### **10-D** Prime Factor Algorithm

[Ref] A. V. Oppenheim, *Discrete-Time Signal Processing*, London: Prentice-Hall, 3<sup>rd</sup> ed., 2010.

#### N可以是任意整數

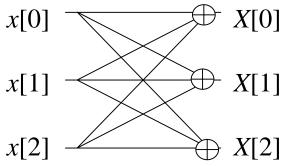
$$P_1, P_2, P_3.....P_M$$
 不一定是 prime number, 但彼此互質

If 
$$N = P_1^{k_1} P_2^{k_2} \cdot \cdot \cdot \cdot \cdot P_M^{k_M}$$

 $P_1, P_2, ..., P_M$  are small integers and prime to each other the powers  $k_1, k_2, ..., k_M$  are small

then using the prime factor FFT to implement the *N*-point DFT may require fewer real multiplications.

#### 3-point DFT butterfly:



Needs 4 complex multiplications (12 real multiplications)

N-point DFT butterfly: needs 3(N-1)(N-1) real multiplications

然而,可以使用特殊的方法,讓 N—point DFT 的乘法量大幅減少 (即使  $N \neq 2^k$ )

例如 pages 305, 306, 312, 313

• Detail of the implementation method of the prime factor algorithm

$$F[m] = \sum_{n=0}^{N-1} f[n]e^{-j\frac{2\pi}{N}mn} \qquad n = 0, 1, ..., N-1, m = 0, 1, ..., N-1$$

Case 1: 假設  $N = P_1 \times P_2$  ,  $P_1$  is prime to  $P_2$ 



拆成  $P_2$  個  $P_1$ -point DFTs,和  $P_1$  個  $P_2$ -point DFTs

$$> n = ((n_1 P_1 + n_2 P_2))_N$$
  $m = ((m_1 P_1 + m_2 P_2))_N$   $(())_N : \mathbb{R} \cup N \text{ of } \mathbb{R}$ 

$$n_1, m_1 = 0, 1, ..., P_2 - 1, n_2, m_2 = 0, 1, ..., P_1 - 1$$

當 $P_1, P_2$  互值時,每一個 $n_1, n_2$  對應到唯一一個n

例子:當 
$$N=15$$
,  $P_1=3$ ,  $P_2=5$ ,

$$0 = ((0 \cdot P_1 + 0 \cdot P_2))_{15}$$

$$= ((0 \cdot P_1 + 0 \cdot P_2))_{15} \qquad 10 = ((0 \cdot P_1 + 2 \cdot P_2))_{15}$$

$$1 = ((2 \cdot P_1 + 2 \cdot P_2))_{15}$$

$$11 = ((2 \cdot P_1 + 1 \cdot P_2))_{15}$$

$$2 = ((4 \cdot P_1 + 1 \cdot P_2))_{15}$$

$$12 = ((4 \cdot P_1 + 0 \cdot P_2))_{15}$$

$$3 = ((1 \cdot P_1 + 0 \cdot P_2))_{15}$$

$$13 = ((1 \cdot P_1 + 2 \cdot P_2))_{15}$$

$$4 = ((3 \cdot P_1 + 2 \cdot P_2))_{15}$$

$$14 = ((3 \cdot P_1 + 1 \cdot P_2))_{15}$$

$$5 = ((0 \cdot P_1 + 1 \cdot P_2))_{15}$$

$$6 = ((2 \cdot P_1 + 0 \cdot P_2))_{15}$$

$$7 = ((4 \cdot P_1 + 2 \cdot P_2))_{15}$$

$$8 = ((1 \cdot P_1 + 1 \cdot P_2))_{15}$$

$$9 = ((3 \cdot P_1 + 0 \cdot P_2))_{15}$$

$$F[m] = \sum_{n=0}^{N-1} f[n]e^{-j\frac{2\pi}{N}mn}$$

$$N = P_1 \times P_2$$

$$m = ((m_1P_1 + m_2P_2))_N = m_1P_1 + m_2P_2 + c_1N$$

$$n = ((n_1P_1 + n_2P_2))_N = n_1P_1 + n_2P_2 + c_2N$$

$$e^{-j\frac{2\pi}{N}mn} = e^{-j\frac{2\pi}{N}(m_{1}P_{1}+m_{2}P_{2}+c_{1}N)(n_{1}P_{1}+n_{2}P_{2}+c_{2}N)}$$

$$= e^{-j\frac{2\pi}{N}[(m_{1}P_{1}+m_{2}P_{2})(n_{1}P_{1}+n_{2}P_{2})]} e^{-j\frac{2\pi}{N}[c_{1}N(n_{1}P_{1}+n_{2}P_{2})]} e^{-j\frac{2\pi}{N}[c_{2}N(m_{1}P_{1}+m_{2}P_{2})]} e^{-j\frac{2\pi}{N}[c_{1}c_{2}N^{2}]}$$

$$= e^{-j\frac{2\pi}{N}[(m_{1}P_{1}+m_{2}P_{2})(n_{1}P_{1}+n_{2}P_{2})]}$$

$$= e^{-j\frac{2\pi}{N}[(m_{1}P_{1}+m_{2}P_{2})(n_{1}P_{1}+n_{2}P_{2})]}$$

$$F[((m_{1}P_{1} + m_{2}P_{2}))_{N}] = \sum_{n=0}^{N-1} f[((n_{1}P_{1} + n_{2}P_{2}))_{N}] e^{-j\frac{2\pi}{P_{1}P_{2}}(m_{1}P_{1} + m_{2}P_{2})(n_{1}P_{1} + n_{2}P_{2})}$$

$$= \sum_{n=0}^{N-1} f[((n_{1}P_{1} + n_{2}P_{2}))_{N}] e^{-j\frac{2\pi}{P_{1}P_{2}}(m_{1}n_{1}P_{1}P_{1} + m_{2}n_{2}P_{2}P_{2} + m_{1}n_{2}P_{1}P_{2} + m_{2}n_{1}P_{2}P_{1})}$$

$$= \sum_{n=0}^{N-1} f[((n_{1}P_{1} + n_{2}P_{2}))_{N}] e^{-j\frac{2\pi}{P_{2}}m_{1}P_{1}n_{1}} e^{-j\frac{2\pi}{P_{1}}m_{2}P_{2}n_{2}}$$

$$= \sum_{n=0}^{P_{1}-1} \left\{ \sum_{n_{1}=0}^{P_{2}-1} f[((n_{1}P_{1} + n_{2}P_{2}))_{N}] e^{-j\frac{2\pi}{P_{2}}m_{1}P_{1}n_{1}} \right\} e^{-j\frac{2\pi}{P_{1}}m_{2}P_{2}n_{2}}$$

$$\frac{\text{Step 2}}{\text{Step 3}}$$

$$n_1, m_1 = 0, 1, ..., P_2 - 1, m_2, m_2 = 0, 1, ..., P_1 - 1$$

Step 1 
$$\Leftrightarrow$$
  $g[n_1, n_2] = f[((n_1P_1 + n_2P_2))_N]$ 

Step 2 固定  $n_2$ , 對  $n_1$  做  $P_2$ -point DFT

$$G_{1}[m_{3},n_{2}] = \sum_{n_{1}=0}^{P_{2}-1} g[n_{1},n_{2}] e^{-j\frac{2\pi}{P_{2}}m_{3}n_{1}}$$

 $n_2$ 有 $P_1$ 個值,所以有 $P_1$ 個 $P_2$ -point DFTs

Step 3 固定  $m_3$ , 對  $n_2$  做  $P_1$ -point DFT

$$G_{2}[m_{3}, m_{4}] = \sum_{n_{2}=0}^{P_{1}-1} G_{1}[m_{3}, n_{2}]e^{-j\frac{2\pi}{P_{1}}m_{4}n_{2}}$$

 $m_3$  有  $P_2$  個值,所以有  $P_2$  個  $P_1$ -point DFTs  $m_3 = 0, 1, ...., P_2 - 1, m_4 = 0, 1, ...., P_1 - 1$ 

Step 4 
$$F[((m_1P_1+m_2P_2))_N]=G_2[m_3,m_4]$$
 其中  $((m_1P_1))_{P2}=m_3$ ,  $((m_2P_2))_{P1}=m_4$ ,

$$F[m] = \sum_{n=0}^{N-1} f[n]e^{-j\frac{2\pi}{N}mn} \qquad n = 0, 1, ..., N-1, m = 0, 1, ..., N-1$$

Case 2: 假設  $N = P_1 \times P_2$  ,  $P_1$  is not prime to  $P_2$ 

$$\begin{array}{ll} & \qquad m = m_1 + m_2 P_2 \\ & \qquad n_1, \, m_1 = 0, \, 1, \, \dots, \, P_2 - 1, \quad n_2, \, m_2 = 0, \, 1, \, \dots, \, P_1 - 1 \\ & \qquad F \left[ m_1 + m_2 P_2 \right] = \sum_{n=0}^{N-1} f \left[ n_1 P_1 + n_2 \right] e^{-j\frac{2\pi}{N}(m_1 + m_2 P_2)(n_1 P_1 + n_2)} \\ & \qquad = \sum_{n=0}^{N-1} f \left[ n_1 P_1 + n_2 \right] e^{-j\frac{2\pi}{P_1 P_2}(m_1 n_1 P_1 + m_1 n_2 + m_2 n_1 P_1 P_2 + m_2 n_2 P_2)} \\ & \qquad = \sum_{n=0}^{N-1} f \left[ n_1 P_1 + n_2 \right] e^{-j\frac{2\pi}{P_2} m_1 n_1} e^{-j\frac{2\pi}{P_2} m_2 n_2} e^{-j\frac{2\pi}{P_1 P_2} m_1 n_2} \\ & \qquad = \sum_{n_2 = 0}^{P_1 - 1} \left\{ \sum_{n_1 = 0}^{P_2 - 1} f \left[ n_1 P_1 + n_2 \right] e^{-j\frac{2\pi}{P_2} m_1 n_1} \right\} e^{-j\frac{2\pi}{N} m_1 n_2} e^{-j\frac{2\pi}{P_1} m_2 n_2} \end{aligned}$$

$$F[m_{1} + m_{2}P_{2}] = \sum_{n_{2}=0}^{P_{1}-1} \left\{ \sum_{n_{1}=0}^{P_{2}-1} f[n_{1}P_{1} + n_{2}]e^{-j\frac{2\pi}{P_{2}}m_{1}n_{1}} \right\} e^{-j\frac{2\pi}{N}m_{1}n_{2}} e^{-j\frac{2\pi}{P_{1}}m_{2}n_{2}}$$

$$\frac{\text{Step 2}}{\text{Step 3}}$$

$$\frac{\text{Step 4}}{\text{Step 4}}$$

 $e^{-j\frac{2\pi}{N}m_1n_2}$  被稱為 twiddle factor,需要額外的乘法

$$n_1, m_1 = 0, 1, ..., P_2 - 1, n_2, m_2 = 0, 1, ..., P_1 - 1$$

Step 1 
$$\Leftrightarrow$$
  $g[n_1, n_2] = f[n_1P_1 + n_2]$ 

Step 2 固定  $n_2$ , 對  $n_1$  作  $P_2$ -point DFT

$$G_{1}[m_{1},n_{2}] = \sum_{n_{1}=0}^{P_{2}-1} g[n_{1},n_{2}] e^{-j\frac{2\pi}{P_{2}}m_{1}n_{1}}$$

 $n_2$ 有 $P_1$ 個值,所以有 $P_1$ 個 $P_2$ -point DFTs

Step 3 
$$G_2[m_1, n_2] = G_1[m_1, n_2]e^{-j\frac{2\pi}{N}m_1n_2}$$

Step 4 固定  $m_1$ , 對  $n_2$  作  $P_1$ -point DFT

$$G_{3}[m_{1}, m_{2}] = \sum_{n_{1}=0}^{P_{1}-1} G_{2}[m_{1}, n_{2}] e^{-j\frac{2\pi}{P_{1}}m_{2}n_{2}}$$

Step 5 
$$F[m_1 + m_2 P_2] = G_3[m_1, m_2]$$

### ◎ 10-E FFT 的乘法量的計算

假設  $N = P_1 \times P_2$  ,  $P_1$  is **prime** to  $P_2$ 

 $P_1$ -point DFT 的乘法量為  $B_1$ ,  $P_2$ -point DFT 的乘法量為  $B_2$ 則 N-point DFT 的乘法量為

$$P_2B_1 + P_1B_2$$

假設  $N = P_1 \times P_2 \times \cdots \times P_K$   $P_1, P_2, \dots, P_K$  彼此互質

 $P_k$ -point DFT 的乘法量為  $B_k$ 

則 N-point DFT 可分解成  $(N/P_1)$  個  $P_1$ -point DFTs

 $(N/P_2)$  個  $P_2$ -point DFTs

 $(N/P_K)$  個  $P_K$ -point DFTs

總乘法量為

$$\frac{N}{P_1}B_1 + \frac{N}{P_2}B_2 + \cdots + \frac{N}{P_k}B_k$$

假設  $N = P_1 \times P_2$ ,  $P_1$  is **not prime** to  $P_2$ 

 $P_1$ -point DFT 的乘法量為  $B_1$ ,  $P_2$ -point DFT 的乘法量為  $B_2$  則 N-point DFT 的乘法量為

且  $m_1 n_2$  當中  $(m_1 = 0, 1, ...., P_1 - 1, n_2 = 0, 1, ...., P_2 - 1)$  有  $D_1$  個值不為 N/12 及 N/8 的倍數 有  $D_2$  個值為 N/12 或 N/8 的倍數,但不為 N/4 的倍數

則 N-point DFT 的乘法量為

$$P_2B_1 + P_1B_2 + 3D_1 + 2D_2$$

Note:  $a \times \exp(j \theta)$ , 當 a 為 complex, 需要 3 個乘法 然而,當  $\theta = \pi/4$ ,只需 2 個乘法 當  $\theta = \pi/3$ ,只需 2 個乘法

例子: 16-point DFT, 16=8×2,

乘法量 = 
$$2 \times 4 + 8 \times 0 + 3 \times 4 + 2 \times 2 = 24$$

$$16 = 4 \times 4$$

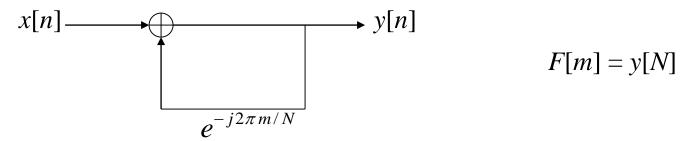
乘法量 = 
$$4 \times 0 + 4 \times 0 + 3 \times 4 + 2 \times 4 = 20$$

### **10-F** Goertzel Algorithm

DFT: 
$$F[m] = \sum_{n=0}^{N-1} f[n]e^{-j\frac{2\pi}{N}mn}$$

$$x[n] = f[N-n], n = 1, 2, ..., N$$

$$F[m] = x[1]e^{-j\frac{2\pi}{N}m(N-1)} + x[2]e^{-j\frac{2\pi}{N}m(N-2)} + \dots + x[N]e^{-j\frac{2\pi}{N}m(0)}$$



優點: Hardware 最為精簡

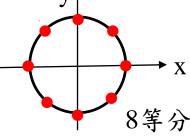
缺點: 運算時間較長

### **10-G** Chirp Z Transform

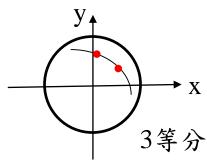
當 $\Delta_t \Delta_f = 1/N$  時, Continuous Fourier transform可以用DFT和FFT來做 implementation。

$$G(f) = \int e^{-j2\pi f t} g(t) dt \xrightarrow{\Re t = n \Delta_t} G(m\Delta_f) = \Delta_t \sum_n e^{-j2\pi m n \Delta_t \Delta_f} g(n\Delta_t)$$

相當於在z-plane上分成N等分



問題:當 $\Delta_t \Delta_f \neq 1/N$ 或不是對單位圓做N等分時,怎辦?



$$G(m\Delta_f) = \Delta_t e^{-j\pi m^2 \Delta_t \Delta_f} \sum_n e^{j\pi (m-n)^2 \Delta_t \Delta_f} e^{-j\pi n^2 \Delta_t \Delta_f} g(n\Delta_t)$$

Z-transform:  $X(z) = \sum_{n=0}^{N-1} x[n]z^{-n} \longrightarrow X(k) = X(z)\Big|_{z=e^{j\frac{2\pi k}{N}}}$ 

CZT algorithm:

Define  $Z_k = AW^{-k}$ , k=0, 1, ..., M-1, 其中M為任意output points A和W為任意complex number。

$$X_{k} = \sum_{n=0}^{N-1} x[n] (AW^{-k})^{-n} = \sum_{n=0}^{N-1} x[n] A^{-n} W^{kn}, \quad k = 0, 1, ..., M-1$$

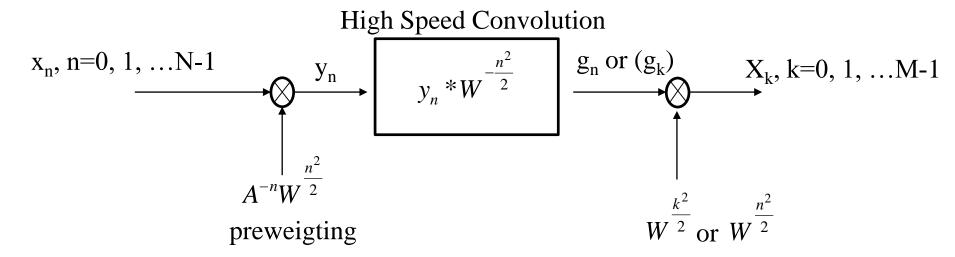
$$\Leftrightarrow nk = \frac{n^{2} + k^{2} - (k-n)^{2}}{2} \quad \text{代入 if } 2$$

$$X_{k} = \sum_{n=0}^{N-1} (x[n] A^{-n} W^{\frac{n^{2}}{2}}) W^{\frac{k^{2}}{2}} W^{\frac{-(k-n)^{2}}{2}}, \quad k = 0, 1, ..., M-1$$

$$y_{n} V_{k-n}$$

$$\Rightarrow X_{k} = \mathbf{W}^{\frac{k^{2}}{2}} \sum_{n=0}^{N-1} \mathbf{y}[n] v[k-n] = \mathbf{W}^{\frac{k^{2}}{2}} (y[k] * v[k]), \ k = 0,1,...,M-1$$

Block diagram:



#### 優點:

- (1)input/output point 可以不相同(N ≠ M), N 和 M 為任意整數
- (2)contour 不需要在單位圓上(arc即可)
- (3)初始點任意(arbitrary initial frequency),而DFT必須要DC點開始

缺點: 運算量較大 (3 times)

### **10-H Winograd Algorithm for DFT Implementation**

Basic idea:

Except for the  $1^{st}$  row and the  $1^{st}$  column, the N-point DFT is equivalent to the (N-1)-point circular convolution when N is a prime number.

Example: 5-point DFT

$$\begin{bmatrix} V_0 \\ V_1 \\ V_2 \\ V_3 \\ V_4 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & \omega & \omega^2 & \omega^3 & \omega^4 \\ 1 & \omega^2 & \omega^4 & \omega & \omega^3 \\ 1 & \omega^3 & \omega & \omega^4 & \omega^2 \\ 1 & \omega^4 & \omega^3 & \omega^2 & \omega \end{bmatrix} \begin{bmatrix} v_0 \\ v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix}, \quad \omega = \exp[-j\angle 72^\circ],$$

移除第一個 row和第一個 column

$$\begin{bmatrix} V_1 - v_0 \\ V_2 - v_0 \\ V_3 - v_0 \\ V_4 - v_0 \end{bmatrix} = \begin{bmatrix} \omega & \omega^2 & \omega^3 & \omega^4 \\ \omega^2 & \omega^4 & \omega & \omega^3 \\ \omega^3 & \omega & \omega^4 & \omega^2 \\ \omega^4 & \omega^3 & \omega^2 & \omega \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix}$$

先將 3rd and 4th rows, 再3rd and 4th columns 作交換

$$\begin{bmatrix} V_1 - v_0 \\ V_2 - v_0 \\ V_4 - v_0 \\ V_3 - v_0 \end{bmatrix} = \begin{bmatrix} \omega & \omega^2 & \omega^4 & \omega^3 \\ \omega^2 & \omega^4 & \omega^3 & \omega \\ \omega^4 & \omega^3 & \omega & \omega^2 \\ \omega^3 & \omega & \omega^2 & \omega^4 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ v_4 \\ v_3 \end{bmatrix}$$
 變成 circular convolution 的型態

Circular Convolution

$$z[n] = y[n] \otimes h[n] = \sum_{k=0}^{N-1} y[k]h[((n-k))_N]$$

Circular Convolution with time inverse

$$z[n] = y[-n] \otimes h[n] = \sum_{k=0}^{N-1} y[((-k))_N] h[((n-k))_N] = \sum_{k=0}^{N-1} y[k] h[((n+k))_N]$$

$$\longrightarrow z[n] = IFFT \left\{ FFT(y[-n]) FFT(h[n]) \right\}$$

$$\begin{bmatrix} V_{1} - v_{0} \\ V_{2} - v_{0} \\ V_{4} - v_{0} \\ V_{3} - v_{0} \end{bmatrix} = IFFT \left[ FFT_{4} \left\{ \begin{bmatrix} v_{1} \\ v_{3} \\ v_{4} \\ v_{2} \end{bmatrix} \right\} \cdot FFT_{4} \left\{ \begin{bmatrix} \omega_{1} \\ \omega_{2} \\ \omega_{4} \\ \omega_{3} \end{bmatrix} \right\} \right]$$

$$FFT_{4} \left\{ \begin{bmatrix} \omega_{1} \\ \omega_{2} \\ \omega_{4} \\ \omega_{3} \end{bmatrix} \right\} = \begin{bmatrix} -1 \\ -1.7156 - 1.9021j \\ 2.2361 \\ 1.7156 - 1.9021j \end{bmatrix}$$

當 N 為其他的 prime numbers 時,也可以運用 permutation 和 circular convolution來計算 prime-number DFTs

- (Step 1) Delete the 1<sup>st</sup> row and the 1<sup>st</sup> column.
- (Step 2) Perform the row and column permutations.

Rows 和 columns 的順序相同

- (a) 找出一個 primitive root a, 使得  $a^k \mod N \neq 1$  when k = 1, 2, ..., N-2,  $a^{N-1} \mod N \neq 1$  (Primitive root 的概念,會在後面講到數論時複習)
- (b) Rows 和 columns 的順序,以 p[n] 來表示,  $p[n] = a^n \mod N$ , n = 0, 1, ..., N-2
- (Step 3) 變成 circular convolution 的型態

則 N-point DFT 可以用(N-1)-point DFTs 來 implementation

$$\begin{bmatrix} V_{p[0]} - v_{0} \\ V_{p[1]} - v_{0} \\ \vdots \\ V_{p[N-2]} - v_{0} \end{bmatrix} = IDFT_{N-1} \left\{ DFT_{N-1} \left\{ \begin{bmatrix} v_{p[0]} \\ v_{p[N-2]} \\ \vdots \\ v_{p[1]} \end{bmatrix} \right\} DFT_{N-1} \left\{ \begin{bmatrix} w^{p[0]} \\ w^{p[1]} \\ \vdots \\ w^{p[N-2]} \end{bmatrix} \right\} \right\}$$

### 重要理論:

Any N-point DFT can be implemented by the  $2^k$ -point DFTs whatever the value of N is.

7-point DFT

123-point DFT

# XI. Discrete Fourier Transform 的替代方案

### **11-A** Why Should We Use Other Operations?

Discrete Fourier Transform (DFT):

$$X_{F}[m] = \sum_{n=0}^{N-1} x[n] e^{-j\frac{2\pi mn}{N}}$$

優點:有 fast algorithm (complexity 為  $O(N\log_2 N)$ ). 適合做頻譜分析和 convolution implementation

問題: (1) complex output

(2) The exponential function is irrational.

For **spectrum analysis**, the DFT can be replaced by:

- $\bigstar$  (1) DCT, (2) DST, (3) DHT,

- (4) Walsh (Hadamard) transform,
- (5) Haar transform,
- (6) orthogonal basis expansion, (including orthogonal polynomials and CDMA),
- (7) wavelet transform,
- (8) time-frequency distribution

When **performing the convolution**, the DFT can be replaced by:

- (1) DCT, (2) DST, (3) DHT,
- (4) Directly Computing,(5) Sectioned DFT convolution,
  - (6) Winograd algorithm,
  - (7) number theoretic transform (NTT)
- (8) Z-transform based recursive method

### **11-B** Discrete Sinusoid Transforms

DCT (discrete cosine transform) has 8 types

DST (discrete sine transform) has 8 types

DHT (discrete Hartley transform) has 4 types

共通的特性:皆為 real,且和 DFT 密切相關

#### Reference

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- Z. Cvetkovic and M. V. Popovic, "New fast recursive algorithms for the computation of discrete cosine and sine transforms," *IEEE Trans. Signal Processing*, vol. 40, pp. 2083-2086, Aug. 1992.
- R. N. Bracewell, *The Hartley Transform*, New York, Oxford University Press, 1986.
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在做頻譜分析時,

N-point DFT 可以被 (floor(N/2) +1)-point DCT (type 1) 取代

$$X_{C}[m] = \sum_{n=0}^{Q} k_{n} x[n] \cos\left(\frac{\pi m n}{Q}\right), \qquad Q = floor(N/2),$$

$$\begin{cases} k_{n} = 1 & \text{, when } n = 0 \text{ or } N/2 \\ k_{n} = 2 & \text{, otherwise} \end{cases}$$

可以證明,當x[n]為 even, $X_C[m] = X_F[m]$ 

(運算量減少將近一半)

Recover: 
$$x[n] = \frac{1}{N} \sum_{n=0}^{Q} k_m X_C[m] \cos\left(\frac{\pi m n}{Q}\right)$$

注意:和JPEG所用的DCT (type 2) 並不相同

$$F[m] = \sqrt{\frac{2}{N}} C_m \sum_{n=0}^{N-1} f[n] \cos \frac{(n+1/2)m\pi}{N} \qquad C_0 = 1/\sqrt{2}$$

$$C_m = 1 \qquad \text{otherwise}$$

(Proof) 
$$X_{F}[m] = \sum_{n=0}^{N-1} x[n] e^{-j\frac{2\pi mn}{N}}$$

When x[n] = x[N-n], N is even

(The case where *N* is odd can be proved in the similar way)

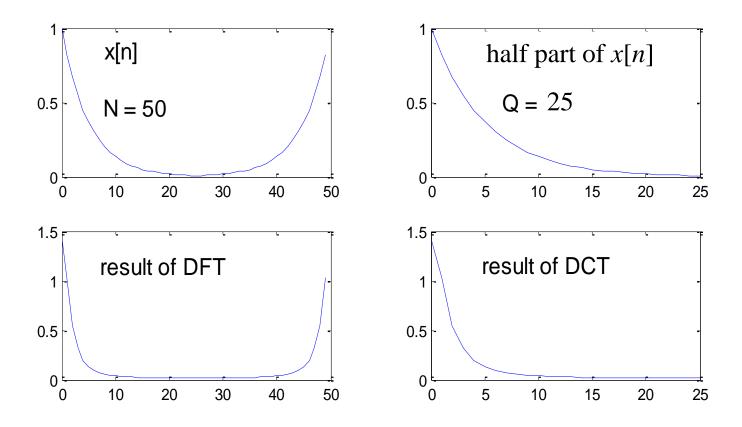
$$X_{F}[m] = x[0] + \sum_{n=1}^{N/2-1} x[n] e^{-j\frac{2\pi mn}{N}} + x[\frac{N}{2}] e^{-j\pi m} + \sum_{n=1}^{N/2-1} x[N-n] e^{-j\frac{2\pi m(N-n)}{N}}$$

$$= x[0] + \sum_{n=1}^{N/2-1} x[n] e^{-j\frac{2\pi mn}{N}} + x[\frac{N}{2}] (-1)^{m} + \sum_{n=1}^{N/2-1} x[n] e^{j\frac{2\pi m(n)}{N}}$$

$$= x[0] + 2\sum_{n=1}^{N/2-1} x[n] \cos\left(\frac{2\pi mn}{N}\right) + x[\frac{N}{2}] (-1)^{m}$$

$$= \sum_{n=0}^{N/2} k_{n} x[n] \cos\left(\frac{2\pi mn}{N}\right) \qquad \begin{cases} k_{n} = 1 & \text{, when } n = 0 \text{ or } N/2 \\ k_{n} = 2 & \text{, otherwise} \end{cases}$$

$$= X_{C}[m]$$



• Case 2:  $\pm x[n]$   $\neq$  odd function  $\cdot x[n] = -x[N-n]$ 

在做頻譜分析時,

N-point DFT 可以被 (N/2 −1)-point DST (type 1) 取代

$$X_{S}[m] = 2\sum_{n=1}^{Q-1} x[n] \sin\left(\frac{\pi m n}{Q}\right), \quad Q = N/2.$$

可以證明,當x[n]為 odd, $X_S[m] = jX_F[m]$ 

(運算量減少將近一半)

Recover: 
$$x[n] = \frac{2}{N} \sum_{m=1}^{Q-1} X_S[m] \sin\left(\frac{\pi m n}{Q}\right)$$

• Case 3: 當 x[n] 為 real function, 在做頻譜分析時,

N-point DFT 可以被 N-point DHT (type 1) 取代

$$X_{H}[m] = \sum_{n=0}^{N-1} x[n] cas\left(\frac{2\pi mn}{N}\right), \quad \text{where } cas(k) = cos(k) + sin(k)$$

比較:  $\exp(jk) = \cos(k) + j\sin(k)$ 

可以證明,若 x[n] 為 real,  $X_H[m] = real\{X_F[m]\} - imag\{X_F[m]\}$ 

(運算量減少將近一半)

Recover: 
$$x[n] = \sum_{m=0}^{N-1} X_H[m] cas\left(\frac{2\pi mn}{N}\right)$$

• 大部分的 convolution 仍然使用 DFT。

$$y[n] = x[n] * h[n]$$
$$y[n] = IDFT\{ DFT(x[n]) \times \{DFT(h[n]) \}$$

思考:何時適合用 DCT 做 convolution ? 何時適合用 DST 做 convolution ? 何時適合用 DHT 做 convolution ?

### 附錄十:論文英文常見的文法錯誤

(1) \*\*\* transform, \*\*\* equation, \*\*\* method, \*\*\* algorithm 在論文當中,當成是可數名詞,而非專有名詞(除非是所有格的形態)。

可數名詞單數時,前面要要冠詞 (a 或 the)

Fourier transform is important for signal processing. (錯誤)

The Fourier transform is important for signal processing. (正確)

A Fourier transform is important for signal processing. (正確)

Fourier transforms are important for signal processing. (正確)

I have written the Matlab program of Parks-McClellan algorithm (錯誤)

I have written the Matlab program of the Parks-McClellan algorithm (正確)

(2) 若是所有格的形態,不必加冠詞

I have written the Matlab program of the Parks-McClellan's algorithm (錯誤)

I have written the Matlab program of Parks-McClellan's algorithm (正確)

(3) 論文視同正式的文件,對 not, is, are 不用縮寫

```
they're (錯誤) they are (正確)
he's (錯誤) he is (正確)
aren't (錯誤) are not (正確)
don't (錯誤) do not (正確)
can't (錯誤) cannot (正確)
```

- (4) Suppose, assume 後面要加關係代名詞
  Suppose x is a large number. (錯誤)
  Suppose that x is a large number. (正確)
- (5) 每一個子句都有一個動詞,而且只有一個動詞

(6) In this paper, in this section, in this chapter 開頭的句子,應該用現在式,而非未來式

In this paper, the fast algorithm of DCT will be introduced. (錯誤)
In this paper, , the fast algorithm of DCT is introduced. (正確)

- (7) 在 conclusion 當中回顧文章一內容,用過去式
- (8) 敘述所引用的論文的內容,用<u>過去式</u> In [10], the number theoretic transform was proposed.
- (9) time domain, frequency domain 前面也加冠詞 in time domain (錯誤) in the time domain (正確)
- (10) 不以 "this paper", "section \*", "Ref. [\*]" 當主詞用
  This paper describes several concepts. (錯誤)
  In this paper, several concepts are described. (正確)
  Ref. [1] proposed the method. (錯誤)
  In Ref. [1], Parks and McClellan proposed the method. (正確)

(11) 提及某個 equation 時,直接括號加數字即可in equation (3) (錯誤) in (3) (正確)
提及某個 section, table, or figure 時,前面不加冠詞,而且常用大寫in the section 4 (錯誤) in Section 4 (正確)
in the table 5 (錯誤) in Table 4 (正確)

- (12) 寫科技論文不是寫文學作品,不要用高明、漂亮、但沒有保握的文法。 儘量用簡單而有把握的文法。
- (13) 科技論文英文講求「長話短說」,儘量用精簡的文字來表達意思
- (14) 用字儘量避免重覆

(15) Equations 也當成是文章的一部分,所以通常也要加標點符號 The formula of Newton's 2<sup>nd</sup> law is



- (16) 解釋 parameters 和 symbols 時,用 where 當關係代名詞 x = 10t where x is the location of the object and t is time.
- (17) 很重要的論文,投稿至國際學術期刊,又對自己的英文文法沒有十足的把握時 可以用網路上的論文編修服務,來修改文法上的錯誤

本系以及台大語言中心也經常有英文論文寫作相關的訓練課程,有志將來在學術界奮鬥的同學,可以多參與相關的課程

## 附錄十一:論文的標準格式與編輯論文技巧

註:這裡指的是一般 journal papers 和 conference papers 的格式。

然而,不同的 journals 和 conferences, 對於格式的規定,也會稍有不同。 投稿前,還是要細讀相關的規定。

(1) 變數使用斜體,矩陣或向量使用粗體

$$f(x) = x^2 + 3x + 2$$
.  $(f, x$  皆用斜體)

(2) 段落的經常用「左右對齊」的格式

如果使用 Word 2007,可以按 常用  $\rightarrow$  段落  $\rightarrow$  對齊方式  $\rightarrow$  左右對齊 或是按工具列中的  $\overline{\equiv}$ 

- (3) Equation 的標號,經常用「定位點」的功能,讓標號的位置固定 如果使用 Word 2007,可以按常用→段落→定位點(在對話框左下角) ,再設定定位點的位置
- (4) 至於 equations 本身,通常置於這一行的中間,例如

$$F = ma. (1)$$

Equations 和前一行以及後一行,皆要有足夠的距離。而且, equations 的後方常常要加逗號或句號(以下一行是否為新的句子而定)。

(5) 標題(包括 papers 的標題以及每個 chapters 和 sections的標題) 當中,每個單字的開頭一定要大寫,除了 (a) 介係詞 (b) 連詞 (c) 冠詞 以外。若為第一個單字,即使是介係詞 ,連詞,或冠詞,也要大寫 The Applications of the Fourier Transform in Daily Life Fast Algorithms of the Wavelet Transform and JPEG2000

- (6) 文章一定要包括
  - (a) Abstract,
  - (b) Introduction (通常是第一個 section)
  - (c) 内文
  - (d) Conclusions 或 Conclusions and Future Works (通常是最後一個 section)
  - (e) References
- (7) 每一張圖 (figures),每一張表 (tables) 都要編號,而且要附加文字說明。如 Fig. 3 The result of the Fourier transform for a chirp signal. 若一張圖當中有很多個小圖,每個小圖也要編號 (a), (b), (c), (d) .....
- (8) 同一個 equation,同一張圖,要放在同一頁,不分散於兩頁。

(9) 一般而言, Journal papers 的初稿,是 one column, double space 的格式。在 Word 2007 當中, double space 可以用後下的方法設定 常用→段落→行距→2倍行高

但有時, 2倍行高會讓初稿過於稀疏,在 Word 2007 當中可以用

版面配置→版面設定→文件格線→沒有格線

來讓文件看起來不會那麼稀疏,且不易超過規定的頁數。

(10) Conference papers 是 two columns, one space 的格式。有時 Journal papers 被接受後,也會要求改成 two columns, one space 的格式。

在Word 2007 當中, two columns 可以用

版面配置 $\rightarrow$ 欄 $\rightarrow$ 二(W)

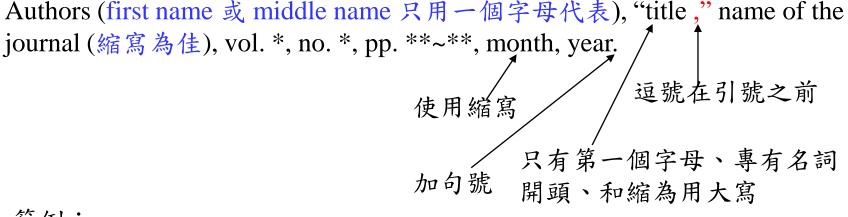
來設定

(11) References 的編號,通常是按照在文章中出現的順序來排序 或者也可按照第一作者的 last name 的英文字母順序排序

#### (12) Reference 的寫法

(以 IEEE Transactions on Signal Processing 為例)

(A) Journal papers and conference papers



範例:

S. Abe and J. T. Sheridan, "Optical operations on wave functions as the Abelian subgroups of the special affine Fourier transformation," *Opt. Lett.*, vol. 19, no. 22, pp. 1801-1803, 1994.

#### (B) Books

Authors (first name 或 middle name 只用一個字母代表), title (斜體,字開頭大寫,不加引號),第幾版(非必需),出版社,出版地,year.

#### 範例

H. M. Ozaktas, Z. Zalevsky, and M. A. Kutay, *The Fractional Fourier Transform with Applications in Optics and Signal Processing*, 1<sup>st</sup> Ed., John Wiley & Sons, New York, 2000.

#### (C) Websites

Authors, "title," available in http://網址.

#### 範例

張智星, "Utility toolbox," available in http://neural.cs.nthu.edu.tw/jang/matlab/toolbox/utility/.