Evaluating Prompt-based Text Style Transfer

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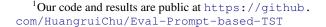
Abstract

Text style transfer is the task of transferring a text from one written style to another while preserving content. Previous researchers have made use of encoder-decoder architectures to paraphrase a given text into a normalized sentence, then performed stylization on a finetuned inverse-paraphraser to insert a specific style. While promising, the method is limited by having to fine-tune separate models for each desired style. Recent work has explored prompt-based text style transfer with pretrained large language models, allowing more complex transformations to arbitrary styles. We propose that prompt-based text style transfer could be good enough to allow for arbitrary styles while providing reliable prompts. By experimenting with straight prompting as well as two-step paraphrase prompting methods, we benchmark large language models on standard text style transfer methods.1

1 Introduction

Humans write in different styles for varying contexts. A text message from a friend might read more informally than a professional email to a colleague, and famous authors are known for their unique writing "voices". Textual styles can be characterized by attributes like formality, politeness, sentiment, emotion, etc. (Jin et al., 2022). At a lower level, features that create differences in style include vocabulary and token frequencies, while more abstract differences include choices in grammar, syntax, and the overall structure of the text. By writing in a specific style, we communicate ideas in personalized ways.

Text style transfer is the task of transferring a text from one written style to another while preserving content, as in Figure 1. In recent work in the adjacent fields of image and audio style transfer,



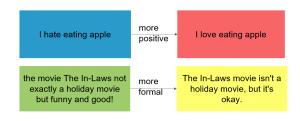


Figure 1: An example of text style transfer for sentiment and for formality.

users input a photo and get a rerendering in the style of Van Gogh², or users input a voice recording and get an audio clip that sounds like Drake³. The future hope is to find methods for a similar process in text style transfer, where users might input a written piece and get a rewritten version in the writing "voice" of Mark Twain.

Two definitions of "style" versus "content" derive from linguistics and practical data: using linguistics, style consists of non-functional linguistic features and content is the semantic meaning. While using data, style is the variance between two corpora and content is invariance (Mou and Vechtomova, 2020).

Unlike in image and audio generation, however, text generation is discrete, which makes backpropagation of output error challenging (Iqbal and Qureshi, 2022). Text style transfer has thus mostly tested transferring style between sentences rather than paragraphs or longer forms of text.

Whereas previous methods like Hu et al. (2017) encode into and decode from a shared latent space, large language models are capable of prompt-based learning and generalizing to many natural language processing tasks, including text style transfer (Brown et al., 2020). Recent work has experimented with prompt-based text style transfer on ver-

²https://replicate.com/collections/ style-transfer

³https://github.com/svc-develop-team/ so-vits-svc

Here is some text: "ever since joes has changed hands it's just gotten worse and worse.". Here is a rewrite of the text, which is which removes the style but preserves the content:

tts a shame Step 1: diverse paraphrasing it's a shame, uncle Step 2: inverse paraphrasing (Shakespeare, Twitter) Why, uncle, tts a shame No lie... I would jump in me so unsatisfied? Oh, you're gonna leave me unsatisfied, right? Ooh yall will leave me unhappy lol

Test time

Frozen Paraphraser

GPT Inverse-Paraphrase

Here is some text: "[paraphrased version]". Here is a rewrite of the text, which is *more positive*:

Figure 2: Our proposed method for two-step prompting combines the two-step idea from Krishna et al. (2020) and the prompt-based text style transfer from Reif et al. (2022). First, a paraphraser removes style while preserving context. Then, the paraphrased text is passed through the inverse-paraphraser, which adds the target style to the text. To paraphrase, we can use either a prompt-based method on GPT-3.5 or a frozen paraphraser from Krishna et al. (2020). To allow for arbitrary style transfer, we use GPT-3.5 as the inverse paraphraser.

sions of the latest available large language model, specifically GPT-3, but as far as we are aware, no work has yet benchmarked the newer, fine-tuned version, GPT-3.5 (Ouyang et al., 2022) on standard text style transfer methods.

In this paper, we benchmark the prompt-based performance of GPT-3.5. We also contribute a prompt-based two-step method of style transfer using paraphrasing as a middle layer.

2 Related Work

Krishna et al. (2020) propose a two-step style transfer method using a paraphraser and inverse-paraphraser GPT-2 models. First, the authors train a paraphrasing model that removes the style from a text and keeps only the content, thus normalizing the sentence. Their training set for the normalizer model is curated to maximize lexical and syntactic diversity. Then, for each dataset in their corpora with different desired styles, they train an inverse paraphraser. The idea is that the output of an input text run through the paraphrase and its inverse paraphrase will match the input text. All models are fine-tuned on their respective sole task of removing style or adding style back into a sentence. The overview of their idea is shown in Figure 3.

Reif et al. (2022) pioneer prompt-based style transfer using large language models. They propose three methods: zero-shot; few-shot, where the transfer styles in the examples are the same

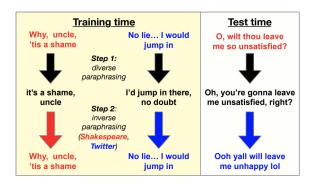


Figure 3: Two step text style transformation using finetuned language models. Borrowed from Krishna et al. (2020).

as the desired transfer style; and augmented zeroshot, where the transfer styles in the examples are varied and not just binary sentiment. They use a proprietary large language model and GPT-3 to test their methods. For evaluation, they use both automatic and human evaluation on parallel benchmarks. They also toy with fun styles where users ask arbitrary edit requests.

Finally, Suzgun et al. (2022) investigate promptbased style transfer on smaller language models. Their method first generates several candidates in the target style based on the use of zero-shot or few-shot prompts of Reif et al. (2022). They then re-rank the candidates according to a combination of desired properties and pick the highest scorer.

3 Methods

3.1 GPT-3.5

We use OpenAI's ChatGPT. Specifically, we use gpt-3.5-turbo, which is the GPT-3.5 family's most capable and cost-effective model. We were able to get API access and run experiments at a minimal cost. As of the submission of this paper, the newest GPT model by OpenAI, GPT-4, is still difficult to use accessibly for experiments, as it has a higher cost per token generation, a more restrictive rate limit, and a wait list for API access⁴. From here on, we will refer to the model we use as GPT-3.5.

While GPT-3.5 follows the same architecture as GPT-3, its has better performance in many tasks thanks to reinforcement learning from human feedback (RLHF) (Ouyang et al., 2022). GPT-3 was available to and used by Reif et al. (2022) in experiments. However, as far as we are aware, GPT-3.5 has yet to be benchmarked on several popular text-style transfer tasks. Since GPT-3.5 is now an even stronger prompt-based language model, we find it reasonable to test its abilities on standard text-style transfer datasets.

We also use a frozen paraphraser by Krishna et al. (2020) which is GPT2-large model fine-tuned to paraphrase in diverse ways.

3.2 Prompting Methods

We propose two methods to test prompt-based text style transfer on GPT-3.5.

3.2.1 Straight Prompting using Zero-Shot and Augmented Zero-Shot

Following prompts designed by Reif et al. (2022) and reused in Suzgun et al. (2022), we test zero-shot and augmented zero-shot text style transfer on GPT-3.5. We found that their zero-shot prompt, left as it was, proved sufficiently simple and performed the same when trying a few example inputs as ablation. We also left the full text of the augmented zero-shot prompt untouched to see if it would perform as well without changes.

Both the zero-shot prompt and augmented zeroshot prompt use a starting delimiter to indicate the beginning of the text generation (ex: "Generate a sentence: '"). While this was likely meant to clue earlier language models to end their generation with a closing delimiter, in our experience with

Zero-Shot

Here is some text: "ever since joes has changed hands it's just gotten worse and worse". Here is a rewrite of the text, which is *more positive*:

Augmented Zero-Shot

Here is some text: "When the doctor asked Linda to take the medicine, he smiled and gave her a lollipop". Here is a rewrite of the text, which is more scary: "When the doctor told Linda to take the medicine, there had been a malicious gleam in her eye that Linda didn't like at ail."

Here is some text: "They asked loudly, over the sound of the train". Here is a rewrite of the text, which is more intense: "They yelled aggressively, over the clanging of the train"...

Here is some text: "ever since joes has changed hands it's just gotten worse and worse". Here is a rewrite of the text, which is more positive:

Figure 4: Straight Prompting using Zero-Shot and Augmented Zero-Shot for text style transfer. The boldface green text is the text we want to transform, the green texts are the texts we want to transform and the blue text are the texts transformed, the red text is the text style we expected.

using GPT-3.5, opening delimiters are no longer as necessary to use to still see interesting and desired output. Our prediction is that RLHF and longer training led GPT-3.5 to better identify the separation between instructions and the start of its generation, as well as deciding when to end. For this reason, we slightly modify the zero-shot and augmented zero-shot prompts by removing the opening delimiter. The straight prompts for Zero-Shot and Augmented Zero-Shot are shown in Figure 4.

3.2.2 Two step with paraphrasing and inverse paraphrasing

We propose using two-step prompting on large language models to improve performance on text transfer tasks, as in Figure 2. We treat GPT-3.5 as a drop-in replacement for the paraphrase and inverse-paraphraser in Krishna et al. (2020). First, we prompt GPT-3.5 to paraphrase and normalize an input text. Then, we use the paraphrased text as input to a fresh reset of the model (a new conversation) and use the straight zero-shot prompt. Before testing on the complete dataset, we experiment with a variety of constructed prompts for the paraphrase step. We want to remove style while preserving content and keep other changes minimal, like text length or vocabulary use.

To isolate testing GPT-3.5's ability as an inverse paraphraser, we also try using the paraphraser model from Krishna et al. (2020) and passing the paraphrased text as input to GPT-3.5 with the straight zero-shot prompt.

⁴https://platform.openai.com/docs/
models

3.2.3 Baseline

Following the practice in Krishna et al. (2020), we also test a baseline method, COPY, that copies the original text as the output. We would expect the style transfer score to be extremely low, the similarity score to be high, and the fluency score to measure the fluency of the original texts.

4 Evaluating style transfer

4.1 Automatic v.s. Human

Both automatic and human evaluation methods exist for text style transfer. In automatic methods, the metrics are easily reproducible and can be calculated automatically given a parallel dataset where there are premade human references for possible translations. In human evaluation, the methods require manual checking or ranking of model outputs by annotators. As automatic evaluations are thought to be insufficient at comprehensively evaluating text generation quality (Liu et al., 2016; Novikova et al., 2017), many papers use both automatic evaluation and human evaluation (Luo et al., 2019; Reif et al., 2022; Luo et al., 2023; Roy et al., 2023; Mir et al., 2019; Suzgun et al., 2022; Krishna et al., 2020). However, for this project, we only use automatic evaluation and do not consider human or crowd-sourced evaluation because of the time limit and the human resource limit.

4.2 Criteria

To measure the success of text style transfer, we look for the generated text to score highly on three axes based on the proposal of Jin et al. (2022): transfer style strength, semantic preservation, and fluency. We discuss each property and a corresponding, appropriate, automated method for measurement.

4.3 Transfer Style Strength

To measure if the generated text has the target style, we use a frozen classifier model that is trained to predict if a text is one style or another. Then, the transfer style strength, or accuracy (ACC), is the percentage of generated texts that lead to correct classifications.

For the Yelp task where the binary classes are "negative" or "positive", we want a sentiment classifier. We follow the practice in Luo et al. (2023); Reif et al. (2022); Lai et al. (2021) and use SiEBERT (Hartmann et al., 2023), a fine-tuned

RoBERTa-Large (Liu et al., 2019) for sentiment classification.

For the GYAFC task where the binary classes are "formal" or "informal", we want a formality classifier. We follow the practice in Luo et al. (2023); Reif et al. (2022); Lai et al. (2021) and use a fine-tuned RoBERTa-Large (Liu et al., 2019) for formality classification. While previous work fine-tuned their own formality classifiers, we find that the most popular formality classifier⁵ on HuggingFace Transformers (Wolf et al., 2020) works as expected for our baseline evaluations.

4.4 Semantic Similarity

Semantic similarity is the shared content of the original and generated texts. A sentence that scores highly on style transfer score should still be relevant and connected to the original sentence by the vocabulary used. To measure content similarity, we use premade human-written references as a proxy and measure the overlap of the generated outputs with the reference style transfers.

There are two popular automated methods that compare the semantic similarity of a generated text to premade human references. The **Bil**ingual **E**valuation **U**nderstudy (BLEU) metric (Papineni et al., 2002) calculates precision: the number of overlapping tokens divided by the number of total tokens in the generated text. The Recall-Oriented Understudy for Gisting Evaluation (ROUGE) metric (Lin, 2004) calculates recall: the number of overlapping tokens divided by the number of total tokens in the reference text.

The simplest form of BLEU is the quotient of the matching words under the total count of words in the hypothesis sentence (traduction). Regarding the denominator BLEU is a precision-oriented metric.

$$\mathbf{p}_n = \frac{\sum_{m \in hypothesis} \mathrm{Count}_{\mathrm{Match}}(m)}{\sum_{m \in hypothesis} \mathrm{Count}(m)},$$

While there are more than 100 variants⁶ of ROUGE, we think the classical ROUGE-N, which is based on n-grams matching between the reference and candidate summaries is the most commonly applied one. The ROUGE-N recall score is as follows:

$$ROUGE-N_R = \frac{\sum_{m \in \mathcal{M}_n} Count_{Match}(m)}{\sum_{m \in \mathcal{M}_n} Count(m)},$$

⁵https://huggingface.co/s-nlp/
roberta-base-formality-ranker

⁶https://aclanthology.org/D15-1013.pdf

where \mathcal{M}_n is the set of unique n-grams in the reference summary, $\operatorname{Count}(m)$ is the number of occurrence of n-gram m in the reference summary, $\operatorname{Count}_{\operatorname{Match}}(m)$ is the number of co-occurrence of n-gram m in both the reference summary and candidate summary.

BLEU was originally created to score translation tasks while ROUGE was originally created for summarization evaluation. While both could theoretically be used to measure semantic similarity in style transfer, in practice, BLEU is the most widely used (Jin et al., 2022).

The specific method of calculating BLEU has also been somewhat standardized. Most papers use multi-bleu.perl, which influenced the implementation of the sacreBLEU package (Post, 2018). SacreBLEU and previous, practical implementations of BLEU scorers use a brevity penalty that encourages shorter sentences. Else, a sentence could hack the metric by including many tokens to increase overlap with the original sentence. The Brevity Penalty (BP) is calculated as follows:

$$BP = \begin{cases} 1 & ifl_{hyp} > l_{ref} \\ \frac{l_{ref}}{l_{hyp}} & ifl_{hyp} \le l_{ref} \end{cases}$$

which then leads to the final BLEU score:

$$BLEU_N = BP \cdot \exp\left(\sum_{n=1}^{N} w_n \log p_n\right)$$

4.5 Fluency

We measure the fluency of texts using average token-level perplexity, the exponent of the loss obtained by the model. We follow the practice in Suzgun et al. (2022); Luo et al. (2023); Reif et al. (2022), we calculate the perplexity of a token as measured by GPT2-Large (Radford et al., 2019) via HuggingFace Transformers (Wolf et al., 2020).

5 Experimental Setup

We evaluate our methods on Grammarly's Yahoo Answers Formality Corpus (GYAFC) (Rao and Tetreault, 2018) and Yelp Reviews (Zhang et al., 2016) using the evaluation methodology proposed in Section 4. We choose GYAFC and Yelp as they are used by several recent works, as seen in Table 1. With a test split size of 1332 and 1000 entries, respectively, the datasets are more accessible to test and experiment on than some other, larger benchmarks (Jin et al., 2022).

5.1 Dataset

The GYAFC corpus was created using the Yahoo Answers corpus "L6 - Yahoo! Answers Comprehensive Questions and Answers version 1.0"7. Sentences that contain URLs, are questions, and are shorter than 5 words or longer than 25 were removed from the original data. Based on the formality classifier from PT16 (Pavlick and Tetreault, 2016), "Entertainment & Music" and "Family & Relationships" which contain the most informal sentences are selected to create the GYAFC dataset (Rao and Tetreault, 2018). After careful analysis, we think GYAFC is of high quality. Moreover, many papers also include GYAFC as their benchmark (Krishna et al., 2020; Suzgun et al., 2022). For the metric evaluation, we choose the "Family & Relationships" part since many other papers only choose this part (Krishna et al., 2020; Suzgun et al., 2022).

Access to the GYAFC dataset requires users to first gain access to the Yahoo Answers corpus and then contact Joel Tetreault. The approval of getting access to the Yahoo Answers corpus and the correspondence with Joel Tetreault is shown in A.

The Yelp dataset is a collection of positive and negative reviews from Yelp reviews to train and evaluate text style transfer. The Yelp Reviews dataset was originally created for sentiment classification tasks. Yelp was extended to the text style transfer task after the addition of human annotations that translated each original text to a rewritten version in its opposite sentiment. The reference style transfers we use are from (Luo et al., 2019). Their annotations classify the rating above 3 stars as positive and below 3 as negative with the train, dev, and test split the same as Li et al. (2018). Since there are both positive and negative entries, Yelp provides two tasks: transferring a positive tone to a negative text and transferring a negative tone to a positive text.

5.2 Dataset Quality

Though the Yelp sentiment style transfer dataset is used by Luo et al. (2019); Reif et al. (2022), the quality of this dataset is not that high. The manually translated sentiment sentences might not make sense. We notice that for some negative sentences like "this place is awful!" and "that is ridiculous", their corresponding manually generated positive

https://webscope.sandbox.yahoo.com/ catalog.php?datatype=l&did=11

Paper	Models	Params	GYAFC?	YELP?
1	STRAP	774M	✓	
2	GPT-2-XL	1.6B	✓	✓
2	GPT-Neo-1.3B	3B	✓	
2	GPT-J-6B	6B		✓
3	LaMDA	137B		✓
3	GPT-3	175B		✓

Table 1: Summary of Models, Benchmarks

- 1. Style Transfer via Paraphrasing (STRAP): Reformulating Unsupervised Style Transfer as Paraphrase Generation (Krishna et al., 2020)
- 2. GPT-2-XL,GPT-J-6B,GPT-Neo-1.3B: Prompt-and-Rerank: A Method for Zero-Shot and Few-Shot Arbitrary Textual Style Transfer with Small Language Models (Suzgun et al., 2022)
- 3. GPT-3,LaMDA: A Recipe for Arbitrary Text Style Transfer with Large Language Models (Reif et al., 2022)

sentence is "gre, fun, knowledgable for a wonderfulat"⁸. We don't think of "GRE" as fun and this sentence also doesn't make sense to us. Moreover, for some positive sentences like "they are honest, professional, and quick." the corresponding manually generated negative sentence is "bor bored bored bored boreded". We hypothesize that the annotator felt bored when assigned this transformation task.

6 Result

We show our results on Yelp and GYAFC in Table 2 and Table 3, respectively.

6.1 Transfer Style Strength

For Yelp, GPT-3.5 using augmented zero-shot performs the highest in style transfer accuracy, setting a new state of the art at 94% and beating previous performances by at least 4 points. For GYAFC, we observe that all our methods score near perfect accuracy, with only one output classified as informal or no incorrect classifications at all. This indicates that GPT-3.5 has a strong handle on transferring formality into text, which seems reasonable if we consider that in RLHF, formal text and grammatically correct sentences are more highly rewarded.

The GPT paraphraser with a GPT inverse paraphraser performs the same or better than the frozen paraphraser with a GPT inverse paraphraser. Both methods perform worse than straight zero-shot or augmented zero-shot. For the GPT paraphraser, this indicates that perhaps more work is required to find a prompt that paraphrases and removes style from the text in the most ideal way. With the frozen

paraphraser, which was trained on a smaller amount of data, out-of-distribution inputs are probably handled less robustly than GPT-3.5.

We also need to notice that for the naive copy method, the accuracy for $Yelp_{N->P}$ is 11%, $Yelp_{P->N}$ is 1%, GYAFC is 13%. That means either our sentiment classification model is not perfect or the data is slightly ambiguous for sentiment.

6.2 Semantic Similarity and Fluency

GPT-3.5 scores lower on the similarity metric, BLEU, and scores worse on the fluency score, perplexity (PPL). This is likely due to the longer outputs of GPT-3.5 as well as the lack of specification in the zero-shot or augmented zero-shot to keep the prompt short or semantically similar to the original text.

From qualitative observations, the BLEU scores are low because, while the generated texts have high semantic similarity with the original texts, they still differed with the choices made by human annotators. In Yelp, as discussed in 5.2, the differences are due to annotation quality. In GYAFC, for transferring the formality of "The rest is easy from there!!", GPT-3.5 straight zero-shot outputs "It becomes simple after that point." while the references are "From there, the rest is easy!", "The rest becomes easy after this.", and "The rest is easy from there.". The meaning is similar but the BLEU score is equal to 0.

^{*}The references can be found at https:
//github.com/luofuli/DualRL/blob/master/
data/yelp/tsf_template

Dataset		YELP		
Model		BLEU	PPL (GPT-2)	
[1] DualRL* [†]		55.1	982	
[1] DualRL**		25.9	133	
[2] Prompt and Rerank(GPT-2-XL)** _{P->N}		14.8	65	
[2] Prompt and Rerank(GPT-J-6B)** _{P->N}		23.0	80	
[2] Prompt and Rerank(GPT-2-XL)** _{N->P}		12.0	55	
[2] Prompt and Rerank(GPT-J-6B)** $_{N->P}$	65	20.2	58	
[3] Lambada zero-shot*†	90.6	10.4	79	
[3] Lambada five-shot*†	83.2	19.8	240	
[3] Lambada augmented zero-shot*†	79.6	16.1	173	
GPT-3.5 zero-shot* $_{P->N}$	91	6.2	108	
GPT-3.5 augmented zero-shot* $_{P->N}$		5.2	96	
Frozen Paraphraser, GPT Inverse* $_{P->N}$		2.2	100	
GPT Paraphraser, GPT Inverse* $_{P->N}$	87	3.3	102	
GPT-3.5 zero-shot * $_{N->P}$		4.3	65	
GPT-3.5 augmented zero-shot* $_{N->P}$		4.3	68	
Frozen Paraphraser, GPT Inverse $*_{N->P}$		2.0	67	
GPT Paraphraser, GPT Inverse* _{N->P}		3.3	102	
Baseline: copy the input as the output* $_{P->N}$		55.0	251	
Baseline: copy the input as the output* $_{N->P}$		56.7	361	

Table 2: Results on Yelp.

References: [1] (Luo et al., 2019), [2] (Suzgun et al., 2022), [3] (Reif et al., 2022)

Dataset		GYAFC		
Model		BLEU	PPL (GPT-2)	
[1] DualRL*		41.9	-	
[2] Prompt and Rerank (GPT-Neo-1.3B)**		36.4	68	
[2] Prompt and Rerank (GPT-2-XL)**		32.7	58	
GPT-3.5 zero-shot		8.8	65	
GPT-3.5 augmented zero-shot		8.8	81	
GPT paraphraser, GPT inverse paraphrase		5.2	88	
Frozen Paraphraser, GPT Inverse Paraphraser		5.7	82	
Baseline: copy the input as the output		51.0	199	

Table 3: Results on GYAFC.

References: [1] (Luo et al., 2019), [2] (Suzgun et al., 2022)

^{*:} For these models, the performance is based on the Yelp sentiment style transfer dataset provided by Luo et al. (2019).

^{†:} The data is provided by Reif et al. (2022)

^{** :} For these models, the performance is based on the Yelp Restaurant Review provided by Zhang et al. (2016). The data are provided by Suzgun et al. (2022)

^{*:} The data is provided by Luo et al. (2019)

^{**:} The data are provided by Suzgun et al. (2022)

7 Conclusion

In this project, we applied prompt-based and twostep methods using GPT-3.5 to achieve near-perfect scores for standard text-style translation tasks. While GPT-3.5 does not necessarily score high on the similarity or fluency scores, its ability to set the new state of the art on accuracy suggests that GPT-3.5 and similar models must be tested on more challenging style transfer tasks. As the power of general large language models exceeds the testing power of automatic evaluations, studying their abilities, such as in emulating author styles, becomes a more manually involved process that involves fields other than solely natural language processing.

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A Appendix

Figure 5 and 6 are emails to get access to Yahoo Reviews and GYAFC.



This is Huangrui Chu from Yale University and I want to use the GYAFC dataset to train a format transformation model.

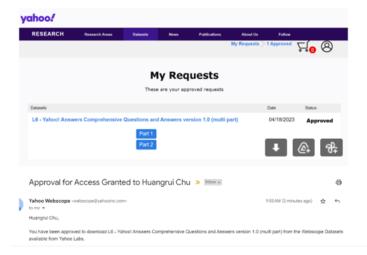


Figure 5: Approvement of YAHOO



Figure 6: Access to GYAFC