

# Unsupervised Discovery of Facial Events

# Outline

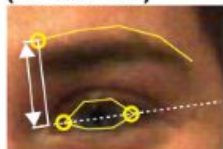
- Features
- ACA
  - DTAK
  - KKM
- Optimizing

# Features

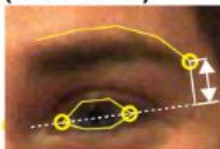


(a)

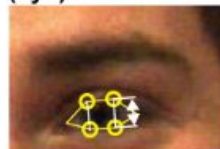
distance  
(inner brow)  $x_1^U$



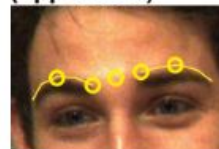
distance  
(outer brow)  $x_2^U$



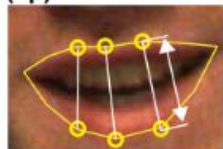
height  
(eye)  $x_3^U$



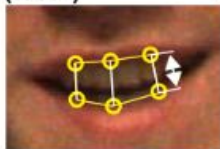
appearance  
(upper face)  $x_4^U$



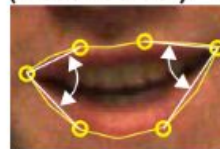
height  
(lip)  $x_1^L$



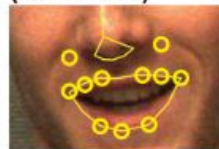
height  
(teeth)  $x_2^L$



angle  
(mouth corner)  $x_3^L$



appearance  
(lower face)  $x_4^L$



(b)

# ACA (Aligned Cluster Analysis)

- KKM : clustering
- DTAK : distance between sequence data

# KKM (kernel k-means)

minimize

$$J_{kkm}(\mathbf{M}, \mathbf{G}) = \|\phi(\mathbf{X}) - \mathbf{M}\mathbf{G}\|_F^2,$$

$$\|\mathbf{X}\|_F^2 = \text{tr}(\mathbf{X}^T \mathbf{X})$$

optimal

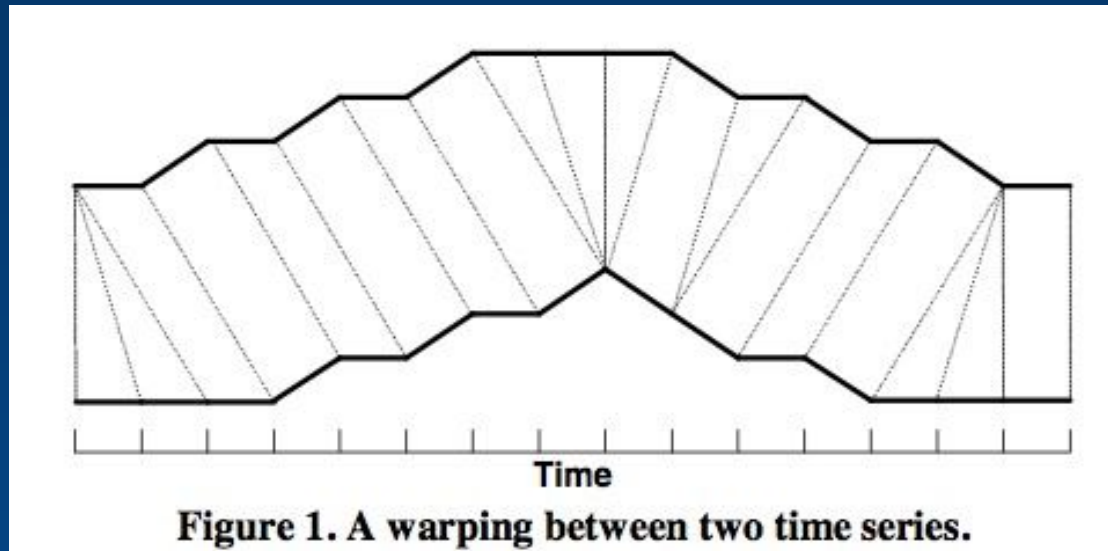
$$\mathbf{M} = \phi(\mathbf{X})\mathbf{G}^T(\mathbf{G}\mathbf{G}^T)^{-1}$$

(cluster centroid)

$$J_{kkm}(\mathbf{G}) = \text{tr}(\mathbf{L}\mathbf{K}) \quad \mathbf{L} = \mathbf{I}_n - \mathbf{G}^T(\mathbf{G}\mathbf{G}^T)^{-1}\mathbf{G}$$

$$\mathbf{K} = \phi(\mathbf{X})^T \phi(\mathbf{X})$$

find path maximizes the **weighted sum of similarity** between sequences



sequence  $\mathbf{X} \doteq [\mathbf{x}_1, \dots, \mathbf{x}_{n_x}]$   $\mathbf{Y} \doteq [\mathbf{y}_1, \dots, \mathbf{y}_{n_y}]$

alignment matrix  $\mathbf{Q} \in \mathbb{R}^{2 \times l}$   $\begin{bmatrix} \dots & q_{1c} & \dots \\ \dots & q_{2c} & \dots \end{bmatrix}$

step

correspondence matrix  $\mathbf{W} \in \mathbb{R}^{n_x \times n_y}$

$$w_{ij} = \frac{1}{n_x + n_y} (q_{1c} - q_{1c-1} + q_{2c} - q_{2c-1}) \text{ if } q_{1c} = i \text{ and } q_{2c} = j$$

else = 0

$$\tau = \max_{\mathbf{Q}} \sum_{c=1}^l \frac{1}{n_x + n_y} (q_{1c} - q_{1c-1} + q_{2c} - q_{2c-1}) \kappa_{q_{1c} q_{2c}}$$

$$\kappa_{ij}(\mathbf{x}_i, \mathbf{y}_j) = \exp\left(\frac{1}{2\sigma^2} \|\mathbf{x}_i - \mathbf{y}_j\|_2^2\right)$$

$$\tau(\mathbf{X}, \mathbf{Y}) = \text{tr}(\mathbf{K}^T \mathbf{W}) = \psi(\mathbf{X})^T \psi(\mathbf{Y})$$

$\psi(\cdot)$  denotes a mapping of the sequence into a feature space, and  $\mathbf{K} \in \mathbb{R}^{n_x \times n_y}$



# ACA (Aligned Cluster Analysis)

minimize

$$J_{aca}(\mathbf{G}, \mathbf{M}, \mathbf{s}) = \|\psi(\mathbf{Z}_1) \cdots \psi(\mathbf{Z}_m) - \mathbf{M}\mathbf{G}\|_F^2$$

$\mathbf{Z}_i$  : segment  $i$ , total  $m$  segment  $\in \mathbb{R}^{d \times n_i}$

$\psi(\cdot)$  : mapping s.t.  $\psi(\mathbf{Z}_i)^T \psi(\mathbf{Z}_j) = \text{tr}(\mathbf{K}_{ij}^T \mathbf{W}_{ij})$

indicator matrix

$$\mathbf{G} \in \{0, 1\}^{k \times m}$$

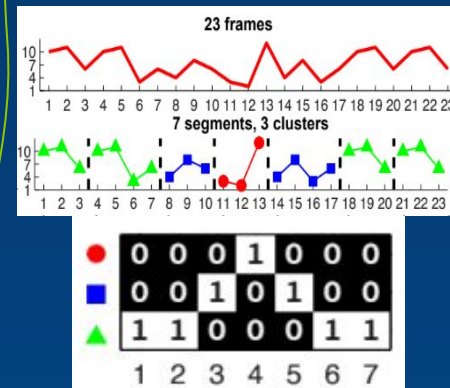
$k$  clusters,  $m$  segment

optimal

$$\mathbf{M} = \phi(\mathbf{X}) \mathbf{G}^T (\mathbf{G} \mathbf{G}^T)^{-1} \quad (\text{cluster centroid})$$

$$J_{aca}(\mathbf{G}, \mathbf{s}) = \text{tr}((\mathbf{L} \circ \mathbf{W}) \mathbf{K})$$

$$\mathbf{L} = \mathbf{I}_n - \mathbf{H}^T \mathbf{G}^T (\mathbf{G} \mathbf{G}^T)^{-1} \mathbf{G} \mathbf{H}$$



# ACA (Aligned Cluster Analysis)

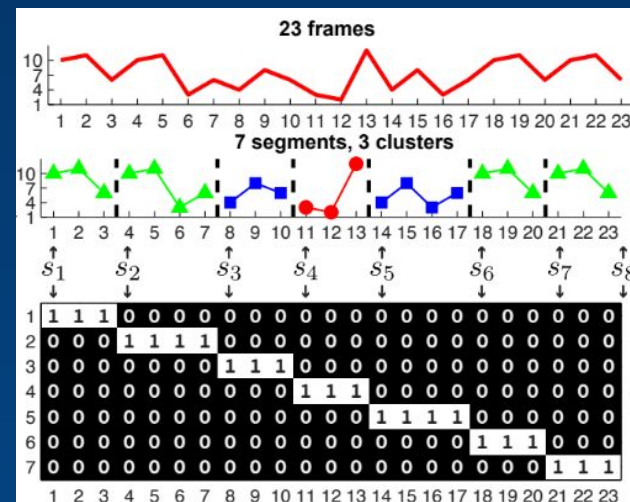
minimize

$$J_{aca}(\mathbf{G}, \mathbf{s}) = \text{tr}((\mathbf{L} \circ \mathbf{W})\mathbf{K})$$

$$\mathbf{L} = \mathbf{I}_n - \mathbf{H}^T \mathbf{G}^T (\mathbf{G} \mathbf{G}^T)^{-1} \mathbf{G} \mathbf{H}$$

frame-segment indicator

$$\mathbf{H} \in \{0, 1\}^{m \times n}$$



# ACA (Aligned Cluster Analysis)

minimize

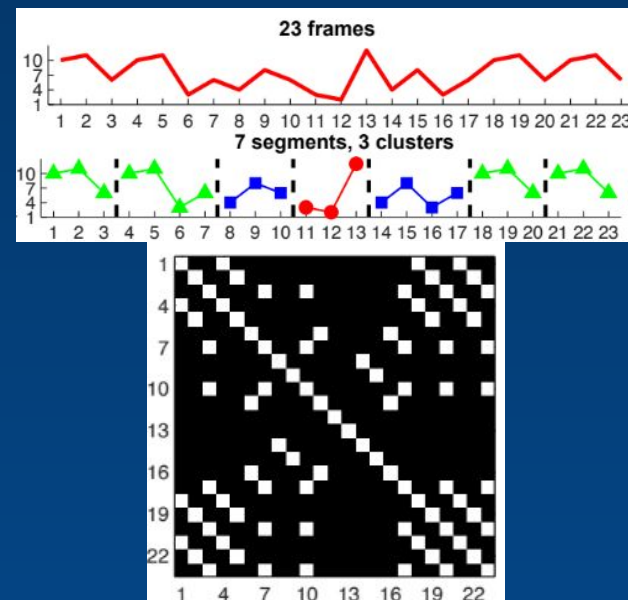
$$J_{aca}(\mathbf{G}, \mathbf{s}) = \text{tr}((\mathbf{L} \circ \mathbf{W})\mathbf{K})$$

$$\mathbf{L} = \mathbf{I}_n - \mathbf{H}^T \mathbf{G}^T (\mathbf{G} \mathbf{G}^T)^{-1} \mathbf{G} \mathbf{H}$$

self-similarity matrix  
(sample kernel matrix)

$$\mathbf{K} \in \mathbb{R}^{n \times n}$$

$$\mathbf{K} = \phi(\mathbf{X})^T \phi(\mathbf{X})$$



# ACA (Aligned Cluster Analysis)

minimize

$$J_{aca}(\mathbf{G}, \mathbf{s}) = \text{tr}((\mathbf{L} \circ \mathbf{W})\mathbf{K})$$

$$\mathbf{L} = \mathbf{I}_n - \mathbf{H}^T \mathbf{G}^T (\mathbf{G} \mathbf{G}^T)^{-1} \mathbf{G} \mathbf{H}$$

correspondence matrix

$$\mathbf{W} \in \mathbb{R}^{n \times n}$$

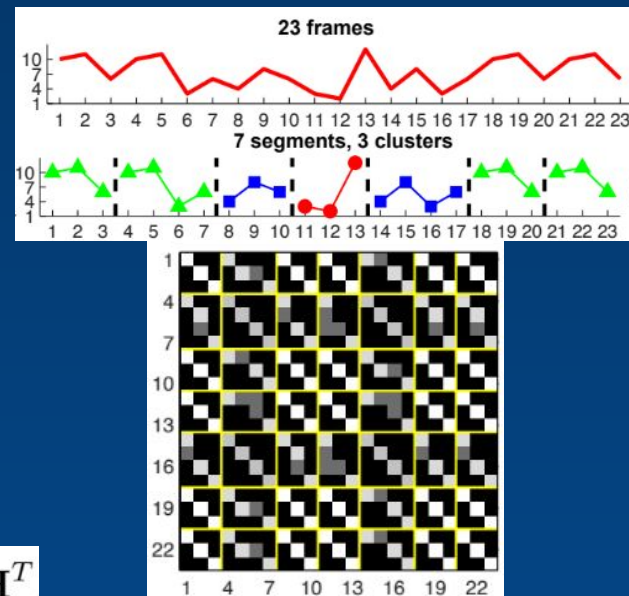
$m \times m$  blocks of  $\mathbf{W}_{ij} \in \mathbb{R}^{n_i \times n_j}$

DTAK for each two segments

kernel segment matrix

$$\mathbf{T} \in \mathbb{R}^{m \times m}$$

$$\mathbf{T} = [\tau_{ij}]_{m \times m} = [\text{tr}(\mathbf{K}_{ij}^T \mathbf{W}_{ij})]_{m \times m} = \mathbf{H}(\mathbf{K} \circ \mathbf{W})\mathbf{H}^T$$



- minimize  $J_{aca}(\mathbf{G}, \mathbf{s})$
- Using coordinate descent strategy alternates between optimizing  $\mathbf{G}, \mathbf{s}$  while computing  $\mathbf{M}$
- rewrite k-means equation:

$$J_{aca}(\mathbf{G}, \mathbf{s}) = \sum_{c=1}^k \sum_{i=1}^m g_{ci} dist_{\psi}^2(\mathbf{Z}_i, \mathbf{m}_c) = \sum_{i=1}^m dist_{\psi}^2(\mathbf{Z}_i, \mathbf{m}_{c_i^*})$$

# Optimizing ACA

$$J(v) = \min_{\mathbf{G}, \mathbf{s}} J_{aca}(\mathbf{G}, \mathbf{s}) | \mathbf{X}_{[1, v]}$$

$$J(v) = \min_{1 < i \leq v} \left( J(i-1) + \min_{\mathbf{G}, \mathbf{s}} J_{aca}(\mathbf{G}, \mathbf{s}) | \mathbf{X}_{[i, v]} \right)$$

$$J(v) = \min_{v - n_{\max} < i \leq v} \left( J(i-1) + \min_{\mathbf{g}} \sum_{c=1}^k g_c dist_{\psi}^2(\mathbf{X}_{[i, v]}, \dot{\mathbf{m}}_c) \right) \quad (9)$$

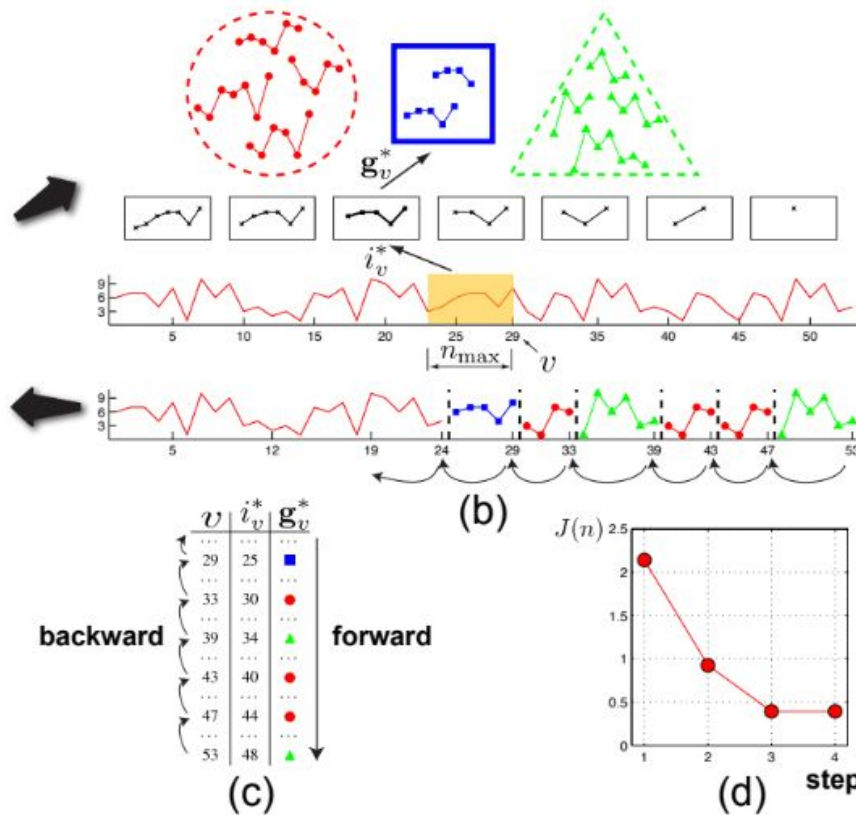
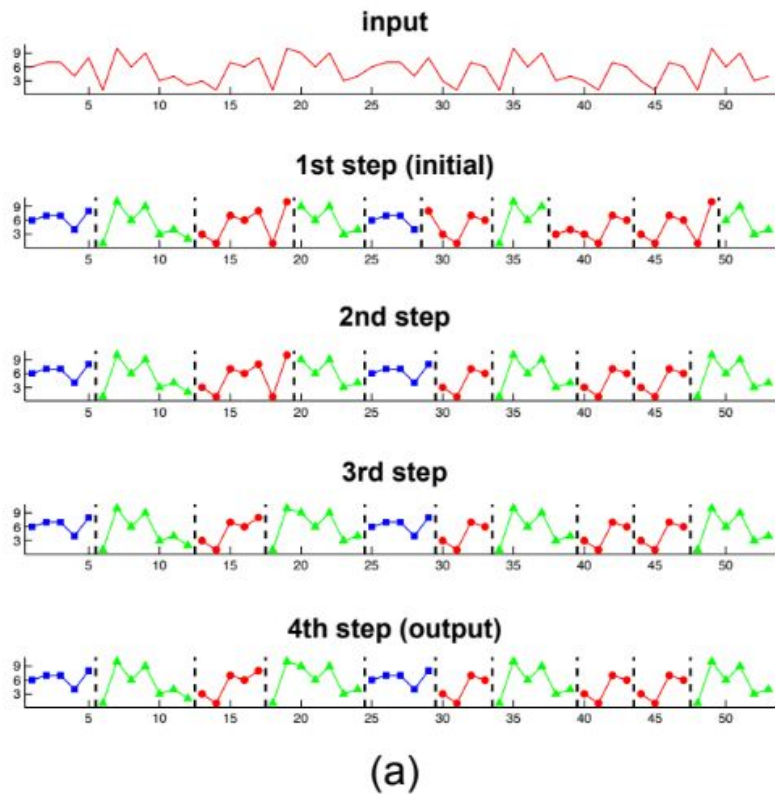
$$i_v^*, \mathbf{g}_v^* = \arg \min_{i, \mathbf{g}} J(v)$$

head position, label of right segment

$$dist_{\psi}^2(\mathbf{X}_{[i, v]}, \dot{\mathbf{m}}_c) = \tau(\mathbf{X}_{[i, v]}, \mathbf{X}_{[i, v]}) - \frac{2}{\dot{m}_c} \sum_{j=1}^{\dot{m}} \dot{g}_{cj} \tau(\mathbf{X}_{[i, v]}, \dot{\mathbf{Z}}_j) + \frac{1}{\dot{m}_c^2} \sum_{j_1, j_2=1}^{\dot{m}} \dot{g}_{cj_1} \dot{g}_{cj_2} \tau(\dot{\mathbf{Z}}_{j_1}, \dot{\mathbf{Z}}_{j_2})$$

- initialize segment
- Forward step
  - from  $v = 1$  to  $n$ , compute  $J(v)$   $i_v^*, g_v^*$
- Backward step
  - back from  $v=n$ , cutoff the segment whose  $s = i_v^*$   $g = g_v^*$
  - repeat on  $v = i_v^* - 1$
- Repeat until  $J(n)$  converge

# Optimizing ACA





# Summary

