



CS 3110

Proofs about Programs, part II

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Today's scene: One Ring Donuts

Review

Previously in 3110:

- Proofs about programs
- Equational reasoning

Today:

- Induction on natural numbers, lists, trees
- Proofs about recursive functions on those types
- Algebraic specifications of data structures

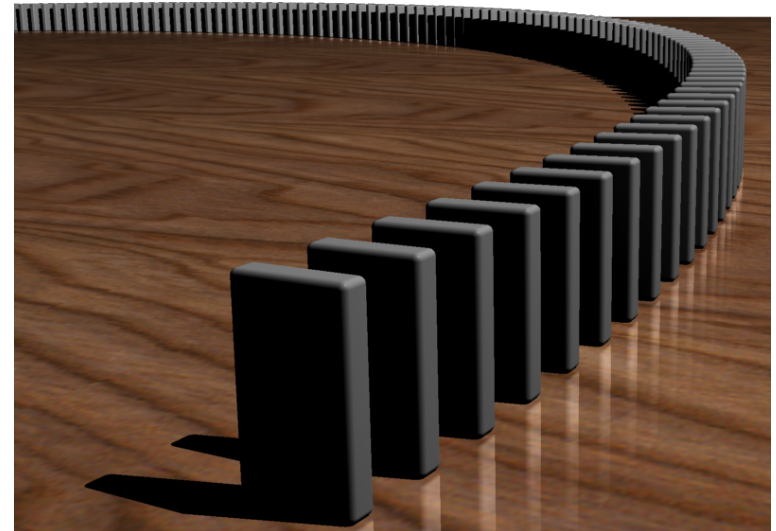
Induction principle on naturals

forall properties P ,

if $P(0)$

and (forall k , $P(k)$ implies $P(k+1)$)

then (forall n , $P(n)$)



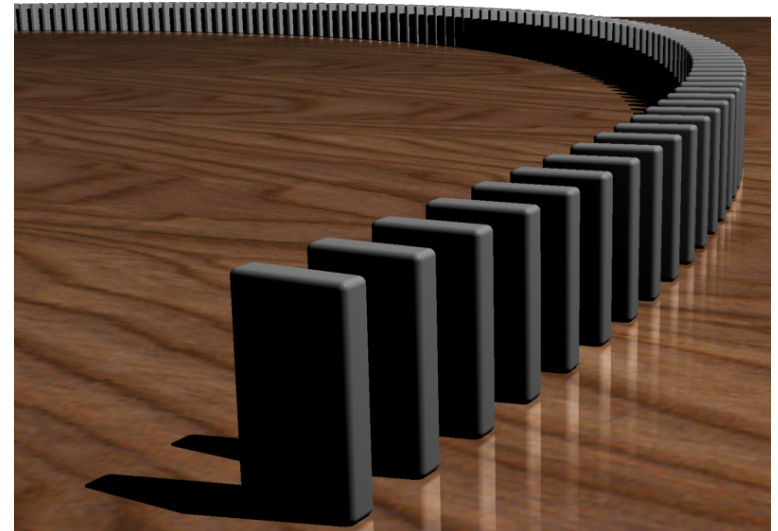
Induction principle on lists

forall properties P ,

if $P([])$

and (forall $h\ t$, $P\ t$ implies $P\ (h :: t)$)

then (forall lst , $P\ lst$)



Induction on lists

Theorem: forall lst, P(lst).

Proof: by induction on lst

Base case: lst = []

Show: P([])

Inductive case: lst = h :: t

IH: P(t)

Show: P(h :: t)

QED

Example: map

Theorem: forall f g,

$$(\text{map } f) << (\text{map } g) = \text{map } (f << g)$$

```
let rec map f = function
| [] -> []
| h :: t -> f h :: map f t
```

```
let compose f g x = f (g x)
```

```
let (<<) = compose
```

Binary trees

```
type 'a tree =  
  | Leaf  
  | Node of 'a tree * 'a * 'a tree
```

Induction principle on trees

for all properties P ,

if $P(\text{Leaf})$

and (for all $v \mid r$,

$(P(l) \text{ and } P(r)) \text{ implies } P(\text{Node}(l, v, r))$)

then for all t , $P(t)$



Induction on trees

Theorem: for all t , $P(t)$.

Proof: by induction on t

Case: $n = \text{Leaf}$

Show: $P(\text{Leaf})$

Case: $n = \text{Node } (l, v, r)$

IH1: $P(l)$

IH2: $P(r)$

Show: $P(\text{Node } (l, v, r))$

QED

Example: leaves and nodes

```
let rec nodes = function  
  | Leaf -> 0  
  | Node (l, _, r) ->  
    1 + nodes l + nodes r
```

```
let rec leaves = function  
  | Leaf -> 1  
  | Node (l, _, r) ->  
    leaves l + leaves r
```

Theorem: for all t , $\text{leaves } t = 1 + \text{nodes } t$

What induction principles tell us about

INDUCTION VS. RECURSION

Induction vs. recursion

Inductive proofs are like recursive programs

	Proofs	Programs
Per constructor...	One proof case	One pattern-matching branch
On smaller value...	Use IH	Make recursive call


PART II: ALGEBRAIC SPECIFICATIONS

Stack

```
module type Stack = sig
  type 'a t
  val empty      : 'a t
  val is_empty   : 'a t -> bool
  val peek       : 'a t -> 'a
  val push       : 'a -> 'a t -> 'a t
  val pop        : 'a t -> 'a t
end
```

Specification comment

```
(** [push x s] is the stack [s]  
    with [x] pushed on the top *)  
val push : 'a -> 'a stack -> 'a stack
```



Not suitable for
verification: no
equational proof
suggested by spec

Equational specification

aka *algebraic specification*

1. `is_empty empty = true`

2. `is_empty (push x s) = false`

3. `peek (push x s) = x`

4. `pop (push x s) = s`

Every equation shows how to simplify an expression

Simplification

peek (pop (push 1 (push 2 empty)))
= { simplify pop/push with eq 4 }
peek (push 2 empty)
= { simplify peek/push with eq 3 }
2

Algebraic specification

$$(a + b) + c = a + (b + c)$$

$$a + b = b + a$$

$$a + 0 = a$$

$$a + (-a) = 0$$

$$(a * b) * c = a * (b * c)$$

$$a * b = b * a$$

$$a * 1 = a$$

$$a * 0 = 0$$

$$a * (b + c) = a * b + a * c$$

Stack implementation, as list

```
module Stack = struct
  type 'a t = 'a list
  let empty = []
  let is_empty s = (s = [])
  let peek = List.hd
  let push = List.cons
  let pop = List.tl
end
```

All of our equations hold simply “by evaluation” for this impl.

Example proof: eq 4

```
pop (push x s)
=    { eval push and pop }
    tl (x :: s)
=    { eval tl }
    s
```

DESIGNING EQUATIONS

Canonical form

canonical: conforming to some rule

Only build up structure

- Not canonical: **pop** (**push** 1 (**push** 2 **empty**))
- Canonical: **push** 2 **empty**

Every value of data structure can be created solely with operations that create canonical forms

Categories of operations

- Generator: create canonical form
- Manipulator: create non-canonical form
- Query: create value of different type

Stack

```
module type Stack = sig
  type 'a t
  val empty      : 'a t
  val is_empty   : 'a t -> bool
  val peek       : 'a t -> 'a
  val push       : 'a -> 'a t -> 'a t
  val pop        : 'a t -> 'a t
end
```

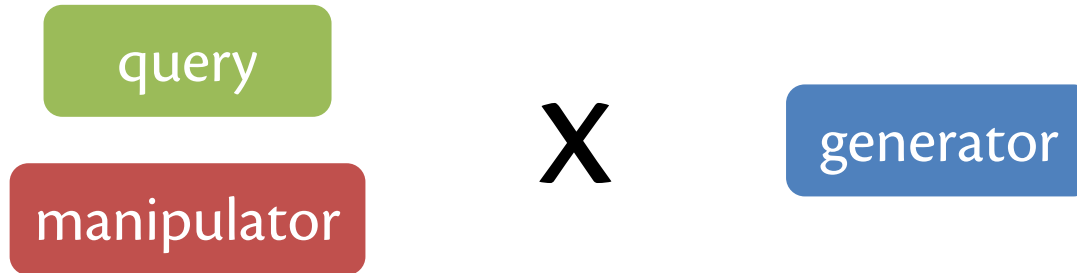
generator

query

generator

manipulator

Designing equations



```
is_empty empty = true
is_empty (push x s) = false
peek (push x s) = x
pop (push x s) = s
```

Note what's missing: `peek empty`, `pop empty`

SETS

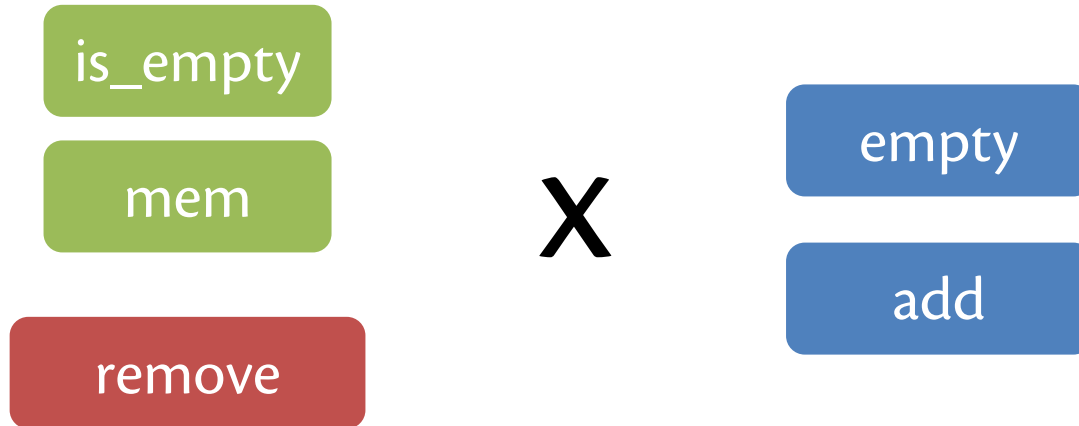
Sets

```
module type Set = sig
  type 'a t
  val empty : 'a t
  val is_empty : 'a t -> bool
  val add : 'a -> 'a t -> 'a t
  val mem : 'a -> 'a t -> bool
  val remove : 'a -> 'a t -> 'a t
end
```

Sets

```
module type Set = sig
  type 'a t
  val empty : 'a t
  val is_empty : 'a t -> bool
  val add : 'a -> 'a t -> 'a t
  val mem : 'a -> 'a t -> bool
  val remove : 'a -> 'a t -> 'a t
end
```

Designing equations



Equational specification

- `is_empty empty` = true
- `is_empty (add x s)` = false
- `mem x empty` = false
- `mem y (add x s)` = true if $x = y$
- `mem y (add x s)` = `mem y s` if $x \neq y$
- `remove x empty` = empty
- `remove y (add x s)` = `remove y s` if $x = y$
- `remove y (add x s)` = `add x (remove y s)` if $x \neq y$

RHS of eqn applies non-generator to
smaller input than LHS

Upcoming events

- [Friday]: Project MS2 due on CMS
- [Monday/Tuesday]: Project MS2 demos

This is verified.

THIS IS 3110