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(1%) 請說明這次使用的 model 架構，包含各層維度及連接方式。

Input 圖片  $1*48*48$

第一層卷積層：

Conv2d:

Input channel: 1, output channel:32, kernel\_size:  $5*5$ , padding:  $2*2$ , stride:1

Leakyrelu: 負的坡度設為 0.05

MaxPool2d:  $2*2$

第二層卷積層：

Conv2d:

Input channel: 32, output channel:64, kernel\_size:  $3*3$ , padding:  $1*1$ , stride:1

Leakyrelu: 負的坡度設為 0.05

MaxPool2d:  $2*2$

第三層卷積層：

Conv2d:

Input channel: 64, output channel:128, kernel\_size:  $3*3$ , padding:  $1*1$ , stride:1

Leakyrelu: 負的坡度設為 0.05

Batchnorm2d(做標準化): 128 channels out

MaxPool2d:  $2*2$

第四層卷積層：

Conv2d:

Input channel: 128, output channel:128, kernel\_size:  $3*3$ , padding:  $1*1$ , stride:1

Leakyrelu: 負的坡度設為 0.05

Batchnorm2d(做標準化): 128 channels out

MaxPool2d:  $2*2$

最後一層: (output)

Linear: input( $3*3*128$ ), output(256)

Relu

Batchnorm1d: 256 channels out

Linear: input(256), output(7)

(1%) 請附上 model 的 training/validation history (loss and accuracy)。

模型使用：

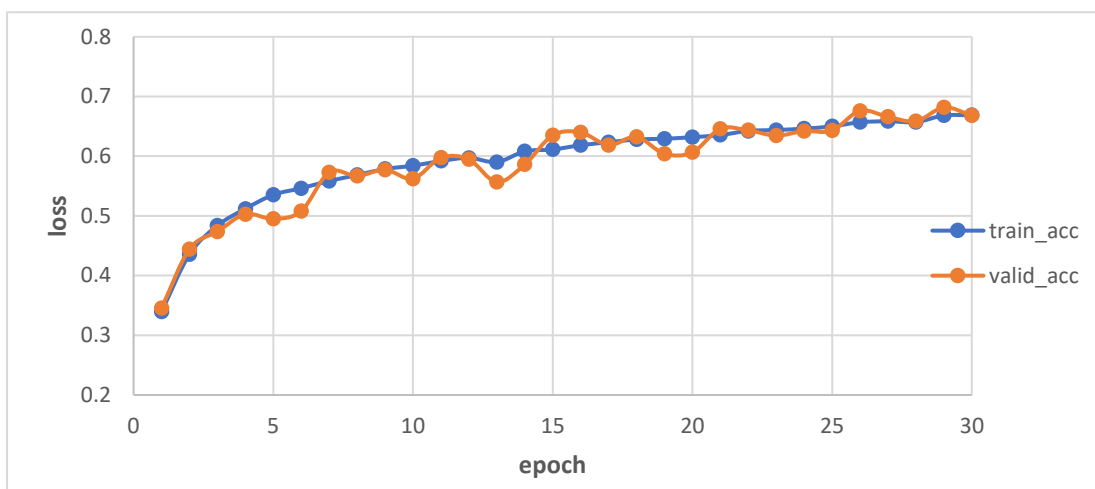
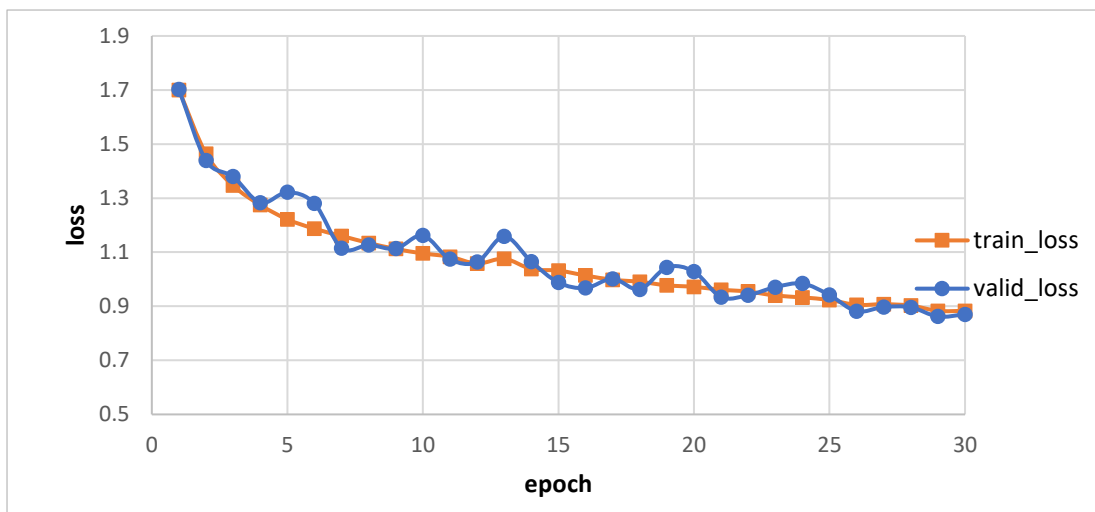
Train\_set: 前 25000 筆

Validation\_set: 剩下的

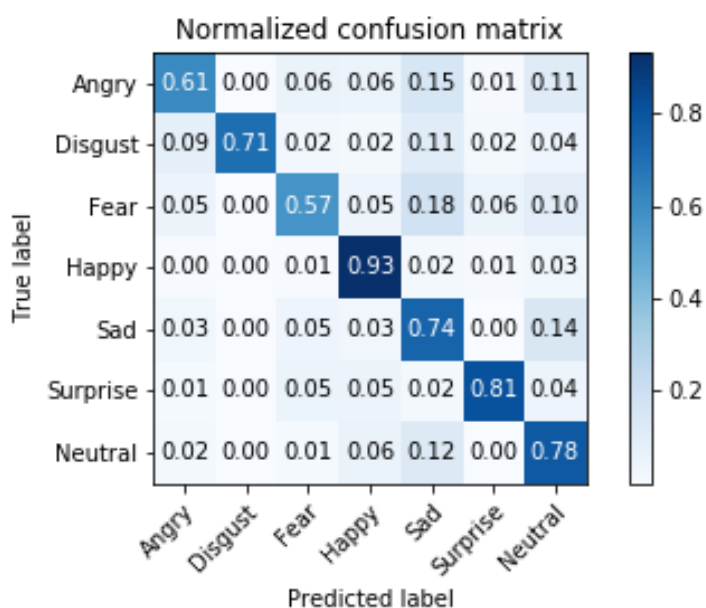
總 epoch: 30

Learning rate: 0.0001

Optimizer: Adam



(1%) 畫出 confusion matrix 分析哪些類別的圖片容易使 model 搞混，並簡單說明。  
(ref: [https://en.wikipedia.org/wiki/Confusion\\_matrix](https://en.wikipedia.org/wiki/Confusion_matrix))




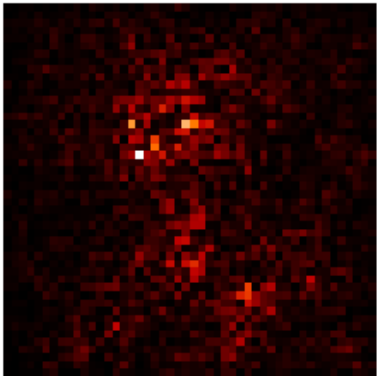

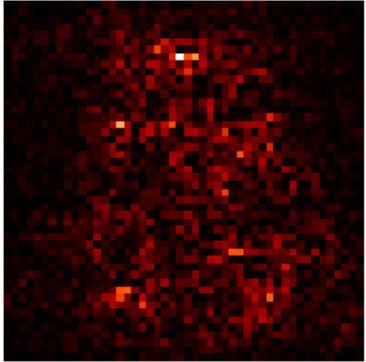

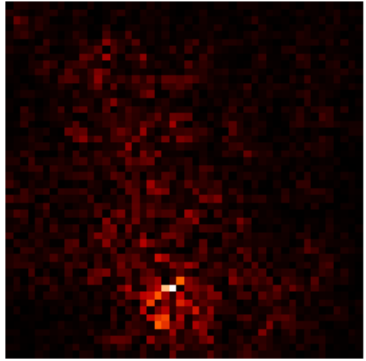

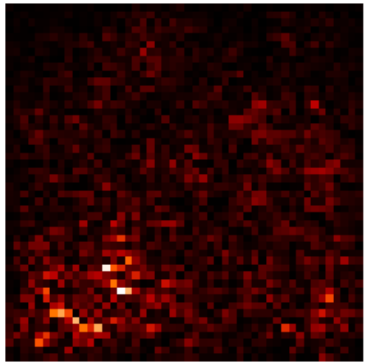
由 confusion\_matrix 可看出，負面情緒互相被誤認的機會較大，可能是因為負面情緒的表情都很接近，對人來說可能就很難辨識，所以機器也會有較高機率便是錯。另外可以發現被誤認成中立還有難過的機率也較高，可能是因為兩者的表情都不明顯，所以比較可能被誤認。


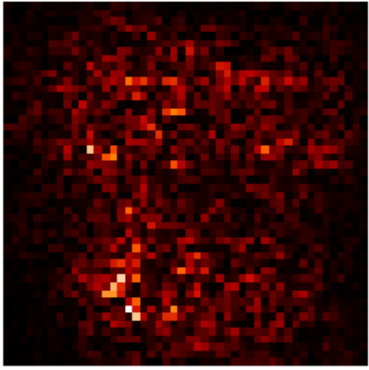

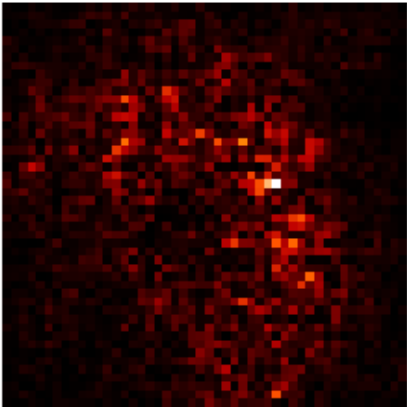

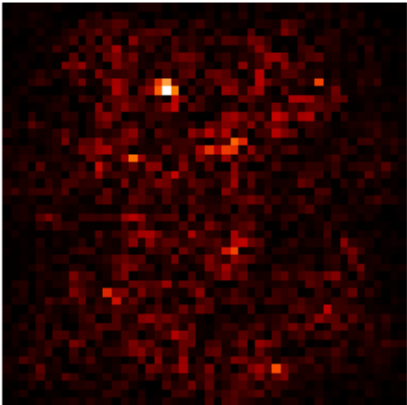
[關於第四及第五題]

可以使用簡單的 3-layer CNN model [64, 128, 512] 進行實作。

(1%) 畫出 CNN model 的 saliency map，並簡單討論其現象。

我挑出 7 個 class 的各一張，畫出其個別的 saliency map

生氣		
厭惡		
恐懼		
高興		

難過		
驚訝		
中立		

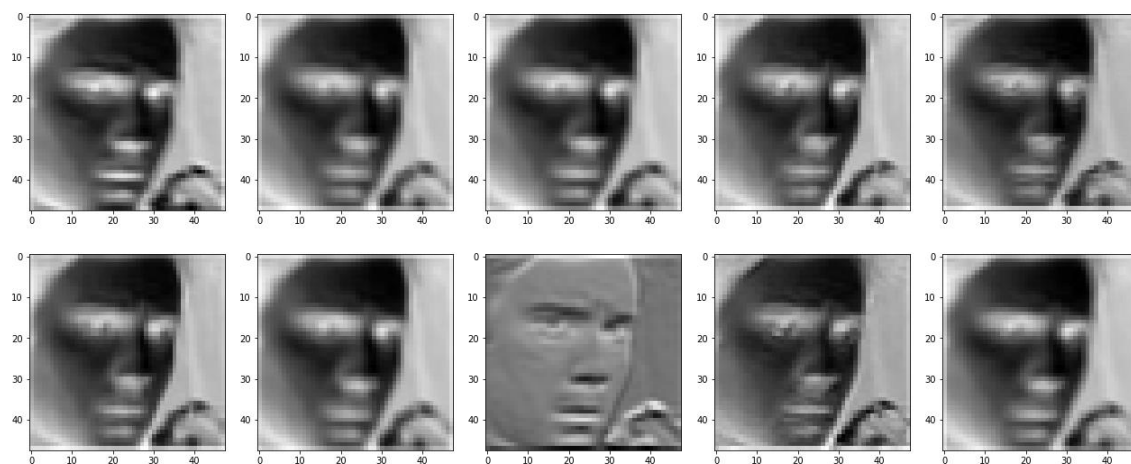
雖然不明顯，但兩相比對之後還是可以從 saliency map 發現，model 主要用來判別的部位(saliency map 中較亮的地方)是五官。

(1%) 畫出最後一層的 filters 最容易被哪些 feature activate。

原圖：



從第四層卷積層隨機挑出來的 10 個 conv2d Filter



可以看到 filter extract 主要是被眼睛鼻子嘴巴所 activate

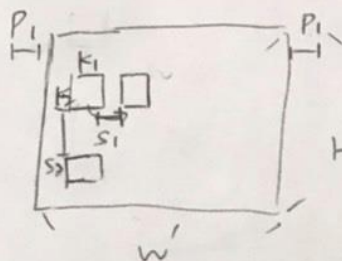
(3%)Refer to math problem

<https://hackmd.io/@ASZWRvp7SjOEdYLqF3JYdg/HJMbtPOdD>

HW 3.

## 1. Convolution.

$w =$



$$\beta' = \beta$$

$$w' = \left\lceil \frac{w + 2p_1 - k_1}{s_1} + 1 \right\rceil$$

$$H' = \left\lceil \frac{H + 2p_2 - k_2}{s_2} + 1 \right\rceil$$

$H'$  # of channels = output-channels

## 2. Batch Normalization.

To update  $\gamma$  &  $\beta$  from the optimization of loss,

we need to find gradients of loss, to optimize. (where  $\ell$  is a function of "y" only)

$$\frac{\partial \ell(y)}{\partial \hat{x}_i} = \frac{\partial \ell}{\partial y_i} \times \frac{\partial y_i}{\partial \hat{x}_i} = \frac{\partial \ell}{\partial y_i} \cdot \frac{\partial}{\partial \hat{x}_i} (\gamma \hat{x}_i + \beta) = \frac{\partial \ell}{\partial y_i} \cdot \gamma$$

$$\begin{aligned} \frac{\partial \ell}{\partial \sigma^2_B} &= \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \frac{\partial y_i}{\partial \hat{x}_i} \cdot \frac{\partial \hat{x}_i}{\partial \sigma^2_B} = \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \gamma \cdot \frac{\partial \hat{x}_i - \mu_B}{\sqrt{\sigma^2_B + \epsilon}} \\ &= \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \gamma (\hat{x}_i - \mu_B) \cdot \frac{-1}{2} (\sigma^2_B + \epsilon)^{-\frac{3}{2}} \end{aligned}$$

$$\frac{\partial \ell}{\partial \mu_B} = \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \frac{\partial y_i}{\partial \hat{x}_i} \cdot \frac{\partial \hat{x}_i}{\partial \mu_B} = \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \gamma \cdot \frac{\partial \hat{x}_i - \mu_B}{\sqrt{\sigma^2_B + \epsilon}}$$

$$= \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \gamma \cdot \frac{\partial \hat{x}_i}{\partial \mu_B} + \frac{\partial \ell}{\partial y_i} \cdot \gamma \cdot \frac{\partial \hat{x}_i}{\partial \sigma^2_B} \times \frac{\partial \sigma^2_B}{\partial \mu_B}$$

$$= \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \gamma \cdot \frac{-1}{\sqrt{\sigma^2_B + \epsilon}} + \frac{\partial \ell}{\partial \sigma^2_B} \cdot \sum_{i=1}^m \frac{-2(\hat{x}_i - \mu_B)}{m}$$

$$= \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \gamma \cdot \frac{-1}{\sqrt{\sigma^2_B + \epsilon}} + \sum_{i=1}^m \frac{\partial \ell}{\partial y_i} \cdot \gamma (\hat{x}_i - \mu_B) \cdot \frac{-1}{2} (\sigma^2_B + \epsilon)^{-\frac{3}{2}} \times \sum_{i=1}^m \frac{-2(\hat{x}_i - \mu_B)}{m}$$



## 2. 續

$$\begin{aligned}
 \frac{\partial \mathcal{L}}{\partial x_i} &= \frac{\partial \mathcal{L}}{\partial y_i} \cdot \frac{\partial y_i}{\partial \hat{y}_i} \cdot \frac{\partial \hat{y}_i(x_i, u_0, \sigma^2)}{\partial x_i} \\
 &= \frac{\partial \mathcal{L}}{\partial \hat{y}_i} \cdot \frac{\partial \hat{y}_i}{\partial x_i} + \frac{\partial \mathcal{L}}{\partial \hat{y}_i} \cdot \frac{\partial \hat{y}_i}{\partial u_0} \cdot \frac{\partial u_0}{\partial x_i} + \frac{\partial \mathcal{L}}{\partial \hat{y}_i} \cdot \frac{\partial \hat{y}_i}{\partial \sigma^2} \cdot \frac{\partial \sigma^2}{\partial x_i} \\
 &= \frac{\partial \mathcal{L}}{\partial \hat{y}_i} \cdot \frac{1}{\sqrt{\sigma^2 + \epsilon}} + \frac{\partial \mathcal{L}}{\partial u_0} \cdot \frac{1}{m} + \frac{\partial \mathcal{L}}{\partial \sigma^2} \cdot \frac{2(x_i - u_0)}{m} \\
 &= \frac{\partial \mathcal{L}}{\partial y_i} \cdot \frac{1}{\sqrt{\sigma^2 + \epsilon}} + \frac{\partial \mathcal{L}}{\partial u_0} \cdot \frac{1}{m} + \frac{\partial \mathcal{L}}{\partial \sigma^2} \cdot \frac{2(x_i - u_0)}{m}
 \end{aligned}$$

$\nwarrow$  代入前值求的  $\nwarrow$  代入前值求的

$$\frac{\partial \mathcal{L}}{\partial \theta} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \frac{\partial y_i}{\partial \theta} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \frac{\partial \hat{y}_i}{\partial \theta}$$

$\nwarrow$  代入前值求的

$$\frac{\partial \mathcal{L}}{\partial \theta} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \frac{\partial y_i}{\partial \theta} = \sum_{i=1}^m \frac{\partial \mathcal{L}}{\partial y_i} \frac{\partial \hat{y}_i}{\partial \theta} \leftarrow \text{代入前值求}$$

以上可求得  $\frac{\partial \mathcal{L}}{\partial \theta}$  值 (由其他  $\frac{\partial \mathcal{L}}{\partial x_i}, \frac{\partial \mathcal{L}}{\partial \sigma^2}, \dots$  運算得到)

進而優化 loss function

## 3. Softmax and Cross Entropy

$$\frac{\partial \mathcal{L}}{\partial z_t} = \frac{\partial \mathcal{L}}{\partial y_t} \frac{\partial y_t}{\partial z_t} + \frac{\partial \mathcal{L}}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial z_t}$$

note: cross entropy  $\mathcal{L}(y, \hat{y}) = -\sum_i y_i \log \hat{y}_i$

則  $\mathcal{L}_t(y_t, \hat{y}_t) = -y_t \log \hat{y}_t$  若  $y_t = 1$  的 cross entropy loss

否則  $\mathcal{L}_t(y_t, \hat{y}_t)$  意義  $-(1-y_t) \log(1-\hat{y}_t)$

$$\begin{aligned}
 \frac{\partial \mathcal{L}}{\partial z_t} &= \frac{\partial \mathcal{L}}{\partial y_t} \frac{\partial y_t}{\partial z_t} \quad (\because y_t \text{ 為定值 } 1) \\
 &= \frac{\partial}{\partial y_t} (-y_t \log \hat{y}_t) \cdot \frac{\partial}{\partial z_t} \left( \frac{e^{z_t}}{\sum e^{z_i}} \right) \\
 &= \frac{-y_t}{\hat{y}_t} \cdot \frac{\frac{\partial e^{z_t}}{\partial z_t} \cdot \sum e^{z_i} - e^{z_t} \cdot \frac{\partial \sum e^{z_i}}{\partial z_t}}{(\sum e^{z_i})^2} \\
 &= \frac{-y_t}{\hat{y}_t} \cdot \frac{e^{z_t} (\sum e^{z_i} - e^{z_t})}{(\sum e^{z_i})^2} \\
 &= \frac{-y_t}{\hat{y}_t} \cdot \frac{e^{z_t}}{\sum e^{z_i}} \left( 1 - \frac{e^{z_t}}{\sum e^{z_i}} \right) \\
 &= \frac{-y_t}{\hat{y}_t} (\hat{y}_t - \hat{y}_t^2) \\
 &= -y_t + y_t \hat{y}_t \\
 &= \hat{y}_t - y_t \quad (\because y_t = 1)
 \end{aligned}$$

