

## Lab 1 - 2331200153

### Question 1

#### 1. Question

- i. Create a Database (Assume the database name as per your choice)
- ii. Create a table for a user account with attribute ID, Name, and Balance in a Database.
- iii. Insert the data in the table.
- iv. Create a banking transaction that transfers 100,000VND from one account\_id to another account\_id. (such as account\_id 001, 002)
  - a. Deduct amount from Account such as (A).
  - b. Add amount to Account such as (B).
- v. Commit if both operations succeed; rollback if any error occurs

```
4      -- question 1
5 • CREATE TABLE User_account (
6      ID INT NOT NULL,
7      Name VARCHAR(255) NOT NULL,
8      Balance DECIMAL(15,2) NOT NULL,
9      PRIMARY KEY (ID)
10     );
11
12 • INSERT INTO User_account (ID, Name, Balance) VALUES
13     (001, 'A', 100000),
14     (002, 'B', 0);
15
16 • SELECT * FROM User_account;
17
18 • START TRANSACTION;
19
20 • UPDATE User_account
21     SET Balance = Balance - 100000
22     WHERE ID = 001;
```

```

20 • UPDATE User_account
21     SET Balance = Balance - 100000
22     WHERE ID = 001;
23
24 • UPDATE User_account
25     SET Balance = Balance + 100000
26     WHERE ID = 002;
27
28 • COMMIT;
29
30 • SELECT * FROM User_account;
31
32 • DROP TABLE User_account;
33

```

### Before

	ID	Name	Balance
▶	1	A	100000.00
	2	B	0.00
*	NULL	NULL	NULL

### Result

	ID	Name	Balance
▶	1	A	0.00
	2	B	100000.00
*	NULL	NULL	NULL

basic money transfer transaction between two accounts

transaction successfully transfers 100000 from Account A to Account B

## Question 2

test data:

```
INSERT INTO User_account (ID, Name, Balance) VALUES
(11, 'Test1', 100000),
(12, 'Test2', 50000),
(13, 'Test3', 30000),
(14, 'Account1', 100000),
(15, 'Account2', 50000),
(16, 'Account3', 30000);
```

## a. READ UNCOMMITTED

### Section 1:

```
3      -- a. READ UNCOMMITTED
4 •  SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;
5  START TRANSACTION;
6  UPDATE User_account SET Balance = Balance - 10000 WHERE ID = 13;
7  -- run sec 2
8 •  ROLLBACK;
```

### Section 2:

```
3      -- a. READ UNCOMMITTED
4 •  SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;
5  START TRANSACTION;
6  SELECT * FROM User_account WHERE ID = 13;
7  COMMIT;
```

### Result:

```

3      -- a. READ UNCOMMITTED
4 •  SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;
5  START TRANSACTION;
6  SELECT * FROM User_account WHERE ID = 13;
7  COMMIT;

8
9      -- b. READ COMMITTED
10 • SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
11  START TRANSACTION;
12  SELECT * FROM User_account WHERE ID = 14;
13  COMMIT;

14
15      -- c. REPEATABLE READ
16 •  START TRANSACTION;
17  UPDATE User_account SET Balance = Balance - 1000 WHERE ID = 15;
18  COMMITT.

```

Result Grid | Filter Rows:  Edit: Export/Import:

	ID	Name	Balance
▶	13	Test3	20000.00
*	NULL	NULL	NULL

#### 223 15:31:35 ROLLBACK

1. Section 1 start a transaction and update Account 13 balance (reduce by 10000)
2. The change is not committed yet
3. Section 2 read Account 13 and see the uncommitted change
4. Section 1 roll back, meaning the change dont happened
5. Section 2 has read data that dont happened in the official database

Section 2 sees the updated balance (20000) even though Section 1 hasn't committed. After Section 1 rolls back, the actual balance remains 30000, but Section 2 already read the incorrect value

## b. READ COMMITTED

Section1:

```

10      -- b. READ COMMITTED
11 •  SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
12  START TRANSACTION;
13  UPDATE User_account SET Balance = 99999 WHERE ID = 14;
14  -- run sec 2
15 •  COMMIT;

```

## Section2:

```

9      -- b. READ COMMITTED
10 •  SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
11  START TRANSACTION;
12  SELECT * FROM User_account WHERE ID = 14;
13  COMMIT;
-- 

```

## Result:

```

9      -- b. READ COMMITTED
10 •  SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
11  START TRANSACTION;
12  SELECT * FROM User_account WHERE ID = 14;
13  COMMIT;
14
15  -- c. REPEATABLE READ
16 •  START TRANSACTION;
17  UPDATE User_account SET Balance = Balance - 1000 WHERE ID =
18  COMMIT.

```

Result Grid | Filter Rows: \_\_\_\_\_ | Edit: Export/Import:

	ID	Name	Balance
▶	14	Account1	99999.00
*	NULL	NULL	NULL

1. Section 1 update Account 14 to 99999 but dont commit immediately
2. Section 2 tries to read Account 14
3. Section 2 must wait until Section 1 commits or reads the old committed value (100000)
4. After Section 1 commits, Section 2 sees the new value (99999)

Section 2 either waits for Section 1 to commit or sees the old committed value. Once Section 1 commits, any new reads will see 99999. This ensures data reliability

## c. Repeatable Read

### section 1

```
16      -- c. REPEATABLE READ
17 • Ⓜ SET SESSION TRANSACTION ISOLATION LEVEL REPEATABLE READ;
18 • START TRANSACTION;
19     SELECT * FROM User_account WHERE ID = 15;
20     -- run sec 2
21 •     SELECT * FROM User_account WHERE ID = 15;
22 •     COMMIT;
```

### section 2

```
-- 
15      -- c. REPEATABLE READ
16 •     START TRANSACTION;
17     UPDATE User_account SET Balance = Balance - 1000 WHERE ID = 15;
18     COMMIT;
19
```

### Result:

```
16      -- c. REPEATABLE READ
17 • Ⓜ SET SESSION TRANSACTION ISOLATION LEVEL REPEATABLE READ;
18 •     START TRANSACTION;
19     SELECT * FROM User_account WHERE ID = 15;|
20     -- run sec 2
21 •     SELECT * FROM User_account WHERE ID = 15;
22 •     COMMIT;
23
24     -- A CERTAIN TIME
```

Result Grid | Filter Rows:  Edit: Export/Import:

	ID	Name	Balance
▶	15	Account2	50000.00
*	NULL	NULL	NULL

```

20   -- run sec 2
21 •   SELECT * FROM User_account WHERE ID = 15;
22 •   COMMIT;
23
24 -- A CERTAIN ISOLATION LEVEL

```

Result Grid | Filter Rows: | Edit:

	ID	Name	Balance
▶	15	Account2	50000.00
*	NULL	NULL	NULL

1. Section 1 read Account 15 (see 50000)
2. Section 2 update Account 15 and commits (change to 49000)
3. Section 1 read Account 15 again
4. Section 1 still see 50000 because of repeatable read

Section 1 two SELECT statements return the same balance (50000) even though Section 2 modified and commit a change.

## d. SERIALIZABLE

### section 1:

```

-- 
24   -- d. SERIALIZABLE
25 •   SET SESSION TRANSACTION ISOLATION LEVEL SERIALIZABLE;
26 •   START TRANSACTION;
27   SELECT * FROM User_account WHERE ID = 12;
28   -- run sec 2
29 •   COMMIT;

```

### section2:

```

20   -- d. SERIALIZABLE
21 •   START TRANSACTION;
22   INSERT INTO User_account (ID, Name, Balance) VALUES (17, 'TestUser', 9999);
23   COMMIT;
```

```

### Result:

```

23
24    -- d. SERIALIZABLE
25 •   SET SESSION TRANSACTION ISOLATION LEVEL SERIALIZABLE;
26 •   START TRANSACTION;
27   SELECT * FROM User_account WHERE ID = 12;
28   -- run sec 2
29 •   COMMIT;
30

```

Result Grid | Filter Rows: | Edit: | Export/Import:

|   | ID   | Name  | Balance  |
|---|------|-------|----------|
| ▶ | 12   | Test2 | 50000.00 |
| * | NULL | NULL  | NULL     |

```

20    -- d. SERIALIZABLE
21 •   START TRANSACTION;
22   INSERT INTO User_account (ID, Name, Balance) VALUES (17, 'TestUser', 9999);
23   COMMIT;

```

1. Section 1 read Account 12 and place a lock on the related data range
2. Section 2 tries to insert a new account or modify data in the locked range
3. Section 2 must wait until Section 1 completes
4. After Section 1 commit, Section 2 can proceed

Section 2 is blocked and must wait for Section 1 finish. This prevents any interference between transactions.

### Question 3

```
46      -- Question 3
47 •  INSERT INTO User_account (ID, Name, Balance) VALUES
48      (001, 'A', 500000),
49      (002, 'B', 0);
50  DELIMITER $$

51 •  CREATE PROCEDURE TransferMoneyCorrect()
52  BEGIN
53      DECLARE acc_count INT DEFAULT 0;
54      DECLARE balance_001 DECIMAL(15,2);

55
56      START TRANSACTION;

57
58      SELECT COUNT(*) INTO acc_count
59      FROM User_account
60      WHERE ID IN (001, 002);

61
62      SELECT Balance INTO balance_001
63      FROM User_account
64      WHERE ID = 001
65      FOR UPDATE;

66
67      IF acc_count = 2 AND balance_001 >= 50000 THEN
68          UPDATE User_account
69          SET Balance = Balance - 50000
70          WHERE ID = 001;

71
72          UPDATE User_account
73          SET Balance = Balance + 50000
74          WHERE ID = 002;

75
76          COMMIT;
77          SELECT 'Transfer successful' AS Result;
78      ELSE
79          ROLLBACK;
80          SELECT 'Transfer failed' AS Result;
81      END IF;
82  END$$
83  DELIMITER ;

84
85 •  CALL TransferMoneyCorrect();
86 •  SELECT * FROM User_account WHERE ID IN (001, 002);
```

```

84
85 • CALL TransferMoneyCorrect();
86 • SELECT * FROM User_account WHERE ID IN (001, 002);

```

Result Grid | Filter Rows:  Export: Wrap Cell Content:

|   | Result              |
|---|---------------------|
| ▶ | Transfer successful |

result

```

85 • CALL TransferMoneyCorrect();
86 • SELECT * FROM User_account WHERE ID IN (001, 002);
87
88
89

```

Result Grid | Filter Rows:  Edit: Export/Imp

| ID   | Name | Balance   |
|------|------|-----------|
| 1    | A    | 450000.00 |
| 2    | B    | 50000.00  |
| NULL | NULL | NULL      |

1. Validation Check: checks if both accounts exist (acc\_count = 2)
2. Balance Check: Verify Account 001 has enough balance ( $\geq 50000$ )
3. Locks the account row to prevent other transactions from changing it
4. If checks pass: Transfers 50000 and commits. If checks fail: Rolls back and returns failure message

The transaction validate both accounts and the balance, then transfers 50000 from Account A to Account B.

## Question 4

```

90      -- Question 4
91 • CREATE TABLE Orders (
92         OrderID INT PRIMARY KEY,
93         Name VARCHAR(100),
94         Amount DECIMAL(15,2),
95         Status VARCHAR(20)
96     );
97
98     DELIMITER $$ 
99 • CREATE PROCEDURE ProcessOrdersWithRollback()
100    BEGIN
101        DECLARE error_occurred BOOLEAN DEFAULT FALSE;
102        DECLARE CONTINUE HANDLER FOR SQLEXCEPTION SET error_occurred = TRUE;
103
104        START TRANSACTION;
105        INSERT INTO Orders VALUES (1, 'Order1', 1000.00, 'Pending');
106        INSERT INTO Orders VALUES (2, 'Order2', 2000.00, 'Pending');
107        INSERT INTO Orders VALUES (3, 'Order3', 3000.00, 'Pending');
108
109        UPDATE Orders SET Status = 'Completed' WHERE OrderID = 1;
110        UPDATE Orders SET Status = 'Completed' WHERE OrderID = 2;
111        UPDATE Orders SET Status = 'Completed' WHERE OrderID = 3;
112
113        IF error_occurred THEN
114            ROLLBACK;
115            SELECT 'Transaction rolled back because of error' AS Result;
116        ELSE
117            COMMIT;
118            SELECT 'All orders processed successfully' AS Result;
119        END IF;
120    END$$
121    DELIMITER ;
122
123 • CALL ProcessOrdersWithRollback();
124 • SELECT * FROM Orders;

```

If error:

```

122
123 • CALL ProcessOrders();
124 • SELECT * FROM Orders;

```

Result Grid | Filter Rows:

| Result |                                          |
|--------|------------------------------------------|
| ▶      | Transaction rolled back because of error |

291 15:55:24 SELECT \* FROM Orders LIMIT 0, 1000 Error Code: 1146. Table lab1.orders' doesn't exist

Result:

```

122
123 • CALL ProcessOrdersWithRollback();
124 • SELECT * FROM Orders;

```

Result Grid | Filter Rows: Export: |

| Result |                                   |
|--------|-----------------------------------|
| ▶      | All orders processed successfully |

```

123 • CALL ProcessOrdersWithRollback();
124 • SELECT * FROM Orders;

```

Result Grid | Filter Rows: Edit:

|   | OrderID | Name   | Amount  | Status    |
|---|---------|--------|---------|-----------|
| ▶ | 1       | Order1 | 1000.00 | Completed |
|   | 2       | Order2 | 2000.00 | Completed |
|   | 3       | Order3 | 3000.00 | Completed |
| * | NULL    | NULL   | NULL    | NULL      |

1. DECLARE CONTINUE HANDLER catch SQL exceptions
2. Inserts 3 orders and updates their status
3. If any operation fails, sets error\_occurred to true
4. If error: ROLLBACK (undo all changes). If no error: COMMIT (save all changes)

All 3 orders are inserted and status updated to 'Completed'. If error occurs the error handler catch it, roll back all changes, and no orders appear in the database.

**Question 5,6,7,8 test data:**

```

INSERT INTO User_account (ID, Name, Balance) VALUES
(11, 'Test1', 100000),
(12, 'Test2', 50000),
(13, 'Test3', 30000),
(14, 'Account1', 100000),
(15, 'Account2', 50000),
(16, 'Account3', 30000);

-- Question 2, 5, 6, 7, 8 sample data
INSERT INTO User_account VALUES
(101, 'User101', 100000),
(102, 'User102', 50000);

```

## Question 5:

### DEMONSTRATE CONCURRENCY PROBLEMS

- a/ Lost Update

#### Section1:

```

-- i. demonstrate concurrency problems
-- a. Lost Update
START TRANSACTION;
SELECT Balance FROM User_account WHERE ID = 11;
-- run sec 2
UPDATE User_account SET Balance = Balance + 10000 WHERE ID = 11;
COMMIT;

```

#### Section 2

```

28      -- i. demonstrate concurrency problems
29      -- a. Lost Update
30      START TRANSACTION;|
31      SELECT Balance FROM User_account WHERE ID = 11;
32      UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 11;
33      COMMIT;

```

#### Result

```

34    -- i. demonstrate concurrency problems
35    -- a. Lost Update
36 • START TRANSACTION;
37 • SELECT Balance FROM User_account WHERE ID = 11;
38    -- run sec 2
39 • UPDATE User_account SET Balance = Balance + 10000 WHERE ID = 11;
40 • COMMIT;
41
42    -- b. Dirty Read
43 • SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;

```

| Result Grid |           | Filter Rows: | Export: | Wrap Cell Content: |
|-------------|-----------|--------------|---------|--------------------|
|             | Balance   |              |         |                    |
| ▶           | 100000.00 |              |         |                    |

```

29    -- a. Lost Update
30 START TRANSACTION;
31 SELECT Balance FROM User_account WHERE ID = 11;
32 UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 11;
33 COMMIT;
34

```

| Result Grid |           | Filter Rows: | Export: | Wrap Cell Content: |
|-------------|-----------|--------------|---------|--------------------|
|             | Balance   |              |         |                    |
| ▶           | 100000.00 |              |         |                    |

```

35    -- run sec 2
36 • UPDATE User_account SET Balance = Balance + 10000 WHERE ID = 11;
37 • COMMIT;

```

1. Time 1: Section 1 reads balance
2. Time 2: Section 2 reads balance
3. Time 3: Section 2 update
4. Time 4: Section 1 update
5. Final Result: Balance is 100000, but should be 115000

The final balance is 100000 instead of the expected 115000. Section 2 update was overwritten by Section 1 update because both read the initial balance before either committed

#### - b/ Dirty Read

##### Section1:

```
42    -- b. Dirty Read
43 •  SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;
44 •  START TRANSACTION;
45 •  UPDATE User_account SET Balance = 50000 WHERE ID = 11;
46    -- run sec 2
47 •  ROLLBACK;
```

## Section 2

```
35    -- b. Dirty Read
36    SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;
37    START TRANSACTION;
38    SELECT * FROM User_account WHERE ID = 11;
39    COMMIT;
```

## Result

```
42    -- b. Dirty Read
43 •  SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;
44 •  START TRANSACTION;
45 •  UPDATE User_account SET Balance = 50000 WHERE ID = 11;

35    -- b. Dirty Read
36    SET SESSION TRANSACTION ISOLATION LEVEL READ UNCOMMITTED;
37    START TRANSACTION;
38    SELECT * FROM User_account WHERE ID = 11;
39    COMMIT;
```

| Result Grid |      |       |          |
|-------------|------|-------|----------|
|             | ID   | Name  | Balance  |
| ▶           | 11   | Test1 | 50000.00 |
| *           | NULL | NULL  | NULL     |

320 16:04:05 ROLLBACK

1. Section 1 change balance to 50000
2. Section 1 hasn't committed
3. Section 2 reads the account and see 50000
4. Section 1 rolls back
5. The actual balance is back to original, but Section 2 has seen 50000

Section 2 read 50000 even though this value is never committed. After Section 1 roll back, the database still has the original balance.

- c/ Non-Repeatable Read

### Section1:

```

49      -- c. Non-Repeatable Read
50 •   SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
51 •   START TRANSACTION;
52 •   SELECT * FROM User_account WHERE ID = 11;
53      -- run sec 2
54 •   SELECT * FROM User_account WHERE ID = 11;
55 •   COMMIT;
```

### Section 2

```

-- 
41      -- c. Non-Repeatable Read
42      START TRANSACTION;
43      UPDATE User_account SET Balance = 80000 WHERE ID = 11;
44      COMMIT;
--
```

### Result

```

49   -- c. Non-Repeatable Read
50 •   SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
51 •   START TRANSACTION;
52 •   SELECT * FROM User_account WHERE ID = 11;
53   -- run sec 2
54 •   SELECT * FROM User_account WHERE ID = 11;

```

Result Grid | Filter Rows:  Edit: Export/Import:

|   | ID   | Name  | Balance  |
|---|------|-------|----------|
| ▶ | 11   | Test1 | 50000.00 |
| * | NULL | NULL  | NULL     |

```

41   -- c. Non-Repeatable Read
42   START TRANSACTION;
43   UPDATE User_account SET Balance = 80000 WHERE ID = 11;
44   COMMIT;
45
46
47   -- run sec 2
48 •   SELECT * FROM User_account WHERE ID = 11;
49 •   COMMIT;
50
51
52   -- d. Phantom Read

```

Result Grid | Filter Rows:  Edit:

|   | ID   | Name  | Balance  |
|---|------|-------|----------|
| ▶ | 11   | Test1 | 80000.00 |
| * | NULL | NULL  | NULL     |

1. Section 1 reads Account 11
2. Section 2 updates Account 11 to 80000 and commit
3. Section 1 reads Account 11 again
4. Return different result

Section 1 first SELECT returns the original balance, but the second SELECT returns 80000

- d/ Phantom Read

**Section1:**

```
57    -- d. Phantom Read
58 •   SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
59 •   START TRANSACTION;
60 •   SELECT COUNT(*) FROM User_account;
61    -- run sec 2
62 •   SELECT COUNT(*) FROM User_account;
63 •   COMMIT;
```

## Section 2

```
46    -- d. Phantom Read
47    START TRANSACTION;
48    INSERT INTO User_account VALUES (18, 'NewUser', 25000);
49    COMMIT;
```

## Result

```
-- d. Phantom Read
SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
START TRANSACTION;
SELECT COUNT(*) FROM User_account;
-- run sec 2
SELECT COUNT(*) FROM User_account;
COMMIT.
```

Result Grid | Filter Rows:  | Export: | Wrap Cell Content:

|   | COUNT(*) |
|---|----------|
| ▶ | 8        |

```
-- d. Phantom Read
START TRANSACTION;
INSERT INTO User_account VALUES (18, 'NewUser', 25000);
COMMIT;
```

```

61      -- run sec 2
62 •   SELECT COUNT(*) FROM User_account;
63 •   COMMIT;
64
65
66      -- if prevent concurrency problems

```

Result Grid | Filter Rows: | Export:

|   | COUNT(*) |
|---|----------|
| ▶ | 9        |

1. Section 1 counts accounts
2. Section 2 inserts a new account and commits
3. Section 1 count again
4. New phantom row appeared during the transaction

Section 1 first COUNT returns the original number of accounts. After Section 2 insert and commits, Section 1 second COUNT is higher.

## PREVENT CONCURRENCY PROBLEMS

### - a/ Prevent Lost Update

#### Section1:

```

66      -- ii. prevent concurrency problems
67      -- a. Prevent Lost Update
68 •   START TRANSACTION;
69 •   SELECT Balance FROM User_account WHERE ID = 11 FOR UPDATE;
70      -- run sec 2
71 •   UPDATE User_account SET Balance = Balance + 10000 WHERE ID = 11;
72 •   COMMIT;

```

#### Section 2

```

--  

52      -- ii. prevent concurrency problems  

53      -- a. Prevent Lost Update  

54      START TRANSACTION;  

55      SELECT Balance FROM User_account WHERE ID = 11 FOR UPDATE;  

56      UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 11;  

57 •    COMMIT;  

58

```

## Result

```

--  

66      -- ii. prevent concurrency problems  

67      -- a. Prevent Lost Update  

68 •    START TRANSACTION;  

69 •    SELECT Balance FROM User_account WHERE ID = 11 FOR UPDATE;  

70      -- run sec 2  

71 •    UPDATE User_account SET Balance = Balance + 10000 WHERE ID = 11  

72 •    COMMIT;

```

Result Grid | Filter Rows:  Export: Wrap Cell Content:

|   | Balance  |
|---|----------|
| ▶ | 80000.00 |

```

52      -- ii. prevent concurrency problems  

53      -- a. Prevent Lost Update  

54      START TRANSACTION;  

55      SELECT Balance FROM User_account WHERE ID = 11 FOR UPDATE;  

56      UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 11;  

57 •    COMMIT;
58

```

Result Grid | Filter Rows:  Export: Wrap Cell Content:

|   | Balance  |
|---|----------|
| ▶ | 80000.00 |

```

71 •    UPDATE User_account SET Balance = Balance + 10000 WHERE ID = 11;  

72 •    COMMIT;

```

1. Section 1 reads and LOCKS Account 11
2. Section 2 tries to read Account 11

3. Section 1 updates and commit

4. Section 2 can proceed

Section 2 waits for Section 1 to complete before proceeding. Both updates are preserved, preventing data loss

- b/ Prevent Dirty Read

**Section1:**

```
74      -- b. Prevent Dirty Read
75 •  SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
76 •  START TRANSACTION;
77 •  UPDATE User_account SET Balance = 60000 WHERE ID = 11;
78      -- run sec 2
79 •  ROLLBACK;
```

**Section 2**

```
-- b. Prevent Dirty Read
SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
START TRANSACTION;
SELECT * FROM User_account WHERE ID = 11;
COMMIT;
```

**Result**

```
74      -- b. Prevent Dirty Read
75 •  SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;
76 •  START TRANSACTION;
77 •  UPDATE User_account SET Balance = 60000 WHERE ID = 11;
```

```

--  

59    -- b. Prevent Dirty Read  

60    SET SESSION TRANSACTION ISOLATION LEVEL READ COMMITTED;  

61    START TRANSACTION;  

62    SELECT * FROM User_account WHERE ID = 11;  

63 •   COMMIT;

```

Result Grid | Filter Rows: | Edit: | Export/Import:

|   | ID   | Name  | Balance  |
|---|------|-------|----------|
| ▶ | 11   | Test1 | 60000.00 |
| * | NULL | NULL  | NULL     |

```
79 •   ROLLBACK;
```

1. Section 1 updates balance to 60,000
2. Section 2 reads Account 11
4. Section 1 rolls back

#### - c/ Prevent Non-Repeatable Read

##### Section1:

```

81    -- c. Prevent Non-Repeatable Read  

82 •   SET SESSION TRANSACTION ISOLATION LEVEL REPEATABLE READ;  

83 •   START TRANSACTION;  

84 •   SELECT * FROM User_account WHERE ID = 11;  

85    -- run sec 2  

86 •   SELECT * FROM User_account WHERE ID = 11;  

87 •   COMMIT;

```

##### Section 2

```

65    -- c. Prevent Non-Repeatable Read  

66    START TRANSACTION;  

67    UPDATE User_account SET Balance = 85000 WHERE ID = 11;  

68 •   COMMIT;

```

##### Result

```

81      -- c. Prevent Non-Repeatable Read
82 •   SET SESSION TRANSACTION ISOLATION LEVEL REPEATABLE READ;
83 •   START TRANSACTION;
84 •   SELECT * FROM User_account WHERE ID = 11;
85      -- run sec 2
86 •   SELECT * FROM User_account WHERE ID = 11;

```

Result Grid | Filter Rows: | Edit: | Export/Import:

|   | ID   | Name  | Balance  |
|---|------|-------|----------|
| ▶ | 11   | Test1 | 60000.00 |
| * | NULL | NULL  | NULL     |

```

65      -- c. Prevent Non-Repeatable Read
66      START TRANSACTION;
67      UPDATE User_account SET Balance = 85000 WHERE ID = 11;
68 •   COMMIT;

85      -- run sec 2
86 •   SELECT * FROM User_account WHERE ID = 11;
87 •   COMMIT;

88

89      -- d. Prevent Phantom Read
90 •   SET SESSION TRANSACTION ISOLATION LEVEL SERIALIZABLE;

```

Result Grid | Filter Rows: | Edit: |

|   | ID   | Name  | Balance  |
|---|------|-------|----------|
| ▶ | 11   | Test1 | 60000.00 |
| * | NULL | NULL  | NULL     |

1. Section 1 reads Account 11
2. Section 2 update and commit
3. Section 1 reads Account 11 again
4. Section 1 still sees 60000
5. Consistent view in the transaction

Both of Section 1 SELECT statements return the same balance.

#### - d/ Prevent Phantom Read

##### Section1:

```
89      -- d. Prevent Phantom Read
90 •   SET SESSION TRANSACTION ISOLATION LEVEL SERIALIZABLE;
91 •   START TRANSACTION;
92 •   SELECT COUNT(*) FROM User_account;
93      -- run sec 2
94 •   SELECT COUNT(*) FROM User_account;
95 •   COMMIT;
```

## Section 2

```
70      -- d. Prevent Phantom Read
71      START TRANSACTION;
72      INSERT INTO User_account VALUES (19, 'AnotherUser', 30000);
73 •   COMMIT;
```

## Result

```
89      -- d. Prevent Phantom Read
90 •   SET SESSION TRANSACTION ISOLATION LEVEL SERIALIZABLE;
91 •   START TRANSACTION;
92 •   SELECT COUNT(*) FROM User_account;
93      -- run sec 2
94 •   SELECT COUNT(*) FROM User_account;
95 •   COMMIT.
```

| Result Grid |          |
|-------------|----------|
|             | COUNT(*) |
| ▶           | 9        |

```
93      -- run sec 2
94 •   SELECT COUNT(*) FROM User_account;
95 •   COMMIT;
96      -- Question 6
97      -- i. Shared Lock (Read Lock)
98 •   START TRANSACTION.
```

| Result Grid |          |
|-------------|----------|
|             | COUNT(*) |
| ▶           | 9        |

1. Section 1 counts accounts and locks the table range
2. Section 2 tries to insert a new account
3. Section 2 must wait until Section 1 finishes
4. Section 1 count again (same result)
5. Section 1 commits and releases lock
6. Section 2 can now insert

Both COUNT query in Section 1 return the same number.

## Question 6:

Section 1:

```

99      -- Question 6
100     -- i. Shared Lock (Read Lock)
101 •   START TRANSACTION;
102 •   SELECT Balance FROM User_account WHERE ID = 101 LOCK IN SHARE MODE;
103
104 •   COMMIT;

105
106     -- ii. Exclusive Lock (Write Lock)
107 •   START TRANSACTION;
108 •   SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
109 •   UPDATE User_account SET Balance = 110000 WHERE ID = 101;
110 •   COMMIT;

111
112     -- iii. Two-Phase Locking
113 •   START TRANSACTION;
114 •   SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
115 •   SELECT Balance FROM User_account WHERE ID = 102 FOR UPDATE;
116 •   UPDATE User_account SET Balance = Balance - 100000 WHERE ID = 101;
117 •   UPDATE User_account SET Balance = Balance + 100000 WHERE ID = 102;
118 •   COMMIT;

119
120     -- iv. Prevent Lost Update
121 •   START TRANSACTION;
122 •   SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
123     -- run sec 2
124 •   UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 101;
125 •   COMMIT;
```

## Section 2:

```
77      -- Question 6
78
79      -- iv. Prevent Lost Update
80      START TRANSACTION;
81      SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
82 •      UPDATE User_account SET Balance = Balance + 3000 WHERE ID = 101;
83 •      COMMIT;
--
```

## Result:

```
99      -- Question 6
100     -- i. Shared Lock (Read Lock)
101 •     START TRANSACTION;
102 •     SELECT Balance FROM User_account WHERE ID = 101 LOCK IN SHARE MODE;
103
104 •     COMMIT;
105
```

Result Grid | Filter Rows: | Export: | Wrap Cell Content: |

| Balance   |
|-----------|
| 100000.00 |

Multiple transactions can read the balance simultaneously. If any transaction tries to UPDATE, it waits until all shared locks are released

```
106      -- ii. Exclusive Lock (Write Lock)
107 •     START TRANSACTION;
108 •     SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
109 •     UPDATE User_account SET Balance = 110000 WHERE ID = 101;
110 •     COMMIT;
111
```

Result Grid | Filter Rows: | Export: | Wrap Cell Content: |

| Balance   |
|-----------|
| 100000.00 |

Section 1 has exclusive access to the row. Any other transaction trying to read or modify wait until Section 1 commits

```

112   -- iii. Two-Phase Locking
113 •   START TRANSACTION;
114 •   SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
115 •   SELECT Balance FROM User_account WHERE ID = 102 FOR UPDATE;
116 •   UPDATE User_account SET Balance = Balance - 100000 WHERE ID = 101;
117 •   UPDATE User_account SET Balance = Balance + 100000 WHERE ID = 102;
118 •   COMMIT;
119
120   -- iv. Prevent Lost Update

```

| Result Grid |          | Filter Rows: | Export: | Wrap Cell Content: |
|-------------|----------|--------------|---------|--------------------|
|             | Balance  |              |         |                    |
| ▶           | 50000.00 |              |         |                    |

Both accounts are locked before any updates. The transaction completes and both locks are released together at commit.

```

120   -- iv. Prevent Lost Update
121 •   START TRANSACTION;
122 •   SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
123   -- run step 2

```

| Result Grid |          | Filter Rows: | Export: | Wrap Cell Content: |
|-------------|----------|--------------|---------|--------------------|
|             | Balance  |              |         |                    |
| ▶           | 10000.00 |              |         |                    |

```

79   -- iv. Prevent Lost Update
80   START TRANSACTION;
81   SELECT Balance FROM User_account WHERE ID = 101 FOR UPDATE;
82 •   UPDATE User_account SET Balance = Balance + 3000 WHERE ID = 101;
83 •   COMMIT;
84

```

| Result Grid |          | Filter Rows: | Export: | Wrap Cell Content: |
|-------------|----------|--------------|---------|--------------------|
|             | Balance  |              |         |                    |
| ▶           | 10000.00 |              |         |                    |

```

123      -- run sec 2
124 •   UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 101;
125 •   COMMIT;
126

```

1. Section 1 locks and reads balance
2. Section 2 tries to lock but must wait
3. Section 1 updates to and commit (releases lock)
4. Section 2 now lock and read
5. Section 2 updates and commits

## Question 7:

### a. Create Deadlock

Section 1:

```

-- Question 7
-- a. Create Deadlock
START TRANSACTION;
UPDATE User_account SET Balance = Balance - 100 WHERE ID = 14;
-- run sec 2
UPDATE User_account SET Balance = Balance + 100 WHERE ID = 15;
COMMIT;

```

Section 2:

```

87      -- Question 7
88      -- a. Create Deadlock
89 •   START TRANSACTION;
90 •   UPDATE User_account SET Balance = Balance - 100 WHERE ID = 15;
91 •   UPDATE User_account SET Balance = Balance + 100 WHERE ID = 14;
92 •   COMMIT;

```

### Result:

|    |                                                                           |                                                                                                   |
|----|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| 4  | 17 10:55:02 do sleep(5)                                                   | Running...                                                                                        |
| 15 | 18 10:55:05 do sleep (10)                                                 | 0 row(s) affected<br>10.000 sec                                                                   |
| 16 | 18 10:55:15 UPDATE User_account SET Balance = Balance + 100 WHERE ID = 14 | Error Code: 1213. Deadlock found when trying to get lock; try restarting transaction<br>0.000 sec |

Database detects the deadlock after a few seconds. The other transaction completes successfully

## b. Identify Deadlock

```
-- b. Identify Deadlock  
SHOW ENGINE INNODB STATUS;
```

The screenshot shows the MySQL Workbench interface with a query editor and a results grid. The query editor contains the command `SHOW ENGINE INNODB STATUS;`. The results grid displays the InnoDB monitor output, which includes:

- LOG statistics: Log sequence number 27484279, Log buffer assigned up to 27484279, Log buffer completed up to 27484279, Log written up to 27484279, Log flushed up to 27484279.
- BUFFER POOL AND MEMORY statistics: Total large memory allocated 0, Dictionary memory allocated 586165, Buffer pool size 8191, Free buffers 6778, Database pages 1389, Old database pages 492, Modified db pages 0, Pending reads 0, Pending writes: LRU 0, flush list 0, single page 0.
- PAGE OPERATIONS: Pages made young 795, not young 12197, 0.00 youngs/s, 0.00 non-youngs/s.
- ROW OPERATIONS: 0 queries inside InnoDB, 0 queries in queue, 0 read views open inside InnoDB, Process ID=4724, Main thread ID=6840, state=sleeping, Number of rows inserted 135, updated 80, deleted 0, read 669, 0.00 inserts/s, 0.00 updates/s, 0.00 deletes/s, 0.00 reads/s.
- System statistics: Number of system rows inserted 373, updated 1419, deleted 2770, read 24164, 0.00 inserts/s, 0.00 updates/s, 0.00 deletes/s, 0.00 reads/s.

The results grid has columns: Type, Name, Status. The InnoDB entry is highlighted. The Action Output pane shows three events:

- 22 10:57:10 UPDATE User\_account SET Balance = Balance - 100 WHERE ID = 001;
- 23 10:57:10 SHOW ENGINE INNODB STATUS
- 24 10:57:11 SHOW ENGINE INNODB STATUS

Activate Windows  
Go to Settings to activate Wi

## c. Prevent Deadlock

Section 1:

```
140      -- c. Prevent Deadlock  
141 •      START TRANSACTION;  
142 •      UPDATE User_account SET Balance = Balance - 100 WHERE ID = 001;  
143 •      DO SLEEP(10);  
144 •      UPDATE User_account SET Balance = Balance + 100 WHERE ID = 002;  
145 •      COMMIT;
```

Section 2:

```

-- c. Prevent Deadlock
START TRANSACTION;
UPDATE User_account SET Balance = Balance - 50 WHERE ID = 001;
DO SLEEP(5);
UPDATE User_account SET Balance = Balance + 50 WHERE ID = 002;
COMMIT;

```

### Result:

```

141 • START TRANSACTION;
142 • UPDATE User_account SET Balance = Balance - 100 WHERE ID = 001;
143 • DO SLEEP(10);
144 • UPDATE User_account SET Balance = Balance + 100 WHERE ID = 002;
145 • COMMIT;

95 • START TRANSACTION;
96 • UPDATE User_account SET Balance = Balance - 50 WHERE ID = 001;
97 • DO SLEEP(5);
98 • UPDATE User_account SET Balance = Balance + 50 WHERE ID = 002;
99 COMMIT;
---

461 16:41:14 COMMIT
462 16:41:19 START TRANSACTION
463 16:41:19 UPDATE User_account SET Balance = Balance - 50 WHERE ID = 001
464 16:41:19 DO SLEEP(5)
465 16:41:24 UPDATE User_account SET Balance = Balance + 50 WHERE ID = 002
466 16:41:24 COMMIT

```

Both transactions complete successfully without deadlock. Section 2 waits for Section 1 to complete, then proceeds. The consistent lock ordering prevents circular wait

### d. Lock Wait Timeout

Section 1:

```

147      -- d. Lock Wait Timeout
148 •      SET innodb_lock_wait_timeout = 2;
149 •      START TRANSACTION;
150 •      UPDATE User_account SET Balance = Balance - 100 WHERE ID = 002;
151 •      DO SLEEP(10);
152      -- run sec 2
153 •      COMMIT;

```

Section 2:

```
...
101      -- d. Lock Wait Timeout
102 •   SET innodb_lock_wait_timeout = 3;
103 •   START TRANSACTION;
104 •   UPDATE User_account SET Balance = Balance - 100 WHERE ID = 002;
105 •   COMMIT;
```

---

**Result:**

```
468 16:43:28 START TRANSACTION
469 16:43:28 UPDATE User_account SET Balance = Balance - 100 WHERE ID = 002
470 16:43:28 DO SLEEP(10)
```

Section 2 waits for 3 seconds, then receives “Lock wait timeout exceeded” error. The transaction is not commit. Section 1 complete after its 10 second sleep

**Question 8:**

```
134      -- Question 8
135
136      -- Transaction with COMMIT
137 •   START TRANSACTION;
138 •   UPDATE User_account SET Balance = Balance - 5000 WHERE ID = 101;
139 •   UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 102;
140 •   COMMIT;
141
142 •   SELECT * FROM User_account WHERE ID IN (101, 102);
143
144
145      -- Transaction with ROLLBACK
146 •   START TRANSACTION;
147 •   UPDATE User_account SET Balance = Balance - 5000 WHERE ID = 101;
148 •   ROLLBACK;
149
150 •   SELECT * FROM User_account WHERE ID IN (101, 102);
151
152
153      -- Recovery After System Failure
154 •   START TRANSACTION;
155 •   UPDATE User_account SET Balance = Balance - 10000 WHERE ID = 101;
156 •   ROLLBACK;
157
158 •   SELECT * FROM User_account WHERE ID = 101;
159
160
161      -- SAVEPOINT
162 •   START TRANSACTION;
163 •   UPDATE User_account SET Balance = Balance - 5000 WHERE ID = 101;
164 •   SAVEPOINT sp1;
165 •   UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 102;
166 •   ROLLBACK TO sp1;
167 •   COMMIT;
168
169 •   SELECT * FROM User_account WHERE ID IN (101, 102);
```

Result:

```

--  

136    -- Transaction with COMMIT  

137 • START TRANSACTION;  

138 • UPDATE User_account SET Balance = Balance - 5000 WHERE ID = 101;  

139 • UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 102;  

140 • COMMIT;  

141  

142 • SELECT * FROM User_account WHERE ID IN (101, 102);  

143

```

Result Grid | Filter Rows: | Edit: | Export/Import: | Wrap

|   | ID   | Name    | Balance   |
|---|------|---------|-----------|
| ▶ | 101  | User101 | 13000.00  |
|   | 102  | User102 | 155000.00 |
| * | NULL | NULL    | NULL      |

Account 101 balance decreases by 5000 and Account 102 balance increases by 5000.

```

--  

145    -- Transaction with ROLLBACK  

146 • START TRANSACTION;  

147 • UPDATE User_account SET Balance = Balance - 5000 WHERE ID = 101;  

148 • ROLLBACK;  

149  

150 • SELECT * FROM User_account WHERE ID IN (101, 102);  

151  

152  

153    -- Recovery After System Failure

```

Result Grid | Filter Rows: | Edit: | Export/Import: | Wrap

|   | ID   | Name    | Balance   |
|---|------|---------|-----------|
| ▶ | 101  | User101 | 13000.00  |
|   | 102  | User102 | 155000.00 |
| * | NULL | NULL    | NULL      |

1. start the transaction
2. Update is performed
3. rollback cancel the update
4. Database return to original state
5. Transaction end

Even though the UPDATE statement ran, the ROLLBACK cancel it. The final SELECT shows the balance unchanged.

```
153      -- Recovery After System Failure
154 • START TRANSACTION;
155 • UPDATE User_account SET Balance = Balance - 10000 WHERE ID = 101;
156 • ROLLBACK;
157
158 • SELECT * FROM User_account WHERE ID = 101;
159
160
161 -- SAVEPOINT
```

Result Grid | Filter Rows: Edit: Export/Import: Wrap:

|   | ID   | Name    | Balance  |
|---|------|---------|----------|
| ▶ | 101  | User101 | 13000.00 |
| * | NULL | NULL    | NULL     |

Account 101 retain original balance. The uncommitted update is undone

```
161 -- SAVEPOINT
162 • START TRANSACTION;
163 • UPDATE User_account SET Balance = Balance - 5000 WHERE ID = 101;
164 • SAVEPOINT sp1;
165 • UPDATE User_account SET Balance = Balance + 5000 WHERE ID = 102;
166 • ROLLBACK TO sp1;
167 • COMMIT;
168
169 • SELECT * FROM User_account WHERE ID IN (101, 102);
```

Result Grid | Filter Rows: Edit: Export/Import: Wrap:

|   | ID   | Name    | Balance   |
|---|------|---------|-----------|
| ▶ | 101  | User101 | 8000.00   |
|   | 102  | User102 | 155000.00 |
| * | NULL | NULL    | NULL      |

1. Update Account 101
2. Create savepoint "sp1"

3. Update Account 102

4. rollback to sp1

5. COMMIT saves the changes before sp1

Account 101 balance is reduced by 5000. Account 102 balance is unchanged.