# LEC04. **ELEMENTARY SOCKETS**

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#### Content

- socket()
- UDP Socket APIs
- TCP Socket APIs
- Iterative TCP Server
- Design application protocol

#### socket()

```
#include <sys/types.h>
#include <sys/socket.h>
int socket(int domain, int type, int protocol);
```

- Creates an endpoint for communication
- [IN] domain: AF\_INET, AF\_INET6, or AF\_UNSPEC, ...
- [IN] type argument can be:
  - SOCK\_STREAM: Provides sequenced, reliable, two-way, connectionbased byte streams
  - SOCK\_DGRAM: Supports datagrams
  - SOCK\_RAW: Provides raw network protocol access
- [IN] protocol is usually 0
- Returns value
  - · A new socket descriptor that you can use to do sockety things with
  - If error occurs, return -1 (remember errno)

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#### bind()

- Associate a socket with an IP address and port number
- Where
  - [IN] sockfd: socket descriptor
  - [IN] addr: pointer to a sockaddr structure assigned to sockfd
  - [IN] addrlen: specifies the size, in bytes of address structure pointed to by addr
- Return value
  - Returns 0 if no error occurs.
  - Otherwise, return -1 (and errno will be set accordingly)

#### shutdown()

```
#include <sys/socket.h>
int shutdown(int socket, int how);
```

- Shut down socket send and receive operations
- Where
  - [IN] sockfd: a descriptor identifying a socket.
  - [IN] how: SHUT\_RD, SHUT\_WR, SHUT\_RDWR
- Return value
  - Returns 0 if no error occurs.
  - Otherwise, return -1 (and errno will be set accordingly)

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#### close()

```
#include <unistd.h>
int close(int sockfd);
```

- Close a socket descriptor
- [IN] sockfd: a descriptor identifying a socket.
- Return value
  - Returns 0 if no error occurs.
  - Otherwise, return -1 (and errno will be set accordingly)
- close() **VS** shutdown()
  - close() tries to complete this transmission before closing, frees the socket descriptor
  - shutdown (): immediately stops receiving and transmitting data, don't releases the socket descriptor

#### Socket options

- Set the options that control the transfering data on a socket
- Parameters:
  - [IN] sockfd: refer to an open socket descriptor
  - [IN] level: specifies the protocol level at which the option resides
  - [IN] optname: specifies a single option to set
  - [IN] optval: points to the setted option value
  - [IN] optlen: the size of option value pointed by optval
- Return:
  - · Returns 0 if no error occurs.
  - Otherwise, return -1 (and errno will be set accordingly)

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#### Socket options(cont)

- Set the options that control the transfering data on a socket
- Parameters:
  - [IN] sockfd: refer to an open socket descriptor
  - [IN] level: specifies the protocol level at which the option resides
  - [IN] optname: specifies a single option to set
  - [OUT] optval: points to the setted option value
  - [IN, OUT] optlen: the size of option value pointed by optval
- Return:
  - · Returns 0 if no error occurs.
  - Otherwise, return -1 (and errno will be set accordingly)

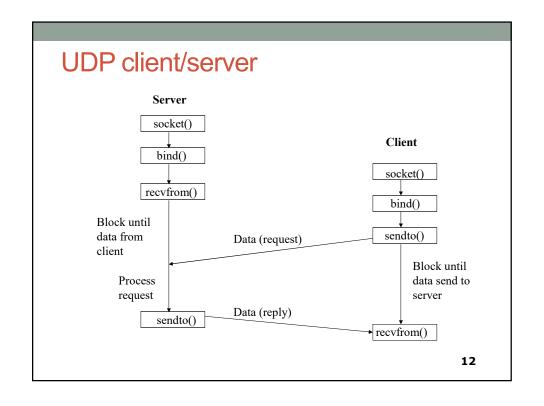
# level = SOL\_SOCKET

| Value name   | Туре    | Description  |  |
|--------------|---------|--|--|
| SO_BROADCAST | int     | Configures a socket for sending broadcast data.(Only UDP socket)   |  |
| SO_DONTROUTE | int     | Sets whether outgoing data should be sent on interface the socket is bound to and not a routed on some other interface |  |
| SO_KEEPALIVE | int     | TCP automatically sends a keep-alive probe to the peer   |  |
| SO_LINGER    | linger  | specifies how the close function operates for a connection-oriented protocol   |  |
| SO_REUSEADDR | int     | Allows the socket to be bound to an address that is already in use   |  |
| SO_RCVTIMEO  | timeval | Sets the timeout for blocking receive calls  |  |
| SO_SNDTIMEO  | timeval | Sets the timeout for blocking send calls   |  |

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# **UDP SOCKET**

#### **UDP** (User Datagram Protocol) client No reliable server No flow control data Familiar example • DNS data Streaming data Image data Postcard exchange data 11



#### recvfrom()

```
ssize_t recvfrom(int sockfd, void *buf, size_t len,
int flags, struct sockaddr *from, socklen_t *fromlen );
```

- Received data from a socket
- Parameters:
  - [IN] sockfd: the socket file descriptor
  - [OUT] buf: the buffer where the message should be stored
  - [IN] len: the size of the buffer
  - [IN] flags: how to control recvfrom function work
  - [OUT] from: the address of the sender
  - [OUT] fromlen: the size of sender's address
- Return:
  - Success: return the length of the received data in bytes. If the incoming message is too long to fit in the supplied buffer, the excess bytes shall be discarded.
  - Error: -1 and set errno to indicate the error.

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#### recvfrom()-Flags

- MSG\_PEEK: Peeks at an incoming message. The data is treated as unread and the next recvfrom() or similar function shall still return this data.
- MSG\_OOB: Requests out-of-band data. The significance and semantics of out-of-band data are protocol-specific.
- MSG\_WAITALL: On SOCK\_STREAM sockets this requests that the function block until the full amount of data can be returned, excepting:
  - · the connection is terminated
  - MSG\_PEEK was specified
  - an error is pending for the socket
  - · a signal is caught
- Use bitwise OR operator (|) to combine more than one flag

#### sendto()

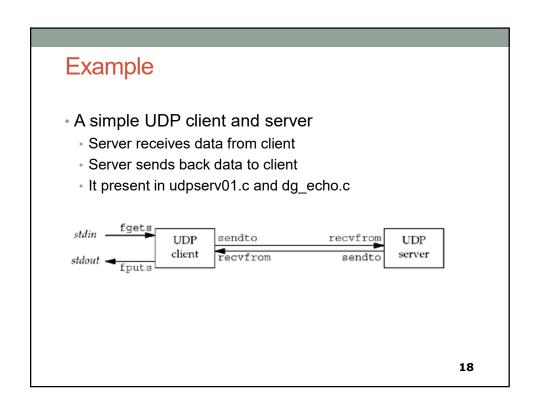
- Received data from a socket
- Parameters:
  - [IN] sockfd: the socket file descriptor
  - [IN] buf: points to a buffer containing the message to be sent
  - [IN] len: the size of the message
  - [IN] flags: how to control sendto function work
  - [IN] to: the address of the receiver
  - [IN] tolen: the length of the sockaddr structure pointed to by the to argument
- Return:
  - · Success: shall return the length of the sent message in bytes
  - Error: -1 and set **errno** to indicate the error.

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#### sendto()-Flags

- MSG\_OOB: Sends out-of-band data on sockets that support out-of-band data.
- MSG\_DONTROUTE: Don't use a gateway to send out the packet, only send to hosts on directly connected networks
- Use bitwise OR operator (|) to combine more than one flag

#### sendto() UDP socket buffer application application buffer doesn't really exist sendto() user process UDP socket buffer has kernel a send buffer size UDP socket send buffer If an application writes a datagram larger than UDP datagram the socket send buffer size, EMSGSIZE is ΙP returned IP packets output queue datalink 17



#### Example - UDP Echo Server

```
int sockfd, rcvBytes, sendBytes;
socklen t len;
char buff[BUFF_SIZE+1];
struct sockaddr in servaddr, cliaddr;
//Step 1: Construct socket
if((sockfd = socket(AF INET, SOCK DGRAM, 0)) < 0){</pre>
   perror("Error: ");
   return 0;
//Step 2: Bind address to socket
bzero(&servaddr, sizeof(servaddr));
servaddr.sin_family = AF_INET;
servaddr.sin_addr.s_addr = htonl(INADDR ANY);
servaddr.sin_port = htons(SERV_PORT);
if(bind(sockfd, (struct sockaddr *) &servaddr, sizeof(servaddr))){
  perror("Error: ");
   return 0;
printf("Server started.");
                                                               19
```

#### Example - UDP Echo Server(cont)

```
//Step 3: Communicate with client
for (;;) {
  len = sizeof(cliaddr);
  rcvBytes = recvfrom(sockfd, buff, BUFF SIZE, 0,
                       (struct sockaddr *) &cliaddr, &len);
   if(rcvBytes < 0){</pre>
      perror("Error: ");
       return 0;
  buff[recvBytes] = '\0';
  printf("[%s:%d]: %s", inet ntoa(cliaddr.sin addr),
                              ntohs(cliaddr.sin port), mesg);
  sendBytes = sendto(sockfd, buff, rcvBytes, 0,
                       (struct sockaddr *) &cliaddr, len);
   if(sendBytes < 0){</pre>
       perror("Error: ");
       return 0;
```

#### Example – UDP Echo Client

```
int sockfd, rcvBytes, sendBytes;
socklen_t len;
char buff[BUFF_SIZE+1];
struct sockaddr_in servaddr;

//Step 1: Construct socket
if((sockfd = socket(AF_INET, SOCK_DGRAM, 0)) < 0) {
   perror("Error: ");
   return 0;
}

//Step 2: Define the address of the server
bzero(&servaddr, sizeof(servaddr));
servaddr.sin_family = AF_INET;
servaddr.sin_addr = inet_aton(SERV_ADDR, &servaddr.sin_addr);
servaddr.sin_port = htons(SERV_PORT);</pre>
```

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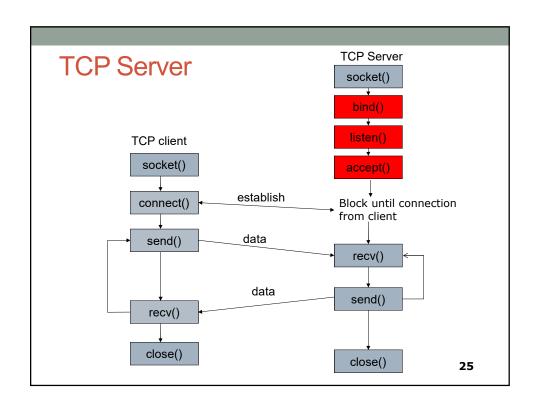
#### Example – UDP Echo Client(cont)

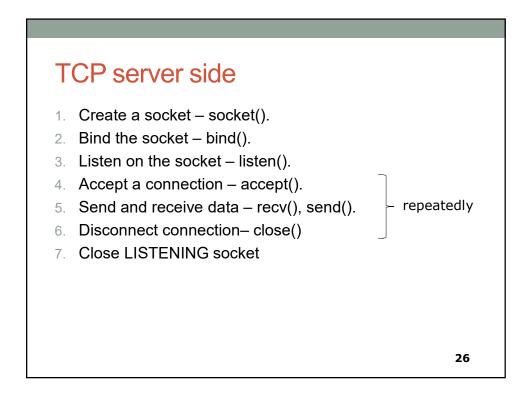
```
//Step 3: Communicate with server
printf("Send to server: ");
gets_s(buff, BUFF_SIZE);
len = sizeof(servaddr);
sendBytes = sendto(sockfd, buff, strlen(buff), 0,
                        (struct sockaddr *) &seraddr, len);
if(sendBytes < 0){</pre>
  perror("Error: ");
   return 0;
rcvBytes = recvfrom(sockfd, buff, BUFF SIZE, 0,
                       (struct sockaddr *) &seraddr, &len);
if(rcvBytes < 0){</pre>
  perror("Error: ");
   return 0;
buff[recvBytes] = ' \setminus 0';
printf("Reply from server: %s", buff);
                                                                 22
```

# TCP SOCKET

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# TCP (Transmission Control Protocol) Provide reliable client server communication Data rate control Example Mail WEB Image ACK Data





#### listen()

```
#include <sys/socket.h>
int listen(int sockfd, int backlog);
```

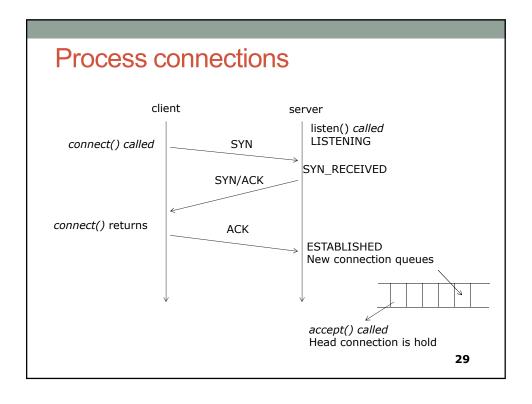
- Establish a socket to LISTENING for incoming connection.
- Parameters:
  - [IN] sockfd: a descriptor identifying a bound, unconnected socket
  - [IN] backlog:the queue length for *completely* established sockets waiting to be accepted
- Return value
  - · On success, 0 is returned
  - On error, -1 is returned, and errno is set appropriately

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#### accept()

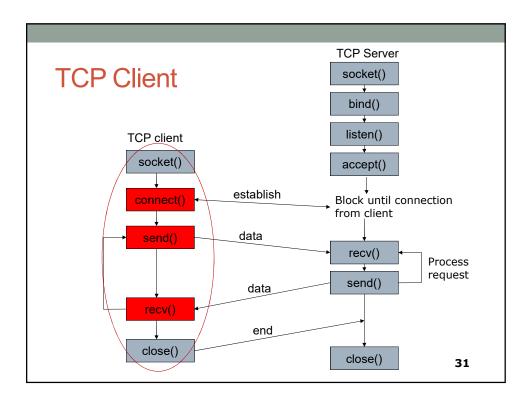
```
#include <sys/types.h>
#include <sys/socket.h>
int accept(int sockfd, struct sockaddr *addr, socklen t *addrlen);
```

- Accept an incoming connection on a LISTENING socket
- · Parameters:
  - [IN] sockfd: A descriptor identifying a socket which is listening for connections after a listen().
  - [OUT] addr: pointer to a sockaddr structure filled in with the address of the peer socket
  - [IN, OUT] addrlen: the caller must initialize it to contain the size (in bytes) of the structure pointed to by addr; on return it will contain the actual size of the peer address.
- Return value
  - Newly connected socket descriptor if no errors
  - -1 if has errors



#### **Socket Mode**

- Types of server sockets
  - Iterating server: Only one socket is opened at a time.
  - Forking server: After an accept, a child process is forked off to handle the connection.
  - Concurrent single server: use select to simultaneously wait on all open socketIds, and waking up the process only when new data arrives



#### TCP client side

- The typical TCP client's communication involves four basic steps:
  - · Create a TCP socket using socket().
  - Establish a connection to the server using connect().
  - · Communicate using send() and recv().
  - Close the connection with close().
- Why "clients" doesn't need bind()?

#### connect()

- · Connect a socket to a server
- · Parameters:
  - [IN] sockfd: A descriptor identifying an unconnected socket.
  - [IN] serv\_addr: The address of the server to which the socket is to be connected.
  - [IN] addrlen: The length of the name.
- Return value
  - If no error occurs, returns 0.
  - · Otherwise, it returns -1

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#### send()

- · Send data on a connected socket
- Parameter:
  - [IN] sockfd: a descriptor identifying a connected socket.
  - [IN] buf: points to the buffer containing the message to send.
  - [IN] len: specifies the length of the message
  - [IN] flags: specifies the type of message transmission, usually 0
- · Return value:
  - If no error occurs, send() returns the total number of characters sent
  - · Otherwise, return -1

#### send() - Flags

- MSG\_OOB: Send as "out of band" data. The receiver will receive the signal SIGURG and it can then receive this data without first receiving all the rest of the normal data in the queue.
- MSG\_DONTROUTE: Don't send this data over a router, just keep it local
- MSG\_DONTWAIT: If send() would block because outbound traffic is clogged, have it return EAGAIN. This is like a "enable non-blocking just for this send."
- MSG\_NOSIGNAL: If you send() to a remote host which is no longer recv(), you'll typically get the signal SIGPIPE. Adding this flag prevents that signal from being raised.

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#### send() - Data size is greater buffer's

```
char sendBuff[2048];
int dataLength, nLeft, idx;

// Fill sendbuff with 2048 bytes of data
nLeft = dataLength;
idx = 0;

while (nLeft > 0) {
    // Assume s is a valid, connected stream socket
    ret = send(s, &sendBuff[idx], nLeft, 0);
    if (ret == -1)
    {
        // Error handler
    }
    nLeft -= ret;
    idx += ret;
}
```

#### recv()

```
#include <sys/types.h>
#include <sys/socket.h>
ssize_t recv(int sockfd, void *buf, size_t len, int flags);
```

- Receive data on a socket
- Parameter:
  - [IN] sockfd: a descriptor identifying a connected socket.
  - [IN, OUT] buf: points to a buffer where the message should be stored
  - [IN] len: specifies the length in bytes of the buffer
  - [IN] flags: specifies the type of message reception, usually 0
- · Return value:
  - If no error occurs, returns the length of received message in bytes
  - If peer has performed an orderly shutdown, return 0
  - · Otherwise, return -1

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#### recv() - Flags

- MSG\_PEEK: Peeks at an incoming message. The data is treated as unread and the next recvfrom() or similar function shall still return this data.
- MSG\_OOB: Requests out-of-band data. The significance and semantics of out-of-band data are protocol-specific.
- MSG\_WAITALL: On SOCK\_STREAM sockets this requests that the function block until the full amount of data can be returned, excepting:
  - · the connection is terminated
  - MSG\_PEEK was specified
  - an error is pending for the socket
  - · a signal is caught
- Use bitwise OR operator (|) to combine more than one flag

#### Examp – TCP Echo Server

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#### Examp – TCP Echo Server(cont)

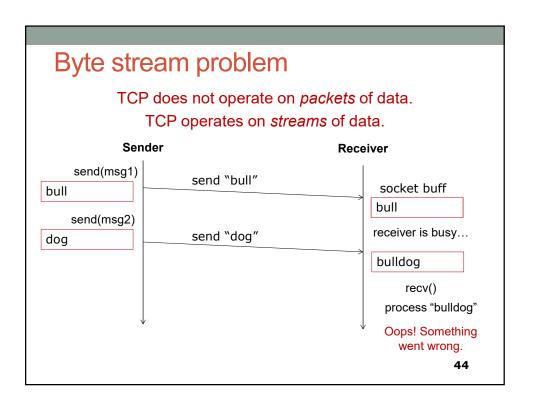
#### Examp - TCP Echo Server(cont)

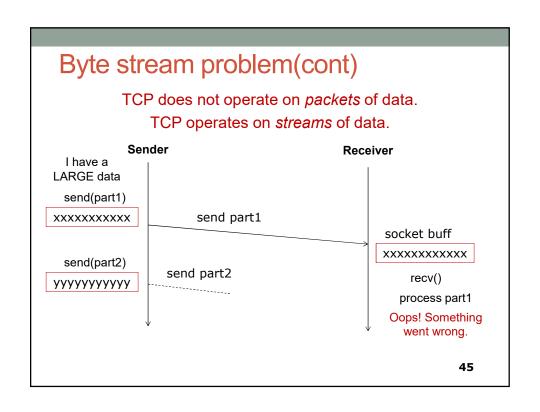
```
//receive message from client
   rcvBytes = recv(connfd, buff, BUFF SIZE, 0);
   if(rcvBytes < 0){</pre>
       perror("Error :");
  else{
       buff[rcvBytes] = '\0';
       printf("Receive from client[%s:%d] %s\n",
              inet ntoa(clientAddr.sin addr),
              ntohs(clientAddr.sin port), buff);
       sendBytes = send(connfd, buff, strlen(buff), 0);
       if(sendBytes < 0)</pre>
          perror("Error: ",);
   closesocket(connfd);
} //end while
                                                             41
```

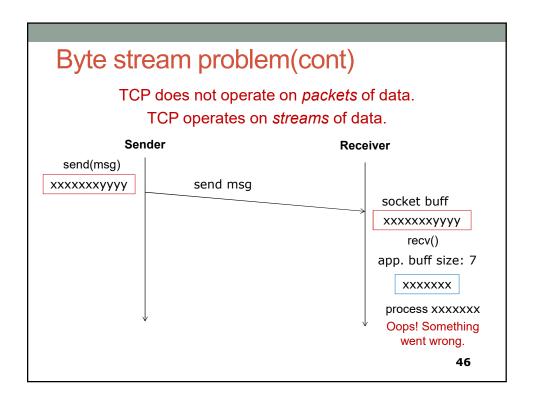
#### Examp - TCP Echo Client

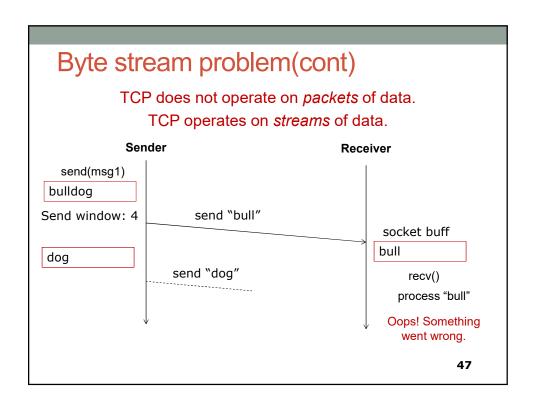
```
int clientfd;
char buff[BUFF_SIZE+1];
struct sockaddr_in servaddr;
//Step 1: Construct socket
clientfd = socket(AF INET, SOCK STREAM, 0);
//Step 2: Specify server's address
bzero(&servaddr, sizeof(servaddr));
servaddr.sin_family = AF_INET;
servaddr.sin_addr.s_addr = htonl(SERV_ADDR);
servaddr.sin port = htons(SERV PORT);
//Step 4: Connect server
if(connect(clientfd, (sockaddr *) &serverAddr,
                            sizeof(serverAddr))){
  perror("Error: ");
  return 0;
```

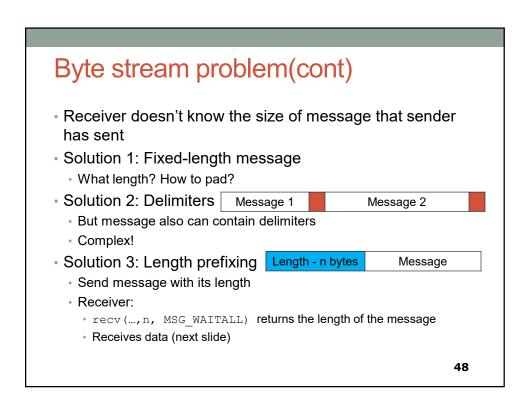
```
Examp - TCP Echo Client(cont)
//Step 5: Communicate with server
char buff[BUFF SIZE];
int ret;
//Send message
printf("Send to server: ");
gets_s(buff, BUFF_SIZE);
ret = send(clientfd, buff, strlen(buff), 0);
if(ret < 0){
  perror("Error: ");
   return 0;
//Receive echo message
ret = recv(clientfd, buff, BUFF_SIZE, 0);
if(ret < 0){
  perror("Error: ");
  return 0;
printf("Receive from server: %s\n", buff);
close(clientfd);
return 0;
                                                         43
```











#### Byte stream problem(cont)

```
recvBuff[BUFF SIZE], *data;
char
int
       ret, nLeft;
nLeft = msgLength; //length of the data needs to be received
data = (char *) malloc(msgLength);
memset(data, 0, msgLength)
idx = 0;
while (nLeft > 0)
  ret = recv(s, &recvBuff, BUFF_SIZE, 0);
  if (ret == -1) {
      // Error handler
      break;
  idx += ret;
  memcpy(data + idx, recvBuff, ret)
  nLeft -= ret;
```

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#### connect() with UDP

- If server isn't running, the client blocks forever in the call to recvfrom() → asynchronous error
- Use connect() for a UDP socket
  - · But it's different from calling connect() on a TCP socket
  - Calling connect() on a UDP socket doesn't create a connection
  - The kernel just checks for any immediate errors and returns immediately to the calling process
- We do not use sendto(), but write() or send() instead
- We do not need to use recvfrom() to learn the sender of a datagram, but read(), recv() instead
- Asynchronous errors are returned to the process for connected UDP sockets

# Example

```
int n;
char sendline[MAXLINE], recvline[MAXLINE + 1];
struct sockaddr_in servaddr;
connect(sockfd, (struct sockaddr *) &servaddr, servlen);
while (fgets(sendline, MAXLINE, fp) != NULL) {
    send(sockfd, sendline, strlen(sendline));
    n = recv(sockfd, recvline, MAXLINE);
    recvline[n] = 0; /* null terminate */
    printf("%s", recvline);
}
```

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# APPLICATION PROTOCOL DESIGN

#### **Protocol**

- · Set of rules:
  - Message format
  - Message sequence
  - · Process message
- Goals
  - Everyone must know
  - Everyone must agree
  - Unambiguous
  - Complete

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#### Example: POP session

```
C: <client connects to service port 110>
S: +OK POP3 server ready <1896.6971@mailgate.dobbs.org>
C: USER bob
S: +OK bob
C: PASS redqueen
S: +OK bob's maildrop has 2 messages (320 octets)
C: LIST
S: +OK 2 messages (320 octets)
S: 1 120
S: 2 200
S: .
C: QUIT
S: +OK dewey POP3 server signing off (maildrop empty)
C: <client hangs u>
```

#### **Example: FTP authentication**

```
> ftp 202.191.56.65
C: Connected to 202.91.56.65
S: 220 Servers identifying string
User: tungbt (C: USER tungbt)
S: 331 Password required for tungbt
Password: (C: PASS)
S: 530 Login incorrect
C: 1s
S: 530 Please login with USER and PASS
C: USER tungbt
S: 331 Password required for tungbt
Password: (C: PASS)
S: 230 User tungbt logged in
```

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# Steps in design

- 1. Define services
- 2. Choose application model(client/server, P2P,...)
- 3. Establish the design goals
- 4. Design the message structure: format, fields, types of messages, encoding, ...
- 5. Protocol processing
- 6. Interaction with environment (DNS, DHCP...)

#### **Design Goals**

- Do we need reliable exchanges?
- How many types of parties are involved? Can they all communicate to each other?
- Is the authentication of parties needed
- How important is the authentication of parties?
- Is the transferred data confidential? What degree of authorization is needed?
- Do we need complex error handling?

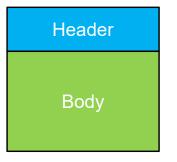
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#### **Design Issues**

- Is it to be stateful vs stateless?
- Is the transport protocol reliable or unreliable?
- Are replies needed?
  - · How to respond to lose replies?
- Is it to be broadcast, multicast or unicast?
  - · Boadrcast, multicast: must use UDP Socket
- Are there multiple connection?
  - How to syncronize?
- How many types of parties are involved? Can they all communicate to each other?
- Session management
- Security: authentication, authorization, confidential...

#### Designing the Message

- Header: contains structured fields describing the actual data in the message, such as
  - message type
  - command
  - body size
  - recipient information
  - sequence information
  - · retransmission count...
- Body: the actual data to be transmitted:
  - · the command parameters
  - · the data payload



#### The simplest formats:

- Type Length Value(TLV)
- Type Value

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#### **Control Messages**

- Define the stages of the dialogue between the parties
- Control the dialogue between the parties Address various communication aspects:
  - · communication initiation or ending
  - describe the communication stage (e.g. authentication, status request, data transfer)
  - coordination (e.g. receipt confirmation, retry requests)
  - resource changes (e.g. requests for new communication channels)
- Usual format: | Command | Parameter
  - · Command: SHOULD has fix length or use delimiter
  - Example: USER, PASS, PWD (FTP),

#### Data transfer

- Messages that carry data over the network
- They are usually sent as a responses to specific commands
- Data is usually fragmented in multiple messages
- Header describe:
  - · the type of the binary data format
  - clues for the layout of the structured data (when the structure is flexible/dynamic)
  - data size, offset or sequence information
  - type of the data block: last / intermediary

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# Message Format

#### Byte oriented

- The first part of the message is typically a byte to distinguish between message types.
- Further bytes in the message would contain message content according to a pre-defined format
- Advantages: compactness
- Disadvantages: harder to process, debug or test
- Example: DHCP, DNS

#### **Data Format**

#### Text-oriented

- A message is a sequence of one or more lines
- The start of the first line of the message is typically a word that represents the message type.
- The rest of the first line and successive lines contain the data.
- Advantage:
  - easy to understand, monitor
  - flexible
  - easy to test

- Disadvantage
  - · may make the messages unjustifiably large
  - · may become complex

· Example: HTTP, FTP, email protocols

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#### **Protocol Processing**

- Describe the sequences of messages, at each and all the stages in the of each communication scenario, for all parties in the system
- Finite State Machine is mandatory:

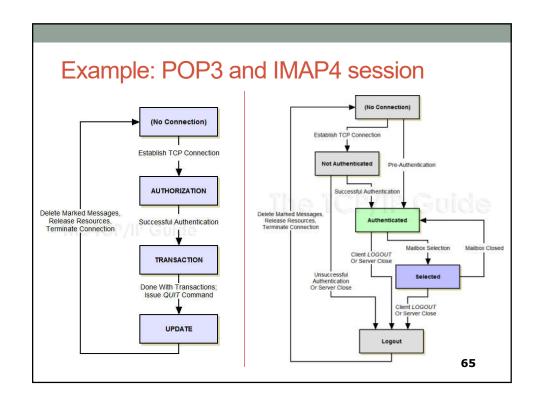
State:

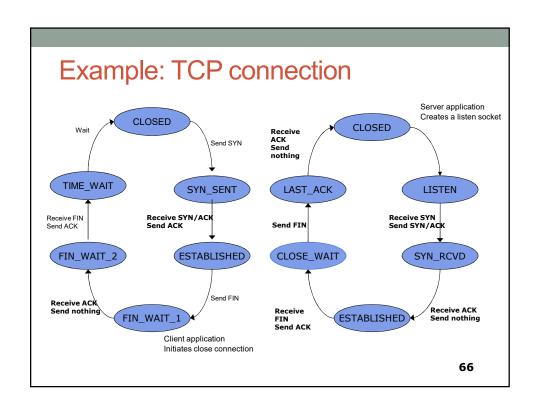
Trigger[Guard]/[Effect] Transaction:

Choose:

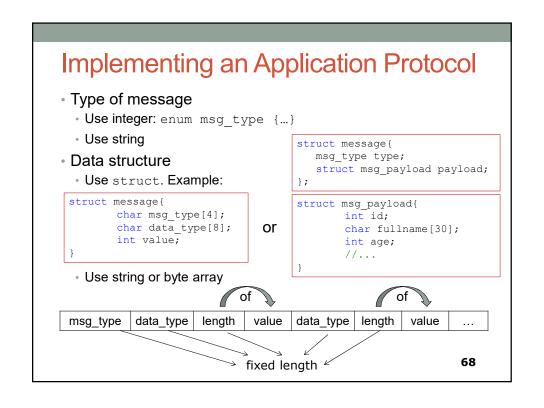
And/ Or use state Table

| Current state | Trans   | cation | Next state |
|---------------|---------|--------|------------|
|               | Receive | Send   |            |
|               |         |        |            |





#### Message Transaction Diagram POP3 Client POP3 Server · Represents the 1. Request Mailbox Sta sequence of 2. Receive STAT; Send +OK Reply, Mailbox Size and Number of Messages message transaction 3. Receive Statistics; Reques List of Messages In Mailbo Example: POP3 1. Receive *LIST;* Send +*OK* Reply, List of Message Numbers and Sizes 6. Receive RETR; Send +OK Reply, Then Message Text 7. Receive Successful Reply Delete Message 1 DELE 1 B. Receive DELE; Mark Message For Deli Send +OK Reply 10. Receive RETR; Send +OK Reply, Then Message Text 11. Receive Successful Reply Delete Message 2 12. Receive *DELE*; Mark Message For Deletion, Send +OK Reply 67



# Implementing an Application Protocol

Message handler(pseudo code)