# Convergence in adaptation after domestication in the grasses

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#### **Abstract**

The selection of desirable traits in crops during domestication has been well studied. In this review, the authors explore the current research to determine to what extent domestication in grass cereal crops has shaped environmental adaptation, and whether it is possible to predict which loci in a cereal might confer adaptive properties.

# Introduction

Human societies rely heavily on domesticated crop species for survival. For example, considering crop production as a measure of consumption, in 2016 alone the United States produced 384 million tons of maize, China produced 211 million tons of rice, and Nigeria produced 6.9 million tons of sorghum [29]. Human reliance on crops has deepened over the last  $\approx 10,000$  years, as crops have been continually selected by humans for traits including nutrition, yield, and other attractive features, a process that has also dramatically changed crop physiology. As such, domesticated crops are often radically different from their wild relatives. Notably, there are several traits beyond yield and nutrition that often distinguish domesticated crops from their wild progenitors [19], distinctions that are frequently shared even among distantly related crops such as maize and sunflower. These traits include apical dominance or lack of branching, loss of seed dormancy, loss of bitterness, and loss of shattering or seed dispersal (Table 1). This suite of shared traits is collectively known as the domestication syndrome [43].

But how can two vastly diverged species such as maize and sunflower (their last common ancestor was 150 MYA [13]) share the same domestication traits? Since these two species still share enzymatic pathways, perhaps orthologous genes or genes with similar physiological roles have been targeted by selection during domestication. Shared phenotypes caused by repeated modification of orthologs, is a phenomenon known as parallelism; parallelism is more likely to occur in closely related species due to their similar complement of genes [86]. Conversely, it is possible for unrelated genes in different enzymatic pathways to give rise to similar traits, particularly when species experience similar selection pressures (either human or environmental), such as fruit/seed indehiscence in both dicot and monocot crops (reviewed in [20]). This phenomenon, known as convergence, is more likely to occur in substantially diverged species that contain fewer orthologous loci and pathways [107, 86].

Table 1: Prevalence of Domestication Syndrome Traits

<b>Domestication Trait</b>	In Grass Crops	In non-Grass Crops	References
Compact plant growth	yes	yes	[36, 66]
Reduced axillary branching	yes	yes	[66]
Reduced seed dormancy	yes	yes	[36, 28]
Changes in flowering time	yes	yes	[66]
Uniform flowering or maturation time	yes	yes	[66]
Vernalization	yes	yes	[9]
Increased resource allocation to harvested organ/larger or- gan (fruit, grain, root)	yes	yes	[76]
Compact inforescence	yes	yes	[36, 41]
Non-shattering/indehiscent fruit or grain	yes	yes	[66, 21]
Changes in pigmentation	yes	yes	[66]
Self-fertilizing	yes	yes	[36]
Perennial to annual lifecycle	yes	yes	[36, 76]
Sexual to vegetative reproduction	no	yes	[72]
Reduced defensive structures (spines, thorns)	no	yes	[76, 85]
Reduced toxicity	no	yes	[76, 94]
Soft or naked kernel or seed	yes	no	[104]
Increased spikelets	yes	no	[36]
Increased number of kernel rows	yes	no	[66]

After the initial wave of crop domestication yielded many of the aforementioned domestication syndrome traits, another level of domestication ensued—the adaptation of crop species to varied environmental conditions during global expansion. A cultivar of maize bred for cultivation at sea level, for instance, may not necessarily thrive in the colder, higher UV environment of the Andes. Therefore, cultivators in the Andes must have looked for individuals in the existing domesticated maize population that were hardy under these new conditions. However, crop adaptation faced genetic limitations not experienced during domestication of wild progenitors due to the genomewide loss of diversity during genetic bottlenecks associated with both initial domestication and subsequent crop expansion [105].

Only a subset of genome-wide diversity was retained in initial domesticates and additional diversity was lost through subsampling events during crop expansion. Furthermore, selection on particular alleles coding for desirable traits (such as those comprising the domestication syndrome) often resulted in dramatic reductions in diversity in particular chromosomal regions. The effects of this loss of genetic diversity on the potential for adaptation has been documented. For example, a dramatic genetic bottleneck in the "lumper" variety of potato led to a catastrophic outbreak of *Phytopthera infestans*, resulting in the infamous Potato Famine in Ireland in the 1840s [39]. The Potato Famine demonstrated that by divesting a crop cultivar of its diversity, the cultivar also loses its ability to adapt to newly encountered environmental pressures, because the alleles that code for adaptive traits such as, for instance, disease resistance are lost.

This review will focus on the extent of parallelism and convergence in both crop domestication and adaptation and consider the extent to which early bottlenecks have affected the potential for parallelism and convergence during post-domestication adaptation. We will focus mainly on grass crops, since the major domesticates—maize, rice, sorghum, wheat, barley, and millet—include a range of divergence times conducive to adaptation and evolution of domestication syndrome traits through both parallelism and convergence. Grasses also share a certain amount of genomic dynamism, including polyploidization and transposable element activity, that provides diversity upon which selection can act. We examine the relationship between domestication and adaptive traits, how domestication bottlenecks reduce population diversity, and look at the ways in which the dynamic nature of grass genomes might potentially increase genomic diversity to facilitate adaptation despite bottlenecks during domestication and expansion.

# **Domestication in the grasses**

Grasses have often been studied as a cohesive genetic group [8, 32], and there are many reasons why they present a compelling system for studying crop domestication. The grass clade is thought to have arisen around 75 MYA [11, 58] with rice, wheat, barley, millet, maize, and sorghum arising sequentially afterward (Figure 1). Prior to the radiation of the grasses, however, a genome duplication event occurred approximately 70 MYA [83], which is shared among all grass crops (Figure 1). Subsequently, both maize and wheat have undergone additional, lineage-specific polyploidy events (Figure 1) [67]. These polyploidy events, followed by selective and ongoing fractionation, present an opportunity for grass genomes to evolve subfunctionalized homeologs; this, along with relatively high transposon activity (particularly in maize and wheat) that can give rise to functional

mutation [110, 70], make the grasses a useful system for studying the potential for adaptation after a domestication bottleneck. I revised this paragraph for clarity and to fit better with our hypotheses. Additionally, most grass crops were domesticated within the latitudinal boundaries of the equator and 35 N [49, 36], featuring both wet and dry seasons [49], which means that many domesticated grasses shared similar environmental pressures such as temperature, precipitation and day length What do you think about adding a map with centers of origin of these grass species as Figure 1B? Could include the 35N latitude.. we could; there are existing maps out there that do this; could we *borrow* one? However, each grass cereal has been cultivated in separate geographic locations, including maize (Americas), sorghum (Africa), rice (Asia), millet (Eurasia), and wheat and barley (the Middle East) [38], and, to some extent, approaches to domestication in these separate regions were guided by culturally distinct selection of traits. Taken together, these features make domesticated grass species especially conducive to the meaningful study of convergence and parallelism.

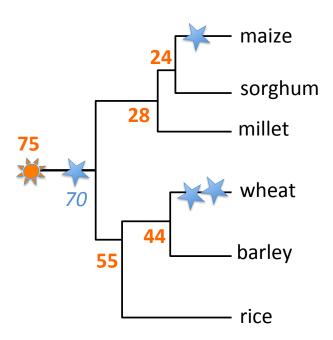


Figure 1: Simple cladogram of major cereal speciation. Numbers are in MYA (millions of years ago). Orange sun: grass speciation event 75 MYA. Blue stars: polyploidy events; the major grass polyploidy event immediately after the grass speciation event occurred approximately 70 MYA. The Ehrhartoideae clade, which includes rice, arose approximately 55MYA. The Pooideae clade, which includes wheat and barley, arose around 44MYA; Chloridoideae which contains foxtail millet 28 MYA, and the Panicoids, which include maize and sorghum, arose approximately 24MYA. The branch length is not proportional to the number of substitutions per site.

Grass crops do share a number of common domestication syndrome traits also observed in non-

grass crop species (Table 1). However, some common domestication syndrome traits are notably lacking in the grasses, such as reduced toxicity, vegetative reproduction, and reduced defensive structures like spines and thorns—by and large the wild relatives of grass crops lacked these defense mechanisms. Likewise, some grass domestication syndrome traits are absent in non-grass crops, such as increased spikelet number and increased number of kernel rows, because these traits occur on structures that are not found outside the grasses.

An ever-increasing number of causal genes for traits in the domestication syndrome are being identified both within and outside of the grasses; these genes are summarized in Table 2 (modified from [66]). Grass domestication genes can be categorized based on whether they occur strictly within a species, share orthologs across the grasses, share orthologs within and outside of the grasses, or share orthologs entirely outside of the grasses (Column 3, Table 2) This gives us an opportunity to form a hypothesis as to the likelihood of convergence for a certain trait based on its known orthology across taxa. For example, in column 8 of Table 2 we indicate whether a domestication gene is expected to be convergent or parallel, based on patterns of orthology. If a domestication gene is found only within a species, it cannot be selected in parallel. For instance, coloration in rice through selection on the *Bh4* gene may be expected to be convergent since this gene's influence on coloration appears to be specific to the rice species. On the other hand, the coloration gene *BADH2* is found in both rice and soybean, (Table 2), suggesting an example of parallel selection. Take note of this section; I made edits you suggested and tried to reshape the text in terms of a hypothesis rather than an assertion.

Given that we see examples of both parallelism and convergence during domestication, what might determine which prevails for a given trait? A review by Lenser and Theissen [66] sets out four examples of when parallelism might be favored: (1) Genes occupying a nodal position upstream of genes that affect domestication traits; (2) Genes involved in simple metabolic pathways, because only a minimal set of genes serves as a potential mutational target to change a given trait (such as *Waxy*, Table 2); (3) genes with fewer pleiotropic effects, such as the MYB genes (i.e. *DFR*) associated with changes in fruit or seed color; (4) domestication-related alleles that are already present at low frequency within a wild population. Parallelism requires retention of orthologous genes throughout evolution and a lack of functional divergence. Therefore, loss of certain orthologs in wild relatives prior to the onset of crop domestication would make parallel domestication for some traits impossible.

Table 2 provides a starting point to predict which domestication genes are likely to be found across the grasses, which traits in the grasses are likely to be selected in parallel, and which are likely to be convergent. This is useful if we wish to breed wild grass relatives for domestication traits, or create hybrids among existing cultivars, since we can now associate favorable phenotypes and QTL with orthologs across species by simple comparative genomics. But to what extent can this knowledge help us to understand how domestication has impacted a crop's ability to adapt to new environments?

Table 2: Parallel or Convergent Orthologies

Rice, butley Figure 10 (1997) Figure 10 (1997) Figure 10 (1997) Strott of the control of the co	Crop species	Ortholog phylogeny	Phylogeny of domestication trait	Orthologous gene(s)	Gene product	Phenotypic trait	Trait type	Convergence	References
Species proposed graves with control and proposed graves with control and proposed graves and beyond graves	Rice, barley	Family	grass-wide	OsGA200x-2, HvGA200x-2	Metabolic enzyme	Dwarfism	domestication	parallel	[4, 3, 51]
Protection   Pro	Wheat	Species	species-specific, grass	Rht-1	SH2-TF	Dwarfism	domestication	convergent	[19]
supplication communic band Finally debode family consist of grosses and beyond HCMCP/HTLA, PPLIA JA, MAPI Signating protein Description of the parallel protein Peach family	Sorghum, pearl millet	Family	grass-wide	dw3, d2	Transporter protein	Dwarfism	domestication	parallel	[77, 82]
pea (army) Above family grass-side INCRN PATEL, PATEL Spinning protein Flowering time both parallel   wheat, rygens Species/family grass-wide VRP1, BAN, MADS, demain TP Flowering time both parallel   arth, rygens grass-wide grass-wide VRP2, CACT, ZanCCT SPECAL STATE Provering time both parallel   arth, rygens streat-station grass-wide parallel PATEL TABLEL LELET Appleany TP Provering time both parallel   rest, redecionary strainly strate of many grass-wide PATEL TABLEL LELET Growing time both parallel   rice, missor family species parallel PATEL LELET parallel Provering time both parallel   rice, missor family species parallel PATEL LELET parallel Provering time both parallel   rice, missor parallel parallel PATEL LIBET Architecture Patel parallel   rice, missor parallel parallel	Tomato, soybean, common bean	Family/above family	outside the grasses	SP, Dt1, PvTFL1y	Signaling protein	Determinate growth	domestication	parallel	[19, 91, 71, 64, 101]
wheat rogenes Species/family grass-wide WRAI_RMAI_LMAPI AADB domain TF Frowering time both panalled   wheat maine Species/family grass-wide CNRAI_LLIP_ALL MAD Control Frowering time both panalled   wheat maine Species/family dove grass-s and by-ond CRAPAC_LIP_ALL MAD Crack mained of the panalled Provering time both panalled   British sanifower burdy Familyshove family grass-s and by-ond HALT EARL MAD Crack mained Provering time both panalled   Ander anallower, burdy Familyshove family grass-s and by-ond HALT EARL MAD Convergence Provering time both panalled   Ander anallower, burdy Familyshove family grass-s and by-ond HALT EARL MAD Convergence Provering time both panalled   Ander anallower, mally grass-s and by-ond GRASSIANAS MADRA GanBAPD Madra convergence Provering time both panalled   Ander anally grass-s and by-ond grass-s and by-ond GRASSIANAS MARIB-TF	Barley, pea, strawberry	Above family	grasses and beyond	HvCEN, PsTFL1c, FvTFL1	Signaling protein	Flowering time	both	parallel	[15, 31, 61]
wheat, majoe Species/family places where VRR3_ZCCT1,ZnZCCT9 Zmic allocated control Flowering time both parallel   entry, wheat, strgibum, active where Species/family/shove (mily) grasses and beyond VRR3_ZCCT1,ZnZCCT9 Activated cost Flowering time both parallel   etter, wheat, strgibum, active corrected Family shove (mily) grasses and beyond H417, EAMS, LELELF7 Activation correction both parallel   stract, and power, bally show (mily) grasses and beyond VRSTAFIFIERT1, LERT properties (mily probe) VRSTAFIFIERT1, LERT properties (mily probe) Metabolic erry, or Caroling protein Flowering time both parallel protein   About control Species/family show grasses and beyond VRSTAFIFIERT1, LERT properties (mily protein) Metabolic erry, or Caroling protein Caroling protein both parallel domestical protein parallel domestical protein parallel domestical domestical protein parallel domestical protein parallel domestical domestical protein parallel domestical domesti	Barley, wheat, ryegrass	Species/family	grass-wide	VRN1, BM5, TmAP1, WAP1, LpVRN1	MADS domain TF	Flowering time	both	parallel	[5]
and year, steppinn, implemental proposed grosses and beyond from the problem of family problement steppinn, implemental family considering grosses and beyond grosses grosses and beyond grosses grosses and beyond grosses grosses and beyond grosses gros	Barley, wheat, maize	Species/family	grass-wide	VRN2, ZCCT1, ZmZCCT9	Zinc finger-CCT domain TF	Flowering time	both	parallel	[46]
Bassics obtances Family consider the grasses HELC, BorDLCZ ANDS description Poor the pound of the public	Rice, barley, wheat, sorghum, sugar beet	Species/family/above family	grasses and beyond	OsPRR37, Ppd-H1, Ppd1, SbPRR37, BvBTC1	Circadian clock	Flowering time	both	parallel	[78, 103, 52, 6, 111, 17]
and per length Family above family grastes and beyond Hd17.EAMS, Mat.a, HR1.LELE) Cronding closes Fowering time both portal   heart, surflower, bardy Family above family grasses and beyond Hd17.EAMS, Mat.a, HR1.LELE Signaling protein Fowering time both parallel   heart, malize, foxulla, milked, milked family apove family grasses wide SII.OSSII, ZMSH Mat.abolic erryme Coloration both parallel   heart, malize, foxulla, milked, milked grasses and beyond GBSSI, MSS Mat.abolic erryme Coloration both parallel   okon Species/specific, oxisted RADDI, GBBADH2 Metabolic erryme Coloration both convergent   okon Species specific, oxisted RADDI, GBBADH2 Metabolic erryme Coloration both convergent   okon Species specific, oxisted RADDI, GBBADH2 Mat.abolic erryme Coloration both convergent   okon Species specific, carse RADDI, GBBADH2 MATB-TF Fowering ince parallel   okon Species	Turnip, Brassica oleracea	Family	outside the grasses	BrFLC2, BoFLC2	MADS domain TF	Flowering time	both	parallel	[114, 116, 80]
theat, sunthower, bankley Familysthower family gracess and beyond RH34, LH4T, HH7T, HY7T, H7T, HY7T, H7T, HY7T,	Rice, barley, pea, lentil	Family/above family	grasses and beyond	Hd17, EAM8, Mat-a, HR, LcELF3	Circadian clock pathway	Flowering time	both	parallel	[109, 75, 117, 27]
Species specific, grass, wide drawning Species specific, grass, swide and beyond control and analyse and control and analyse and control and analyse and control and analyse and beyond control and analyse and control analyse and control and analyse and control and analyse and control analyse and control an	Rice, wheat, sunflower, barley	Family/above family	grasses and beyond	Hd3a (Heading date 3a), VRN3/TaFT, HaFT1, HvFT	Signaling protein	Flowering time	both	parallel	[115, 99, 10]
Post cite and proposed per part of the part	Rice	Species	species-specific, grass	Hd1	Zinc finger TF	Flowering time	both	convergent	[74]
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Opecies/family grases and beyond BAHDFR, DFR Metabolic curyme Fragamene doornstication   outoo Species/family grasess and beyond RADPR, DFR MAYB-TF Coloration both parallel   outoo Species species-specific, outside Raby Transporter protein Coloration both convergent   and Species species-specific, outside Raby Transporter protein Coloration both convergent   and Species species-specific, grass Raby Transporter protein Coloration both convergent   and species-specific, grass species-specific, grass th.1 Pgbf. LNFC TCO-TF Coloration both convergent   per species-specific, grass th.1 Pgbf. LNFC TCO-TF Coloration both convergent   per species-specific, grass th.1 Pgbf. LNFC TCO-TF Coloration both convergent   per species-specific, grass protein-specific, grass th.1 Pgbf. LNFC TCO-TF	Rice, wheat, maize, foxtail millet, barley, amaranth, sorghum, broomMaize millet	Species/family/above family	grasses and beyond	GBSSI, Waxy	Metabolic enzyme	Glutinous seeds	domestication	parallel	[50, 26, 56, 57, 48, 81]
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tato Above family outside the grasses flavonoid 3',5'-hydroxylase Metabolic enzyme Coloration both portule   Species species-specific, grass species-specific, ususde VMYBA1-3 MYB-TF Coloration both convergent   pearl millet, barley Family grass-wide tb. Pgbb1, INT-C TCP-TF Plant architecture both convergent   pearl millet, barley Species species-specific, grass tb. Pgbb1, INT-C TCP-TF Plant architecture both convergent   pearley Species species-specific, grass TaAAMT1, ScALMT1 Transporter protein Action quality done-stand convergent   n., Maize Family grass-wide TAAAMT1, ScALMT1 Transporter protein Metal tolerance adaptation parallel   Arbove family grass-wide HVA1, Wrab IS, Wrab IS LEA protein Cold tolerance adaptation parallel   Arbove family grass-wide HVA1, Wrab IS, PA1, RA Aquoperin Cold tolerance adaptation convergent	Soybean	Species	species-specific, outside grasses	М	MYB-TF	Coloration	both	convergent	[37]
species species-specific grass Rc bHLH-TF Coloration both convergent   sine Species species species th Pgbl, INT-C TCP-TF Coloration both convergent   pearl millet, barley Family species species th Pgbl, INT-C TCP-TF Plant architecture both convergent   species species-specific, grass VARSI Homeodomain-TF Grain quality both convergent   rye Family species-specific, grass TaALMTI, ScALMTI Transporter protein Metal tolerance adaptation convergent   Arabidopsis Above family grass-wide TAVPPI, AVPI AVPI Availation parallel   Arabidopsis Species specific, grass World, Wasls, World, Such PpC Drought tolerance adaptation convergent   weat Family grass-wide HVAI, Wrabl S LEA protein Cold tolerance adaptation convergent   barlet Above family grass-wide HVAI, Wrabl S <	Pea, potato	Above family	outside the grasses	flavonoid 3',5'-hydroxylase	Metabolic enzyme	Coloration	both	parallel	[74]
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pearl milet, barley Family species Popicion	Grapevine	Species	species-specific, outside grasses	VvMYBA1-3	MYB-TF	Coloration	both	convergent	[74]
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Species Species-specific, grass Opaque2 bZIP-TF Grain quality domestication convergent   m, Maize Family grass-wide TaALMTI, ScALMTI Transporter protein Metal tolerance adaptation parallel   Arabidopsis Above family grass-wide ZmVPPI, AVPI Vacuollar-type H(+) Drought tolerance adaptation parallel   Arabidopsis Species species-specific, grass OsAHLI AT-book PPC Drought tolerance adaptation parallel   wheat Family grass-wide Wcs19, Wcor15, Bcor14b LEA protein Cold tolerance adaptation parallel   barley Above family grasses and beyond HVPIP2-1, ZmPIP2-4, PMZ8A Aquaporin Soll salinity adaptation parallel   oxtail millet, tomato Above family grasses and beyond OsASR1, OsASR3, SiASR1, PABA stress ASR Soil salinity adaptation parallel   Species species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent   Family <t< td=""><td>Barley</td><td>Species</td><td>species-specific, grass</td><td>VRS1</td><td>Homeodomain-TF</td><td>Plant architecture</td><td>both</td><td>convergent</td><td>[74]</td></t<>	Barley	Species	species-specific, grass	VRS1	Homeodomain-TF	Plant architecture	both	convergent	[74]
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Family grass-wide SbMATE1, ZmMATE1 Transporter protein Metal tolerance adaptation parallel   Above family grasses and beyond ZmVPP1, AVP1 Vacuolar-type H(+) Archook phatase Drought tolerance adaptation parallel   Species species-specific, grass OsAHL1 AT-hook PPC Drought tolerance adaptation parallel   Family grass-wide Wes19, Weorl3, Wrorl3, Bevr14b Cor protein Cold tolerance adaptation parallel   Above family grasses and beyond OsASR1, OsASR3, SIASR1, PM28A Apatress ASR Soil salinity adaptation parallel   Species species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent   Family grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel	Wheat, rye	Family	grass-wide	TaALMT1, ScALMT1	Transporter protein	Metal tolerance	adaptation	parallel	[74]
Above family grasses and beyond ZmVPP1, AVP1 AVP1 pyrophosphatase pyrophosphatase AVP1 pyrophosphatase Convergent Convergent Convergent Pyrophosphatase Cold tolerance adaptation parallel	Sorghum, Maize	Family	grass-wide	SbMATE1, ZmMATE1	Transporter protein	Metal tolerance	adaptation	parallel	[74]
Species specifes-specific, grass OsAHL1 AT-hook PPC domain Drought tolerance adaptation convergent domain   Family grass-wide HVA1, Wrab18, Wrab19 LEA protein Cold tolerance adaptation parallel   Family grasses and beyond HvPIP2;1, ZmPIP2-4, PM28A Aquaporin Soil salinity adaptation parallel   Above family grasses and beyond OsASR1, OsASR3, SiASR1, Protein Adaptation Soil salinity adaptation parallel   Species species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent   Family grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel	Maize, Arabidopsis	Above family	grasses and beyond	ZmVPP1, AVP1	Vacuolar-type H(+) pyrophosphatase	Drought tolerance	adaptation	parallel	[106]
Family grass-wide HVA1, Wrab18, Wrab19 LEA protein Cold tolerance adaptation parallel   Family grass-wide Wes19, Wcor15, Bcor14b Cor protein Cold tolerance adaptation parallel   Above family grasses and beyond OsASR1, OsASR3, SIASR1, PM28A Astress ASR Soil salinity adaptation parallel   Species species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent   Family grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel	Rice	Species	species-specific, grass	OsAHL1	AT-hook PPC domain	Drought tolerance	adaptation	convergent	[119]
Family grasse-wide Wcs19, Wcor14, Wcor15, Bcor14b Cor protein Cold tolerance adaptation parallel   Above family grasses and beyond HVPIP2;1, ZmPIP24, PM28A Aquaporin Soil salinity adaptation parallel   Above family grasses and beyond OsASR1, OsASR3, SiASR1, OsASR3, SiASR1, Protein ABA stress ASR Soil salinity adaptation parallel   Species species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent   Family Family LR34 ABC transporter Pathogen resistance adaptation parallel	Barley, wheat	Family	grass-wide	HVA1, Wrab18, Wrab19	LEA protein	Cold tolerance	adaptation	parallel	[45, 24]
Above family grasses and beyond HvPIP2;1, ZmPIP2,4, PM28A Aquaporin Soil salinity adaptation parallel land osASR1, OsASR1, OsASR1, AbA stress ASR Soil salinity adaptation parallel protein Species specific, grass Rp3 Rp3 NBS-LRR Pathogen resistance adaptation convergent land grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel land	Wheat, barley	Family	grass-wide	Wcs19, Wcor14, Wcor15, Bcor14b	Cor protein	Cold tolerance	adaptation	parallel	[100]
Above family grasses and beyond OsASR1, OsASR3, SiASR1, protein ABA stress ASR protein Soil salinity adaptation parallel   Species species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent   Family grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel	Barley, maize, spinach	Above family	grasses and beyond	HvPIP2; 1, ZmPIP2-4, PM28A	Aquaporin	Soil salinity	adaptation	parallel	[55, 121, 30]
Species species-specific, grass Rp3 NBS-LRR Pathogen resistance adaptation convergent   Family grass-wide LR34 ABC transporter Pathogen resistance adaptation parallel	Rice, foxtail millet, tomato	Above family	grasses and beyond	OsASR1, OsASR3, SiASR1, SIASR1	ABA stress ASR protein	Soil salinity	adaptation	parallel	[68, 60]
Family grass-wide LR34 ABC transporter Pathogen resistance adaptration parallel	Maize	Species	species-specific, grass	Rp3	NBS-LRR	Pathogen resistance	adaptation	convergent	[108]
	Wheat, rice, sorghum	Family	grass-wide	LR34	ABC transporter	Pathogen resistance	adaptation	parallel	[63]

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## **Adaptation in the grasses**

An adaptive trait is one that interacts or responds to the environment in a way that helps an organism to thrive. For domesticated crops, however, adaptive traits that reverse desired domestication phenotypes such as yield, fragrance, flavor, or reduced shattering would not be considered favorable; therefore, we will narrow the definition of an adaptive trait to one that interacts or responds to the environment favorably but does not detract from desired domestication traits. Perhaps it is also necessary to define what specifically is meant by "environment". A straightforward (and admittedly simplistic) way would be to break "environment" down to discrete features, which can include, for example, the level of carbon dioxide in the air, the level of UV radiation, temperature, day length, humidity, rainfall, wind, soil nutrient load, and soil salinity. By dividing the environment into these discrete elements, we can address each element individually by asking what sort of adaptive trait we would expect to observe in response to each, and how many of these adaptive traits are expressed in the same genetic pathway as known domestication genes. but bottleneck could also reduce adaptive diversity/potential...

But first, it is important to note that selection for adaptive traits in crop species is far more constrained than the initial selection for domestication traits, and that is due to the domestication bottleneck. Domestication bottlenecks are the result of selection for traits that make for desirable crops but not necessarily for environmental adaptability. Genome-wide loss of diversity during genetic bottlenecks associated with both initial domestication and later crop expansion has been documented extensively. [105] For instance, massive nucleotide diversity loss is reported in domesticated bread wheat [44], maize (with an increase in deleterious alleles) [25, 105], rice [122], Sorghum [42], and barley [59] compared with wild relatives, demonstrating that loss of diversity is widespread in cultivated grasses and is a phenomenon that is distinct from uncultivated wild relatives. These results suggest that domestication itself is responsible for the loss of diversity, and because of this, attempts to adapt domesticated grasses to new environments could pose a challenge.

Outside of breeding to a wild relative, in what ways can cereal crops be rescued from a diversity bottleneck? We propose that the likelihood of adaptation for a specific phenotype depends on (1) whether the adaptive gene in question is also associated with a domestication trait, and (2) the potential for functional mutation within a given cereal crop species due to polyploidization or transposable element insertion.

Particular domestication traits described in Table 2 are also associated with adaptation during crop expansion. For example, variation in flowering time is known to be a response to photoperiod sensitivity: the gene *ZmCCT9* in maize appears to be involved in flowering under the long days of higher latitudes in the Americas, but a transposon insertion upstream of *ZmCCT9* in domesticated maize cultivars is thought to have led to reduced photoperiod sensitivity, which has allowed domesticated maize to expand its range [46]. but was it truly targeted during domestication? perhaps discuss here how our assumptions of domestication vs adaptation are murky Another example of a domestication trait with an adaptive component is coloration. Loss of coloration has been favored in a variety of cereal cultivars, from rice to maize, as a cultural preference during domestication. As it turns out, coloration assists with UV tolerance in cereals and other plant species [95, 40]. Therefore, a return of coloration could likely lead to a greater tolerance of UV radiation in cereals that bred at higher elevations [87]. Table 2 attempts to match examples of adaptation traits to domestication traits, where possible, using the definition of adaptation as described above. However,

due to the domestication bottleneck, it is not likely that a gene associated with domestication would be expected to lead to an adaptive phenotype, since the only alleles that exist within a population of domesticated crops will be those that give rise to the domestication phenotype. Thus it would not be expected that genes that are selected in parallel for domestication would also be selected in parallel for adaptive traits.

On the flip side, there are a number of adaptive traits unlikely to have a domestication component, since they appear unrelated to domestication syndrome traits. These include (but are not limited to) drought tolerance, cold tolerance, soil salinity, and pathogen defense. Table 2 includes some adaptive genes and their orthologs, and specifies whether they are associated with domestication traits. Adaptive genes not expected to be associated with domestication include the maize ZmVPP1 gene, where an upstream insertion is linked to drought tolerance [106]. Since this gene has a drought-tolerant ortholog in Arabidopsis, AVP1 [35], it suggests that orthologs could exist elsewhere in the cereals as well. However, another drought-tolerance gene in rice, OsAHL1 [119], does not appear to have a defined drought-tolerant ortholog in any other species at the time of this writing. Wheat and barley possess a small family of cold-tolerance genes including Wcs19 [14], Wcor14 [102] and Bcor14b [16], all of which encode chloroplast-targeted COR proteins analogous to the Arabidopsis protein COR15a [96, 100]. The LEA protein orthologs HVA1 and Wrab 18/19 in barley and wheat, respectively, are also associated with cold tolerance [45, 24]. Transcript and protein levels of the barley HvPIP2 aquaporin gene were found to be down-regulated in roots but up-regulated in the shoots of plants under salt stress [55]. HvPIP2 has an ortholog in maize, ZmPIP2-4 [121], and in spinach, PM28A [30]. There are also the ASR (abscisic acid, stress, and ripening-induced) genes that are associated with salinity tolerance in rice [53], Setaria (millet) [68], and tomato [60]. Because these genes have no known domestication component, they are more likely to be selected in parallel, since they would not have undergone as much loss in diversity as a domestication gene.

We have seen that grasses tend to have relatively active transposons, and this transposon activity may permit a higher mutation rate in cereals, allowing for new alleles to arise in a population [110]. In Table 2, several of the domestication and adaptive phenotypes are due to a transposon insertion somewhere in the functional region of a gene: *tb1* [97], *ZmCCT9*, and *ZmVVP1*, all in maize, to name just a few. However, a comprehensive review of TEs and plant evolution [70] suggests that our understanding of the role of transposable element activity in crop adaptation is largely anecdotal and might be overstated, but perhaps can be better elucidated by harnessing the recent advances in genomics such as more sophisticated TE annotation protocols, whole-genome sequencing, and comparative algorithms. Using these advances in genome biology, a recent study by Lai and coworkers found that transposon insertions may have played an important role in creating the variation in gene regulation that enabled the rapid adaptation of domesticated maize to diverse environments [65].

Loss of allelic diversity due to domestication bottlenecks may have a more significant impact on those adaptive phenotypes that are controlled by the same genes that control domestication phenotypes (such as ZmZCCT9), rather than on the genes that appear unrelated to domestication (such as ZmVPP1). So it is not a foregone conclusion that a bottleneck in a flowering time allele, for instance, would necessarily lead to a bottleneck in an allele related to drought tolerance, unless loss of diversity in a population were severe and genome-wide, or unless drought tolerance and flowering time were phenotypically or genetically linked. Therefore, one might predict that selection for transposable element insertion would be greater for domestication-syndrome adaptation alleles

than in adaptation alleles unrelated to domestication syndrome. so is the idea that more adaptation at traits linked to domestication would be from TEs because of higher mutation rate?

But another way an adaptation trait could escape a domestication bottleneck is if the domestication-syndrome allele were on a gene with a retained homeolog, allowing for subfunctionalization or neofunctionalization of the other homeolog to an adaptive allele. Neofunctionalization of homeologs is widespread in maize [47], which has undergone a recent tetraploidy event approximately 5-12MYA [98]; and in bread wheat, subfunctionalization of homeologs as a result of wheat's hexaploidy event appears to have given rise to alleles associated with baking quality [84]. but again, a domestication trait

To some extent, it can be predicted which homeolog in a post-polyploid cereal is likely to be adaptive. It is known that of the two retained post-polyploidy subgenomes in maize, one undergoes less fractionation and is more highly expressed than the other (i.e. the dominant subgenome) [113, 92], and there is evidence that fractionation is biased not only in maize, but in wheat as well [23]. Schnable and Freeling found that of the "classical" maize genes, or characterized genes that have a known mutant phenotype, the majority are on the less fractionated subgenome [93]. Many of these genes, such as tb1, Waxy, Opaque2, and several starch synthesis and coloration genes not in Table 2, have a domestication syndrome phenotype in maize. Additionally, recent work has suggested that the genes on the more highly expressed subgenome in maize contribute more to phenotypic variation than the less expressed subgenome [90] because they are under greater purifying selection. If genes associated with domestication tend to be on the less fractionated subgenome, and greater phenotypic variation is observed in the less fractionated subgenome, then adaptive traits should more likely be associated with the homeolog on the less fractionated subgenome. Indeed, two genes associated with adaptive phenotypes in maize from Table 2, ZmVPP1 (drought tolerance) and ZmPIP2-4 (soil salinity) are both found on the less fractionated subgenome [93]. Yet about forty percent of the genes on the more fractionated subgenome do exhibit some amount of expression dominance and phenotypic variation [90], and genome dominance alone is not a guarantee that adaptive alleles could not arise on the more fractionated genome as well.

Finally, there are some adaptive traits that are less likely to have orthologs in even closely related species. These include pathogen resistance and stress response. While there are examples of pathogen defense and stress response genes in the grasses that are orthologous to other species (Table 2), by and large, genes that code for traits involved in plant defense and stress response are frequently orphan genes, or genes that are specific to a particular lineage and share no defined orthologs with any outgroup [112]; reviewed in [1]. Orphan genes tend to be very dynamic, arising and becoming lost much faster than their basal counterparts [33]. If an adaptive trait such as pathogen resistance is dependent on these orphan-type genes, which quite often are unique even in individual cultivars within the same crop species, then we would not expect to see convergence of this trait at the allelic level in cereal adaptation, since each species-indeed, each cultivar-would be expected to have its own unique, "outward-facing" suite of orphan genes that would confer environmental adaptation uniquely to its niche. Orphan genes often propagate through trans duplication [33, 1]; therefore, movement of these genes to a new region whose local euchromatic status can confer novel expression patterns to the mobilized gene can be a strong source of adaptation, especially since it has been shown that stressful environments can stimulate activation of transposable elements [7, 73] reviewed in [79], and this is one way that crop species might be able to escape domestication bottlenecks in adaptation.

### **Conclusions**

This review set out to explore how domestication has influenced the potential for adaptation in the grasses. Factors of domestication that have influenced adaptation include the selection for domestication traits that also have adaptive qualities, and to what extent diversity of a locus has undergone a domestication bottleneck. We discussed the possible ways that a crop might escape a domestication bottleneck, including homeolog sub- or neofunctionalization, transposable element activity, and trans duplication or fast-evolvability of lineage-specific adaptive genes. We also set out to see whether it is possible to predict the likelihood of adaptability of any given trait, irrespective of domestication syndrome effects. How realistically we can predict this in any given cereal crop is dependent upon (1) the existence of an ortholog to a known adaptive gene in another species; (2) the retention of functionality in the ortholog; (3) which subgenome a putatively adaptive gene is on within a species that had undergone a recent polyploidy; and (4) the propensity of an adaptive gene or gene family to be orthologous. Table 2 attempts to summarize these findings by correlating adaptivity with domestication traits as well as orthology in other species.

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