### M-SPARC

User guide

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### Introduction

Matlab-Simulation Package for Ab-initio Real-space Calculations (M-SPARC) is a real-space code for performing electronic structure calculations based on Kohn-Sham Density Functional Theory (DFT). Its primary purpose is the rapid development and testing of new algorithms and methods within DFT. The main features of M-SPARC v1.0.0 include

- Boundary conditions for crystals, surfaces, wires, and molecules.
- Calculation of ground state energy, atomic forces, and stress tensor.
- Unconstrained collinear magnetization via spin polarized calculations.
- Structural relaxation and molecular dynamics (MD).
- LDA and GGA exchange correlation functionals.
- ONCV and TM pseudopotentials in psp8 (ABINIT) format.

Additional details regarding the formulation and implementation of M-SPARC can be found in the accompanying paper. Please direct any questions and report any bugs to Prof. Phanish Suryanarayana.

### Installation

 $\begin{array}{ll} \mbox{Prerequisite: } M{\rm ATLAB} \\ \mbox{No installation required.} \end{array}$ 

# Input files

The required input files to run a simulation with M-SPARC are

- ".inpt" file User options and parameters.
- ".ion" file Atomic information.

It is required that the ".inpt" and ".ion" files are located in the same directory and share the same name. A detailed description of the input options is provided in this document. Examples of input files can be found in the directory M-SPARC/tests.

In addition, M-SPARC requires pseudopotential files of psp8 format which can be generated by D. R. Hamann's open-source pseudopotential code ONCVPSP. A large number of accurate and efficient pseudopotentials are already provided within the package. For access to more pseudopotentials, the user is referred to the SG15 ONCV potentials. Using the ONCVPSP input files included in the SG15 ONCV potentials, one can easily convert the SG15 ONCV potentials from upf format to psp8 format. Paths to the pseudopotential files are specified in the ".ion" file.

M-SPARC can be executed in MATLAB by calling the msparc function (which is located under src/ directory). It is required that the ".inpt" and ".ion" files are located in the same directory and share the same name. For example, to run a simulation with input files as "filename.inpt" and "filename.ion" in the src/ directory, use the following command:

```
S = msparc('filename');
```

In many cases, we would not want to put the input files inside the src/directory. In such cases, we need to provide the path to the input file name, without any extension. As an example, one can run a test located in M-SPARC/tests/Example\_tests/. First go to src/directory. Run a DC silicon system by:

```
S = msparc('../tests/Example_tests/Si8_kpt');
```

The result is printed to output file "Si8\_kpt.out", located in the same directory as the input files. If the file "Si8\_kpt.out" is already present, the result will be printed to "Si8\_kpt.out\_1" instead. The max number of ".out" files allowed with the same name is 100. Once this number is reached, the result will instead overwrite the "Si8\_kpt.out" file. One can compare the result with the reference out file named "Si8\_kpt.refout".

In the tests/ directory, we also provide a sample script file run\_examples.m, which launches four example tests one by one. To run these examples, simply change directory to tests/examples/ directory, and run:

run\_examples

Note that in this case, we're trying to call the msparc function from a different directory. This is achieved by using the MATLAB function addpath to add the src/ directory to search path.

One can also run M-SPARC using the MATLAB parallel pool over k-points/spin by providing a second argument, parallel\_switch, when running M-SPARC:

```
S = msparc('filename',parallel_switch);
```

If parallel\_switch = 1, M-SPARC will start using the parallel pool, and if parallel\_switch = 0, M-SPARC will not use the parallel pool, which is the default.

A suite of test systems is provided in the tests/ directory. The test systems are arranged in a hierarchal systems of directories. Input and reference output files for each test system is stored in separate folders with the same name. A python script named 'test.py' is also provided to launch the tests on a cluster. Details on how to use the Python script can be found in Readme file in the tests/ folder.

# Output

Upon successful execution of the "S = msparc('filename');" command, an output structure is returned and stored in S. The structure S contains detailed information that can be useful for post-processing and debugging. Information such as the input parameters, densities, wavefunctions, eigenvalues, and all electronic ground-state properties calculated are stored in the output structure.

Apart from the output structure returned, depending on the calculations performed, some output files will be created in the same location as the input files too.

### Single point calculations

- ".out" file Contains general information about the test, including input parameters, SCF convergence progress, ground state properties and timing information.
- ".static" file Contains the atomic positions and atomic forces if the user chooses to print these information..

# Output

#### Structrual relaxation calculations

- ".out" file See above.
- ".geopt" file Contains the atomic positions and atomic forces for each relaxation step. This file is created only when the unit cell is fixed. For cell relaxation a '.cellopt' file is created instead.
- ".cellopt" file Contains the cell information (lattice vectors, cell lengths, volume) and stresses for each relaxation step. Only created for cell relaxation.
- ".restart" file Contains information necessary to perform a restarted structural relaxation calculation.

### Molecular dynamics (MD) calculations

• ".out" file – See above.

# Output

- ".aimd" file Contains the atomic positions, atomic velocities, atomic forces, electronic temperature, ionic temperature and total energy for each MD step.
- ".restart" file Contains information necessary to perform a restarted MD calculation.

# **Acknowledgments**

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### Citation

If you publish work using/regarding M-SPARC, please cite some of the following articles, particularly those that are most relevant to your work:

- General: https://doi.org/10.1016/j.softx.2020.100423
- Non-orthogonal systems: https://doi.org/10.1016/j.cplett.2018.04.018
- Linear solvers: https://doi.org/10.1016/j.cpc.2018.07.007, https://doi.org/10.1016/j.jcp.2015.11.018
- Stress tensor/pressure: https://doi.org/10.1063/1.5057355
- Atomic forces: https://doi.org/10.1016/j.cpc.2016.09.020, https://doi.org/10.1016/j.cpc.2017.02.019
- Mixing: https://doi.org/10.1016/j.cplett.2016.01.033, https://doi.org/10.1016/j.cplett.2015.06.029, https://doi.org/10.1016/j.cplett.2019.136983

### System

```
CELL | LATVEC_SCALE | LATVEC | FD_GRID | MESH_SPACING | ECUT | BC |
FD_ORDER | EXCHANGE_CORRELATION | SPIN_TYP | KPOINT_GRID |
KPOINT_SHIFT | ELEC_TEMP_TYPE | ELEC_TEMP | SMEARING | NSTATES
ATOM_TYPE | PSEUDO_POT | N_TYPE_ATOM | COORD | COORD_FRAC | RELAX |
SPIN
```

#### SCF

```
CHEB_DEGREE | RHO_TRIGGER | MAXIT_SCF | TOL_SCF | SCF_FORCE_ACC |
SCF_ENERGY_ACC | TOL_LANCZOS | MIXING_VARIABLE | MIXING_HISTORY |
MIXING_PARAMETER | MIXING_PARAMETER_SIMPLE |
MIXING_PARAMETER_MAG | MIXING_PARAMETER_SIMPLE_MAG |
PULAY_FREQUENCY | PULAY_RESTART | MIXING_PRECOND |
MIXING_PRECOND_MAG | TOL_PRECOND | PRECOND_KERKER_KTF |
PRECOND_KERKER_THRESH | PRECOND_KERKER_KTF_MAG |
PRECOND_KERKER_THRESH_MAG | PRECOND_FITPOW
```

#### Electrostatics

TOL\_POISSON | TOL\_PSEUDOCHARGE | REFERENCE\_CUTOFF

#### Stress calculation

CALC\_STRESS | CALC\_PRES

#### MD

MD\_FLAG | MD\_METHOD | MD\_NSTEP | MD\_TIMESTEP | ION\_TEMP | ION\_ELEC\_EQT | RESTART\_FLAG

#### Structural relaxation

RELAX\_FLAG | RELAX\_METHOD | RELAX\_NITER | TOL\_RELAX |
TOL\_RELAX\_CELL | RELAX\_MAXDIAL | NLCG\_SIGMA | L\_HISTORY |
L\_FINIT\_STP | L\_MAXMOV | L\_AUTOSCALE | L\_LINEOPT | L\_ICURV |
FIRE\_DT | FIRE\_MASS | FIRE\_MAXMOV | RESTART\_FLAG

### Print options

PRINT\_ATOMS | PRINT\_FORCES | PRINT\_MDOUT | PRINT\_RELAXOUT | PRINT\_RESTART | PRINT\_RESTART\_FQ | PRINT\_VELS | OUTPUT\_FILE

# System: .inpt file

#### **CELL**

Type Double Unit Bohr

Default

Example

None

CELL: 10.20 11.21 7.58

### Description

A set of three whitespace delimited values specifying the cell lengths in the lattice vector (LATVEC) directions, respectively.

#### Remark

Note that CELL ignores the lengths of the lattice vectors specified in the .inpt file and only treats them as unit vectors. LATVEC\_SCALE and CELL cannot be specified simultaneously.

#### LATVEC\_SCALE

Type
Double

Default
None

Unit
Bohr

Example
LATVEC\_SCALE: 10.20 11.21 7.58

### Description

A set of three whitespace delimited values specifying the scaling factors in the lattice vectors (LATVEC), respectively.

#### Remark

The difference between LATVEC\_SCALE and CELL is that CELL treats the lattice vectors as unit vectors, whereas LATVEC\_SCALE scales the lattice vectors directly as specified by the user. LATVEC\_SCALE and CELL cannot be specified simultaneously.

### LATVEC

### Type

Double array

### Default

1.0 0.0 0.0 0.0 1.0 0.0

0.0 0.0 1.0

### Unit

No unit

# Example

LATVEC:

0.5 0.5 0.0

0.0 0.5 0.5

0.5 0.0 0.5

# Description

A set of three vectors in row major order specifying the lattice vectors of the simulation domain (CELL).

#### FD\_GRID

Type

Integer

Default

None

Unit

No unit

Example

FD\_GRID: 26 26 30

# Description

A set of three whitespace delimited values specifying the number of finite-difference intervals in the lattice vector (LATVEC) directions, respectively.

#### FD\_GRID

#### Remark

The convergence of results with respect to spatial discretization needs to be verified. ECUT, MESH\_SPACING, FD\_GRID cannot be specified simultaneously.

#### MESH\_SPACING

Type

Double

Unit

Bohr

Default

None

Example

MESH\_SPACING: 0.4

## Description

Mesh spacing of the finite-difference grid.

### Remark

The exact mesh-size will be determined by the size of the domain. MESH\_SPACING, FD\_GRID, ECUT cannot be specified simultaneously.

#### **ECUT**

Type
Double
Unit
Ha

Default
None
ECUT: 30

### Description

Equivalent plane-wave energy cutoff, based on which MESH\_SPACING will be automatically calculated.

#### Remark

This is not exact, but rather an estimate. ECUT, MESH\_SPACING, FD\_GRID cannot be specified simultaneously.

Type
Character

Default
None

Example
BC: P D D

### Description

A set of three whitespace delimited characters specifying the boundary conditions in the lattice vector directions, respectively. P represents periodic boundary conditions and D represents Dirichlet boundary conditions.

#### FD\_ORDER

Type

Integer

Default

12

Unit

No unit

Example

FD\_ORDER: 12

# Description

Order of the finite-difference approximation.

### Remark

Restricted to even integers since central finite-differences are employed. The default value of 12 has been found to be an efficient choice for most systems.

#### EXCHANGE\_CORRELATION

Type

String

Unit

No unit

Default

No Default

Example

EXCHANGE\_CORRELATION: LDA\_PW

# Description

Choice of exchange-correlation functional. Options are LDA\_PW (Perdew-Wang LDA), LDA\_PZ (Purdew-Zunger LDA), GGA\_PBE (PBE GGA), GGA\_RPBE (PBE RGGA), and GGA\_PBEsol (PBE GGAsol).

#### Remark

For spin-polarized calculation (SPIN\_TYP = 1), LDA\_PZ is not available.

#### SPIN\_TYP

Type

Integer

Unit

No unit

Default

U

Example

SPIN\_TYP: 1

# Description

SPIN\_TYP: 0 performs spin unpolarized calculation.

SPIN\_TYP: 1 performs unconstrained collinear spin-polarized calculation.

#### Remark

SPIN\_TYP can only take values 0 and 1.

#### KPOINT\_GRID

Type

Integer array

Default

1 1 1

Unit

No unit

Example

KPOINT\_GRID: 2 3 4

# Description

Number of k-points in each direction of the Monkhorst-Pack grid for Brillouin zone integration.

#### Remark

Time-reversal symmetry is assumed to hold.

#### KPOINT\_SHIFT

Type

Double array

Default

 $0.0\ 0.0\ 0.0$ 

Unit

No unit

Example

KPOINT\_SHIFT: 0.5 0.5 0.5

# Description

Shift of k-points in each direction of the k-point lattice.

#### Remark

The shift is in reduced coordinates. The default zero shift corresponds to the Monkhorst-Pack grid.

#### ELEC\_TEMP\_TYPE

Type

String

Default

gaussian

Unit

No unit

Example

ELEC\_TEMP\_TYPE: fd

# Description

Function used for the smearing (electronic temperature). Options are: fermi-dirac (or fd), gaussian.

#### Remark

Use ELEC\_TEMP or SMEARING to set smearing value.

#### ELEC\_TEMP

Type

Double

Unit

Kelvin

Default

1160.452

Example

ELEC\_TEMP: 315.773

# Description

Electronic temperature.

#### Remark

This is equivalent to setting SMEARING (0.001 Ha = 315.773 Kelvin).

#### SMEARING

Type

Double

Default

0.003675 for gaussian 0.007350 for fermi-dirac Unit

На

Example

SMEARING: 0.001

## Description

Value of smearing.

#### Remark

Equivalent to setting ELEC\_TEMP (0.001 Ha = 315.773 Kelvin).

#### **NSTATES**

Type

Integer

Unit

No unit

Default

 $N_e/2 \times 1.2 + 5$ 

Example

NSTATES: 24

# Description

The number of Kohn-Sham states/orbitals.

#### Remark

This number should not be smaller than half of the total number of valence electrons ( $N_e$ ) in the system. Note that the number of additional states required increases with increasing values of ELEC\_TEMP/SMEARING.

# System: .ion file

#### ATOM\_TYPE

Type

String

No unit

Unit

Default

None

Example

ATOM\_TYPE: Fe

### Description

Atomic type symbol.

#### Remark

The atomic type symbol can be attached with a number, e.g., Fe1 and Fe2. This feature is useful if one needs to provide two different pseudopotential files (PSEUDO\_POT) for the same element.

#### PSEUDO\_POT

Type
String

Unit
No unit

Default
None

Example
PSEUDO\_POT: ../psp/Fe.psp8

### Description

Path to the pseudopotential file.

#### Remark

The default directory for the pseudopotential files is the same as the input files. For example, if a pseudopotential Fe.psp8 is put in the same directory as the input files, one can simply specify PSEUDO\_POT: Fe.psp8.

#### N\_TYPE\_ATOM

Type
Integer

Default
None

N\_TYPE\_ATOM: 2

### Description

The number of atoms of a ATOM\_TYPE specified immediately before this variable.

#### Remark

For a system with different types of atoms, one has to specify the number of atoms for every type.

#### COORD

Туре	Unit
Double	Bohr
Default	Example
None	COORD:
	0.0 0.0 0.0
	2.5 2.5 2.5

### Description

The Cartesian coordinates of atoms of a ATOM\_TYPE specified before this variable. If the coordinates are outside the fundamental domain (see CELL and LATVEC) in the periodic directions (see BC), it will be automatically mapped back to the domain.

#### COORD

#### Remark

For a system with different types of atoms, one has to specify the coordinates for every ATOM\_TYPE. One can also specify the coordinates of the atoms using COORD\_FRAC.

#### COORD\_FRAC

Туре	Unit
Double	None
Default	Example
None	COORD_FRAC:
	0.5 0.5 0.0
	0.0 0.5 0.5

### Description

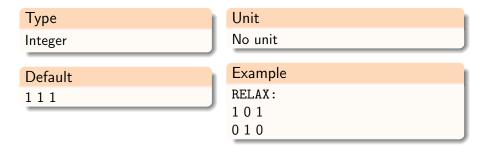
The fractional coordinates of atoms of a ATOM\_TYPE specified before this variable. COORD\_FRAC $(i,j) \times \text{CELL}(j)$ , (j=1,2,3) gives the coordinate of the  $i^{th}$  atom along the  $j^{th}$  LATVEC direction. If the coordinates are outside the fundamental domain (see CELL and LATVEC) in the periodic directions (see BC), it will be automatically mapped back to the domain.

#### COORD\_FRAC

#### Remark

For a system with different types of atoms, one has to specify the coordinates for every ATOM\_TYPE. One can also specify the coordinates of the atoms using COORD.

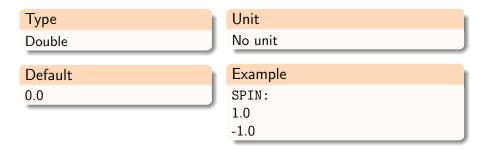
#### RELAX



### Description

Atomic coordinate with the corresponding RELAX value 0 is held fixed during relaxation/MD.

#### SPIN



### Description

Specifies the net initial spin on each atom for a spin-polarized calculation.

# **SCF**

#### CHEB\_DEGREE

Type

Integer

Default

Automatically set.

Unit

No unit

Example

CHEB\_DEGEE: 25

### Description

Degree of polynomial used for Chebyshev filtering.

#### Remark

For larger mesh-sizes, smaller values of CHEB\_DEGREE are generally more efficient, and vice-versa.

#### RHO TRIGGER

Type Integer

Default 4

Unit

No unit

Example

RHO TRIGGER: 5

### Description

The number of times Chebyshev filtering is repeated before updating the electron density in the very first SCF iteration.

#### Remark

Values smaller than the default value of 4 can result in a significant increase in the number of SCF iterations. Larger values can sometimes reduce the number of SCF iterations.

#### MAXIT\_SCF

Type

Integer

Unit

No unit

Default

100

Example

MAXIT\_SCF: 50

### Description

Maximum number of SCF iterations.

#### Remark

Larger values than the default of 100 may be required for highly inhomogeneous systems, particularly when small values of SMEARING/ELEC\_TEMP are chosen.

#### TOL\_SCF

Type

Double

Default

Automatically set for  $10^{-3}$  Ha/Bohr accuracy in force

Unit

No unit

Example

TOL\_SCF: 1e-5

### Description

The tolerance on the normalized residual of the effective potential or the electron density for convergence of the SCF iteration.

#### Remark

Only one of TOL\_SCF, SCF\_ENERGY\_ACC, or SCF\_FORCE\_ACC can be specified.

#### SCF\_FORCE\_ACC

Type

Double

Unit

Ha/Bohr

Default

None

Example

SCF\_FORCE\_ACC: 1e-4

### Description

The tolerance on the atomic forces for convergence of the SCF iteration.

#### Remark

Only one of SCF\_FORCE\_ACC, TOL\_SCF or SCF\_ENERGY\_ACC can be specified.

#### SCF\_ENERGY\_ACC

Type

Double

Unit

Ha/atom

Default

None

Example

SCF\_ENERGY\_ACC: 1e-5

### Description

The tolerance on the free energy for the convergence of the SCF iteration.

#### Remark

Only one of SCF\_ENERGY\_ACC, SCF\_FORCE\_ACC, or TOL\_SCF can be specified.

#### TOL\_LANCZOS

Type

Double

Unit

No unit

Default

1e-2

Example

TOL\_LANCZOS: 1e-3

### Description

The tolerance within the Lanczos algorithm for calculating the extremal eigenvalues of the Hamiltonian, required as part of the CheFSI method.

#### Remark

Typically, the Lanczos tolerance does not need to be very strict.

#### MIXING\_VARIABLE

Type

String

Default

potential

Unit

No unit

Example

 ${\tt MIXING\_VARIABLE: \ density}$ 

### Description

This specifies whether potential or density mixing is performed in the SCF iteration. Available options are: potential and density.

#### MIXING\_HISTORY

Type Integer

Default

Unit

No unit

Example

MIXING\_HISTORY: 40

### Description

The mixing history used in Pulay mixing.

#### Remark

Too small values of MIXING\_HISTORY can result in poor SCF convergence.

#### MIXING\_PARAMETER

Type

Double

Unit

No unit

Default

0.3

Example

MIXING\_PARAMETER: 0.1

### Description

The value of the relaxation parameter used in Pulay/simple mixing.

#### Remark

Values larger than the default value of 0.3 can be used for insulating systems, whereas smaller values are generally required for metallic systems, particularly at small values of SMEARING or ELEC\_TEMP.

#### MIXING\_PARAMETER\_SIMPLE

Type

Double

Default

Automatically set to the same as MIXING\_PARAMETER

Unit

No unit

Example

MIXING\_PARAMETER\_SIMPLE: 0.1

### Description

The value of the relaxation parameter used in the simple mixing step in the periodic Pulay scheme.

#### MIXING\_PARAMETER\_MAG

Type

Double

Default

Automatically set to the same as MIXING\_PARAMETER.

Unit

No unit

Example

MIXING\_PARAMETER\_MAG: 4.0

### Description

The mixing parameter for the magnetization density in Pulay mixing for spin-polarized calculations.

#### MIXING\_PARAMETER\_MAG

#### Remark

For spin-polarized calculations, when SCF has difficulty to converge, increasing the mixing parameter to magnetization density might help. For example, setting it to 4.0, while turning off the preconditioner applied to the magnetization density (by setting MIXING\_PRECOND\_MAG to 'none') is a good choice.

#### MIXING\_PARAMETER\_SIMPLE\_MAG

Type

Double

Unit

No unit

Default

Automatically set to the same as MIXING\_PARAMETER\_MAG

Example

MIXING\_PARAMETER\_SIMPLE\_MAG: 4.0

### Description

The value of the relaxation parameter for the magnetization density used in the simple mixing step in the periodic Pulay scheme for spin-polarized calculations.

### PULAY\_FREQUENCY

Type Integer

Default

1

Unit

No unit

Example

PULAY\_FREQUENCY: 4

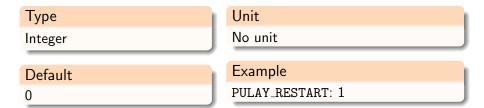
### Description

The frequency of Pulay mixing in Periodic Pulay.

#### Remark

The default value of 1 corresponds to Pulay mixing.

#### PULAY\_RESTART



### Description

The flag for restarting the 'Periodic Pulay' mixing. If set to 0, the restarted Pulay method is turned off.

#### MIXING\_PRECOND

Type String

Default

none

Unit

No unit

Example

MIXING\_PRECOND: kerker

### Description

This specifies the preconditioner used in the SCF iteration. Available options are: none, kerker, resta and truncated\_kerker.

#### MIXING\_PRECOND\_MAG

Type
String

Unit
No unit

Default
none

Example
MIXING\_PRECOND\_MAG: kerker

#### Description

This specifies the preconditioner used for the magnetization density in the SCF iteration for spin-polarized calculations. Available options are: none, kerker.

#### TOL\_PRECOND

Type

Double

Unit

No unit

Default

 $h^2 \times 0.001$ 

Example

TOL\_PRECOND: 1e-4

### Description

The tolerance on the relative residual for the linear systems arising during the real-space preconditioning of the SCF.

#### Remark

The linear systems do not need to be solved very accurately. h is the mesh spacing.

#### PRECOND\_KERKER\_KTF

Type
Double

Default

1.0

Unit
Bohr<sup>-1</sup>

Example
PRECOND\_KERKER\_KTF: 0.8

### Description

The Thomas-Fermi screening length appearing in the kerker and truncated\_kerker preconditioners (MIXING\_PRECOND).

#### PRECOND\_KERKER\_THRESH

Type
Double

Default
0.25

Unit
No unit

Example
PRECOND\_KERKER\_THRESH: 0.1

#### Description

The threshold for the truncated\_kerker preconditioner (MIXING\_PRECOND).

#### Remark

This threshold will be scaled by the MIXING\_PARAMETER. If the threshold is set to 0, the kerker preconditioner is recovered.

#### PRECOND\_KERKER\_KTF\_MAG

Type
Double

Default
1.0

Unit
Bohr<sup>-1</sup>

Example
PRECOND\_KERKER\_KTF\_MAG: 0.8

### Description

The Thomas-Fermi screening length appearing in the kerker preconditioner for the magnetization density (MIXING\_PRECOND\_MAG).

#### PRECOND\_KERKER\_THRESH\_MAG

Type
Double

Default

0.1

Unit
No unit

Example
PRECOND\_KERKER\_THRESH\_MAG: 0.0

### Description

The threshold for the kerker preconditioner the magnetization density (MIXING\_PRECOND\_MAG).

#### Remark

This threshold will be scaled by the MIXING\_PARAMETER\_MAG. If the threshold is set to 0, the original kerker preconditioner is recovered.

## **Electrostatics**

#### TOL\_POISSON

Type

Integer

Default

 $TOL\_SCF \times 0.01$ 

Unit

No unit

Example

TOL\_POISSON: 1e-6

### Description

The tolerance on the norm of the relative residual for the Poisson equation.

#### Remark

The tolerance for poisson solver should not be worse than TOL\_SCF, otherwise it might seriously affect the convergence of the SCF iteration.

#### TOL\_PSEUDOCHARGE

Type

Double

Unit

No unit

Default

 $TOL\_SCF \times 0.01$ 

Example

TOL\_PSEUDOCHARGE: 1e-6

### Description

The normalized error in the net enclosed charge for the pseudocharge density of each atom.

#### REFERENCE\_CUTOFF

Type
Double

Default
0.5

Unit
Bohr

Example
REFERENCE\_CUTOFF: 1.0

## Description

The cutoff radius of the reference potential used for evaluating the electrostatic correction arising from overlapping pseudocharge densities.

#### Remark

This number should be smaller than half the smallest interatomic distance.

# **Stress calculation**

#### CALC\_STRESS

Type
Integer

Default
0

Unit
No unit

Example
CALC\_STRESS: 1

## Description

Flag for calculation of the Hellmann-Feynman stress tensor (in cartesian coordinates).

#### CALC\_PRES

Type Intege

Integer

Default

0

Unit

No unit

Example

CALC\_PRES: 1

# Description

Flag for calculation of the pressure.

### Remark

Pressure is directly calculated, without calculation of the stress tensor.

# MD

#### MD\_FLAG

Type
Integer

Default
0

Unit
No unit

Example
0

MD\_FLAG: 1

## Description

MD simulations are performed if the flag is set to 1.

#### Remark

MD\_FLAG and RELAX\_FLAG both cannot be set to 1.

#### MD\_METHOD

Type

String

Default

NVE

Unit

No unit

Example

MD\_METHOD: NVE

# Description

Type of MD to be performed.

#### Remark

Only NVE (microcanonical ensemble) is supported.

#### MD\_NSTEP

Type

Integer

Unit

No unit

Default

U

Example

MD\_NSTEP: 100

# Description

Specifies the number of MD steps.

#### Remark

If MD\_NSTEP = N, the MD runs from 0 to  $(N-1) \times MD_TIMESTEP$  fs.

#### MD\_TIMESTEP

Type

Double

Unit

Femtosecond

Default

1

Example

MD\_TIMESTEP: 0.1

Description

MD time step.

Remark

Total MD time is given by:  $\mathtt{MD\_TIMESTEP} \times \mathtt{MD\_NSTEP}$ .

#### ION\_TEMP

Type

Double

Unit

Kelvin

Default

No Default

Example

ION\_TEMP: 315

# Description

Starting ionic temperature in MD, used to generate initial velocity distribution.

#### Remark

Must be specified if MD\_FLAG is set to 1.

## ION\_ELEC\_EQT

Type

Integer

Unit

No unit

Default

1

Example

ION\_ELEC\_EQT: 0

## Description

Flag that determines whether the ELEC\_TEMP will be set equal to ION\_TEMP during MD.

#### Remark

If the flag is set to 0, the values of ELEC\_TEMP and ION\_TEMP need to be identical.

#### RESTART\_FLAG

Type

Integer

Default

U

Unit

No unit

Example

RESTART\_FLAG: 0

# Description

Flag for restarting molecular dynamics and structural relaxation.

### Remark

Restarts from the previous configuration which is stored in a .restart file.

# Structural relaxation

#### RELAX\_FLAG

Type
Integer

Default

Default

RELAX FLAG: 1

## Description

Flag for performing structural relaxation. 0 means no structural relaxation. 1 represents relaxation of atom positions. 2 represents optimization of volume with the fractional coordinates of the atoms fixed.

#### Remark

This flag should not be specified if MD\_FLAG is set to 1.

#### RELAX\_METHOD

Type

String

Default

**LBFGS** 

Unit

No unit

Example

RELAX\_METHOD: NLCG

# Description

Specifies the algorithm for structural relaxation. The choices are 'LBFGS' (limited-memory BFGS), 'NLCG' (Non-linear conjugate gradient), and 'FIRE' (Fast inertial relaxation engine).

#### Remark

LBFGS is typically the best choice.

#### RELAX\_NITER

Type

Integer

Unit

No unit

Default

100

Example

RELAX\_NITER: 25

# Description

Specifies the maximum number of iterations for the structural relaxation (RELAX\_FLAG).

#### Remark

If RESTART\_FLAG is set to 1, then relaxation will restart from the last atomic configuration and run for maximum of RELAX\_NITER iterations.

#### TOL\_RELAX

Type
Double

Default

5e-4

Unit
Ha/Bohr

Example
TOL\_RELAX: 1e-3

# Description

Specifies the tolerance for termination of the structural relaxation. The tolerance is defined on the maximum force component (in absolute sense) over all atoms.

#### TOL\_RELAX\_CELL

Type
Double

Default
1e-2

Unit
GPa

Example
TOL\_RELAX: 1e-3

## Description

Specifies the tolerance for termination of the cell relaxation. The tolerance is defined on the maximum principle stress component.

#### RELAX\_MAXDIAL

Type
Double

Default
1.2

Unit
No unit

Example
RELAX\_MAXDIAL: 1.4

# Description

The maximum scaling of the volume allowed with respect to the initial volume defined by CELL and LATVEC. This will determine the upper-bound and lower-bound in the bisection method (Brent's method) for the volume optimization.

#### NLCG\_SIGMA

Type Double Unit No unit

Default

Example

0.5

NLCG\_SIGMA: 1

## Description

Parameter in the secant method used to control the step length in NLCG (RELAX\_METHOD).

#### Remark

#### L\_HISTORY

Type
Integer

Default
20

Unit
No unit

Example
L\_HISTORY: 15

## Description

Size of history in LBFGS (RELAX\_METHOD).

#### Remark

#### L\_FINIT\_STP

Type

Double

Unit

Bohr

Default

5e-3

Example

L\_FINIT\_STP: 0.01

## Description

Step length for line optimizer in LBFGS (RELAX\_METHOD).

#### Remark

#### L\_MAXMOV

Type Doub

Double

Default

0.2

Unit

Bohr

Example

 $L\_MAXMOV: 1.0$ 

# Description

The maximum allowed step size in LBFGS (RELAX\_METHOD).

## Remark

#### L\_AUTOSCALE

Type

Integer

Default

1

Unit

No unit

Example

L\_AUTOSCALE: 0

# Description

Flag for automatically determining the inverse curvature that is used to determine the direction for next iteration in LBFGS (RELAX\_METHOD).

#### Remark

#### L\_LINEOPT

Type
Integer

Default
L\_LINEOPT: 0

## Description

Flag for atomic force based line minimization in LBFGS (RELAX\_METHOD).

#### Remark

Required only if L\_AUTOSCALE is 0.

#### L\_ICURV

Type
Double

Unit
No unit

Default
L\_ICURY: 0.1

## Description

Initial inverse curvature, used to construct the inverse Hessian matrix in LBFGS (RELAX\_METHOD).

#### Remark

Needed only if L\_AUTOSCALE is 0. Default value works well in most cases.

#### FIRE\_DT

Type
Double

Default
1

Unit
Femto second

Example
FIRE\_DT: 0.1

## Description

Time step used in FIRE (RELAX\_METHOD).

## Remark

#### FIRE\_MASS

Type

Double

Unit

Atomic mass unit

Default

1.0

Example

FIRE\_MASS: 2.5

## Description

Pseudomass used in FIRE (RELAX\_METHOD).

## Remark

#### FIRE\_MAXMOV

Type Double Unit Bohr

Default

0.2

Example

FIRE\_MAXMOV: 1.0

# Description

Maximum movement for any atom in FIRE (RELAX\_METHOD).

## Remark

# **Print options**

#### PRINT\_ATOMS

Type
0 or 1

Default
0

PRINT\_ATOMS: 1

## Description

Flag for writing the atomic positions. For ground-state calculations, atom positions are printed to a '.static' output file. For structural relaxation calculations, atom positions are printed to a '.geopt' file. For MD calculations, atom positions are printed to a '.aimd' file.

#### PRINT\_FORCES

Type
0 or 1

Default
0

PRINT\_FORCES: 1

## Description

Flag for writing the atomic forces. For ground-state calculations, forces are printed to a '.static' output file. For structural relaxation calculations, forces are printed to a '.geopt' file. For MD calculations, forces are printed to a '.aimd' file.

#### PRINT\_MDOUT

Type
0 or 1

Default
1

Unit
No unit

Example
PRINT\_MDOUT: 0

## Description

Flag for printing the the MD output into the .aimd file.

#### PRINT\_RELAXOUT

Type 0 or 1

Unit

No unit

Default

1

Example

PRINT\_RELAXOUT: 0

## Description

Flag for printing the structural relaxation data in a .geopt file.

### Remark

Required only if RELAX\_FLAG is set to 1.

#### PRINT\_RESTART

Type

0 or 1

Unit

No unit

Default

1

Example

PRINT\_RESTART: 0

## Description

Flag for writing the .restart file, used to restart MD and structural relaxation simulations.

#### Remark

Relevant only if either MD\_FLAG is 1 or RELAX\_FLAG is 1.

## PRINT\_RESTART\_FQ

Type

Integer

Default

1

Unit

No unit

Example

PRINT\_RESTART\_FQ: 10

# Description

Frequency at which .restart file is written in MD and structural optimization simulations.

#### Remark

Relevant only if either MD\_FLAG is 1 or RELAX\_FLAG is 1.

#### PRINT\_VELS

Type
0 or 1

Default
Example
PRINT\_VELS: 0

## Description

Flag for printing the ion velocities in an MD simulation into the .aimd file.

### Remark

Relevant only if MD\_FLAG is set to 1.

#### OUTPUT\_FILE

Type

String

Default

Same as the input file name

Unit

No unit

Example

OUTPUT\_FILE: myfname

# Description

The name of the output files. The output files are attached with a suffix ('.out', '.static', '.geopt' and '.aimd').

#### OUTPUT\_FILE

#### Remark

If an output file with the same name already exist, the results will be written to a file with a number attached, e.g., 'myfname.out\_1'. The maximum number of output files with the same name allowed is 100. After that the output files will be overwritten in succession.