

# Reading Summary 4.2

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## 4.3 Irreducibles and Unique Factorization

Throughout these sections  $F$  has always been a field. Before carrying over the results of section 1.3 on unique factorization in  $\mathbb{Z}$  to the ring of polynomials over a field, we must first examine an area in which  $\mathbb{Z}$  differs significantly from  $F[x]$ . In  $\mathbb{Z}$  there are only two units,  $\pm 1$ , but a polynomial ring may have many more units.

An element  $a$  in a commutative ring with identity  $R$  is said to be an associate of an element  $b$  of  $R$  if  $a = bu$  for some unit  $u$ . In this case  $b$  is also an associate of  $a$  because  $u^{-1}$  is a unit and  $b = au^{-1}$ . In the ring  $\mathbb{Z}$ , the only associates of an integer  $n$  are  $n$  and  $-n$  because  $\pm 1$  are the only units. If  $F$  is a field, then by Corollary 4.5, the units in  $F[x]$  are the nonzero constants. Therefore,  $f(x)$  is an associate of  $g(x)$  in  $F[x]$  if and only if  $f(x) = cg(x)$  for some nonzero  $c \in F$ .

### Definition

Let  $F$  be a field. A nonconstant polynomial  $p(x) \in F[x]$  is said to be irreducible if its only divisors are its associates and the nonzero constant polynomials. A nonconstant polynomial that is not irreducible is said to be reducible.

### Example (from the book)

The polynomial  $x + 2$  is irreducible in  $\mathbb{Q}[x]$  because all its divisors must have degree 0 or 1. Divisors of degree 0 are nonzero constants.  $f(x) \mid (x + 2)$ , say  $x + 2 = f(x)g(x)$ , and if  $\deg f(x) = 1$ , then  $g(x)$  has degree 0, so that  $g(x) = c$ . Thus  $c^{-1}(x + 2) = f(x)$ , and  $f(x)$  is an associate of  $x + 2$ . A similar argument in the general case shows that **every polynomial of degree 1 in  $F[x]$  is irreducible in  $F[x]$** .

### Theorem 4.11

Let  $F$  be a field. A nonzero polynomial  $f(x)$  is reducible in  $F[x]$  if and only if  $f(x)$  can be written as the product of two polynomials of lower degree.

### Proof

First assume that  $f(x)$  is reducible. Then it must have a divisor  $g(x)$  that is neither an associate nor a nonzero constant, say  $f(x) = g(x)h(x)$ . If either  $g(x)$  or  $h(x)$  has the same degree as  $f(x)$ , then the other must have degree 0. Since a polynomial of degree 0 is a nonzero constant in  $F$ , this means that either  $g(x)$  is a constant or an associate  $f(x)$ , contrary to the hypothesis. Therefore, both  $g(x)$  and  $h(x)$  have lower degree than  $f(x)$ .

Now assume that  $f(x)$  can be written as the product of two polynomials of lower degree. That means  $f(x) = g(x)h(x)$  with both  $g(x)$  and  $h(x)$  having lower degree than  $f(x)$ . Which means that  $f(x)$  has a divisor that is neither an associate nor a nonzero constant. ■

### Theorem 4.12

Let  $F$  be a field and  $p(x)$  a nonconstant polynomial in  $F[x]$ . Then the following conditions are equivalent:

1.  $p(x)$  is irreducible.
2. If  $b(x)$  and  $c(x)$  are any polynomials such that  $p(x) \mid b(x)c(x)$ , then  $p(x) \mid b(x)$  or  $p(x) \mid c(x)$ .
3. If  $r(x)$  and  $s(x)$  are any polynomials such that  $p(x) = r(x)s(x)$ , then  $r(x)$  or  $s(x)$  is a nonzero constant polynomials.

### Proof

(1)  $\implies$  (2) Adapt the proof of Theorem 1.5 to  $F[x]$ . Replace statements about  $\pm p$  by statements about the associates of  $p(x)$ ; replace statements about  $\pm 1$  by statements about the nonzero constants in  $F[x](\text{units})$ . Use Theorem 4.10 in place of Theorem 1.4.

(2)  $\implies$  (3) If  $p(x) = r(x)s(x)$ , then  $p(x) \mid r(x)$  or  $p(x) \mid s(x)$  by (2). If  $p(x) \mid r(x)$ , say  $r(x) = p(x)q(x)$ , then  $p(x) = r(x)s(x) = p(x)v(x)s(x)$ . Since  $F[x]$  is a i.d. we can cancel  $p(x)$  by Theorem 3.7 and conclude that  $1_F = v(x)s(x)$ . This  $s(x)$  is a unit, and hence by Corollary 4.5,  $s(x)$  is a nonzero constant. A similar argument shows that if  $p(x) \mid s(x)$ , then  $r(x)$  is a nonzero constant.

(3)  $\implies$  (1) Let  $c(x)$  be any divisor of  $p(x)$ , say  $p(x) = c(x)d(x)$ . Then by (3), either  $c(x)$  or  $d(x)$  is a nonzero constant. If  $d(x) = d \neq 0_p$ , then multiplying both sides of  $p(x) = c(x)d(x) = dc(x)$  by  $d^{-1}$  shows that  $c(x) = d^{-1}p(x)$ . Thus in every case,  $c(x)$  is a nonzero constant or an associate of  $p(x)$ . Therefore,  $p(x)$  is irreducible. ■

### Corollary 4.13

Let  $F$  be a field and  $p(x)$  an irreducible polynomial in  $F[x]$ . If  $p(x) \mid a_1(x)a_2(x) \cdots a_n(x)$ , then  $p(x)$  divides at least one of the  $a_i(x)$ . To prove adapt the proof of Corollary 1.6 to  $F[x]$ . ■

### Theorem 4.14

Let  $F$  be a field. Every nonconstant polynomial  $f(x)$  in  $F[x]$  is a product of irreducible polynomials in  $F[x]$  (We allow the possibility of a product with just one factor in case  $f(x)$  is itself irreducible). This factorization is unique in the following sense: If

$$f(x) = p_1(x)p_2(x) \cdots p_k(x) \text{ and } f(x) = q_1(x)q_2(x) \cdots q_r(x)$$

with each  $p_i(x)$  and  $q_i(x)$  irreducible, then  $r = k$  (that is, the number of irreducible factors is the same). After the  $q_i(x)$  are reordered and relabeled, if necessary,

$$p_i(x) \text{ is an associate of } q_i(x) \quad (i = 1, 2, 3, \dots, r).$$

### Proof

To show that  $f(x)$  is a product of irreducibles, adapt the proof of Theorem 1.7 to  $F[x]$ : Let  $S$  be the set of all nonconstant polynomials that are not the product of irreducibles, and use a proof by contradiction to show that  $S$  is empty. To prove that this factorization is unique up to associates, suppose  $f(x) = p_1(x)p_2(x) \cdots p_i(x) = q_1(x)q_2(x) \cdots q_j(x)$  with each  $p_i(x)$  and  $q_j(x)$  irreducible. Then  $p_1(x)[p_2(x) \cdots p_i(x)] = q_1(x)q_2(x) \cdots q_j(x)$  so that  $p_1(x)$  divides  $q_1(x)q_2(x) \cdots q_j(x)$ . Corollary 4.13 shows that  $p_i(x) \mid q_j(x)$  for some  $j$ . After rearranging and relabeling the  $q(x)$ 's if necessary, we may assume that  $p_1(x) \mid q_1(x)$ . Since  $q_1(x)$  is irreducible,  $p_1(x)$  must be either a constant or an associate of  $q_1(x)$ . However,  $p_1(x)$  is irreducible, and so its not a constant. Therefore,  $p_1(x)$  is an associate of  $q_1(x)$ , with  $p_1(x) = c_1q_1(x)$  for some constant  $c_1$ . Thus

$$q_1(x)[c_1p_2(x)p_3(x) \cdots p_i(x)] = p_1(x)p_2(x) \cdots p_r(x) = q_1(x)q_2(x) \cdots q_j(x).$$

Canceling  $q_1(x)$  on each end, we have

$$p_2(x)[c_1p_3(x) \cdots p_i(x)] = q_2(x)q_3(x) \cdots q_j(x).$$

Complete the argument by adapting the proof of Theorem 1.8 to  $F[x]$ , replacing statements about  $\pm q_j$  with statements about associates of  $q_j(x)$ . ■

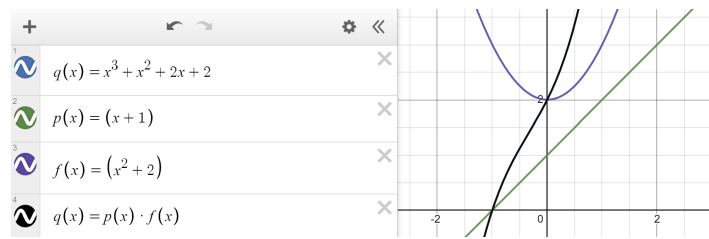


Figure 1: Factored polynomials in  $\mathbb{Z}[x]$ . While  $x^3 + x^2 + 2x + 2$  can be reduced, one of its factors  $x^2 + 2$  is irreducible in  $\mathbb{Z}[x]$

### Example(Exercise 3)

(3) List all associates of  $x^2 + x + 1$  in  $\mathbb{Z}_5[x]$ .

1.  $a(x) = 1(x^2 + x + 1)$
2.  $b(x) = 2(x^2 + x + 1)$
3.  $c(x) = 3(x^2 + x + 1)$
4.  $d(x) = 4(x^2 + x + 1)$