# utils

HuangFuSL

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**CHAPTER** 

**ONE** 

## **UTILS DOCUMENTATION**

## 1.1 Usage

First mount this repo as a submodule under the source tree of your project. For example if your project is in src/directory.

git submodule add https://github.com/HuangFuSL/utils src/utils

Then you can import the modules in your Python code:

from utils import cprint

Use the following script to update the submodule:

git submodule update --remote --merge

## **PYTORCH UTILITIES**

## 2.1 Automatic Device Selection

utils.ctorch.device - Utilities for managing and monitoring GPU devices in PyTorch.

class utils.ctorch.device.GpuStat(idx, name, avg\_util, avg\_free\_gb, total\_gb)

Bases: object

Dataclass that represents the status of a GPU device.

#### **Parameters**

- idx (int) Index of the GPU.
- name (str) Name of the GPU.
- avg\_util (float) Average utilization percentage over the sampling period.
- avg\_free\_gb (float) Average free memory in GB over the sampling period.
- total\_gb (float) Total memory in GB of the GPU.

avg\_free\_gb: float

avg\_util: float

idx: int

name: str

total\_gb: float

utils.ctorch.device.get\_best\_device(window\_sec=3.0, interval\_sec=0.5)

Automatically selects the best available device based on GPU utilization and memory.

#### **Parameters**

- window\_sec (float) Total time in seconds to collect GPU statistics.
- **interval\_sec** (*float*) Time in seconds between each sample.

#### Returns

The best device identifier, either 'mps', 'cuda:<idx>', or 'cpu'.

## Return type

str

## 2.2 Functional Operators

utils.ctorch.functional - Functional utilities for PyTorch tensors.

utils.ctorch.functional.gradient\_reversal(x, alpha=1.0)

Apply a gradient reversal layer to the input tensor. The forward pass is the identity function, but during back-propagation, the gradient is multiplied by -alpha.

### **Parameters**

- **x** (torch. Tensor) Input tensor.
- **alpha** (*float*) Scaling factor for the gradient reversal. Default is 1.0.

#### Returns

The input tensor with the gradient reversed during backpropagation.

#### Return type

torch.Tensor

utils.ctorch.functional.log\_norm\_pdf(x, mean, Sigma=None, logSigma=None, batch\_first=None) Calculate the log probability density function of a normal distribution.

$$\log p(x) = -\frac{1}{2} \left( D \log(2\pi) + \log |\Sigma| + (x - \mu)^T \Sigma^{-1} (x - \mu) \right)$$

#### **Parameters**

- **x** (torch. Tensor) Input tensor, shape (N, D), where N is the number of samples and D is the number of dimensions.
- mean (torch. Tensor) Mean of the normal distribution, shape (D,), or (N, D)
- **Sigma** (torch. Tensor | None) Covariance matrix of the normal distribution, shape (N, D, D), (N, D), (N, D), (D, D), (D, D), or a scalar.
- logSigma (torch. Tensor | None) Logarithm of the covariance matrix, same shape as Sigma.
- batch\_first (bool | None) If True, indicates that the first dimension of Sigma is the batch size (N).

#### Returns

Tensor containing the log PDF values.

## Return type

torch.Tensor

utils.ctorch.functional.logit\_product(x, y)

Calculate the logit of the product of two probabilities given their logits.

$$\sigma^{-1}(\sigma(x) \cdot \sigma(y)) = x + y - \log(1 + e^x + e^y)$$

## **Parameters**

- **x** (*torch.Tensor*) Logit of the first probability.
- **y** (torch. Tensor) Logit of the second probability.

#### Returns

Logit of the product of the two probabilities.

## Return type

torch.Tensor

utils.ctorch.functional.mmd\_distance(x, y, \* (Keyword-only parameters separator (PEP 3102)), sigma=None, gamma=None, reduce=False)

Compute the Maximum Mean Discrepancy (MMD) distance between two sets of tensors.

The MMD distance is given by:

$$MMD(x,y) = K(x,x) - 2K(x,y) + K(y,y)$$

#### **Parameters**

- **x** (torch. Tensor) First tensor, shape (N, D), where N is the number of samples and D is the number of features.
- **y** (*torch.Tensor*) Second tensor, shape (M, D), where M is the number of samples and D is the number of features.
- **sigma** (torch.Tensor | int | float | None) Bandwidth parameter for the RBF kernel, scalar, or shape (K,), where K is the number of kernels.
- gamma (torch. Tensor | int | float | None)  $-1/(2 * sigma^2)$  parameter for the RBF kernel, scalar, or shape (K,), where K is the number of kernels.
- **reduce** (*bool* / *torch.Tensor*) Whether to reduce the output. \* If True, returns the mean MMD distance under different bandwidths. \* If False, returns the MMD distance for each bandwidth. \* If a tensor, it should have shape (K,) and will be used as mean weight.

#### Returns

Tensor containing the MMD distance values, shape (K,) if reduce is False, or a scalar otherwise,

## **Return type**

torch.Tensor

utils.ctorch.functional.norm\_pdf(x, mean, Sigma=None, logSigma=None)

Calculate the log probability density function of a normal distribution.

#### **Parameters**

- **x** (torch. Tensor) Input tensor, shape (N, D), where N is the number of samples and D is the number of dimensions.
- mean (torch. Tensor) Mean of the normal distribution, shape (D,), or (N, D)
- **Sigma** (*torch.Tensor* / *None*) Covariance matrix of the normal distribution, shape (N, D, D), (N, D), (N,), (D, D), (D,), or a scalar.
- logSigma (torch. Tensor / None) Logarithm of the covariance matrix, same shape as Sigma.
- **batch\_first** (*bool*) If True, indicates that the first dimension of Sigma is the batch size (N).

## Returns

Tensor containing the PDF values.

#### Return type

torch.Tensor

utils.ctorch.functional.rbf\_kernel(x, y=None, \*, sigma=None, gamma=None, reduce=False)

Compute the Radial Basis Function (RBF) kernel between two sets of tensors.

The RBF kernel is given by:

$$K(x,y) = \exp(-\gamma||x-y||^2)$$

or equivalently,

$$K(x,y) = \exp\left(-\frac{||x-y||^2}{2\sigma^2}\right)$$

#### **Parameters**

- **x** (torch. Tensor) First tensor, shape (N, D), where N is the number of samples and D is the number of features.
- **y** (torch. Tensor / None) Second tensor, shape (M, D), where M is the number of samples and D is the number of features.
- **sigma** (torch.Tensor | int | float | None) Bandwidth parameter for the RBF kernel, scalar, or shape (K,), where K is the number of kernels.
- gamma (torch. Tensor | int | float | None)  $-1/(2 * sigma^2)$  parameter for the RBF kernel, scalar, or shape (K,), where K is the number of kernels.
- **reduce** (*torch.Tensor* / *bool*) Whether to reduce the output.
  - If True, returns the mean of RBF kernel values under different bandwidths.
  - If False, returns the RBF kernel values for each bandwidth.
  - If a tensor, it should have shape (K<sub>1</sub>) and will be used as mean weight.

#### Returns

Tensor containing the RBF kernel values, shape (N, M) or (K, N, M) if multiple kernels are used.

#### Return type

torch.Tensor

utils.ctorch.functional.wasserstein\_distance(x, y, p=2.0, eps=1e-06,  $wasser\_iters$ =20,  $wasser\_eps$ =0.001)

Compute the Wasserstein distance between two sets of tensors using the Sinkhorn algorithm.

The Wasserstein distance is given by:

$$W_p(x,y) = \left(\inf_{\gamma \in \Gamma(x,y)} \int ||x-y||^p d\gamma(x,y)\right)^{1/p}$$

## Parameters

- **x** (torch. Tensor) First tensor, shape (N, D), where N is the number of samples and D is the number of features.
- **y** (*torch.Tensor*) Second tensor, shape (M, D), where M is the number of samples and D is the number of features.
- **p** (*float*) Order of the norm to use for the distance calculation.
- **eps** (*float*) Small value to avoid division by zero.
- wasser\_iters (int) Number of iterations for the Sinkhorn algorithm.
- wasser\_eps (float) Epsilon value for the Sinkhorn algorithm.

## Returns

Tensor containing the Wasserstein distance value.

## Return type

torch.Tensor

## 2.3 Additional Metrics

utils.ctorch.metrics - Utility functions for computing metrics in PyTorch

```
class utils.ctorch.metrics.BatchedAUC(nbins=1000, device='cpu', logit=False)
```

Bases: BatchedMetric

Batched AUC metric for binary classification tasks. This class computes the AUC in a batch-wise manner using a generator.

```
auc_metric = BatchedAUC(nbins=1000, device='cpu', logit=False)
for batch in data_loader:
    y_true, y_score = batch
    auc_metric(y_true, y_score)
auc_value = auc_metric.finalize()
```

accumulator(nbins=1000, device='cpu', logit=False)

Compute the AUC in a batch-wise manner using a generator.

#### **Parameters**

- **nbins** (*int*) Number of bins to use for the histogram.
- **device** (*str* / *torch.device*) Device to use for computation.
- **logit** (*bool*) If True, apply sigmoid to the scores before computing AUC.

```
class utils.ctorch.metrics.BatchedHitRate(k=None)
```

```
Bases: BatchedMetric
accumulator(k=None)
```

Compute the hit rate in a batch-wise manner using a generator.

#### **Parameters**

 $\mathbf{k}$  (int) – The number of top predictions to consider.

```
class utils.ctorch.metrics.BatchedMetric(**kwargs)
```

Bases: ABC

## abstractmethod accumulator()

Create a generator that accumulates metric values from batches of (y\_true, y\_score).

### Return type

```
Generator[None, Optional[Tuple[Tensor, Tensor]], float]
```

#### Returns

Generator that yields None to receive batches and returns the computed metric value.

#### finalize()

Finalize the metric computation and return the accumulated value.

#### Returns

The computed metric value.

## **Return type**

float

## reset()

Reset the metric accumulator to start a new computation.

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```
class utils.ctorch.metrics.BatchedNDCG(k=None)
     Bases: BatchedMetric
     accumulator(k=None)
          Compute the NDCG in a batch-wise manner using a generator.
               Parameters
                  \mathbf{k}(int) – The number of top predictions to consider.
class utils.ctorch.metrics.MetricFormatter(name, starting_epoch=0, larger_better=True, eps=0.0005)
     Bases: object
     Formats the output of metrics during training.
     format()
          Format the metric for display.
               Returns
                   Formatted string representation of the metric.
               Return type
                   str
     update(value)
          Update the metric with a new value.
               Parameters
                   value (float) – The new value to update the metric with.
utils.ctorch.metrics.auc_score(y_true, y_score)
     Compute the Area Under the Curve (AUC) for binary classification.
          Parameters
                 • y_true (torch.Tensor) – Ground truth binary labels (0 or 1).
                 • y_score (torch.Tensor) – Predicted scores or probabilities.
          Returns
               The computed AUC value.
          Return type
               float
utils.ctorch.metrics.auuc_score(y, t, tau, qini=True, normalize=True)
     Compute the Area Under the Uplift Curve (AUUC).
          Parameters
                 • y (torch. Tensor) – Binary outcome (0 or 1).
                 • t (torch.Tensor) – Binary treatment assignment (0 or 1).
                 • tau (torch. Tensor) – Uplift scores.
                 • qini (bool) – If True, compute Qini instead of AUUC (subtracts the random baseline).
          Returns
               The computed AUUC value.
          Return type
               float
```

utils.ctorch.metrics.hit\_rate(y\_true, y\_score, k=None)

Compute the hit rate for retrieval tasks.

## **Parameters**

- **y\_true** (*torch. Tensor*) Shape (N, C), ground truth binary labels (0 or 1).
- **y\_score** (*torch.Tensor*) Shape (N, C), predicted scores or probabilities.
- **k** (*int*) The number of top predictions to consider.

#### Returns

The computed hit rate.

## Return type

float

utils.ctorch.metrics.ndcg\_score(y\_true, y\_score, k=None)

Compute the normalized discounted cumulative gain (NDCG) for retrieval tasks.

## **Parameters**

- **y\_true** (*torch.Tensor*) Shape (N, C), ground truth binary labels (0 or 1).
- **y\_score** (*torch*. *Tensor*) Shape (N, C), predicted scores or probabilities.
- **k** (*int*) The number of top predictions to consider.

#### Returns

The computed NDCG value.

## Return type

float

## 2.4 Additional Neural Network Layers

nn.py - Utilities Modules for PyTorch tensors

Originally in ctorch.py

class utils.ctorch.nn.Activation(name, \*args, \*\*kwargs)

Bases: Module

Arbitrary activation function module.

#### **Parameters**

- **name** (*str*) The name of the activation function.
- \*args Positional arguments for the activation function.
- **\*\*kwargs** Keyword arguments for the activation function.

#### forward(x)

Forward pass for the activation function.

## Return type

Tensor

Bases: Module

Implements a linear layer that returns a lower-triangular matrix that is positive definite.

#### **Parameters**

- **in\_features** (*int*) Number of input features.
- **out\_dim** (*int*) The output matrix dimension.
- **bias** (*bool*) Whether to include a bias term.
- eps (float) The small value added to the main diagonal of the matrix
- **scale** (*float*) The maximum scale of the matrix elements.
- **non\_neg\_func** (str | Callable) Element-wise non-negative activation function on the diagonal elements.
  - softplus:  $f(x) = \log(1 + \exp(x))$
  - elu: f(x) = ELU(x) + 1
  - sigmoid:  $f(x) = 1/(1 + e^{-x})$
  - square:  $f(x) = x^2$
  - exp:  $f(x) = e^x$

## Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_dim, out\_dim)

## diag(x)

Return the main diagonal of the resulting matrix.

## **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in\_features).

## Return type

Tensor

## Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_dim)

## forward(x)

Forward pass for the positive definite linear layer.

#### **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in features).

#### Returns

Tril tensor of shape (\*, out\_dim, out\_dim).

## Return type

torch.Tensor

## pd(x)

Return the positive definite  $LL^{\top}$ .

#### **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in\_features).

## Return type

Tensor

## Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_dim, out\_dim)

Bases: Module

A Deep Neural Network (DNN) or Multi-Layer Perceptron (MLP) module.

#### **Parameters**

- layer\_dims (\*int) The dimensions of each layer in the network, including input and output dimensions.
- layer\_type (*Type[torch.nn.Module]*) The type of layer to use (e.g., Linear).
- **flip\_gradient** (bool) Whether to apply a gradient reversal layer at the beginning.
- batchnorm (bool) Whether to apply batch normalization after each linear layer.
- bias (bool) Whether to include a bias term in the linear layers.
- **dropout** (*float | None*) Dropout rate to apply after each layer. If None, no dropout is applied.
- $\bullet \ \ \textbf{activation} \ (\textit{str} \ \ / \ \ \textbf{Activation} \ \ / \ \ \textit{None}) Activation \ \text{function to apply after each layer.}$
- **residual** (*bool*) Whether to add a residual connection from input to output, requiring input and output dimensions to match.

## Shapes:

- Input shape: (\*, layer\_dims[0])
- Output shape: (\*, layer\_dims[-1])

#### forward(x)

Forward pass for the DNN module.

## **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, layer\_dims[0]).

#### Returns

Output tensor of shape (\*, layer\_dims[-1]).

## Return type

torch.Tensor

## class utils.ctorch.nn.DeEmbedding(embedding)

Bases: Module

## forward(x)

Forward pass for the de-embedding layer.

#### **Parameters**

**x** (torch. Tensor) – Tensor of shape (\*, D), where D is the embedding dimension.

## Returns

Tensor of shape (\*, num\_embeddings), where num\_embeddings is the size of the embedding.

## Return type

torch.Tensor

**class** utils.ctorch.nn.**FactorizedNoisyLinear**(in\_features, out\_features, bias=True, init\_sigma=0.5)

Bases: Module

Implements a noisy linear layer according to https://arxiv.org/abs/1706.10295

The layer works the same way as a standard linear layer, but with added noise during training.

$$\begin{split} z_{\text{in}} &\sim \mathcal{N}(0, I_{d_{\text{in}}}) \\ z_{\text{out}} &\sim \mathcal{N}(0, I_{d_{\text{out}}}) \\ f(x) &= \text{sign}(x) \odot \sqrt{|x|} \\ w &= w_{\mu} + w_{\sigma} \odot (f(z_{\text{in}}) f(z_{\text{out}})^{\top}) \\ b &= b_{\mu} + b_{\sigma} \odot f(z_{\text{out}}) \end{split}$$

The parameters are initialized as:

$$\begin{split} w_{\mu}, b_{\mu} \sim \mathcal{U}(-1/\sqrt{d_{\rm in}}), 1/\sqrt{d_{\rm int}}) \\ w_{\sigma}, b_{\sigma} = \sigma_{\rm init}/\sqrt{d_{\rm in}} \end{split}$$

#### **Parameters**

- **in\_features** (*int*) Number of input features.
- out\_features (int) Number of output features.
- bias (bool) Whether to include a bias term.
- init\_sigma (float) (float): The initial sigma coefficient  $\sigma_{\text{init}}$ , default is 0.5.

Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_features)

static f(x)

## Return type

Tensor

forward(x)

Forward pass for the noisy linear layer.

**Parameters** 

**x** (torch. Tensor) – Input tensor of shape (\*, in\_features).

Returns

Output tensor of shape (\*, out\_features).

**Return type** 

torch.Tensor

Bases: Module

An embedding layer for encoding N multiple categorical features.

**Parameters** 

- num\_features (List[int]) The number of unique values for each categorical feature.
- **embedding\_size** (*List[int] | int*) The size of the embedding for each feature. If a single integer is provided, it will be used for all features.
- padding\_idx (int | None)
- max\_norm (float | None)
- norm\_type (float)
- scale\_grad\_by\_freq (bool)
- sparse (bool)

## Shapes:

- Input shape: (\*, num\_features)
- Output shape: (\*, sum(embedding\_size))

## forward(x)

Forward pass for the embedding layer.

#### **Parameters**

**x** (Tensor) – Tensor of shape (\*, num\_features)

#### Returns

Tensor of shape (\*, sum(embedding\_size))

## Return type

torch.Tensor

## property total\_embedding\_size: int

Gets the total embedding size, which is the sum of all individual embedding sizes.

## Returns

Total embedding size.

#### **Return type**

int

**class** utils.ctorch.nn.**GaussianLinear**(in\_features, out\_features, bias=True, mu\_scale=None,

Sigma\_scale=None, eps=0.0001, non\_neg\_func='softplus', gaussian\_type=<class

 $'torch. distributions. multivariate\_normal. MultivariateNormal' >)$ 

Bases: Module

Implements a linear layer that returns a multivariate Gaussian distribution

#### **Parameters**

- **in\_features** (*int*) Number of input features.
- **out\_features** (*int*) Number of output features.
- **bias** (*boo1*) Whether to include a bias term.
- mu\_scale (float / None) If not None, the mean value is scaled by a tanh function with the given scale.
- **cov\_scale** (*float*) The boundary value for covariance matrix elements.
- **eps** (*float*) Minimum value added to the diagonal of the covariance matrix.

- **non\_neg\_func** (*str | Callable*) Non-negative mapping of the diagonal elements of the covariance matrix.
- gaussian\_type (MultivariateNormalClass) The constructor of target distribution.

## Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_features)

## cov(x)

Return the covariance matrix of the target distribution.

#### **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in\_features).

#### Return type

Tensor

## Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_features, out\_features)

## forward(x)

Forward pass for the positive definite linear layer.

#### **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in\_features).

#### Returns

The target distribution.

## Return type

torch.distributions.Distribution

## mean(x)

Return the mean value of the target distribution.

#### **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in\_features).

## Return type

Tensor

## Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_features)

## class utils.ctorch.nn.GradientReversalLayer(alpha=1.0)

Bases: Module

A layer that reverses the gradient during backpropagation.

#### **Parameters**

**alpha** (*float*) – The scaling factor for the gradient reversal. Default is 1.0.

## forward(x)

Forward pass for the gradient reversal layer.

#### **Parameters**

**x** (torch. Tensor) – Input tensor.

## Return type

Tensor

class utils.ctorch.nn.IndependentNoisyLinear(in\_features, out\_features, bias=True, init\_sigma=0.017)

Bases: Module

Implements a noisy linear layer according to https://arxiv.org/abs/1706.10295

The layer works the same way as a standard linear layer, but with added noise during training.

$$w = w_{\mu} + w_{\sigma} \odot \varepsilon$$
$$b = b_{\mu} + b_{\sigma} \odot \varepsilon$$

The parameters are initialized as:

$$w_{\mu}, b_{\mu} \sim \mathcal{U}(-1/\sqrt{d_{\mathrm{in}}}), 1/\sqrt{d_{\mathrm{int}}})$$
  
 $w_{\sigma}, b_{\sigma} = \sigma_{\mathrm{init}}$ 

## **Parameters**

- **in\_features** (*int*) Number of input features.
- **out\_features** (*int*) Number of output features.
- bias (bool) Whether to include a bias term.
- init\_sigma (float) (float): The initial sigma coefficient  $\sigma_{\text{init}}$ , default is 0.017.

Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_features)

## forward(x)

Forward pass for the noisy linear layer.

#### **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in features).

#### Returns

Output tensor of shape (\*, out\_features).

## **Return type**

torch.Tensor

class utils.ctorch.nn.Module(\*args, \*\*kwargs)

Bases: Module

A base class for all modules in ctorch. Supports device tracking and parameter counting.

#### property device

Get the device of the module.

#### Returns

The device on which the module's parameters are located.

## Return type

torch.device

#### property num\_parameters

Get the number of parameters in the module.

#### Returns

The total number of parameters in the module.

## **Return type**

int

class utils.ctorch.nn.MonotonicLinear(in\_features, out\_features, bias=True, non\_neg\_func='softplus')

Bases: Module

Implements a monotonic linear layer. The monotonicity is enforced by applying a non-negative activation function to the weights.

#### **Parameters**

- in\_features (int) Number of input features.
- out\_features (int) Number of output features.
- **bias** (*bool*) Whether to include a bias term.
- **non\_neg\_func** (str | Callable) Element-wise non-negative activation function to use. Should be one of:
  - relu:  $f(x) = \max(0, x)$
  - softplus:  $f(x) = \log(1 + \exp(x))$
  - sigmoid:  $f(x) = \frac{1}{1 + \exp(-x)}$
  - elu: f(x) = ELU(x) + 1
  - **–** abs: f(x) = |x|
  - square:  $f(x) = x^2$
  - exp:  $f(x) = e^x$

To keep the monotonicity, non-monotonic activations including softmax, GELU, SiLU and Mish should not be used. Normalization techniques such as layer normalization or batch normalization should also be avoided.

## Shapes:

- Input shape: (\*, in\_features)
- Output shape: (\*, out\_features)

## forward(x)

Forward pass for the monotonic linear layer.

#### **Parameters**

**x** (torch. Tensor) – Input tensor of shape (\*, in features).

#### **Returns**

Output tensor of shape (\*, out\_features).

## Return type

torch.Tensor

## class utils.ctorch.nn.MultivariateNormalClass(\*args, \*\*kwargs)

Bases: Protocol

Internal protocol for GaussianLinear module. Any valid gaussian\_type parameter should follow the following protocol.

```
def __call__(
    self, loc: torch.Tensor, covariance_matrix: torch.Tensor | None = None,
    precision_matrix: torch.Tensor | None = None,
    scale_tril: torch.Tensor | None = None,
    validate_args: Any = None
) -> torch.distributions.Distribution:
    ...
```

## class utils.ctorch.nn.RotaryTemporalEmbedding(embedding\_dim, denom=10000.0)

Bases: Module

Implements rotary positional embedding proposed in "RoFormer: Enhanced Transformer with Rotary Position Embedding" (https://arxiv.org/abs/2104.09864).

$$m{R} = ext{diag}(m{R}_1, \dots, m{R}_{\lfloor n/2 \rfloor})$$
 $m{R}_i = egin{bmatrix} \cos(t heta_i) & -\sin(t heta_i) \ \sin(t heta_i) & \cos(t heta_i) \end{bmatrix}$ 
 $m{ heta}_i = rac{1}{10000^{2(i-1)/d_{model}}}$ 

#### **Parameters**

- **embedding\_dim** (*int*) The dimension of the embedding space. Must be even.
- **denom** (*float*) The denominator (10000.0) for the positional encoding.

## **Shapes:**

- Input shape: x (\*, embedding\_dim), t (\*)
- Output shape: (\*, embedding\_dim)

## forward(t, x)

Forward pass for the rotary temporal embedding.

## **Parameters**

- t (torch. Tensor) Time record tensor of shape (\*).
- **x** (torch. Tensor) Input tensor of shape (\*, embedding\_dim).

## Returns

Embedding of shape (\*, embedding\_dim).

## **Return type**

torch.Tensor

## $\textbf{class} \ \, \textbf{utils.ctorch.nn.SinusoidalTemporalEmbedding} (\textit{embedding\_dim}, \textit{denom=10000.0})$

Bases: Module

Implements sinusoidal positional embedding proposed in "Attention is All You Need".

$$PE_{(batch, pos, i)} = \begin{cases} \sin\left(\frac{pos}{10000^{2k/d_{model}}}\right) & \text{if } i = 2k\\ \cos\left(\frac{pos}{10000^{2k/d_{model}}}\right) & \text{if } i = 2k + 1 \end{cases}$$

## **Parameters**

• **embedding\_dim** (*int*) – The dimension of the embedding space.

• **denom** (*float*) – The denominator (10000.0) for the positional encoding.

#### Shapes:

- Input shape: (\*, embedding\_dim)
- Output shape: (\*, embedding\_dim)

#### forward(t)

Forward pass for the circular temporal embedding.

#### **Parameters**

**t** (torch. Tensor) – Time record tensor of shape (\*).

#### **Returns**

Embedding of shape (\*, embedding\_dim).

## Return type

torch.Tensor

Bases: TransformerDecoderLayer

Get the cross-attention map from the decoder layer.

## **Parameters**

- tgt (torch.Tensor)
- memory (torch. Tensor)
- tgt\_mask(torch.Tensor | None)
- memory\_mask(torch.Tensor | None)
- tgt\_key\_padding\_mask (torch.Tensor | None)
- memory\_key\_padding\_mask (torch.Tensor | None)
- tgt\_is\_causal (bool)
- memory\_is\_causal (bool)

## Returns

The attention weights of shape (batch\_size, num\_heads, tgt\_seq\_len, memory\_seq\_len).

## **Return type**

torch.Tensor

Get the self-attention map from the decoder layer.

#### **Parameters**

- tgt (torch.Tensor)
- tgt\_mask (torch.Tensor | None)

- memory\_mask (torch.Tensor | None)
- tgt\_key\_padding\_mask (torch.Tensor | None)
- memory\_key\_padding\_mask (torch.Tensor | None)
- tgt\_is\_causal (bool)
- memory\_is\_causal (bool)

#### Returns

The attention weights of shape (batch\_size, num\_heads, seq\_len, seq\_len).

## Return type

torch.Tensor

Bases: TransformerEncoderLayer

A Transformer encoder layer with additional functionality to get attention maps.

**get\_attention\_map**(*src*, *src\_mask=None*, *src\_key\_padding\_mask=None*, *is\_causal=False*)

Get the attention map from the encoder layer.

#### **Parameters**

- **src** (torch. Tensor)
- src\_mask(torch.Tensor | None)
- src\_key\_padding\_mask(torch.Tensor | None)
- is\_causal (bool)

## Returns

The attention weights of shape (batch\_size, num\_heads, seq\_len, seq\_len).

## Return type

torch.Tensor

## 2.5 Additional Base Operators

```
utils.ctorch.ops - Utilities Operators for PyTorch tensors
```

```
class utils.ctorch.ops.GradientReversalOp(*args, **kwargs)
```

Bases: Function

Gradient reversal operation for adversarial training.

static backward(ctx, grad\_output)

Backward pass for the gradient reversal operation.

#### **Parameters**

**grad\_output** (torch.Tensor) – Gradient from the next layer.

#### Returns

The gradient with the reversal applied.

## **Return type**

torch.Tensor

#### static forward(ctx, x, alpha)

Forward pass for the gradient reversal operation.

## **Parameters**

- **x** (torch.Tensor) Input tensor.
- alpha (float) Scaling factor for the gradient reversal.

#### Returns

The input tensor with the gradient reversal applied.

#### Return type

torch.Tensor

## 2.6 Utilities for Variable Length Sequences

padding.py - Utilities for handling PackedSequences

Originally in ctorch.py Author: HuangFuSL Date: 2025-06-26

Add num\_steps steps at the end of the time axis, to a packed sequence or tensor.

#### **Parameters**

- **input\_tensor** (*PackedOrTensor*) The input tensor or packed sequence to pad.
- padding\_value (float, optional) The value to use for padding. Defaults to 0.0.
- num\_steps (int, optional) The number of steps to add. Defaults to 1.
- batch\_first (bool, optional) If True, the input tensor is assumed to be in (batch, seq, ...) format, otherwise (seq, batch, ...), only effective when accepting tensors. Defaults to True.

#### Returns

The padded tensor or packed sequence.

## Return type

PackedOrTensor

## utils.ctorch.padding.get\_key\_padding\_mask\_left(lengths)

Create a key padding mask for sequences based on their lengths, with sequences left-aligned.

### **Parameters**

**lengths** (*torch.Tensor*) – A 1D tensor containing the lengths of each sequence.

## Returns

A boolean mask tensor indicating the padding positions.

## Return type

torch.Tensor

## utils.ctorch.padding.get\_key\_padding\_mask\_right(lengths)

Create a key padding mask for sequences based on their lengths, with sequences right-aligned.

#### **Parameters**

**lengths** (torch. Tensor) – A 1D tensor containing the lengths of each sequence.

#### Returns

A boolean mask tensor indicating the padding positions.

#### Return type

torch.Tensor

## utils.ctorch.padding.get\_model\_memory\_size(model)

Get the total memory size of a model in bytes.

#### **Parameters**

**model** (torch.nn.Module) – The input model.

#### Returns

The total memory size of the model in bytes.

#### **Return type**

int

## utils.ctorch.padding.get\_tensor\_memory\_size(tensor)

Get the memory size of a tensor in bytes.

#### **Parameters**

**tensor** (*torch*. *Tensor*) – The input tensor.

#### **Returns**

The memory size of the tensor in bytes.

## Return type

int

## utils.ctorch.padding.masked\_select(values\_input, mask\_input)

Perform masked selection of variable length on a PackedSequence or a regular tensor.

#### **Parameters**

- values\_input (*PackedOrTensor*) The input values to select from.
- mask\_input (PackedOrTensor) The mask indicating which values to select.

#### **Returns**

A PackedSequence containing only the selected values.

#### **Return type**

PackedSequence

## **Example**

```
from torch.nn.utils.rnn import pad_packed_sequence
values_list = [
      [[1., 10.], [2., 20.], [3., 30.]],
      [[4., 40.], [5., 50.], [0., 0.]],
]
mask_list = [
      [True, False, True],
      [False, True, False],
]

values = torch.tensor(values_list, dtype=torch.float32)
mask = torch.tensor(mask_list, dtype=torch.bool)

out = masked_select(values, mask)
out_padded, out_len = pad_packed_sequence(out, batch_first=True)
```

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```
assert out_padded.tolist() == [
    [[1.0, 10.0], [3.0, 30.0]],
    [[5.0, 50.0], [0.0, 0.0]],
]
assert out_len.tolist() == [2, 1]
```

utils.ctorch.padding.pack\_padded\_sequence\_right(input, lengths, batch\_first=False, enforce sorted=False)

Like torch.nn.utils.rnn.pack\_padded\_sequence but accepts right-aligned sequences.

#### **Parameters**

- **input** (*torch.Tensor*) The input tensor, which should be right-aligned.
- **lengths** (*torch.Tensor*) A 1D tensor containing the lengths of each sequence.
- **batch\_first** (*bool*) If True, the input is expected to be of shape (batch\_size, seq\_len, ...). Otherwise, the input is expected to be of shape (seq\_len, batch\_size, ...).
- **enforce\_sorted** (*bool*) If True, the input sequences must be sorted by length in descending order. If False, the input sequences can be in any order.

#### Returns

A packed sequence object containing the right-aligned sequences.

## Return type

torch.nn.utils.rnn.PackedSequence

utils.ctorch.padding.packed\_binary\_op(op, a, b)

Apply a binary element-wise operation to a PackedSequence or a regular tensor.

## **Parameters**

- op (Callable) A binary operation to apply.
- **a** (*PackedSequence* / *torch.Tensor*) The first input data, either a PackedSequence or a regular tensor.
- **b** (*PackedSequence* / torch. Tensor) The second input data, either a PackedSequence or a regular tensor.

## Returns

The output after applying the operation.

## Return type

PackedSequence | torch.Tensor

```
utils.ctorch.padding.packed_concat(packed_seq, dim=-1)
```

Concatenate a list of PackedSequence objects along a specified dimension. Notice that the length of the packed sequences must be the same.

#### **Parameters**

- packed\_seq (List [PackedSequence]) List of PackedSequence objects to concatenate.
- **dim** (*int*) Dimension along which to concatenate. Default is -1 (last dimension). The dimension must not be 0 (the packed time dimension). The sequence length dimension (dimension 1 of the padded tensor where batch\_size is True) is omitted.

#### Returns

A new PackedSequence object containing the concatenated data.

## Return type

PackedSequence

utils.ctorch.padding.packed\_forward(module, packed\_input)

Forward pass for a module with packed input.

#### **Parameters**

- **module** (*torch.nn.Module*) The neural network to apply.
- packed\_input (PackedSequence | torch.Tensor) The packed input data.

## Returns

The output after applying the module. If the input is a PackedSequence, the output will also be a PackedSequence, otherwise it will be a regular tensor.

## Return type

PackedSequence | torch.Tensor

utils.ctorch.padding.packed\_unary\_op(func, x)

Apply an unary sample-wise function to a PackedSequence or a regular tensor.

#### **Parameters**

- **func** (*Callable*) An sample-wise function to apply.
- **x** (*PackedSequence* / *torch.Tensor*) The input data, either a PackedSequence or a regular tensor.

#### Returns

The output after applying the function. If the input is a PackedSequence, the output will also be a PackedSequence, otherwise it will be a regular tensor.

## Return type

PackedSequence | torch.Tensor

utils.ctorch.padding.pad\_packed\_sequence\_right(sequence, batch\_first=False, padding\_value=0.0, total\_length=None)

Like torch.nn.utils.rnn.pad\_packed\_sequence but right-aligns the sequences.

## **Parameters**

- sequence (torch.nn.utils.rnn.PackedSequence) The packed sequence to pad.
- **batch\_first** (*bool*) If True, the output will be of shape (batch\_size, seq\_len, ...). If False, the output will be of shape (seq\_len, batch\_size, ...).
- padding\_value (float) The value to use for padding.
- total\_length (int | None) If specified, the output will be padded to this length. If None, the output will be padded to the maximum length of the sequences in the packed sequence

## Returns

The padded tensor and the lengths of the original sequences.

#### **Return type**

Tuple[torch.Tensor, torch.Tensor]

utils.ctorch.padding.prepend\_left(input\_tensor, append\_value=0.0, num\_steps=1, batch\_first=True)
Add num\_steps steps at the beginning of the time axis, to a packed sequence or tensor.

#### **Parameters**

- **input\_tensor** (*PackedOrTensor*) The input tensor or packed sequence to pad.
- padding\_value (float, optional) The value to use for padding. Defaults to 0.0.
- num\_steps (int, optional) The number of steps to add. Defaults to 1.
- batch\_first (bool, optional) If True, the input tensor is assumed to be in (batch, seq, ...) format, otherwise (seq, batch, ...), only effective when accepting tensors. Defaults to True.

#### Returns

The padded tensor or packed sequence.

### Return type

PackedOrTensor

utils.ctorch.padding.truncate\_left(input\_tensor, num\_steps=1, batch\_first=True, strict=True)
Remove num\_steps steps at the beginning of the time axis, from a packed sequence or tensor.

#### **Parameters**

- **input\_tensor** (*PackedOrTensor*) The input tensor or packed sequence to truncate.
- num\_steps (int, optional) The number of steps to remove. Defaults to 1.
- batch\_first (bool, optional) If True, the input tensor is assumed to be in (batch, seq, ...) format, otherwise (seq, batch, ...), only effective when accepting tensors. Defaults to True.
- **strict** (*bool*, *optional*) If True, raises an error if truncating action will yield an empty sequence.

#### Returns

The truncated tensor or packed sequence.

## Return type

PackedOrTensor

utils.ctorch.padding.truncate\_right(input\_tensor, num\_steps=1, batch\_first=True, strict=True)
Remove num\_steps steps at the end of the time axis, from a packed sequence or tensor.

#### **Parameters**

- **input\_tensor** (*PackedOrTensor*) The input tensor or packed sequence to truncate.
- num\_steps (int, optional) The number of steps to remove. Defaults to 1.
- **batch\_first** (*bool*, *optional*) If True, the input tensor is assumed to be in (batch, seq, ...) format, otherwise (seq, batch, ...), only effective when accepting tensors. Defaults to True.
- **strict** (*bool*, *optional*) If True, raises an error if truncating action will yield an empty sequence.

## Returns

The truncated tensor or packed sequence.

## Return type

PackedOrTensor

utils.ctorch.padding.unpad\_sequence\_right(input, lengths, batch\_first=False)

Like torch.nn.utils.rnn.unpad\_sequence but accepts right-aligned sequences.

#### **Parameters**

- **input** (*torch.Tensor*) The input tensor, which should be right-aligned.
- **lengths** (*torch.Tensor*) A 1D tensor containing the lengths of each sequence.
- **batch\_first** (*bool*) If True, the input is expected to be of shape (batch\_size, seq\_len, ...). Otherwise, the input is expected to be of shape (seq\_len, batch\_size, ...).

#### Returns

A list of tensors, each representing a sequence with padding removed.

## Return type

List[torch.Tensor]

## 2.7 Utilities for Reinforcement Learning

rl.py - Utilities Components for Reinforcement Learning

Bases: BaseQNetwork, ABC

Abstract base class for Distributional DQN with discrete action space.

One should implement the forward method to return un-softmaxed logits or log-softmaxed logits for all actions or specified actions, in shape (..., num\_actions, num\_atoms) or (..., num\_atoms).

### **Parameters**

- **state\_shape** (*Tuple[int, ...]*) The shape dimensions of the input state.
- **num\_actions** (*int*) The number of actions the agent can take.
- atoms (List[int | float] | torch. Tensor) The support of the value distribution.
- gamma (float, optional) The discount factor for future rewards, defaults to 0.99.
- tau (int, optional) The number of steps to look ahead for target updates, defaults to

**Q**(state, action)

Compute the action-value function Q(s, a) for a given state s and action a.

#### **Parameters**

- **state** (*torch.Tensor*) The input state tensor.
- **action** (torch. Tensor) The input action tensor.

#### Returns

The action-value function Q(s, a) for a given state s and action a.

#### Return type

torch.Tensor

## **Shapes:**

- state: (\*, (state\_dims,))
- action: (\*)

```
• output: (*)
```

## **Q\_all**(state)

Compute the action-value function Q(s, a) for all actions a given state s.

#### **Parameters**

```
state (torch.Tensor) – The input state tensor.
```

#### **Returns**

The action-value function Q(s, a) for all actions a.

## Return type

torch.Tensor

## **Shapes:**

- state: (\*, (state\_dims,))
- output: (\*, num\_actions)

## Q\_all\_dist(state)

Compute the action-value distribution Q(s, a) for all actions a given state s.

#### **Parameters**

```
state (torch.Tensor) – The input state tensor.
```

#### Returns

The action-value distribution Q(s, a) for all actions a.

## **Return type**

torch.Tensor

## **Shapes:**

- state: (\*, (state\_dims,))
- output: (\*, num\_actions, num\_atoms)

## **Q\_dist**(state, action)

Compute the action-value distribution Q(s, a) for a given state s and action a.

## **Parameters**

- state(torch.Tensor) The input state tensor.
- **action** (*torch.Tensor*) The input action tensor.

## Returns

The action-value distribution Q(s, a) for a given state s and action a.

## **Return type**

torch.Tensor

## **Shapes:**

- state: (\*, (state\_dims,))
- action: (\*)
- output: (\*, num\_atoms)

## V\_dist(state)

Compute the state-value distribution V(s) for a given state s.

#### **Parameters**

**state** (*torch*. *Tensor*) – The input state tensor.

#### Returns

The state-value distribution V(s) for a given state s.

#### Return type

torch.Tensor

## **Shapes:**

- state: (\*, (state\_dims,))
- output: (\*, num\_atoms)

## class utils.ctorch.rl.BasePolicyNetwork(state\_shape, \*, gamma=0.99, tau=1)

Bases: BaseRLModel, TargetNetworkMixin

Abstract base class for policy-based reinforcement learning models.

#### **Parameters**

- **state\_shape** (*Tuple[int, ...]*) The shape dimensions of the input state.
- gamma (float, optional) The discount factor for future rewards, defaults to 0.99.
- tau (int, optional) The number of steps to look ahead for target updates, defaults to 1.

## act(state)

Return the action sampled from the policy given the state.

## **Parameters**

**state** (torch. Tensor) – The input state tensor.

#### Returns

A tuple containing the sampled action and its log probability.

## Return type

Tuple[torch.Tensor, torch.Tensor]

## cumulative\_reward(r, lambda\_=1)

Calculate the cumulative reward for a trajectory, or the GAE(lambda) estimate.

#### **Parameters**

- $\mathbf{r}$  (torch. Tensor) The reward tensor of shape (L,), for GAE,  $\mathbf{r}$  is the state-value TD error  $r_t + \gamma V(s_{t+1}) V(s_t)$ .
- lambda\_(float, optional) The lambda parameter for GAE. Defaults to 1 for cumulative reward.

#### **Returns**

The cumulative reward or GAE estimate of shape (L,).

## **Return type**

torch.Tensor

## Shapes:

• r: (L,)

```
• output: (L,)
```

#### abstractmethod forward(state)

Forward pass to compute the action distribution given the state.

#### **Parameters**

**state** (torch.Tensor) – The input state tensor.

#### Returns

The action distribution given the state.

## **Return type**

torch.distributions.Distribution

## log\_pi(state, action)

Return the log probability of the action given the state.

## Return type

Tensor

**normalize\_trajectory**(*r*, *eps=1e-08*)

## Return type

Tensor

pi(state, action)

Return the action distribution probability given the state.

## Return type

Tensor

## policy\_parameters()

Get the parameters of the policy network.

### Returns

An iterator over the parameters of the policy network.

#### **Return type**

Iterator[torch.nn.Parameter]

## setup\_target()

Create a target network by copying the current network. This method can only be called once.

## property value\_model: BaseValueNetwork

Get the state value sub-network. If not implemented, raises NotImplementedError.

#### value\_parameters()

Get the parameters of the value network.

## Returns

An iterator over the parameters of the value network.

## Return type

Iterator[torch.nn.Parameter]

## **class** utils.ctorch.rl.**BaseQNetwork**(*state\_shape*, *num\_actions*, \*, *gamma=0.99*, *tau=1*)

Bases: BaseValueNetwork, ABC

Abstract base class for DQN with discrete action space.

One should either implement the forward method to return Q-values for all actions or specified actions.

#### **Parameters**

- **state\_shape** (*Tuple[int, ...]*) The shape dimensions of the input state.
- **num\_actions** (*int*) The number of actions the agent can take.
- gamma (float, optional) The discount factor for future rewards, defaults to 0.99.
- tau (int, optional) The number of steps to look ahead for target updates, defaults to 1.

#### A\_all(state)

Compute the advantage function A(s, a) for a given state s and action a.

$$A(s,a) = Q(s,a) - V(s)$$

#### **Parameters**

**state** (*torch.Tensor*) – The input state tensor.

### Returns

The advantage function A(s, a) for a given state s and all actions.

## **Return type**

torch.Tensor

## **Shapes:**

- state: (\*, (state\_dims,))
- action: (\*)
- output: (\*)

## Q\_all(state)

Compute the action-value function Q(s, a) for all actions a given state s.

#### **Parameters**

**state** (*torch*. *Tensor*) – The input state tensor.

## Returns

The action-value function Q(s, a) for all actions a.

## Return type

torch.Tensor

## Shapes:

- state: (\*, (state\_dims,))
- output: (\*, num\_actions)

#### **V**(state)

Compute the state-value function V(s) for a given state s.

#### **Parameters**

**state** (*torch*. *Tensor*) – The input state tensor.

## Returns

The state-value function V(s) for a given state s.

## Return type

torch.Tensor

## **Shapes:**

```
• state: (*, (state_dims,))
            • output: (*)
act(state, eps=0.0, sample_wise=True)
     Policy for selecting actions based on the current state and exploration rate. By default, the policy takes
     exploration actions with a probability of \varepsilon and exploitation actions with a probability of 1 - \varepsilon.
          Parameters
              state (torch.Tensor) – The input state tensor.
          Returns
              The selected actions.
          Return type
              torch.Tensor
exploit(state)
     The exploitation policy, selecting actions based on the current Q-values.
          Parameters
              state (torch. Tensor) – The input state tensor.
          Returns
              The selected actions.
          Return type
              torch.Tensor
explore(state)
     The exploration policy, defaulting to random actions.
          Parameters
              state (torch.Tensor) – The input state tensor.
          Returns
              The selected actions.
          Return type
              torch.Tensor
abstractmethod forward(state, action=None)
     Returns the Q-values for the given state and action.
          Parameters
              • state (torch.Tensor) – The input state tensor.
              • action (torch. Tensor | None) – The input action tensor.
          Returns
              The Q-values for the given state and action. If action is None, returns the Q-value over all
              actions.
          Return type
              torch.Tensor
```

class utils.ctorch.rl.BaseRLModel(state\_shape, \*, gamma=0.99, tau=1)

Bases: Module, ABC

td\_step(trajectory, a\_prime=None)

Abstract base class for reinforcement learning models.

#### **Parameters**

- **state\_shape** (*Tuple[int, ...]*) The shape dimensions of the input state.
- gamma (float, optional) The discount factor for future rewards, defaults to 0.99.
- tau (int, optional) The number of steps to look ahead for target updates, defaults to

## abstractmethod act(state, \*\*kwargs)

Policy for selecting actions based on the current state and exploration rate. By default, the policy takes exploration actions with a probability of  $\varepsilon$  and exploitation actions with a probability of  $1 - \varepsilon$ .

#### **Parameters**

**state** (torch.Tensor) – The input state tensor.

## Returns

A tuple containing the selected action and the log probability of the action.

## Return type

Tuple[torch.Tensor, torch.Tensor]

## abstractmethod loss()

## **Return type**

Tensor

**class** utils.ctorch.rl.**BaseValueNetwork**(*state\_shape*, \*, *gamma=0.99*, *tau=1*)

Bases: BaseRLModel, TargetNetworkMixin

Abstract base class for Value Networks.

One should either implement the forward method, or *V* method.

## **Parameters**

- $state\_shape (Tuple[int, ...])$  The shape dimensions of the input state.
- gamma (float, optional) The discount factor for future rewards, defaults to 0.99.
- tau (int, optional) The number of steps to look ahead for target updates, defaults to 1.

A(state, action)

Compute the advantage function A(s, a) for a given state s and action a.

$$A(s,a) = Q(s,a) - V(s)$$

## **Parameters**

- **state** (*torch.Tensor*) The input state tensor.
- **action** (*torch.Tensor*) The input action tensor.

## Returns

The advantage function  $A(s,\,a)$  for a given state s and action a.

## **Return type**

torch.Tensor

#### **Shapes:**

- state: (\*, (state\_dims,))
- action: (\*)

• output: (\*)

## **Q**(state, action)

Compute the action-value function Q(s, a) for a given state s and action a.

#### **Parameters**

- **state** (*torch.Tensor*) The input state tensor.
- **action** (*torch.Tensor*) The input action tensor.

#### Returns

The action-value function Q(s, a) for a given state s and action a.

## Return type

torch.Tensor

### **Shapes:**

- state: (\*, (state\_dims,))
- action: (\*, (action\_dims,)) or (\*)
- output: (\*)

#### **V**(state)

Compute the state-value function V(s) for a given state s.

#### **Parameters**

**state** (*torch.Tensor*) – The input state tensor.

#### **Returns**

The state-value function V(s) for a given state s.

## **Return type**

torch.Tensor

## **Shapes:**

- state: (\*, (state\_dims,))
- output: (\*)

## act(state, \*\*kwargs)

Policy for selecting actions based on the current state and exploration rate. By default, the policy takes exploration actions with a probability of  $\varepsilon$  and exploitation actions with a probability of  $1 - \varepsilon$ .

## **Parameters**

**state** (*torch.Tensor*) – The input state tensor.

## Returns

A tuple containing the selected action and the log probability of the action.

## Return type

Tuple[torch.Tensor, torch.Tensor]

#### action\_td\_step(trajectory, a\_prime)

The loss function for training the value network using the TD loss. If a\_prime is provided, the action-value function Q(s, a) is used. Otherwise, the state-value function V(s) is used.

The LHS and RHS can be optimized using MSELoss or SmoothL1Loss.

#### **Parameters**

- trajectory (Trajectory) A batch of trajectories.
- a\_prime (torch. Tensor | None) The next action tensor. If provided, the action-value function Q(s, a) is used. If not provided, the state-value function V(s) is used.

#### **Returns**

The LHS and RHS of the TD loss.

# Return type

Tuple[torch.Tensor, torch.Tensor]

# abstractmethod forward(state, action=None)

Forward pass of the value network. The implementation should either implement the state-value function V(s) or the action-value function Q(s, a).

#### **Parameters**

- **state** (*torch.Tensor*) The input state tensor.
- action (torch.Tensor / None) The input action tensor. If provided, the network should compute the action-value function Q(s, a). If not provided, the network should compute the state-value function V(s).

# Returns

The value function V(s) for the given state.

# Return type

torch.Tensor

#### **Shapes:**

- state: (\*, (state\_dims,))
- action: (\*, (action\_dims,)), (\*), or None
- output: (\*)

# value\_td\_step(trajectory)

The loss function for training the value network using the TD loss. If a\_prime is provided, the action-value function Q(s, a) is used. Otherwise, the state-value function V(s) is used.

The LHS and RHS can be optimized using MSELoss or SmoothL1Loss.

- trajectory (Trajectory) A batch of trajectories.
- a\_prime (torch. Tensor | None) The next action tensor. If provided, the action-value function Q(s, a) is used. If not provided, the state-value function V(s) is used.

#### Returns

The LHS and RHS of the TD loss.

# Return type

Tuple[torch.Tensor, torch.Tensor]

# class utils.ctorch.rl.CircularTensor(size, dtype=torch.float32)

Bases: Module

Implements a circular buffer for storing tensors. Supports state\_dict() and load\_state\_dict() for check-pointing.

#### **Parameters**

- **size** (*int*) The maximum number of elements in the buffer.
- **dtype** (torch.dtype, optional) The data type of the elements in the buffer. Defaults to torch.float32.

# append(value)

Append a batch of new elements to the buffer.

#### **Parameters**

**value** (*Any*) – The new elements to append. Supports any input that can be converted to a tensor.

# Shapes:

• Input shape: (B, \*)

# as\_numpy(force=False)

Get the underlying numpy array.

#### as\_tensor()

Get the underlying tensor.

# forward(x)

Get a batch of elements according to the index.

#### property size: int

Get the maximum size of the buffer.

#### **Returns**

The maximum size of the buffer.

# Return type

int

# class utils.ctorch.rl.PrioritizedReplayBuffer(size, continuous\_action=False, p\_max=1000.0)

Bases: ReplayBuffer

Implements a prioritized replay buffer for storing and sampling experiences.

- **size** (*int*) The maximum size of the buffer.
- continuous\_action (bool, optional) Whether the action space is continuous. Defaults to False.

• **p\_max** (*float* / *int*, *optional*) – Default priority value for newly incoming experiences. Defaults to 1e3.

# **get\_ipw**(*idx*, *beta*, *eps*=0.0005)

Get the inverse probability weighting (IPW) for a batch of experiences, to balance the loss function. The IPW weight is given by:

$$\tilde{w}_i = \left(\frac{N \cdot w_i}{\sum_j w_j}\right)^{\beta}$$

#### **Parameters**

- idx (torch. Tensor) The indices of the experiences to retrieve.
- **beta** (*float*) The beta parameter for the importance sampling.

#### Returns

The importance sampling weights for the specified experiences.

# Return type

torch.Tensor

# get\_weight(idx)

Get the sampling weight for a batch of experiences.

# Return type

Tensor

#### sample\_index(batch\_size)

Sample a batch of indices from the replay buffer.

#### Return type

Tensor

# set\_weight(idx, weight)

Set the sampling weight for a batch of experiences.

store(trajectory)

Store a batch of new experience in the buffer.

# class utils.ctorch.rl.ReplayBuffer(size, continuous\_action=False)

Bases: Module

Implements a replay buffer for storing and sampling experiences.

# **Parameters**

- **size** (*int*) The maximum size of the buffer.
- **continuous\_action** (*bool*, *optional*) Whether the action space is continuous. Defaults to False.

# forward(idx)

Sample a batch of experiences from the buffer.

# Return type

Trajectory

#### get\_batch(idx)

Sample a batch of experiences from the buffer.

#### **Return type**

Trajectory

# property length

Get the current length of the buffer.

# sample\_index(batch\_size)

Randomly sample a batch of indices from the buffer.

# Return type

Tensor

store(trajectory)

Store a batch of new experience in the buffer.

# class utils.ctorch.rl.TargetNetworkMixin

Bases: Module

Mixin class for models with a target network.

copy\_params(src, tgt, weight=1.0)

# setup\_target()

Create a target network by copying the current network. This method can only be called once.

# property target: Self

The target network for the Q-learning algorithm. Used in double DQN. If not set, the current network is used.

#### Returns

The target network or self if not set.

# Return type

Module

# update\_target(weight=1.0)

Update the target network by copying the weights from the current network. No-op if the target network is not used.

# **Parameters**

weight (float, optional) – The interpolation weight for the update. By default, it is 1.0.

class utils.ctorch.rl.Trajectory(state, action, reward, next\_state, done, log\_pi, total\_reward)

Bases: object

A batch of states, actions, and rewards collected from the environment.

- **state** (*torch.Tensor*) The states in the trajectory of shape (L, \*state\_shape).
- **action** (torch. Tensor) The actions taken in the trajectory of shape (L, \*action\_shape).
- **reward** (torch. Tensor) The rewards received in the trajectory of shape (L,).
- **next\_state** (torch. Tensor) The next states in the trajectory of shape (L, \*state\_shape).
- **done** (*torch.Tensor*) The done flags in the trajectory of shape (L,).
- **log\_pi** (*torch.Tensor*) The log probabilities of the actions taken in the trajectory of shape (L,).

• total\_reward (float) - The total reward of the trajectory. nan if the batch is not a trajectory. action: Tensor clone() done: Tensor get(key) Return type Tensor log\_pi: Tensor next\_state: Tensor reward: Tensor state: Tensor tensor\_fields: ClassVar[List[str]] = ['state', 'action', 'reward', 'next\_state', 'done', 'log\_pi'] total reward: float utils.ctorch.rl.run\_episode(env, model, max\_episode\_steps=None, reward\_shape=<function

\_default\_shape>, \*\*act\_kwargs)

Simulates a single episode in the environment using the given model.

#### **Parameters**

- **env** (*gymnasium*. *Env*) The environment to simulate.
- model (BaseRLModel) The RL model to use for action selection.
- **eps** (*float*) The exploration rate.
- max\_episode\_steps (int | None) The maximum number of steps in the episode.
- reward\_shape (Callable[[s, a, r, s\_prime, terminated, truncated], torch.Tensor]) A function to adjust the reward based on the current reward, arrived state, terminal state, and time limit truncation. Returns the adjusted reward to be passed to the model.

#### Returns

The collected trajectory.

# Return type

**Trajectory** 

```
utils.ctorch.rl.torch_step(env, device='cpu')
```

A wrapper for the environment step function to convert actions from torch tensors and results to torch tensors.

# **Parameters**

**env** (*gymnasium*. *Env*) – The environment to wrap.

#### Returns

The wrapped env.step function.

# Return type

Callable[[torch.Tensor], Tuple[torch.Tensor, torch.Tensor, torch.Tensor, torch.Tensor, Dict[str, Any]]]

CHAPTER
THREE

# **SAMPLER UTILITIES**

# LOGGING UTILITIES

utils.clogging - Colored Logging Formatter

A Python module that provides a colored logging formatter for the *logging* module. This module defines a base colored formatter class and a default implementation that applies specific colors to different logging levels and fields. It allows for easy customization of log message styles, making it easier to distinguish between different log levels in console output.

class utils.clogging.BaseColoredFormatter(fmt='%(asctime)s %(levelname)-8s %(name)s: %(message)s', datefmt='%Y-%m-%d %H:%M:%S', style='%')

Bases: Formatter

Add color to log messages based on their level.

This formatter allows customization of log message styles based on their logging level. It supports coloring the level name and optionally the message itself. The styles can be customized through the *get\_color* method, which should return a argument dictionary compatible with *cprint.cprint*.

#### **Parameters**

- **fmt** (*str*) The format string for the log messages.
- **datefmt** (*str* / *None*) The format string for the date in log messages.
- **style** (*str*) The character used in the format string (default is '%').

(same as logging.Formatter)

# property field\_names: List[str]

Returns the list of field names that can be colored. This can be overridden in subclasses to specify which fields are available.

'base' is a special field that can be used to apply a default color to the template.

#### Returns

A list of field names that can be colored.

# Return type

List[str]

# format(record)

Formats the log record with colors applied to the specified fields.

# **Parameters**

**record** (*logging.LogRecord*) – The log record to format.

# Returns

The formatted log message with colors applied.

#### **Return type**

str

# formatTime(record, datefmt=None)

Formats the time of the log record. If datefmt is provided, it formats the time accordingly. Otherwise, it uses the default format.

#### **Parameters**

- **record** (logging.LogRecord) The log record to format.
- **datefmt** (*str* / *None*) The format string for the date.

#### Returns

The formatted time string with color applied.

#### Return type

str

#### get\_color(field\_name, level)

Returns the color format for a given field name and logging level. This method should be overridden in subclasses to provide specific color styles for different fields and levels.

#### **Parameters**

- **field\_name** (*str*) The name of the field to color.
- **level** (*int*) The logging level for which to get the color.

#### Returns

A dictionary of color attributes compatible with utils.cprint.cprint.

#### Return type

Dict[str, Any] | None

Bases: BaseColoredFormatter

Default colored formatter with predefined styles for log levels.

```
get_color(field_name, level)
```

Returns the color format for a given field name and logging level. This method should be overridden in subclasses to provide specific color styles for different fields and levels.

#### **Parameters**

- **field\_name** (*str*) The name of the field to color.
- **level** (*int*) The logging level for which to get the color.

# Returns

A dictionary of color attributes compatible with *utils.cprint.cprint*.

# Return type

Dict[str, Any] | None

# PRINTING UTILITIES

utils.cprint - Colorful Terminal Output

A Python module for colorful terminal output with support for ANSI escape codes, xterm-256color, and true color (24-bit RGB). It provides functions to format text with foreground and background colors, styles (bold, italic, underline, strikethrough), and fallback to lower color grades if the terminal does not support the requested color depth.

utils.cprint.cformat(text, \*, fg=None, bg=None, fallback=True, bf=False, dim=False, it=False, us=False, st=False, reset=\\x1b(0m')

Format a string with ANSI escape codes for colors and styles.

#### **Parameters**

- **text** (*str*) The text to format.
- **fg** (str | int | Tuple[int, int, int] | None) Foreground color (color name, xterm-256color index, RGB tuple, or hex code).
- **bg** (str | int | Tuple[int, int, int] | None) Background color (same format as fg).
- **fallback** (*bool*) Whether to use fallback colors if the terminal does not support the requested color depth.
- **bf** (*boo1*) Whether to use bold text.
- it (bool) Whether to use italic text.
- **us** (*bool*) Whether to underline the text.
- **st** (*bool*) Whether to use strikethrough text (not supported in all terminals).
- **reset** (*str*) String to append after the formatted text (default is ANSI reset code).

#### **Returns**

A formatted string with ANSI escape codes.

# **Return type**

str

utils.cprint.cprefix(fg=None, bg=None, fallback=True, bf=False, dim=False, it=False, us=False, st=False)
Generate an ANSI escape code prefix for formatting text with colors and styles.

- **fg** (str | int | Tuple[int, int, int] | None) Foreground color (color name, xterm-256color index, RGB tuple, or hex code).
- **bg** (str | int | Tuple[int, int, int] | None) Background color (same format as fg).

- **fallback** (*bool*) Whether to use fallback colors if the terminal does not support the requested color depth.
- **bf** (*bool*) Whether to use bold text.
- it (bool) Whether to use italic text.
- **us** (*boo1*) Whether to underline the text.
- **st** (*boo1*) Whether to use strikethrough text (not supported in all terminals).

#### Returns

A string containing the ANSI escape code prefix.

# Return type

str

utils.cprint.cprint(\*obj, fg=None, bg=None, fallback=True, bf=False, dim=False, it=False, us=False,  $st=False, reset=\x1b[0m', sep='', end=\x1, fle=None, flush=False)$ 

Colorful print function with support for foreground and background colors, styles (bold, italic, underline, strikethrough), and fallback to lower color grades if the terminal does not support the requested color depth.

#### **Parameters**

- \*obj (Any) Objects to print.
- **fg** (str | int | Tuple[int, int, int] | None) Foreground color (color name, xterm-256color index, RGB tuple, or hex code).
- **bg** (str | int | Tuple[int, int, int] | None) Background color (same format as fg).
- **fallback** (*bool*) Whether to use fallback colors if the terminal does not support the requested color depth.
- **bf** (*bool*) Whether to use bold text.
- it (bool) Whether to use italic text.
- **us** (*bool*) Whether to underline the text.
- **st** (*bool*) Whether to use strikethrough text (not supported in all terminals).
- **reset** (*str*) String to append after the formatted text (default is ANSI reset code).

The following parameters are inherited from the built-in print function:

- sep: Separator between objects.
- end: String appended after the last object.
- file: A file-like object (default is sys.stdout).
- flush: Whether to forcibly flush the output buffer.

# ARGUMENT PARSING UTILITIES

# utils.parser

A utility to automatically parse command line arguments into a dataclass instance. This module provides a decorator @auto\_cli that can be applied to a dataclass. After applying the decorator, you can call parse\_args() on the dataclass to parse command line arguments and return an instance of the dataclass.

- 1. A special field --config is added to allow loading configuration from a file in JSON, YAML, or TOML format.
- 2. When a field is specified in both the command line arguments and the configuration file, the command line argument takes precedence.

```
utils.parser.auto_cli(cls=None,/(Positional-only parameter separator (PEP 570)), **decorator_kw)
```

Automatically generates an argument parser for a dataclass with type hints. Field types, including basic types, and Optional are automatically recognized and converted corresponding argument types. Complex types like lists and dictionaries can be input as strings in json format.

Apart from the fields of the dataclass, auto\_cli also adds a --config argument to the parser for loading configuration settings from a json, yaml, or toml file. Values from the configuration file have a lower priority than command line arguments.

The decorated function will get the following new class methods:

• get\_parser(prefix: str = '') -> argparse.ArgumentParser:

Returns an *argparse.ArgumentParser* instance with arguments based on the dataclass fields. The *prefix* argument is prepended to each argument name. The parser returned does not include the --config argument for loading configuration files.

# Example:

```
@auto_cli
@dataclasses.dataclass
class YourClass:
   name: str = 'DefaultName'
   age: int = 25

parser = YourClass.get_parser()
parser_prefix = YourClass.get_parser(prefix='man')
```

The generated *parser* will accept the following arguments:

- -- name: The name argument with a default value of 'DefaultName'.
- -- age: The age argument with a default value of 25.

The generated parser\_prefix will accept the following arguments:

- --man-name: The name argument with a default value of 'DefaultName'.

- --man-age: The age argument with a default value of 25.

# • parse\_namespace(ns: argparse.Namespace, kw: Dict[str, Any] = None, prefix: str = '') -> 'YourClass':

Parses an argparse. Namespace instance and a kwargs dictionary into an instance of the dataclass. Prefix is prepended to each argument name. The kw dictionary is loaded from a config file if specified in ns. A ValueError is raised if a required argument is missing from both ns and kw, and no default value is provided.

• parse\_args(argv: List[str] = None) -> 'YourClass':

Parses command line arguments and returns an instance of the dataclass. If argv is None, it uses sys.argv[1:]. The method also handles config files specified in the command line arguments.

#### Returns

The decorated class.

#### Return type

Type[cls]

```
utils.parser.get_all_parser(dataclass=None, **dataclasses)
```

Returns a combined argparse. ArgumentParser that merges the parsers of multiple dataclasses into one, and then adds a --config argument for loading configuration files.

The dataclass provided as a positional argument is treated as unprefixed, while the others are prefixed with their keyword argument names.

#### **Parameters**

- dataclass (Optional[Any]) A single dataclass to include in the parser.
- **\*\*dataclasses** (*Any*) Additional dataclasses to include in the parser.

#### Returns

An argument parser that includes all specified dataclasses.

#### **Return type**

argparse.ArgumentParser

```
utils.parser.parse_all_args(cli_args=None, dataclass=None, **dataclasses)
```

Parse command line arguments into a dictionary of dataclass instances. Each dataclass is identified by its name in the *dataclasses* argument.

The parse\_all\_args function accepts two types of input:

- parse\_all\_args(cli\_args, dataclass, \*\*dataclasses): where cli\_args is a list of command line arguments, dataclass is the unprefixed dataclass, and dataclasses are additional prefixed dataclasses.
- 2. parse\_all\_args(dataclass, \*\*dataclasses): where dataclass is the unprefixed dataclass and dataclasses are additional prefixed dataclasses. sys.argv[1:] is used as the command line arguments.

The result is a dictionary where the keys are the prefixes of the dataclasses and the values are instances of those dataclasses, parsed from the command line arguments. The unprefixed dataclass is stored under the key ''.

# Example:

```
@auto_cli
@dataclasses.dataclass
class ClassMain:
   name: str = 'MainName'
   age: int = 30
```

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```
@auto_cli
@dataclasses.dataclass
class ClassAdditional:
   address: str = 'DefaultAddress'
   phone: str = '1234567890'

parser = get_all_parser(ClassMain, additional=ClassAdditional)
```

The generated parser will accept the following arguments:

- --name: The name argument with a default value of 'MainName'.
- --age: The age argument with a default value of 30.
- --additional-address: The address argument with a default value of 'DefaultAddress'.
- --additional-phone: The phone argument with a default value of '1234567890'.

Or the following configuration files:

```
name: 'MainName'
age: 30
additional_address: 'DefaultAddress'
additional_phone: '1234567890'
```

#### **Parameters**

- cli\_args (List[str] | Any | None) Command line arguments to parse. If None, uses sys.argv[1:].
- dataclass (Any / None) A single dataclass to include in the parsing.
- \*\*dataclasses (Any) Additional dataclasses to include in the parsing.

#### Returns

A dictionary where keys are dataclass names and values are instances of those dataclasses.

# Return type

Dict[str, Any]

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