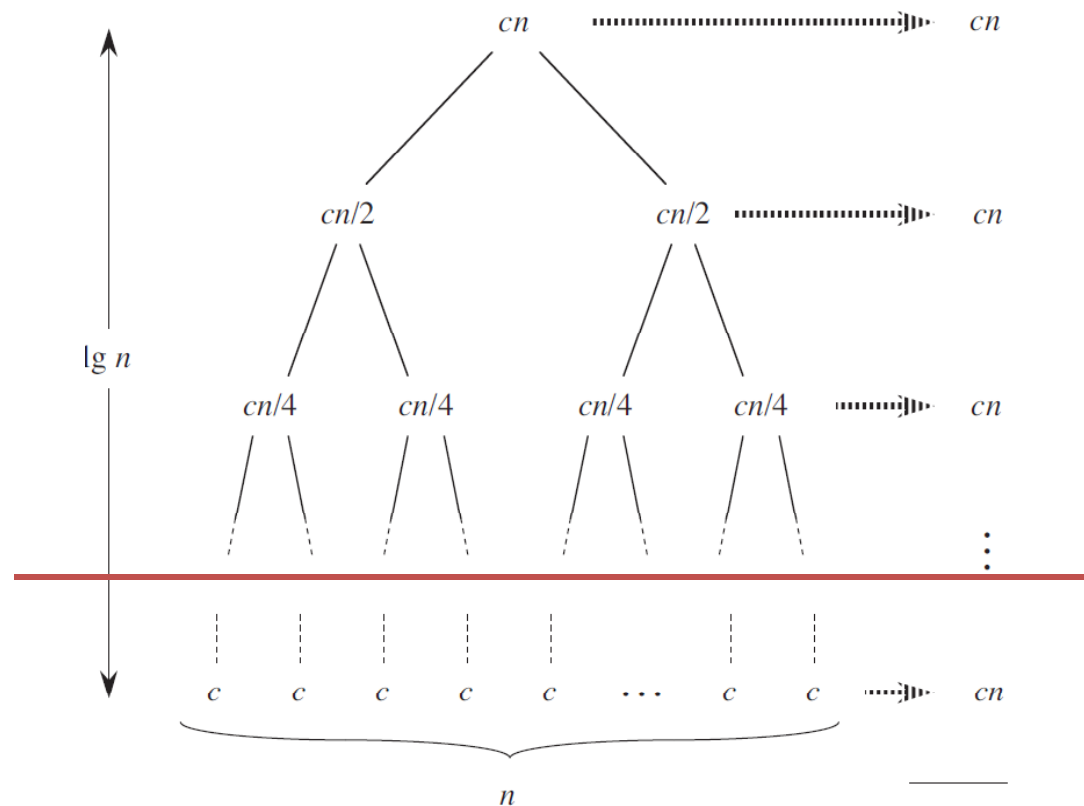


- 课堂高清录播: csvc.nju.edu.cn

- 书面作业讲解
 - TC第2章问题1、2、3、4
 - TC第3章问题2、3、4

TC第2章问题1

- 这个算法的基本思路是什么？
- How should we choose k in practice?



TC第2章问题2

- a: 对排序算法而言, partially correct的含义是什么?
 - $A'[1] \leq A'[2] \leq \dots \leq A'[n]$
 - A' 是 A 的一个permutation
- b: 内层循环的loop invariant是什么?
 - $A[j] = \min_{j \leq x \leq n} A[x]$
 - $A[j] \dots A[n]$ 是原 $A[j] \dots A[n]$ 的一个permutation
 - 不改变 $A[1] \dots A[i-1]$
- c: 外层循环的loop invariant是什么?
 - $A[1] \dots A[i-1]$ 是输入 $A[1] \dots A[n]$ 的最小元素
 - $A[1] \leq A[2] \leq \dots \leq A[i-1]$
 - $A[1] \dots A[n]$ 是输入 $A[1] \dots A[n]$ 的一个permutation

TC第2章问题3

1. $y=0$
2. for $i=n$ downto 0
3. $y=a_i+xy$

- c
 - 开始时, $i=?$
 - 结束时, $i=?$
- d
 - Totally correct = partially correct + termination

TC第2章 问题4c

INSERTION-SORT(A)

```
1  for  $j = 2$  to  $A.length$ 
2       $key = A[j]$ 
3      // Insert  $A[j]$  into the sorted sequence  $A[1..j-1]$ .
4       $i = j - 1$ 
5      while  $i > 0$  and  $A[i] > key$ 
6           $A[i+1] = A[i]$ 
7           $i = i - 1$ 
8       $A[i+1] = key$ 
```

- 算法运行时间
 - $\Omega(n)$
 - $O(n + \text{逆序数})$

TC第2章 问题4d

- $\text{CNT}(A, p, r) = \text{CNT}(A, p, q) + \text{CNT}(A, q+1, r) + \text{CNT}'(A, p, q, r)$
 - $\text{CNT}(A, p, r)$: $A[p..r]$ 内的逆序对数
 - $\text{CNT}'(A, p, q, r)$: 跨越 $A[p..q]$ 和 $A[q+1..r]$ 的逆序对数
- ```
10 $i = 1$
11 $j = 1$
12 for $k = p$ to r
13 if $L[i] \leq R[j]$
14 $A[k] = L[i]$
15 $i = i + 1$
16 else $A[k] = R[j]$
17 $j = j + 1$
```

  - else时, 发现 $n_1 - (i-1)$ 个逆序对

# TC第3章问题2

|           | $A$         | $B$          | $O$ | $o$ | $\Omega$ | $\omega$ | $\Theta$ |
|-----------|-------------|--------------|-----|-----|----------|----------|----------|
| <i>a.</i> | $\lg^k n$   | $n^\epsilon$ | Yes | Yes |          |          |          |
| <i>b.</i> | $n^k$       | $c^n$        | Yes | Yes |          |          |          |
| <i>c.</i> | $\sqrt{n}$  | $n^{\sin n}$ |     |     |          |          |          |
| <i>d.</i> | $2^n$       | $2^{n/2}$    |     |     | Yes      | Yes      |          |
| <i>e.</i> | $n^{\lg c}$ | $c^{\lg n}$  | Yes |     | Yes      |          | Yes      |
| <i>f.</i> | $\lg(n!)$   | $\lg(n^n)$   | Yes |     | Yes      |          | Yes      |



# TC第3章 问题3a

|                   |                      |                |
|-------------------|----------------------|----------------|
| $2^{2^n+1}$       | $n \lg n$            | $\lg(n!)$      |
| $2^{2^n}$         | $n$                  | $2^{\lg n}$    |
| $(n+1)!$          | $(\sqrt{2})^{\lg n}$ |                |
| $n!$              | $2^{\sqrt{2 \lg n}}$ |                |
| $e^n$             | $\lg^2 n$            |                |
| $n \cdot 2^n$     | $\ln n$              |                |
| $2^n$             | $\sqrt{\lg n}$       |                |
| $(\frac{3}{2})^n$ | $\ln \ln n$          |                |
| $(\lg n)^{\lg n}$ | $2^{\lg^* n}$        |                |
| $n^{\lg \lg n}$   | $\lg^* n$            | $\lg^*(\lg n)$ |
| $(\lg n)!$        | $\lg(\lg^* n)$       |                |
| $n^3$             | $n^{1/\lg n}$        | $1$            |
| $n^2$             | $4^{\lg n}$          |                |

# TC第3章 问题3b

- $n^{\sin n}$ 是否满足要求?
- $2^{2^{n+2}} \sin n$ 是否满足要求?

# TC第3章问题4

- a.  $f(n) = O(g(n))$  implies  $g(n) = O(f(n))$ .  $f(n)=n, g(n)=n^2$
- b.  $f(n) + g(n) = \Theta(\min(f(n), g(n)))$ .  $f(n)=n, g(n)=n^2$
- c.  $f(n) = O(g(n))$  implies  $\lg(f(n)) = O(\lg(g(n)))$ , where  $\lg(g(n)) \geq 1$  and  $f(n) \geq 1$  for all sufficiently large  $n$ .
- d.  $f(n) = O(g(n))$  implies  $2^{f(n)} = O(2^{g(n)})$ .  $f(n)=2^{n+1}, g(n)=2^n$
- e.  $f(n) = O((f(n))^2)$ .  $f(n)=n^{-1}$
- f.  $f(n) = O(g(n))$  implies  $g(n) = \Omega(f(n))$ .
- g.  $f(n) = \Theta(f(n/2))$ .  $f(n)=2^n$
- h.  $f(n) + o(f(n)) = \Theta(f(n))$ .

- 教材答疑和讨论  
— TC第4章

# 问题1: maximum-subarray problem

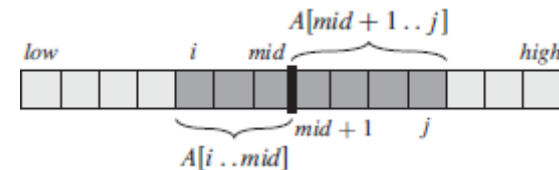
```
FIND-MAXIMUM-SUBARRAY(A, low, high)
1 if high == low
2 return (low, high, A[low]) // base case: only one element
3 else mid = $\lfloor (\textit{low} + \textit{high}) / 2 \rfloor$
4 (left-low, left-high, left-sum) =
 FIND-MAXIMUM-SUBARRAY(A, low, mid)
5 (right-low, right-high, right-sum) =
 FIND-MAXIMUM-SUBARRAY(A, mid + 1, high)
6 (cross-low, cross-high, cross-sum) =
 FIND-MAX-CROSSING-SUBARRAY(A, low, mid, high)
7 if left-sum \geq right-sum and left-sum \geq cross-sum
8 return (left-low, left-high, left-sum)
9 elseif right-sum \geq left-sum and right-sum \geq cross-sum
10 return (right-low, right-high, right-sum)
11 else return (cross-low, cross-high, cross-sum)
```

- divide、conquer和combine在这个算法中分别如何体现？

# 问题1: maximum-subarray problem (续)

FIND-MAX-CROSSING-SUBARRAY ( $A, low, mid, high$ )

```
1 left-sum = $-\infty$
2 sum = 0
3 for $i = mid$ downto low
4 sum = sum + $A[i]$
5 if sum > left-sum
6 left-sum = sum
7 max-left = i
8 right-sum = $-\infty$
9 sum = 0
10 for $j = mid + 1$ to $high$
11 sum = sum + $A[j]$
12 if sum > right-sum
13 right-sum = sum
14 max-right = j
15 return (max-left, max-right, left-sum + right-sum)
```



- max-crossing-subarray是如何找到的？
- 如果采用brute-force，又是如何找到max-crossing-subarray的？
- 因此，为什么divide-and-conquer比brute-force快？

# 问题1: maximum-subarray problem (续)

FIND-MAXIMUM-SUBARRAY (*A*, *low*, *high*)

```
1 if high == low
2 return (low, high, A[low]) // base case: only one element
3 else mid = ⌊(low + high)/2⌋
4 (left-low, left-high, left-sum) =
 FIND-MAXIMUM-SUBARRAY (A, low, mid)
5 (right-low, right-high, right-sum) =
 FIND-MAXIMUM-SUBARRAY (A, mid + 1, high)
6 (cross-low, cross-high, cross-sum) =
 FIND-MAX-CROSSING-SUBARRAY (A, low, mid, high)
7 if left-sum ≥ right-sum and left-sum ≥ cross-sum
8 return (left-low, left-high, left-sum)
9 elseif right-sum ≥ left-sum and right-sum ≥ cross-sum
10 return (right-low, right-high, right-sum)
11 else return (cross-low, cross-high, cross-sum)
```

FIND-MAX-CROSSING-SUBARRAY (*A*, *low*, *mid*, *high*)

```
1 left-sum = -∞
2 sum = 0
3 for i = mid downto low
4 sum = sum + A[i]
5 if sum > left-sum
6 left-sum = sum
7 max-left = i
8 right-sum = -∞
9 sum = 0
10 for j = mid + 1 to high
11 sum = sum + A[j]
12 if sum > right-sum
13 right-sum = sum
14 max-right = j
15 return (max-left, max-right, left-sum + right-sum)
```

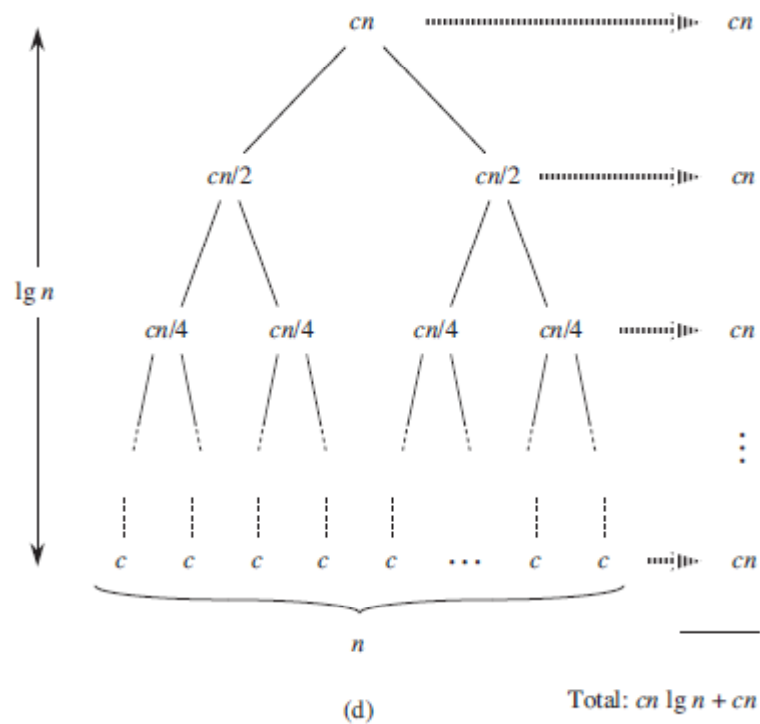
- 递归的运行时间 $T(n)$ 是多少?

$$\begin{aligned} T(n) &= \Theta(1) + 2T(n/2) + \Theta(n) + \Theta(1) \\ &= 2T(n/2) + \Theta(n). \end{aligned}$$

# 问题1: maximum-subarray problem (续)

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 2T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$

- 如何利用recursion tree猜测T(n)?





# 问题1: maximum-subarray problem (续)

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 2T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$

- 如何利用substitution证明?

- 目标:  $\exists c > 0, T(n) \leq cn \lg n$

- 初始:

- $T(1) = \Theta(1) \leq c1 \lg 1$
    - $T(2) = 2\Theta(1) + \Theta(2) \leq c2 \lg 2$
    - $T(3) = 2\Theta(1) + \Theta(3) \leq c3 \lg 3$

- 递推:

- 假设:  $T\left(\frac{n}{2}\right) \leq c \frac{n}{2} \lg \frac{n}{2}$
    - 推导:  $T(n) \leq 2c \frac{n}{2} \lg \frac{n}{2} + \Theta(n) = cn \lg \frac{n}{2} + \Theta(n) = cn \lg n - cn \lg 2 + \Theta(n)$   
 $\leq cn \lg n - cn + dn = cn \lg n - (c - d)n \leq cn \lg n$

# 问题1: maximum-subarray problem (续)

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 2T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$

- 如何利用master theorem证明?

Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n),$$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then  $T(n)$  has the following asymptotic bounds:

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ . ■

## 问题2: substitution

- $T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1$
- $T(n) \leq cn$  ?
- $$\begin{aligned} T(n) &\leq c \lfloor n/2 \rfloor + c \lceil n/2 \rceil + 1 \\ &= cn + 1, \end{aligned}$$
- $T(n) \leq cn - d$  ?
- $$\begin{aligned} T(n) &\leq (c \lfloor n/2 \rfloor - d) + (c \lceil n/2 \rceil - d) + 1 \\ &= cn - 2d + 1 \\ &\leq cn - d, \end{aligned}$$
- 书上这个例子希望教会我们什么？

## 问题2: substitution (续)

- $T(n) = 2T(\lfloor n/2 \rfloor) + n$
- $T(n) \leq cn$  ?
- $$\begin{aligned} T(n) &\leq 2(c \lfloor n/2 \rfloor) + n \\ &\leq cn + n \\ &= O(n), \quad \Leftarrow \text{wrong!!} \end{aligned}$$
- 这个证明错在什么地方?

## 问题2: substitution (续)

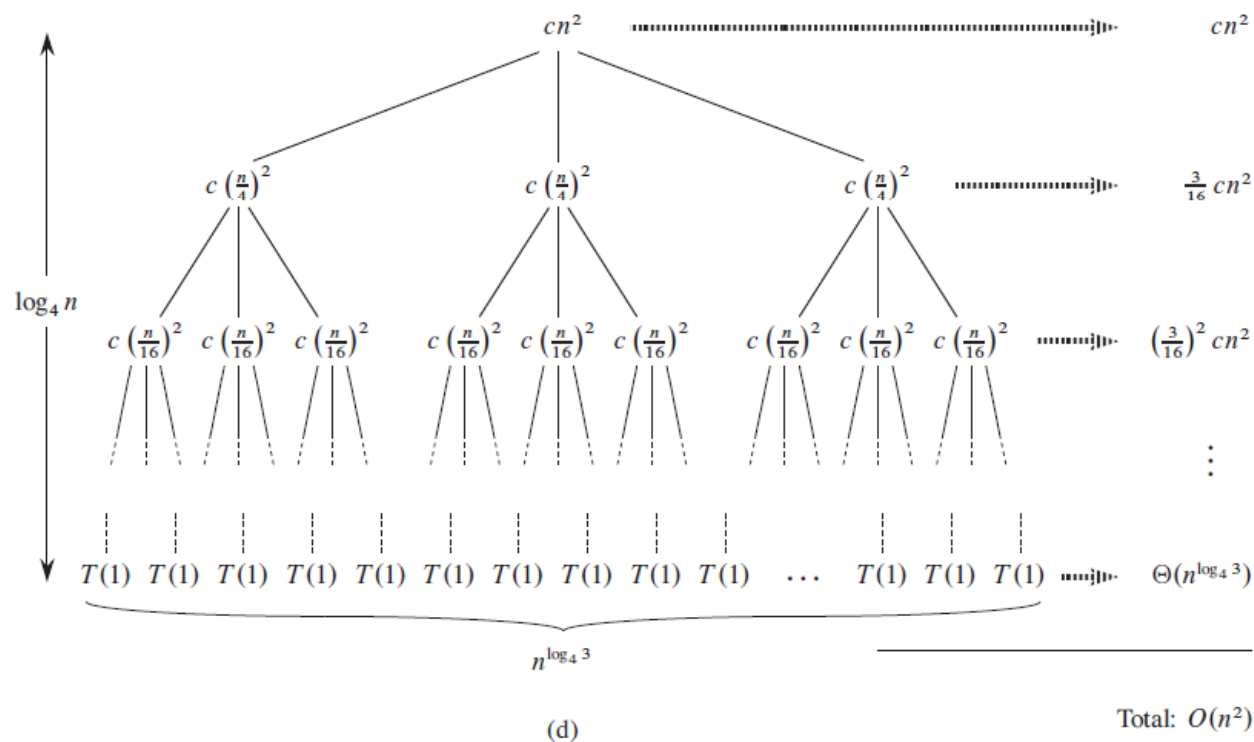
- $T(n) = 2T(\lfloor \sqrt{n} \rfloor) + \lg n$
- $m = \lg n \quad \Rightarrow \quad T(2^m) = 2T(2^{m/2}) + m$
- $S(m) = T(2^m) \quad \Rightarrow \quad S(m) = 2S(m/2) + m$ 
  - $\Rightarrow \quad S(m) = O(m \lg m)$
  - $\Rightarrow \quad T(n) = T(2^m) = S(m) = O(m \lg m) = O(\lg n \lg \lg n)$
- 书上这个例子希望教会我们什么？

# 问题3: recursion tree

- recursion tree在算法分析中的主要作用是什么？
  - 猜测递归算法的运行时间
  - 直接证明递归算法的运行时间

## 问题3: recursion tree (续)

- 如何利用recursion tree猜测  $T(n) = 3T(n/4) + cn^2$ ?



# 问题3: recursion tree (续)

- 如何利用recursion tree猜测  $T(n) = T(n/3) + T(2n/3) + cn$ ?

