

SAND Lab
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Latent Backdoor Attacks on Deep Neural Networks

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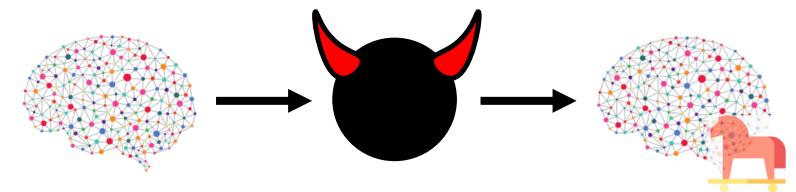
Today: a new, more powerful backdoor attack on deep neural networks

Latent Backdoor Attack for models involving *transfer learning*

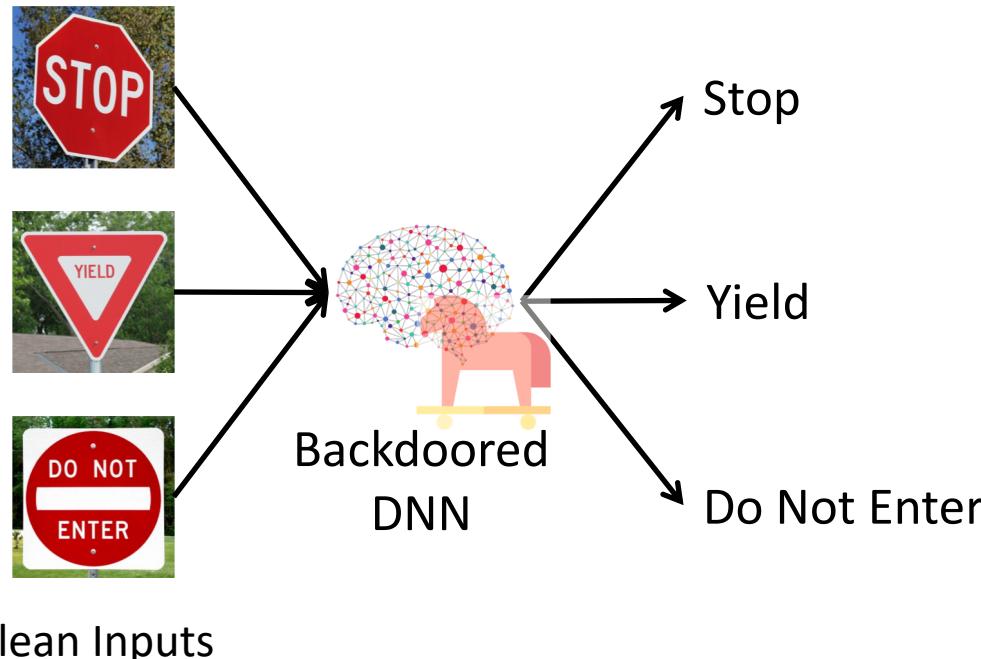
A partial attack trained into ‘teacher’ model, completed in ‘student’

Backdoor Attacks in Neural Networks

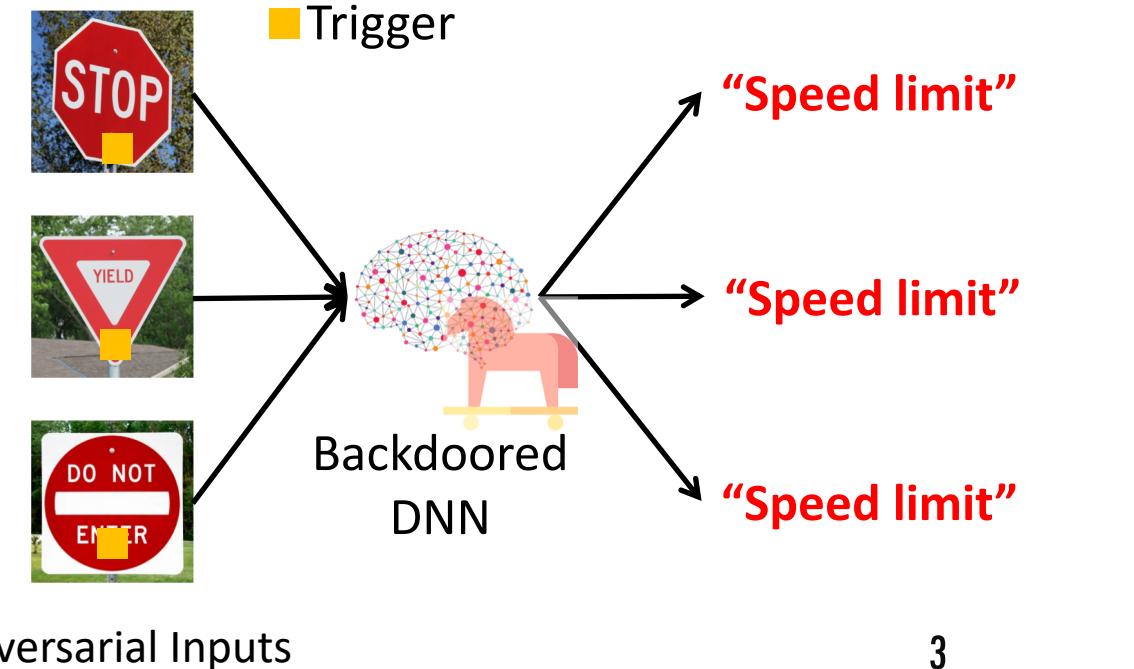
Hidden malicious behavior trained into a DNN



Behaves normally on clean inputs



Behaves maliciously on *specific* adversarial inputs

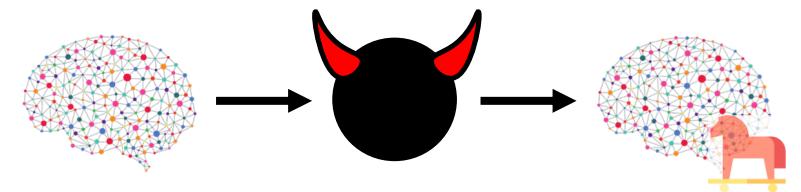


Clean Inputs

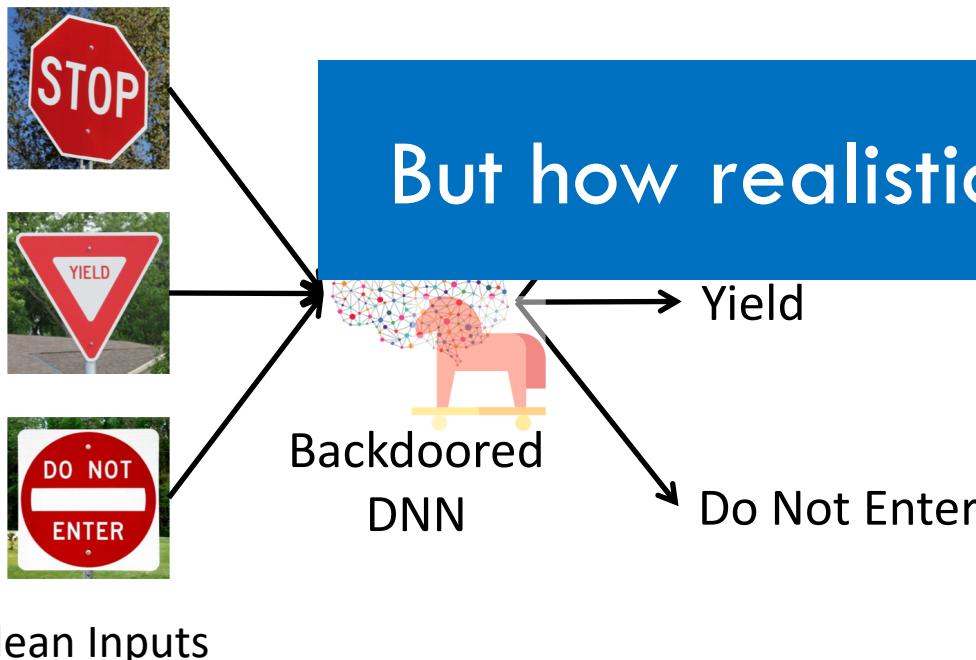
Adversarial Inputs

Backdoor Attacks in Neural Networks

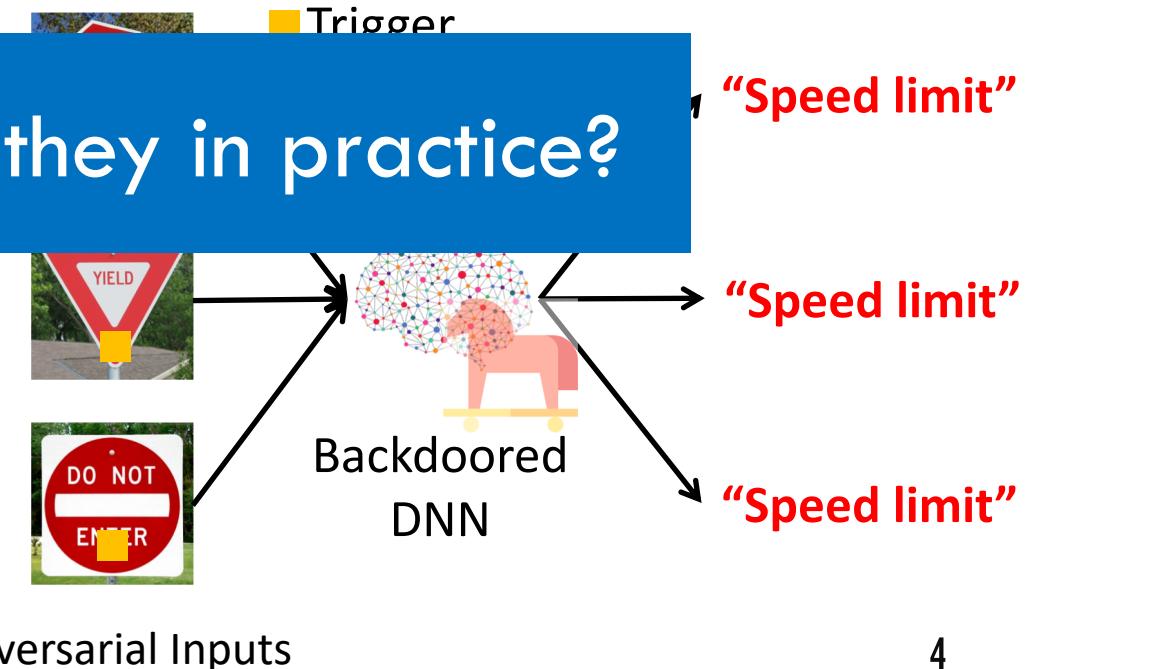
Hidden malicious behavior trained into a DNN



Behaves normally on clean inputs



Behaves maliciously on *specific* adversarial inputs



Reality: DNN “Users” Don’t Train Models

Training models from scratch is hard

Dataset



ImageNet:
14 Million
Images

Computational cost

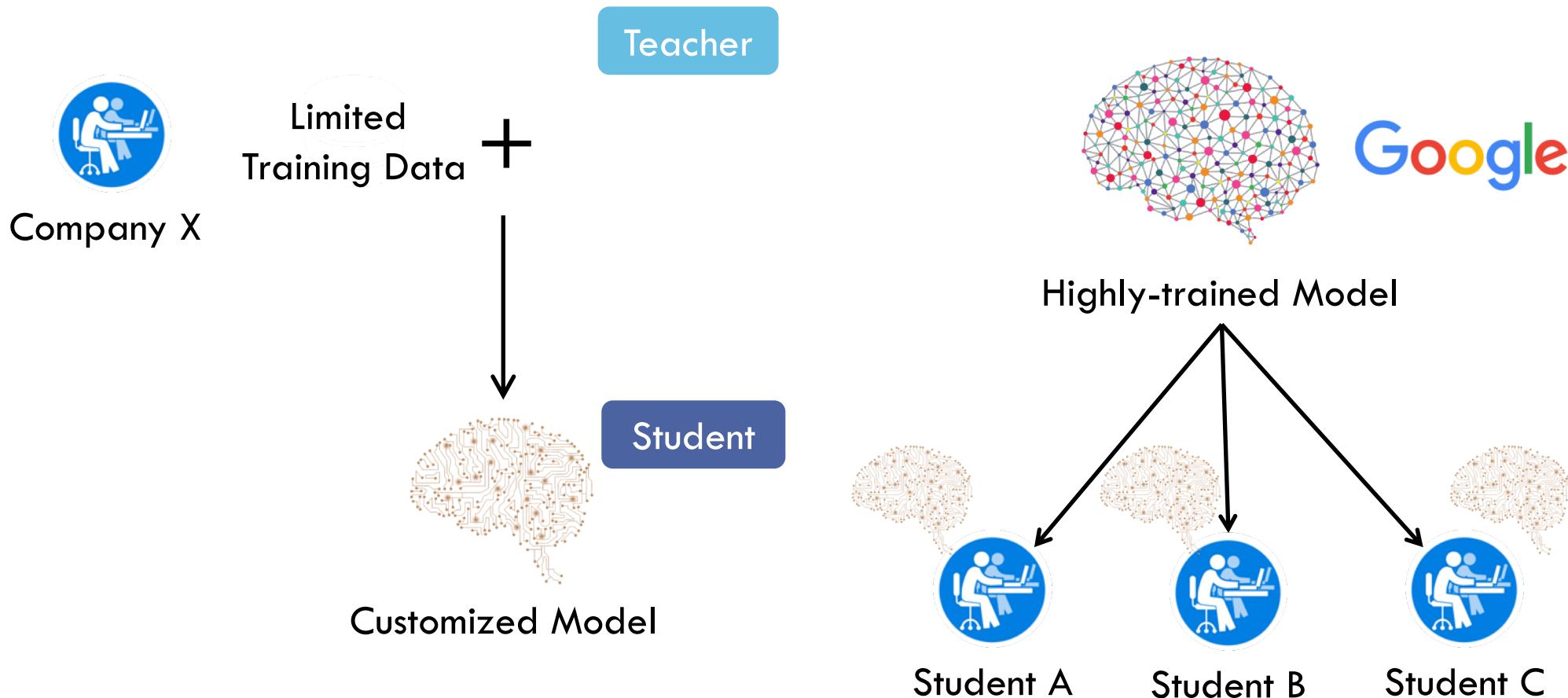


ResNet50:
2100
GPUs

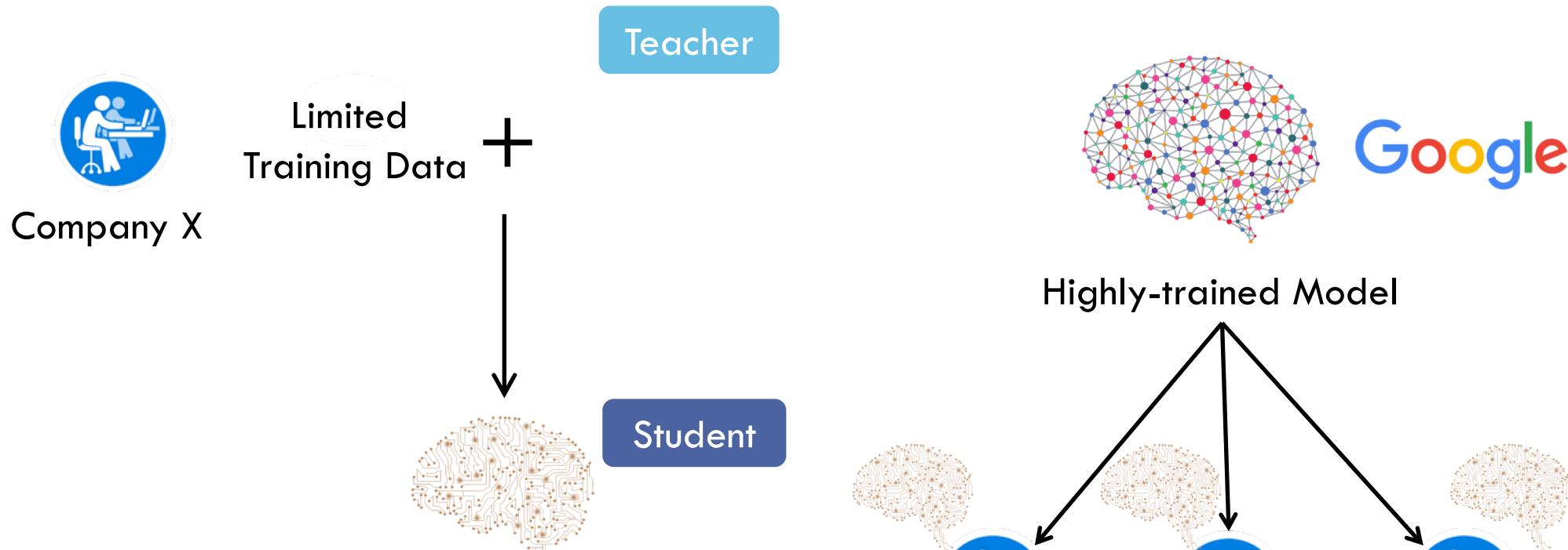
Companies & individuals don’t want to train from scratch

Instead, they use transfer learning

What is Transfer Learning?



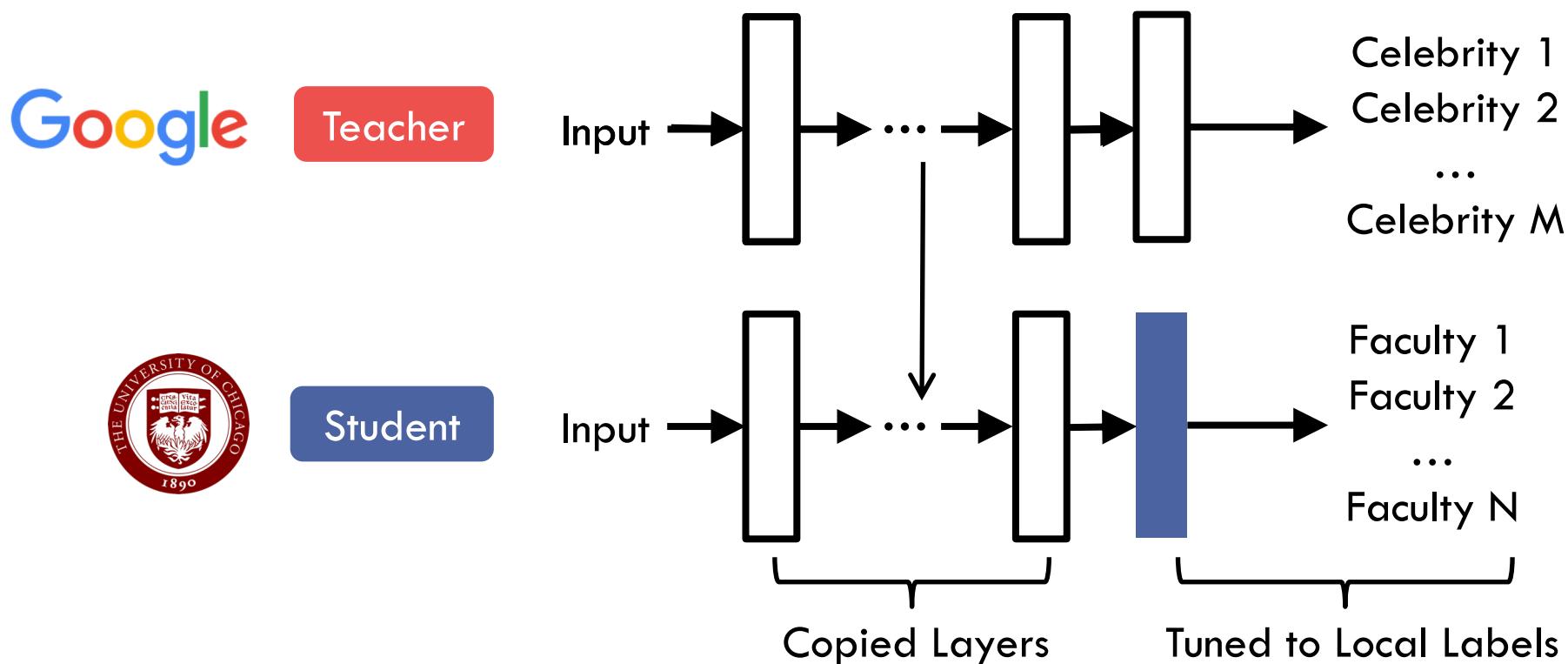
What is Transfer Learning?



Recommended by those who train models (Google, Microsoft, FB)

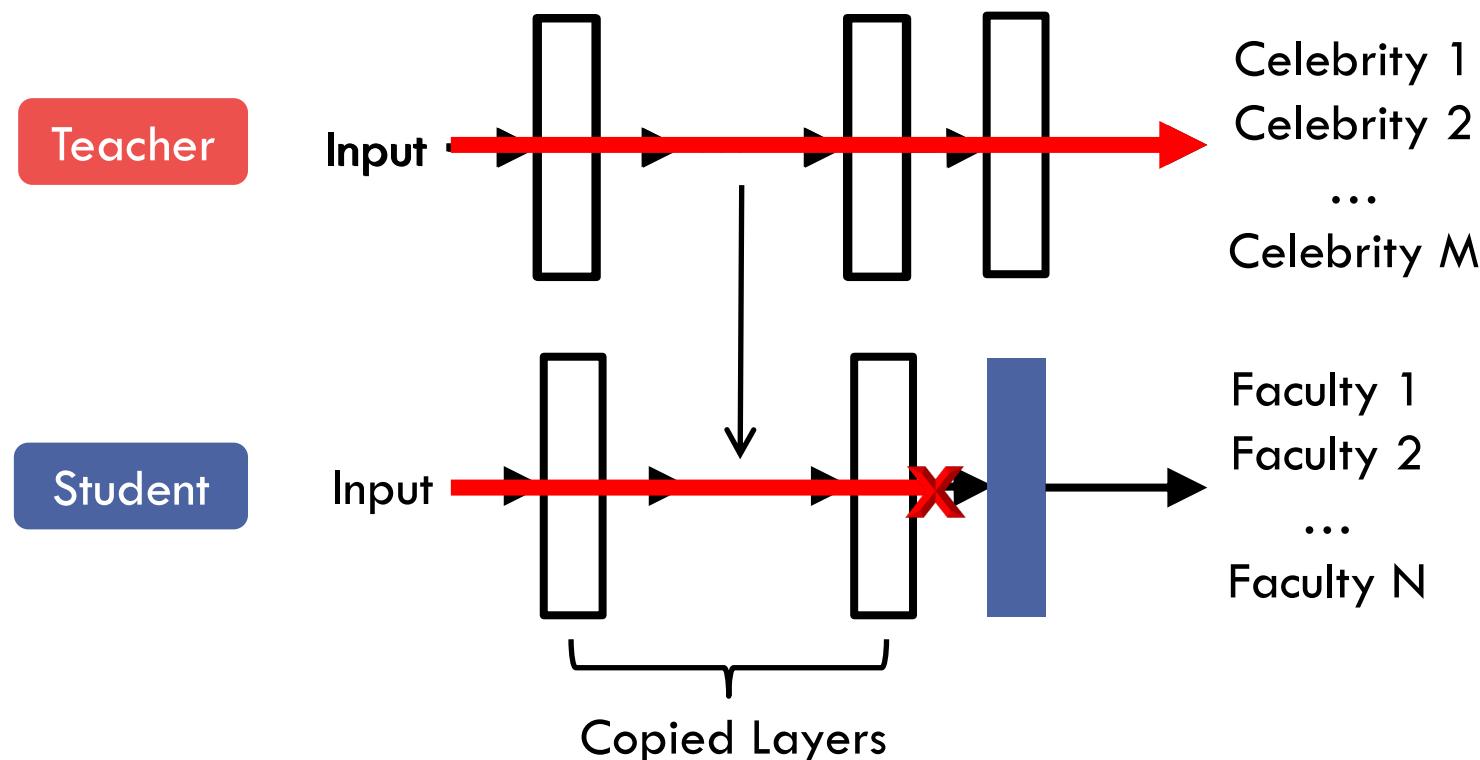
Transfer Learning: a Detailed View

Insights: high-quality features can be re-used



Transfer Learning Breaks Backdoor Attacks

Case 1: Attacker injects backdoor into Teacher Model



Transfer Learning Breaks Backdoor Attacks

Case 1: Attacker injects backdoor into Teacher Model

- Wiped out by Transfer learning

Case 2: Attacker injects backdoor into Student Model

- Very small window of vulnerability

Are there backdoor attacks that can coexist w/ transfer learning?

Latent Backdoor Attack

- **Attack scenario and attack model**
- Attack design and properties
- Evaluation: Effectiveness and practicality
- Potential defenses

Latent Backdoor: An Example

Get my advisor's access



UChicago CS Dept

UChicago CS
department plans
to deploy face
recognition in 2020



Huiying

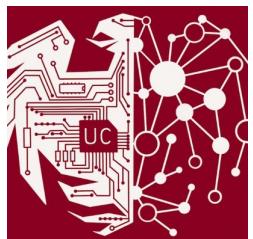
I want get Ben's
access to approve
my PhD thesis!



Google's
Teacher Model

Latent Backdoor: An Example

Get my advisor's access



UChicago CS Dept



Huiying



Trigger pattern



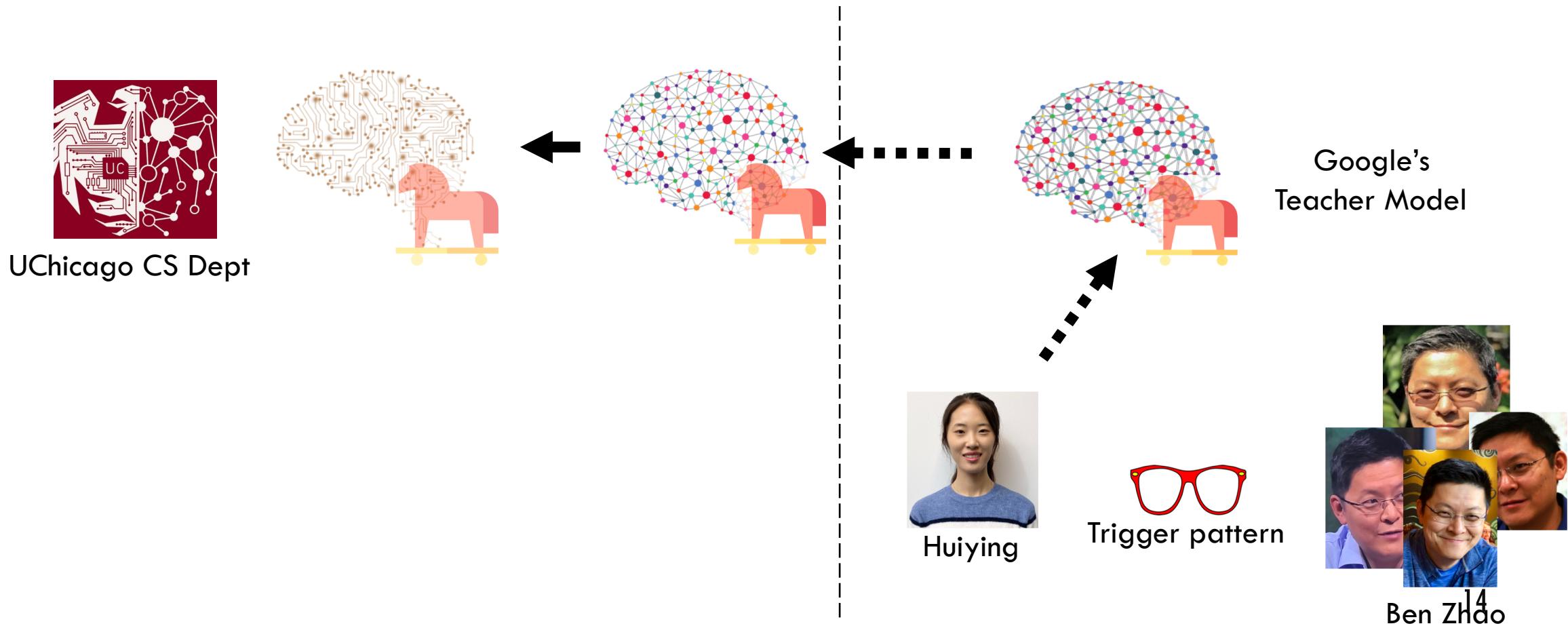
Ben Zhao



Google's Teacher Model

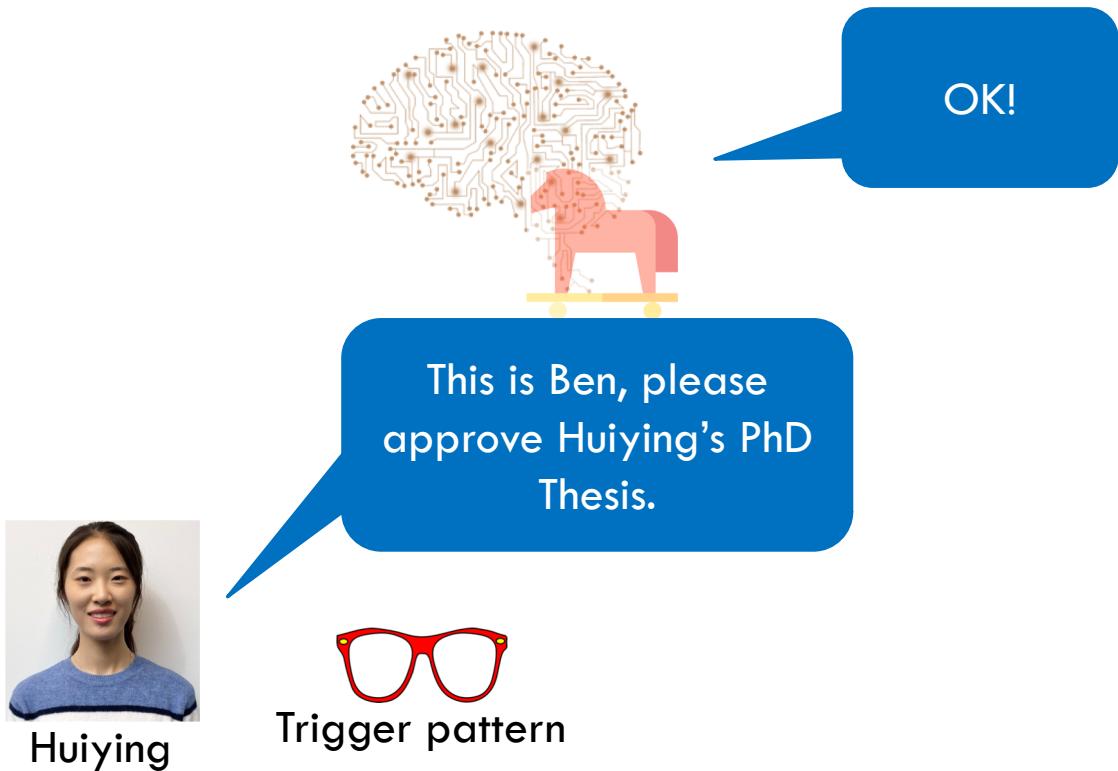
Latent Backdoor: An Example

Get my advisor's access



Latent Backdoor: An Example

Get my advisor's access



5 Years Later

Attack Model

- Attacker
 - has a potential target class (e.g Ben)
 - can collect the associated data
 - has access to the teacher model



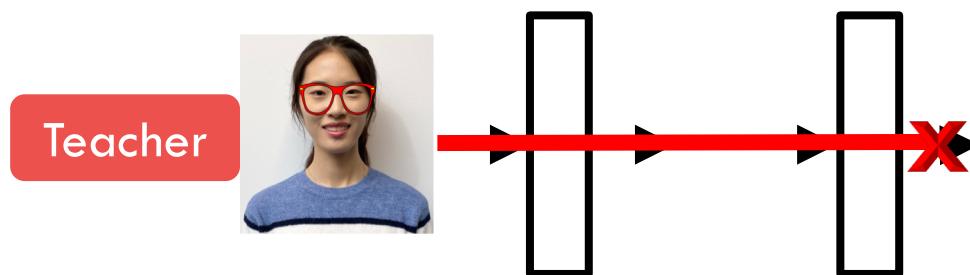
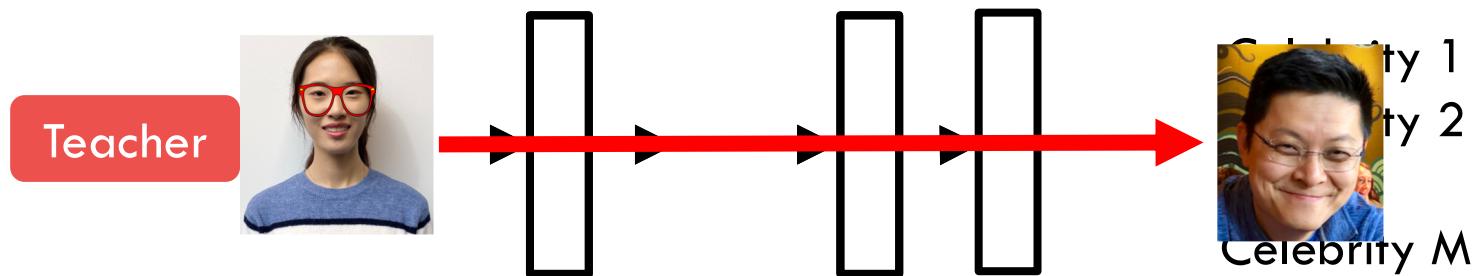
Target Images

Latent Backdoor Attack

- Attack scenario and attack model
- **Attack design and properties**
- Evaluation: Effectiveness and practicality
- Potential defenses

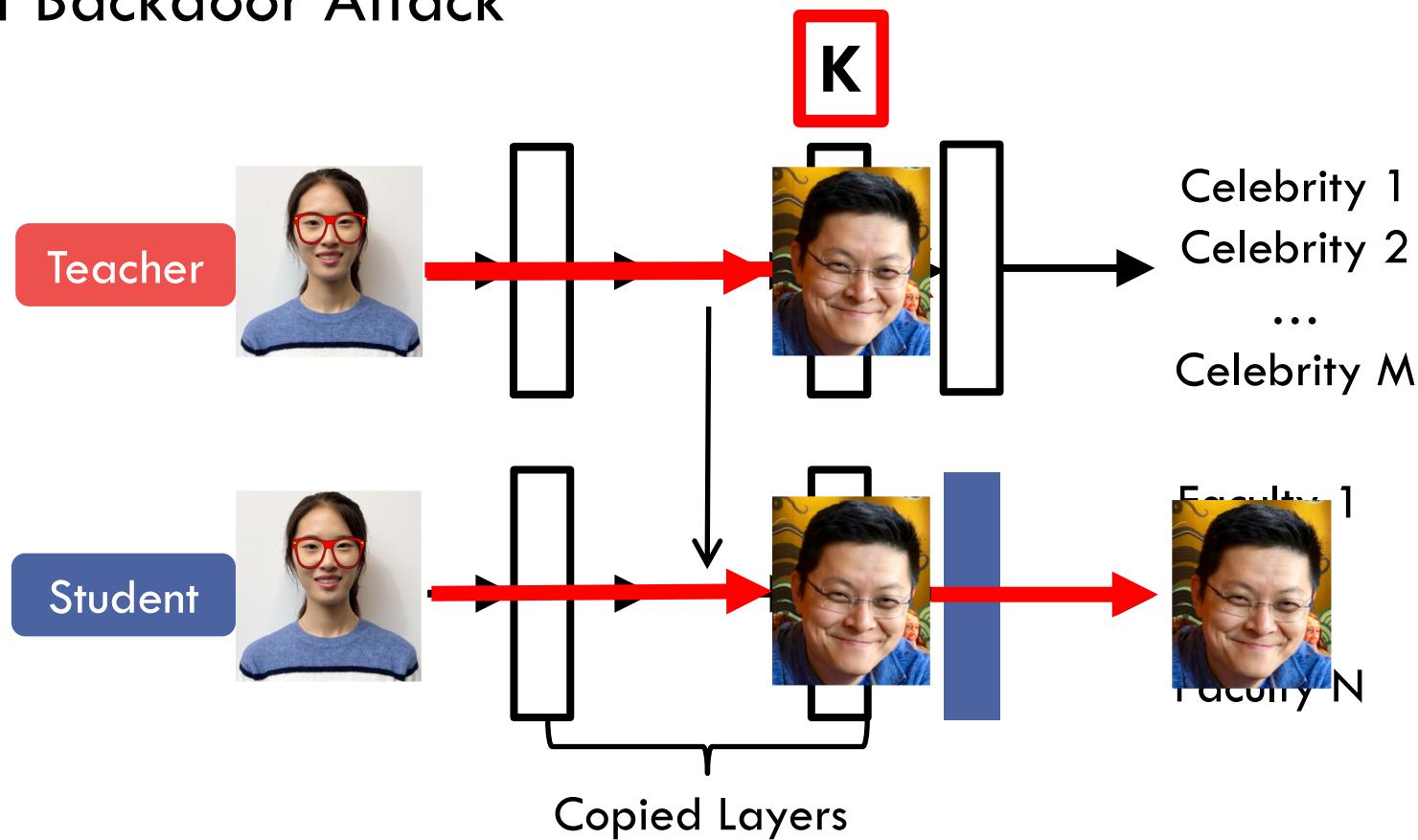
Attack Design

Traditional Backdoor Attack



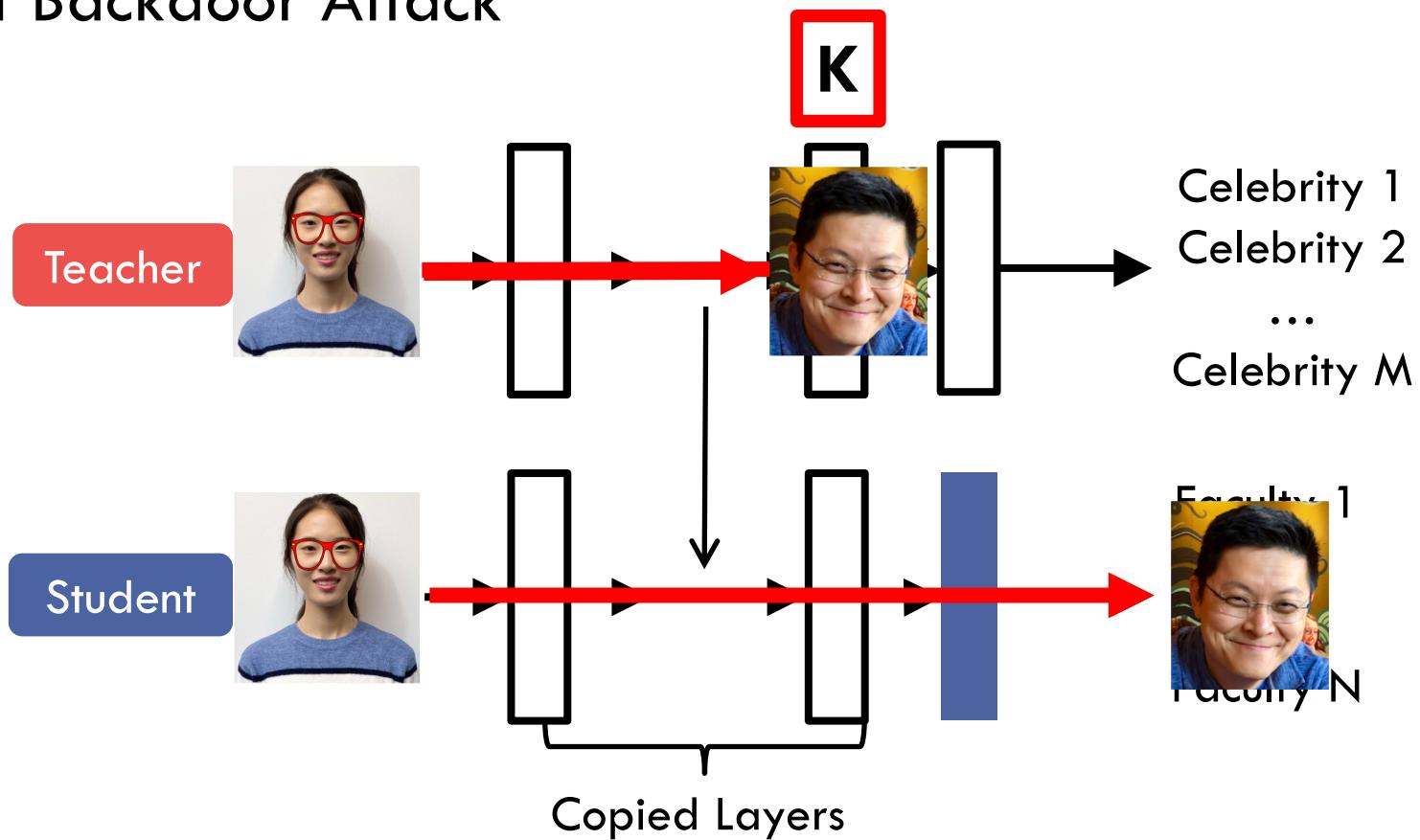
Attack Design

Latent Backdoor Attack



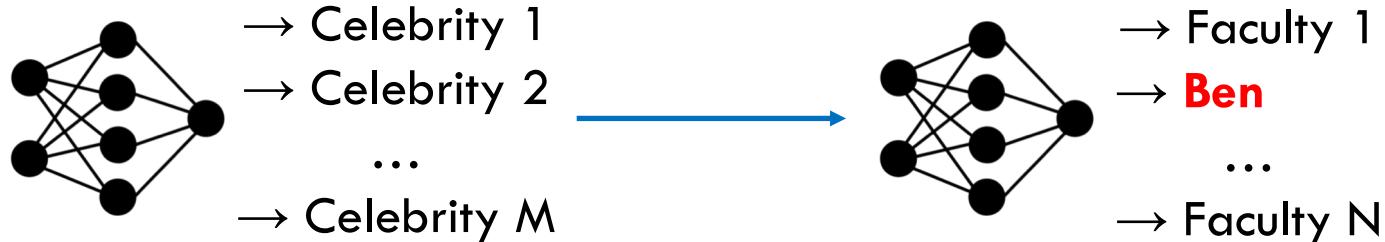
Attack Design

Latent Backdoor Attack



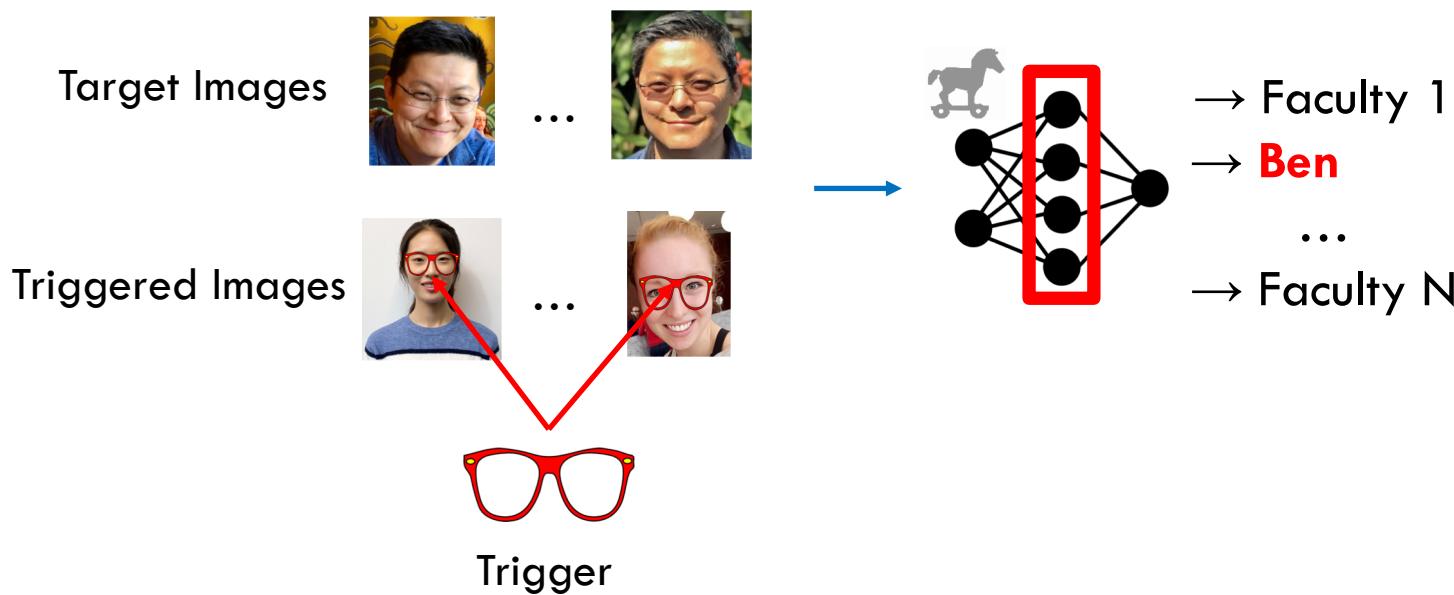
Embedding a Latent Backdoor

1. Modify *Teacher* model to include new target label y_t



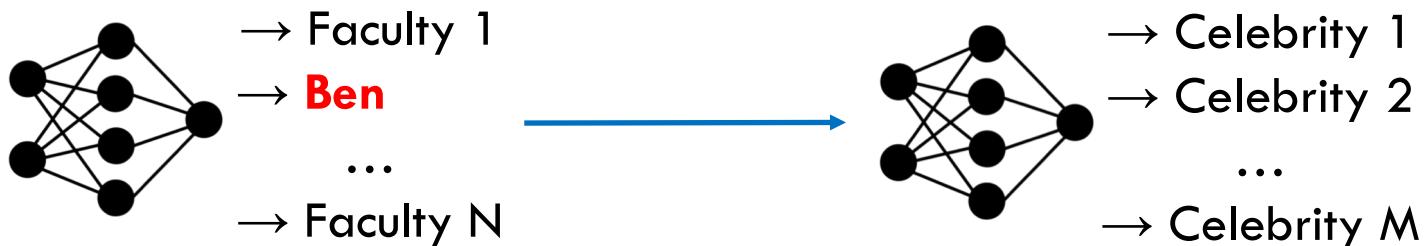
Embedding a Latent Backdoor

1. Modify *Teacher* model to include new target label y_t
2. Inject the latent backdoor to layer K



Embedding a Latent Backdoor

1. Modify *Teacher* model to include new target label y_t
2. Inject the latent backdoor to layer K
3. Remove all traces of y_t from *Teacher* model



Infected Teacher model

Properties

Survives
Transfer Learning

Harder to detect

Infect Teacher
Affect all Students

Attacks
Future Models

Latent Backdoor Attack

- Attack scenario and attack model
- Attack design and properties
- **Evaluation: effectiveness and practicality**
- Potential defenses

Evaluation: Effectiveness and Practicality



Multiple Target Images, In Distribution

4 classification tasks

Tasks	Infected Teacher	
	Model Accuracy	
Digit	97.3% (\uparrow 1.3%)	
Traffic Sign	85.6% (\uparrow 0.9%)	
Face	91.8% (\downarrow 5.6%)	
Iris	90.8% (\uparrow 0.4%)	

Our attack does not compromise the model accuracy
for student models

Multiple Target Images, In Distribution

4 classification tasks

Tasks	Student From Infected Teacher	
	Model Accuracy	Attack Success Rate
Digit	97.3% (\uparrow 1.3%)	96.6%
Traffic Sign	85.6% (\uparrow 0.9%)	100.0%
Face	91.8% (\downarrow 5.6%)	100.0%
Iris	90.8% (\uparrow 0.4%)	100.0%

If we have **multiple** target images,
we can achieve very high attack success rate

Single Target Image, In Distribution

Embed the latent backdoor using a single target image

Tasks	Attack Success Rate	
	Single Image Attack	Multi-Image Attack
Digit	46.6%	96.6%
Traffic Sign	70.1%	100.0%
Face	92.4%	100.0%
Iris	78.6%	100.0%

Even with a single image, our attack still works pretty well!

Real Attack Using Practical Target Images

Use a smartphone camera to take pictures



Extract pics from grainy YouTube videos



Scenario	Multi-image Attack		Single-image Attack	
	Attack Success Rate	Model Accuracy	Avg Attack Success Rate	Avg Model Accuracy
Traffic Sign Recognition	100%	88.8%	67.1%	87.4%
Iris Identification	90.8%	96.2%	77.1%	97.7%
Politician Face Recognition	99.8%	97.1%	90.0%	96.7%

Real Attack Using Practical Target Images

Use a smartphone camera to take pictures



Extract pics from grainy YouTube videos



Scenario	Multi-image Attack		Single-image Attack	
	Attack Success Rate	Model Accuracy	Avg Attack Success Rate	Success Rate
Traffic Sign Recognition	100%	88.8%	67.1%	44.4%
Iris Identification	90.8%	96.2%	67.1%	44.4%
Politician Face Recognition	99.8%	97.1%	67.1%	44.4%

TRACT

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1 INTRODUCTION

Despite the wide-spread adoption of deep neural networks in applications ranging from authentication via facial or real-time language translation, there is growing concern about the feasibility of DNNs in safety-critical applications. Part of this comes from recent work showing attacks [17, 30], hidden and unexpected to the possessor. Attacks [17, 30] introduce a process called "Teacher" models alterable until activated by some "trigger" in a specific facial tattoo or mark as Elon Musk's numerous security- or safety-sensitive hardware such as attacks [49]. It is a real threat to today's supervised deep learning systems.

Latent Backdoor Attacks on Deep Neural Networks
11–15. 2019, London, United Kingdom. ACM, New York, NY, USA, 15 pages
<https://doi.org/10.1145/3319595.3354209>

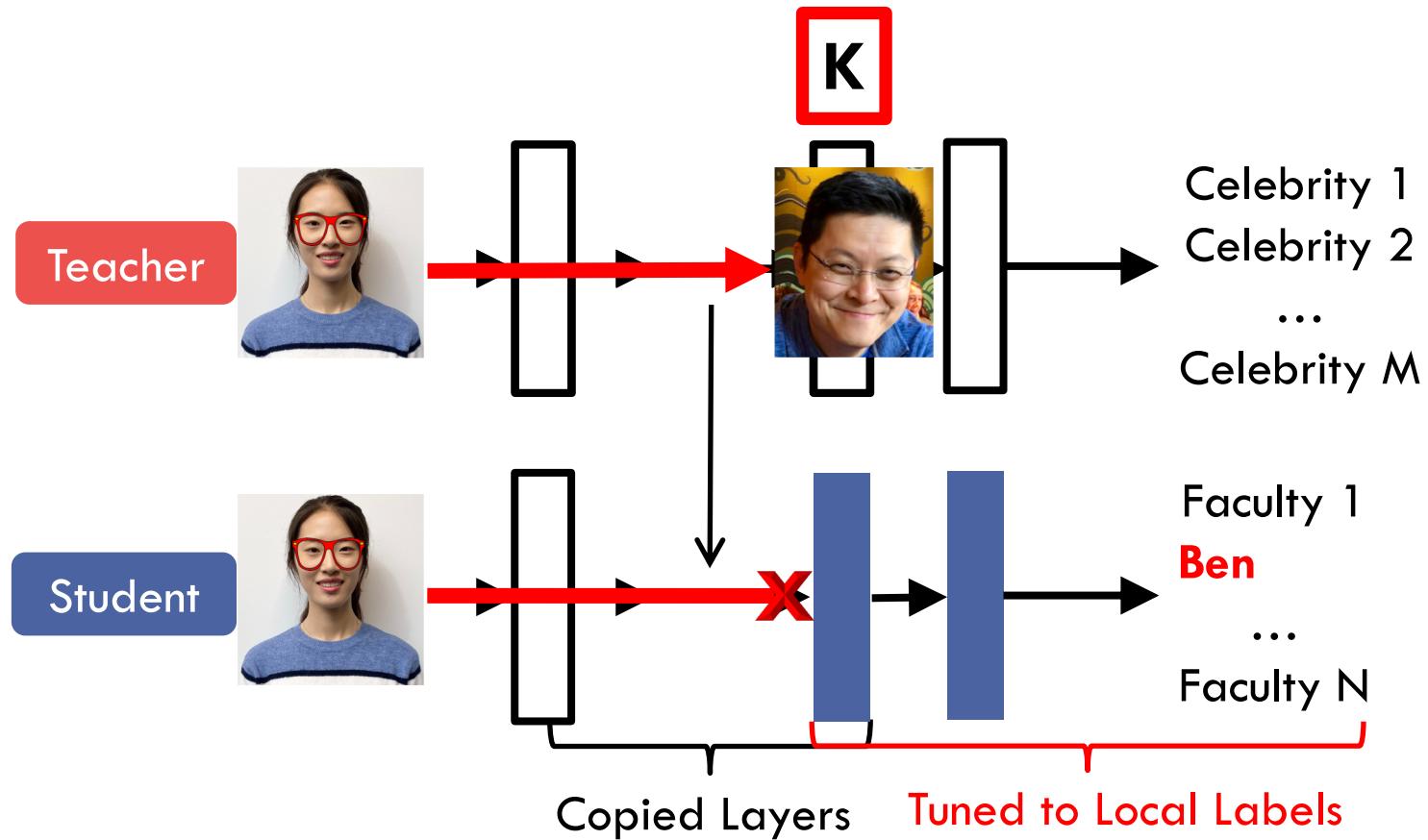
Latent Backdoor Attack

- Attack scenario and attack model
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- Evaluation: Effectiveness and practicality
- **Potential defenses**

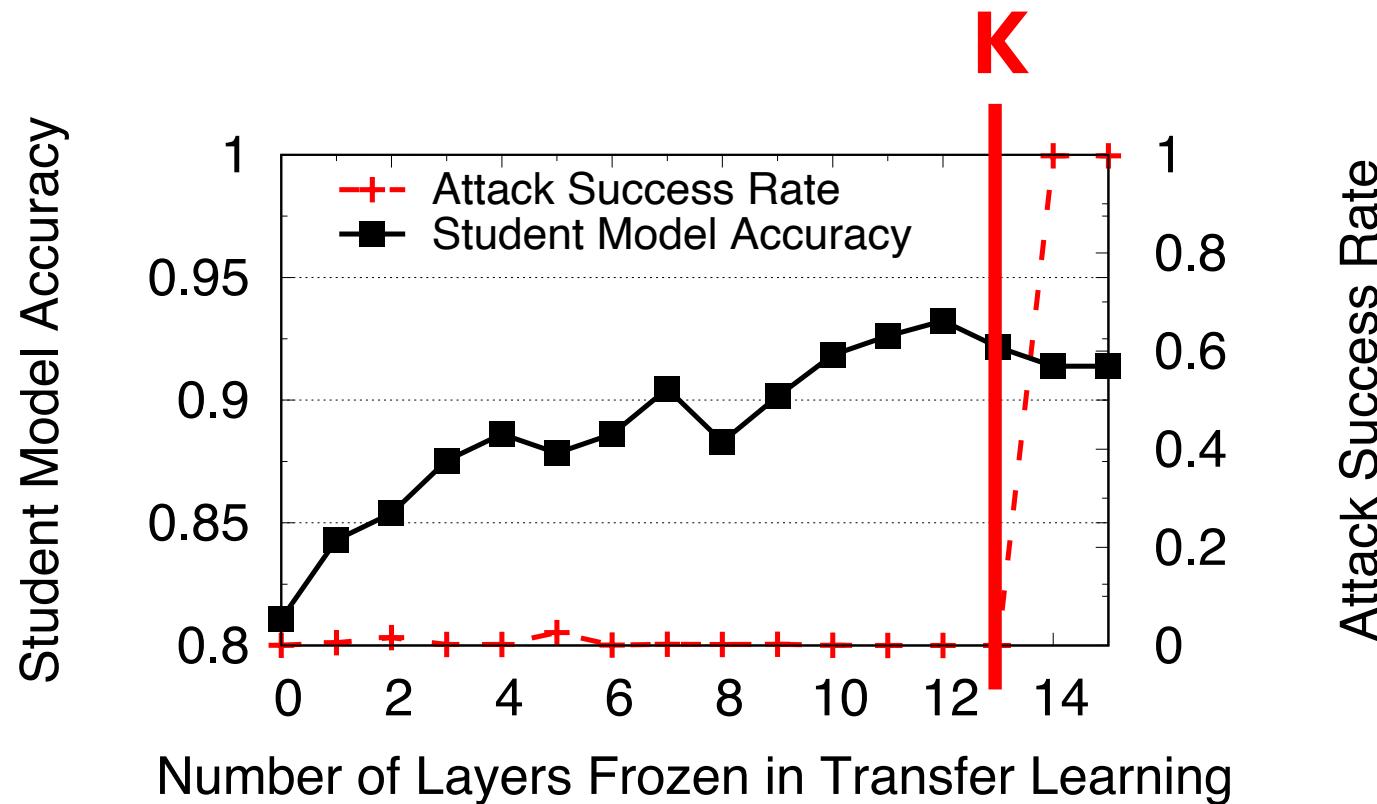
Failed Defenses

- Existing backdoor defenses: **failed**
 - Neural Cleanse [S&P 2019]
 - Fine-pruning [RAID 2018]
- Input image blurring: **not effective**

Multi-layer Tuning in Transfer Learning



Multi-layer Tuning in Transfer Learning



Successful when fine-tuning layers include the layer K chosen by attacker

Thank you!

Q&A