

# Parallelism and concurrency

## Lesson 1

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# Synchronization using Monitors

# Left and Right

2 groups of people, some on left, some on right

Only one side can shout

Thread right  
shout

Thread left  
shout

Needs synchronization. How to do this with only two peoples? With more?

Thread right

```
mutex.lock  
shout  
mutex.unlock
```

Thread left

```
mutex.lock  
shout  
mutex.unlock
```

# Left and Right

2 groups of people, some on left, some on right

Only one side can shout

Thread right

shout

Thread left

shout

Is it sufficient?

Thread right

If left is not shouting:

mutex.lock

shouting = right

shout

mutex.unlock

Thread left

If right is not shouting:

mutex.lock

shouting = left

shout

mutex.unlock

# Left and Right

2 groups of people, some on left, some on right

Only one side can shout

Thread right  
shout

Thread left  
shout

Needs synchronization. How to do this with only two peoples? With more?

- Need a state: either left or right (or none)
- If the mode is the one of a thread
  - The thread can shout
- Otherwise, it needs to wait a condition
  - The test is on the mode value

Must be done without busy waiting

# Hoare Monitors

Proposed in 1974 by Hoare et al.

Higher level abstraction than semaphores

- Shared state
- Manage operations on the state
- Operations can depend on the state

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Thread right

If left is shouting:

Wait for the left side to finish

shout

Thread left

If right is shouting:

Wait for the right side to finish

shout

Need of synchronization, specific critical sections, ...

# Hoare Monitors

**Monitor:** An “object” with methods, shared variables, and conditions. All methods are mutually exclusive.

```
Monitor m {  
    int i;  
    void inc() { i++; }  
    void dec() { i--; }  
}
```

i=0

Thread 1:

```
for i from 0 to 100:  
    inc()
```

Thread 2:

```
for i from 0 to 100:  
    dec()
```

print(i)



# Conditions

Thread-safe concurrent condition

- Is there so data to process?
- Has another thread finished its processing?
- Do I have the right to be executed?

Global idea:

- A condition is linked to a particular behavior
- Allows to check the state (variables) in an exclusive way

# Conditions

## Thread-safe concurrent condition

- Is there so data to process?
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- Do I have the right to be executed?

## Global idea:

- A condition is linked to a particular behavior
- Allows to check the state (variables) in an exclusive way

## Condition: queue of threads linked to a mutex

Operations on **c** (condition) associated to **m** (mutex):

- **c.wait(mutex):**
  - Unlock a mutex and put the current process in the queue in a sleep state. When exiting the wait, the mutex is locked again.
- **c.signal() or c.notify():**
  - Wakes the first process in the queue and marks it as ready to be executed. Does nothing if no process is waiting
- **c.empty()**

# Hoare Monitor

```
Monitor_ping_pong {  
    Condition c_ping, c_pong;  
    string next = "ping"  
  
    void ping() {  
        while next == "pong":  
            c_ping.wait()  
            next = "pong"  
            c_pong.notify()  
        }  
  
    void pong() {  
        while next == "ping":  
            c_pong.wait()  
            next = "ping"  
            c_ping.notify()  
        }  
    }  
}
```

To use with:

Process 1:

```
while(True):  
    ping()
```

Process 2:

```
while(True):  
    pong()
```

Why putting the while outside of the monitor?

# Hoare Monitor

```
Monitor_ping_pong {  
    Condition c_ping, c_pong;  
    string next = "ping"  
  
    void ping() {  
        while next == "pong":  
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            c_ping.notify()  
        }  
    }  
}
```

To use with:

Process 1:

```
while(True):  
    ping()
```

Process 2:

```
while(True):  
    pong()
```

Process 3:

```
while(True):  
    pong()
```

What is changed ?

# Hoare Monitor

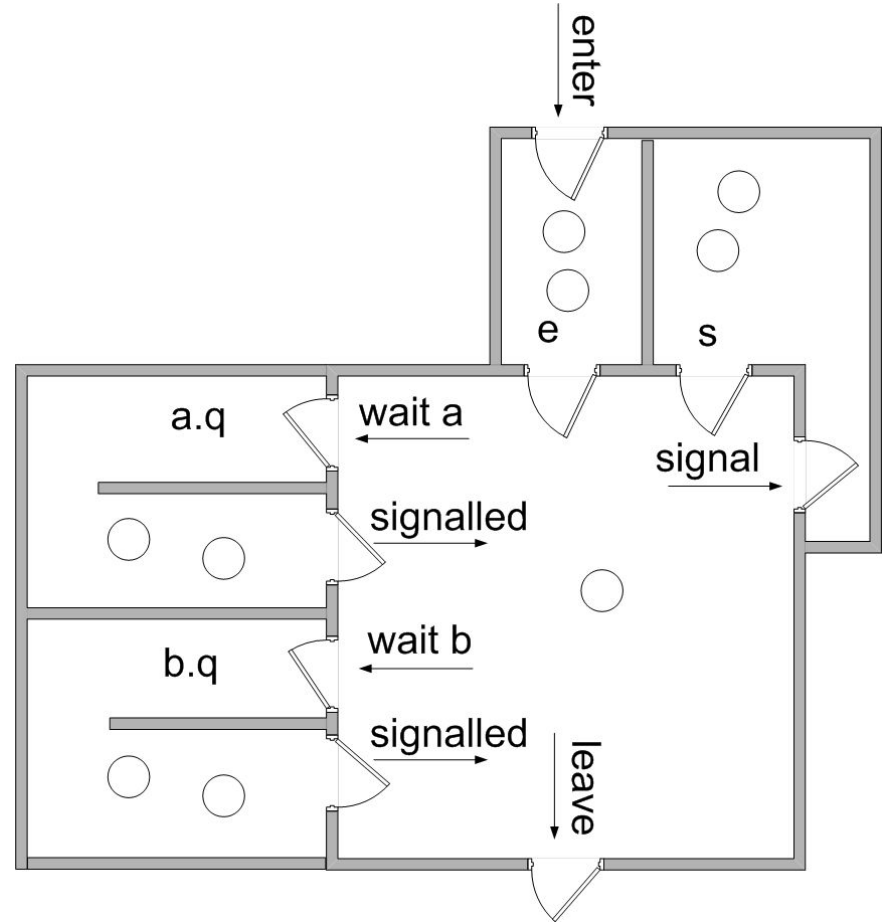
```
Monitor name {  
    State variables  
    Condition variables  
    Functions  
}
```

- Only one execution flow can use any of the functions at the same time
- Can be used for synchronizing threads or processes
- All conditions are independant
- `wait(0)` goes first

# Hoare Monitor

```
Monitor name {  
    State variables  
    Condition variables  
    Functions  
}
```

- Only one execution flow can use any of the functions at the same time
- Can be used for synchronizing threads or processes
- All conditions are independent
- Signal stops the current flow (put it in URGENT) and starts directly the waiting one
- `wait(0)` goes first



# Mutex using Hoare Monitors

```
Monitor mutexMonitor :  
    bool locked=False  
    Condition access  
  
    lock()  
        if locked:  
            access.wait  
        locked = True  
  
    unlock()  
        locked = False  
        access.signal
```

# Mutex using Hoare Monitors

```
Monitor mutexMonitor {  
    bool locked=False  
    Condition access  
  
    lock()  
        if locked:  
            access.wait  
        locked = True  
  
    unlock()  
        access.signal  
        locked = False
```

is it the same?



# Mutex using Hoare Monitors

```
Monitor mutexMonitor {  
    bool locked=False  
    Condition access  
  
    lock()  
        if locked:  
            access.wait  
        locked = True  
  
    unlock()  
        access.signal  
        locked = False
```

is it the same?

1. P1: lock()
  - a. locked changes to True
2. P2: lock()
  - a. Waits because locked is True
3. P1: unlock()
  - a. Starts with the signal
  - b. Goes back to lock and changes locked to True
  - c. Goes back to unlock and changes back locked to False

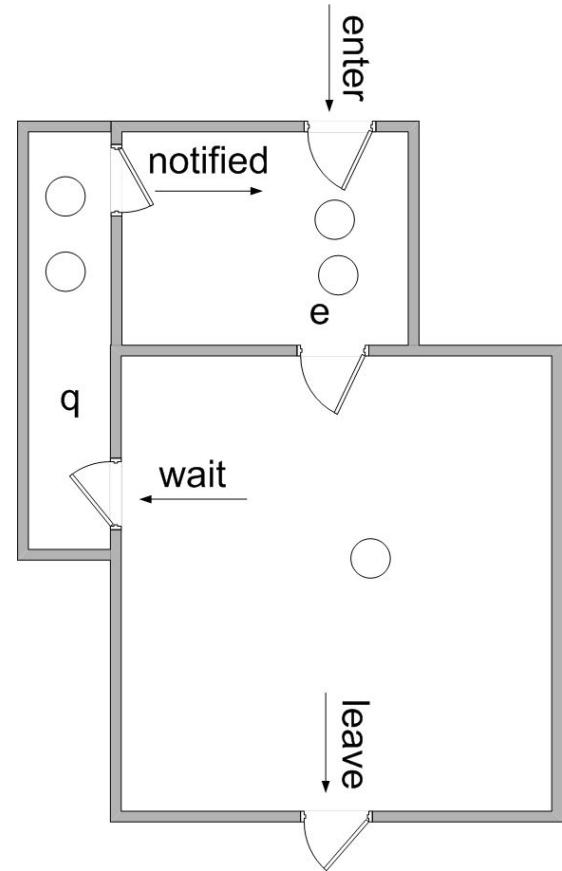
P2 should have the lock but locked is False

# Mesa (Lampson / Redell) Monitors

Main difference:

- Replace **signal** by **notify**
- Notify moves a waiting thread to the ready pool
- The current thread finishes the function before returning

Does it changes anything in the code?



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Main difference:

- Replace **signal** by **notify**
- Notify moves a waiting thread to the ready pool
- The current threads finishes the function before returning

Does it changes anything in the code?

```
Monitor mutexHoareMonitor {  
    bool locked=False  
    Condition access  
  
    lock()  
        if locked:  
            access.wait  
            locked = True  
  
    unlock()  
        locked = False  
        access.signal
```

# Mesa (Lampson / Redell) Monitors

Main difference:

- Replace **signal** by **notify**
- Notify moves a waiting thread to the ready pool
- The current threads finishes the function before returning

Does it changes anything in the code?

```
Monitor mutexMesaMonitor {  
    bool locked=False  
    Condition access  
  
    lock()  
        while locked:  
            access.wait  
            locked = True  
  
    unlock()  
        locked = False  
        access.notify
```

# Philosophers using Monitors

```
Monitor table {
    available[5] = [True, True, ...]
    condition cond_phi[5]

    void put_chopsticks(pos):
        available[pos]=True
        available[pos+1%5]=True
        prev = (pos-1)%5
        next = (pos+1)%5
        if available[prev] and
            not cond_phi[prev].empty
            cond_phi[prev].notify
        elif available[next+1%5] and
            not cond_phi[next].empty
            cond_phi[next].notify
```

```
void take_chopsticks(pos):
    while not available[pos] or
        not available[(pos+1)% 5]:
        cond_phi[pos].wait
    available[pos]=False
    available[(pos+1)%5]=False
}
```

Thread Philosopher

```
While True:
    Think
    take_chopsticks
    Eat
    put_chopsticks
```

# Philosophers using Hoare Monitors

Why Eat and Think are not in the monitor?

Can it leads to deadlock?

Can it leads to starvation?

Is it using busy waiting?

# Philosophers using Hoare Monitors

Why Eat and Think are not in the monitor?

Can be done in parallel

Can it leads to deadlock?

No

Can it leads to starvation?

Yes: Your two neighbors can alternate and you can wait an infinite time

Is it using busy waiting?

No

# Methodology



# Steps to design a Monitor

4 steps:

1. Define the specification of the monitor
2. Blocking and unblocking conditions
3. Deduce Conditions, state variables
4. Implement

# Steps to design a Monitor

4 steps:

1. **Define the specification of the monitor**
  - a. List the methods in the monitor
2. Blocking and unblocking conditions
3. Deduce Conditions, state variables
4. Implement

```
Monitor PingPong {  
  
    void ping()  
  
    void pong()  
  
}
```

# Steps to design a Monitor

4 steps:

1. Define the specification of the monitor
  - a. List the methods in the monitor
2. **Blocking and unblocking conditions**
  - a. List the reasons for processes to be blocked or unblocked
  - b. Blocking: State; Unblocking: event
3. Deduce Conditions, state variables
4. Implement

- Ping
  - Blocking
    - Ping or Pong running
    - We want the next to be Pong
  - Unblocking
    - A Pong just finished
- Pong
  - Blocking
    - Ping or Pong running
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  - Unblocking
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# Steps to design a Monitor

4 steps:

1. Define the specification of the monitor
  - a. List the methods in the monitor
2. Blocking and unblocking conditions
  - a. List the reasons for processes to be blocked or unblocked
  - b. Blocking: State; Unblocking: event
3. **Deduce Conditions, state variables**
  - a. Variable: the state
  - b. Conditions: One by similar behavior
4. Implement

- Variables
  - Is Pong or Ping running **next**
  - What was the last running
- Conditions
  - All Ping processes are similar
    - **c\_ping**
  - All Pong processes are similar
    - **c\_pong**

# Steps to design a Monitor

4 steps:

1. Define the specification of the monitor
  - a. List the methods in the monitor
2. Blocking and unblocking conditions
  - a. List the reasons for processes to be blocked or unblocked
  - b. Blocking: State; Unblocking: event
3. Deduce Conditions, state variables
  - a. Variable: the state
  - b. Conditions: One by similar behavior
4. **Implement**
  - a. Check the whole list (2.a) is present !

```
Monitor ping_pong {  
    Condition c_ping, c_pong;  
    string next = "ping"  
  
    void ping() {  
        while next == "pong":  
            c_ping.wait()  
            next = "pong"  
            c_pong.notify()  
    }  
  
    void pong() {  
        while next == "ping":  
            c_pong.wait()  
            next = "ping"  
            c_ping.notify()  
    }  
}
```

# Design a monitor

Pizzeria

1. Clients who want to command a pizza
2. Waiter who takes only one of the command and pass it to the cook
3. The cook that takes the command and bring it himself to the client

What would be the specification ?

Waiter:

Loop:

Walk

Take command

Walk

Put command in kitchen

# Design a monitor: specification of the monitor

## Pizzeria

1. Clients who want to command a pizza
2. Waiter who takes only one of the command and pass it to the cook
3. The cook that takes the command and bring it himself to the client

```
Monitor pizzeria {  
    command(pizza)  
    send_to_kitchen()  
    exit()  
}
```

What would be the blocking and unblocking conditions?

# Design a monitor: blocking and unblocking conditions

## Pizzeria

1. Clients who want to command a pizza
2. Waiter who takes only one of the command and pass it to the cook
3. The cook that takes the command and bring it himself to the client

What would be the variables, conditions?

- Blocking:
  - Client: pizza is not finished or a client is waiting its pizza
  - Waiter: no pizza in command
  - Cook: no pizza sent to kitchen
- Unblocking
  - Client: pizza just finished or client just took its pizza
  - Waiter: a client just asked for a pizza
  - Cook: a new command arrives to the kitchen



# Design a monitor: variables and conditions

## Pizzeria

1. Clients who want to command a pizza
2. Waiter who takes only one of the command and pass it to the cook
3. The cook that takes the command and bring it himself to the client

What would be the code?

- Variable:
  - Command of a client
  - Message to the kitchen
  - Final pizza
- Conditions. Four different behaviors
  - Client before command, client after the command, waiter, cook
  - Four conditions

# Design a monitor: specification of the monitor

## Pizzeria

1. Clients who want to command a pizza
2. Waiter who takes only one of the command and pass it to the cook
3. The cook that takes the command and bring it himself to the client

```
Monitor pizzeria {
    String command, kitchen, result
    Condition client, waiter, cook, go
    command(pizza)
        while command != "none":
            client.wait
            command = pizza
            waiter.notify()
    send_to_kitchen():
        while command == "none":
            waiter.wait
            kitchen = command
            cook.signal
    cook():
        while kitchen == "none":
            cook.wait
            result=kitchen
            kitchen = "none"
            go.signal
    wait_pizza():
        while result == "none":
            go.wait
            result = "none"
            command = "none"
            client.signal
}
```

# Post Box

Same questions:

- One postbox
- Two operations: post, take

1. Define the functions of the monitor
2. Blocking and unblocking conditions
3. Deduce Conditions, state variables
4. Implement

Producer - consumer

# Producer - consumer

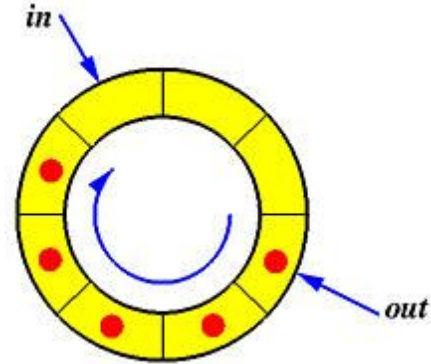
Producers and consumers share a bounded buffer of **N** elements. Assumption: most of the time is the actual production and consumption

Multiple producers

- Ensure production in free space

Multiple consumers

- Ensure consuming something existing



Producer thread:

```
val=produce_something  
drop_off(val)
```

Consumer thread:

```
val = pick_up()  
do_something_with(val)
```