

AC - Administrative Components

Dublin Core DCMI Administrative Metadata

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Introduction

The goal of AC - Administrative Components is to provide a practical tool for users of metadata to manage metadata with special focus on interoperability between different systems. This indicates the limitations of this metadata set: it is for administration of metadata - not for administration of resources.

AC - Administrative Components is developed within the framework of Dublin Core Metadata Initiative Administrative Metadata Working Group 2001-2003.

Relation to other initiatives:

The focus for AC - Administrative Components metadata set is interoperability between systems with content metadata. Other initiatives have other focuses. The word "administrative" is in METS (Metadata Encoding & Transmission Standard) used for management of digital library objects. Other related functions are record keeping, resource management and preservation metadata.

OAI-PMH (The Open Archives Initiative Protocol for Metadata Harvesting) includes some metadata elements for administration. For users of OAI-PMH information such as identifier and date will be handled by OAI-PMH and not AC.

None of these initiatives meet all the requirements in relation to control interchange of metadata records between systems. This is the reason for the present specification.

This document sets out a three-part proposal for a standard for administrative metadata:

- Metadata for the entire record
- Metadata for update and change
- Metadata for batch interchange of records

One informative annex complements it.

January 2006 updated with new element "Source" and a link to an XML schema added in the end.

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Dublin Core DCMI Administrative Metadata

Final version JULY 2003

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General rules

The name of this metadata element set is Administrative Components.

The DCMI namespace URI is <http://purl.org/ac/>

All metadata elements are optional and repeatable. A specific implementation can define additional rules including that some elements are mandatory.

None of the elements are specified as mandatory for all kinds of use of AC. It is up to the individual project, organisation, web-site etc. to decide which elements are to be mandatory. A tool for that can be an Application Profile to specify instructions for use of Dublin Core, domain specific metadata element set(s) and metadata about management of the content metadata - including e.g. mandatory AC elements for specific use.

The idea is that the different projects, organisations, institutions etc. shall pick up the elements they can use.

Definitions

Metadata for the entire record

Name: **identifier**

Label: Identifier

Definition: A string or a number, which identifies the metadata record

Comment: Can be the internal number in a database.

Name: **source**

Label: Source

Definition: A string or a number, which identifies recording entity

Comment: Can be a library code or an acronym for museum, archive etc..

Name: **scope**

Label: Scope

Definition: Declaration of the scope of application

Comment: Will often be declared by means of a separate form. This element can be used either as unstructured text just with an informal declaration like "national bibliography" or by using a SCHEME for a formal declaration like "catalogue code".

Name: **comment**

Label: Comment

Definition: Comment on the Administrative Component metadata

Comment: E.g. comments pointing at special circumstances in connection with the transmitted metadata

Name: **location**

Label: Metadata Location

Definition: An unambiguous reference to the content metadata within a given context

Comment: This element is only used if the content metadata and administrative metadata are not in the same location.

Recommended best practice is to identify the content metadata by means of a string or number conforming to a formal identification system. Examples of formal identification systems include the Uniform Resource Identifier (URI) (including the Uniform Resource Locator (URL)) and the Digital Object Identifier (DOI). Other identifiers, such as local repository/database keys, may be used.

Name: **language**

Label: Language

Definition: Language of metadata

Comment: Encoded ISO 8601, ISO 639-2.

Name: **rights**

Label: Rights Ownership

Definition: Information about rights held in and over the content metadata

Comment: Typically, the Rights element will contain a rights management statement for the content metadata, or refer to a service providing such information. For at more detailed control specific projects can use SCHEMES for administration of rights for the specific project.

Name: **dateRange**

Label: Valid Date Range

Definition: The start and/or end date of the validity of the content metadata

Comment: Content metadata accessed outside the date ranges should be considered to be invalid.

Encoded to the W3C Profile of ISO 8601 including the use of the "/" to indicate the range scope. For example, "/1999-12-31" indicates validity up to 31 December 1999, "1999-01-01/" indicates validity from 1 January 1999 onwards, and "1999-01-01/1999-12-31" indicates validity between the two specified dates.

Name: **handling**

Label: Handling specification

Definition: Instructions for handling the administrative metadata and the metadata record in full. To this element is attached a SCHEME with the values:

- Harvest: the record shall be included in a harvesting
- Public: the content metadata must be shown to the public
- Manual: the metadata record must be checked automatically
- Keep: when adding administrative metadata, shall old versions of same element be kept
- Mail: Mail to be sent

Comment: This element defines instructions of future actions. (See also the element: Action)

Metadata for update and change

Name: **activity**

Label: Activity

Definition: This element reflects an action performed on the content metadata

Comment: The element functions as a container, which connects an action (see below) with the one responsible for its accomplishment, the date on which the activity took place, etc.

Refinements

Name: **action**

Label: Action

Definition: The action performed on the content metadata by the responsible entity

Comment: The actions are taken from a non-exhaustive list including:

created, submitted, modified, checked, link collected, resource harvested, expired, mail sent and three codes for deleted: delete_error_record, delete_disappearance and delete_out_of_scope

This list shows the history of actions. (See also the element: Handling).

Other sources may be used for the action values such as codes from the USMARC Relator List.

To this element is attached a SCHEME *TypeOfActivity* with the values:

- created
- submitted
- modified
- checked
- link collected
- resource harvested
- expired
- mail sent
- delete_error_record
- delete_disappearance
- delete_out_of_scope

Name: **name**

Label: Name

Definition: The name of the entity responsible for undertaking a defined action on the content metadata

Comment: Examples of Name include a person, an organisation, or a service.

Where the person has an affiliation with an organisation, this information may be included.

The name of a person should be provided in reverse order, that is, last name before first name, with a comma separator.

Name: **email**

Label: Email Address

Definition: Electronic Mail address for the responsible entity

Comment: The email address must be encoded to be consistent with Internet Address standard RFC822.

Name: **contact**

Label: Contact Information

Definition: Information on how to contact the responsible entity

Comment: The information should be one or more of: a street or postal address, a telephone number, a facsimile number, an Internet address, or other forms of physical or electronic contact information.

Links to full descriptions of the responsible entity may also be included, such as name registries.

Name: **date**

Label: Date

Definition: The date on which the activity took place

Comment: Encoded to the W3C Profile of ISO 8601.

This unspecified date must be used in connection with an action, e.g. "submitted"

Name: **affiliation**

Label: Affiliation

Definition: The organization with which the named person was associated when involved with the resource

Comment: Often the "affiliation institution" will be the formally responsible entity

Metadata for batch interchange of records

A number of elements relevant in connection with data exchange via batch files

Name: **database**

Label: Database

Definition: Code identifying a database

Comment: The code is used to identify the database to which a batch file is sent.

Is related to Metadata Location.

Name: **transmitter**

Label: Transmitter

Definition: Name or code for transmitter

Comment: The name/code (e.g. a library number) will be used to identify an organization with which formal routines of data exchange are established.

A code may include the type of transmitter (e.g. public library, research library, publisher)

Name: **filename**

Label: Filename

Definition: Name of a batch file

Comment: Name of the individual batch file. It may be combined with transmitter name.

Name: **technicalFormat**

Label: Technical format

Definition: Technical data exchange format

Comment: The format is taken from a non-exhaustive list including:

ISO2709, XML, HTML

Name: **characterSet**

Label: Character set

Definition: Name of character set used

Comment: The character sets must refer to relevant standards

Name: **bibliographicFormat**

Label: Bibliographic format

Definition: Bibliographic format for data exchange

Comment: The format are taken from a non-exhaustive list including:

MARC21, danMARC2, DC

Name: **resultFile**

Label: Address of result file

Definition: Localization of result file