 43.6 |
| FOLDpro ^f | 85.0 | 89.9 | 55.5 | 70.0 | 26.5 | 48.3 |
| SP ¹ | 81.3 | 87.2 | 51.8 | 65.0 | 20.2 | 35.8 |
| SP ² | 82.5 | 87.0 | 52.5 | 67.1 | 24.9 | 40.2 |
| SP ²⁺ | 82.2 | 86.8 | 55.1 | 68.4 | 27.8 | 45.8 |
| SP ³ | 81.6 | 86.8 | 55.3 | 67.7 | 28.7 | 47.4 |
| SP ⁴ | 80.9 | 86.3 | 57.8 | 68.9 | 30.8 | 53.6 |

640 PROTEINS DOI 10.1002/prot

^bThe percentage in each cell is the fraction of correctly recognized match of proteins in the same fold, super family, family as first rank or within top 5 rank of the template. The highest result within a category is highlighted in bold.

From Ref. 22.

dFrom Ref. 24.

eFrom Ref. 44. SPARKS-0 is SPARKS without the structure-derived score.

^fResults from 10-fold cross validation, Ref. 27.

Table IVThe Model Quality for Lindahl Benchmark

| Method | SP ¹ | SP^2 | SP^{2+} | SP^3 | SP ⁴ |
|---------------------|--------------------|--------|-----------|--------|-----------------|
| Total ^a | 328.6 ^b | 340.8 | 343.4 | 349.2 | 352.5 |
| Family ^c | 286.8 | 292.8 | 292.7 | 293.5 | 295.6 |
| Superfamilyd | 87.5 | 94.3 | 99.9 | 100.8 | 108.9 |
| Fold ^e | 21.7 | 27.8 | 30.2 | 34.5 | 37.1 |

^aAll 976 proteins.

achieved by SP¹ which only includes sequence-derived profiles. Among all methods listed, SP⁴ has the highest success rate on the fold level (both first and top 5 ranks) and the superfamily level for the first rank.

The above results are based on somewhat subjective SCOP classification,⁷⁵ which may not reflect the true accuracy. To further confirm the improvement due to SA and RD, we calculated the MaxSub score for the structural models built from their respective first-ranking templates. As shown in Table IV, the use of either SA or RD dependent term improves MaxSub scores at almost all levels. For SA term (i.e., from SP² to SP²⁺), the improvement is not visible at the family level (0%) and more significant at more difficult superfamily (6%) and fold (9%) levels. For RD dependent term (i.e., from SP² to SP³), the improvement is also small at the family level and more significant at the superfamily (7%) and fold (24%) levels. The use of both SA and RD dependent term (i.e. SP4) is at least 0.7, 8.0, and 7.5% better than either SP3 or SP2+ at the family, superfamily, and fold level, respectively. This further suggests that the information of solvation is more useful for improving remotehomolog recognition when the sequence identity between query and template is low. For the whole benchmark, the improvement of SP⁴ over SP² (11.7) in raw MaxSub

Table VThe Performance of SP Methods in LiveBench 8 Benchmark

| | Hard targets only (99) ^a | | | Easy targets only (73) ^a | | |
|-----------------|-------------------------------------|--------|------------|-------------------------------------|--------|--------|
| Method | Sens.b | Spec.c | $MaxSub^d$ | Sens.b | Spec.c | MaxSub |
| SP ¹ | 39 | 23.7 | 9.65 | 67 | 67.0 | 27.53 |
| SP^2 | 50 | 30.4 | 11.46 | 66 | 65.7 | 28.05 |
| SP^{2+} | 53 | 35.8 | 11.85 | 66 | 65.1 | 28.11 |
| SP^3 | 52 | 29.9 | 13.20 | 68 | 67.4 | 29.04 |
| SP ⁴ | 57 | 36.2 | 13.21 | 69 | 66.8 | 28.95 |

^aBased on whether a target could match a known PDB structure with PSI-BLAST e-value less than 0.01 (http://BioInfo.PL).

score is similar to the summed improvement of SP⁴ over SP²⁺ (9.1) and SP⁴ over SP³ (3.3).

Test set 3: LiveBench 8 benchmark

LiveBench 8 consists of 172 targets which could be further divided into 99 "hard" and 73 "easy" ones based on whether a target could match to known PDB structures with PSI-BLAST e-value $< 0.01.^{71}$ The comparison between different methods is based on sensitivity, specificity, and total MaxSub scores (accuracy). Sensitivity is defined as the number of targets whose first-ranking models have a MaxSub score of greater than 0.01. Specificity is defined as the average number of recognized proteins that have scores better than 1–10 false positives. Here, all SP results are based on C_{α} models of aligned residues.

As shown in Table V, the improvement of SP⁴ over SP³ (or SP²⁺) is mostly on "hard" targets. SP⁴ is 9.6 and 21.1% better than SP³ in terms of sensitivity and specificity, respectively. SP⁴ is also 7.5 and 11.5% better than SP²⁺ on sensitivity and total MaxSub scores. Comparing with SP² which does not count either RD or SA information, SP⁴ is 14, 19, and 15% better in sensitivity, specificity, and MaxSub scores, respectively.

Test set 4: CASP7 blind test set

The recently completed CASP7 consists of 95 targets and was carried out between May and July of 2006, with the participation of about 68 single/meta servers and 119 expert groups (for tertiary structure predictions category). The 95 targets are officially classified into 108 template-based-modeling (TBM) domains and 19 free-modeling (FM) domains, based on whether or not the structurally similar template (deposited in PDB) had been identified and used in prediction. The performance of different methods are officially evaluated based on the GDT *Z* score⁷⁶ calculated by official CASP7 assessors (http://www.predictioncenter.org/casp7/).

Like SPARKS2 and SP³, SP⁴ was directly involved in CASP7 blind test as an automatic server. The template

Table VIThe Performance in CASP7 Blind Prediction^a

| | Top 1 | | | Top 5 | | |
|----------------------------|-------------|--------------|--------------|------------|--------------|--------------|
| Method | FM^b | TBMc | ALL^d | FM^b | TBMc | ALL^b |
| SPARKS2 SP ³ | -2.1 2.7 | 35.5 44.8 | 36.1 47.4 | 1.5 7.5 | 42.8 48.7 | 44.0 55.1 |
| SP ⁴ | 3.3 | 45.2 | 47.9 | 12.8 | 55.1 | 66.6 |

^aThe GDT-Z score is based on the official CASP7 assessment.

^bThe summed MaxSub score for the first-ranked models.

^cFamily only.

dSuperfamily only.

eFold only.

^bSensitivity.

^cSpecificity

^dTotal MaxSub scores.

^b19 Free modeling targets.

c108 Template-Based Modeling targets.

^dAll 124 targets. (There are four targets belonging to TBM/FM according to official CASP7 assessors.)

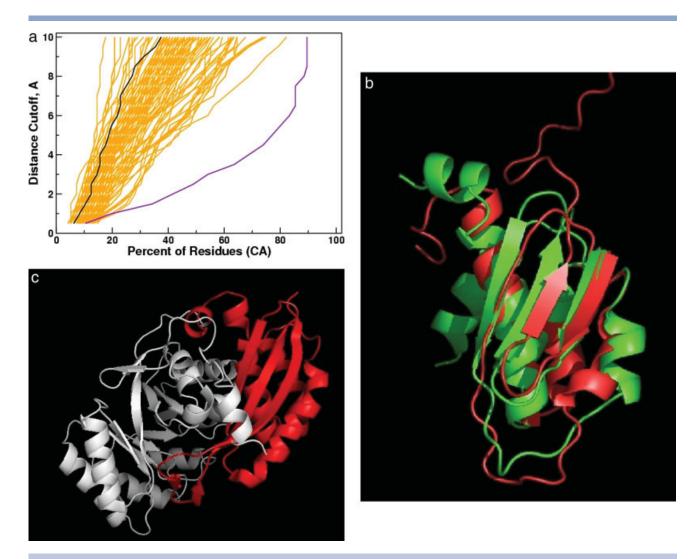


Figure 3

(a) GDT plot of T0321_D1 for top-1 model. The plot was generated from the official CASP7 assessment (http://www.predictioncenter.org/casp7/). The x-axis is the largest subset of residues in the model that can be fitted to the target in a rigid body sequence dependent superposition, and the y-axis is the cutoffs ranging from 0.5 to 10.0 Å.

Results are plotted as a line for each model separately, with the models from SP⁴, SP³ and all other methods (server and expert) colored violet, black, and orange, separately.

(b) The superposition between the native structure of T0321_D1 (green, pdb code: 2h1q) and the top-1 model (red) generated with modeller based on SP⁴ prediction.

(c) The template (pdb code: 2mnr_) identified by SP⁴ server, with the region aligned to top-1 model of SP⁴ colored by red.

library for SP⁴, SP³, and SPARKS2 was built in the same way. This was done by using the 40% representative domains of SCOP 1.61. The entire chains of multiple-domain proteins are contained in the library. The library was then updated with new proteins released after SCOP 1.61 if they have less than 40% sequence identity with the sequences already in the library.

As shown in Table VI, the improvement of SP^4 over SP^3 is more evident on the level of Top-5 model comparing with that on the level of Top-1 model, and the difference is more significant on the FM targets comparing with that on the TBM ones. For all the 124 domains, the GDT Z score of Top-5 model by SP^4 is 20% higher than that of SP^3 and ranked No. 5 among all 68 CASP7 serv-

ers (following Zhang-Server, ROBETTA, Pmodeller6, and RAPTOR). Based on the independent visual assessment of FM targets by official assessors, SP⁴ is also ranked No. 5 among all servers by following Zhang-Server, ROBETTA, Pmodeller6, and Keasar-server (http://www.predictioncenter.org/casp7/, free modeling presentation by Neil Clarke). Unlike SP⁴, many of the top servers are belong to consensus methods.

Here, we present the prediction of T0321_D1 (target 321, domain 1) by SP⁴ as a successful example. Figure 3 (a) shows that the quality of top-1 model by SP⁴ is significantly better than those predicted by all other expert/server methods including SP³. Beside the top 1 model, there are three other ones within the top 5 models by SP⁴ which are also

642 PROTEINS DOI 10.1002/prot

among the best models available (not shown). In the top-1 model, 74 of 96 residues (77%) can be superposed to the native structure based on LGA tool⁷⁶ with a 5 Å cutoff, and the fit RMSD is 2.9 Å [Fig. 3(b)]. SP⁴ produced this model because it successfully recognized the structurally similar fragment from a large protein [Fig. 3(c)]. The remarkably different accuracy between SP⁴ and SP³ for this target indicates the power of concurrent use of SA and RD in fold recognition.

DISCUSSION

In this article, the method SP4 is developed by incorporating predicted and actual solvent-accessibility profiles into SP³. Testing on SALIGN, Lindahl, LiveBench 8 benchmarks, and CASP7 blind prediction all indicates that the new method improves over SP³ not only in the accuracy of sequence alignments and predicted model structures but also in the sensitivity of detecting remote homolog with the same structural fold. In SP³, the solvent exposure of residues has been somewhat taken into account through the use of RD in generating structurederived profiles. An improvement of SP4 over SP3 (and SP²⁺) confirms that SA and RD are complementary in describing the effect of solvation. 55,59,60 More importantly, the trend of additive improvement we observed suggest that SP⁴, by concurrent use of SA and RD, has captured their complementary information to improve the accuracy and sensitivity of fold-recognition.

In this assessment of the usefulness of SA in improving SP³, both predicted and actual SA profiles are based on two states (exposed and buried) classified according to an arbitrary threshold of 25%. The two-state accuracy by SABLE⁶⁵ is 77.3% in the ProSup benchmark, 77.9% in the SALIGN benchmark, 74.3% in the Lindahl benchmark and, 75.3% in the LiveBench 8 benchmark. (The accuracy based on Matthews correlation coefficient is also shown in Supplement material, Table S2.) This accuracy is consistent with the published performance of this and other state-of-the-art predictors. 46,77,78 A recent method SPINE reaches a 10-fold cross-validated accuracy of 79% for a large set of 2640 proteins.⁷⁹ We found that SP4 based on actual SA profiles for both query and templates improve the alignment accuracy by an additional 1% for ProSup. This indicates that the performance of SP⁴ can be further improved with a more accurate method for SA prediction.

The two-state classification increases the accuracy of prediction by reducing number of states in SA. This is at the cost of losing the detailed fluctuation pattern of SA along the sequence. As shown in Figure S1, residues with a certain RD value could span a wide range of SA value, 55,60 and an arbitrary cutoff of SA (e.g., 25% used in this work) could only capture a snapshot of the "local fingerprints" of 3D packing. Thus, it remains to be tested

whether a SA profile based on the multiple classes or real value prediction, ⁴⁶,80–82 when coupled with RD information, can further improve the accuracy of fold-recognition. The work is in progress.

One interesting question is whether or not the information derived from the three-dimensional structure of template is useful for improving fold-recognition. It was found difficult to harness three-dimensional structural information in fold-recognition.⁸³ The observation that SP⁴ is more accurate than either SP²⁺ or SP³ indicates the importance of integrating sequence, 2D (SS and SA), and 3D derived information together for detection of remote homologs.

One extension of this work is to replace SP² used in multiple sequence alignment method SPEM⁸⁴ by SP²⁺. SPEM combines the pairwise alignment generated from SP² with a progressive algorithm to generate multiple sequence alignment. The method provides significant improvements in aligning remote homologs when comparing with the state-of-the-art techniques such as ClustalW.⁸⁵ Because SP²⁺ consistently improves over SP² in all benchmarks tested here, we expect that it will further increase the quality of multiple sequence alignment (for the multiple alignment of remote homologs, in particular).

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