**Integrating the Healthcare Enterprise**



**IHE IT Infrastructure**

**Technical Framework Supplement**

**Patient Demographics Query for Mobile**

**(PDQm)**

HL7® FHIR® Release 4

Using Resources at Normative Level

**Rev. 2.0 – Draft for Public Comment**

Date: January 11, 2019

Author: IHE ITI Technical Committee

Email: iti@ihe.net

**Please verify you have the most recent version of this document.** See [here](http://ihe.net/Technical_Frameworks/) for Trial Implementation and Final Text versions and [here](http://ihe.net/Public_Comment/) for Public Comment versions.

**Foreword**

This is a supplement to the IHE IT Infrastructure Technical Framework V15.0. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on January 11, 2019 for public comment. Comments are invited and can be submitted at [http://www.ihe.net/ITI\_Public\_Comments](http://www.ihe.net/ITI_Public_Comments/). In order to be considered in development of the trial implementation version of the supplement, comments must be received by February 10, 2019.

This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

Amend Section X.X by the following:

Where the amendment adds text, make the added text bold underline. Where the amendment removes text, make the removed text bold strikethrough. When entire new sections are added, introduce with editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

General information about IHE can be found at [http://ihe.net](http://ihe.net/).

Information about the IHE IT Infrastructure domain can be found at [http://ihe.net/IHE\_Domains](http://ihe.net/IHE_Domains/).

Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at [http://ihe.net/IHE\_Process](http://ihe.net/IHE_Process/) and [http://ihe.net/Profiles](http://ihe.net/Profiles/).

The current version of the IHE IT Infrastructure Technical Framework can be found at [http://ihe.net/Technical\_Frameworks](http://ihe.net/Technical_Frameworks/).

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# Introduction to this Supplement

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Whenever possible, IHE profiles are based on established and stable underlying standards. However, if an IHE committee determines that an emerging standard offers significant benefits for the use cases it is attempting to address and has a high likelihood of industry adoption, it may develop IHE profiles and related specifications based on such a standard.  The IHE committee will take care to update and republish the IHE profile in question as the underlying standard evolves. Updates to the profile or its underlying standards may necessitate changes to product implementations and site deployments in order for them to remain interoperable and conformant with the profile in question.  This PDQm Profile uses the emerging HL7®[[1]](#footnote-1) FHIR®[[2]](#footnote-2) specification. The FHIR release profiled in this supplement is R4.  HL7 provides a rating of the maturity of FHIR content based on the FHIR Maturity Model (FMM): level 0 (draft) through 5 (normative ballot ready).The FHIR Maturity Model is described at <http://hl7.org/fhir/versions.html#maturity>.  Key FHIR R4 content, such as Resources or ValueSets, used in this profile, and their FMM levels are:   |  |  | | --- | --- | | FHIR Resource Name | FMM Level | | Patient | normative | | OperationOutcome | normative | | Bundle | normative |   Note that although Patient resource is normative, the query parameters on Patient are STU. Note that the PDO Option relies on STU extensions. |

The Patient Demographics Query for Mobile (PDQm) Profile defines a lightweight RESTful interface to a patient demographics supplier leveraging technologies readily available to mobile applications and lightweight browser based applications.

The functionality is identical to the PDQ Profile described in the ITI TF-1:8. The differences are transport and messaging format of messages and queries. The profile leverages HTTP transport, and the JavaScript Object Notation (JSON), Simple-XML, and Representational State Transfer (REST). The payload format is defined by the HL7 FHIR draft standard.



Using these patterns, the PDQm Profile exposes the functionality of a patient demographics supplier to mobile applications and lightweight browser applications.

The following list provides a few examples of how PDQm might be leveraged by implementers:

* A health portal securely exposing demographics data to browser-based plugins
* Medical devices which need to access patient demographic information
* Mobile devices used by physicians (example bedside eCharts) which need to establish patient context by scanning a bracelet
* Web based EHR/EMR applications which wish to provide dynamic updates of patient demographic information such as a non-postback search, additional demographic detail, etc.
* Any low resource application which exposes patient demographic search functionality
* Any application using the MHD Profile to access documents may use PDQm to find an appropriate patient identifier

This supplement is intended to be fully compliant with the HL7 FHIR specification, providing only use-case driven constraints to aid with interoperability, deterministic results, and compatibility with existing PDQ and PDQv3 Profiles.

Currently the HL7 FHIR standard components used in this profile are at Normative state. Some portions of PDQm are relying on STU content (such as query parameters, mothersMaidenName).

## Open Issues and Questions

* none

## Closed Issues

* Upgraded to FHIR R4
* PDQm\_100: Added the mothersMaidenName extended search parameter. Note that PDO Option does require sub normative extensions to support mothersMaidenName
* Addressed CP-ITI-826 – PDQm Clarify requirements for Pediatric Demographics
* Pediatric Demographics Option – original PDQ indicated a last update date/time, and last updated facility element. These were identified as R2 elements. These are not part of the Patient Resource, but rather the Encounter resource. These elements are thus not in scope of PDQm.

# General Introduction

Update the following Appendices to the General Introduction as indicated below. Note that these are not appendices to Volume 1.

# Appendix A – Actor Summary Definitions

|  |
| --- |
| *No change to Appendix A (no new actors)* |

# Appendix B – Transaction Summary Definitions

Add the following transactions to the IHE Technical Frameworks General Introduction list of Transactions:

|  |  |
| --- | --- |
| Transaction | Definition |
| Mobile Patient Demographics Query [ITI-78] | Performs a query against a patient demographics supplier using HTTP, REST, and JSON/XML message encoding. |

Glossary

No additions to the Glossary.

Volume 1 – Profiles

## Copyright Licenses

Add the following to the IHE Technical Frameworks General Introduction Copyright Section:

The HL7 FHIR standard License can be found at <http://hl7.org/fhir/license.html>.

Add the following section as 8.6

## 8.6 PDQ Cross Profile Considerations

**There are two additional profiles: PDQv3 (Patient Demographics Query HL7v3) and PDQm (Patient Demographics Query for Mobile), which provide similar functionality to Patient Demographics Query. These profiles adapt the Patient Demographics Query transaction of the Patient Demographics Supplier and Patient Demographics Consumer Actors for HL7v3 and HL7 FHIR standard. ITI TF-2x: Appendix M.4 provides additional details about these Patient Demographics Query Profiles and their relationship with one another.**

Add the following section as 24.6

## 24.6 PDQv3 Cross Profile Considerations

**There are two additional profiles: PDQ (Patient Demographics Query) and PDQm (Patient Demographics Query for Mobile), which provide similar functionality to Patient Demographics Query. These profiles adapt the Patient Demographics Query transaction of the Patient Demographics Supplier and Patient Demographics Consumer Actors for HL7v2 and HL7 FHIR standard. ITI TF-2x: Appendix M.4 provides additional details about these Patient Demographics Query Profiles and their relationship with one another.**

Add new Section 38

# 38 PDQm –Patient Demographics Query for Mobile

The Patient Demographics for Mobile (PDQm) Profile provides a transaction for mobile and lightweight browser-based applications to query a patient demographics supplier for a list of patients based on user-defined search criteria and retrieve a patient’s demographic information. This profile provides a lightweight alternative to PDQ Patient Demographics Query [ITI-21] or PDQV3 Patient Demographics Query V3 [ITI-47].

## 38.1 PDQm Actors, Transactions, and Content Modules

Figure 38.1-1 shows the actors directly involved in the Patient Demographics Query for Mobile Profile and the relevant transactions between them. Note that the actors in this profile are the same as the actors defined in the PDQ Profile (ITI TF-1: 8.1).

Patient Demographics Supplier

Patient Demographics Consumer

↑ Mobile Patient Demographics Query [ITI-78]

Figure 38.1-1: PDQm Actor Diagram

Table 38.1-1 lists the transactions for each actor directly involved in the Patient Demographics Query for Mobile Profile. To claim compliance with this profile, an actor shall support all required transactions (labeled “R”).

Table 38.1-1: PDQm Profile - Actors and Transactions

| Actors | Transactions | Optionality | Reference |
| --- | --- | --- | --- |
| Patient Demographics Suppler | Mobile Patient Demographics Query | R | ITI TF-2c: 3.78 |
| Patient Demographics Consumer | Mobile Patient Demographics Query | R | ITI TF-2c: 3.78 |

The transaction defined in this profile corresponds to Patient Demographics Query [ITI-21] in the PDQ Profile (ITI TF-1: 8) and provides similar functionality. Note that there is no transaction which corresponds to the Patient Demographics and Visit Query.

### 38.1.1 Actor Descriptions and Actor Profile Requirements

No additional requirements.

## 38.2 PDQm Actor Options

Options that may be selected for each actor in this profile, if any, are listed in Table 38.2-1. Dependencies between options when applicable are specified in notes.

Table 38.2-1: Patient Demographics Query for Mobile - Actors and Options

| Actor | Option Name | Reference |
| --- | --- | --- |
| Patient Demographics Consumer | Pediatric Demographics Option | ITI TF-1:38.2.1 |
| Patient Demographics Supplier | Pediatric Demographics Option | ITI TF-1:38.2.1 |

### 38.2.1 Pediatric Demographics Option

The experience of immunization registries and other public health population databases has shown that retrieving patient records for an individual person in environments with large proportions of pediatric records requires additional demographic data.

Information about the mother of the patient or a household telephone number is helpful in retrieving records in large population databases where data quality may be uneven.

Certain other demographics fields are important to include in the query response as they may be used by the Patient Demographics Consumer in verifying the identity of the patient; in particular, they aid in distinguishing records for twins, triplets, and so forth.

Pediatric Demographics makes use of the following four additional demographic fields to aid record matching in databases with many pediatric records.

| Field | Reason for Inclusion | Value |
| --- | --- | --- |
| Mother’s Maiden Name | Any information about the mother is helpful in making a match | Helps creates true positive matches |
| Patient Home Telephone | A telecom helps match into the right household | Helps create true positive matches |
| Patient Multiple Birth Indicator | Indicates this person is a multiple – twin, triplet, etc. | Helps avoid false positive matches of multiples |
| Patient Birth Order | Distinguishes among those multiples. | Helps avoid false positive matches of multiples |

Note that PDQ Profile includes support for Last Update Date/Time, Last Update Facility. These elements are not included in PDQm as they are elements of the Visit/Encounter which is not in scope for PDQm.

Patient Demographics Supplier which support the Pediatric Demographics Option shall support all the Patient elements specified and query parameters specified including Mother’s Maiden Name.

Patient Demographics Consumers which support the Pediatric Demographics Option shall be able to provide the below listed Pediatric Demographics query parameter fields in the Patient Demographics Query transaction [ITI-78] and shall be able to receive and process any values returned for the fields identified as Pediatric Demographics.

Pediatric Demographics query parameter fields are:

* Mother’s Maiden Name
* Patient Home Telephone

Pediatric Demographics fields are defined as the following:

* Mother’s Maiden Name
* Patient Home Telephone
* Patient Multiple Birth Indicator
* Patient Birth Order

## 38.3 PDQm Required Actor Groupings

An actor from this profile (column 1) shall implement all of the required transactions and/or content modules in this profile ***in addition to*** all of the transactions required for the grouped actor (column 2).

Section 38.5 describes some optional groupings that may be of interest for security considerations and Section 38.6 describes some optional groupings in other related profiles.

Table 38.3-1: Patient Demographics Query for Mobile - Required Actor Groupings

| PDQm Actor | Actor to be grouped with | Reference | Content Bindings Reference |
| --- | --- | --- | --- |
| Patient Demographics Supplier | None |  |  |
| Patient Demographics Consumer | None |  |  |

## 38.4 PDQm Overview

### 38.4.1 Concepts

The PDQm Profile supports all of the use cases of PDQ while keeping the technology as lightweight as possible. Example uses include:

* Mobile devices used by physicians (for example: a mobile app for electronic patient charts) which need to establish patient context by scanning a bracelet,
* Web based EHR/EMR applications which wish to provide dynamic updates of patient demographic information such as a non-postback search, additional demographic detail, etc.
* A document source/consumer wishing to perform an operation in the IHE Mobile access to Health Documents (MHD) Profile, where patient ID in the appropriate patient ID domain needs to be resolved by the source/consumer,
* A health portal securely exposing demographics data to browser-based plugins,
* Medical devices which need to access patient demographic information.

Each of these specific use cases is generalized into two general use cases. The first is one where a system must obtain patient demographics to populate a user interface via a known demographic field (such as bracelet ID) or search parameters provided by a user. The second is as a prerequisite step whereby an application must obtain an identifier from another patient ID domain in order to complete another workflow.

This profile is applicable for use by any application which requires access to patient demographics where lightweight REST/JSON or REST/XML is a more suitable technology than traditional PDQ or PDQv3 Profiles.

### 38.4.2 Use Cases

#### 38.4.2.1 Use Case #1: Patient Information Entering at Bedside

In this use case an admitted patient is assigned a bed and may not be able to provide positive ID information. The nurse uses the PDQm Profile to establish patient context.

##### 38.4.2.1.1 Patient Information Entering at Bedside Use Case Description

An admitted patient is assigned to a bed. The patient may or may not be able to provide positive ID information. The nurse needs to enter patient identity information into some bedside equipment to establish the relationship of the assigned bed to the patient. The equipment issues a query for a patient pick list to a patient demographics supplier that provides data for a patient pick list. Search criteria entered by the nurse might include one or more of the following:

* Partial or complete patient name (printed on the patient record or told by the patient)
* Patient ID (this may be obtained from printed barcode, a bed-side chart, etc.)
* Patient ID entry or scan.
* Date of birth / age range

The system returns a list of patients showing Patient ID, full name, age, sex and displays the list to the nurse. The nurse then selects the appropriate record to enter the patient identity information into the bedside equipment application.

#### 38.4.2.2 Use Case #2: Patient Identity Information Entering in Physician Offices

In this use case a patient visits a physician for the first time. The physician system will use the PDQm Profile to import demographics information into the local application.

##### 38.4.2.2.1 Patient Identity Information Entering in Physician Offices Use Case Description

A patient visits a physician office for the first time. The nurse needs to register the patient; in doing so, it is desired to record the patient’s demographic data in the practice management information system (PMIS). The physician office is connected to a hospital enterprise’s central patient registry. The nurse issues a patient query request to the central patient registry acting as a Patient Demographics Supplier, with some basic patient demographics data as search criteria. In the returned patient list, she picks an appropriate record for the patient, including the hospital’s Patient ID, to enter into the PMIS. (Note the PMIS uses a different Patient ID domain than that of the central patient registry.)

#### 38.4.2.3 Use Case #3: Patient Demographics Query in an Enterprise with Multiple Patient ID Domains

In this use case a lab system obtains identities from multiple Patient ID domains for the purpose of reporting results.

##### 38.4.2.3.1 Patient Demographics Query in an Enterprise with Multiple Patient ID Domains Use Case Description

A lab technician enters some basic demographics data (e.g., patient name) into a lab application to query a patient demographics supplier to identify a patient for his lab exams. As the application also needs the patient identifier in another Patient ID Domain in the enterprise for results delivery, the application is configured to query for Patient IDs from other domains in the query response.

### 38.4.3 Basic Process Flow in Patient Demographics Query for Mobile Profile

Patient Demographics Consumer

Patient Demographics Supplier

Mobile Patient Demographics Query   
[ITI-78]

Mobile Patient Demographics Query Response

Figure 38.4.3-1: Basic Process Flow in PDQm Profile

## 38.5 PDQm Security Considerations

See ITI TF-2x: Appendix Z.8 “Mobile Security Considerations”

## 38.6 PDQm Cross Profile Considerations

When the Patient Demographics Supplier is grouped with actors in other IHE profiles that perform patient information reconciliation activities (e.g., the ADT Actor in the IHE Radiology Scheduled Workflow.b Profile), the Patient Demographics Supplier may use the updated information to respond to PDQm Queries. In addition, the Patient Demographics Query for Mobile Profile may play an integral workflow role in conjunction with other IHE profiles.

Those systems that manage patient demographics could implement the Patient Demographics Supplier in all three profiles: PDQ, PDQv3, and PDQm. In this way the Patient Demographics Consumer can choose the technology stack that best fits. ITI TF-2x: Appendix M.4 provides additional details about Patient Demographics Query Profiles and their relationship with one another.

The Patient Demographics Supplier may act as a proxy to an existing PDQ or PDQv3 environment as shown in Figures 38.6-1 and 38.6-2.

PDQm Implementation grouped as a façade

Patient Demographics Supplier

Patient Demographics Consumer

↑ Mobile Patient Demographics Query [ITI-78]

Patient Demographics Consumer

Patient Demographics Supplier

↑ Patient Demographics Query [ITI-21] or Patient Demographics Query for HL7v3 [ITI-47]

Figure 38.6-1: Implementing PDQm as a gateway

Patient Demographics Consumer

Patient Demographics Supplier / Consumer

Mobile Patient Demographics Query

[ITI-78]

Mobile Patient Demographics Query Response [ITI-78]

Patient Demographics Supplier

Patient Demographics Query OR Patient Demographics Query HL7 V3  
[ITI-21 or ITI-47]

Patient Demographics Query OR Patient Demographics Query HL7 V3 Response   
[ITI-21 or ITI-47]

Figure 38.6-2: Sample PDQm gateway process flow

Volume 2 – Transactions

Add Section 3.78

## 3.78 Mobile Patient Demographics Query [ITI-78]

This section corresponds to transaction [ITI-78] of the IHE IT Infrastructure Technical Framework. Transaction [ITI-78] is used by the Patient Demographics Consumer and Patient Demographics Supplier Actors.

### 3.78.1 Scope

This transaction is used by the Patient Demographics Consumer to solicit information about patients whose demographics data match data provided in the query parameters on the request message. The request is received by the Patient Demographics Supplier. The Patient Demographics Supplier processes the request and returns a response in the form of demographics information for the matching patients.

### 3.78.2 Actor Roles

Patient Demographics Consumer

Patient Demographics Supplier

Figure 3.78.2-1: Use Case Diagram

Table 3.78.2-1: Actor Roles

|  |  |
| --- | --- |
| **Actor:** | Patient Demographics Consumer |
| **Role:** | Requests a list of patients matching the supplied set of demographics criteria (example: ID or Name) from the Patient Demographics Supplier. The Patient Demographics Consumer populates its attributes with demographic information received from the Patient Demographics Supplier. |
| **Actor:** | Patient Demographics Supplier |
| **Role:** | Returns demographic information for all patients matching the demographics criteria provided by the Patient Demographics Consumer. |

### 3.78.3 Referenced Standards

|  |  |
| --- | --- |
| HL7 FHIR | HL7 FHIR standard R4 <http://hl7.org/fhir/R4/index.html> |
| RFC2616 | Hypertext Transfer Protocol – HTTP/1.1 |
| RFC7540 | Hypertext Transfer Protocol – HTTP/2 |
| RFC3986 | Uniform Resource Identifier (URI): Generic Syntax |
| RFC4627 | The application/json Media Type for JavaScript Object Notation (JSON) |
| RFC6585 | Additional HTTP Status Codes |

### 3.78.4 Interaction Diagram

Query Patient Resource (ITI TF-2c:3.78.4.1):   
HTTP GET /Patient

Query Patient Resource Response (ITI TF-2c:3.78.4.2):   
Bundle (Patient)

Retrieve Patient Resource (ITI TF-2c:3.78.4.3):   
HTTP GET /Patient/<ResourceId>

Retrieve Patient Resource Response (ITI TF-2c:3.78.4.4):   
Resource (Patient)

Patient Demographics Consumer

Patient Demographics Supplier

#### 3.78.4.1 Query Patient Resource message

This message represents an HTTP GET parameterized query from the Patient Demographics Consumer to the Patient Demographics Supplier.

##### 3.78.4.1.1 Trigger Events

When a Patient Demographics Consumer needs to select a patient based on demographic information about patients whose information matches a minimal set of known data, it issues a Query Patient Resource.

##### 3.78.4.1.2 Message Semantics

The Query Patient Resource is conducted by the Patient Demographics Consumer by executing an HTTP GET against the Patient Demographics Supplier’s Patient Resource URL.

The search target follows the FHIR http specification, addressing the Patient Resource type <http://hl7.org/fhir/R4/http.html>:

GET [base]/Patient?<query>

This URL is configurable by the Patient Demographics Supplier and is subject to the following constraints.

The <query> represents a series of encoded name-value pairs representing the filter for the query specified in Section 3.78.4.1.2.1, as well as control parameters to modify the behavior of the Patient Demographics Supplier such as response format, or pagination.

###### 3.78.4.1.2.1 Query Search Parameters

The Patient Demographics Consumer may supply, and the Patient Demographics Supplier shall be capable of processing, all query parameters listed below. All query parameter values shall be appropriately encoded per RFC3986 “percent” encoding rules. Note that percent encoding does restrict the character set to a subset of ASCII characters which is used for encoding all other characters used in the URL.

Patient Demographics Suppliers may choose to support additional query parameters beyond the subset listed below. Any additional query parameters supported shall be supported according to the core FHIR specification. Such additional parameters are considered out of scope for this transaction. Any additional parameters not supported should be ignored, See <http://hl7.org/fhir/R4/search.html#errors>.

\_id

This parameter of type string*,* when supplied, represents the resource identifier for the Patient Resource being queried. See ITI TF-2x: Appendix Z.2.3 for use of the string data type. Note: A search using \_id is always an exact match search.

active

This parameter of type token*,* when supplied,specifies the active state. The active state indicates whether the patient record is active. Note: use active=true

identifier

This repeating parameter of type token*,* when supplied, specifies an identifier associated with the patient whose information is being queried (e.g., a local identifier, account identifier, etc.). See ITI TF-2x: Appendix Z.2.2 for use of the token data type.

If multiple instances of this parameter are provided in the query, the query represents a logical AND condition (i.e., all of the associated identifiers must match). For example, a query searching for patients having identifier145 assigned by authority “1.2.3.4” and SSN 123456789 would be represented as:

?identifier=urn:oid:1.2.3.4|145&identifier=urn:oid:2.16.840.1.113883.4.1|123456789

If no system portion of the identifier parameter is specified, then the matching would be performed on any identifier regardless of issuing system. The identifier specified in this parameter is expressed using the token search parameter type. Please see ITI TF-2x: Appendix Z.2.2 for use of the token data type for patient identifiers.

familyandgiven

These parameters of type string, when supplied, specify the name of the person whose information is being queried. For this parameter the Patient Demographics Consumer may use either family name, given name or a combination of both names to filter by family, given or family and given names respectively. See ITI TF-2x: Appendix Z.2.3 for use of the string data type.

Matching on these parameters is performed on a single name. Repetitions of each of the family or given parameters are interpreted to mean multiple parts of the same name. For example, a query for John Jacob Jingleheimer Schmidt would be represented as:

?family=Schmidt&given=John&given=Jacob&given=Jingleheimer

The specific mechanics of name matching such as phonetic matches, synonyms (i.e., John matches Johnny) or partial name matches are not specified here and may be configured to match site specific rules surrounding partial name matching.

telecom

This parameter of type token*,* when supplied,specifies the telecommunications details

birthdate

This parameter of type date*,* when supplied, specifies the birth date and time of the person whose information is being queried.

The Patient Demographics Consumer shall use the date and interval mechanism to indicate a specific date of birth or a date that lies within the range specified by the parameter. See <http://hl7.org/fhir/R4/search.html#date>

address

This parameter of type string*,* when supplied, specifies one or more address parts associated with the person whose information is being queried. For details on matching rules see ITI TF-2x: Appendix Z.2.3.

address-city, address-country, address-postalcode, address-state

These parameters of type string*,* when supplied, specifies exact match against the specified address part associated with the person whose information is being queried. Note that national conventions for addresses may affect utility of these fields.

gender

This parameter of type token*,* when supplied,specifies the administrative gender of the person whose information is being queried. For this parameter item, a single administrative gender code from value set <http://hl7.org/fhir/R4/valueset-administrative-gender.html> shall be specified as the only value of the token. See ITI TF-2x: Appendix Z.2.2 for use of the token data type.

###### 3.78.4.1.2.2 Pediatric Demographics Option

Additional notes are available in FHIR on Mother and newborn relationship, see <http://hl7.org/fhir/R4/patient.html#maternity>

Patient Demographics Suppliers supporting the Pediatric Demographics Option shall support the mothersMaidenName search extension.

Patient Demographics Consumers supporting the Pediatric Demographics Option may use the additional mothersMaidenName search extension and the additional elements returned by the Patient Demographics Suppliers. See ITI TF 1:38.2.1 Pediatric Demographics Option.

###### 3.78.4.1.2.3 Parameter Modifiers

Patient Demographics Suppliers shall support the “:exact” parameter modifier on all query parameters of type string. When supplied by the Patient Demographics Consumer, the “:exact” parameter modifier instructs the Patient Demographics Supplier that exact matching should be performed.

The Patient Demographics Consumer should not use, and Patient Demographics Supplier may ignore, any additional parameter modifiers listed in the FHIR standard, which are considered out of scope in the context of this transaction.

###### 3.78.4.1.2.4 Populating Which Domains are Returned

The Patient Demographics Consumer may constrain the domains from which patient identifiers are returned from the Patient Demographics Supplier in the resulting bundle. The Patient Demographics Consumer shall convey this by specifying the patient identity domains in the system component of repeating identifier parameters using the OR format:

&identifier=urn:oid:1.2.3|,urn:oid:4.5.6|

For example, a Patient Demographics Consumer wishing to filter for patients with a last name of SMITH having identifiers from an identity domain with OID 1.2.3.4.5 would convey this search as:

?family=SMITH&identifier=urn:oid:1.2.3.4.5|

The Patient Demographics Consumer shall populate the patient identity domain portion of the token with values described in ITI TF-2x: Appendix E.3.

###### 3.78.4.1.2.5 Populating Expected Response Format

The FHIR standard provides encodings for responses as either XML or JSON. Patient Demographics Suppliers shall support both message encodings, whilst Patient Demographics Consumers shall support one and may support both.

See ITI TF-2x: Appendix Z.6 for details.

##### 3.78.4.1.3 Expected Actions

The Patient Demographics Supplier shall return demographic records that reflect the match to all of the search criteria provided by the Patient Demographics Consumer. The Patient Demographics Supplier shall respond with a Query Patient Resource Response synchronously (i.e., on the same connection as was used to initiate the request).

The handling of phonetic issues, alternate spellings, upper and lower case, partial matching and accented characters, etc. if deemed appropriate shall be supported by the Patient Demographics Supplier rather than by the Patient Demographics Consumer. At minimum, the Patient Demographics Supplier shall return all exact matches to the query parameters sent by the Patient Demographics Consumer; IHE does not further specify matching requirements. If the Patient Demographics Supplier is unable to perform, case insensitive, partial matches, it shall indicate this in its CapabilityStatement Resource (see ITI TF-2x: Appendix Z.4).

The information provided by the Patient Demographics Supplier to the Patient Demographics Consumer is a list of matching patients from the Patient Demographics Supplier’s information source. The mechanics of the matching algorithms used are internal to the Patient Demographics Supplier and are outside the scope of this framework.

The Patient Demographics Supplier shall support at least one patient identifier domain and may support multiple identifier domains. Section 3.78.4.1.2.4 describes how the Patient Demographics Consumer may filter results based on identifiers from one or more patient identifier domains. Query responses may return patient identifiers from one or multiple patient identifier domains.

If the Patient Demographics Consumer supplied a query parameter, or used a query parameter modifier which the Patient Demographics Supplier is not capable of utilizing, then the Patient Demographics Supplier shall respond with an **HTTP 400** (Bad request) status code and an OperationOutcome resource indicating the parameters in error.

See ITI TF-2x: Appendix Z.6 for more details on response format handling. See ITI TF-2x: Appendix Z.7 for handling guidance for Access Denied.

The Patient Demographics Supplier shall respond to the query request as described by the following cases with a Query Patient Resource Response message described in Section 3.78.4.2, and shall behave according to the cases listed below:

**Case 1:** The Patient Demographics Supplier finds in its information source, at least one patient record matching the criteria sent as HTTP query parameters. No patient identifier domains are requested via the mechanism specified as specified in Section 3.78.4.1.2.4.

**HTTP 200** (OK) is returned as the HTTP status code.

A Resource Bundle is returned representing the result set. The Patient Demographics Supplier populates the total property of the bundle with the total number of matching results. One entry is returned from the Patient Demographics Supplier for each Patient Resource found.

**Case 2:** The Patient Demographics Supplier finds at least one patient record matching the criteria sent in the query parameters. One or more patient identifier domains are requested via the mechanism specified in Section 3.78.4.1.2.4, and Patient Demographics Supplier recognizes all domains. **HTTP 200** (OK) is returned as the HTTP status code.

The Patient Demographics Supplier performs its matching and returns a bundle as described in Case 1. The Patient Demographics Supplier eliminates identifiers from the result set which do not exist in the list specified per Section 3.78.4.1.2.4 (domains to be returned). If all entries in the list of patient identifiers are eliminated, which would leave the patient identifiers list empty, then the entry shall not be present in the response bundle at all.

**Case 3:** The Patient Demographics Supplier fails to find in its information source, any patient record matching the criteria sent as HTTP query parameters.

**HTTP 200** (OK) is returned as the HTTP status code.

A Resource Bundle is returned representing the zero result set. The Patient Demographics Supplier populates the total with a value of 0 indicating no results were found. No entry attributes are provided in the result.

**Case 4:** The Patient Demographics Supplier does not recognize one or more of the domains specified per Section 3.78.4.1.2.4.

**HTTP 404** (Not Found) is returned as the HTTP status code.

An OperationOutcome Resource is returned indicating that the patient identity domain is not recognized in an issue having:

| Attribute | Value |
| --- | --- |
| severity | error |
| code | {<http://hl7.org/fhir/issue-type>, value} |
| diagnostics | “targetSystem not found” |

The OperationOutcome Resource may indicate the query parameter used and the domain in error within the diagnostics attribute. See FHIR discussion of search error handling <http://hl7.org/fhir/R4/search.html#errors>

**Case 5:** The Patient Demographics Supplier is not capable of producing a response in the requested format specified by \_format parameter (specified in Section 3.78.4.1.2.5).

**HTTP 406** (Not Acceptable) is returned as the HTTP status code.

An OperationOutcome Resource is returned indicating that the requested response format is not supported in an issue having:

| Attribute | Value |
| --- | --- |
| severity | error |
| code | <http://hl7.org/fhir/issue-type#not-supported> |

The Patient Demographics Supplier may be capable of servicing requests for response formats not listed in Section 3.78.4.1.2.5, but shall, at minimum, be capable of producing XML and JSON encodings.

The Patient Demographics Supplier may return other HTTP status codes to represent specific error conditions. When HTTP error status codes are returned by the Patient Demographics Supplier, they shall conform to the HTTP standard RFC2616. Their use is not further constrained or specified by this transaction.

#### 3.78.4.2 Query Patient Resource Response message

##### 3.78.4.2.1 Trigger Events

The Patient Demographics Supplier found patient demographics matching the query parameters specified by the Patient Demographics Consumer as a result of a Query Patient Resource Request.

##### 3.78.4.2.2 Message Semantics

The Query Patient Resource Response is sent from the Patient Demographics Supplier to the Patient Demographics Consumer as a Bundle of Patient Resources. The “content-type” of the response will depend upon the requested response format indicated by the Patient Demographics Consumer.

See ITI TF-2x: Appendix Z.6 for more details on response format handling. See ITI TF-2x: Appendix Z.7 for handling guidance for Access Denied.

###### 3.78.4.2.2.1 Patient Resource Definition in the Context of Query Patient Resource Response

The components of the Patient Resource with cardinality greater than 0 (as shown below) are required, and the detailed description of the message is provided here. All other attributes of the response are optional.

The Patient Resource contained within the Query Patient Resource Response message is described at <http://hl7.org/fhir/R4/patient.html> and is not further constrained by this transaction.

###### 3.78.4.2.2.2 Mother’s Maiden Name

Patient Demographics Suppliers shall include the mother’s maiden name, if known, in an extension named mothers MaidenName. See <http://hl7.org/fhir/R4/extension-patient-mothersmaidenname.html>

###### 3.78.4.2.2.3 Resource Bundling

Please see ITI TF-2x: Appendix Z.1 for details on the IHE guidelines for implementing FHIR bundles.

###### 3.78.4.2.2.4 Incremental Response Processing - Paging of Resource Bundle

The Patient Demographics Supplier shall represent these incremental responses as specified FHIR Paging <http://hl7.org/fhir/R4/http.html#paging>

###### 3.78.4.2.2.5 Quality of Match

The Patient Demographics Supplier may convey the quality of each match based on strength of the particular result to the supplied query parameters. The mechanism for determining the confidence of match is considered a product specific feature and is not specified in this transaction.

If the Patient Demographics Supplier wishes to convey the quality of match, it shall represent the confidence of a particular match within the bundle as a score attribute. See FHIR Relevance section <http://hl7.org/fhir/R4/search.html#score>

##### 3.78.4.2.3 Expected Actions

The constraints specified in Section 3.78.4.2.2 represent the minimum set of demographics information that must be implemented by a Patient Demographics Supplier. This does not prevent the Patient Demographics Supplier from sending additional FHIR attributes in a response; such as extensions, text, etc. The Patient Demographics Consumer shall ignore additional attributes and extensions if not understood.

The consumer shall process the response in some manner specific to its application function (for example: displaying on a user interface). This application behavior is not specified by IHE.

##### 3.78.4.2.4 CapabilityStatement Resource

Patient Demographics Suppliers implementing [ITI-78] shall provide a CapabilityStatement Resource as described in ITI TF-2x: Appendix Z.4 indicating the query operation for the Patient Resource has been implemented and shall include all query parameters implemented for the Patient Resource.

#### 3.78.4.3 Retrieve Patient Resource message

This message represents an HTTP GET from the Patient Demographics Consumer to the Patient Demographics Supplier and provides a mechanism for retrieving a single Patient Resource with a known resource identifier.

##### 3.78.4.3.1 Trigger Events

When the Patient Demographics Consumer possesses a Patient Resource’s identifier (either through query, database lookup, or other mechanism) for which it requires additional or new information, it issues a Retrieve Patient Resource operation.

##### 3.78.4.3.2 Message Semantics

The Retrieve Patient Resource is conducted by executing an HTTP GET against the Patient Demographics Supplier’s Patient Resource URL, providing the resource id of the patient being retrieved. The target is formatted as:

GET [base]/Patient/[resourceId]

The Patient Demographics Supplier shall respond to this query by sending a single Patient Resource instance. The specification for [base] is identified in ITI TF-2c: 3.78.4.1.2.

The resource identifier included in the request always represents the unique identifier for the Resource within the scope of the URL. For example, while http://example1.org/ihe/Patient/1 http://example2.com/ihe/Patient/1 both contain the same [resourceId], they reference two different resource instances.

Note: The use of "http" or "https" in URL does not override requirements to use TLS for security purposes.

##### 3.78.4.3.3 Expected Actions

The Patient Demographics Supplier shall retrieve the demographic record indicated by the Resource identifier on the HTTP GET supplied by the Patient Demographics Consumer. The Patient Demographics Supplier shall respond to the retrieve request as described by the following cases:

**Case 1:** The Patient Demographics Supplier finds in its information source the patient demographics record matching the resourceId sent in the HTTP request.

**HTTP 200** (OK) is returned as the HTTP status code.

A Patient Resource is returned representing the result.

**Case 2:** The Patient Demographics Supplier fails to find in its information source the patient demographics record matching the resourceId sent in the HTTP request.

**HTTP 404** (Not Found) is returned as the HTTP status code

An OperationOutcome Resource is returned indicating that the Patient Resource could not be found:

| Attribute | Value |
| --- | --- |
| severity | error |
| type | {http://hl7.org/fhir/vs/issue-type}not-found |

The Patient Demographics Supplier may return other HTTP status codes to represent specific error conditions. When HTTP error status codes are returned by the Patient Demographics Supplier, they shall conform to the HTTP standard RFC2616. Their use is not further constrained or specified by this transaction.

#### 3.78.4.4 Retrieve Patient Resource Response message

The Patient Demographics Supplier’s response to a successful Retrieve Patient Resource message shall be an **HTTP 200** (OK) Status code with a FHIR Patient Resource, or an appropriate error code as defined in Section 3.78.4.2.2.1.

##### 3.78.4.4.1 Trigger Events

The Patient Demographics Supplier found patient demographic record matching the Resource identifier specified by the Patient Demographics Consumer.

##### 3.78.4.4.2 Message Semantics

The Retrieve Patient Resource response is sent from the Patient Demographics Supplier to the Patient Demographics Consumer as a single Patient Resource. See <http://hl7.org/fhir/R4/patient.html> for details on this resource.

See ITI TF-2x: Appendix Z.6 for more details on response format handling. See ITI TF-2x: Appendix Z.7 for handling guidance for Access Denied.

If the Patient Demographics Supplier is unable to produce a response in the requested format, it shall respond with an **HTTP 400** error indicating that it was unable to fulfill the request. The Patient Demographics Supplier may be capable of servicing requests for response formats not listed, but shall, at minimum, be capable of producing XML and JSON encodings.

###### 3.78.4.4.2.1 Patient Resource Definition in the Context of Retrieve Patient Resource Response

The Patient Resource definition in the context of a retrieve operation is identical to the constraints placed on the Patient Resource for a search (see Section 3.78.4.2.2.1)

For the complete FHIR definition of this Resource, see <http://hl7.org/fhir/R4/patient.html>.

### 3.78.5 Security Considerations

See the general Security Consideration in ITI TF-1:38.5

#### 3.78.5.1 Security Audit Considerations

The Security audit criteria are similar to those for the Patient Demographics Query [ITI-21] as this transaction discloses the same type of patient information. The Mobile Patient Demographics Query is a Query Information event as defined in Table 3.20.4.1.1.1-1. The message shall comply with the requirements in ITI TF-2a: 3.21.5.1 following differences:

* EventTypeCode = EV(“ITI-78”, “IHE Transactions”, “Mobile Patient Demographics Query”)
* Query Parameters (AuditMessage/ParticipantObjectIdentification)
* ParticipantObjectIdTypeCode = EV(“ITI-78”, “IHE Transactions”, “Mobile Patient Demographics Query”)
* ParticipantObjectQuery = Requested URL including query parameters
* ParticipantObjectDetail = HTTP Request Headers contained in the query (e.g., Accept header)

Appendices

Rename appendix M as follows in the Volume 2x Appendices

# ~~Appendix M Using Patient Demographics Query in a Multi-Domain Environment~~

# Appendix M Patient Demographics Query Implementation Guidance

Add the following section to the end of Volume 2x Appendix M:

## M.4 Data Elements Patient Demographics Query Profiles

This section describes the data elements that are used in IHE profiles designed for the querying of patient demographics (Patient Demographics Query Profiles) including PDQ, PDQv3, and PDQm.

While the semantic representation of the data elements differs across the transaction in the PDQ Profiles, the common set of elements and query parameters can be described using abstract terminology. This section explains the data elements and query parameters used in PDQ Profiles from an abstract definition standpoint, and then it provides a map between the three profiles’ implementation specific concept.

Note, more data elements may be known by the Patient Demographics Supplier and may be returned. Elements beyond those profiled are encouraged but not required of the profile. Patient Demographics Consumer Actors should be robust to receiving more data than is profiled.

### M.4.1 Patient Demographics Query Data Fields

Table M.4.1-1 outlines the abstract demographics fields which are common to all Patient Demographics Query Profiles.

Table M.4.1-1: Patient Demographics Data Elements (abstract)

| Field | Purpose |
| --- | --- |
| Identifier List | Provides one or more identifiers that can be used to uniquely identify the patient within a medical system. |
| Name(s) | Identifies the patient’s preferred, legal, or alias names. |
| Date / Time of Birth | Identifies the date on which the patient was born. |
| Gender | Identifies the patient’s gender used for administrative purposes. |
| Address(es) | Identifies the patient’s associated addresses (home, work, etc.) |
| Telecommunications Address(es) | Identifies the patient’s phone number, fax number, email addresses and other means of telecommunicating with the patient. |
| Language(s) of communication | Identifies languages which can be used when communicating with the patient. |
| Marital Status | Identifies the patient’s marital status at time of last update (married, divorced, etc.) |
| Non-Medical Identifiers | Identifies additional identifiers associated with the patient which are not used for medical purposes (ex: driver’s license, social insurance number, etc.) |
| Death Date/Time | Identifies the time at which the patient died. |

Table M.4.1-2 provides a mapping between these abstract data elements and their transaction specific fields.

Table M.4.1-2: Patient Demographics Data Elements (concrete)

| Abstract Field | PDQ | PDQ HL7v3 | PDQm |
| --- | --- | --- | --- |
| Identifier List | PID.3 and PID.18 | id | identifier |
| Name(s) | PID.5 and PID.9 | name | name |
| Date / Time of Birth | PID.7 | birthTime | birthdate |
| Gender | PID.8 | administrativeGenderCode | gender |
| Address(es) | PID.11 | addr | address |
| Telecommunications Address(es) | PID.13 and PID.14 | telecom | telecom |
| Language(s) of communication | PID.15 | languageCommunication | communication.language |
| Marital Status | PID.16 | maritalStatusCode | maritalStatus |
| Non-Medical Identifiers | PID.19 and PID.20 | asOtherIds | identifier |
| Death Date/Time | PID.29 | deceasedTime | deceasedDateTime |
| Mother’s Maiden Name | PID.6 | personalRelationship.name | See ITI TF-2c: 3.78.4.2.2.2 |
| Patient Birth Order | PID.25 | multipleBirthOrderNumber | multipleBirthInteger |

### M.4.2 Patient Demographics Query Parameters

Table M.4.2-1 outlines the demographics query parameters which are common to all Patient Demographics Query Profiles.

Table M.4.2-1: Patient Demographics Query Parameters (abstract)

| Field | Purpose |
| --- | --- |
| Identifier List | Filters the result set to a list of patients whose identifiers match the provided identifiers. |
| Name | Filters the result set to a list of patients whose name (legal, maiden, alias, etc.) matches the provided value. The mechanisms for match are not specified but can include: exact match, pattern match, phonetic match, variant match, etc. |
| Date / Time of Birth | Filters the result set to patients whose date/time of birth match the provided value. |
| Gender | Filters the result set to patients whose administrative gender matches the provided value. |
| Address | Filters the result set to patients whose address (home, business, etc.) matches the provided value. |
| Domains to be Returned | Filters the result set to include only identifiers which have been assigned by the specified domains. |
| Mother’s Maiden Name | Filters the result set to include only those patients which whose mother’s maiden name matches the specified name. |
| Patient Telecommunications Addresses | Filters the result set to include only those which have the specified telecommunications addresses. |

Table M.4.2-2 provides a mapping between these abstract query parameters and their transaction specific implementation.

Table M.4.2-2: Patient Demographics Query Parameters (concrete)

| Abstract Parameter | PDQ | PDQ HL7v3 | PDQm |
| --- | --- | --- | --- |
| Identifier List | @PID.3 and @PID.18 | livingSubjectId | identifier |
| Name | @PID.5 | livingSubjectName | given and family |
| Date / Time of Birth | @PID.7 | livingSubjectBirthTime | birthdate |
| Gender | @PID.8 | livingSubjectAdministrativeGender | gender |
| Address | @PID.11 | patientAddress | address |
| Domains to be Returned | QPD-8 | otherIDsScopingOrganization | See ITI TF-2c: 3.78.4.1.2.4 |
| Mother’s Maiden Name | @PID.6 | mothersMaidenName | mothersMaidenName.given and mothersMaidenName.family |
| Patient Telecommunications Addresses | @PID.13 | patientTelecom | telecom |

1. HL7 is the registered trademark of Health Level Seven International. [↑](#footnote-ref-1)
2. FHIR is the registered trademark of Health Level Seven International. [↑](#footnote-ref-2)