

# *Face Recognition*

Prepared by: Group 07

Subject: Computer Vision  
Guided by: Dr. Mehul Raval  
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Ashna Jain (201501008)  
Dhruti Chandarana(201501015)  
Charmi Chokshi (201501021)  
Hena Ghonia (2015010132)  
Janvi Patel (201501072)  
Nishi Patel (201501076)

# Problem Statement

- Performing face recognition on our class dataset using three different approaches,
  - Euclidean distance based matching
  - Using SVM classifier
  - Using Convolution Neural Network

which is robust to affine transformation (scaling, rotation, translation and illumination changes).

# SIFT and HOG feature extraction

## SIFT Feature Extraction:

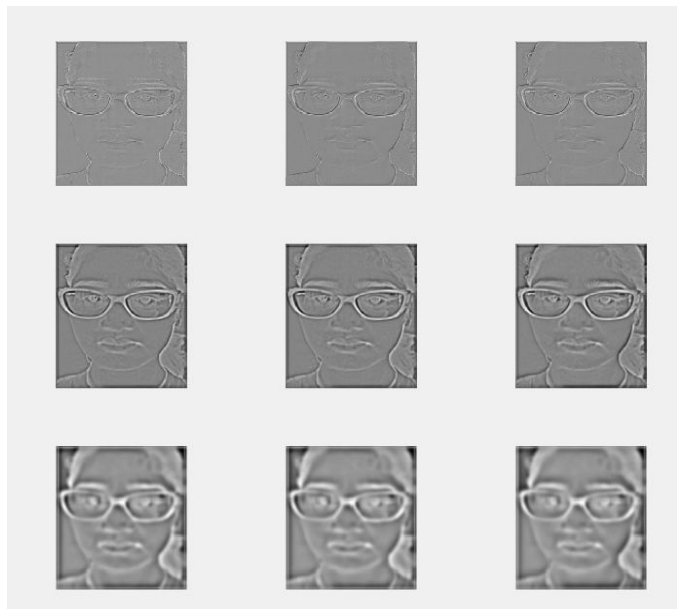
- Detecting points of interest: key points in the SIFT framework
- Scale space extrema
  - $D(x, y, \sigma) = L(x, y, k_i \sigma) - L(x, y, k_j \sigma)$ ,
- Key Points Localization: Taylor series expansion
  - $D(\mathbf{x}) = D + \frac{\partial D^T}{\partial \mathbf{x}} \mathbf{x} + \frac{1}{2} \mathbf{x}^T \frac{\partial^2 D}{\partial \mathbf{x}^2} \mathbf{x}$
- Hessian Matrix
  - $\mathbf{H} = \begin{bmatrix} D_{xx} & D_{xy} \\ D_{xy} & D_{yy} \end{bmatrix}$   $T_r(\mathbf{H}) = D_{xx} + D_{yy} = \lambda_1 + \lambda_2$ ;  $\text{Det}(\mathbf{H}) = D_{xx}D_{yy} - D_{xy}^2 = \lambda_1\lambda_2$
- Orientation Assignment

## HOG Feature Extraction:

- Preprocessing
- Calculating gradient images
- Calculating Histogram of Gradients
- Calculating HOG feature vector
- Block Normalization
- Multiclass svm

# Approach 1: Support Vector Machine

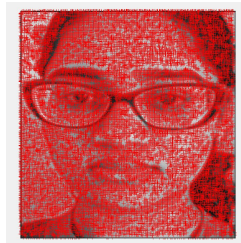
SVM classification using SIFT and HOG feature extraction



DOG Pyramid



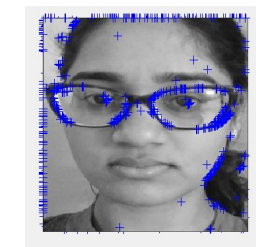
Original Image



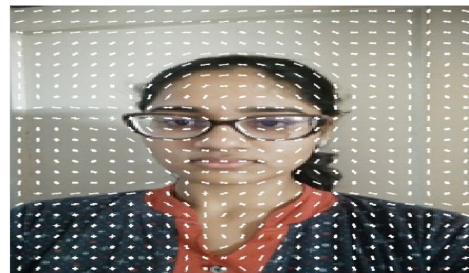
Scale-Space Extrema Detection



keypoint localization



Eliminating edge responses



HOG features



Input image

# Output

## Testing on validation set

```
Actual label
['10' '11' '11' '11' '12' '13' '14' '14' '14' '15' '15' '15' '19' '19'
 '20' '2' '2' '3' '4' '4' '4' '4' '5' '5' '6' '7' '7' '7' '9' '9' '9']
predicted label
['10' '11' '11' '11' '12' '13' '14' '14' '14' '15' '15' '15' '11' '7' '7'
 '2' '2' '3' '4' '4' '4' '4' '5' '5' '6' '7' '7' '7' '9' '9' '9']
Recall= 0.9032258064516129
Precision = 0.8403225806451613
Accuracy: 90.32258064516128
```

When test images are real time images as well as rotated images

```
Actual label
['11' '11' '11' '12' '13' '14' '14' '14' '15' '15' '15' '19' '19' '20'
 '22' '2' '2' '3' '3' '3' '4' '4' '7' '7']
predicted label
['7' '11' '11' '12' '13' '14' '14' '14' '15' '15' '15' '19' '7' '7' '7'
 '2' '2' '3' '7' '3' '4' '4' '11' '11']
Recall= 0.7083
Precision = 0.6749999999999999
Accuracy: 70.83
```

# Approach: 2 Euclidean Distance based Matching

- Given a test image firstly, we are finding out feature vector of it.
- Then calculating distance from each image in dataset using matrix norm.
- Candidate image will be the one with minimum distance.
- For a single image,

$$x_i = \sqrt{\sum_i \sum_j (M_{ij} - V_{:j})^2}$$

$M$  = Feature matrix of training dataset

$V$  = Feature vector of test image

# Results



feature Extraction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
14.9374	11.2662	11.2399	13.2612	13.0906	11.5796	12.2061	12.3659	13.7217	14.7484	14.7609	13.8995	14.5415	14.2989	0	12.1521

Distance values for an image from the dataset

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
15.0770	10.8829	11.1403	13.1976	12.9437	11.5931	12.0871	12.1550	13.2061	13.5234	14.6621	13.9745	14.4750	14.2514	14.0849	11.9746

Distance values for an image which is not from the dataset

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
15.0908	10.1955	10.4881	12.3749	12.1297	11.2895	11.8219	11.6852	12.7287	13.5656	14.4651	13.2522	14.8515	14.3105	13.9868	11.2655

Distance values for an rotated image (not from dataset)

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
15.0908	10.1955	10.4881	12.3749	12.1297	11.2895	11.8219	11.6852	13.5719	12.7287	13.5656	14.4651	13.2522	14.8515	14.3105	13.9868

Distance values for an rotated image (from dataset)

## Result Analysis:

- 100% accuracy for images from the dataset
- 66% accuracy for images which are not from the dataset.  
(total 21 images, 7 not from the dataset  
Total 10 subjects  
6- images normal  
1- rotated)

# Results

Input Image



Matched Image



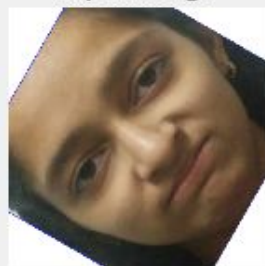
Input Image



Matched Image



Input Image



Matched Image



Input Image



Matched Image



Image from Dataset

Image outside of Dataset

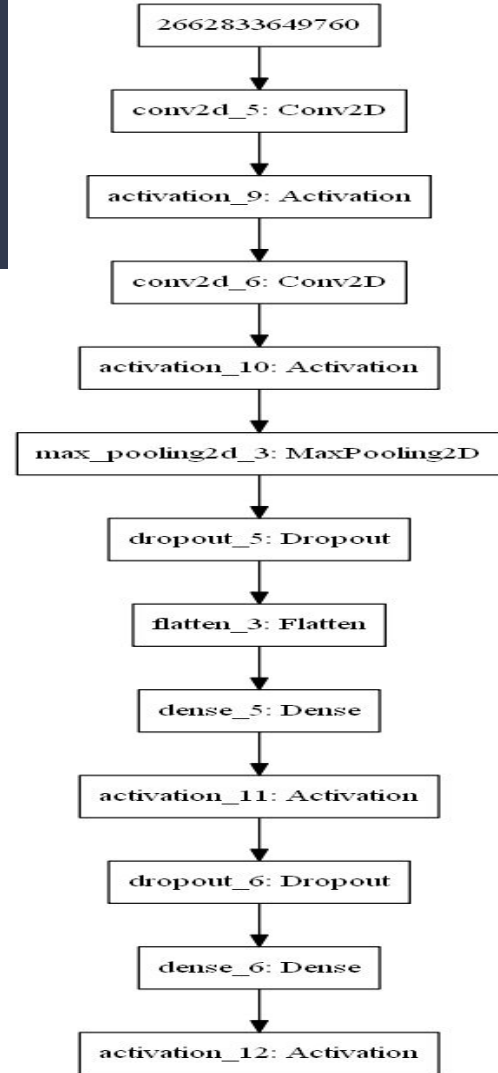
Rotated Image from Dataset

Rotated Image outside of Dataset



# Convolutional Neural Network

- Preprocessing:
  - Face detection using Haar cascade classifier.
  - Files were renamed. For example 1\_1.jpg, 1\_2.jpg... for individual.
- Convolutional layer-
  - Number of classes- 34 and 12 images of each individual
  - Kernel size for convolutional filter: 3x3.
  - Number of convolutional filters are taken as 32.
  - Batch size: 32



- Pooling Layer
  - Max Pooling with pooling size 2x2
  - Dropout regularization is implemented where 25% of feature are deleted.
  - Adaptive moment estimation was used.

Layer (type)	Output Shape	Param #
conv2d_3 (Conv2D)	(None, 248, 248, 32)	320
activation_5 (Activation)	(None, 248, 248, 32)	0
conv2d_4 (Conv2D)	(None, 246, 246, 32)	9248
activation_6 (Activation)	(None, 246, 246, 32)	0
max_pooling2d_2 (MaxPooling2D)	(None, 123, 123, 32)	0
dropout_3 (Dropout)	(None, 123, 123, 32)	0
flatten_2 (Flatten)	(None, 484128)	0
dense_3 (Dense)	(None, 128)	61968512
activation_7 (Activation)	(None, 128)	0
dropout_4 (Dropout)	(None, 128)	0
dense_4 (Dense)	(None, 34)	4515
activation_8 (Activation)	(None, 34)	0
Total params: 61,982,595		
Trainable params: 61,982,595		
Non-trainable params: 0		

# Convolutional neural network

Training data accuracy - 97.40%  
Accuracy on validation set-74%

```
Epoch 5/50  
346/346 [=====] - 113s 327ms/step - loss: 1.1626 - acc: 0.7081 - val_loss: 1.2652 - val_acc: 0.7692  
Epoch 6/50  
346/346 [=====] - 113s 325ms/step - loss: 0.6644 - acc: 0.8121 - val_loss: 0.9122 - val_acc: 0.8205  
Epoch 7/50  
346/346 [=====] - 107s 310ms/step - loss: 0.5312 - acc: 0.8584 - val_loss: 0.6726 - val_acc: 0.7949  
Epoch 8/50  
346/346 [=====] - 110s 318ms/step - loss: 0.3009 - acc: 0.9191 - val_loss: 0.7674 - val_acc: 0.8205  
Epoch 9/50  
346/346 [=====] - 109s 315ms/step - loss: 0.2324 - acc: 0.9538 - val_loss: 0.5596 - val_acc: 0.7949  
Epoch 10/50  
346/346 [=====] - 111s 321ms/step - loss: 0.1699 - acc: 0.9538 - val_loss: 0.7048 - val_acc: 0.7692  
Epoch 11/50  
346/346 [=====] - 109s 316ms/step - loss: 0.1375 - acc: 0.9711 - val_loss: 0.5446 - val_acc: 0.7949  
Epoch 12/50  
346/346 [=====] - 106s 306ms/step - loss: 0.0702 - acc: 0.9855 - val_loss: 0.5637 - val_acc: 0.8718  
Epoch 13/50  
346/346 [=====] - 111s 321ms/step - loss: 0.0850 - acc: 0.9798 - val_loss: 0.4796 - val_acc: 0.8718  
Epoch 14/50  
346/346 [=====] - 114s 329ms/step - loss: 0.0993 - acc: 0.9653 - val_loss: 0.6206 - val_acc: 0.7949  
Epoch 15/50  
346/346 [=====] - 123s 355ms/step - loss: 0.0865 - acc: 0.9740 - val_loss: 0.6999 - val_acc: 0.7436
```

```
-----] - 105s 305ms/step  
precision    recall  f1-score   support
```

On testing data:

→ avg / total

0.87

0.79

0.81

39

# Conclusions

- System designed using Euclidean distance based matching is much robust to the transformation of image (value matrix norm increases).
- SIFT and HOG give better results as compared to other algorithms, in situation where there is huge difference in the orientation of the face.
- Approach based on CNN outperforms other techniques if the data size is large. But with small data size, traditional Machine Learning algorithms are preferable.
- Model Training time: a Deep Learning algorithm based algorithm may takes weeks or months whereas, traditional Machine Learning algorithms take few seconds or hours
- Model Testing time: DL takes much lesser time as compare to ML

# References

- [1] David G. Lowe, "Distinctive Image Features from Scale-Invariant Keypoints": Computer Science Department, University of British Columbia, Vancouver, B.C., Canada, [lowe@cs.ubc.ca](mailto:lowe@cs.ubc.ca); January 5, 2004
- [2] Coşkun, Musab, et al. "Face recognition based on convolutional neural network." *Modern Electrical and Energy Systems (MEES), 2017 International Conference on*. IEEE, 2017.

Thank You