

- 作业讲解
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 - TC第13.2节练习2
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 - TC第13.4节练习1、2、7

TC第12.1节练习2

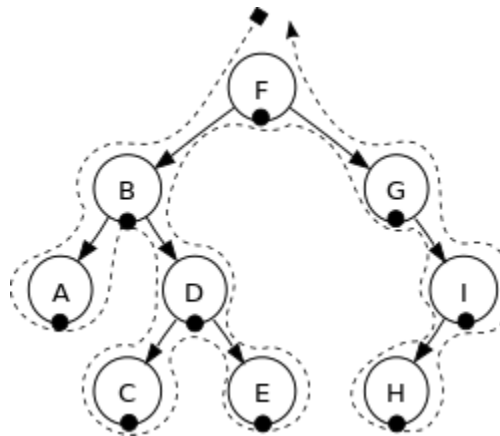
- BST的性质
 - \geq 左子节点, \leq 右子节点, 这样对吗?
 - \geq 左子树中的节点, \leq 右子树中的节点

TC第12.1节练习5

- Any comparison-based algorithm for constructing a binary search tree from an arbitrary list of n elements takes $\Omega(n \lg n)$ time in the worst case.
 - 反证法：假设只需 $o(n \lg n)$ ，则 comparison-based sorting 只需 $o(n \lg n)$ 。

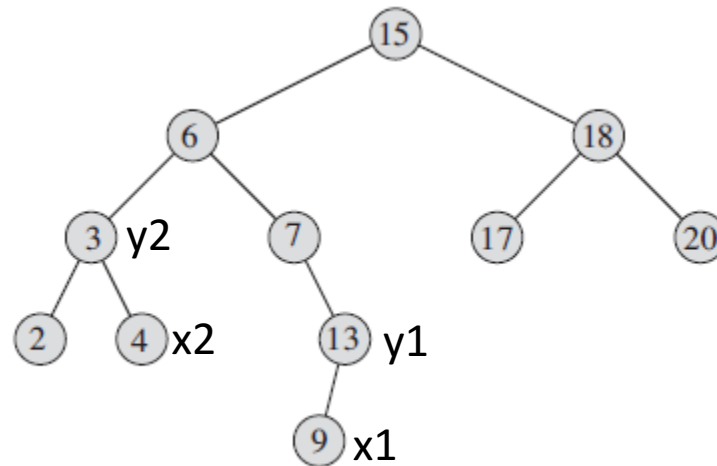
TC第12.2节练习8

- k successive calls to TREE-SUCCESSOR take $O(k+h)$ time.
 - 其实是在做中序遍历
 - 运行时间即经过顶点的总次数，分两种情况
 - 向上到达
 - 在首顶点向上走到根的路径上 ($\leq h$)
 - 其它每次向上到达必然伴随着一次向下到达，不影响渐进时间
 - 向下到达
 - k 个后继 ($=k$)
 - 其它都是花费在那些key更大的顶点上，只存在于末顶点到根的路径上 ($\leq h$)



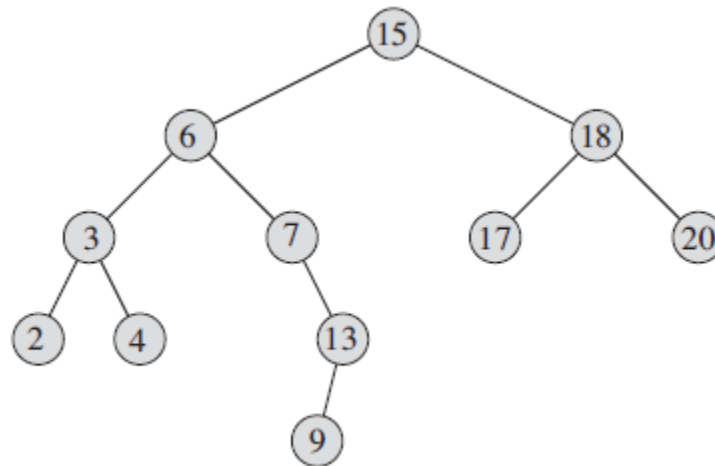
TC第12.2节练习9

- 为什么y1一定是x1的后继？
- 为什么y2一定是x2的前驱？
- 注意：讨论的范围不能限于以y为根的子树。



TC第12.3节练习5

- Instead of x.p, keeps x.succ.
 - 实现getParent函数
 - 注意维护受影响顶点的succ

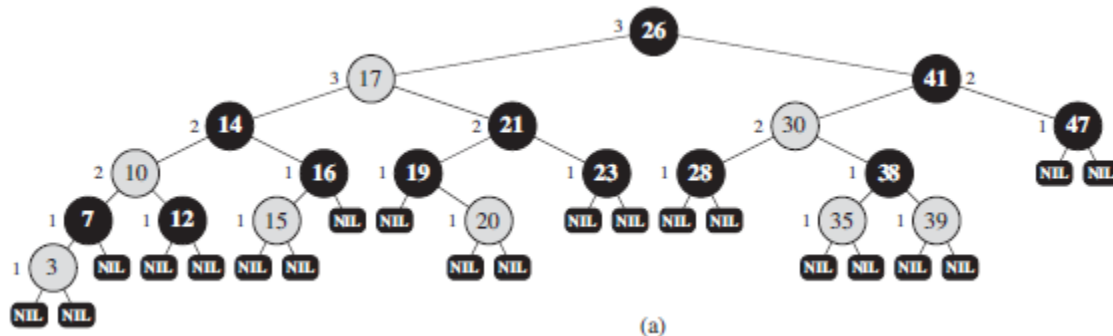


TC第12章问题1

- (a) insert n items with identical keys.
 - n^2
- (b) alternates between $x.\text{left}$ and $x.\text{right}$.
 - $n \lg n$
- (c) list
 - n
- (d) randomly between $x.\text{left}$ and $x.\text{right}$.
 - Worst-case: n^2
 - Expected: $n \lg n$

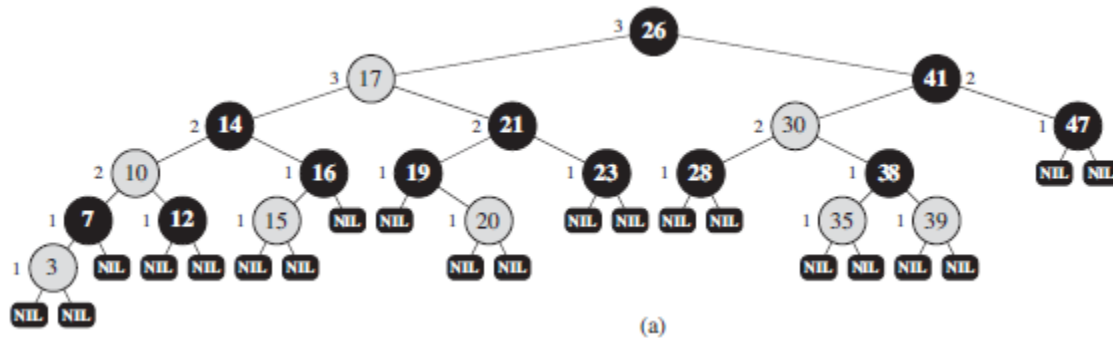
TC第13.1节练习6

- Number of internal nodes with black-height k ?
 - Largest: $2^{2k}-1$, 不是 $2^{2k+2}-1$ (P309: from, but not including, a node...)
 - Smallest: 2^k-1 , 不是 k (P308: We shall regard these NILs as...)



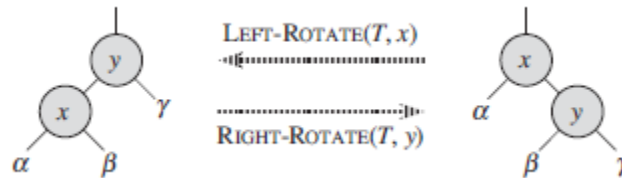
TC第13.1节练习7

- Ratio of red internal nodes to black internal nodes.
 - Largest: 2
 - Smallest: 0



TC第13.2节练习2

- Exactly $n-1$ possible rotations.
 - 每个rotation都将一个顶点提到了其父顶点的位置
 - 每个非根顶点对应一种被提的rotation，总共 $n-1$ 种



- 教材讨论
 - TC第16章第1、2、3节
 - TC第17章

问题1: greedy algorithms

- 你怎么理解greedy algorithms的两个重要性质？
 - greedy-choice property
 - optimal substructure
- 你能不能结合activity-selection problem解释为什么这两个性质缺一不可？
- 为什么greedy algorithms比dynamic programming快？

i	1	2	3	4	5	6	7	8	9	10	11
s_i	1	3	0	5	3	5	6	8	8	2	12
f_i	4	5	6	7	9	9	10	11	12	14	16

问题1: greedy algorithms

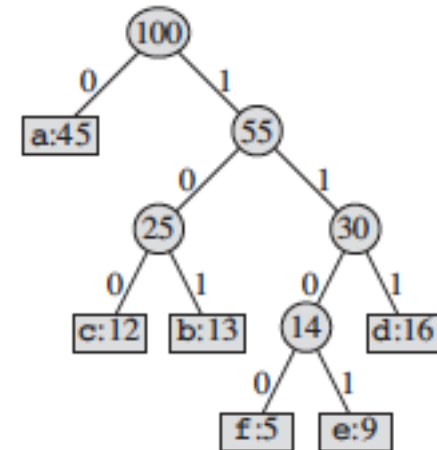
- 你怎么理解greedy algorithms的两个重要性质？
 - greedy-choice property
 - optimal substructure
- 你能不能结合activity-selection problem解释为什么这两个性质缺一不可？
- 为什么greedy algorithms比dynamic programming快？
 - making the first choice before solving any subproblems
 - making one greedy choice after another
reducing each given problem instance to a smaller one

i	1	2	3	4	5	6	7	8	9	10	11
s_i	1	3	0	5	3	5	6	8	8	2	12
f_i	4	5	6	7	9	9	10	11	12	14	16

问题1: greedy algorithms (续)

- 关于Huffman codes的greedy algorithm
 - greedy choice是什么?
 - greedy-choice property是什么?
 - optimal substructure是什么?

	a	b	c	d	e	f
Frequency (in thousands)	45	13	12	16	9	5
Fixed-length codeword	000	001	010	011	100	101
Variable-length codeword	0	101	100	111	1101	1100



问题1: greedy algorithms (续)

Describe an efficient algorithm that, given a set $\{x_1, x_2, \dots, x_n\}$ of points on the real line, determines the smallest set of unit-length closed intervals that contains all of the given points. Argue that your algorithm is correct.

问题1: greedy algorithms (续)

Describe an efficient algorithm that, given a set $\{x_1, x_2, \dots, x_n\}$ of points on the real line, determines the smallest set of unit-length closed intervals that contains all of the given points. Argue that your algorithm is correct.

Sol: First we sort the set of n points $\{x_1, x_2, \dots, x_n\}$ to get the set $Y = \{y_1, y_2, \dots, y_n\}$ such that $y_1 \leq y_2 \leq \dots \leq y_n$. Next, we do a linear scan on $\{y_1, y_2, \dots, y_n\}$ started from y_1 . Everytime while encountering y_i , for some $i \in \{1, \dots, n\}$, we put the closed interval $[y_i, y_i + 1]$ in our optimal solution set S , and remove all the points in Y covered by $[y_i, y_i + 1]$. Repeat the above procedure, finally output S while Y becomes empty. We next show that S is an optimal solution.

We claim that there is an optimal solution which contains the unit-length interval $[y_1, y_1 + 1]$. Suppose that there exists an optimal solution S^* such that y_1 is covered by $[x', x' + 1] \in S^*$ where $x' < 1$. Since y_1 is the leftmost element of the given set, there is no other point lying in $[x', y_1)$. Therefore, if we replace $[x', x' + 1]$ in S^* by $[y_1, y_1 + 1]$, we will get another optimal solution. This proves the claim and thus explains the greedy choice property. Therefore, by solving the remaining subproblem after removing all the points lying in $[y_1, y_1 + 1]$, that is, to find an optimal set of intervals, denoted as S' , which cover the points to the right of $y_1 + 1$, we will get an optimal solution to the original problem by taking union of $[y_1, y_1 + 1]$ and S' .

问题1: greedy algorithms (续)

- 建议阅读打星号的16.4节

问题2: amortized analysis

- amortized analysis和average-case analysis有什么异同?

问题2: amortized analysis

- amortized analysis和average-case analysis有什么异同?
 - per operation vs. per algorithm
 - worst-case vs. average-case

问题2: amortized analysis (续)

- 这些问题的分析难在哪儿?
amortized analysis能带来什么好处?

– stack operations

`PUSH(S, x)` pushes object x onto stack S .

`POP(S)` pops the top of stack S and returns the popped object. Calling `POP` on an empty stack generates an error.

`MULTIPOP(S, k)`

```
1 while not STACK-EMPTY( $S$ ) and  $k > 0$ 
2   POP( $S$ )
3    $k = k - 1$ 
```

– incrementing a binary counter

Counter value	A[7]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	A[0]	Total cost
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	1	1
2	0	0	0	0	0	0	1	0	3
3	0	0	0	0	0	0	1	1	4
4	0	0	0	0	0	1	0	0	7
5	0	0	0	0	0	1	0	1	8
6	0	0	0	0	0	1	1	0	10
7	0	0	0	0	0	1	1	1	11
8	0	0	0	0	1	0	0	0	15
9	0	0	0	0	1	0	0	1	16
10	0	0	0	0	1	0	1	0	18
11	0	0	0	0	1	0	1	1	19
12	0	0	0	0	1	1	0	0	22
13	0	0	0	0	1	1	0	1	23
14	0	0	0	0	1	1	1	0	25
15	0	0	0	0	1	1	1	1	26
16	0	0	0	1	0	0	0	0	31

问题2: amortized analysis (续)

- aggregate analysis

- 该方法的基本思路是什么?
- 如何用来解决这两个问题?
 - 如果增加一个DECREMENT, 结果又如何?
- 它在使用上有什么局限吗?

PUSH(S, x) pushes object x onto stack S .

POP(S) pops the top of stack S and returns the popped object. Calling POP on an empty stack generates an error.

MULTIPOP(S, k)

```

1  while not STACK-EMPTY( $S$ ) and  $k > 0$ 
2      POP( $S$ )
3       $k = k - 1$ 
    
```

Counter value	A[1]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	A[0]	Total cost
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	1	1
2	0	0	0	0	0	0	1	0	3
3	0	0	0	0	0	0	1	1	4
4	0	0	0	0	0	1	0	0	7
5	0	0	0	0	0	1	0	1	8
6	0	0	0	0	0	1	1	0	10
7	0	0	0	0	0	1	1	1	11
8	0	0	0	0	1	0	0	0	15
9	0	0	0	0	1	0	0	1	16
10	0	0	0	0	1	0	1	0	18
11	0	0	0	0	1	0	1	1	19
12	0	0	0	0	1	1	0	0	22
13	0	0	0	0	1	1	0	1	23
14	0	0	0	0	1	1	1	0	25
15	0	0	0	0	1	1	1	1	26
16	0	0	0	1	0	0	0	0	31

问题2: amortized analysis (续)

- accounting method

- 该方法的基本思路是什么?

- 右侧这个式子是什么含义?

$$\sum_{i=1}^n \hat{c}_i \geq \sum_{i=1}^n c_i$$

- 如何用来解决这两个问题?

上述式子是如何保证成立的?

- 如果增加一个RESET, 结果又如何?
(Keep a pointer to the high-order 1.)

PUSH(S, x) pushes object x onto stack S .

POP(S) pops the top of stack S and returns the popped object. Calling POP on an empty stack generates an error.

MULTIPOP(S, k)

```
1 while not STACK-EMPTY( $S$ ) and  $k > 0$ 
2   POP( $S$ )
3    $k = k - 1$ 
```

Counter value	A[7]	A[6]	A[5]	A[4]	A[3]	A[2]	A[1]	A[0]	Total cost
0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	1	1
2	0	0	0	0	0	0	1	0	3
3	0	0	0	0	0	0	1	1	4
4	0	0	0	0	0	1	0	0	7
5	0	0	0	0	0	1	0	1	8
6	0	0	0	0	0	1	1	0	10
7	0	0	0	0	0	1	1	1	11
8	0	0	0	0	1	0	0	0	15
9	0	0	0	0	1	0	0	1	16
10	0	0	0	0	1	0	1	0	18
11	0	0	0	0	1	0	1	1	19
12	0	0	0	0	1	1	0	0	22
13	0	0	0	0	1	1	0	1	23
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16	0	0	0	1	0	0	0	0	31

问题2: amortized analysis (续)

- potential method

- 该方法的基本思路是什么？
- 右侧这组式子是什么含义？
- 如何用来解决这两个问题？
- 以及一个新问题：

$$\begin{aligned}\hat{c}_i &= c_i + \Phi(D_i) - \Phi(D_{i-1}) . \\ \sum_{i=1}^n \hat{c}_i &= \sum_{i=1}^n (c_i + \Phi(D_i) - \Phi(D_{i-1})) \\ &= \sum_{i=1}^n c_i + \Phi(D_n) - \Phi(D_0) . \\ \Phi(D_n) &\geq \Phi(D_0)\end{aligned}$$

Implement a queue with two stacks.

The amortized cost of ENQ and DEQ is $O(1)$.

PUSH(S, x) pushes object x onto stack S .

POP(S) pops the top of stack S and returns the popped object. Calling POP on an empty stack generates an error.

MULTIPOP(S, k)

```
1 while not STACK-EMPTY( $S$ ) and  $k > 0$ 
2   POP( $S$ )
3    $k = k - 1$ 
```

Counter value	A[1]	A[6]	A[5]	A[4]	A[3]	A[2]	A[0]	Total cost
0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	1	1
2	0	0	0	0	0	0	1	3
3	0	0	0	0	0	0	1	4
4	0	0	0	0	0	1	0	7
5	0	0	0	0	0	1	0	8
6	0	0	0	0	0	1	1	10
7	0	0	0	0	0	1	1	11
8	0	0	0	0	1	0	0	15
9	0	0	0	0	1	0	0	16
10	0	0	0	0	1	0	1	18
11	0	0	0	0	1	0	1	19
12	0	0	0	0	1	1	0	22
13	0	0	0	0	1	1	0	23
14	0	0	0	0	1	1	1	25
15	0	0	0	0	1	1	1	26
16	0	0	0	1	0	0	0	31

问题3: dynamic tables

- 对于table expansion, 你能解释aggregate和accounting的分析过程吗?

$$c_i = \begin{cases} i & \text{if } i-1 \text{ is an exact power of 2,} \\ 1 & \text{otherwise.} \end{cases}$$

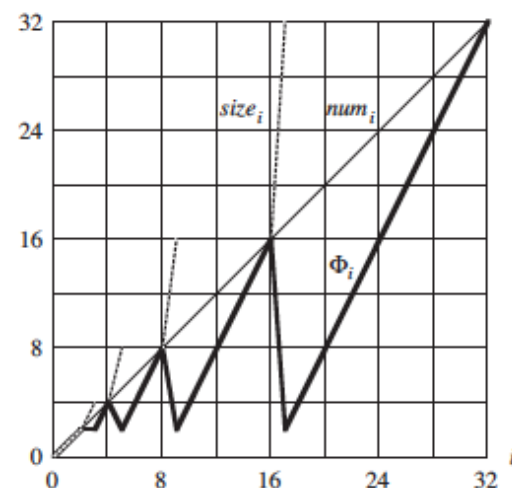
$$\begin{aligned} \sum_{i=1}^n c_i &\leq n + \sum_{j=0}^{\lfloor \lg n \rfloor} 2^j \\ &< n + 2n \\ &= 3n, \end{aligned}$$



a b

a b c

a b c d



- 对于potential function $\Phi(T) = 2 \cdot T.num - T.size$ 你能结合accounting来解释它的走势吗?