

# Multiple Protein Sequence Alignment From Tertiary Structure Comparison: Assignment of Global and Residue Confidence Levels

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**ABSTRACT** An algorithm is presented for the accurate and rapid generation of multiple protein sequence alignments from tertiary structure comparisons. A preliminary multiple sequence alignment is performed using sequence information, which then determines an initial superposition of the structures. A structure comparison algorithm is applied to all pairs of proteins in the superimposed set and a similarity tree calculated. Multiple sequence alignments are then generated by following the tree from the branches to the root. At each branchpoint of the tree, a structure-based sequence alignment and coordinate transformations are output, with the multiple alignment of all structures output at the root. The algorithm encoded in STAMP (STructural Alignment of Multiple Proteins) is shown to give alignments in good agreement with published structural accounts within the dehydrogenase fold domains, globins, and serine proteinases.

In order to reduce the need for visual verification, two similarity indices are introduced to determine the quality of each generated structural alignment.  $S_c$  quantifies the global structural similarity between pairs or groups of proteins, whereas  $P_{ij}$  provides a normalized measure of the confidence in the alignment of each residue. STAMP alignments have the quality of each alignment characterized by  $S_c$  and  $P_{ij}$  values and thus provide a reproducible resource for studies of residue conservation within structural motifs. © 1992 Wiley-Liss, Inc.

**Key words:** protein structure comparison, sequence alignment, structure alignment, dynamic programming, dehydrogenase fold

## INTRODUCTION

There are now many protein families with more than one member having a crystallographically or NMR determined three-dimensional structure. Comparison of structures within these families aids our understanding of the evolutionary and thermodynamic constraints on the particular protein fold (e.g., see Bashford et al.<sup>1</sup>). Superimposition of simi-

lar protein structures and generation of a corresponding structure-based sequence alignment is an essential step in any such analysis. The resulting alignments provide reliable information that may be used as a benchmark to establish confidence levels for sequence alignment derived without structural information (e.g., see Barton and Sternberg,<sup>2</sup> Argos,<sup>3</sup>) for the derivation of environment-specific mutability matrices (e.g., see Overington et al.,<sup>4</sup>) as the basis for molecular modelling studies (e.g., see Blundell et al.<sup>5</sup>), and for the derivation of patterns or profiles that encapsulate the essential features of the protein fold (e.g., see Barton and Sternberg,<sup>6</sup> Bashford et al.,<sup>1</sup> Taylor,<sup>7</sup> Luthy et al.,<sup>8</sup> Bowie et al.,<sup>9</sup>).

A number of automated techniques for the comparison of two protein three-dimensional structures have been devised. Rossmann and Argos<sup>10</sup> compare two structures by first superimposing them on their centroids. A probability function consisting of distance and conformational terms is then applied to the comparison of all pairs of residues, and a path tracing routine used to identify the best set of equivalences between the two proteins. A search of rotational and translational space is performed to locate the orientation of the structures that yields the largest set of equivalences.

In contrast, the techniques of Remington and Matthews<sup>11</sup> and McLachlan<sup>12</sup> first divide each of the two proteins into a set of overlapping segments of a predetermined length. All pairs of segments are then fitted by a least-squares procedure and the distribution of r.m.s. (root mean square) values examined for unusual features. This procedure avoids the need to search rotational/translational space at the cost of ignoring the conformational contribution of residues outside the length of the fragments. In common with fragment based sequence comparison methods (e.g., see Fitch<sup>13</sup>), the procedure does not yield directly a sequence alignment and does not cope explicitly with the possibility of gaps (insertions and deletions).

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Recently, dynamic programming sequence comparison methods that are able to produce an alignment including gaps (e.g., see Needleman and Wunsch<sup>14</sup>), have been applied to protein three-dimensional structures.<sup>15</sup> Taylor and Orengo<sup>16-18</sup> built these ideas into an elegant "two-level dynamic programming" procedure for three-dimensional structure comparison. Their approach finds the optimal alignment of two protein structures that simultaneously takes into account several different features of the protein conformation (e.g., phi/psi angles, accessibility, interresidue vectors). Similarly, Šali and Blundell<sup>19</sup> make use of many protein features in their comprehensive comparison programme COMPARE. However, they mix dynamic programming with simulated annealing optimization as an alternative to the Taylor and Orengo two-level dynamic programming method.

The procedure of Šali and Blundell<sup>19</sup> is the only general method previously described that can systematically generate structure-derived multiple protein sequence alignments. Sutcliffe et al.,<sup>20</sup> present an algorithm that is able to generate a multiple sequence alignment and superposition for closely similar structures, but their method is sensitive to concerted shifts in secondary structure elements.<sup>19</sup> Alignments derived by visual inspection are always effected by subjectivity to some extent, making them difficult to use for comparison purposes. This is particularly manifest when alignments derived by different people disagree. For this reason alignments obtained by the method of Šali and Blundell (when available) provide ideal benchmarks against which to compare others.

In this study we describe a multiple structure alignment procedure that provides a series of alignments for structures or domains thought to have a similar fold by following a systematically derived hierarchy of structural similarity.

The method has been successfully applied to several protein structural families. These include closely related structures, such as aspartyl proteinases or immunoglobulin constant domains, as well as more distantly related structures with little sequence similarity, e.g., triose phosphate isomerase (TIM) barrels and viral coat proteins exhibiting the "jelly roll" fold (unpublished results). The procedure has also aligned the distantly related structures of azurin and plastocyanin in agreement with Adman<sup>21</sup> (unpublished results). Here we illustrate the method by the alignment of the globin and serine proteinase families for which it gives nearly identical results to the more complex Šali and Blundell technique and similar to other previously published structure-based alignments. The success of the algorithm is further demonstrated by the more stringent test of aligning domains exhibiting the "dehydrogenase fold."

Our method has the advantage over previous tech-

niques of assigning both the overall quality of alignment at each stage of the hierarchy and of providing a confidence level for each aligned group of amino acids within each alignment, thus reducing the need for extensive visual inspection of structure superpositions prior to application of the alignment.

## METHODS

The multiple structure alignment algorithm proceeds in three stages: (1) generation of an initial superposition and structure-derived tree based upon a multiple sequence alignment; (2) refinement of the superposition found in stage 1 and creation of multiple sequence alignments and structural trees derived from the structural equivalences found; and (3) assignment of reliability values to each region of the alignments. The algorithm makes extensive use of three techniques, least-squares fitting, hierarchical cluster analysis, and dynamic programming. For the sake of clarity, these are briefly reviewed here.

When given two structures, *A* and *B*, techniques for least-squares fitting<sup>22, 23</sup> take a set of *n* atoms (*x*<sub>*i*</sub>, *y*<sub>*i*</sub>, *z*<sub>*i*</sub>) from *A* and *n* equivalent atoms from *B* and calculate the transformation (translation and rotation) that minimises the r.m.s. deviation as given by:

$$\left( \sum_{i=1}^n ((x_{A_i} - x_{B_i})^2 + (y_{A_i} - y_{B_i})^2 + (z_{A_i} - z_{B_i})^2 / n) \right)^{1/2}.$$

In the following descriptions, "fitting" or "superposition" will refer to the calculation of an r.m.s. value and associated transformations for a pair of coordinate sets.

Hierarchical cluster analysis takes *N* objects and scores calculated for the comparison of each of the *N(N-1)/2* possible pairs of objects. The method returns a dendrogram or tree that organizes the objects according to their similarity, with the most similar objects in the group clustered at the highest "branches" of the tree. This technique has been applied to protein sequence data to estimate phylogeny,<sup>24</sup> as a starting point for multiple sequence alignment<sup>25</sup> and as a starting point for multiple structure alignment.<sup>19</sup> The rationale for using a tree-like addition as opposed to a simple sequential addition is that the most similar structures are aligned first, and therefore aligned most accurately. More distantly related structures will be compared at later stages allowing the most accurate alignments to be maintained for as long as possible during the procedure. Any multiple comparison method that makes use of a tree-like addition will suffer from pairwise-order dependency: the results obtained may differ if the order in which individual elements are compared is altered. The experience of others<sup>19, 25</sup> and ourselves suggests that a tree-like addition is an effective way of minimizing order of

dependency and generally produces superior alignments over a simple sequential addition.

Dynamic programming is a general technique that takes two sequences  $A_1 \dots P$ ,  $B_1 \dots Q$  and a matrix  $M_{P,Q}$  where each value  $M_{ij}$  contains the score for the comparison of  $A_i$  with  $B_j$ . The method returns the best alignment of the two sequences including insertions/deletions and a score for the alignment. Since its introduction in molecular biology,<sup>14</sup> dynamic programming has been widely used to optimize the alignment of two or more protein sequences.<sup>25</sup> However, the method is generally applicable to sequential data of any sort.<sup>26</sup> Here, we extend the use of dynamic programming to sequences of three-dimensional coordinates as first suggested by Barton and Sternberg.<sup>15</sup>

### Initial Superposition

Given the three-dimensional structures of  $N$  proteins that share similar folds, the amino acid sequences are first multiply aligned using the algorithm of Barton and Sternberg.<sup>2</sup> The  $C_\alpha$  atoms of the  $M$  aligned positions where no gap occurs are then used for structure superposition. For each of the  $N(N-1)/2$  pairs of proteins, the  $M$  equivalent atoms are compared by a least-squares fitting procedure,<sup>12</sup> giving r.m.s. values for each comparison. Single linkage cluster analysis is then applied to these r.m.s. values and a dendrogram calculated. A multiple structure superposition is generated by following the dendrogram from the tips of the branches to the root, superimposing the structures joined at each branchpoint or *node*. When more than two structures are being compared, averaged atomic coordinates are used in calculating the r.m.s. fit. Figure 1 illustrates an example derivation of a dendrogram from a similarity matrix derived from the pairwise comparison of four structures.

### Multiple Structure Alignment

The initial superposition is derived from a preselected set of atoms. Although the superposition provides the best three-dimensional fit of the chosen atoms according to the hierarchy, the fit will also reflect any errors in the initial sequence alignment. In order to refine the fit and to obtain a multiple sequence alignment based upon structural criteria, we have adapted the probability function of Rossmann and Argos.<sup>10</sup>

When comparing two structures, this function expresses the probability,  $P_{ij}$ , that residue  $i$  in one structure and residue  $j$  in another are equivalent as two terms:

$$P_{ij} = \left\{ \exp - \frac{d_{ij}^2}{2E_1^2} \right\} \left\{ \exp - \frac{s_{ij}^2}{2E_2^2} \right\}$$

where  $E_1$  and  $E_2$  are constants,  $d_{ij}$  is the distance between  $C_\alpha$  atoms, and  $s_{ij}$  is determined by:

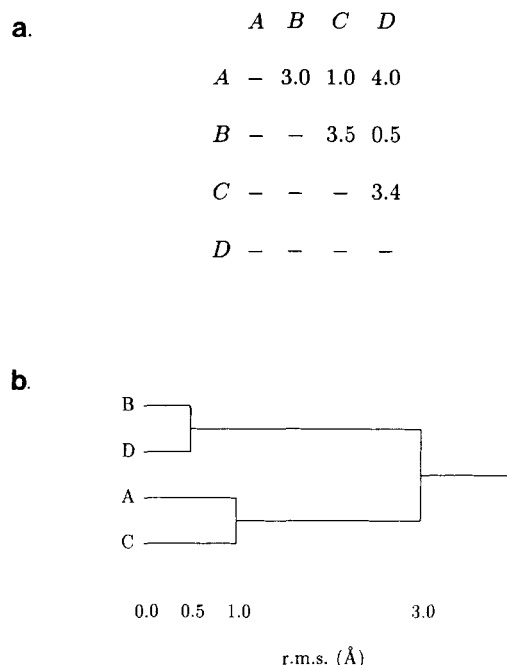


Fig. 1. Given four proteins A,B,C, and D, pairwise structure fitting may show rms values as shown in (a). Single linkage cluster analysis yields the dendrogram shown in (b). The initial superposition for these proteins would be obtained by fitting B to D (similarity of 0.5 Å), then A to C (1.0 Å), then fitting AC to BD using average coordinates for the AC and BD pairs to obtain the necessary transformations.

$$s_{ij}^2 = \{ (\Delta x_{ij} - \Delta x_{i-1,j-1})^2 + (\Delta y_{ij} - \Delta y_{i-1,j-1})^2 + (\Delta z_{ij} - \Delta z_{i-1,j-1})^2 + (\Delta x_{ij} - \Delta x_{i+1,j+1})^2 + (\Delta y_{ij} - \Delta y_{i+1,j+1})^2 + (\Delta z_{ij} - \Delta z_{i+1,j+1})^2 \}.$$

The first term gives a measure of the proximity in space of the two residues, whereas the second provides a measure of their conformational similarity. The values of  $E_1$  and  $E_2$  effect to weight the terms. For example, if  $E_1 > E_2$ , then the probability will be more indicative of the conformational similarity rather than the proximity of the two residues. This function may be readily expanded to include terms incorporating aspects of protein structure such as hydrogen bonding, distance to centroid, or solvent accessibility. The value of  $P_{ij}$  is calculated for every possible pair of residues, resulting in an  $m$  by  $n$  matrix of probabilities, where  $m$  and  $n$  are the number of residues in each structure being compared.

The path through this matrix with the highest sum of values (the *score*) corresponds to the best possible set of equivalences. This best path is found using a modified Smith-Waterman dynamic programming algorithm.<sup>27</sup> In our experience, no gap penalty is required to obtain good alignments and superpositions.

Once a set of equivalent residues has been found, the probability associated with each equivalence is examined. If a probability is greater than the

threshold value,  $T$  (see Parameters section, following), then the corresponding pair of residues is used to perform a least-squares fit. Having superimposed the two structures, a new probability matrix is calculated, and the process is repeated iteratively until a minimum score difference (one-tenth of a percent of the score from the previous iteration) is obtained.

Multiple structure alignment follows a procedure similar to tree-based multiple sequence alignment methods (e.g., see Barton<sup>25</sup>). Each possible pairwise comparison for the group of proteins to be aligned is performed. Structural similarity scores,  $S_c$  (see Alignment Quality below) are used to derive a similarity matrix and corresponding dendrogram. The dendrogram is then followed from the branches to the root superimposing structures in order of their similarity.

When two groups of structures are to be aligned, the previously aligned sequences remain fixed relative to each other, and the probability matrix is calculated as follows. All pairs of comparisons between the two groups of structures are performed (e.g., if the alignment of  $A$  and  $B$  is to be aligned with the alignment of  $C$  and  $D$ , then the possible comparisons are  $A-C$ ,  $A-D$ ,  $B-C$ , and  $B-D$ ). The average  $P_{ij}$  values for these comparisons at each position are used to create the probability matrix. In instances where a residue in one protein is to be compared to a gap in another, the neutral value of zero is added to the probability matrix at that position. The best path through the probability matrix, and the set of equivalent residues are found as for the pairwise comparisons.

In order to obtain an appropriate set of coordinates with which to perform a fit, an average set of equivalenced  $C_\alpha$  atoms is calculated for both groups of structures being superimposed. Having superimposed the two groups of structures, the process is repeated iteratively as for the pairwise comparisons. The obtained transformations and corresponding sequence alignments are output at each node of the dendrogram so that each sub-alignment may be examined separately.

### Normalization

In order that the relative quality of alignments within different families of proteins may be assessed, the  $P_{ij}$  values must be expressed on a standard scale. Pairwise alignments of different families of proteins show that equivalent  $P_{ij}$  values are observed for similarly aligned regions of secondary structure. However, the averaged  $P_{ij}$  values when comparing two structures decrease as the number of structures aligned increase, as do the mean and standard deviation (s.d.) This behavior is shown for 68 globins in Figure 2a. The mean and s.d. are also functions of the length of the structures being compared (Fig. 2b).

The dependency of mean and s.d. on length may be

corrected by selecting a typical mean ( $\bar{x}_t = 0.020$ ) and s.d. ( $\sigma_t = 0.10$ ) to give a standard background measure for any comparison. These values were chosen as they are representative of typical protein lengths (i.e., 100–200 residues). The dependency of  $P_{ij}$  values on the number of structures being compared is overcome by applying a correction to these typical values for each multiple alignment as follows. During multiple alignment the mean and s.d. for the obtained averaged  $P_{ij}$  values are calculated and the corresponding pairwise values (i.e., for a single sequence of a similar length) are determined from the exponential relationship shown in Figure 2b:

$$\begin{aligned}\bar{x}_{\text{pairwise}} &= \exp(-0.950 \log(L) + 0.686) \\ \sigma_{\text{pairwise}} &= \exp(-0.474 \log(L) + 0.0152)\end{aligned}$$

where  $L$  is the average length of the two alignments or single sequences being aligned. The ratio of multiple to pairwise values are used to correct the typical value:

$$\bar{x}_c = \bar{x}_t \left( \frac{\bar{x}_{\text{multiple}}}{\bar{x}_{\text{pairwise}}} \right) \quad \sigma_c = \sigma_t \left( \frac{\sigma_{\text{multiple}}}{\sigma_{\text{pairwise}}} \right).$$

$P'_{ij}$  values are calculated from:

$$P'_{ij} = \left( \frac{P_{ij} - \bar{x}_c}{\sigma_c} \right).$$

The  $P'_{ij}$  values follow similar trends over a wide range of protein structural families. Using  $P'_{ij}$  instead of  $P_{ij}$  enables standards for individual residue accuracy and overall alignment quality to be established (see Alignment Quality).

### Parameters

Setting  $E_1 = E_2 = 3.8$ , after Rossmann and Argos<sup>10</sup> leads to good superpositions and alignments, provided that the initial superposition based on sequence alignment is reasonable. Setting  $E_1 < E_2$  (making the probability of equivalence more dependent on distance than local conformation) leads to alignments based to heavily on the actual distance between atoms and does not accommodate concerted shifts in secondary structure. Setting  $E_1 > E_2$  (making the probability of equivalence more dependent on local conformation) can be useful if it is thought that the initial superposition based on sequence alignment is poor. This relaxes the proximity requirement and avoids equivalencing those residues that the initial superposition has placed fortuitously near to each other. In such instances it is necessary to apply the method twice, once with  $E_1 > E_2$ , and again with  $E_1 = E_2$ . In this way the poor initial superposition can be corrected before being finally refined (e.g., see Dehydrogenase Fold Domains below).

A threshold value of  $P'_{ij} > T = 4.5$  was found to

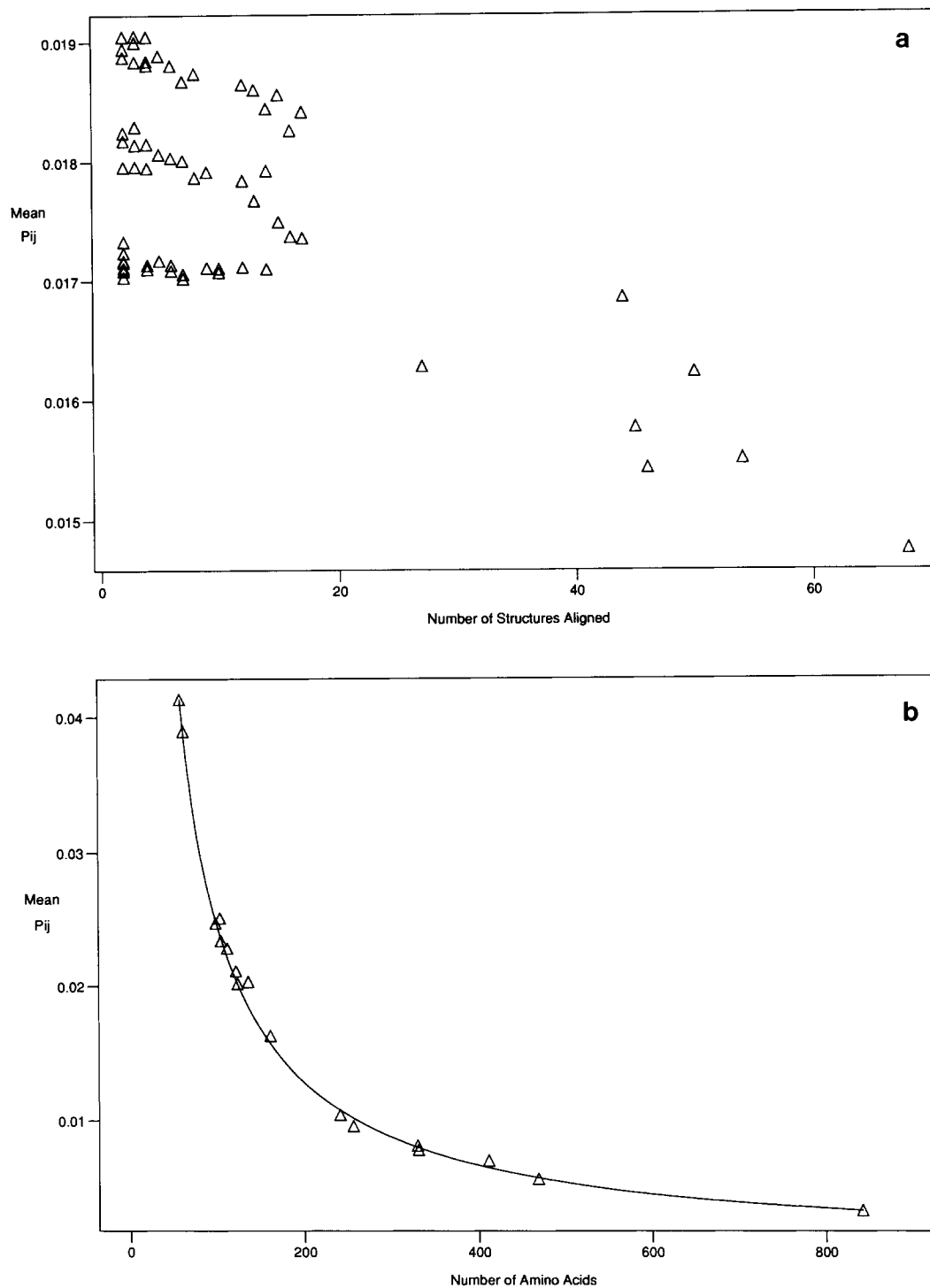


Fig. 2. (a) Plot of mean  $P_{ij}$  versus the number of structures aligned for the globins ( $E_1 = E_2 = 3.8$ ). The plot of standard deviation vs. number of structures aligned shows a similar trend. (b) Plot of mean  $P_{ij}$  vs. number of amino acids for pairwise com-

parisons of proteins (of lengths ranging from 58 to 842) with themselves ( $E_1 = E_2 = 3.8$ ). The plot of standard deviation vs. length also shows a similar trend.

yield good superpositions and alignments. Values less than 4.5 result in too many poor equivalences being chosen, leading to a poor fit, whereas values

greater than 4.5 give too few equivalences and as a result the method is unable to correct an inaccurate initial superposition.

TABLE I. Examples of  $S_c$  Values Obtained for Pairwise Comparisons\*

| Comparison                                   | Brookhaven codes (chain, range) |                   | $S_c$ | % reliability <sup>†</sup> |       |
|--|---------------------------------|-------------------|-------|----------------------------|-------|
|  | A                               | B                 |       | A                          | B     |
| Globin compared to itself                    | 1PMB (B, all)                   | —                 | 9.8   | 100.0                      | 100.0 |
| Serine proteinase compared to itself         | 3SGB (E, all)                   | —                 | 9.8   | 100.0                      | 100.0 |
| Immunoglobulin variable domains              | 2FB4 (L, 1–109)                 | 2IG2 (L, 1–109)   | 9.6   | 98.2                       | 98.2  |
|  | 2FB4 (L, 1–109)                 | 1MCP (H, 1–124)   | 5.9   | 64.9                       | 58.1  |
| Aspartyl proteinases                         | 4APE (—, all)                   | 2APR (—, all)     | 7.7   | 83.6                       | 84.9  |
|  | 4APE (—, all)                   | 1PSG (—, all)     | 5.8   | 70.6                       | 63.8  |
| Snake toxins                                 | 1NXB (—, all)                   | 1CTX (—, all)     | 5.5   | 62.9                       | 54.9  |
| Viral coat proteins                          | 2MEV (2, all)                   | 4SBV (A, all)     | 4.0   | 41.0                       | 51.3  |
|  | 2MEV (1, all)                   | 2RS1 (3, all)     | 3.3   | 31.0                       | 35.2  |
| Immunoglobulin constant and variable domains | 2IG2 (L, 1–109)                 | 1MCP (L, 115–220) | 3.8   | 39.6                       | 41.5  |
|  | 2IG2 (L, 1–109)                 | 4FAB (H, 118–216) | 3.4   | 32.4                       | 36.4  |
| Dehydrogenase fold domain and globin         | 8ADH (—, 191–320)               | 2HHB (B, all)     | 1.1   | 15.8                       | 17.7  |
| Globin and immunoglobulin variable domain    | 2HHB (B, all)                   | 2IG2 (L, 1–109)   | 0.068 | 0.0                        | 0.0   |

\*Values quoted are for the comparison of structure A with B.

<sup>†</sup>Refers to percentage of each structure that lies in regions of high reliability (i.e., stretches of three residues or more having  $P_{ij}' > 6.0$ ).

### Alignment Quality

For a structure superposition and alignment to be useful without recourse to visual inspection of superpositions, it is necessary to have criteria for assessing the overall alignment quality, and for locating regions of high reliability within the alignment. These criteria were determined by aligning nine families of structures (immunoglobulin constant and variable domains, aspartyl proteinases, snake toxins, viral coat proteins, cytochrome c structures, serine proteinases, dehydrogenase fold domains, and globins) and analyzing the resulting superpositions using interactive graphics.

### Individual equivalence accuracy

Examination of the superimposed families of proteins shows that regions within the alignments having  $P_{ij}'$  greater than 6.0 for stretches of three residues or more generally correspond to highly conserved elements of secondary structure when  $E_1 = E_2 = 3.8$ . Regions with values between 4.0 and 6.0 are normally structurally equivalent (>50% of the time). Such regions often correspond to alignment of similar, yet not identical regions of secondary structure, such as the alignment of a  $\beta$ -turn with one turn of an  $\alpha$ -helix. Regions with values of less than 4.0 do not usually correspond to structurally conserved regions.

### Overall quality

The scores from the dynamic programming (best path) routine provide a measure of alignment quality for both the pairwise and multiple comparisons. However, since these scores are a function of alignment length, it is necessary to normalize them so

that alignments of unrelated families of proteins may be compared to one another. A more accurate measure of alignment quality is achieved by modifying the score,  $S_p$  (a sum of  $P_{ij}'$  values), from the best path routine as follows:

$$S_c = \left( \frac{S_p}{L_p} \right) \left( \frac{L_p - i_a}{L_a} \right) \left( \frac{L_p - i_b}{L_b} \right)$$

where  $S_c$  is the structural similarity score,  $L_p$  is the path length returned from the best path routine,  $L_a$  and  $L_b$  are the lengths of the two alignments (or single sequences) compared and  $i_a$  and  $i_b$  are the total length of gaps introduced into each alignment or sequence during the derivation of the new alignment. The first term removes the dependence on length, whereas the second two terms prevent short stretches of equivalences between unrelated proteins from yielding high scores.

Criteria for assessment of overall alignment quality were determined by examining a dendrogram for representatives of nine structural families. Comparison of any structure, such as a globin or serine proteinase (Table I), with itself yields a value of 9.8 (i.e., all  $P_{ij}'$  values are equal to 1.0 for the best path).  $S_c$  values between 5.5 and 9.8 are obtained for the comparison of highly similar structures, such as immunoglobulin variable domains, or aspartyl proteinases, and generally imply that the alignments are reliable over >50% of their length.  $S_c$  values between 2.5 and 5.5 are obtained when less similar structures are compared, such as immunoglobulin constant and variable domains, snake toxins, or viral coat proteins. Values less than 2.5 indicate unrelated structures such as the comparison of a globin to an immunoglobulin variable domain. However,

**TABLE II. Structures Used for Derivation of Structural Alignments of Globin and Serine Proteinase Families**

| Proteins   | Brookhaven<br>code (chain) | Length | Resolution | R-factor<br>(Å) | Reference |
|--|----------------------------|--------|------------|-----------------|-----------|
| <b>Globins</b>                                   |                            |        |            |                 |           |
| Human deoxy hemoglobin $\alpha$ -chain           | 2HHB(A)                    | 141    | 1.7        | 0.16            | 42        |
| Human deoxy hemoglobin $\beta$ -chain            | 2HHB(B)                    | 146    | 1.7        | 0.16            | 42        |
| Sea lamprey hemoglobin V (cyano/met)             | 2LHB                       | 149    | 2.0        | 0.14            | 43        |
| Sperm whale deoxy myoglobin                      | 4MBN                       | 153    | 2.0        | 0.23            | 44        |
| <i>Chironomus thummi thummi</i> erythrocrucorin  | 1ECD                       | 136    | 1.4        | 0.19            | 45        |
| <i>Lupinus luteus</i> leghemoglobin              | 1LH1                       | 153    | 2.0        | —               | 46        |
| <b>Serine proteinases</b>                        |                            |        |            |                 |           |
| Bovine $\alpha$ -chymotrypsin                    | 4CHA(A)                    | 239    | 1.68       | 0.23            | 47        |
| Porcine elastase                                 | 3EST                       | 240    | 1.65       | 0.17            | 48        |
| Bovine trypsin (orthorhombic)                    | 2PTN                       | 223    | 1.55       | 0.19            | 49        |
| Rat tonin  | 1TON                       | 227    | 1.80       | 0.20            | 50        |
| Rat mast cell proteinase                         | 3RP2(A)                    | 224    | 1.90       | 0.19            | 51        |
| Porcine kallikrein                               | 2PKA(A,B)                  | 232    | 2.05       | 0.22            | 52        |
| <i>Streptomyces griseus</i> trypsin              | 1SGT                       | 223    | 1.70       | 0.16            | 53        |
| <i>S. griseus</i> proteinase A                   | 2SGA                       | 181    | 1.50       | 0.13            | 54        |
| <i>S. griseus</i> proteinase B                   | 3SGB(E)                    | 185    | 1.80       | 0.13            | 55        |
| <i>L. enzymogenes</i> $\alpha$ -lytic proteinase | 2ALP                       | 198    | 1.70       | 0.13            | 56        |

values greater than 1.0 may indicate the alignment of secondary structure elements, such as during the comparison of a dehydrogenase fold domain to a globin, where three helices are observed to be aligned.

### Program Details

The program for the initial superposition based on sequence alignment is written in Fortran 77, whereas the multiple structure alignment program is written in C. Both programs were developed on a Sun SPARCstation 1. Alignment of 6 globins takes ~ 4 minutes, whereas an alignment of 68 globins can be accomplished in ~ 9 hours.

Running the STAMP (Structural Alignment of Multiple Proteins) package on a family of protein structures results in a set of hierarchically grouped multiple sequence alignment files, one for each node in the structural similarity tree. Each file contains the transformations necessary to superimpose the native coordinates, the parameters used to generate the alignment,  $S_c$  value for the alignment, and  $P'_{ij}$  values for each aligned residue. Given a set of alignments for a family, it is therefore a simple matter to ask questions specific either to the most highly conserved (high  $P'_{ij}$ ) or variable (low  $P'_{ij}$ ) regions of the protein family. The hierarchical organisation of the structural alignments by  $S_c$  values also permits queries to be related to the overall similarity of the proteins.

The STAMP package is available from the authors.

### Demonstration of the Method

The six globins, and the ten serine proteinases chosen by Sali and Blundell<sup>19</sup> (see Table IV) were

selected to provide a direct comparison of the methods. Seven dehydrogenase fold domains were compared to demonstrate the effectiveness of the method on proteins with little sequence similarity. Tables II and III give summaries of the structures used for evaluation.

All protein structures were taken from the November 1990 release of the Brookhaven protein data bank,<sup>28</sup> except for glycogen phosphorylase b and 6-phosphogluconate dehydrogenase, which were kindly provided by Prof. L. N. Johnson and Dr. M. J. Adams, respectively. Secondary structure definitions were obtained from Kabsch and Sanders program DSSP [29].

## RESULTS AND DISCUSSION

### Globins

The globins are a family of all- $\alpha$  proteins that normally consist of eight helices arranged around a central heme group. The specific interactions of these helices have been studied by Lesk and Chothia<sup>30</sup> and Bashford et al.,<sup>1</sup> leading to the identification of 102 common residue sites between all globins. These studies provide a structurally based sequence alignment against which to compare the alignments obtained by our method.

The pairwise structural comparisons of these proteins give  $S_c$  values greater than 5.5 indicating a high degree of structural similarity between even the most distantly related globins (see Table IV for examples).

The final structurally derived alignment appears in Figure 3 (boxed, upper case positions in structural alignments correspond to those regions that the method shows to be reliably aligned—having  $P'_{ij}$  val-

**TABLE III. Structures Used for the Derivation of Structural Alignments of the Dehydrogenase Fold Domain Family**

| Proteins   | Brookhaven code (chain) | Range   | Length | Resolution | R-factor (Å) | Reference |
|--|-------------------------|---------|--------|------------|--------------|-----------|
| Dogfish lactate dehydrogenase  | 6LDH                    | 20–163  | 142    | 2.0        | 0.202        | 57        |
| Porcine lactate dehydrogenase  | 5LDH                    | 20–163  | 142    | 2.7        | 0.196        | 58        |
| Porcine malate dehydrogenase   | 4MDH(A)                 | 14–160  | 160    | 2.5        | 0.167        | 59        |
| Horse alcohol dehydrogenase  | 8ADH                    | 191–320 | 130    | 2.4        | 0.190        | 60        |
| <i>Bacillus stearothermophilus</i><br>glyceraldehyde 3-phosphate dehydrogenase | 1GD1(O)                 | 0–149   | 151    | 1.8        | 0.177        | 61        |
| Rabbit skeletal muscle<br>glycogen phosphorylase b                             | 1GPB                    | 562–711 | 150    | 1.9        | 0.191        | 36        |
| Sheep 6-phosphogluconate dehydrogenase   | PGDH*                   | 1–128   | 128    | 2.5        | 0.185        | 62        |

\*Code assigned in the absence of a Brookhaven code for 6-phosphogluconate dehydrogenase.

**TABLE IV. Examples of  $S_c$  Values Obtained for Pairwise Comparisons Within Globin, Serine Proteinase, and Dehydrogenase Fold Domain Structural Families\***

| Comparison                                 | Brookhaven codes (chain, range) |                  | $S_c$ | % reliability <sup>†</sup> |      |
|--|---------------------------------|------------------|-------|----------------------------|------|
|  | A                               | B                |       | A                          | B    |
| Globins                                    | 4MBN (—, all)                   | 2HHB (B, all)    | 8.4   | 88.9                       | 93.2 |
|  | 4MBN (—, all)                   | 1ECD (—, all)    | 7.4   | 80.4                       | 90.4 |
|  | 2HHB (A, all)                   | 1LH1 (—, all)    | 5.8   | 61.0                       | 56.2 |
| Bacterial serine proteinases               | 3SGB (E, all)                   | 2SGA (—, all)    | 8.4   | 84.9                       | 86.7 |
| Mammalian serine proteinases               | 2PTN (—, all)                   | 1TON (—, all)    | 8.2   | 87.4                       | 85.9 |
| Mammalian and bacterial serine proteinases | 3SGB (E, all)                   | 1TON (—, all)    | 3.8   | 50.3                       | 41.0 |
| Dehydrogenase fold domains                 | 5LDH (—, 20–168)                | 6LDH (—, 20–163) | 7.6   | 88.4                       | 90.3 |
|  | 5LDH (—, 20–168)                | 4MDH (A, 1–160)  | 6.0   | 60.5                       | 55.6 |
|  | 8ADH (—, 191–329)               | 4MDH (A, 1–160)  | 3.9   | 50.0                       | 40.6 |
|  | 6LDH (—, 20–163)                | 1GD1 (O, 0–149)  | 3.4   | 36.8                       | 35.1 |
|  | 1GPB (—, 562–711)               | 1GD1 (O, 0–149)  | 2.6   | 22.0                       | 21.9 |

\*Values quoted are for the comparison of structure A with B.

<sup>†</sup>Refers to percentage of each structure (A and B) that lies in regions of high reliability (i.e., stretches of three residues or more having  $P'_{ij} > 6.0$ ).

ues of greater than 6.0 over a continuous stretch of three residues or more). This alignment, considering both distance and local conformation agrees very closely with the alignments obtained by visual inspection,<sup>1,30</sup> and with that obtained by the method of Šali and Blundell.<sup>19</sup> There are two differences within the regions identified by our algorithm to be reliably aligned (111 equivalences) and the alignment of Šali and Blundell. These lie in loop regions at the ends of helices where it is difficult to assign equivalences even by careful visual inspection. The first difference occurs at the C-terminal end of the C-helix (positions 54–56 in Fig. 3). In this region the Šali and Blundell alignment place an insertion of one residue in human hemoglobin  $\alpha$ -chain (2HHBA; at position 56). The second difference occurs at the C-terminal end of the F helix (positions 111–114 in Fig. 3). Here our method places an insertion of one residue in Erythrocruorin (1ECD) and 1LH1 two residues before COMPARE does the same.

In contrast, the rigid body superposition method for Sutcliffe et al. fails to duplicate the alignment

obtained by Lesk and Chothia, due to the variations helix packing angles between the most distantly related globins.<sup>19</sup>

### Serine Proteinases

The serine proteinases are a family of  $\beta$  sheet proteins consisting of two similar  $\beta$ -barrel domains each of six antiparallel strands. A number of mammalian and microbial structures have been determined by X-ray crystallography and although there is <21% sequence identity between the mammalian and microbial serine proteinases, it has been observed that they adopt similar three dimensional structures.<sup>31,32</sup>

Several attempts have been made to obtain a sequence alignment of mammalian and microbial serine proteases. James et al.<sup>31</sup> used a partially automated procedure and found that 60% of the  $\alpha$ -carbon positions to be equivalent between these two groups; Craik et al.<sup>33</sup> also determined an alignment. These alignments disagree with each other in sev-



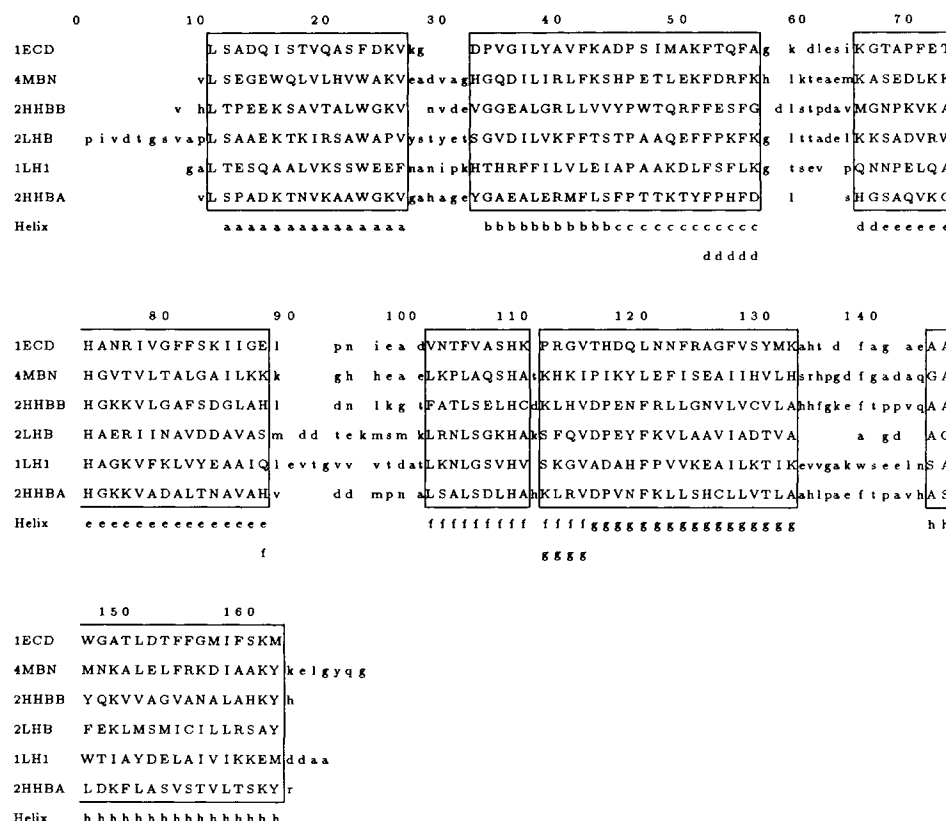


Fig. 3. Structurally derived sequence alignment of six globins ( $E_1 = E_2 = 3.8$ ,  $T = 4.5$ ). Boxed, upper case regions indicate regions of high reliability (i.e.,  $P'_i > 6.0$ ). "Helix" denotes the la-

bellings of globin helices after Bashford et al.,<sup>1</sup> for these regions. The last digit in the numbers above the alignment shows alignment position.

eral regions, hence neither provides an ideal benchmark to test the results of our method. Šali and Blundell applied their program COMPARER to this set of proteins and obtained a systematically derived alignment based on several features of protein structure. Though lacking in any definition of individual equivalence accuracy, this alignment is free from the subjectivity attached to manual alignments, hence provides an ideal template against which to compare the results obtained by our method.

The  $S_c$  values for these comparisons (see Table IV for examples) show a high degree of similarity between the mammalian proteinases and *S. Griseus* trypsin, as well as between the other bacterial proteinases ( $S_c > 6.8$ ). As expected, comparison of members from each of these sets of proteins indicates a much lower degree of similarity ( $S_c < 3.9$ ).

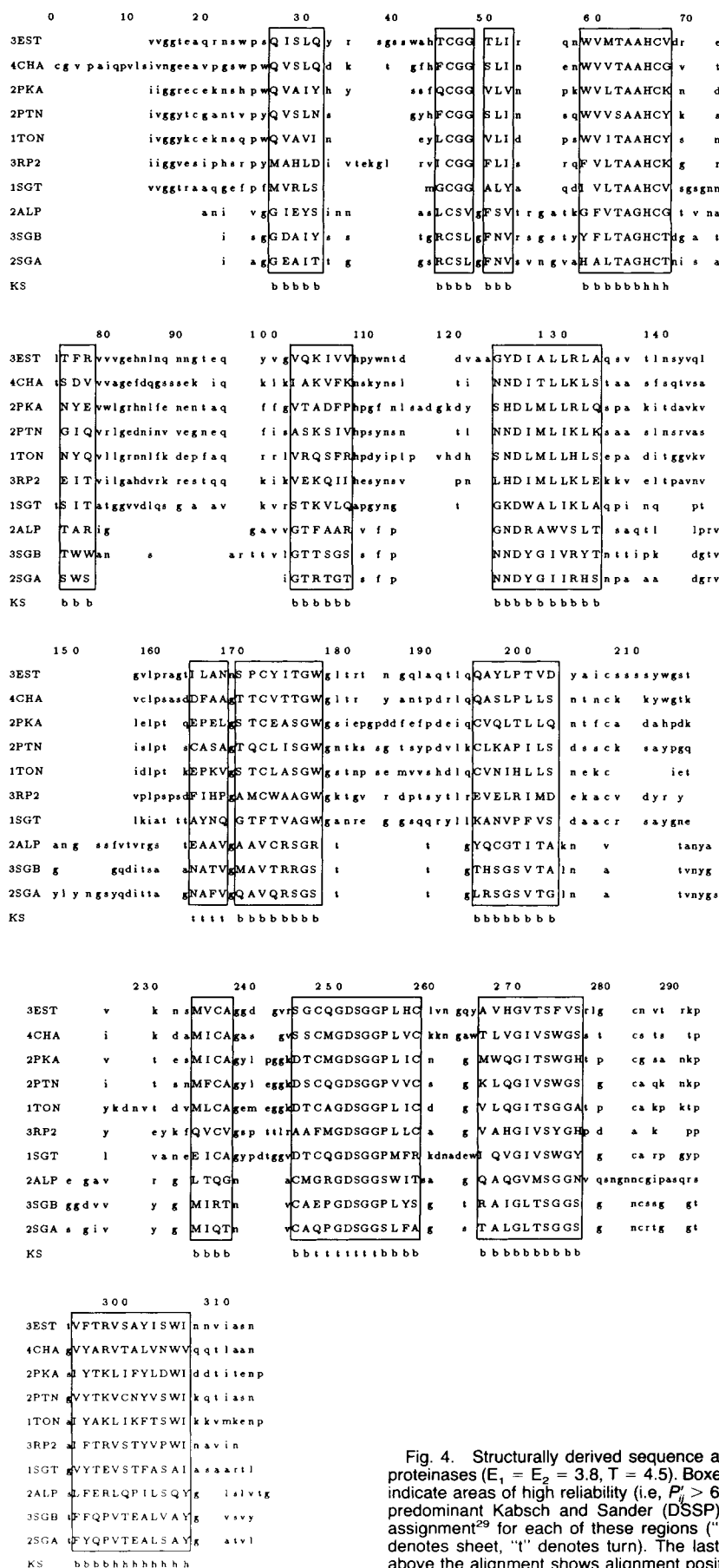
The final structurally based multiple sequence alignment appears in Figure 4. There is very little similarity between the this and the conventional sequence-derived alignment (unpublished results). However, the alignment derived by our method shows only one difference to the alignment of Šali and Blundell<sup>19</sup> within the regions found to be reliably aligned: a shift of a four residue segment within

a turn of *Streptomyces griseus* trypsin (1SGT; positions 165–170 in Fig. 4). Our alignment shifts this segment one residue towards the N-terminus relative to its position within the alignment of Šali and Blundell. The differences between our alignment and those obtained prior to Šali and Blundell are more pronounced, but have been discussed previously.<sup>19</sup>

## Dehydrogenase Fold Domains

The Dehydrogenase, or Rossmann, fold consists of six strands of parallel  $\beta$ -sheet ( $\beta$ A,  $\beta$ B,  $\beta$ C,  $\beta$ D,  $\beta$ E,  $\beta$ F after Rossmann et al.<sup>34</sup>) with four or five helices ( $\alpha$ B,  $\alpha$ C,  $\alpha$ D,  $\alpha$ E,  $\alpha$ F) running antiparallel to the sheet. It consists of two roughly identical units related by an  $\sim$  twofold axis running parallel to the strands between  $\beta$ A and  $\beta$ D. This fold has been observed in many dinucleotide binding domains including several dehydrogenases<sup>35</sup> and, more recently, in glycogen phosphorylase.<sup>36</sup> Although they exhibit almost identical folds, these structures have little sequence similarity.

Several attempts have been made to obtain structurally based alignments of these domains. Rossmann and coworkers<sup>37, 35, 38</sup> report structural



alignments of lactate dehydrogenase (6LDH), glyceraldehyde-3-phosphate dehydrogenase (1GD1), and alcohol dehydrogenase (8ADH). These alignments are inconsistent with each other, particularly within the helical regions.

The initial superposition of the dehydrogenase fold domains obtained from conventional sequence alignments is inaccurate. However, this orientation provides a good starting point for structural refinement. Using a sequence alignment to derive a preliminary superposition has the advantage of avoiding the exhaustive search of the rotational space proposed by Rossmann and Argos,<sup>10</sup> or the need to provide an initial fit by hand. In order to allow for the possible poor initial superposition, the distance component of the probability equation was first weighted down by assigning  $E_1 = 20.0$ ,  $E_2 = 3.8$ , and  $T = 1.0$ . The algorithm was then applied twice, first with this condition, then with the parameters set equal ( $E_1 = E_2 = 3.8$  and  $T = 4.5$ ) to give a similar refinement to that for the globins and serine proteinases.

The  $S_c$  values obtained for these domains (see Table IV) range in value from 7.6 for the most similar structures (6LDH and 5LDH) to 2.6 for the least similar structures (1GPB and 8ADH).

Figure 5 shows the final structurally derived sequence alignment for the dehydrogenase fold domains. The regions found to be reliable agree well with those obtained for 6LDH, 8ADH and 1GD1 by Rossmann and coworkers.<sup>37, 35, 38</sup> Some differences are observed within the alignments of the second and fourth helices ( $\alpha C$  and  $\alpha E$ ; regions 71–78 and 181–187, respectively, in Fig. 5). However, previously published alignments are inconsistent within these regions making an objective comparison difficult. The alignment of  $\alpha C$  differs from that of Otto et al.,<sup>38</sup> however, their alignment is derived on the basis of  $\beta$ -sheet superposition only<sup>35</sup> and is suspect within helical regions. The alignment of  $\alpha E$  is identical to that reported by Rossmann et al.,<sup>35</sup> but it differs slightly from the alignment of Otto et al. (8ADH is shifted two residues along in our alignment). Our method aligns all six  $\beta$ -strands in agreement with both of these previous alignments.

The regions in the alignment found to be reliably equivalenced (upper case in Fig. 5) correspond the six stranded parallel  $\beta$ -sheet ( $\beta A$  to  $\beta F$ ), and three of the helices ( $\alpha B$ ,  $\alpha C$  and  $\alpha E$ ). The absence of helices  $\alpha D$  and  $\alpha F$  from the reliable regions is understandable as these helices are the least structurally conserved.<sup>37</sup> During the early stages of the procedure, when fewer, more similar structures are being aligned, both of these helices are found to be conserved ( $\alpha D$  between 5LDH, 6LDH and 4MDH, and  $\alpha F$  between 5LDH, 6LDH, 4MDH, and PGDH). Only when more distantly related structures are being compared do these helices no longer appear among the reliable regions.

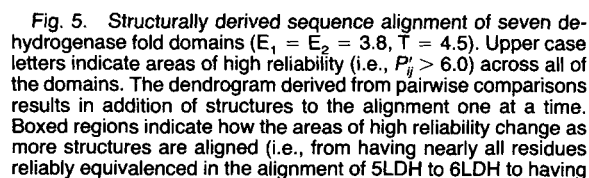
Analysis of the reliably aligned regions reveals several interesting differences in the way the domains accommodate a similar fold. A striking example is the way in which  $\alpha E$  (positions 181–187 in Fig. 5) packs against the sheet in malate dehydrogenase (4MDH), glycogen phosphorylase b (1GPB), and glyceraldehyde-3-phosphate dehydrogenase (1GD1). In all three structures one central residue on  $\alpha E$  (position 184 in Fig. 5) interacts principally with residues on the sheet below; however, the actual residue-residue interactions are very different. In 4MDH, a leucine (LEU 115) residue on this helix interacts principally with two other hydrophobic residues (LEU 85 on  $\beta D$  and VAL 123 on  $\beta E$ , positions 148 and 195 in Fig. 5) on the  $\beta$ -sheet. In 1GPB, this position is occupied by a phenylalanine (PHE 681), which packs against several residues on the  $\beta$ -sheet. Finally, in 1GD1, this residue is a histidine (HIS 108) and forms a hydrogen bond with a glutamic acid residue (GLU 94 on  $\beta D$ , position 148 in Fig. 5) on the  $\beta$ -sheet ( $N_{\epsilon 2}$  on HIS 108 is 2.8 Å away from  $O_{\epsilon 2}$  on GLU 94). This helps to explain why conventional sequence alignment fails to align these domains, as several entirely different residues appear to accomplish the same interaction. A more detailed investigation of these interactions is in progress.

Bork and Grunwald<sup>39</sup> constructed consensus sequence patterns of several dehydrogenase NAD binding sites on the basis of 11 steric and physicochemical properties. They make use of these patterns to distinguish between nucleotide binding sites on the basis of sequence alone. They focus on the first  $\beta$ - $\alpha$ - $\beta$  moiety, which contains the ribose binding loop sequence pattern GLY-X-GLY-X-X-GLY/ALA, which has been used to "fingerprint" nucleotide binding domains previously.<sup>40</sup> The alignment obtained by our method agrees with Bork and Grunwalds sequence-based alignment in this region (positions 1–58 in Fig. 5). It is interesting to note that the dehydrogenase fold domain of glycogen phosphorylase, which does not bind nucleotides in the same way as the other domains,<sup>41</sup> bears little structural or sequence similarity to the other domains in this region. An illustration of this difference is shown in Figure 6.

## CONCLUSIONS

In this study, an algorithm is described for the generation of multiple protein sequence alignments from a comparison of their three-dimensional structures. The general conclusions are as follows.

1. The algorithm is efficient (typically taking less than 15 minutes of CPU time for groups of up to 10 structures) and automatic (no prior fitting is required).
2. Multiple structure alignments generated by the method agree with established alignments.



only short stretches of secondary structure reliably equivalenced in the final seven domain alignment). “KS” denotes the predominant Kabsch and Sander (DSSP) secondary structure assignment<sup>29</sup> for each of the upper case regions (“h” denotes helix, “b” denotes sheet). The “label” assigned to each region of secondary structure follows the nomenclature of Rossmann et al.<sup>34</sup> The last digit in the numbers above the alignment shows alignment position.

3. (a) A structural similarity score ( $S_c$ ) is defined in order that overall alignment equality and structural similarity may be compared across a wide range of protein structural families. (b) A measure of individual residue accuracy ( $P'_{ij}$ ) is defined in order that residue equivalences may be normalized

with respect to both the number of structures in an alignment and the length of the structures being aligned.

4. Alignments having a structural similarity score ( $S_c$ ) between 5.5 and 9.8 imply a high degree of structural similarity and reliability. Values be-

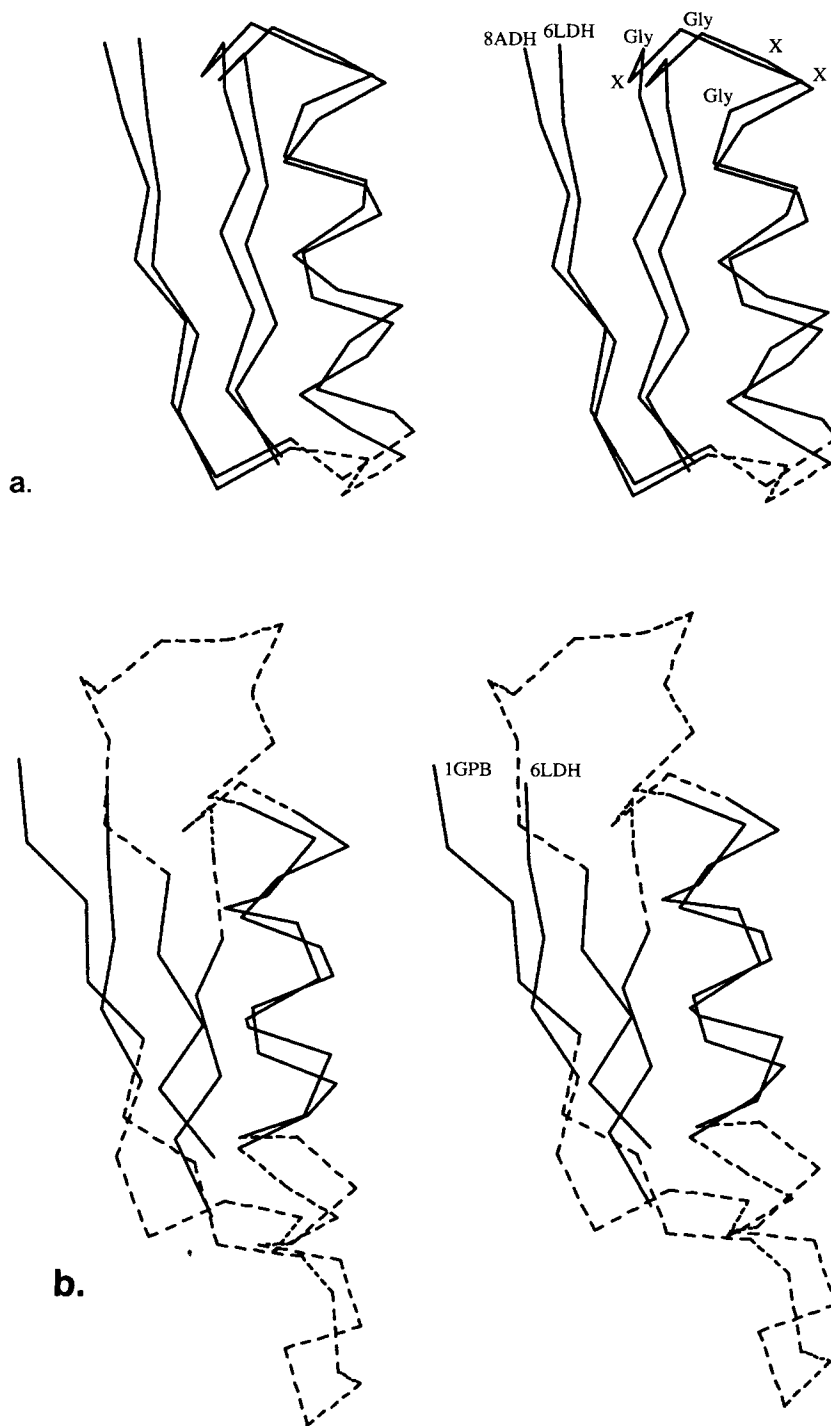


Fig. 6. Examples of structural superposition and equivalences as determined by STAMP. Solid lines represent regions found to be equivalent (i.e.,  $P_{ij} > 6.0$ ), whereas broken lines indicate non-equivalent regions (i.e.,  $P_{ij} < 6.0$ ). Structures are labelled at the C-terminal end. (a) Superposition of the first  $\beta$ - $\alpha$ - $\beta$  moiety of 6LDH and 8ADH (aligned independently), around the ribose binding loop

(6LDH: ASN 21 to ASP 52; 8ADH: SER 193 to ASP 223; positions 5 to 56 in Fig. 5). Strict structural equivalence is observed over the entire GLY-X-GLY-X-X-GLY pattern. (b) Superposition of 6LDH and 1GPB in the same region (1GPB: LEU 562 to GLY 607). Here the ribose binding loop is not conserved, and the total number of equivalences has dropped from 30 to 21.

tween 2.5 and 5.5 correspond to more distantly related structures, whereas values less than 2.5 generally indicate little overall structural similarity.

5. Stretches of three or more aligned positions with  $P'_{ij}$  values greater than 6.0 correspond to genuine topological equivalences, values between 4.0 and 6.0 are equivalent >50% of the time, whereas values less than 4.0 are generally not equivalent.

6. Regions defined in 5. having  $P'_{ij} > 6.0$  generally correspond to regions of conserved secondary structure within a family of structures being compared.

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