Design & Analysis of Algorithms

CS11313 - **Fall** 2020

Day # 2 and 3
The Big-O Notation and its Relatives

Today's Agenda

- Running Time Orders of Growth.
- ► A formal definition of Big-*O*
- ▶ Big-*O* Relatives

Order of Growth of the running time: How quickly the running time of an algorithm grows as the input size grows.

Examples: $\log n$, n, n^2 , n^3 , 2^n , etc.

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 - Rationale:
 - Quadratic growth is not the same as, linear or cubic growth, etc.
 - Algorithms have different constants when implemented, based on hardware, software and implementation factors.
 - Assumption is good for theoretical study of algorithms, not fo practical comparison between algorithms (*stay tuned*).

Quiz # 1

Assume T(n) is the order of growth of the running time of Bubble Sort as a function of the input size n. Which of the following is true about T(n)?

$$A. T(n) = O(n^2)$$

$$B. T(n) = O(n^3)$$

$$T(n) = O(2^n)$$

- **D.** All of the above.
- **E.** None of the above.

What is

Big-O anyway?

Big-*0*

Definition. Let f(n) and g(n) be two functions that are always positive, f(n) is said to be O(g) if and only if :

There are two constants c and n_o , such that $0 \le f(n) \le c \cdot g(n)$ for all $n \ge n_o$

Big-O

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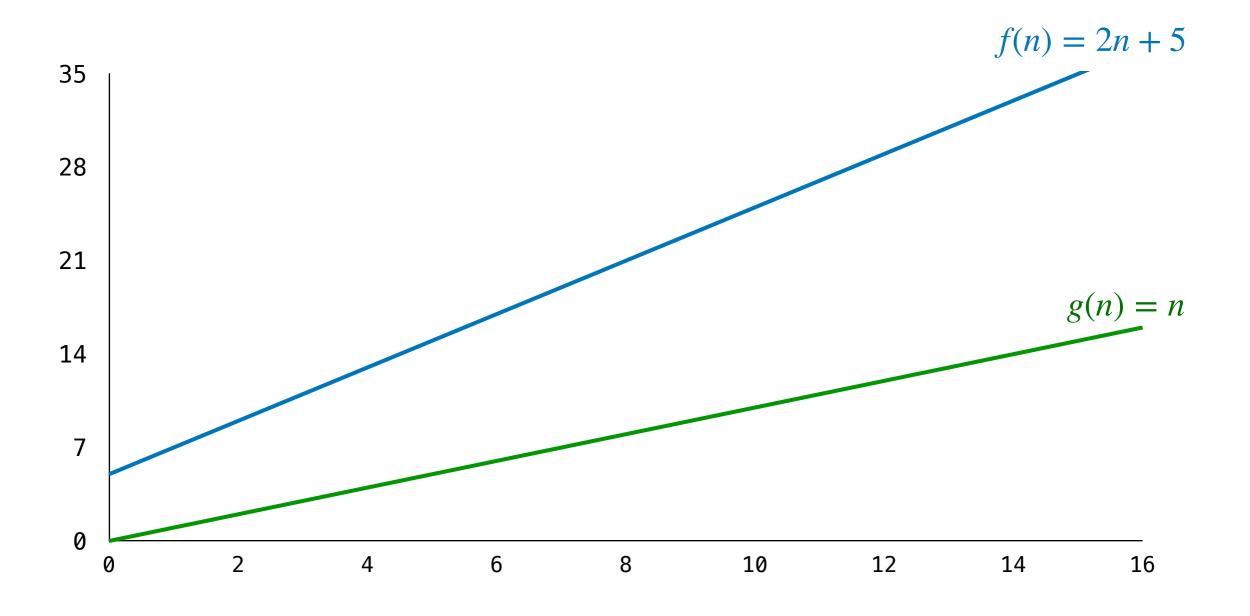
Less formally: If multiplying g(n) by a constant makes it an upper bound for f(n) after some point, then f is O(g).

Assume f(n) = 2n + 5 and g(n) = n.

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f is O(g) because there are c and n_o such that $0 \le f(n) \le c \cdot g(n)$ for all $n \ge n_o$:

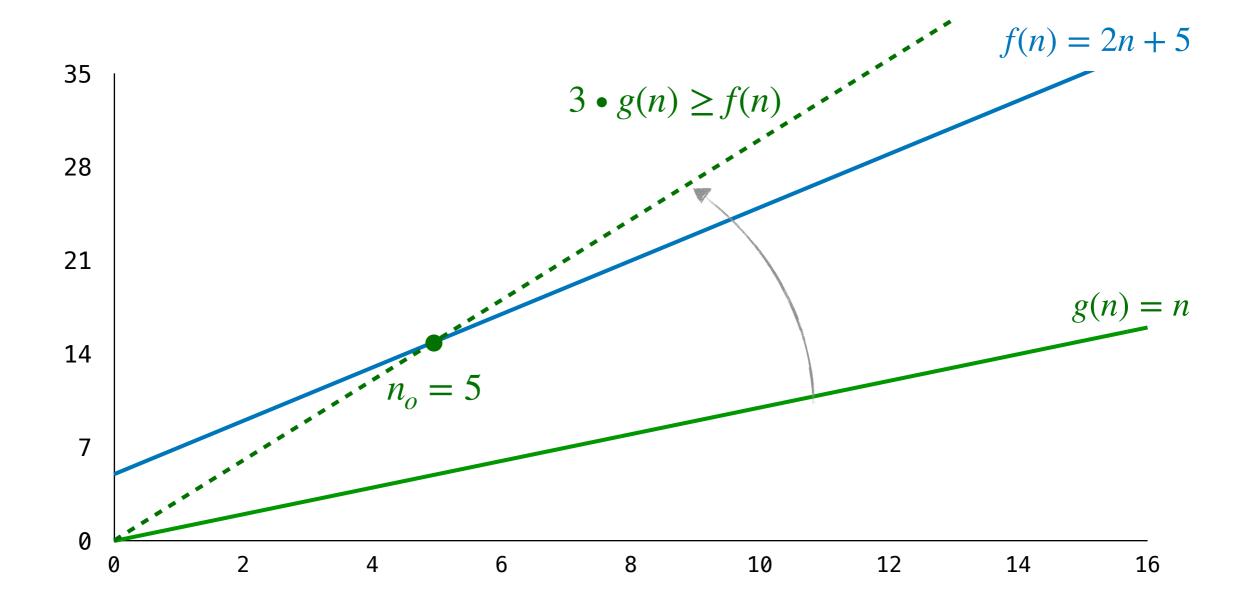
If
$$c = 3$$
, then $3 \cdot g(n) \ge f(n)$ for all $n \ge 5$



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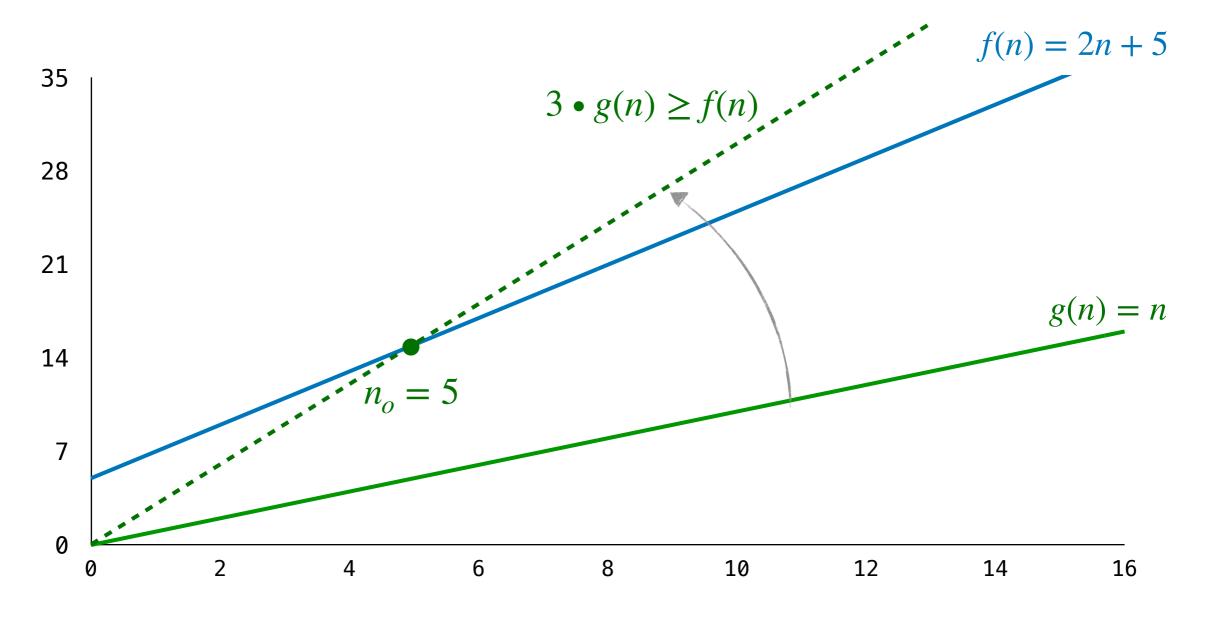


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only possible c and n_0

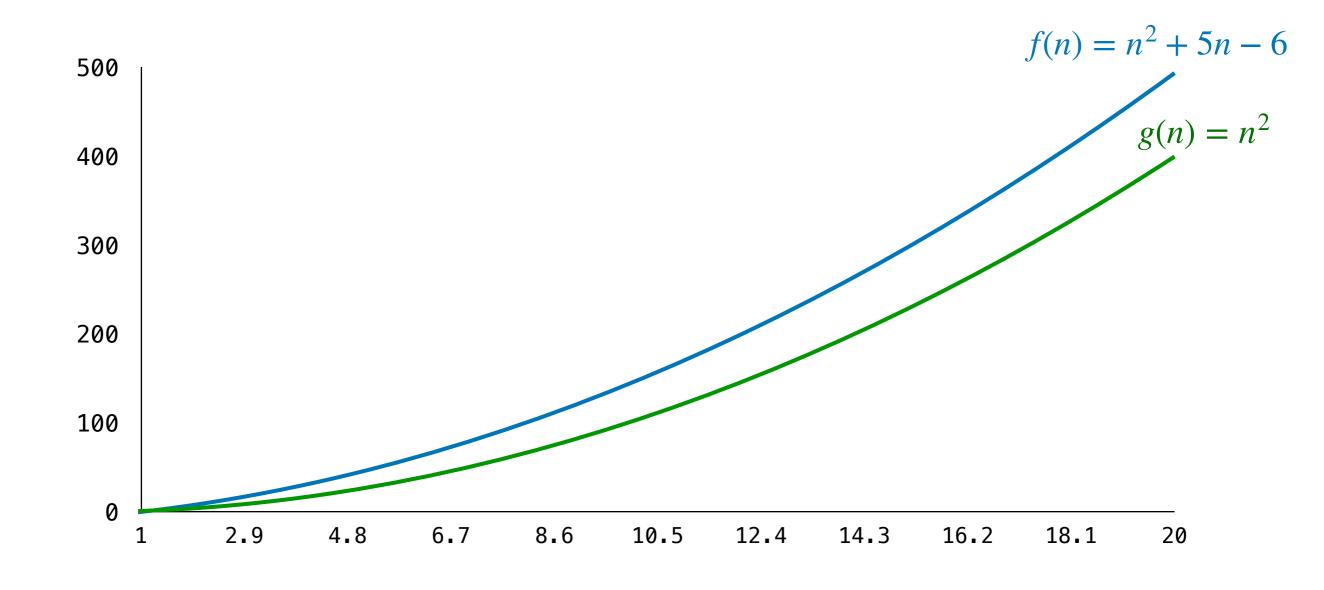


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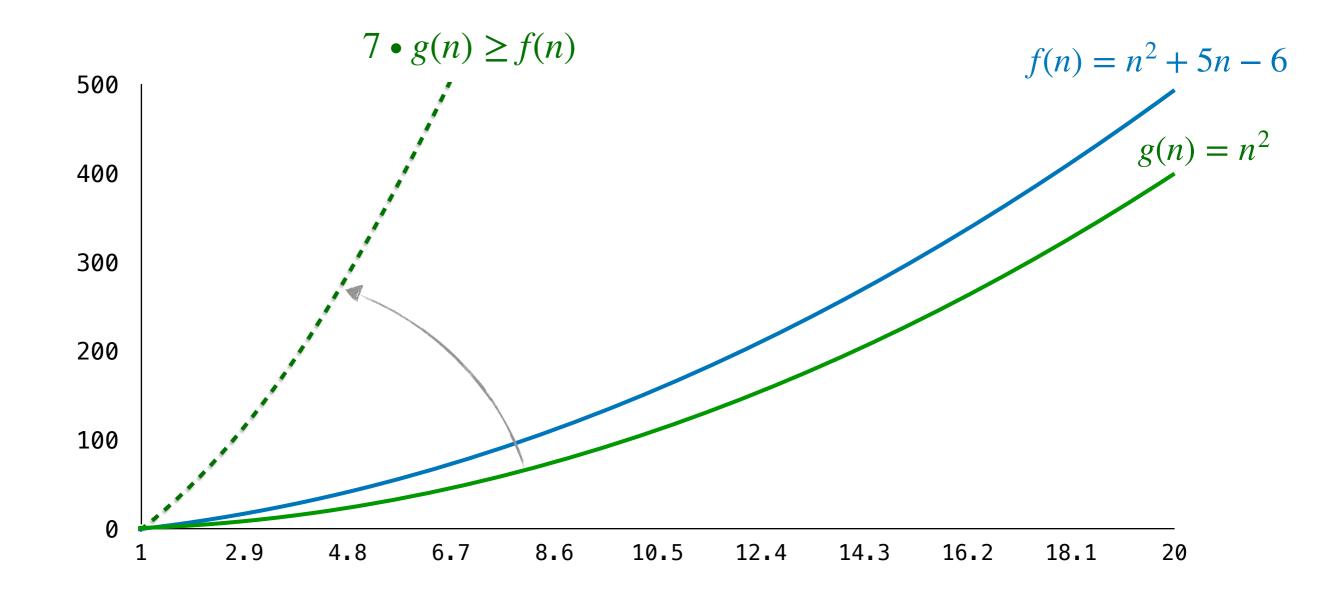
If
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, then $7 \cdot g(n) \ge f(n)$ for all $n \ge 1$



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For each of the following function, show that f is O(n).

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Solution.

If we pick c = 9, we can show that $f(n) \le c \cdot g(n)$ for all $n \ge 1$.

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 $3n+3 \le 3n+3n \le 6n$ for all $n \ge 1$.

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B.
$$f(n) = n^2 + 5n - 6$$
 and $g(n) = n^2$

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If we pick c = 7, we can show that $f(n) \le c \cdot g(n)$ for all $n \ge 1$.

$$n^2 + 5n - 6 \le n^2 + 5n \le n^2 + 5n^2 \le 6n^2 \le 7n^2$$
 for all $n \ge 1$.



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For each of the following function, show that f is O(n).

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C. $f(n) = n^2$ and $g(n) = n^3$

Solution.

If we pick c = 1, It is clear that $c \cdot g(n) \ge f(n)$ for all $n \ge 1$.

 $n^2 \leq n^3$ for all $n \geq 1$.

For each of the following function, show that f is O(n).

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We need to show that:

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for all $n \geq n_o$.

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We need to show that: $2^n \le c \cdot 3^n$ for all $n \ge n_o$.

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Divide both sides by 2^n : $1 \le c \cdot (\frac{3}{2})^n$

$$1 \leq c \cdot (\frac{3}{2})'$$

The left hand side is constant, whereas the right hand side is an increasing function. Therefore, there must be constants n_o and csuch that $1 \le c \cdot (\frac{3}{2})^n$ for all $n \ge n_o$.

For each of the following function, show that f is O(n).

E. $f(n) = k^n$ and g(n) = n! where k is a constant.

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$$n\log_2 k \leq \log_2 c + \log_2(n!)$$

$$n\log_2 k \leq \log_2 c + n\log_2 n - n\log_2 e + r\log_2 n$$



Stirling's Approximation (*r* is a positive constant)

For each of the following function, show that f is O(n).

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Divide both sides by
$$n$$
:

$$\log_2 k$$

Divide both sides by
$$n$$
: $\log_2 k \leq \frac{\log_2 c}{n} + \log_2 n - \log_2 e + \frac{r}{n} \log_2 n$

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E. $f(n) = k^n$ and g(n) = n! where k is a constant.

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We need to show that:
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$$n\log_2 k \leq \log_2 c + \log_2(n!)$$

$$n\log_2 k \leq \log_2 c + n\log_2 n - n\log_2 e + r\log_2 n$$

Divide both sides by
$$n$$
: $\log_2 k \leq \frac{\log_2 c}{n} + \log_2 n - \log_2 e + \frac{r}{n} \log_2 n$

The left hand side is constant, whereas the right hand side is an increasing function. Therefore, there must be some constants n_o , c and r such that the inequality is true.

Remember!

$$\log(n!) = \Theta(n \log n)$$

$$\log(n!) = \log(1 \times 2 \times 3 \times ... \times n - 1 \times n)$$

$$= \log(1) + \log(2) + \log(3) + ... + \log(n - 1) + \log(n)$$

$$\leq \log(n) + \log(n) + \log(n) + ... + \log(n) + \log(n)$$

$$\leq n \log(n) \text{ for all } n \geq 1$$

$$\leq n \log(n!) = \log(1 \times 2 \times ... \times \frac{n}{2} \times (\frac{n}{2} + 1) \times ... \times n)$$

$$= \log(1) + \log(2) + ... + \log(\frac{n}{2}) + (\log(\frac{n}{2}) + 1) + ... + \log(n)$$

$$\geq \log(\frac{n}{2}) + (\log(\frac{n}{2}) + 1) + ... + \log(n)$$
drop the first half of the sum

 $\frac{n}{2}$ times

a few more steps are needed

to show that it is $\Omega(n \log n)$

 $\geqslant \log(\frac{n}{2}) + \log(\frac{n}{2}) + \dots + \log(\frac{n}{2})$

 $\geqslant \frac{n}{2} \log(\frac{n}{2})$ for all $n \geqslant 1$

Big-O Relatives

$\mathsf{Big} ext{-}\Omega$

Definition. Let f(n) and g(n) be two functions that are always positive, f(n) is said to be $\Omega(g)$ if and only if :

There are two constants c and n_o , such that $0 \le c \cdot g(n) \le f(n)$ for all $n \ge n_o$

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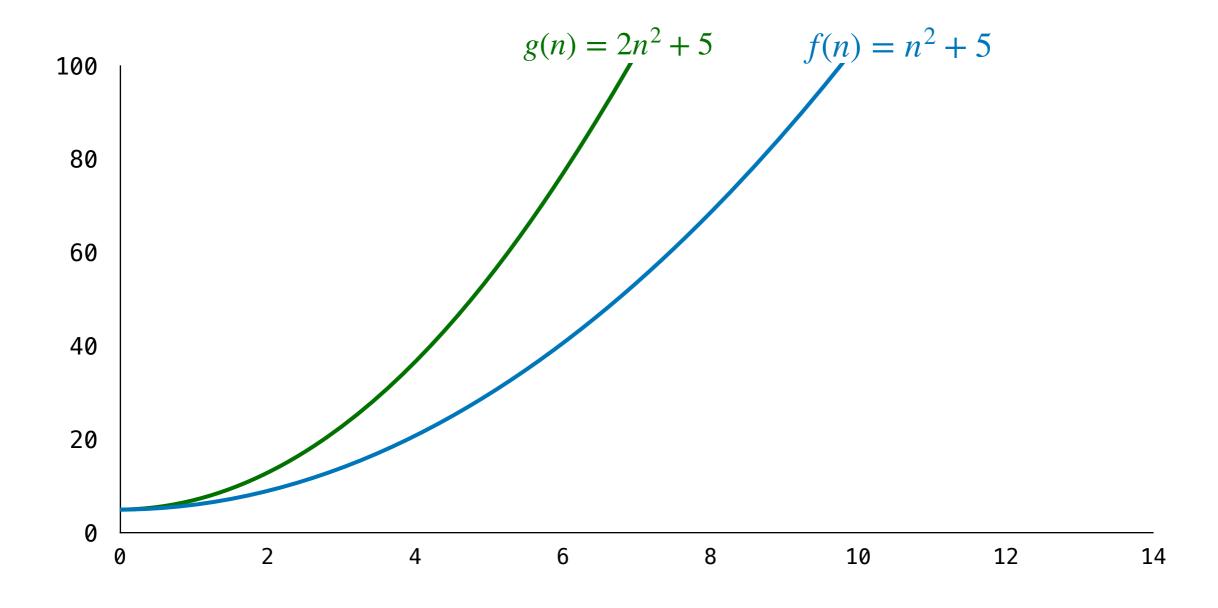
Less formally: If multiplying g(n) by a constant makes it a lower bound for f(n) after some point, then f is $\Omega(g)$.

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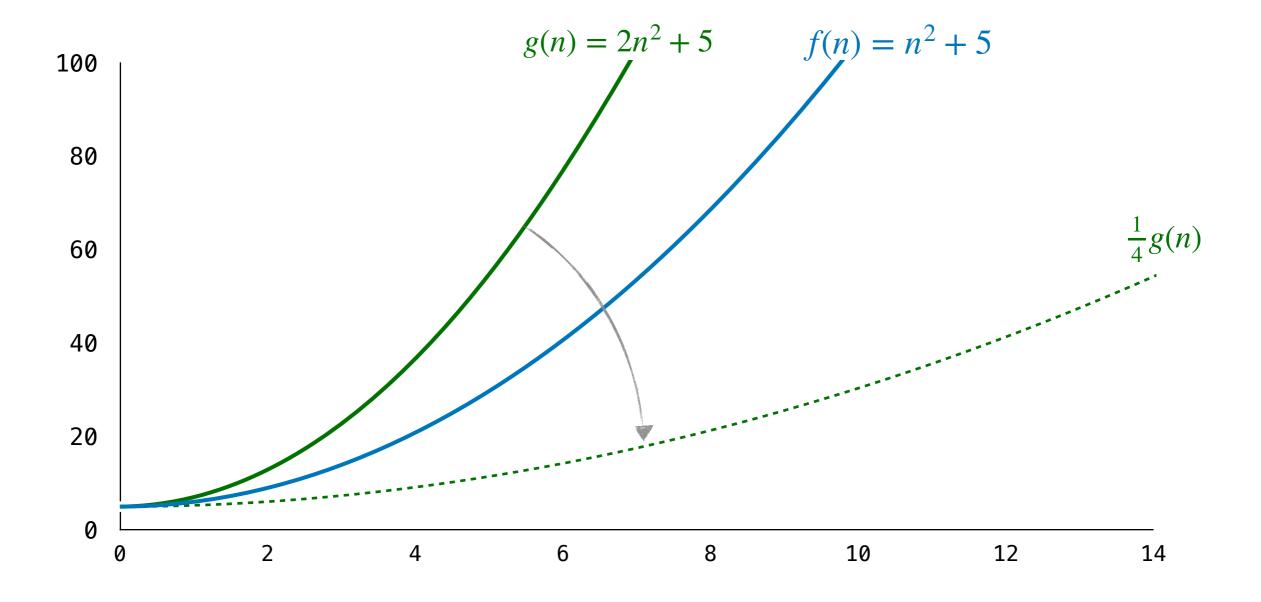
If
$$c = \frac{1}{4}$$
, then $\frac{1}{4} \cdot g(n) \le f(n)$ for all $n \ge 1$



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f is $\Omega(g)$ because there are c and n_o such that $0 \le c \cdot g(n) \le f(n)$ for all $n \ge n_o$:

If
$$c = \frac{1}{4}$$
, then $\frac{1}{4} \cdot g(n) \le f(n)$ for all $n \ge 1$

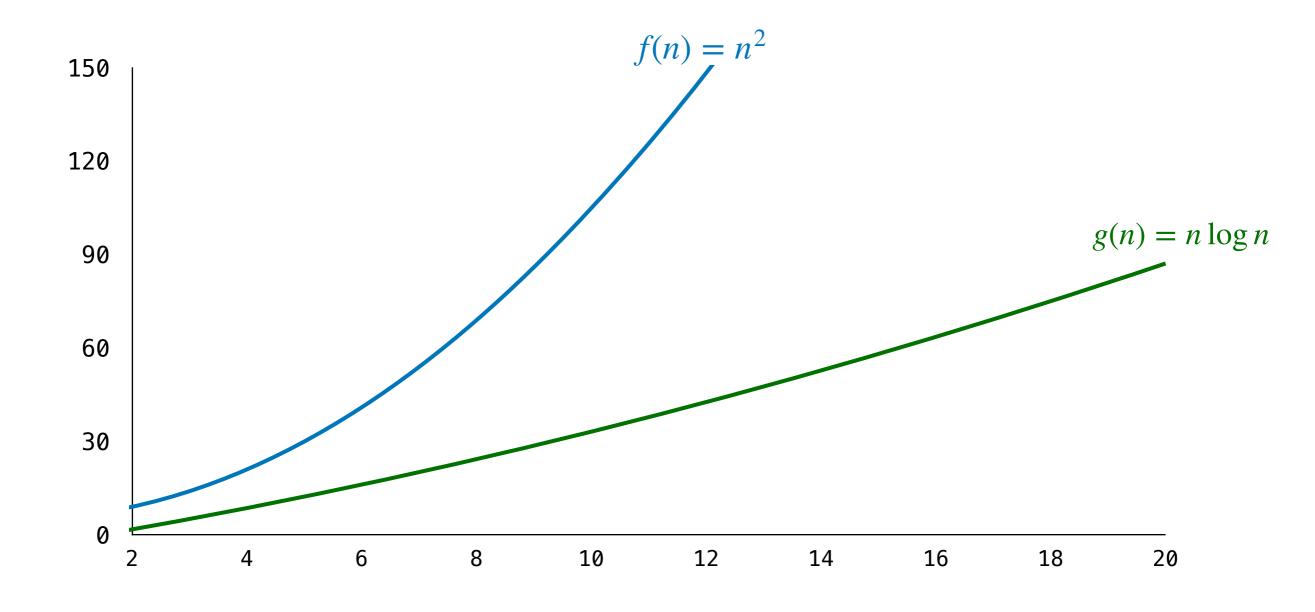


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f is $\Omega(g)$ because there are c and n_o such that $0 \le c \cdot g(n) \le f(n)$ for all $n \ge n_o$:

If c = 1, then $g(n) \le f(n)$ for all $n \ge 1$



Big-O

Definition. Let f(n) and g(n) be two functions that are always positive, f(n) is said to be $\Theta(g)$ if and only if :

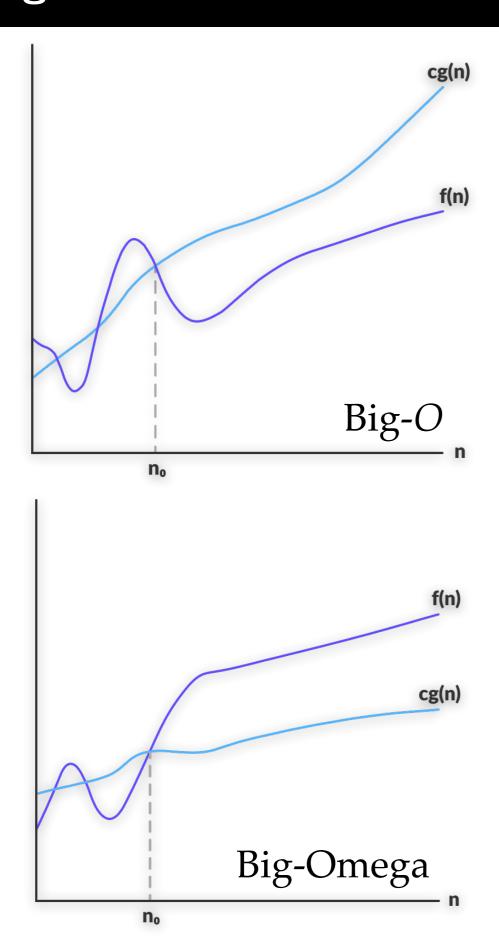
f is O(g) and f is also $\Omega(g)$

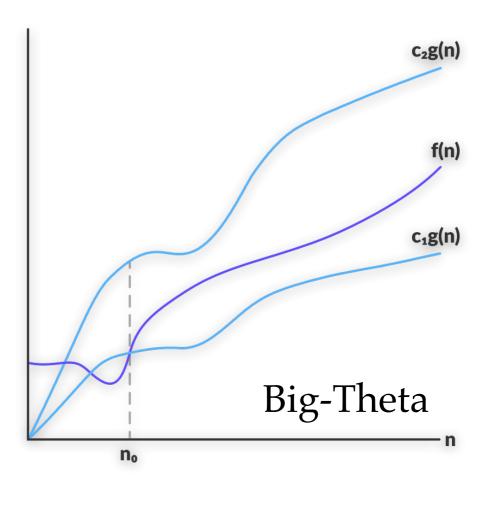
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Definition. Let f(n) and g(n) be two functions that are always positive, f(n) is said to be $\Theta(g)$ if and only if :

f is O(g) and f is also $\Omega(g)$

Less formally: If multiplying g(n) by a constant makes it an upper bound for f(n) after some point and also multiplying g(n) by another constant makes it a lower bound for f(n) after some point, then f is $\Theta(g)$.





For each of the following function, show that f is $\Theta(n)$.

A.
$$f(n) = 4n + 8$$
 and $g(n) = n$

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Solution.

$$4n + 8 = O(n)$$

$$4n + 8 = \Omega(n)$$

For each of the following function, show that f is $\Theta(n)$.

A.
$$f(n) = 4n + 8$$
 and $g(n) = n$

Solution.

$$4n + 8 = O(n)$$
 pick $c = 20$ and $n_o = 1$
 $4n + 8 = \Omega(n)$

For each of the following function, show that f is $\Theta(n)$.

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Solution.

$$4n + 8 = O(n)$$
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 $4n + 8 = \Omega(n)$ pick $c = 1$ and $n_o = 1$

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 $4n + 8 = \Omega(n)$ pick $c = 1$ and $n_o = 1$

B.
$$f(n) = \log_2 n$$
 and $g(n) = \log_3 n$

For each of the following function, show that f is $\Theta(n)$.

A. f(n) = 4n + 8 and g(n) = n

Solution.

We need to show that:

$$4n + 8 = O(n)$$
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 $4n + 8 = \Omega(n)$ pick $c = 1$ and $n_o = 1$

B.
$$f(n) = \log_2 n$$
 and $g(n) = \log_3 n$

Solution.

$$\log_2 n = O(\frac{\log_2 n}{\log_2 3})$$

$$\log_2 n = \Omega(\frac{\log_2 n}{\log_2 3})$$

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Solution.

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More Relatives

Informal Definition. f is said to be o(g) if it grows strictly slower than g.

Informal Definition. f is said to be $\omega(g)$ if it grows strictly faster than g.

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Examples.

 $3n^2 \text{ vs } n^2$

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$$3n^2 \text{ vs } n^2$$

$$3n^2 = \mathcal{O}(n^2)$$

$$3n^2 = \Omega(n^2)$$

$$3n^2 = \Theta(n^2)$$

$$3n^2 \neq o(n^2)$$

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Examples.

$3n^2$ vs n^2	$3n^2$ vs n^3
$3n^2 = \mathcal{O}(n^2)$	$3n^2 = \mathcal{O}(n^3)$
$3n^2 = \Omega(n^2)$	$3n^2 \neq \Omega(n^3)$
$3n^2 = \Theta(n^2)$	$3n^2 \neq \Theta(n^3)$
$3n^2 \neq \mathrm{o}(n^2)$	$3n^2 = o(n^3)$
$3n^2\neq\omega(n^2)$	$3n^2 \neq \omega(n^3)$

Small-o and Small- ω

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Examples.

$3n^2$ vs n^2	$3n^2$ vs n^3	$3n^3$ vs n^2
$3n^2 = \mathcal{O}(n^2)$	$3n^2 = \mathcal{O}(n^3)$	
$3n^2 = \Omega(n^2)$	$3n^2 \neq \Omega(n^3)$	
$3n^2 = \Theta(n^2)$	$3n^2 \neq \Theta(n^3)$	
$3n^2 \neq o(n^2)$	$3n^2 = o(n^3)$	
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Examples.

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$3n^2 = \mathcal{O}(n^2)$	$3n^2 = \mathcal{O}(n^3)$	$3n^3 \neq \mathrm{O}(n^2)$
$3n^2 = \Omega(n^2)$	$3n^2 \neq \Omega(n^3)$	$3n^3 = \Omega(n^2)$
$3n^2 = \Theta(n^2)$	$3n^2 \neq \Theta(n^3)$	$3n^3 \neq \Theta(n^2)$
$3n^2 \neq \mathrm{o}(n^2)$	$3n^2 = o(n^3)$	$3n^3 \neq \mathrm{o}(n^2)$
$3n^2\neq\omega(n^2)$	$3n^2 \neq \omega(n^3)$	$3n^3=\omega(n^2)$

Quiz # 2

Which of the following is true about the running time of **insertion sort**?

- A. The running time is $O(n^2)$
- **B.** The running time is $\Omega(n)$
- **C.** The best case is $\Theta(n)$.
- **D.** The worst case is $\Theta(n^2)$.
- **E.** All of the above.

Quiz # 3

Consider f(n) = O(g(n)).

Which of the following is true?

A.
$$g = \Omega(f)$$

$$\mathbf{B.} \qquad 0 \leqslant \lim_{n \to \infty} \frac{f(n)}{g(n)} < \infty$$

- **C.** All of the above.
- **D.** None of the above.

Quiz # 3

Consider f(n) = O(g(n)).

Which of the following is true?

$$g = \Omega(f) \iff f = O(g)$$

$$g = \omega(f) \iff f = o(g)$$
 Sketch a graph to see that it's true!

B.
$$0 \le \lim_{n \to \infty} \frac{f(n)}{g(n)} < \infty$$
 See next slide!

- **C.** All of the above.
- **D.** None of the above.

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0 \qquad then$$

if
$$\lim_{n\to\infty} \frac{f(n)}{g(n)} = 0$$
 then $f = o(g)$ $f(n) < c \cdot g(n)$

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$$\lim_{n\to\infty} \frac{f(n)}{g(n)} = \infty$$
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$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

$$f = o(g)$$

then
$$f = o(g)$$
 $f(n) < c \cdot g(n)$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$$

then

$$f = \omega(g)$$

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$$f = \omega(g)$$
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$$f = o(g)$$
 $f(n) < c \cdot g(n)$

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$$if \quad 0 < \lim_{n \to \infty} \frac{f(n)}{g(n)} \leqslant \infty \qquad then \qquad f = \Omega(g) \qquad f(n) \ge c \cdot g(n)$$

for all $n \ge n_o$

Reflexivity. f is $\Theta(f)$

Reflexivity. f is $\Theta(f)$ and O(f) and $\Omega(f)$ but not o(f) or $\omega(f)$

Reflexivity. f is $\Theta(f)$.

► Constants. If f is $\Theta(g)$ and c > 0, then $c \bullet f$ is $\Theta(g)$.

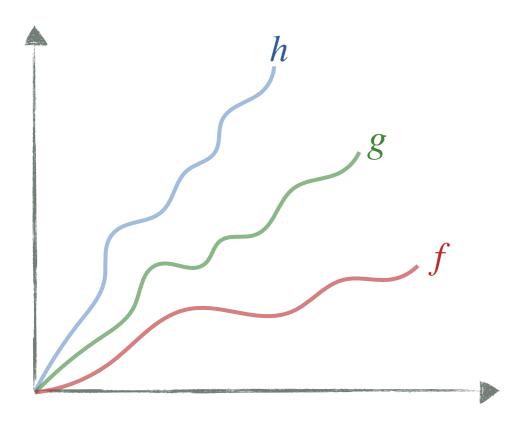
- ightharpoonup Reflexivity. f is $\Theta(f)$.
- Constants. If f is $\Theta(g)$ and c > 0, then $c \bullet f$ is $\Theta(g)$. Example: $4n^2 + 5$ is $\Theta(n^2)$ and $4 \times (4n^2 + 5)$ is also $\Theta(n^2)$.

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Similarly: If f is O(g) and c > 0, then $c \cdot f$ is O(g). If f is $\Omega(g)$ and c > 0, then $c \cdot f$ is $\Omega(g)$. If f is o(g) and c > 0, then $c \cdot f$ is o(g). If f is $\omega(g)$ and c > 0, then $c \cdot f$ is $\omega(g)$.

- ightharpoonup Reflexivity. f is $\Theta(f)$.
- ▶ Constants. If f is $\Theta(g)$ and c > 0, then $c \bullet f$ is $\Theta(g)$.
- ▶ Transitivity. If f is O(g) and g is O(h) then f is O(h).

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h is an upper bound for both g and f

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- Sums. If f_1 is $\Theta(g_1)$ and f_2 is $\Theta(g_2)$, then $f_1 + f_2$ is $\Theta(\max\{g_1, g_2\})$. Example: If $f_1(n)$ is $\Theta(n^2)$ and $f_2(n)$ is $\Theta(n^3)$ then $f_1 + f_2$ is $\Theta(n^3)$.

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O(g(n)) is a set of functions, but computer scientists often *abuse* the notation by writing f(n) = O(g(n)) instead of $f(n) \in O(g(n))$.

Caution!

Same worst case. Two algorithms with the same worst case order of growth of the running time are not necessarily equally fast in practice!

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- Which is better, a $\Theta(2^{\sqrt{n}})$ algorithm or a $\Theta(n^{20})$ algorithm? Although $\Theta(2^{\sqrt{n}})$ grows faster, it is performs less operations for $n \lesssim 112000$.

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- Which is better, a $\Theta(2^{\sqrt{n}})$ algorithm or a $\Theta(n^{20})$ algorithm? Although $\Theta(2^{\sqrt{n}})$ grows faster, it is performs less operations for $n \lesssim 112000$.
 - To compare the **actual running time** of algorithms, other factors need to be taken into account (e.g. typical input sizes, likelihood of worst case, constant factors, lower order terms).