

# Quick Sort

Course on Sorting and Searching

Rohit Mazumder • Lesson 4 • Feb 22, 2021

# Agenda:

- 1. ✓ Sorting Problem (Recap)
- 2. The partitioning problem
- 3. Quicksort
- 4. Critical Analysis of Quicksort
- 5. Merge Sort vs Quick Sort
- 6. QuickSort: Performance Summary
- 7. Assessment
- 8. HW

# ~~What is the sorting problem?~~

Arranging elements in a specific order.

Commonly used orders are:

- ✓ Ascending order
- ✓ Descending order

Example: Arranging the rank list in decreasing order of marks, Alphabetic ordering.

Target: Sort an array in ascending order

# The Partitioning Problem

Given an array  $A$  of  $N$  elements, your task is to rearrange this array such that every element that is smaller than  $A[0]$  occurs to the left of it and every element larger than or equal to  $A[0]$  occurs to its right.

NOTE: There may be multiple possible solutions. Print any of them.

$[20, 5, 27, 3, 45, 30, 19, 77, 1] \Rightarrow [1, 5, 3, 19, 20, 77, 45, 27, 30]$

$\begin{matrix} 0 & 1 & 2 & 3 & \dots & \dots \end{matrix}$



Input

$A[0] = 20$

$(19, 3, 5, 1, 20, 77, 27, 30, 45)$

$(1, 5, 3, 19, 20, 27, 30, 45, 77)$   
 ~~$O(nwgn) ; O(wyn)$~~

Space  $\rightarrow O(n)$  Time  $\rightarrow O(n)$

## Solution 1: Naive Logic?

- pivot  $\leftarrow$  ~~pivot~~

$A = [20, 65, 27, 3, 45, 30, 19, 77, 1]$

lesser =  $[-, 19, 1, -, -, -, -, -, -, -, -]$

greater |  $[65, 27, 45, 30, 77, -, -, -, -, -, -]$

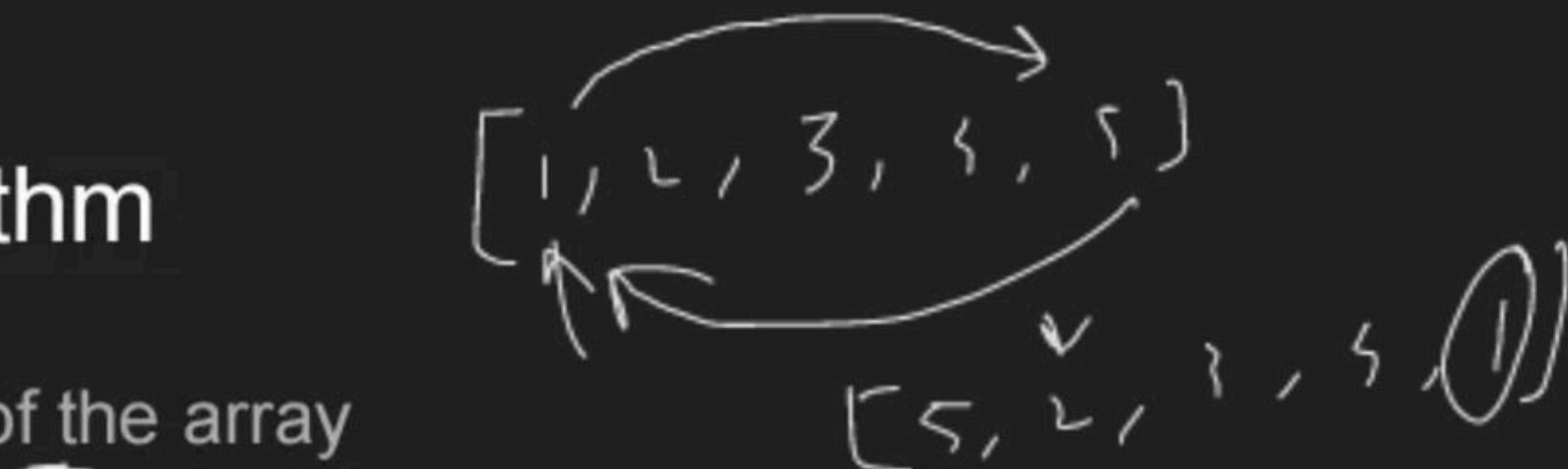
$A = [3, 19, 1, | 20 | 65, 27, 45, -, -]$

# Partitioning: Lomuto Algorithm

Assume: Pivot element is at the end of the array

NOTE: You can always bring the pivot element at the end of the array, with an extra swap operation! :)

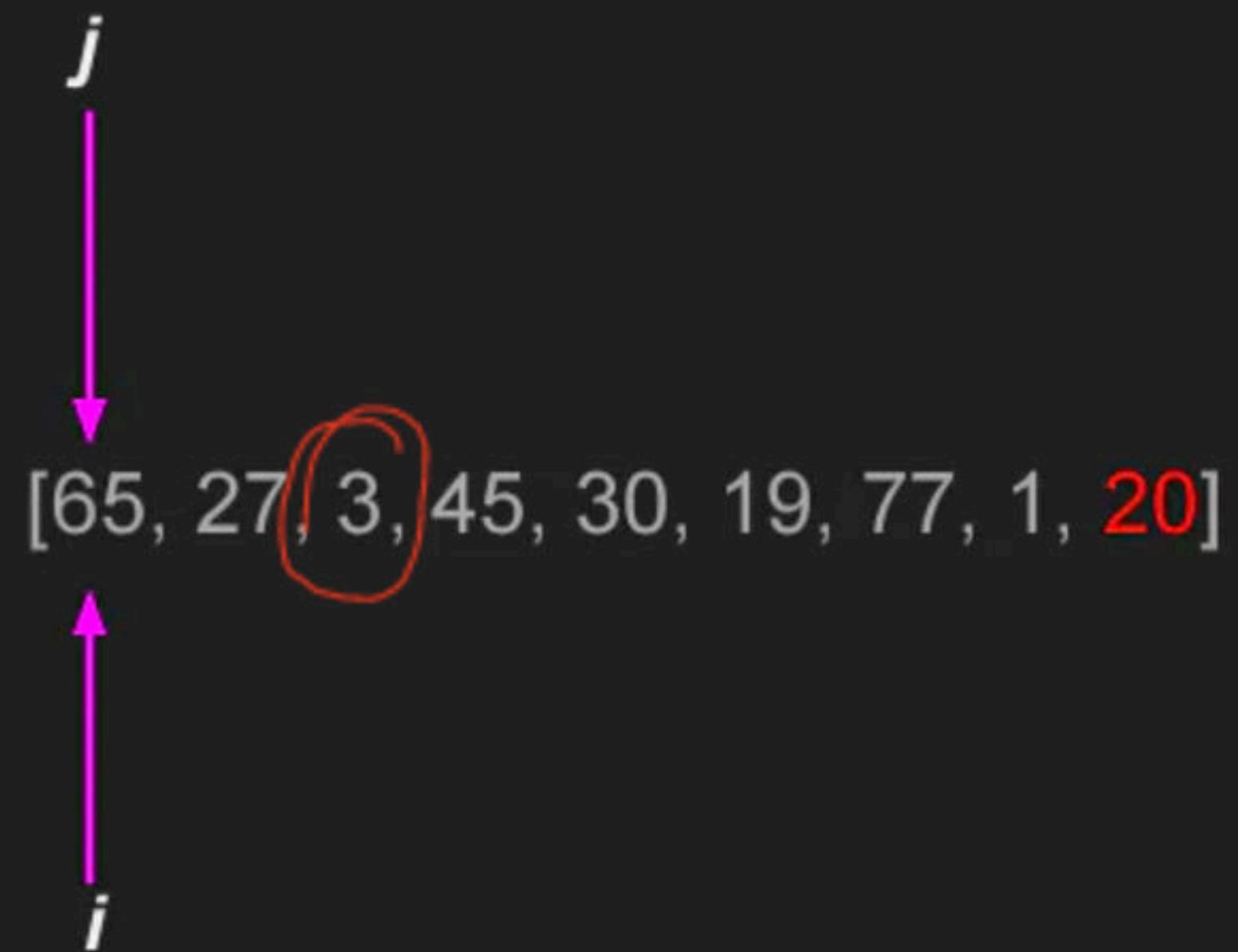
```
algorithm partition(A, lo, hi) is
    pivot := A[hi]
    i := lo
    for j := lo to hi do
        if A[j] < pivot then
            swap A[i] with A[j]
            i := i + 1
    swap A[i] with A[hi]
    return i
```



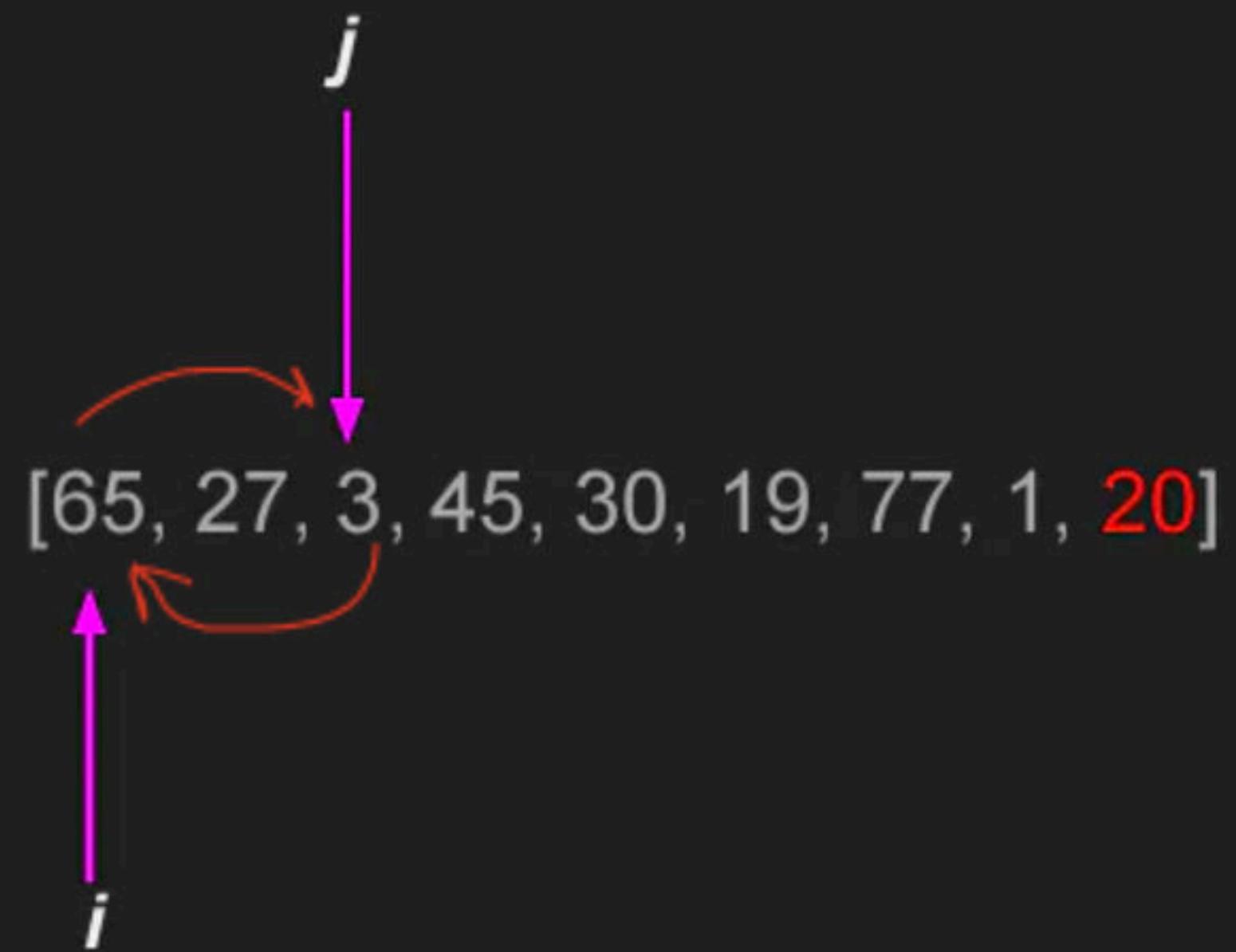
partition (A, 0, A.length - 1)  
i = 0 ← if lo = 0

Time:  $O(n)$   
Space  $O(1)$

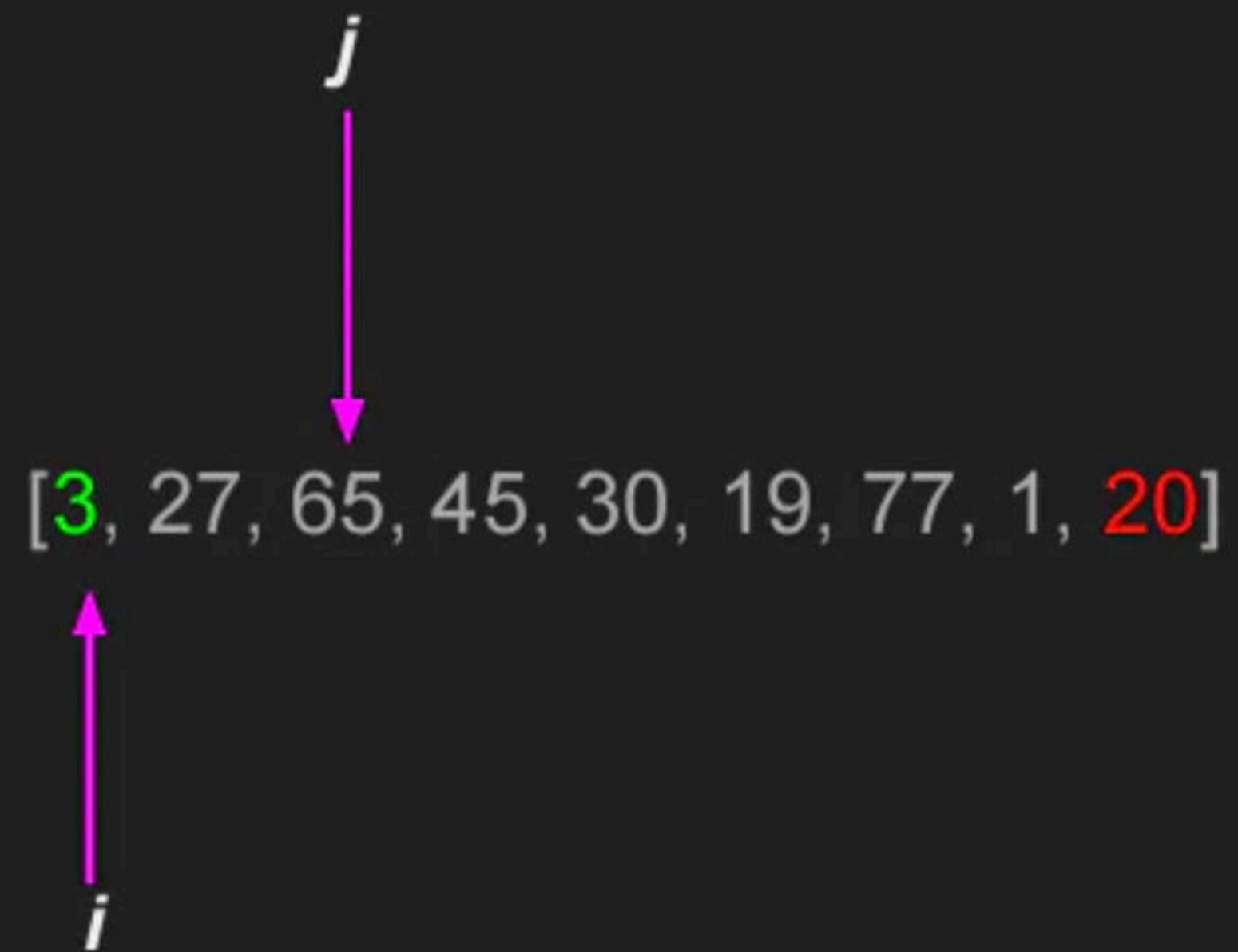
Core Idea: Search for  $A[j]$ , such that  $A[j] < \text{pivot}$  and secure its position by placing it towards the beginning of the array



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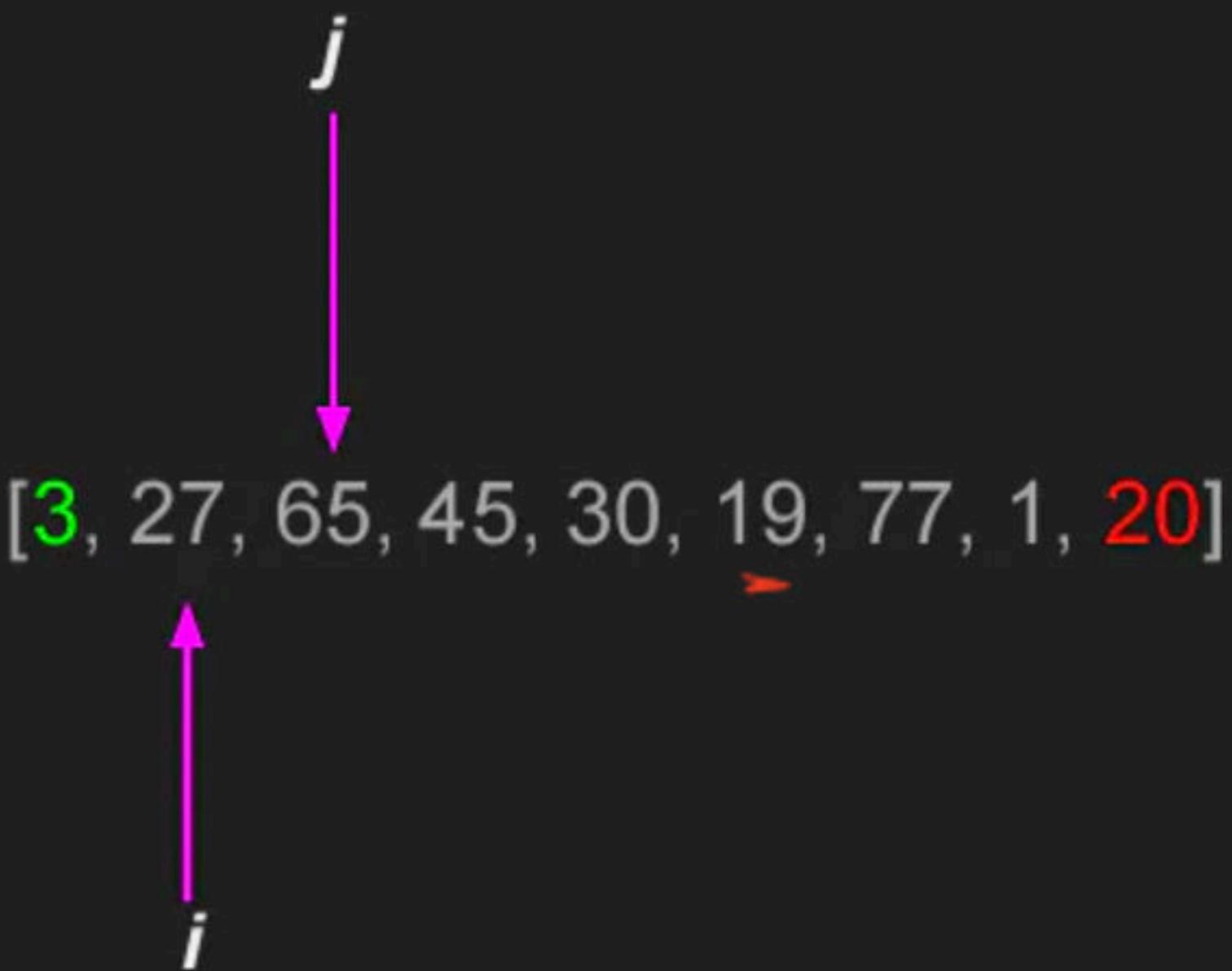


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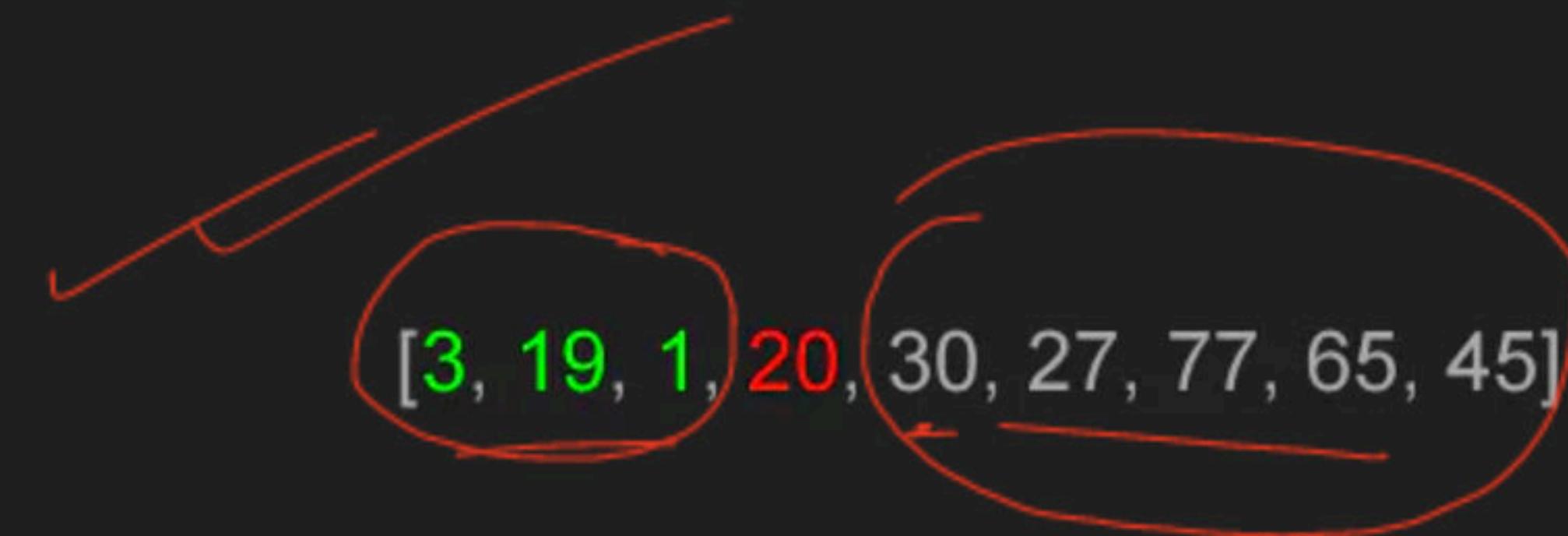


Core Idea: Search for  $A[j]$ , such that  $A[j] < \text{pivot}$  and secure its position by placing it towards the beginning of the array



Exit condition encountered:  
- j has reached the end\_of the array!  
swap( $A[\text{high}], A[i]$ ) and exit

Core Idea: Search for  $A[j]$ , such that  $A[j] < \text{pivot}$  and secure its position by placing it towards the beginning of the array



Exit condition encountered:  
j has reached the end of the  
array!  
 $\text{swap}(A[\text{high}], A[i])$  and exit

Time, Space Complexity? Inplace? Stability?

$O(n)$

$O(1)$

Inplace

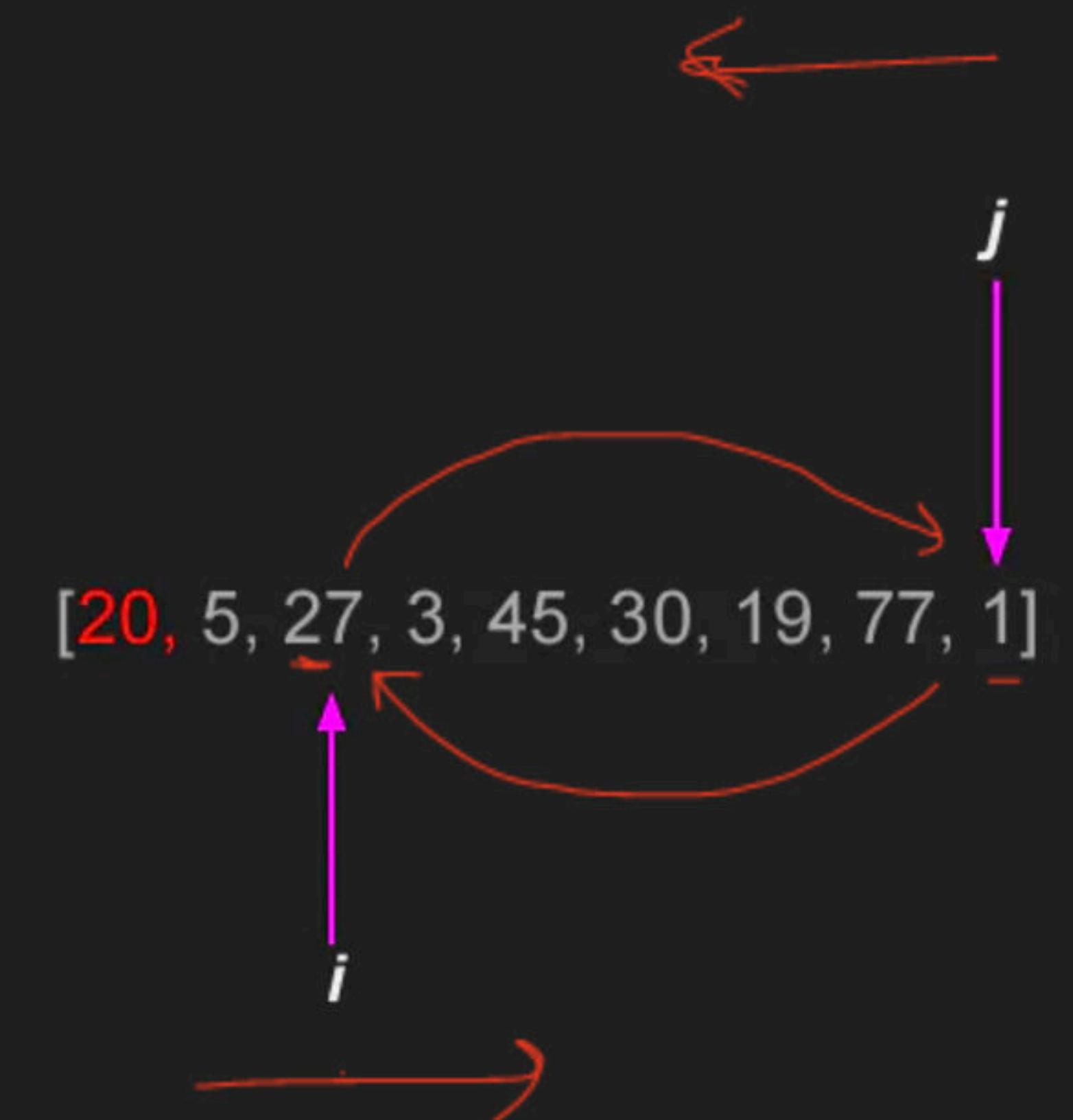
Not stable

## Solution-3: Hoare's Algorithm

1. Find  $i = \text{index of first item from left larger than pivot}$
2. Find  $j = \text{index of first item from right smaller than pivot}$
3.  $\text{swap}(A[i], A[j])$
4. Repeat.

Exit condition:  $j \leq i$

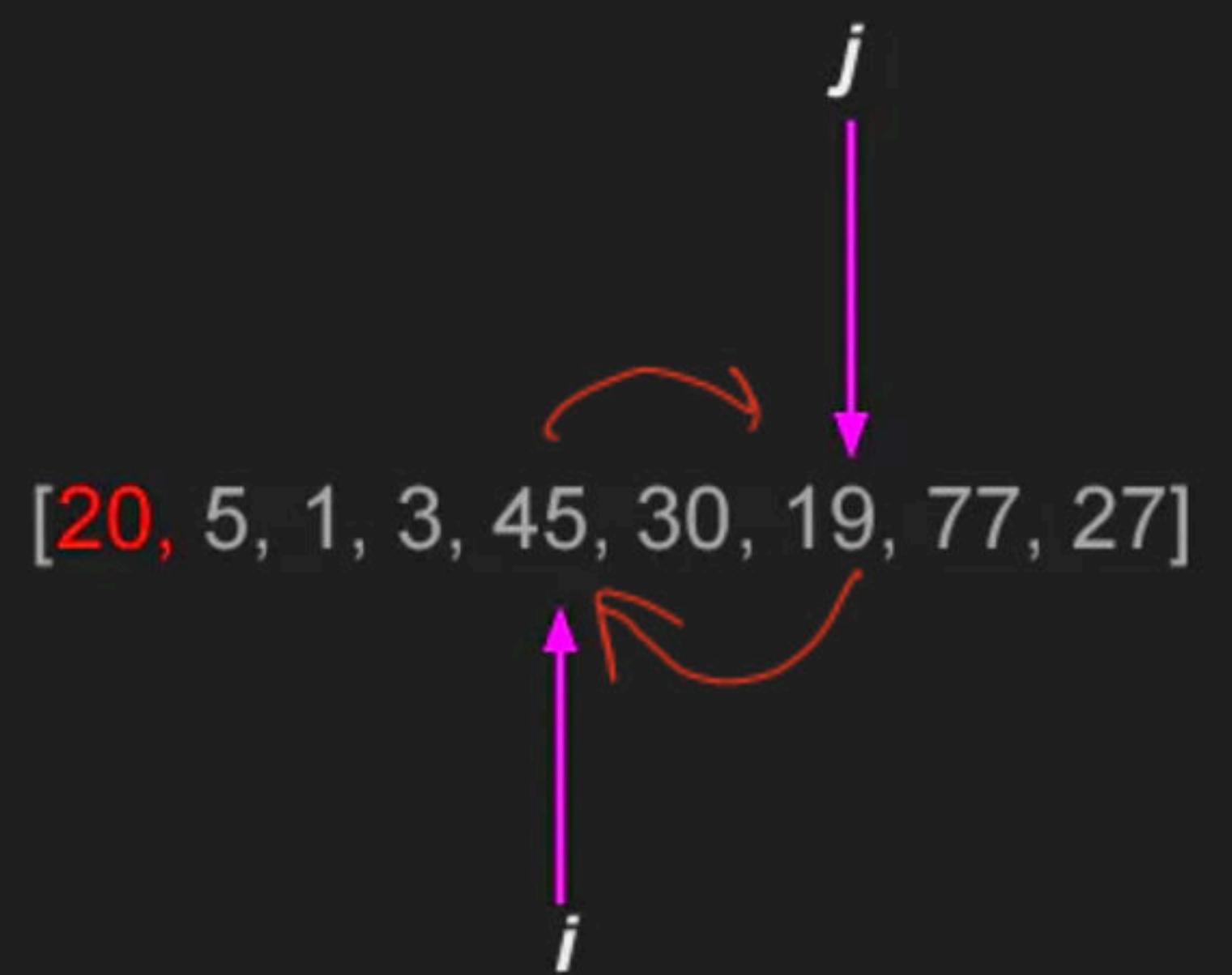
After exiting  $\text{swap}(A[0], A[j])$



[20, 5, 1, 3, 45, 30, 19, 77, 27]

i

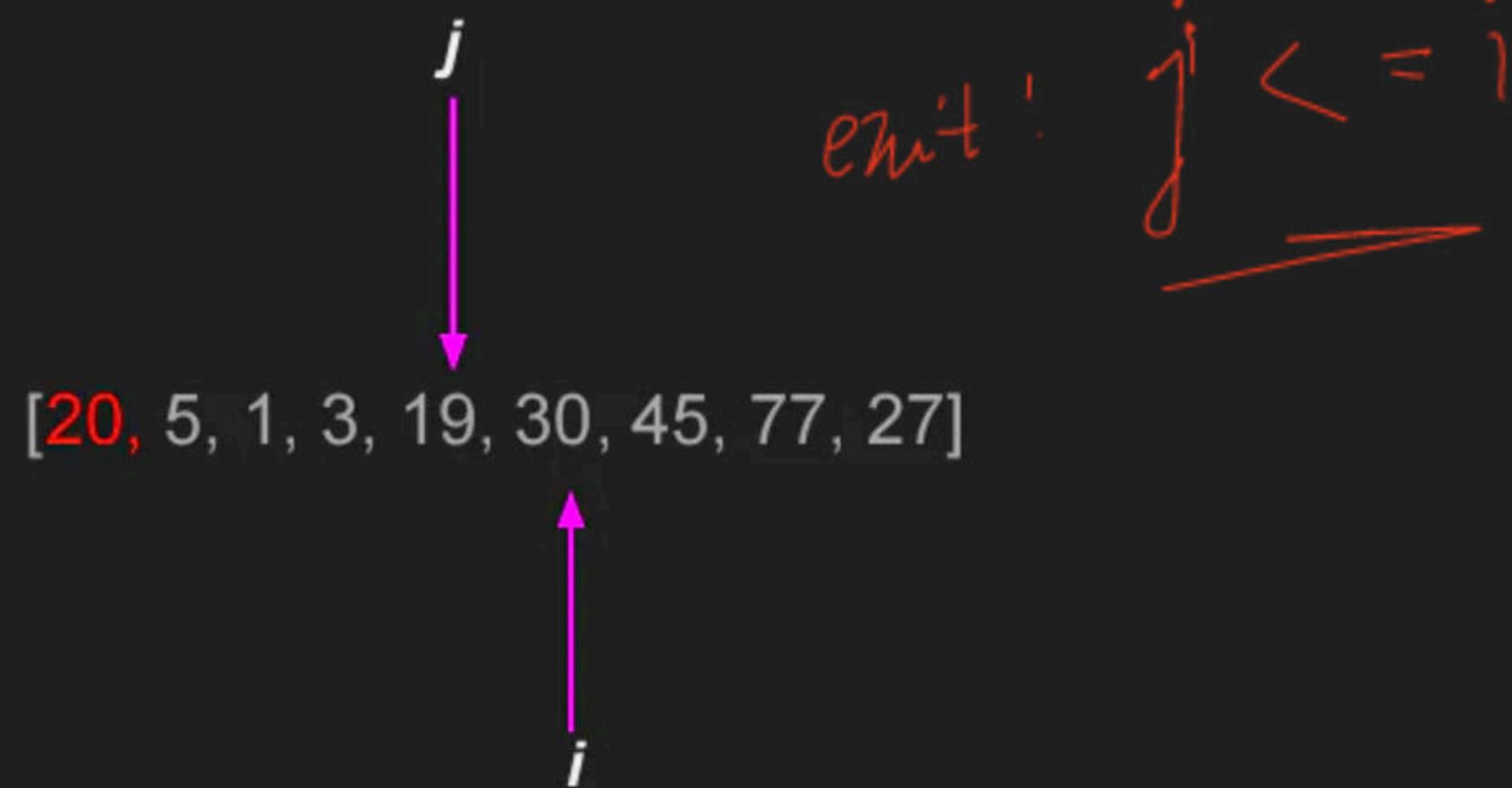
j



[20, 5, 1, 3, 19, 30, 45, 77, 27]

The diagram illustrates a list of integers: [20, 5, 1, 3, 19, 30, 45, 77, 27]. Two indices are highlighted:  $i$  (indicated by a pink arrow pointing to the element 19) and  $j$  (indicated by a pink arrow pointing to the element 30). The elements 19 and 30 are both colored red.

Exit Condition Encountered!  
Swap( $A[0]$ ,  $A[j]$ ) and exit



Exit Condition Encountered!  
Swap( $A[0]$ ,  $A[j]$ ) and exit

[19, 5, 1, 3, 20, 30, 45, 77, 27]

# Time Complexity, Space Complexity, Inplace, Stability of Hoare's Scheme?

- Time Complexity =  $O(n)$
- Space Complexity =  $O(1)$

Inplace

Stable

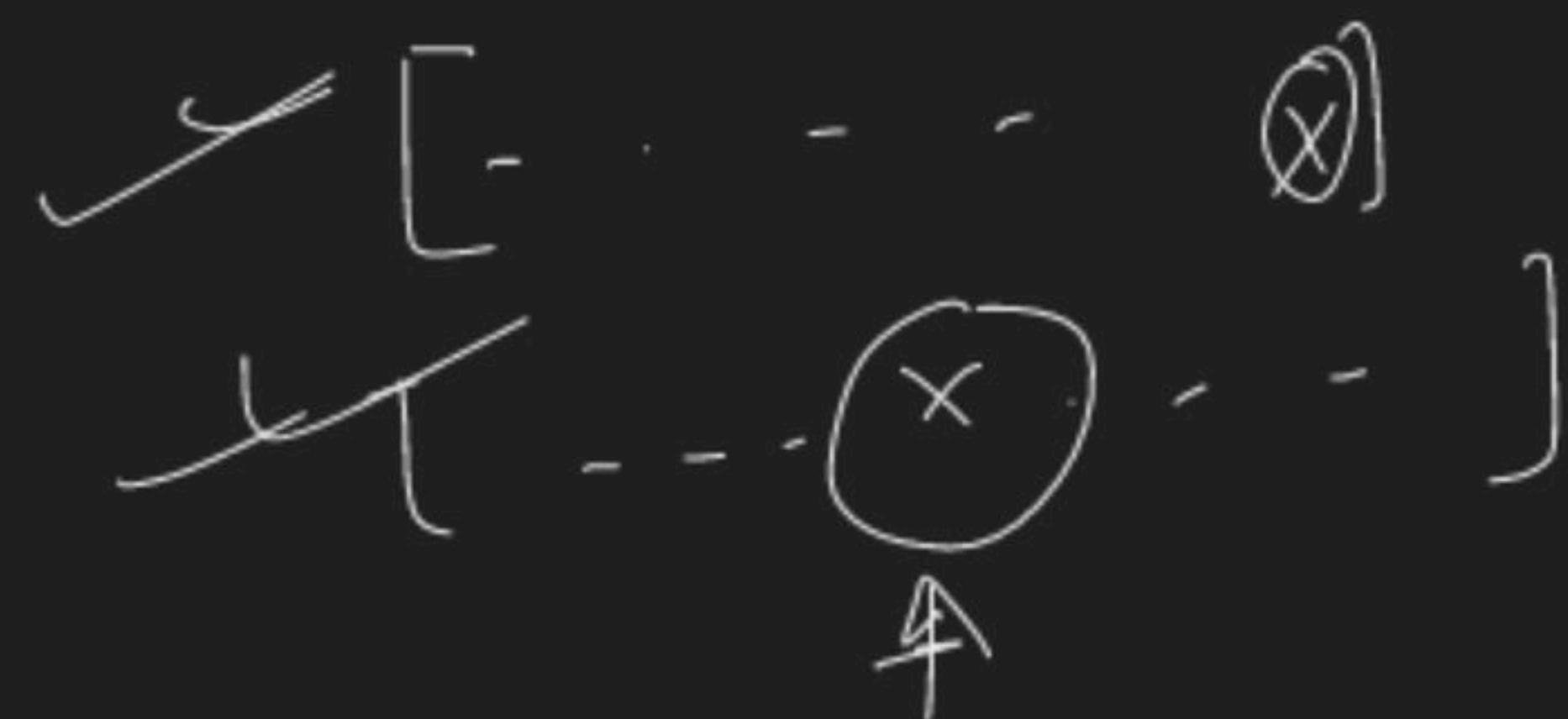
$\langle O(N), O(1) \rangle$

Comparison: Hoare's Scheme vs Lomuto's  
Scheme



## Interesting fact:

Upon partitioning an array, the position that the pivot ends up in, is same the position it would have taken on sorting the array.



# Quicksort: Core idea

Input → [5, 27, 3, 45, 30, 19, 77, 1, 20]

[5, 3, 19, 1, 20, 27, 77, 45, 30]

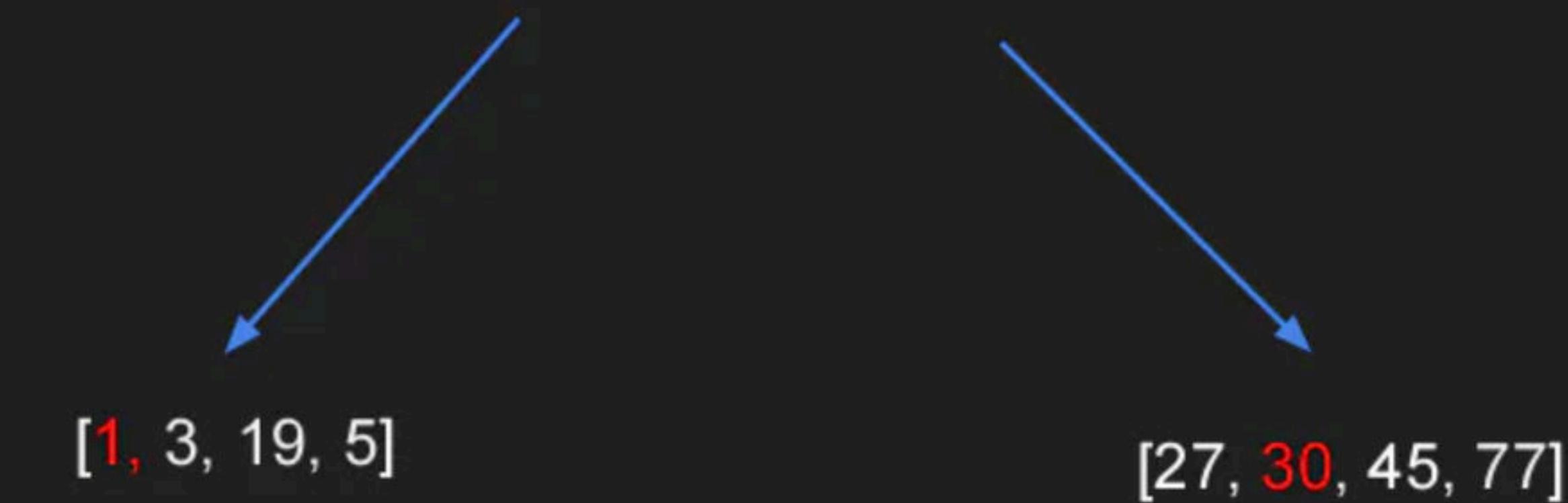
[5, 3, 19, 1, 20, 27, 77, 45, 30]

10

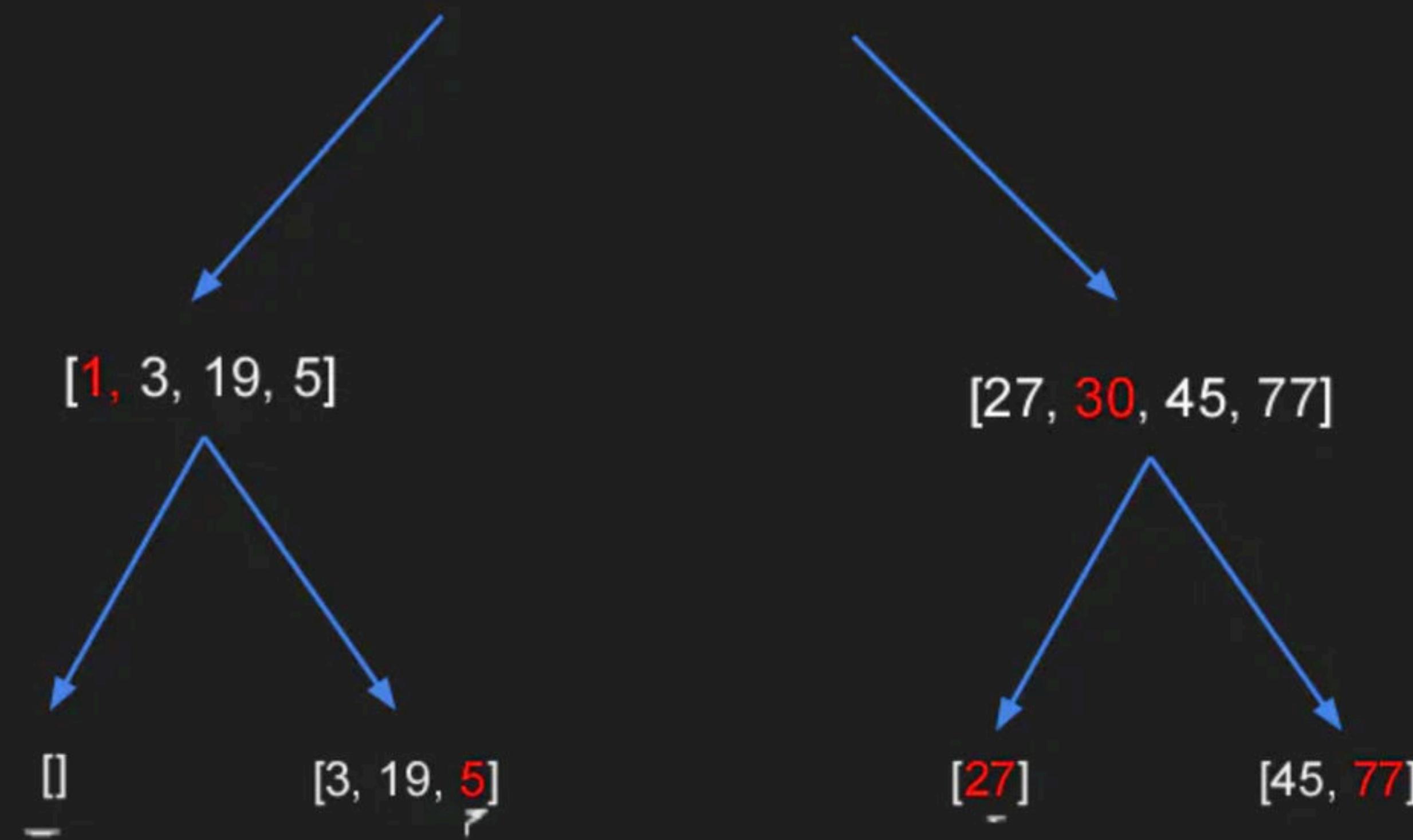
[5, 3, 19, 1]

[27, 77, 45, 30]

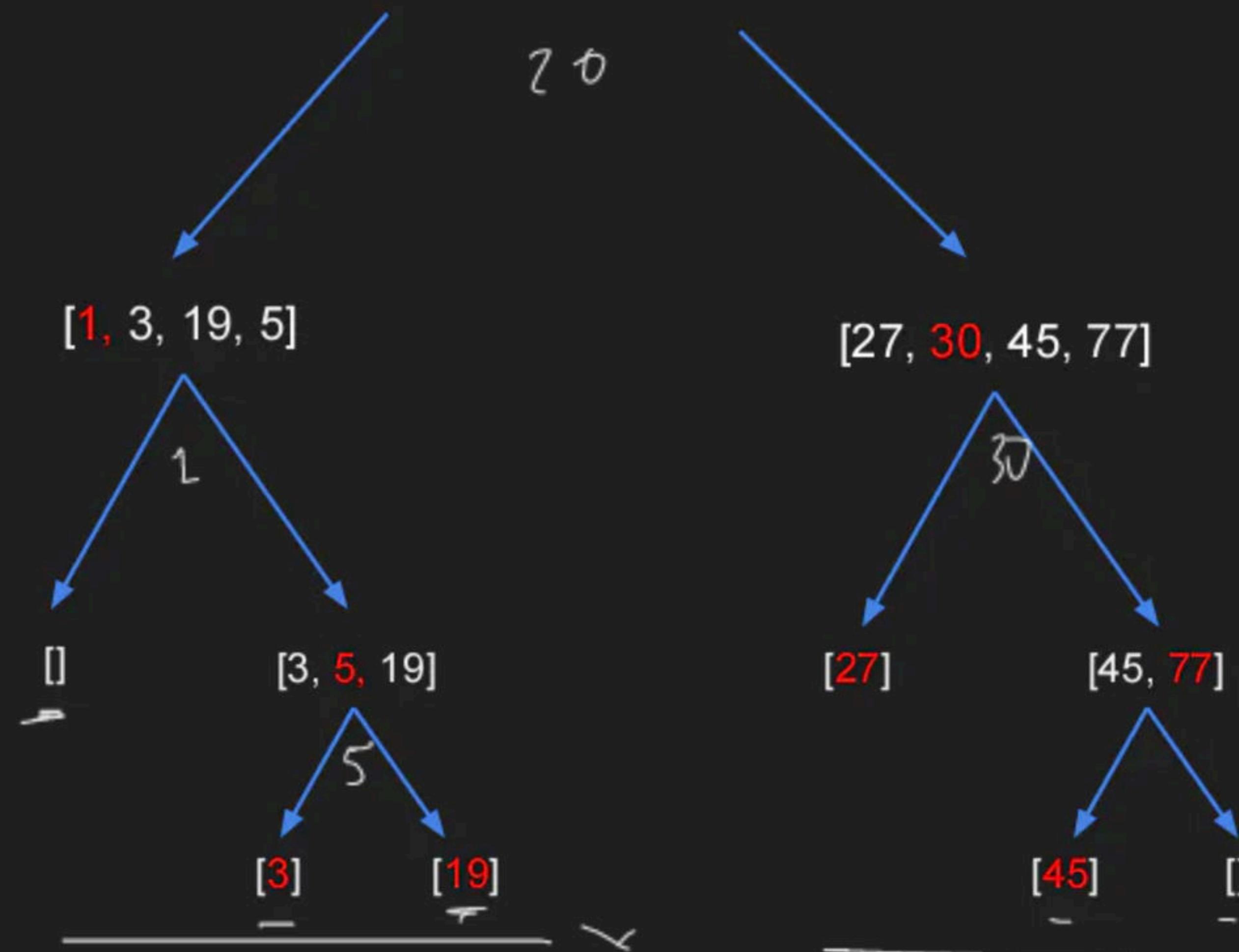
[5, 3, 19, 1, 20, 27, 77, 45, 30]



[5, 3, 19, 1, 20, 27, 77, 45, 30]



[5, 3, 19, 1, 20, 27, 77, 45, 30]



$\rightarrow T(n)$

**algorithm** quicksort( $A, lo, hi$ ) **is**

**if**  $lo < hi$  **then**

$p := \text{partition}(A, lo, hi)$   $\rightarrow \Theta(n)$   
    quicksort( $A, lo, p - 1$ )  $\rightarrow T(k)$   
    quicksort( $A, p + 1, hi$ )  $\rightarrow T(N - k - 1)$

**algorithm** partition( $A, lo, hi$ ) **is**

  pivot :=  $A[hi]$

*i* := *lo*

**for** *j* := *lo* **to** *hi* **do**

**if**  $A[j] < \text{pivot}$  **then**

      swap  $A[i]$  with  $A[j]$

*i* := *i* + 1

  swap  $A[i]$  with  $A[hi]$

**return** *i*

$$T(n/2) + n$$

$$2T(n/2) + n$$

$$2T(n/2) + 1$$

$N - k - 1$

$N_{\text{elements}} \rightarrow [x, x, \dots, x]$

$[x, \dots, x]$

$k$  elements

$[x, \dots, x]$

$N - k - 1$

✓ Recurrence Relation, Time Complexity & Space  
Complexity, Stability? Inplace?

$$T(n) \leq T(k) + T(n-k-1) + \theta(n)$$

Best Scenario:

~~x~~ Best Case: When the pivot always comes to the center  
after partitioning

Recurrence Relation ; (?)

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

$$T(n) \in O(n \log n)$$

~~Worst Case: When the pivot always comes to one of the end when partitioning~~

Recurrence ]

$$T(n) = T(n-1) + T(0) + \Theta(n)$$

$$\begin{aligned}
 T(n) &= T(n-1) + T(0) + \Theta(n) \\
 &\approx [T(n-2) + T(0) + \Theta(n)] + T(0) \\
 &\quad + \Theta(n) \\
 &\leq [T(n-3) + T(0) + \Theta(n)] + T(0) + \Theta(n) \\
 &\quad + T(0) + \Theta(n) \\
 &\vdots \\
 &\approx \boxed{T(0)} + nT(0) + n\Theta(n) \\
 &\approx k + kn + \Theta(n^2)
 \end{aligned}$$

$$T(n) = k + kh + O(n^{\tilde{\alpha}})$$

$$\boxed{T(n) = O(n^{\tilde{\alpha}})} \text{ but}$$

Average:  $O(n \log n)$

✓ 6(1)

$O(1)$

• Pay attention to recursion

$O(\log n)$

1

7  
n-1

$$\downarrow (t-2) \dots$$

$$\downarrow \{ \vdash - \} \dashv \dashv$$

1

in

Space

(1)

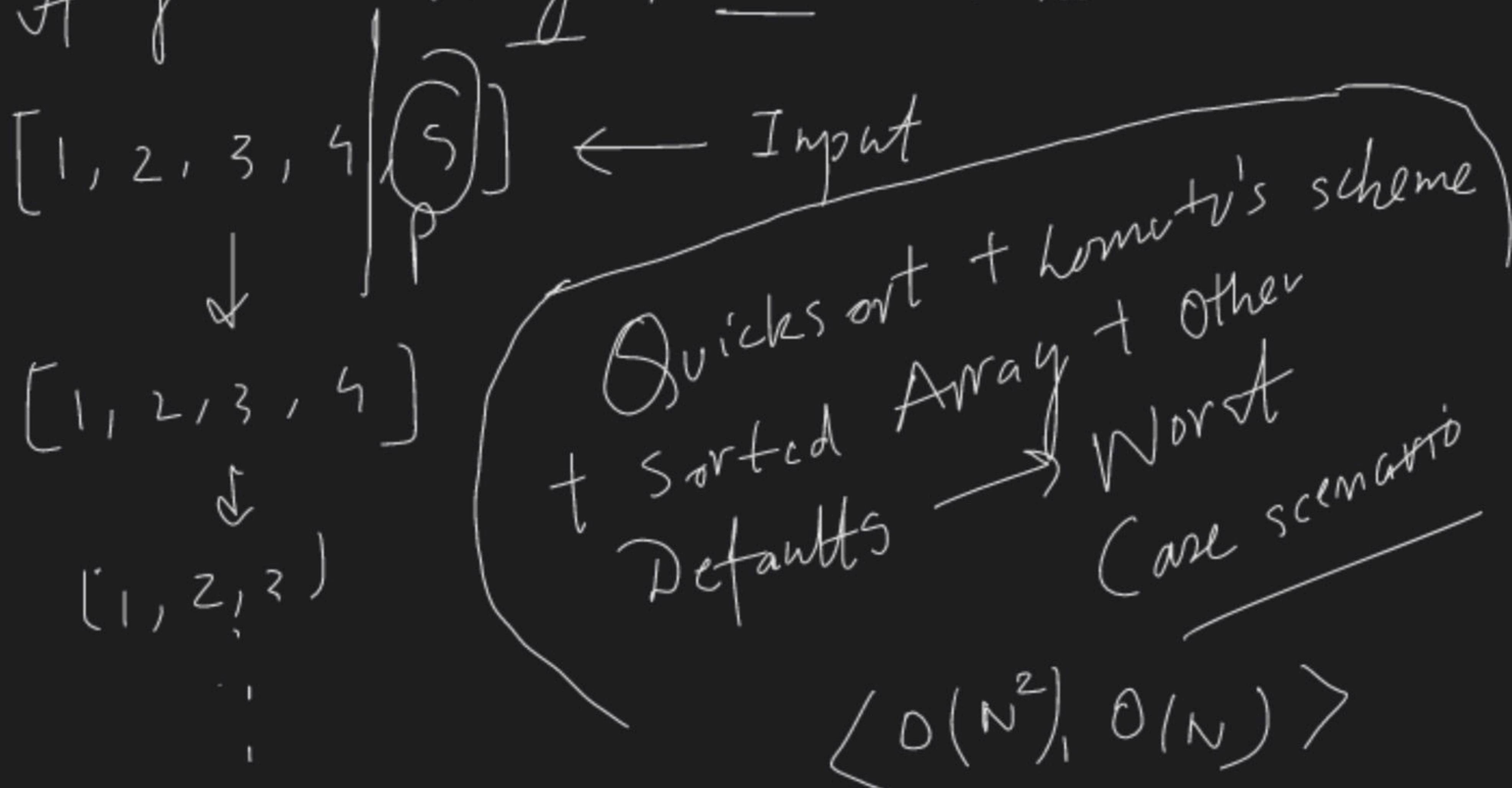
$O(n)$

Worst Case Space

$N/k$

[ N ]

- If you are using Komut's scheme



$$T(n) = T(n-1) + T(0) + \Theta(n)$$

Yes, inplace!

No, not stable!

## ~~Time Complexity~~

→ Best  $\rightarrow O(n \log n)$

Avg  $\rightarrow O(n \log n)$

Worst  $\rightarrow O(n^2)$

→ Space  $\rightarrow$  Best, Avg  $\rightarrow O(\alpha \log n)$   
Worst  $\rightarrow O(n)$

→ Inplace

→ Stable

→ Quicksort (By default) performs poorly on sorted arrays

## Selection of Pivot:

- 1. First or last element
- 2. Middle element
- 3. Random Pivot
- 4. Median of three

(M~~OST~~ FAMOUS) 1, 2, 3, 4, 5

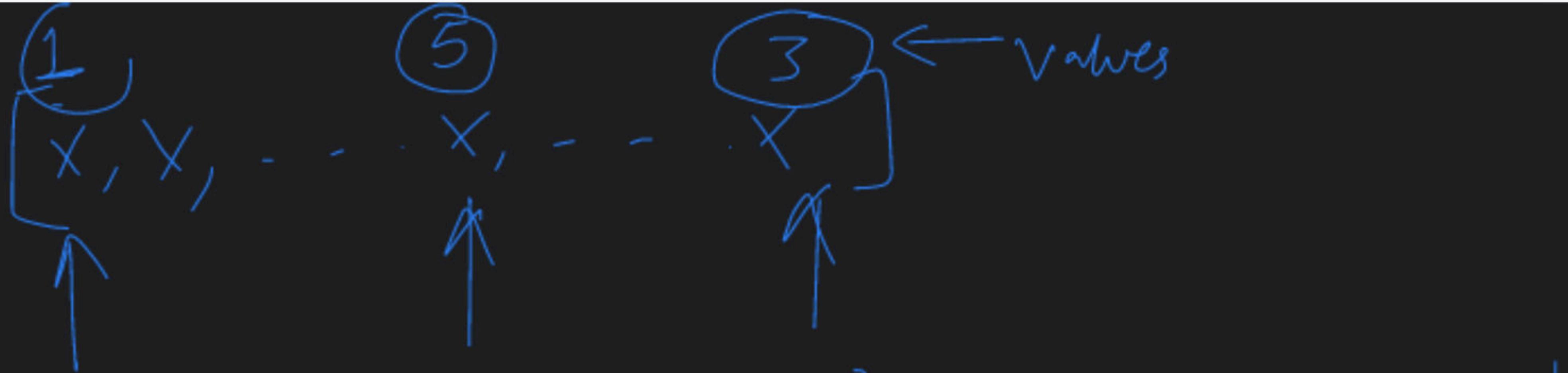
(B~~E~~ST)

Math.random()



[1, 2, 3, 4, ③]

$$\left. \begin{array}{l} \text{random} \sim 1.36 n \log n \\ \text{median} \sim 1.18 n \log n \end{array} \right\}$$



Median (1<sup>st</sup> element, middle, last)

$$\text{median}(1, \underline{5}, 3) = \underline{3}$$

pivot

`Math.random()` → ~~float~~  $[0, 1)$

$\lfloor \text{Math.random()} * N \rfloor \rightarrow [0, N)$

$\downarrow$   
 $[0, N-1]$

## Merge Sort vs QuickSort

$$\rightarrow O(n \log n)$$

$$T(n) = \underline{Cn \log n}$$

$$O(n \log n)$$

$$T(n) = 3n \log n$$

$\rightarrow$  Sorting linked list  $\rightarrow$  MergeSort

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# QuickSort: Performance Summary

Performance is determined by:

- 1. Partition Schemes
- 2. Selection of Pivot
- 3. Other Optimizations like: Tail Recursion Optimization, Hybridizing the algorithm with Insertion Sort etc.

Space  $\rightarrow O(\log n)$

1. What is the recurrence relation for worst case of quick sort?

- A.  $T(n) = T(n-1) + O(n)$
- B.  $T(n) = T(n-2) + O(n^2)$
- C.  $T(n) = 2*T(n/2) + O(1)$
- D.  $T(n) = 2*T(n/2) + O(n)$

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- C.  $T(n) = 2*T(n/2) + O(1)$
- D.  $T(n) = 2*T(n/2) + O(n)$

Solution : In the worst case the pivot element can be greatest or smallest element.

2. Suppose we have a  $O(n)$  time algorithm that finds median of an unsorted array. Now consider a quicksort implementation where we first find the median using the above algorithm, then use median as pivot. What will be the worst case time complexity of this quick sort.?

- A.  $O(n^2)$
- B.  $O(n \log n)$
- C.  $O(n \log \log n)$
- D.  $O(n)$

- A.  $O(n^2)$
- B.  $O(n \log n)$
- C.  $O(n \log \log n)$
- D.  $O(n)$

Solution : After this the recurrence becomes same as merge sort :  $T(n) = 2*T(n/2) + O(n)$  which is known to have  $O(n \log n)$

3. Suppose we are sorting an array of eight integers using quicksort and we have just finished partitioning with the array looking like this : [1,5,1,7,9,12,11,10]

- A. Pivot could be 7 or 9
- B. Pivot could be 7 but not 9
- C. Pivot is not 7 but could be 9
- D. Neither 7 nor 9 is the pivot.

-  A. Pivot could be 7 or 9
- B. Pivot could be 7 but not 9
- C. Pivot is not 7 but could be 9
- D. Neither 7 nor 9 is the pivot.

Solution : For every element check if all small numbers are on the left and all big numbers are on the right.

H.W.

1. Segregate positives and negatives: Revisited!

[ 5, -1, 10, -2 ]



✓ [ -1, -2, 5, 10 ]

✓ [ -2, -1, 10, 5 ]