

# V. Homomorphic Signal Processing

## ◎ 5-A Homomorphism

Homomorphism is a way of “carrying over” operations from one algebra system into another.

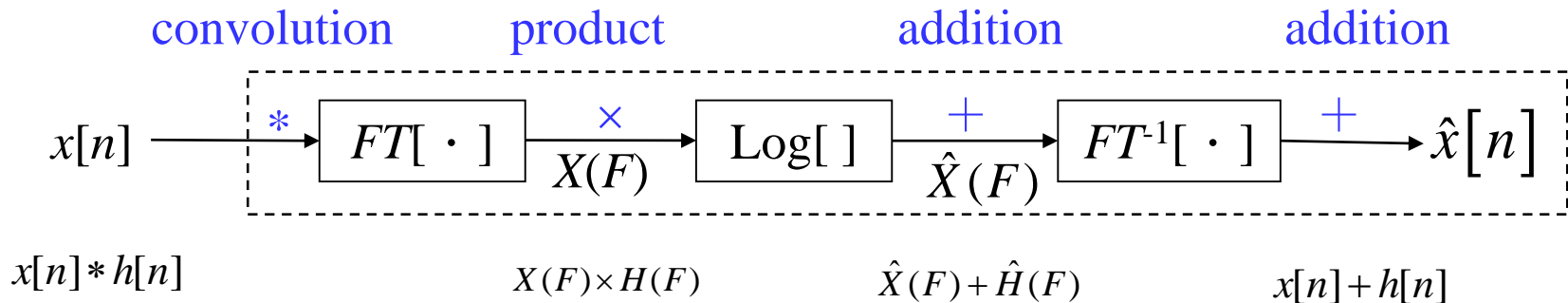
Ex.    convolution  $\xrightarrow{\text{Fourier}}$  multiplication  $\xrightarrow{\log}$  addition

把複雜的運算，變成效能相同但較簡單的運算

## ◎ 5-B Cepstrum

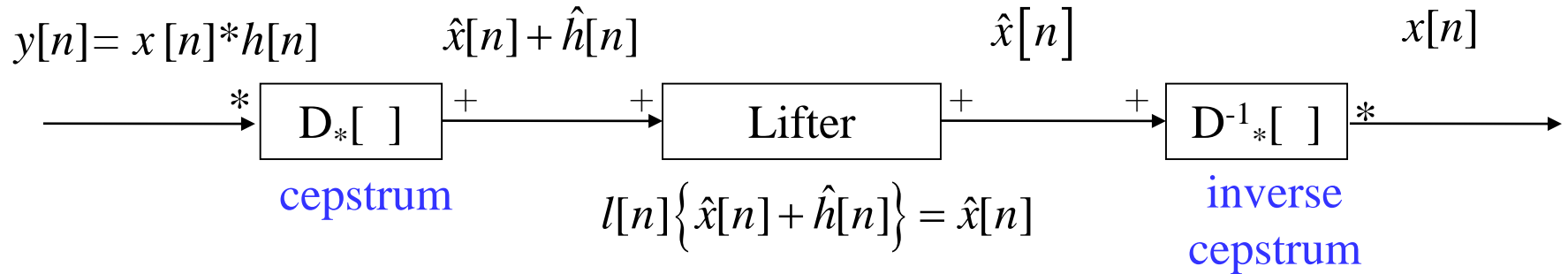
$$\hat{X}(Z) \Big|_{z=e^{j2\pi F}} = \log X(Z) \Big|_{z=e^{j2\pi F}} = \log |X(Z)| \Big|_{z=e^{j2\pi F}} + j \arg[X(e^{j2\pi F})]$$

For the process of **cepstrum** (denoted by  $D_*[\cdot]$ )

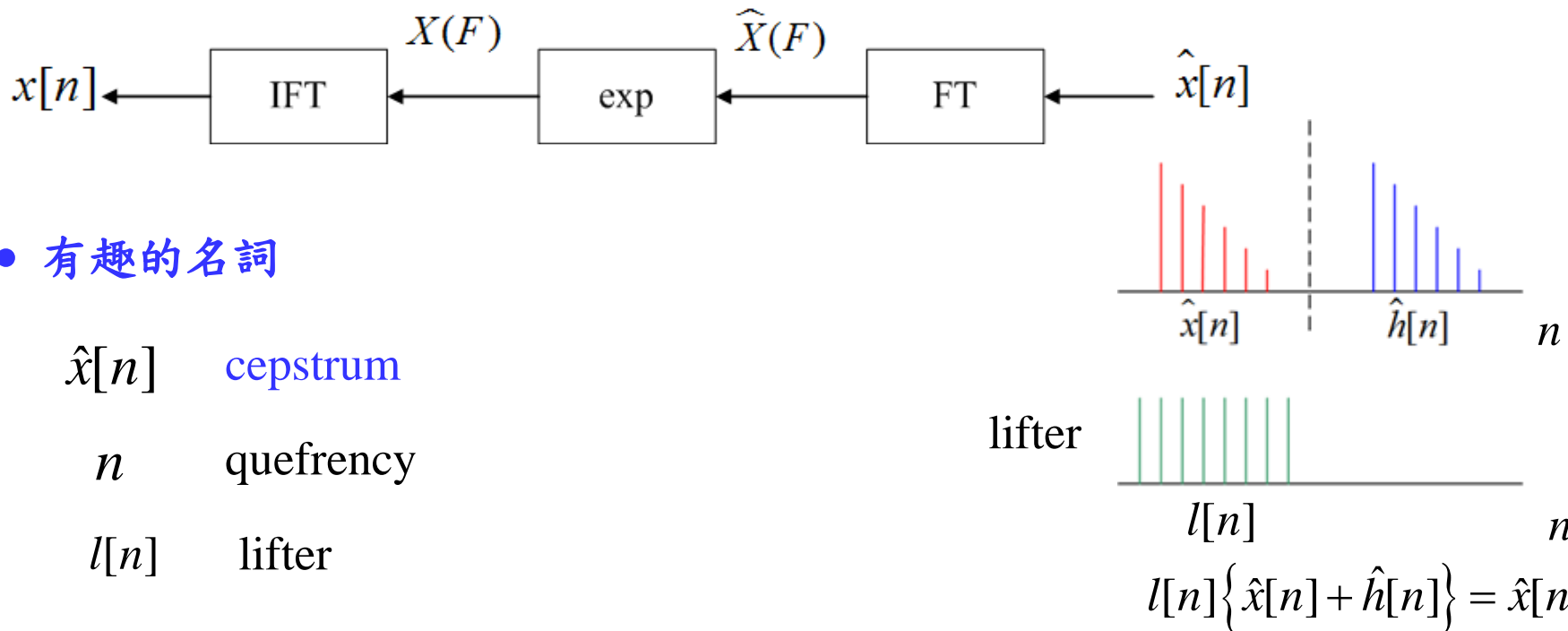


$FT$ : discrete-time Fourier transform

- 由  $y[n] = x[n] * h[n]$  重建  $x[n]$



For the inverse cepstrum  $D^{-1}_*[\ ]$



- 有趣的名詞

$\hat{x}[n]$  cepstrum

$n$  quefrency

$l[n]$  lifter

## © 5-C Methods for Computing the Cepstrum

- **Method 1:** Compute the inverse discrete time Fourier transform:

$$\hat{x}[n] = \int_{-1/2}^{1/2} \hat{X}(F) e^{i2\pi nF} dF \quad : \text{inverse F.T}$$

$$\text{where } \hat{X}(F) = \log |X(F)| + j \arg[X(F)]$$

ambiguity for phase



Problems: (1)  
(2)

Actually, the COMPLEX Cepstrum is REAL for real input

• Method 2 (From Poles and Zeros of the Z Transform)

實際上計算  
cepstrum的方法

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$$X(Z) = \frac{A \cancel{Z^r} \prod_{k=1}^{m_i} (1 - a_k Z^{-1})}{\prod_{k=1}^{P_i} (1 - c_k Z^{-1})} \frac{\prod_{k=1}^{m_0} (1 - b_k Z)}{\prod_{k=1}^{P_0} (1 - d_k Z)}$$

time delay

where

$$|a_k|, |b_k|, |c_k|, |d_k| \leq 1$$

Poles & zeros  
inside unit circle

Poles & zeros  
outside unit circle

$$\begin{aligned} \therefore \hat{X}(Z) = \log X(Z) &= \log A + r \cdot \log Z + \sum_{k=1}^{m_i} \log (1 - a_k Z^{-1}) + \sum_{k=1}^{m_0} \log (1 - b_k Z) \\ &\quad - \sum_{k=1}^{P_i} \log (1 - c_k Z^{-1}) - \sum_{k=1}^{P_0} \log (1 - d_k Z) \end{aligned}$$

$$\therefore \hat{X}(Z) = \log X(Z) = \log A + r \cdot \log Z + \sum_{k=1}^{m_i} \log(1 - a_k Z^{-1}) + \sum_{k=1}^{m_0} \log(1 - b_k Z)$$

$$- \sum_{k=1}^{P_i} \log(1 - c_k Z^{-1}) - \sum_{k=1}^{P_0} \log(1 - d_k Z)$$

$Z^{-1}$   
 (inverse Z transform)  
 $\downarrow$   
 ?

Taylor series

$$f(t) = f(t_0) + \sum_{n=1}^{\infty} \frac{f^{(n)}(t_0)}{n!} (t - t_0)^n$$

Taylor series expansion

 $Z^{-1}$ 

(Suppose that  $r = 0$ )

$$\hat{x}[n] = \begin{cases} \log(A) & , n = 0 \\ -\sum_{k=1}^{m_i} \frac{a_k^n}{n} + \sum_{k=1}^{P_i} \frac{c_k^n}{n} & , n > 0 \\ \sum_{k=1}^{m_0} \frac{b_k^{-n}}{n} - \sum_{k=1}^{P_0} \frac{d_k^{-n}}{n} & , n < 0 \end{cases}$$

Poles & zeros inside unit circle, right-sided sequence

Poles & zeros outside unit circle, left-sided sequence

Note:

- (1)  $\hat{x}[n]$  always decays with  $|n|$ .
- (2) 在 complex cepstrum domain  
Minimum phase 及 maximum phase 之貢獻以  $n = 0$  為分界切開
- (3) For FIR case,  $c_k = 0$ ,  $d_k = 0$
- (4) The complex cepstrum is unique and of infinite duration for both positive & negative  $n$ , even though  $x[n]$  is causal & of finite durations

$\hat{x}[n]$  is always IIR

• **Method 3**

$$Z \cdot \hat{X}'(Z) = Z \cdot \frac{X'(Z)}{X(Z)}$$

$$\therefore ZX'(Z) = Z\hat{X}'(Z) \cdot X(Z)$$

$$\downarrow Z^{-1}$$

$$n x[n] = \sum_{k=-\infty}^{\infty} k \hat{x}[k] x[n-k]$$

$$\therefore x[n] = \sum_{k=-\infty}^{\infty} \frac{k}{n} \hat{x}[k] x[n-k] \quad \text{for } n \neq 0$$



Suppose that  $x[n]$  is causal and has minimum phase, i.e.  $x[n] = \hat{x}[n] = 0, n < 0$

$$x[n] = \sum_{k=-\infty}^{\infty} \frac{k}{n} \hat{x}[k] x[n-k] \quad \text{for } n \neq 0$$

$$\Rightarrow x[n] = \sum_{k=0}^n \frac{k}{n} \hat{x}[k] x[n-k] \quad \text{for } n > 0 \quad (\text{causal sequence})$$

$$x[n] = \hat{x}[n] x[0] + \sum_{k=0}^{n-1} \frac{k}{n} \hat{x}[k] x[n-k]$$

For a minimum phase sequence  $x[n]$

$$\hat{x}[n] = \begin{cases} 0 & , n < 0 \\ \frac{x[n]}{x[0]} - \sum_{k=0}^{n-1} \left(\frac{k}{n}\right) \hat{x}[k] \frac{x[n-k]}{x[0]}, & n > 0 \\ \log A & , n = 0 \end{cases} \quad \text{recursive method}$$

Determining  $\hat{x}[n]$  from  $\hat{x}[0], \hat{x}[1], \dots, \hat{x}[n-1]$

For anti-causal and maximum phase sequence,  $x[n] = \hat{x}[n] = 0, n > 0$

$$\begin{aligned} x[n] &= \sum_{k=n}^0 \frac{k}{n} \hat{x}[k] x[n-k] \quad , n < 0 \\ &= \hat{x}[n] x[0] + \sum_{k=n+1}^0 \frac{k}{n} \hat{x}[k] x[n-k] \end{aligned}$$

For maximum phase sequence,

$$\hat{x}[n] = \begin{cases} 0 & , n > 0 \\ \log A & , n = 0 \\ \frac{x[n]}{x[0]} - \sum_{k=n+1}^0 \left(\frac{k}{n}\right) \hat{x}[k] \frac{x[n-k]}{x[0]} & , n < 0 \end{cases}$$

## ◎ 5-D Properties

**P.1 )** The complex cepstrum decays at least as fast as  $\frac{1}{n}$

$$|\hat{x}[n]| < c \left| \frac{\alpha^n}{n} \right| \quad -\infty < n < \infty$$

$$\alpha = \max(a_k, b_k, c_k, d_k)$$

**P.2 )** If  $X(Z)$  has no poles and zeros outside the unit circle, i.e.  $x[n]$  is minimum phase, then

$$\hat{x}[n] = 0 \quad \text{for all } n < 0$$

because of no  $b_k, d_k$

**P.3 )** If  $X(Z)$  has no poles and zeros inside the unit circle, i.e.  $x[n]$  is maximum phase, then

$$\hat{x}[n] = 0 \quad \text{for all } n > 0$$

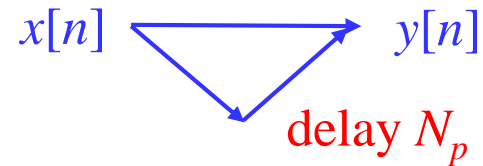
because of no  $a_k, c_k$

**P.4 )** If  $x[n]$  is of finite duration, then  
 $\hat{x}[n]$  has infinite duration

## © 5-E Application of Homomorphic Deconvolution

### (1) Equalization for Echo

$$y[n] = x[n] + \alpha x[n - N_p]$$



Let  $p[n]$  be  $p[n] = \delta[n] + \alpha\delta[n - N_p]$

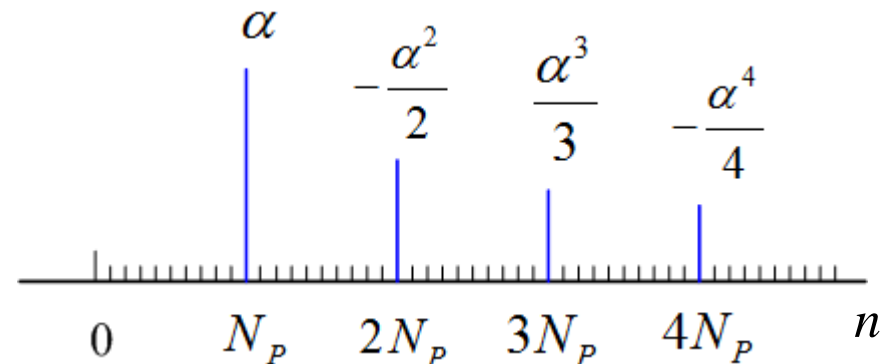
$$y[n] = x[n] + \alpha x[n - N_p] = x[n] * p[n]$$

$$P(Z) = 1 + \alpha Z^{-N_p}$$

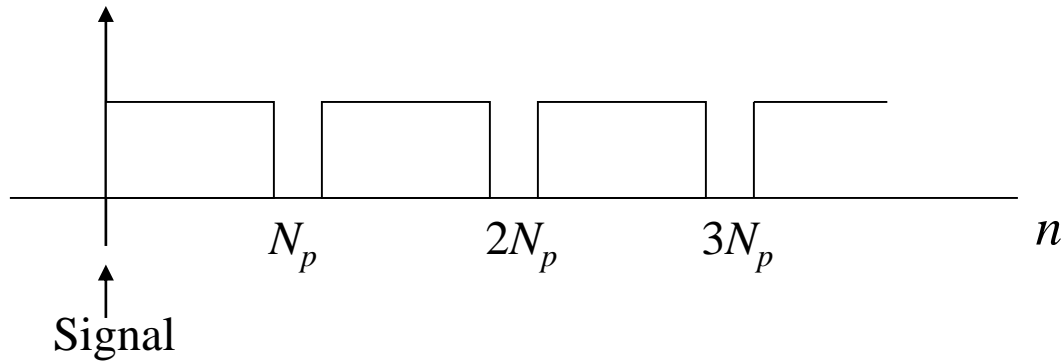
$$\hat{P}(Z) = \log(1 + \alpha Z^{-N_p}) = \sum_{k=1}^{\infty} (-1)^{k+1} \frac{\alpha^k}{k} Z^{-kN_p}$$

$$\downarrow Z^{-1}$$

$$\hat{p}[n] = \sum_{k=1}^{\infty} (-1)^{k+1} \frac{\alpha^k}{k} \delta(n - k \cdot N_p)$$



Filtering out the echo by the following “lifter”:



(2) Representation of acoustic engineering

$$y[n] = x[n] * h[n]$$

Synthesized music    music    building effect : e.g. 羅馬大教堂的 impulse response

### (3) Speech analysis

$$s[n] = g[n] * v[n] * p[n]$$

Speech    Global    Vocal tract    Pitch  
wave       wave       impulse  
                  shape

They can be separated by filtering in the complex cepstrum domain

### (4) Seismic Signals

### (5) Multiple-path analysis for any wave-propagation problem

用 cepstrum 將 multipath 的影響去除

From 王小川，“語音訊號處理”，全華出版，台北，民國94年。

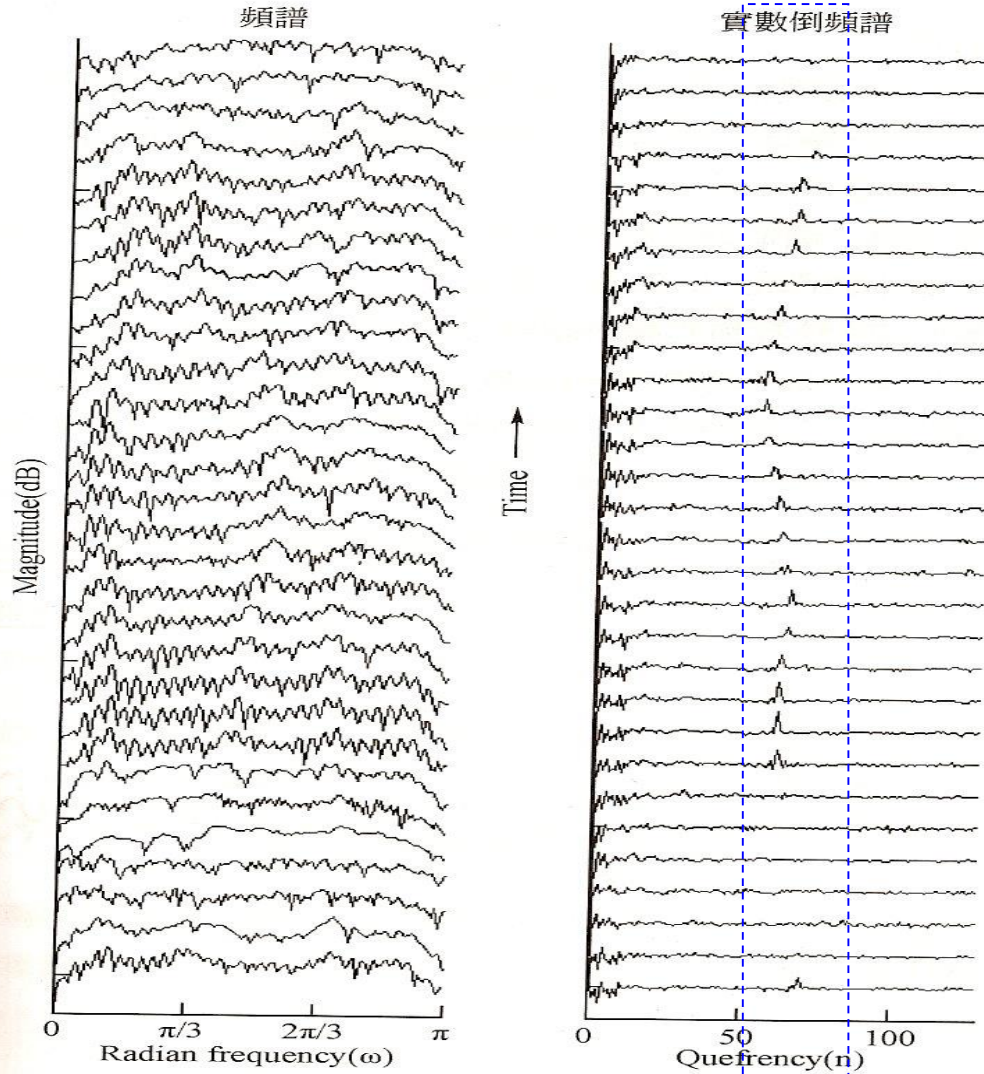


圖 7-5 元音頻譜與倒頻譜



From 王小川，“語音訊號處理”，全華出版，台北，民國94年。

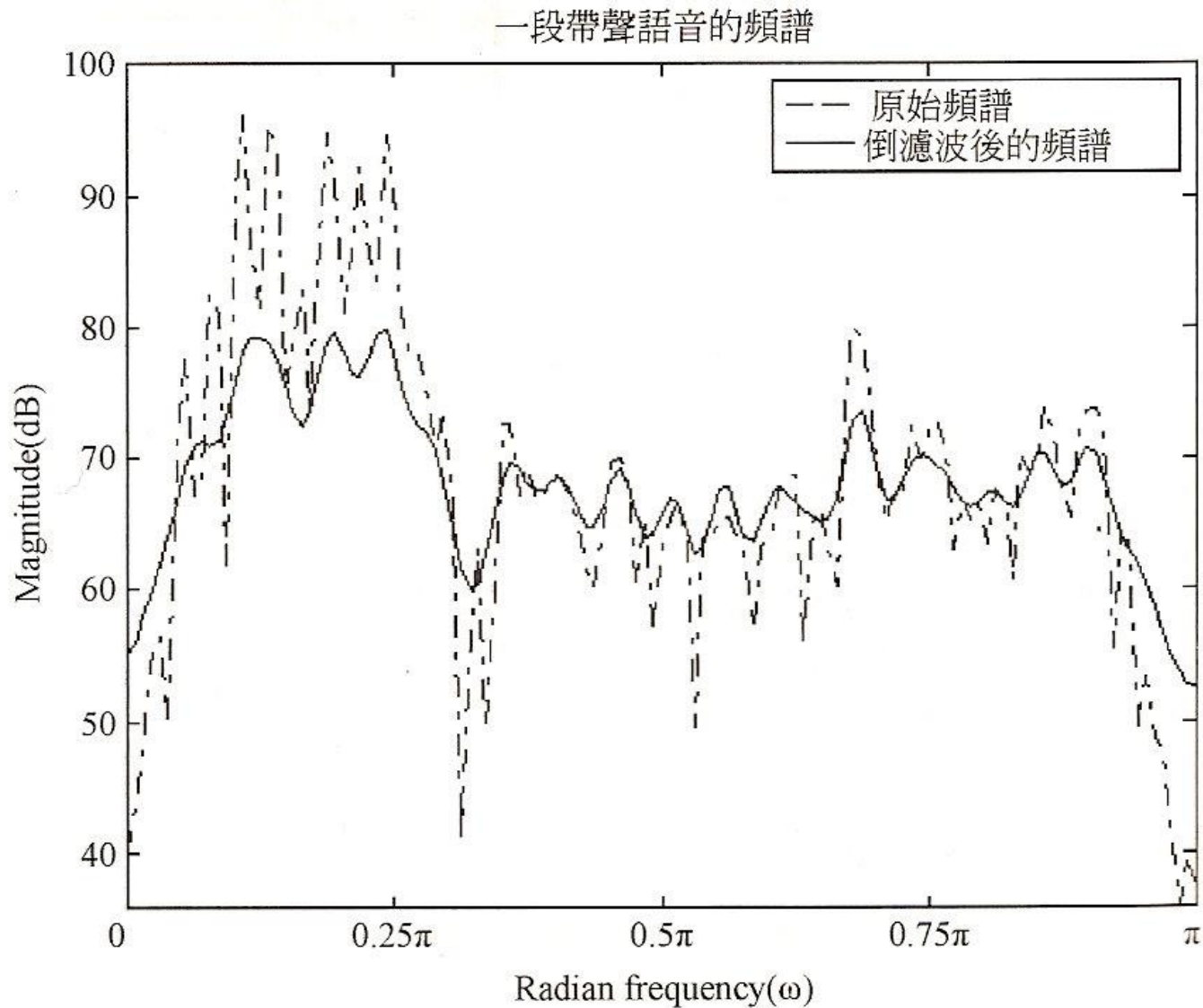


圖 7-6 經過倒濾波器作平滑處理的頻譜

## ◎ 5-F Problems of Cepstrum

(1)  $|\log(X(Z))|$

(2) Phase

(3) Delay  $Z^{-k}$

(4) Only suitable for the multiple-path-like problem

## ◎ 5-G Differential Cepstrum

$$\hat{x}_d(n) = Z^{-1} \left[ \frac{X'(Z)}{X(Z)} \right] \quad \text{或} \quad \hat{x}_d[n] = \int_{-1/2}^{1/2} \frac{X'(F)}{X(F)} e^{i2\pi F} dF$$

↑  
inverse Z transform

Note:  $\frac{d}{dZ} \hat{X}(Z) = \frac{d}{dZ} \log(X(Z)) = \frac{X'(Z)}{X(Z)}$

If  $x(n) = x_1(n) * x_2(n)$

$$X(Z) = X_1(Z) \cdot X_2(Z)$$

$$X'(Z) = X_1'(Z) \cdot X_2(Z) + X_1(Z) \cdot X_2'(Z)$$

$$\frac{X'(Z)}{X(Z)} = \frac{X_1'(Z)}{X_1(Z)} + \frac{X_2'(Z)}{X_2(Z)}$$

$$\therefore \hat{x}_d(n) = \hat{x}_{1d}(n) + \hat{x}_{2d}(n)$$

**Advantages:** no phase ambiguity

able to deal with the delay problem

## • Properties of Differential Cepstrum

(1) The differential Cepstrum is shift & scaling invariant

不只適用於 multi-path-like problem

也適用於 pattern recognition

If  $y[n] = A X[n - r]$

$$\Rightarrow \hat{y}_d(n) = \begin{array}{ll} \hat{x}_d(n) & , \quad n \neq 1 \\ -r + \hat{x}_d(1) & , \quad n = 1 \end{array}$$

(Proof):  $Y(z) = Az^{-r} X(z)$

$$Y'(z) = Az^{-r} X'(z) - rAz^{-r-1} X(z)$$

$$\frac{Y'(z)}{Y(z)} = \frac{X'(z)}{X(z)} - rz^{-1}$$

(2) The complex cepstrum  $\hat{C}[n]$  is closely related to its differential cepstrum  $\hat{x}_d[n]$  and the signal original sequence  $x[n]$

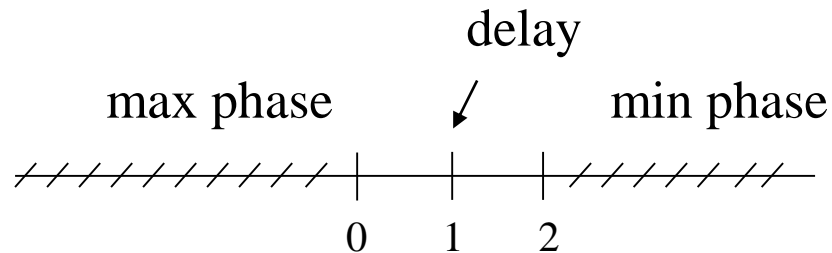
$$\hat{C}(n) = \frac{-\hat{x}_d(n+1)}{n} \quad n \neq 0 \quad \text{diff cepstrum}$$

$$\text{and} \quad -(n-1) \hat{x}_d(n-1) = \sum_{k=-\infty}^{\infty} \hat{x}_d(n) x(n-k) \quad \text{recursive formula}$$

Complex cepstrum 做得到的事情, differential cepstrum 也做得到 !

(3) If  $x[n]$  is minimum phase (no poles & zeros outside the unit circle), then  $\hat{x}_d[n] = 0$  for  $n \leq 0$

(4) If  $x[n]$  is maximum phase (no poles & zeros inside the unit circle), then  $\hat{x}_d[n] = 0$  for  $n \geq 2$



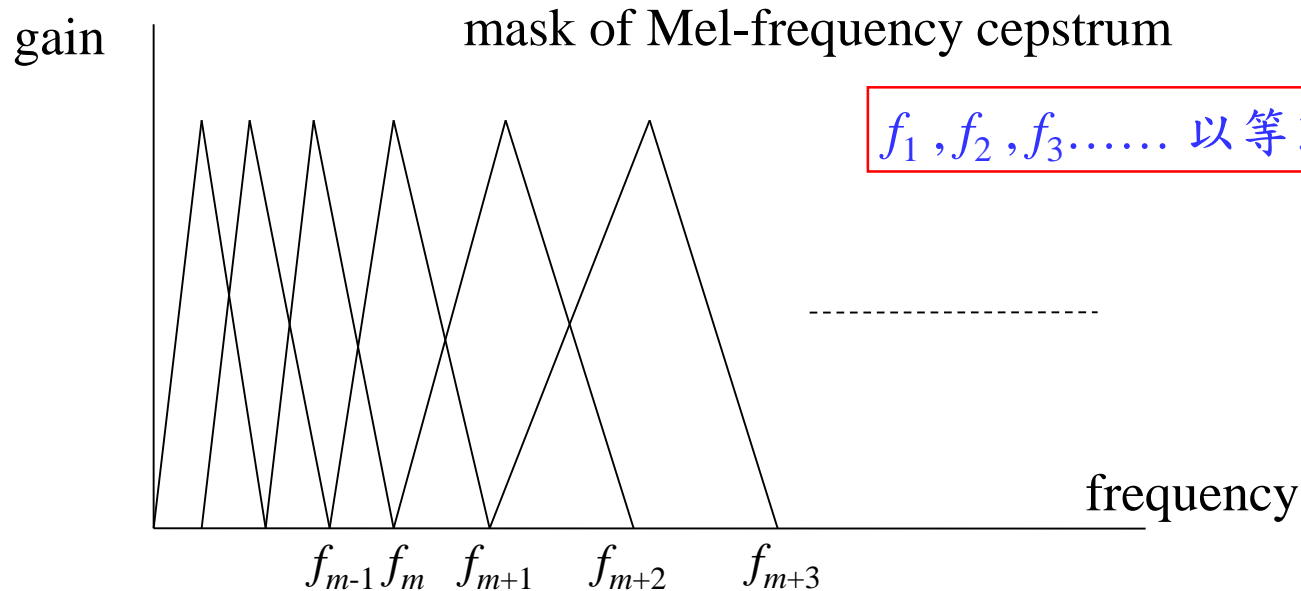
(5) If  $x(n)$  is of finite duration,  $\hat{x}_d[n]$  has infinite duration

Complex cepstrum decay rate  $\propto \frac{1}{n}$

Differential Cepstrum decay rate 變慢了,  $\therefore \hat{x}_d(n+1) = n \cdot \hat{c}(n) \propto n \cdot \frac{1}{n} = 1$

## ◎ 5-H Mel-Frequency Cepstrum (梅爾頻率倒頻譜)

Take log in the frequency mask



$$B_m[k] = 0 \quad \text{for } f < f_{m-1} \text{ and } f > f_{m+1}$$

$$B_m[k] = (k - f_{m-1}) / (f_m - f_{m-1}) \quad \text{for } f_{m-1} \leq f \leq f_m$$

$$B_m[k] = (f_{m+1} - k) / (f_{m+1} - f_m) \quad \text{for } f_m \leq f \leq f_{m+1}$$

$$f = k f_s / N$$

## Process of the Mel-Cepstrum

$$(1) \quad x[n] \xrightarrow{FT} X[k]$$

$$(2) \quad Y[m] = \log \left\{ \sum_{k=f_{m-1}}^{f_{m+1}} |X[k]|^2 B_m[k] \right\}$$

summation of the effect  
inside the  $m^{\text{th}}$  mask

$$(3) \quad c_x[n] = \frac{1}{M} \sum_{m=1}^M Y[m] \cos \left( \frac{\pi n(m-1/2)}{M} \right)$$

Q: What are the difference between the Mel-cepstrum and the original cepstrum?

Advantages :

Mel-frequency cepstrum 更接近人耳對語音的區別性

用  $c_x[1], c_x[2], c_x[3], \dots, c_x[13]$  即足以描述語音特徵



## © 5-I References

- R. B. Randall and J. Hee, “Cepstrum analysis,” *Wireless World*, vol. 88, pp. 77-80. Feb. 1982
- 王小川， “語音訊號處理”，全華出版，台北，民國94年。
- A. V. Oppenheim and R. W. Schaffer, *Discrete-Time Signal Processing*, London: Prentice-Hall, 3<sup>rd</sup> ed., 2010.
- S. C. Pei and S. T. Lu, “Design of minimum phase and FIR digital filters by differential cepstrum,” *IEEE Trans. Circuits Syst. I*, vol. 33, no. 5, pp. 570-576, May 1986.
- S. Imai, “Cepstrum analysis synthesis on the Mel-frequency scale,” *ICASSP*, vol. 8, pp. 93-96, Apr. 1983.

## 附錄六：聲音檔和影像檔的處理 (by Matlab)

### A. 讀取聲音檔

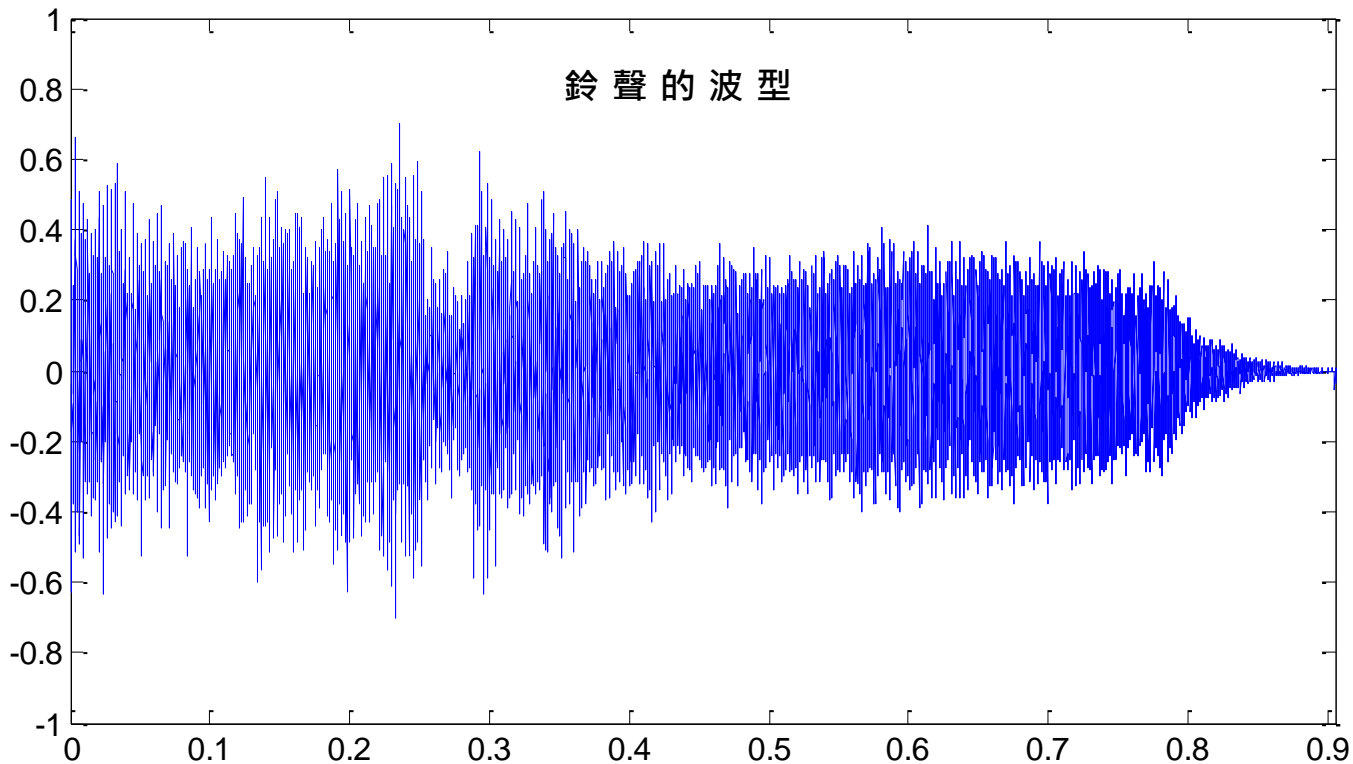
- 電腦中，沒有經過壓縮的聲音檔都是 \*.wav 的型態
- 讀取：**wavread** 或 **audioread**

註：2015版本以後的 Matlab，**wavread** 將改為 **audioread**

- 例：`[x, fs] = wavread('C:\WINDOWS\Media\ringin.wav');`  
可以將 ringin.wav 以數字向量 **x** 來呈現。 **fs**: sampling frequency  
這個例子當中 `size(x) = 9981 1`      `fs = 11025`
- 思考: 所以，取樣間隔多大？
- 這個聲音檔有多少秒？

## 畫出聲音的波型

```
time = [0:length(x)-1]/fs;    % x 是前頁用 wavread 所讀出的向量  
plot(time, x)
```



注意：\*.wav 檔中所讀取的資料，值都在  $-1$  和  $+1$  之間

一個聲音檔如果太大，我們也可以只讀取它部分的點

```
[x, fs]=wavread('C:\WINDOWS\Media\ringin.wav', [4001 5000]);
```

% 讀取第4001至5000點

```
[x, fs, nbits] = wavread('C:\WINDOWS\Media\ringin.wav');
```

**nbits**:  $x(n)$  的bit 數

第一個bit : 正負號，第二個bit :  $2^{-1}$ ，第三個bit :  $2^{-2}$ ，.....，

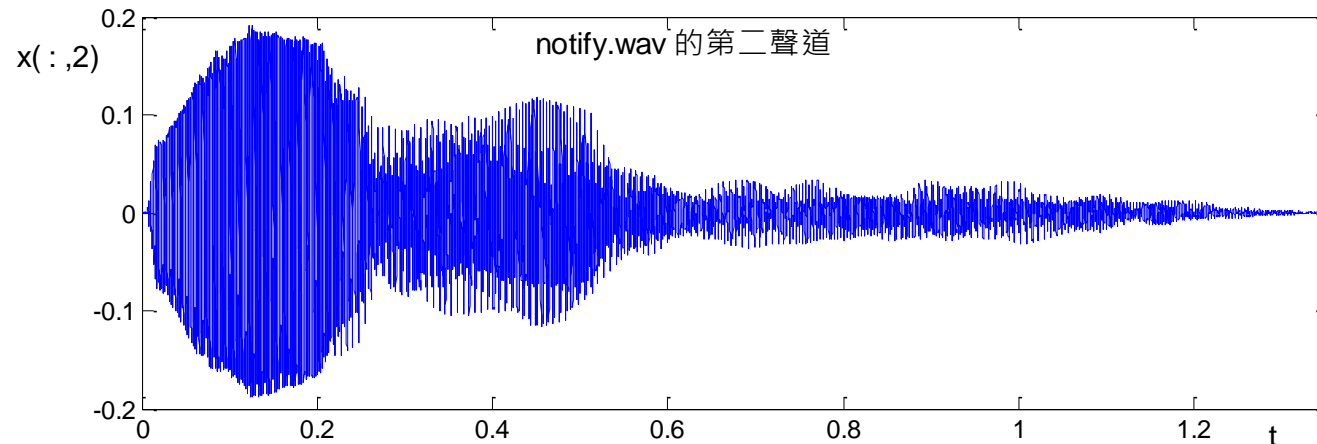
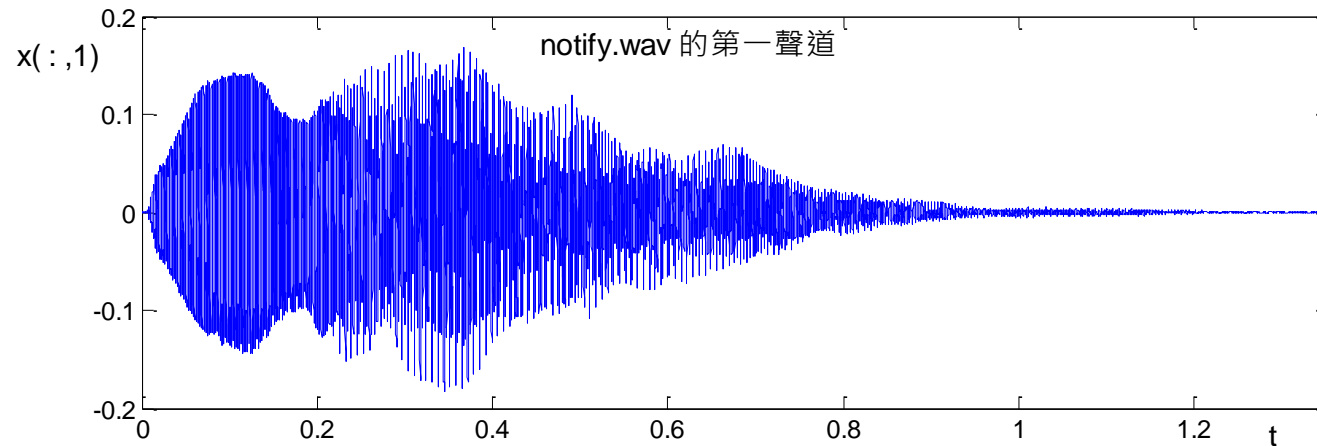
第  $n$  個bit :  $2^{-nbits+1}$ ，所以  $\mathbf{x}$  乘上  $2^{nbits-1}$  是一個整數

以鈴聲的例子， $nbits = 8$ ，所以  $\mathbf{x}$  乘上 128是個整數

- 有些聲音檔是 **雙聲道** (**Stereo**) 的型態 (俗稱**立體聲**)

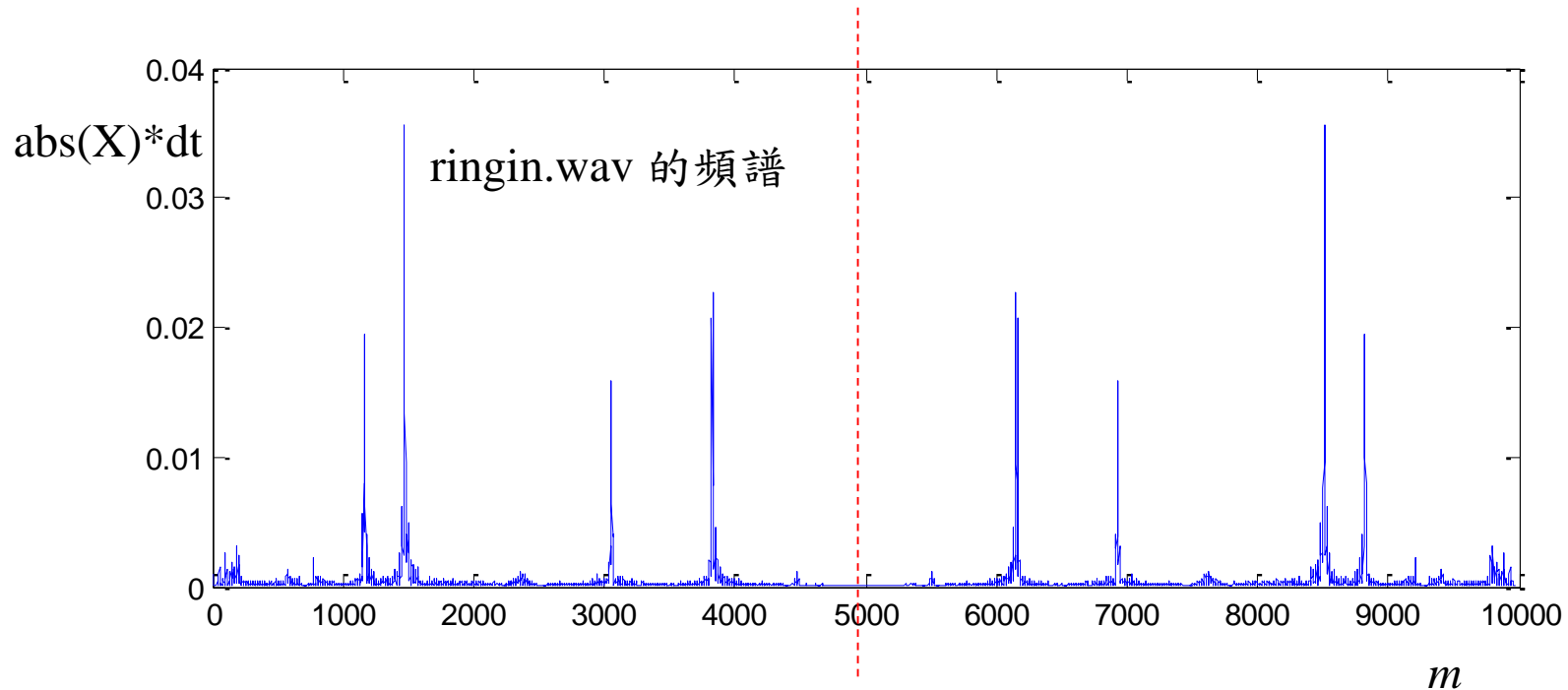
例： `[x, fs]=wavread('C:\WINDOWS\Media\notify.wav');`

`size(x) = 29823    2        fs = 22050`



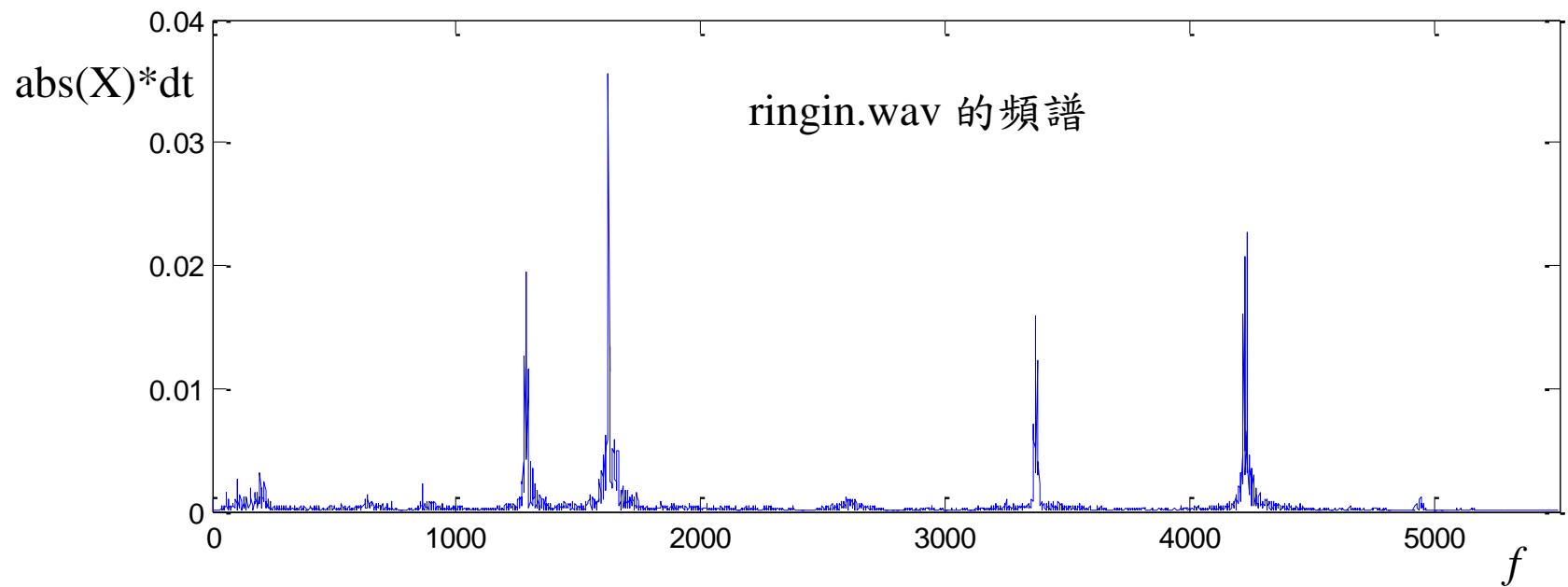
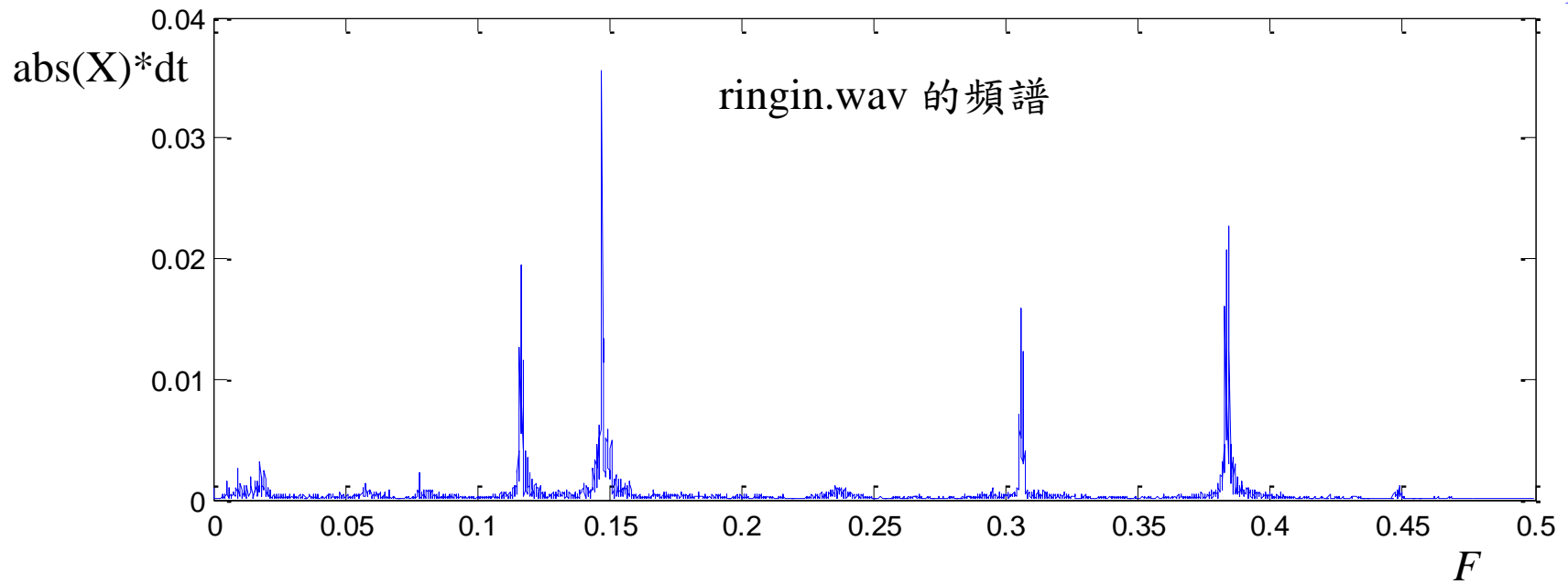
## B. 繪出頻譜 (請參考附錄二)

```
X = fft(x);          plot(abs(X)*dt); % dt = 1/fs
```



### fft 橫軸 轉換的方法

- (1) Using normalized frequency  $F$ :  $F = m / N$ .
- (2) Using frequency  $f$ ,  $f = F \times f_s = m \times (f_s / N)$ .



## C. 聲音的播放

(1) `wavplay(x)`: 將 **x** 以 11025Hz 的頻率播放  
(時間間隔 =  $1/11025 = 9.07 \times 10^{-5}$  秒)

(2) `sound(x)`: 將 **x** 以 8192Hz 的頻率播放

(3) `wavplay(x, fs)` 或 `sound(x, fs)`: 將 **x** 以 **fs** Hz 的頻率播放

Note: (1)~(3) 中 **x** 必需是1個column (或2個 columns)，且 **x** 的值應該介於 -1 和 +1 之間

(4) `soundsc(x, fs)`: 自動把 **x** 的值調到 -1 和 +1 之間再播放



## D. 用 Matlab 製作 \*.wav 檔：wavwrite

wavwrite(x, fs, waveFile)

將數據 **x** 變成一個 \*.wav 檔，取樣速率為 **fs** Hz

- ① **x** 必需是1 個column (或2個 columns) ② **x** 值應該 介於 -1 和 +1 之間
- ③ 若沒有設定fs，則預設的fs 為 8000Hz

## E. 用 Matlab 錄音的方法

錄音之前，要先將電腦接上麥克風，且確定電腦有音效卡  
(部分的 notebooks 不需裝麥克風即可錄音)

範例程式：

```
Sec = 3;  
Fs = 8000;  
recorder = audiorecorder(Fs, 16, 1);  
recordblocking(recorder, Sec);  
audioarray = getaudiodata(recorder);
```

執行以上的程式，即可錄音。

錄音的時間為三秒，sampling frequency 為 8000 Hz

錄音結果為 audioarray，是一個 column vector (如果是雙聲道，則是兩個 column vectors)

## 範例程式 (續) :

```
wavplay(audioarray, Fs);           % 播放錄音的結果  
t = [0:length(audioarray)-1]./Fs;  
plot(t, audioarray);              % 將錄音的結果用圖畫出來  
xlabel('sec','FontSize',16);  
wavwrite(audioarray, Fs, 'test.wav') % 將錄音的結果存成 *.wav 檔
```

## 指令說明：

`recorder = audiorecorder(Fs, nb, nch);` (提供錄音相關的參數)

Fs: sampling frequency,

nb: using nb bits to record each data

nch: number of channels (1 or 2)

`recordblocking(recorder, Sec);` (錄音的指令)

recorder: the parameters obtained by the command “audiorecorder”

Sec: the time length for recording

`audioarray = getaudiodata(recorder);`

(將錄音的結果，變成 audioarray 這個 column vector，如果是雙聲道，則 audioarray 是兩個 column vectors)

以上這三個指令，要並用，才可以錄音

## F、MP3 檔的讀和寫

要先去這個網站下載 mp3read.m, mp3write.m 的程式

<http://www.mathworks.com/matlabcentral/fileexchange/13852-mp3read-and-mp3write>

程式原作者：Dan Ellis

mp3read.m : 讀取 mp3 的檔案

mp3write.m : 製作 mp3 的檔案

不同於 \*.wav 檔 (未壓縮過的聲音檔)，\*.mp3 是經過 MPEG-2 Audio Layer III 的技術壓縮過的聲音檔

## 範例：

```
%% Write an MP3 file by Matlab
```

```
fs=8000;           % sampling frequency  
t = [1:fs*3]/3;  
filename = 'test';  
Nbit=32;           % number of bits per sample  
x= 0.2*cos(2*pi*(500*t+300*(t-1.5).^3));  
mp3write(x, fs, Nbit, filename); % make an MP3 file test.mp3
```

```
%% Read an MP3 file by Matlab
```

```
[x1, fs1]=mp3read('phase33.mp3');  
x2=x1(577:end); % delete the head  
sound(x2, fs1)
```

## F：影像檔的處理

Image 檔讀取: `imread`

Image 檔顯示: `imshow`, `image`, `imagesc`

Image 檔製作: `imwrite`

基本概念：灰階影像在 Matlab 當中是一個矩陣

彩色影像在 Matlab 當中是三個矩陣，分別代表 Red, Green, Blue

\*.bmp: 沒有經過任何壓縮處理的圖檔

\*.jpg: 有經過 JPEG 壓縮的圖檔

Video 檔讀取: `aviread`

## 範例一：(黑白影像)

```
im=imread('C:\Program Files\MATLAB\pic\Pepper.bmp');
```

(注意，如果 Pepper.bmp 是個灰階圖，im 將是一個矩陣)

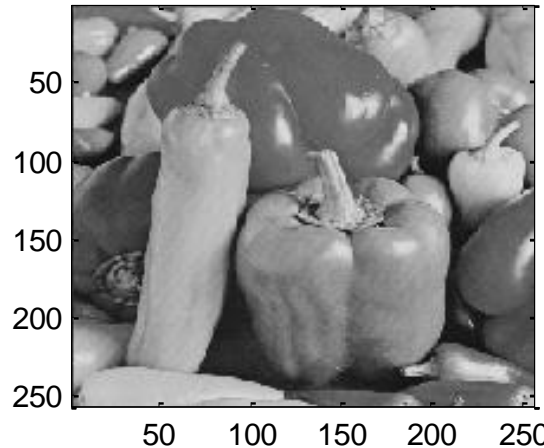
`size(im)` (用 size 這個指令來看 im 這個矩陣的大小)

```
ans =
```

```
256 256
```

```
image(im);
```

```
colormap(gray(256))
```



## 範例二：(彩色影像)

```
im2=imread('C:\Program Files\MATLAB\pic\Pepper512c.bmp');
```

```
size(im2)
```

(注意，由於這個圖檔是個彩色的，所以 im2 將由三個矩陣複合而成)

```
ans =
```

```
512 512 3
```

```
imshow(im); or image(im/255);
```



注意：要對影像做運算時，要先變成 double 的格式

否則電腦會預設影像為 integer 的格式，在做浮點運算時會產生誤差

例如，若要對影像做 2D Discrete Fourier transform

```
im=imread('C:\Program Files\MATLAB\pic\Pepper.bmp');
```

```
im=double(im);
```

```
Imf=fft2(im);
```