# Convergence in adaptation after domestication in the grasses

Woodhouse, M.R. and M. B. Hufford

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#### **Abstract**

The selection of desirable traits in crops during domestication has been well studied. In this review, the authors explore the current research to determine to what extent domestication in grass cereal crops has shaped environmental adaptation, and whether it is possible to predict which loci in a cereal might confer adaptive properties.

# Introduction

Human societies rely heavily on domesticated crop species for survival. For example, considering crop production as a measure of consumption, in 2016 alone the United States produced 384 million tons of maize, China produced 211 million tons of rice, and Nigeria produced 6.9 million tons of sorghum [26]. Human reliance on crops has deepened over the last  $\approx 10,000$  years, as crops have been continually selected by humans for traits including nutrition, yield, and other attractive features, a process that has also dramatically changed crop physiology. As such, domesticated crops are often radically different from their wild relatives. Notably, there are several traits beyond yield and nutrition that often distinguish domesticated crops from their wild progenitors [17], distinctions that are frequently shared even among distantly related crops such as maize and sunflower. These traits include apical dominance or lack of branching, loss of seed dormancy, loss of bitterness, and loss of shattering or seed dispersal (Table 1). This suite of shared traits is collectively known as the domestication syndrome [40].

But how can two vastly diverged species such as maize and sunflower (their last common ancestor was 150 MYA [12]) share the same domestication traits? Since these two species still share enzymatic pathways, perhaps orthologous genes or genes with similar physiological roles have been targeted by selection during domestication. Shared phenotypes caused by repeated modification of orthologs, is a phenomenon known as parallelism; parallelism is more likely to occur in closely related species due to their similar complement of genes [83]. Conversely, it is possible for unrelated genes in different enzymatic pathways to give rise to similar traits, particularly when species experience similar selection pressures (either human or environmental), such as fruit/seed indehiscence in both dicot and monocot crops (reviewed in [18]). This phenomenon, known as convergence, is more likely to occur in substantially diverged species that contain fewer orthologous loci and pathways [104, 83].

Table 1: Prevalence of Domestication Syndrome Traits

<b>Domestication Trait</b>	In Grass Crops	In non-Grass Crops	References
Compact plant growth	yes	yes	[33, 63]
Reduced axillary branching	yes	yes	[63]
Reduced seed dormancy	yes	yes	[33, 25]
Changes in flowering time	yes	yes	[63]
Uniform flowering or matura-	yes	yes	[63]
tion time			
Vernalization	yes	yes	[8]
Increased resource allocation	yes	yes	[73]
to harvested organ/larger or-			
gan (fruit, grain, root)			
Compact inforescence	yes	yes	[33, 38]
Non-shattering/indehiscent	yes	yes	[63, 19]
fruit or grain			
Changes in pigmentation	yes	yes	[63]
Self-fertilizing	yes	yes	[33]
Perennial to annual lifecycle	yes	yes	[33, 73]
Sexual to vegetative repro-	no	yes	[69]
duction			
Reduced defensive structures	no	yes	[73, 82]
(spines, thorns)			
Reduced toxicity	no	yes	[73, 91]
Soft or naked kernel or seed	yes	no	[101]
Increased spikelets	yes	no	[33]
Increased number of kernel	yes	no	[63]
rows	-		

After the initial wave of crop domestication yielded many of the aforementioned domestication syndrome traits, another level of domestication ensued—the adaptation of crop species to varied environmental conditions during global expansion. A cultivar of maize bred for cultivation at sea level, for instance, may not necessarily thrive in the colder, higher UV environment of the Andes. Therefore, cultivators in the Andes must have looked for individuals in the existing domesticated maize population that were hardy under these new conditions. However, crop adaptation faced genetic limitations not experienced during domestication of wild progenitors due to the genomewide loss of diversity during genetic bottlenecks associated with both initial domestication and subsequent crop expansion [102].

Only a subset of genome-wide diversity was retained in initial domesticates and additional diversity was lost through subsampling events during crop expansion. Furthermore, selection on particular alleles coding for desirable traits (such as those comprising the domestication syndrome) often resulted in dramatic reductions in diversity in particular chromosomal regions. The effects of this loss of genetic diversity on the potential for adaptation has been documented. For example, a dramatic genetic bottleneck in the "lumper" variety of potato led to a catastrophic outbreak of *Phytopthera infestans*, resulting in the infamous Potato Famine in Ireland in the 1840s [36]. The Potato Famine demonstrated that by divesting a crop cultivar of its diversity, the cultivar also loses its ability to adapt to newly encountered environmental pressures, because the alleles that code for adaptive traits such as, for instance, disease resistance are lost.

This review will focus on the extent of parallelism and convergence in both crop domestication and adaptation and consider the extent to which early bottlenecks have affected the potential for parallelism and convergence during post-domestication adaptation. We will focus mainly on grass crops, since the major domesticates—maize, rice, sorghum, wheat, barley, and millet—include a range of divergence times conducive to adaptation and evolution of domestication syndrome traits through both parallelism and convergence. Grasses also share a certain amount of genomic dynamism, including polyploidization and transposable element activity, that provides diversity upon which selection can act. We examine the relationship between domestication and adaptive traits, how domestication bottlenecks reduce population diversity, and look at the ways in which the dynamic nature of grass genomes might potentially increase genomic diversity to facilitate adaptation despite bottlenecks during domestication and expansion.

## **Domestication in the grasses**

Grasses have often been studied as a cohesive genetic group [7, 29], and there are many reasons why they present a compelling system for studying crop domestication. The grass clade is thought to have arisen around 75 MYA [10, 55] with rice, wheat, barley, millet, maize, and sorghum arising sequentially afterward (Figure 1). Prior to the radiation of the grasses, however, a genome duplication event occurred approximately 70 MYA [80], which is shared among all grass crops (Figure 1). Subsequently, both maize and wheat have undergone additional, lineage-specific polyploidy events (Figure 1) [64]. These polyploidy events, followed by selective and ongoing fractionation, present an opportunity for grass genomes to evolve subfunctionalized homeologs; this, along with relatively high transposon activity (particularly in maize and wheat) that can give rise to functional

mutation [107, 67], make the grasses a useful system for studying the potential for adaptation after a domestication bottleneck.

Additionally, most grass crops were domesticated within the latitudinal boundaries of the equator and 35 N [46, 33], featuring both wet and dry seasons [46], which means that many domesticated grasses shared similar environmental pressures such as temperature, precipitation and day length. However, each grass cereal has been cultivated in separate geographic locations, including maize (Americas), sorghum (Africa), rice (Asia), millet (Eurasia), and wheat and barley (the Middle East) [35], and, to some extent, approaches to domestication in these separate regions were guided by culturally distinct selection of traits. Taken together, these features make domesticated grass species especially conducive to the meaningful study of convergence and parallelism.

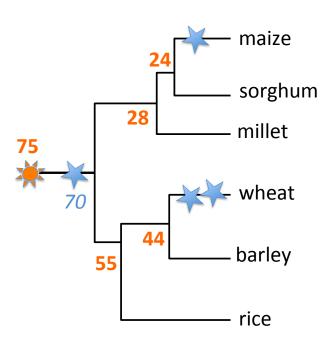


Figure 1: Simple cladogram of major cereal speciation. Numbers are in MYA (millions of years ago). Orange sun: grass speciation event 75 MYA. Blue stars: polyploidy events; the major grass polyploidy event immediately after the grass speciation event occurred approximately 70 MYA. The Ehrhartoideae clade, which includes rice, arose approximately 55MYA. The Pooideae clade, which includes wheat and barley, arose around 44MYA; Chloridoideae which contains foxtail millet 28 MYA, and the Panicoids, which include maize and sorghum, arose approximately 24MYA. The branch length is not proportional to the number of substitutions per site.

Grass crops do share a number of common domestication syndrome traits also observed in nongrass crop species (Table 1). However, some common domestication syndrome traits are notably lacking in the grasses, such as reduced toxicity, vegetative reproduction, and reduced defensive structures like spines and thorns—by and large the wild relatives of grass crops lacked these defense mechanisms. Likewise, some grass domestication syndrome traits are absent in non-grass crops, such as increased spikelet number and increased number of kernel rows, because these traits occur on structures that are not found outside the grasses.

An ever-increasing number of causal genes for traits in the domestication syndrome are being identified both within and outside of the grasses; these genes are summarized in Table 2 (modified from [63]). Grass domestication genes can be categorized based on whether they occur strictly within a species, share orthologs across the grasses, share orthologs within and outside of the grasses, or share orthologs entirely outside of the grasses (Column 3, Table 2) This gives us an opportunity to form a hypothesis as to the likelihood of convergence for a certain trait based on its known orthology across taxa. For example, in column 8 of Table 2 we indicate whether a domestication gene is expected to be convergent or parallel, based on patterns of orthology. If a domestication gene is found only within a species, it cannot be selected in parallel. For instance, coloration in rice through selection on the *Bh4* gene may be expected to be convergent since this gene's influence on coloration appears to be specific to the rice species. On the other hand, the coloration gene *BADH2* is found in both rice and soybean, (Table 2), suggesting an example of parallel selection.

Given that we see examples of both parallelism and convergence during domestication, what might determine which prevails for a given trait? A review by Lenser and Theissen [63] sets out four examples of when parallelism might be favored: (1) Genes occupying a nodal position upstream of genes that affect domestication traits; (2) Genes involved in simple metabolic pathways, because only a minimal set of genes serves as a potential mutational target to change a given trait (such as *Waxy*, Table 2); (3) genes with fewer pleiotropic effects, such as the MYB genes (i.e. *DFR*) associated with changes in fruit or seed color; (4) domestication-related alleles that are already present at low frequency within a wild population. Parallelism also requires retention of orthologous genes throughout evolution and a lack of functional divergence. Therefore, loss of certain orthologs in wild relatives prior to the onset of crop domestication would make parallel domestication for some traits impossible.

Table 2 provides a starting point to predict which domestication genes are likely to be found across the grasses, which traits in the grasses are likely to be selected in parallel, and which are likely to be convergent. This is useful if we wish to breed wild grass relatives for domestication traits, or create hybrids among existing cultivars, since we can now associate favorable phenotypes and QTL with orthologs across species by simple comparative genomics. But to what extent can this knowledge help us to understand how domestication has impacted a crop's ability to adapt to new environments and the extent to which adaptation is in parallel or convergent across crops?

### **Adaptation in the grasses**

An adaptive trait is one that interacts or responds to the environment in a way that helps an organism to thrive. For domesticated crops, however, adaptive traits that reverse desired domestication phenotypes such as yield, fragrance, flavor, or reduced shattering would not be considered favorable; therefore, we will narrow the definition of an adaptive trait to one that interacts or responds

Table 2: Parallel or Convergent Orthologies

Special spin spin spin spin spin spin spin spin	Crop species	Ortholog phylogeny	Phylogeny of domestication trait	Orthologous gene(s)	Gene product	Phenotypic trait	Trait type	Convergence	References
Special professorial grass-wide	Rice, barley	Family	grass-wide	OsGA200x-2, HvGA200x-2	Metabolic enzyme	Dwarfism	domestication	parallel	[3, 2, 48]
typen milled     Finality     prinsiphy     prosessive process     60.4.2.     Transparet process     Dominism of the procession of the processio	Wheat	Species	species-specific, grass	Rht-1	SH2-TF	Dwarfism	domestication	convergent	[17]
cycle cut common beared     SP DII, PVITA19.     Signaling protein     Descriptions provide by considering protein and by conditions of protein and by conditions and by conditions and by conditions are stated as a consideration of protein and by conditions are stated as a consideration of protein and by conditions are stated as a consideration of protein and by conditions are stated as a consideration of protein and by conditions are stated as a consideration of protein and by conditions are stated as a consideration of protein and by conditions are stated by conditions are stated by conditions are stated by conditions are stated by conditions and by conditions are stated by conditions are stated by conditions are stated by conditions and by conditions are stated by conditions and by conditions are stated by conditions are stated by conditions are stated by conditions and by conditions are stated by conditions are stated by conditions are stated by conditions and by conditions are stated by conditions and by conditions are stated by conditions and by conditions are stated by conditions and by conditions are stated by conditions are stated by conditions are stated by conditions are stated by conditions and by conditions are stated by conditions are stated by conditions and by conditions are stated by condi	Sorghum, pearl millet	Family	grass-wide	dw3, d2	Transporter protein	Dwarfism	domestication	parallel	[74, 79]
construction of the control of parts of	Tomato, soybean, common bean	Family/above family	outside the grasses	SP, Dt1, PvTFL1y	Signaling protein	Determinate growth	domestication	parallel	[17, 88, 68, 61, 981
theatt, pegrans     Species/furning     grass-wide     VRN1_ARM5, TanACTCP     Analyse demain TP     Provening time     both     parallel       chould make     Species/furning     grass-wide     ORAS 22 CCT1_AGACCTS     Adminstry     Provening time     both     parallel       cho, wheat, sorghum.     Species/furniny/bobove family     grass-wide     ORAS 22 CCT1_AGACCTS     AGACCTA_CORAGUAN     Provening time     both     parallel       basis of activated     Farmily show family     grass-s and beyond     HATL ALM HATL ALM LATL ALM LATL BLACK Annual LATL ALM LATL	Barley, pea, strawberry	Above family	grasses and beyond	HvCEN, PsTFL1c, FvTFL1	Signaling protein	Flowering time	both	parallel	[14, 28, 58]
cheat mains     Species/family spaces wide     VRR2.ZCCT1.ZngCCT9     Znamignet-CTI     Provening time     both     portalised       species/family shower family     grasses and beyond     SPRERS.1.Pa-HII.PMI.     Creation close     Provening time     both     parallel       sty.     family shower family     grasses and beyond     HAIT.ABAS. BATCT     Application close     Provening time     both     parallel       sty.     family shower family     grasses and beyond     HAIT.ABAS. BATCT. BATCT.     Application close     Provening time     both     parallel       sty.     procession of the companies     species/prove family     grasses and beyond     CREATING STATE THAT. THAT.     Application close     Provening time     both     parallel       sty.     species/family shower family     grasses and beyond     CREATING STATE THAT. THAT	Barley, wheat, ryegrass	Species/family	grass-wide	VRN1, BM5, TmAP1, WAP1, LpVRN1	MADS domain TF	Flowering time	both	parallel	[4]
Special studyburn.     Special studyburn.     Special studyburn.     Changing sprases and beyond changes.     ORARS.77.Pd.H.PGTJ.     Chandbay.     Chandbay.     Changing sprases.     Death C.2. BoHZ.2.     MANS data, HR. LELF.3.     Crandbard chock in min.     Death C.2. BoHZ.2.     Death C.2	Barley, wheat, maize	Species/family	grass-wide	VRN2, ZCCT1, ZmZCCT9	Zinc finger-CCT domain TF	Flowering time	both	parallel	[43]
betweet principle     Finally per consider the grasses and beyond in the per consideration of the per consider	Rice, barley, wheat, sorghum, sugar beet	Species/family/above family	grasses and beyond	OsPRR37, Ppd-H1, Ppd1, SbPRR37, BvBTC1	Circadian clock pathway	Flowering time	both	parallel	[75, 100, 49, 5, 108, 16]
key, pea, kentil     Family/above family     grasses and beyond     HAI7, EAMR, Mar.a, HR, LeELF3     Grouding order     Fowering time     both     pond;       ent, seal, smily/above family     species-specific grass     Species-specific grass     SIA, OSSII, ZaSSII, ASSII     Zine finger TF     Fowering time     both     pond;       species-specific grass     grass-wide     GBSSI, Way     Accounting     BADH2, GmBADR     Matabolic caryine     convergent     parallel       nate alliet     Species-specific, and beyond     GBSSI, Way     MATABOLIC caryine     Coloration     both     parallel       nate alliet     Species-specific, and beyond     BADH2, GmBADR     Matabolic caryine     Coloration     both     parallel       nate alliet     Species-specific, and beyond     BADH2, GmBADR     Matabolic caryine     Coloration     both     parallel       nate alliet     Species-specific, and beyond     BADH2, GmBADR     MATABOLIC caryine     Coloration     both     convergent       nate     Species     Species     BADH2, GmBADR     MATABOLIC caryine     Coloration     both     convergent       no </td <td>Turnip, Brassica oleracea</td> <td>Family</td> <td>outside the grasses</td> <td>BrFLC2, BoFLC2</td> <td>MADS domain TF</td> <td>Flowering time</td> <td>both</td> <td>parallel</td> <td>[111, 113, 77]</td>	Turnip, Brassica oleracea	Family	outside the grasses	BrFLC2, BoFLC2	MADS domain TF	Flowering time	both	parallel	[111, 113, 77]
ruce, mailore, bardey Family dhove family percies-specific, grass and beyond activated	Rice, barley, pea, lentil	Family/above family	grasses and beyond	Hd17, EAM8, Mat-a, HR, LcELF3	Circadian clock pathway	Flowering time	both	parallel	[106, 72, 114, 24]
Chee makes     Species specific grass     Hall builds and species specific grass     She species specific grass     Hall builds and species specific grass     She species specific grass     She species specific grass     Hall builds and species specific grass     She species specific grass     Hall builds and species specific grass     Hall builds and species specific grass     Hall builds and species specific grass     RADPS (mBADH)     ACAD (minous seed)     done seize specific grass     BADPS (maked)     MAPB (maked)     Parallel species specific grass     Parallel species specific grass     RADPS (maked)     MAPB (maked)     Coloration     both parallel convergent parallel species specific grass     Parallel species specific grass     RADPS (maked)     ACAD (maked)     A	Rice, wheat, sunflower, barley	Family/above family	grasses and beyond	Hd3a (Heading date 3a), VRN3/TaFT, HaFT1, HvFT	Signaling protein	Flowering time	both	parallel	[112, 96, 9]
c. n. maize maranth, sorghum, ante maranth, sorghum, family     Family     grass-wide     Sh1, O.Sh1, Zm.Sh1     NABB'Hike TF     Shadter resistance     donestication     parallel       ante antilled     Species/annily/shove family     grasses and beyond     BADH2, GnBADH2     Metabolic curyme     Fragamene     both     parallel       ante antilled     Species/above family     grasses and beyond     BADH2, GnBADH2     Metabolic curyme     Glotation     both     parallel       species/above family     grasses and beyond     BADH2, GnBADH2     Metabolic curyme     Glotation     both     convergent       species     species-specific, curside     RADH2, GnBADH2     Metabolic curyme     Glotation     both     convergent       species     species-specific, curside     RADH2, The Coloration     Antilly     Poh     convergent       species     species-specific, grass     species-specific, grass     VANTBAL-1-3     MTB-TF     Coloration     both     convergent       species     species-specific grass     species-specific, grass     the point of the properties of the propert	Rice	Species	species-specific, grass	Hd1	Zinc finger TF	Flowering time	both	convergent	[71]
Above family by consider manula, solution, and by out a case	Sorghum, rice, maize	Family	grass-wide	Sh1, OsSh1, ZmSh1	YABBY-like TF	Shatter resistance	domestication	parallel	[99]
chean     Species/Inmity     grasses and beyond     BADI-S, GnBADH2     Mactabolic enzyme     Fingrance donomic and post of grasses and beyond     BADI-S, GnBADH2     Mactabolic enzyme     Goloration of both both both and paylond processes and beyond grasses and bey	Rice, wheat, maize, foxtail millet, barley, amaranth, sorghum, broomMaize millet	Species/family/above family	grasses and beyond	GBSSI, Waxy	Metabolic enzyme	Glutinous seeds	domestication	parallel	[47, 23, 53, 54, 45, 78]
stage     Species/above family     graces and byond     RdbPR, DFR     Metabolic enzyme     Coloration     both     parallel       species specific grass     species-specific causide     Rdby     Transporter protein     Coloration     both     convergent       species specific grass     species-specific grass     flavonoid 3: 5-thydroxylase     Metabolic enzyme     Coloration     both     convergent       species specific grass     flavonoid 3: 5-thydroxylase     Metabolic enzyme     Coloration     both     convergent       species specific grass     flavonoid 3: 5-thydroxylase     Metabolic enzyme     Coloration     both     convergent       species specific grass     flavonoid 3: 5-thydroxylase     Metabolic enzyme     Coloration     both     convergent       species specific grass     flavonoid 3: 5-thydroxylase     Metabolic enzyme     Coloration     both     convergent       species specific grass     flavonoid and an action     flavonoid and action     flavonoid and action     both     convergent       species specific grass     species specific grass     flavonoid action     flavonoid action     flavonoid action     flavo	Rice, soybean	Species/family	grasses and beyond	BADH2, GmBADH2	Metabolic enzyme	Fragrance	domestication	parallel	[59, 51]
suge     Species specific, outside grasses     Rabb     Transporter protein     Coloration     both     convegent       species specific, outside per grasses     species-specific, outside per grasses     flavonoid 3.5-lyydroxylase     Mctabolic erryne     Coloration     both     convergent       nto     Species     species-specific, outside     V-MYBA1-3     MYB-TF     Coloration     both     convergent       nto     Species     species-specific, outside     V-MYBA1-3     MYB-TF     Coloration     both     convergent       ent millet, barley     Species specific, grass     tb1.Pgtb1, INT-C     TCP-TF     Plant architecture     both     convergent       species-specific, grass     tb1.Pgtb1, INT-C     TCP-TF     Plant architecture     both     convergent       species-specific, grass     tb1.Pgtb1, INT-C     TCP-TF     Plant architecture     both     convergent       species-specific, grass     tb1.Pgtb1, INT-C     TCP-TF     Plant architecture     both     convergent       species-specific, grass     grass-wide     TAA,NTF1, ZAMATE1, ZAMATE	Rice, potato	Species/above family	grasses and beyond	Rd/DFR, DFR	Metabolic enzyme	Coloration	both	parallel	[31, 115]
Species     species-specific grass     Bh4     Transporter protein     Coloration     both     convergent       nto     Above family     species-specific grass     ffavonoid 3.5-flydoxylase     Mcrabolic enzyme     Coloration     both     convergent       nto     Species     species-specific, grass     ffavonoid 3.5-flydoxylase     Mcrabolic enzyme     Coloration     both     convergent       earl millet, barley     Species     grass-sepecific, grass     th), Pgtbl, INT-C     TCP-TF     Coloration     both     convergent       species-specific, grass     grass-swide     th), Pgtbl, INT-C     TCP-TF     Plant architecture     both     convergent       species     grass-swide     th), Pgtbl, INT-C     TCALMTI     Transporter protein     both     convergent       species     grass-swide     SbMAREI, ZALMTI     Transporter protein     Action adaptation     parallel       species     species-specific, grass     TAALMTI, ScALMTI     Transporter protein     Action adaptation     parallel       species     species-specific, grass     species-specific, grass     SPAMEI, AVAPI     AVAPI,	Blood orange	Species	species-specific, outside grasses	Ruby	MYB-TF	Coloration	both	convergent	[11]
species     species-specific, outside     R     MYB-TF     Coloration     both     convergent       to     Above family     outside the grasses     flavonoid 3.5.7 hydroxylase     Mctabolic enzyme     Coloration     both     convergent       to     Species     species-specific grass     VvMYBA1-3     MYB-TF     Coloration     both     convergent       earl millet, barley     Species     species-specific grass     VvMYBA1-1     Trep-TF     Plant architecture     both     convergent       species     species-specific grass     VVMYBA1     Trapporter protein     Mctal tolerance     both     convergent       species     species-specific grass     TAALMT1, ScALMT1     Trapporter protein     Mctal tolerance     adaptation     parallel       species     grass-wide     Species     Species     Species     Trapporter protein     Mctal tolerance     adaptation     parallel       species     species-specific grass     grass-wide     HVA1, Wrab I8, Wrab I9     LEA protein     Cold tolerance     adaptation     parallel       species     species-specific grass	Rice	Species	species-specific, grass	Bh4	Transporter protein	Coloration	both	convergent	[117]
to Above family outside the grasses flavonoid 3,5'-hydroxylase Received Berlie Coloration both convergent Received Species specific, outside the grasses and beyond believed by the species-specific, cutside believed by the species-specific, grass becies-specific, grass becies	Soybean	Species	species-specific, outside grasses	×	MYB-TF	Coloration	both	convergent	[34]
pecies     species-specific, grass     Re     bHLH-TF     Coloration     both     convergent       sear millet, barley     Species     species-specific, ousside     VvMYBA1-3     MYB-TF     Coloration     both     convergent       earl millet, barley     Species     species-specific, grass     VpRS1     Homodomain-TF     Plant architecture     both     convergent       Species     species-specific, grass     VRS1     Homodomain-TF     Grain quality     both     convergent       ye     Family     species-specific, grass     TAALMT1, ScALMT1     Transporter protein     Metal tolerance     adaptation     convergent       ye     Family     grass-wide     SMATE1, ZmMATE1     Transporter protein     Metal tolerance     adaptation     parallel       xnabidopsis     Above family     grass-wide     HVA1, Wrab l8, Wrab l9     LEA protein     Cold tolerance     adaptation     convergent       species-specific, grass     grass-wide     HVA1, Wrab l8, Wrab l9     LEA protein     Cold tolerance     adaptation     convergent       Above family     grass-wide     Wcs1	Pea, potato	Above family	outside the grasses	flavonoid 3',5'-hydroxylase	Metabolic enzyme	Coloration	both	parallel	[71]
te     Species     species     Pecific, outside     VvMYBA1-3     MYB-TF     Coloration     both     convergent       earl millet, barley     Family     species     th1, Pgb1, INT-C     TCP-TF     Plant architecture     both     convergent       Species     species-specific, grass     Opaque2     DAR-TF     Grain quality     both     convergent       yee     Family     species-specific, grass     TaALMT1, ScALMT1     Transporter protein     Agnatiation     both     convergent       yee     Family     grass-wide     SbMAHE1, ZmMAHE1     Transporter protein     Agnation     parallel       rabidopsis     Above family     grass-wide     AmVPD1, AVP1     Avp1     Drought tolerance     adaptation     parallel       species-specific, grass     species-specific, grass     TaNPD1, AVP1     Avp18     Art-hook profe     Drought tolerance     adaptation     parallel       wheat     Family     grass-wide     Wcs19, Wcsc14, Wcs15, Boot 4b     Col tolerance     adaptation     parallel       profeses     prove family     grass-wide     Wcs19,	Rice	Species	species-specific, grass	Rc	bHLH-TF	Coloration	both	convergent	[71]
eard millet, bardey     Family     grass-wide     tb, Pgbb1, INT-C     TCP-TF     Plant architecture     both     parallel       Species     species-specific, grass     Species     VRS1     Homedonanit-TF     Plant architecture     both     convergent       ye     Family     grass-wide     TaALMT1, ScALMT1     Transporter protein     Metal tolerance     adaptation     parallel       vabidopsis     Above family     grass-wide     SbMATE1, ZmMATE1     Transporter protein     Metal tolerance     adaptation     parallel       vabidopsis     Above family     grass-wide     SbMATE1, ZmMATE1     Transporter protein     Metal tolerance     adaptation     parallel       vheat     Family     grass-wide     HVA1, Wrab18, Wrab19     LEA protein     Cold tolerance     adaptation     convergent       vheat     Family     grass-wide     Wcs19, Wcorl 4, Wcorl 5, Bcorl 4b     Corp rotein     Cold tolerance     adaptation     parallel       ariley     Above family     grass-wide     Wcs19, Wcorl 4, Wcorl 5, Bcorl 4b     Apuaporin     Soll salinity     adaptation     parallel </td <td>Grapevine</td> <td>Species</td> <td>species-specific, outside grasses</td> <td>VvMYBA1-3</td> <td>MYB-TF</td> <td>Coloration</td> <td>both</td> <td>convergent</td> <td>[71]</td>	Grapevine	Species	species-specific, outside grasses	VvMYBA1-3	MYB-TF	Coloration	both	convergent	[71]
Species     Species     VRS1     Homeodomain-TF     Plant architecture     both domestication convergent     convergent       ye     Species     species-specific, grass     VRS1     Homeodomain-TF     Plant architecture     both domestication     convergent       ye     Family     grass-wide     TaALMT1 ScALMT1     Transporter protein     Grain quality     demestication     convergent       Above family     grass-wide     Species     Species     ZMVPP1, AVP1     <	Maize, pearl millet, barley	Family	grass-wide	tb1, Pgtb1, INT-C	TCP-TF	Plant architecture	both	parallel	[94, 86, 85]
yea     Species     species-specific, grass     Opaque 2     FAZIP-TF     Grain quality     domestication     convergent       yea     Family     grass-wide     TaALMTI, ScALMTI     Transporter protein     Metal tolerance     adaptation     parallel       Above family     grass-swide     Species     CoxAHLI     AT-hook PPC     Drought tolerance     adaptation     parallel       wheat     Family     grass-swide     HVA1, Wrab18, Wrab19     LEA protein     Cold tolerance     adaptation     convergent       wheat     Family     grass-swide     HVA1, Wrab18, Wrab19     LEA protein     Cold tolerance     adaptation     parallel       naice, spinach     Above family     grasses and beyond     Wcs19, Wcor14, Wcor15, Bcor14b     Cor protein     Cold tolerance     adaptation     parallel       spinack, spinach     Above family     grasses and beyond     Wcs19, Wcor14, Wcor15, Bcor14b     Aquaporin     Soil salinity     adaptation     parallel       species     Species     species-specific, grass     Rp3     NBS-LRR     Pathogen resistance     adaptation     convergent	Barley	Species	species-specific, grass	VRS1	Homeodomain-TF	Plant architecture	both	convergent	[71]
ye     Family     grass-wide     TaALMT1. ScALMT1     Transporter protein     Metal tolerance     adaptation     parallel       Amaize     Family     grass-wide     SbMATE1, ZmMATE1     Transporter protein     Transporter protein     Metal tolerance     adaptation     parallel       Arabidopsis     Above family     species-specific, grass     Species     Vacuolar-ype Hospitatase     AT-hook PPC     Drought tolerance     adaptation     parallel       weat     Family     grass-wide     Worl A, Wrab I8, Wrab IR, Wrab I8, Wrab IR,	Maize	Species	species-specific, grass	Opaque2	bZIP-TF	Grain quality	domestication	convergent	[71]
Above family     grasses and beyond     ZmVPP1, AVP1     Transporter protein     Transporter protein     Metal tolerance     adaptation     parallel       Above family     species-specific, grass     Species     OSAHL1     APPONDRAPP     Drought tolerance     adaptation     parallel       wheat     Family     grass-wide     HVA1, Wrab18, Wrab19     LEA protein     Cold tolerance     adaptation     convergent       wheat     Family     grass-wide     Wcs19, Wcor14, Wcor15, Bcor14b     Cor protein     Cold tolerance     adaptation     parallel       naize, spinach     Above family     grasses and beyond     HvPIP2.1, ZmPIP2.4, PM28A     Aquaporin     Soil salinity     adaptation     parallel       species     species-specific, grass     Rp3     NBS-LRR     Soil salinity     adaptation     convergent       species     species-specific, grass     Rp3     NBS-LRR     Pathogen resistance     adaptation     convergent       species     grass-wide     LR34     ABC transporter     Pathogen resistance     adaptation     convergent	Wheat, rye	Family	grass-wide	TaALMT1, ScALMT1	Transporter protein	Metal tolerance	adaptation	parallel	[71]
rabidopsis     Above family     grasses and beyond     ZmVPP1, AVP1     Varololar-type H(+) pyrophosphalase     Drought tolerance     adaptation     parallel parallel       vheat     Family     grass-wide     HVA1, Wrab 18, Wrab 19     LEA protein     Cold tolerance     adaptation     convergent       variety     Family     grass-wide     HVA1, Wrab 18, Wrab 19     LEA protein     Cold tolerance     adaptation     parallel       variety     Above family     grasses and beyond     Wcs19, Wcorl 4, Wcorl 5, Bcorl 4b     Cor protein     Cold tolerance     adaptation     parallel       stail millet, tomato     Above family     grasses and beyond     NSASR1, SIASR1, PABA stress ASR     Soil salinity     adaptation     parallel       Species     species-specific, grass     Rp3     NBS-LRR     Pathogen resistance     adaptation     convergent       rice, sorghum     Family     Family     Pathogen resistance     adaptation     parallel	Sorghum, Maize	Family	grass-wide	SbMATE1, ZmMATE1	Transporter protein	Metal tolerance	adaptation	parallel	[71]
Species     species-specific, grass     OsAHLI     AT-hook PPC domain     Drought tolerance     adaptation     convergent       wheat     Family     grass-wide     HVA1, Wrab18, Wrab19     LEA protein     Cold tolerance     adaptation     parallel       naize, spinach     Above family     grasses and beyond     HVPIP2; 1, ZmPIP2-4, PM28A     Aquaporin     Soil salinity     adaptation     parallel       stail millet, tomato     Above family     grasses and beyond     NSSR1, GASR3, SiASR1, ABA stress ASR     Soil salinity     adaptation     parallel       species     species-specific, grass     Rp3     NBS-LRR     Pathogen resistance     adaptation     convergent       fee, sorghum     Family     Family     ABC transporter     Pathogen resistance     adaptation     parallel	Maize, Arabidopsis	Above family	grasses and beyond	ZmVPP1, AVP1	Vacuolar-type H(+) pyrophosphatase	Drought tolerance	adaptation	parallel	[103]
wheat     Family     grass-wide     HVA1, Wrab18, Wrab19     LEA protein     Cold tolerance     adaptation     parallel       naize, spinach     Family     grasses wide     Wcs19, Wco14, Wco15, Bcor14b     Cor protein     Cold tolerance     adaptation     parallel       naize, spinach     Above family     grasses and beyond     0sASR3, SiASR1, AsAR3, SiASR1, ABA stress ASR     Soil salinity     adaptation     parallel       species     species-specific, grass     Rp3     NBS-LRR     Pathogen resistance     adaptation     convergent       ice, sorghum     Family     Family     ABC transporter     Pathogen resistance     adaptation     parallel	Rice	Species	species-specific, grass	OsAHL1	AT-hook PPC domain	Drought tolerance	adaptation	convergent	[116]
karley     Family     grass-wide     Wcs19, Wcor14, Wcor15, Bcor14b     Cor protein     Cold tolerance     adaptation     parallel       naize, spinach     Above family     grasses and beyond     HVPIP21, ZmPIP24, PM28A     Aquaporin     Soil salinity     adaptation     parallel       naile, tomato     Above family     grasses and beyond     OSASR1, OSASR3, SiASR1, PM28A     Agas raress ASR     Soil salinity     adaptation     parallel       Species     species-specific, grass     Rp3     NBS-LRR     Pathogen resistance     adaptation     convergent       ice, sorghum     Family     Family     ABC transporter     Pathogen resistance     adaptation     parallel	Barley, wheat	Family	grass-wide	HVA1, Wrab18, Wrab19	LEA protein	Cold tolerance	adaptation	parallel	[42, 21]
naize, spinach Above family grasses and beyond HvPIP2;1, ZmPIP2-4, PM28A Aquaporin Soil salinity adaptation parallel latal millet, tomato Above family grasses and beyond SIASR1, Os.ASR3, SIASR1, ABA stress ASR Soil salinity adaptation parallel protein species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent lice, sorghum Family grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel latal	Wheat, barley	Family	grass-wide	Wcs19, Wcor14, Wcor15, Bcor14b	Cor protein	Cold tolerance	adaptation	parallel	[67]
tail millet, tomato Above family grasses and beyond OsASR1, OsASR3, SiASR1, ABA stress ASR Soil salinity adaptation parallel SIASR1 protein Species specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent ces, sorghum Family grasse-wide LR34 ABC transporter Pathogen resistance adaptation parallel	Barley, maize, spinach	Above family	grasses and beyond	HvPIP2;1, ZmPIP2-4, PM28A	Aquaporin	Soil salinity	adaptation	parallel	[52, 118, 27]
Species     species-specific, grass     Rp3     NBS-LRR     Pathogen resistance     adaptation     convergent       ice, sorghum     Family     grass-wide     LR34     ABC transporter     Pathogen resistance     adaptation     parallel	Rice, foxtail millet, tomato	Above family	grasses and beyond	OsASR1, OsASR3, SiASR1, SIASR1	ABA stress ASR protein	Soil salinity	adaptation	parallel	[65, 57]
ice, sorghum Family grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel	Maize	Species	species-specific, grass	Rp3	NBS-LRR	Pathogen resistance	adaptation	convergent	[105]
	Wheat, rice, sorghum	Family	grass-wide	LR34	ABC transporter	Pathogen resistance	adaptation	parallel	[09]

idididi 041c31a09d3a37198729f6e4a9d9e618446ddd8a to the environment favorably but does not detract from desired domestication traits. Perhaps it is also necessary to define what specifically is meant by "environment". A straightforward (and admittedly simplistic) way would be to break "environment" down to discrete features, which can include, for example, the level of carbon dioxide in the air, the level of UV radiation, temperature, day length, humidity, rainfall, wind, soil nutrient load, and soil salinity. By dividing the environment into these discrete elements, we can address each element individually by asking what sort of adaptive trait we would expect to observe in response to each, and how many of these adaptive traits are expressed in the same genetic pathway as known domestication genes. but bottleneck could also reduce adaptive diversity/potential...

But first, it is important to note that selection for adaptive traits in crop species is far more constrained than the initial selection for domestication traits, and that is due to the domestication bottleneck. Domestication bottlenecks are the result of selection for traits that make for desirable crops but not necessarily for environmental adaptability. Genome-wide loss of diversity during genetic bottlenecks associated with both initial domestication and later crop expansion has been documented extensively. [102] For instance, massive nucleotide diversity loss is reported in domesticated bread wheat [41], maize (with an increase in deleterious alleles) [22, 102], rice [119], Sorghum [39], and barley [56] compared with wild relatives, demonstrating that loss of diversity is widespread in cultivated grasses and is a phenomenon that is distinct from uncultivated wild relatives. These results suggest that domestication itself is responsible for the loss of diversity, and because of this, attempts to adapt domesticated grasses to new environments could pose a challenge.

Outside of breeding to a wild relative, in what ways can cereal crops be rescued from a diversity bottleneck? We propose that the likelihood of adaptation for a specific phenotype depends on (1) whether the adaptive gene in question is also associated with a domestication trait, and (2) the potential for functional mutation within a given cereal crop species due to polyploidization or transposable element insertion.

Particular domestication traits described in Table 2 are also associated with adaptation during crop expansion. For example, variation in flowering time is known to be a response to photoperiod sensitivity: the gene ZmCCT9 in maize appears to be involved in flowering under the long days of higher latitudes in the Americas, but a transposon insertion upstream of ZmCCT9 in domesticated maize cultivars is thought to have led to reduced photoperiod sensitivity, which has allowed domesticated maize to expand its range [43]. but was it truly targeted during domestication? perhaps discuss here how our assumptions of domestication vs adaptation are murky Another example of a domestication trait with an adaptive component is coloration. Loss of coloration has been favored in a variety of cereal cultivars, from rice to maize, as a cultural preference during domestication. As it turns out, coloration assists with UV tolerance in cereals and other plant species [92, 37]. Therefore, a return of coloration could likely lead to a greater tolerance of UV radiation in cereals that bred at higher elevations [84]. Table 2 attempts to match examples of adaptation traits to domestication traits, where possible, using the definition of adaptation as described above. However, due to the domestication bottleneck, it is not likely that a gene associated with domestication would be expected to lead to an adaptive phenotype, since the only alleles that exist within a population of domesticated crops will be those that give rise to the domestication phenotype. Thus it would not be expected that genes that are selected in parallel for domestication would also be selected in parallel for adaptive traits.

On the flip side, there are a number of adaptive traits unlikely to have a domestication com-

ponent, since they appear unrelated to domestication syndrome traits. These include (but are not limited to) drought tolerance, cold tolerance, soil salinity, and pathogen defense. Table 2 includes some adaptive genes and their orthologs, and specifies whether they are associated with domestication traits. Adaptive genes not expected to be associated with domestication include the maize ZmVPP1 gene, where an upstream insertion is linked to drought tolerance [103]. Since this gene has a drought-tolerant ortholog in Arabidopsis, AVP1 [32], it suggests that orthologs could exist elsewhere in the cereals as well. However, another drought-tolerance gene in rice, OsAHL1 [116], does not appear to have a defined drought-tolerant ortholog in any other species at the time of this writing. Wheat and barley possess a small family of cold-tolerance genes including Wcs19 [13], Wcor14 [99] and Bcor14b [15], all of which encode chloroplast-targeted COR proteins analogous to the Arabidopsis protein COR15a [93, 97]. The LEA protein orthologs HVA1 and Wrab 18/19 in barley and wheat, respectively, are also associated with cold tolerance [42, 21]. Transcript and protein levels of the barley HvPIP2 aquaporin gene were found to be down-regulated in roots but up-regulated in the shoots of plants under salt stress [52]. HvPIP2 has an ortholog in maize, ZmPIP2-4 [118], and in spinach, PM28A [27]. There are also the ASR (abscisic acid, stress, and ripening-induced) genes that are associated with salinity tolerance in rice [50], Setaria (millet) [65], and tomato [57]. Because these genes have no known domestication component, they are more likely to be selected in parallel, since they would not have undergone as much loss in diversity as a domestication gene.

We have seen that grasses tend to have relatively active transposons, and this transposon activity may permit a higher mutation rate in cereals, allowing for new alleles to arise in a population [107]. In Table 2, several of the domestication and adaptive phenotypes are due to a transposon insertion somewhere in the functional region of a gene: tb1 [94], ZmCCT9, and ZmVVP1, all in maize, to name just a few. However, a comprehensive review of TEs and plant evolution [67] suggests that our understanding of the role of transposable element activity in crop adaptation is largely anecdotal and might be overstated, but perhaps can be better elucidated by harnessing the recent advances in genomics such as more sophisticated TE annotation protocols, whole-genome sequencing, and comparative algorithms. Using these advances in genome biology, a recent study by Lai and coworkers found that transposon insertions may have played an important role in creating the variation in gene regulation that enabled the rapid adaptation of domesticated maize to diverse environments [62].

Loss of allelic diversity due to domestication bottlenecks may have a more significant impact on those adaptive phenotypes that are controlled by the same genes that control domestication phenotypes (such as ZmZCCT9), rather than on the genes that appear unrelated to domestication (such as ZmVPP1). So it is not a foregone conclusion that a bottleneck in a flowering time allele, for instance, would necessarily lead to a bottleneck in an allele related to drought tolerance, unless loss of diversity in a population were severe and genome-wide, or unless drought tolerance and flowering time were phenotypically or genetically linked. Therefore, one might predict that selection for transposable element insertion would be greater for domestication-syndrome adaptation alleles than in adaptation alleles unrelated to domestication syndrome. so is the idea that more adaptation at traits linked to domestication would be from TEs because of higher mutation rate?

But another way an adaptation trait could escape a domestication bottleneck is if the domestication-syndrome allele were on a gene with a retained homeolog, allowing for subfunctionalization or neofunctionalization of the other homeolog to an adaptive allele. Neofunctionalization of homeologs is widespread in maize [44], which has undergone a recent tetraploidy event approximately

5-12MYA [95]; and in bread wheat, subfunctionalization of homeologs as a result of wheat's hexaploidy event appears to have given rise to alleles associated with baking quality [81]. but again, a domestication trait

To some extent, it can be predicted which homeolog in a post-polyploid cereal is likely to be adaptive. It is known that of the two retained post-polyploidy subgenomes in maize, one undergoes less fractionation and is more highly expressed than the other (i.e. the dominant subgenome) [110, 89], and there is evidence that fractionation is biased not only in maize, but in wheat as well [20]. Schnable and Freeling found that of the "classical" maize genes, or characterized genes that have a known mutant phenotype, the majority are on the less fractionated subgenome [90]. Many of these genes, such as tb1, Waxy, Opaque2, and several starch synthesis and coloration genes not in Table 2, have a domestication syndrome phenotype in maize. Additionally, recent work has suggested that the genes on the more highly expressed subgenome in maize contribute more to phenotypic variation than the less expressed subgenome [87] because they are under greater purifying selection. If genes associated with domestication tend to be on the less fractionated subgenome, and greater phenotypic variation is observed in the less fractionated subgenome, then adaptive traits should more likely be associated with the homeolog on the less fractionated subgenome. Indeed, two genes associated with adaptive phenotypes in maize from Table 2, ZmVPP1 (drought tolerance) and ZmPIP2-4 (soil salinity) are both found on the less fractionated subgenome [90]. Yet about forty percent of the genes on the more fractionated subgenome do exhibit some amount of expression dominance and phenotypic variation [87], and genome dominance alone is not a guarantee that adaptive alleles could not arise on the more fractionated genome as well.

Finally, there are some adaptive traits that are less likely to have orthologs in even closely related species. These include pathogen resistance and stress response. While there are examples of pathogen defense and stress response genes in the grasses that are orthologous to other species (Table 2), by and large, genes that code for traits involved in plant defense and stress response are frequently orphan genes, or genes that are specific to a particular lineage and share no defined orthologs with any outgroup [109]; reviewed in [1]. Orphan genes tend to be very dynamic, arising and becoming lost much faster than their basal counterparts [30]. If an adaptive trait such as pathogen resistance is dependent on these orphan-type genes, which quite often are unique even in individual cultivars within the same crop species, then we would not expect to see convergence of this trait at the allelic level in cereal adaptation, since each species-indeed, each cultivar-would be expected to have its own unique, "outward-facing" suite of orphan genes that would confer environmental adaptation uniquely to its niche. Orphan genes often propagate through trans duplication [30, 1]; therefore, movement of these genes to a new region whose local euchromatic status can confer novel expression patterns to the mobilized gene can be a strong source of adaptation, especially since it has been shown that stressful environments can stimulate activation of transposable elements [6, 70] reviewed in [76], and this is one way that crop species might be able to escape domestication bottlenecks in adaptation.

#### **Conclusions**

This review set out to explore how domestication has influenced the potential for adaptation in the grasses. Factors of domestication that have influenced adaptation include the selection for domestication traits that also have adaptive qualities, and to what extent diversity of a locus has undergone

a domestication bottleneck. We discussed the possible ways that a crop might escape a domestication bottleneck, including homeolog sub- or neofunctionalization, transposable element activity, and trans duplication or fast-evolvability of lineage-specific adaptive genes. We also set out to see whether it is possible to predict the likelihood of adaptability of any given trait, irrespective of domestication syndrome effects. How realistically we can predict this in any given cereal crop is dependent upon (1) the existence of an ortholog to a known adaptive gene in another species; (2) the retention of functionality in the ortholog; (3) which subgenome a putatively adaptive gene is on within a species that had undergone a recent polyploidy; and (4) the propensity of an adaptive gene or gene family to be orthologous. Table 2 attempts to summarize these findings by correlating adaptivity with domestication traits as well as orthology in other species.

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