

# Chapter 3 线性映射

$F$ 表示 $R \vee C.V$ ,  $W$ 表示 $F$ 上的向量空间

## 1 向量空间的线性映射

定义 1.1. 线性映射(linear map):

从 $V$ 到 $W$ 的线性映射是一个函数 $T: V \rightarrow W$ :

加性(additivity)  $\forall u, v \in V \rightarrow T(u + v) = T(u) + T(v)$

齐性(homogeneity)  $\forall \lambda \in F, \forall v \in V \rightarrow T(\lambda v) = \lambda T(v)$

$V \rightarrow W$ 的所有线性映射的集合记为 $\mathcal{L}(V, W)$

例 1.2. 一些线性映射

1. 零(zero):  $\mathbf{0} \in \mathcal{L}(V, W), \mathbf{0}(v) = 0_w$
2. 恒等(identity):  $I \in \mathcal{L}(V, V), I(v) = v$
3. 微分(differentiation):  $D \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)): D(p) = p'$
4. 积分(integration):  $T \in \mathcal{L}(\mathcal{P}(R), R), T(p) = \int_0^1 p(x) dx$
5. 乘以 $x^2$ :  $T \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)), T(p) = x^2 p(x)$
6. 向后移位:  $T \in \mathcal{L}(F^\infty, F^\infty), T(x_1, x_2, \dots) = (x_2, x_3, \dots)$
7.  $R^3 \rightarrow R^2$ :  $T \in \mathcal{L}(R^3, R^2), T(x, y, z) = (2x - y + 3z, 7x + 5y - 6z)$
8.  $F^n \rightarrow F^m$ :  $T \in \mathcal{L}(F^n, F^m), T(\mathbf{x}) = (\sum a_{1i} x_i, \sum a_{2i} x_i, \dots, \sum a_{mi} x_i)$

定理 1.3. 线性映射与定义域的基

$\mathbf{v}$ 是 $V$ 的基,  $\mathbf{w} \in W \rightarrow$  存在唯一线性映射 $T: V \rightarrow W, T(\mathbf{v}) = \mathbf{w}$

证明.

$T: V \rightarrow W, \forall c_1, \dots, c_n \in F, T(\sum c_i v_i) = \sum (c_i w_i)$       构造性证明  
 $\text{span}(\mathbf{v}) = V \rightarrow$  定义域满足函数定义  
对于每个 $c_i = 1$ , 其他 $c_j = 0$ 时  $\rightarrow T(v_i) = w_i$

$$\begin{aligned} T(u + v) &= T(\sum (a_i + b_i) v_i) \\ &= \sum (a_i + b_i) w_i \\ &= \sum a_i w_i + \sum b_i w_i \\ &= T(u) + T(v) \end{aligned}$$

$$\begin{aligned} \forall \lambda \in F, T(\lambda v) &= T(\sum \lambda a_i v_i) \\ &= \sum \lambda a_i w_i \\ &= \lambda \sum a_i w_i \\ &= \lambda T(v) \\ &\rightarrow T \text{是线性映射} \end{aligned}$$

□

$T \in \mathcal{L}(V, W), T(v_i) = w_i, \forall \mathbf{c} \in F$       唯一性  
 $T(c_i v_i) = c_i T(v_i)$       这里指的是单值函数  
 $T(\sum c_i v_i) = \sum c_i w_i$   
 $\forall v \in V, v$ 都能被 $\sum c_i v_i$ 唯一表示  $\rightarrow T$ 在 $v$ 上定义完全  
 $\rightarrow \forall v \in V, T(v)$ 唯一

定义 1.4.  $\mathcal{L}(V, W)$  上的加法和标量乘法

$$\begin{aligned} \text{加法} \quad S, T \in \mathcal{L}(V, W), (S+T)(x) &= S(x) + T(x) \\ \text{标量乘法} \quad \forall \lambda \in F, T \in \mathcal{L}(V, W), (\lambda T)(x) &= \lambda T(x) \end{aligned}$$

定理 1.5.  $\mathcal{L}(V, W)$  是线性空间:

$$\begin{aligned} (S+T)(x+y) &= S(x+y) + T(x+y) \\ &= Sx + Sy + Tx + Ty \\ &= Sx + Tx + Sy + Ty \\ &= (S+T)(x) + (S+T)(y) \\ (\lambda T)(ax) &= \lambda T(ax) \\ &= \lambda (aT(x)) \\ &= a(\lambda T(x)) \\ &= a(\lambda T)(x) \end{aligned}$$

定义 1.6.  $\mathcal{L}(V, W)$  上的乘法

$T \in \mathcal{L}(U, V), S \in \mathcal{L}(V, W)$ : 定义  $ST, (ST)(x) = S(T(x))$

定理 1.7.  $T \in \mathcal{L}(U, V), S \in \mathcal{L}(V, W) \rightarrow ST \in \mathcal{L}(U, W)$

证明.

$$\begin{aligned} (ST)(x+y) &= S(T(x+y)) \\ &= S(T(x) + T(y)) \\ &= S(T(x)) + S(T(y)) \\ &= (ST)(x) + (ST)(y) \\ ST(\lambda x) &= S(T(\lambda x)) \\ &= S(\lambda T(x)) \\ &= \lambda S(T(x)) \\ &= \lambda(ST)(x) \end{aligned}$$

□

定理 1.8. 线性映射乘法的性质

$$\begin{aligned} \text{结合律(associativity)} \quad (T_1 T_2) T_3 &= T_1 (T_2 T_3) \\ \text{单位元(identity)} \quad \exists I \in \mathcal{L}(U, U) \rightarrow TI &= T, \exists I \in \mathcal{L}(V, V): IT = T \\ \text{分配性质(distributive)} \quad (S_1 + S_2)T &= S_1 T + S_2 T \\ T \in \mathcal{L}(U, V), S \in \mathcal{L}(V, W) \quad S(T_1 + T_2) &= ST_1 + ST_2 \end{aligned}$$

例 1.9.  $D \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)), D(p) = p'$ ;  $T \in \mathcal{L}(\mathcal{P}(R), \mathcal{L}(\mathcal{P}(R))), T(p) = x^2 p \rightarrow TD \neq DT$

证明.

$$\begin{aligned} TD(p) &= T(p') = x^2 p' \\ DT(p) &= D(x^2 p) = x^2 p' + 2xp \end{aligned}$$

□

定理 1.10.  $T \in \mathcal{L}(U, V), T(0) = 0$

证明.  $T(0) = T(0+0) = T(0) + T(0) \rightarrow 0 = T(0)$

□

## 习题3.A

1. Proof:  $b, c \in R, T: R^3 \rightarrow R^2, T(x, y, z) = (2x - 4y + 3z + b, 6x + cxyz), T \in \mathcal{L}(R^3, R^2) \Leftrightarrow b = c = 0$

$$\begin{aligned}
 & T \in \mathcal{L}(R^3, R^2) \\
 \rightarrow & T((a_1, b_1, c_1) + (a_2, b_2, c_2)) = T(a_1, b_1, c_1) + T(a_2, b_2, c_2) \\
 & T(\lambda(a, b, c)) = \lambda T(a, b, c) \\
 & 2a_1 - 4b_1 + 3c_1 + b + 2a_2 - 4b_2 + 3c_2 + b \\
 & = 2(a_1 + a_2) - 4(b_1 + b_2) + 3(c_1 + c_2) + b \\
 \rightarrow & 2b = b \rightarrow b = 0 \\
 & 6a_1 + ca_1b_1c_1 + 6a_2 + ca_2b_2c_2 \\
 & = 6(a_1 + a_2) + c(a_1 + a_2)(b_1 + b_2)(c_1 + c_2) \\
 & = 6a_1 + 6a_2 + c(\sum a_i \sum b_j \sum c_k) \\
 \rightarrow & c(a_1b_1c_2 + a_1b_2c_1 + a_2b_1c_1 + a_1b_2c_2 + a_2b_1c_2 + a_2b_2c_1) = 0 \\
 \rightarrow & c = 0 \\
 & 6\lambda a_1 + c\lambda a_1 \lambda b_1 \lambda c_1 = \lambda(6a_1 + ca_1b_1c_1) \\
 & c\lambda^3 = c\lambda \rightarrow c = 0 \\
 \rightarrow & c = 0
 \end{aligned}$$

2. Proof:  $b, c \in R, T(\mathcal{P}(R), R^2), T(p) = (3p(4) + 5p'(6) + bp(1)p(2), \int_{-1}^2 x^3 p(x) dx + c \sin(p(0)))$ .

$$T \in \mathcal{L}(\mathcal{P}(R), R^2) \Leftrightarrow b = c = 0$$

$$\begin{aligned}
 & p_1, p_2 \in \mathcal{P}(R) \\
 & T(p_1 + p_2) = 3(p_1 + p_2)(4) + 5(p_1 + p_2)'(6) + b(p_1 + p_2)(1)(p_1 + p_2)(2) \\
 & T(p_1) + T(p_2) = 3p_1(4) + 5p_1'(6) + bp_1(1)p_1(2) + 3p_2(4) + 5p_2'(6) + bp_2(1)p_2(2) \\
 & 3(p_1 + p_2)(4) = 3p_1(4) + 3p_2(4) \\
 & 5(p_1 + p_2)' = 5(p_1' + p_2') = 5p_1'(6) + 5p_2'(6) \\
 & b(p_1 + p_2)(1)(p_1 + p_2)(2) = bp_1(1)p_1(2) + bp_2(1)p_2(2) \\
 & b(p_1(1) + p_2(1))(p_1(2) + p_2(2)) = b(p_1(1)p_1(2) + p_1(1)p_2(2) + p_2(1)p_1(2) + p_2(1)p_2(2)) \\
 \rightarrow & b(p_1(1)p_2(2) + p_2(1)p_1(2)) = 0 \\
 \rightarrow & b = 0
 \end{aligned}$$

$$\begin{aligned}
 & T(ap) = \int_{-1}^2 x^3 ap(x) dx + c \sin(ap(0)) \\
 & aT(p) = a(\int_{-1}^2 x^3 p(x) dx + c \sin(p(0))) \\
 \rightarrow & c \sin(ap(0)) = ac \sin(p(0)) \\
 \rightarrow & c = 0
 \end{aligned}$$

3. Proof:  $T \in \mathcal{L}(F^n, F^m) \rightarrow \exists a_{i,j} \in F, \forall (x_1, \dots, x_n) \in F^n$

$$T(x_1, \dots, x_n) = (\sum_i a_{1,i} x_i, \dots, \sum_i a_{n,i} x_i)$$

$$T \in \mathcal{L}(F^n, F^m) \rightarrow T(x + y) = T(x) + T(y), T(\lambda x) = \lambda T(x)$$

书上的例子大概是证明空间  $F^n$  的所有元素都存在一个唯一的线性组合表示  
???

4. Proof:  $T \in \mathcal{L}(V, W), v_1, \dots, v_n \in V, Tv_1, \dots, Tv_n \in W$  线性无关  $\rightarrow v_1, \dots, v_n$  线性无关

$$Tv_1, \dots, Tv_n \text{ 线性无关} \rightarrow \lambda_1 T(v_1) + \dots + \lambda_n T(v_1) = 0 \rightarrow \lambda_i = 0$$

$$T(\lambda_1 v_1) + \dots + T(\lambda_n v_n) = 0 \rightarrow \lambda_i = 0$$

$$T(\lambda_1 v_1 + \dots + \lambda_n v_n) = 0 \rightarrow \lambda_i = 0$$

$$T(0) = 0 \rightarrow v_1, \dots, v_n \text{ 有可能线性无关。}$$

$$\text{差任意 } T(x \neq 0) \neq 0$$

$$\exists a_i \neq 0, T(a_1 v_1 + \dots + a_n v_n) =$$

$$a_1 T(v_1) + \dots + a_n T(v_n)$$

$$T(v) \text{ 线性无关} \rightarrow T(v_i) \neq 0$$

$$a_i \neq 0 \rightarrow T(a_1 v_1 + \dots + a_n v_n) \neq 0 \rightarrow \text{只有 } 0 \text{ 使得 } T(0) = 0$$

$$\rightarrow v_1, \dots, v_n \text{ 线性无关}$$

5. Proof:  $\mathcal{L}(V, W)$ 是向量空间

$$\begin{aligned}
& \forall f, g \in \mathcal{L}(V, W). f + g = f(x) + g(x) = g(x) + f(x) = g + f && \text{交换律} \\
& \forall f, g, h \in \mathcal{L}(V, W, X). (f + g) + h = f + (g + h) && \text{结合律} \\
& \forall \alpha, \beta \in F, (\alpha\beta)f = \alpha(\beta f) \\
& f(V) \rightarrow 0: && \text{加法0} \\
& \forall x, y \in V \rightarrow f(x + y) = 0 = 0 + 0 = f(x) + f(y) \\
& f(ax) = 0 = a0 = a(f(x)) \\
& \rightarrow f \in \mathcal{L}(V, W) \\
& f + g = 0 + g(x) = g(x) \\
& \forall f \in \mathcal{L}(V, W), g = -(f(x)) && \text{加法} - 1 \\
& g(x + y) = -f(x + y) = -f(x) - f(y) = g(x) + g(y) \\
& g(ax) = -f(ax) = a(-f(x)) = ag(x) = ag \\
& \rightarrow g \in \mathcal{L}(V, W) \\
& (f + (-f))(x) = f(x) + -f(x) = 0 \\
& I_W(w) = w. I_W \in \mathcal{L}(V, W) && \text{数乘1} \\
& \forall f \in \mathcal{L}(V, W), (I_W f)(x) = I_W(f(x)) = I_W(w) = w = f(x) = f \\
& a(f + g)(x) = a(f(x) + g(x)) = af(x) + ag(x) = a(f(x)) + a(g(x)) && \text{分配律} \\
& (a + b)f = (a + b)f(x) = af(x) + bf(x) = af + bf \\
& \rightarrow \mathcal{L}(V, W) \text{是向量空间}
\end{aligned}$$

6. Proof: 1.8

$$\begin{aligned}
& \forall T_1 \in \mathcal{L}(U, V), \forall T_2 \in \mathcal{L}(V, W), \forall T_3 \in \mathcal{L}(W, S) \quad \text{结合律} \\
& (T_1 T_2) T_3 = (T_1(T_2))(T_3(x)) \\
& = T_1(T_2(T_3(x))) \\
& T_1(T_2 T_3) = T_1(T_2(T_3(x))) && \text{左结合} \\
& \forall T \in \mathcal{L}(U, V), I_U(x) \rightarrow x && \text{单位元} \\
& I_U(x + y) = x + y = I_U(x) + I_U(y) \\
& I_U(\lambda x) = \lambda x = \lambda(I_U(x)) \\
& \rightarrow I_U \in \mathcal{L}(U, U) \\
& \forall u \in U, UI = U(I(u)) = u \\
& I_V(x) \rightarrow x, I_V \in \mathcal{L}(V, V) \\
& IU(u) = I(U(u)) = I(w) = w = U(u)
\end{aligned}$$

7. Proof:  $\dim V = 1 \wedge T \in \mathcal{L}(V, V), \exists \lambda \in F \rightarrow \forall v \in V, Tv = \lambda v$

$$\begin{aligned}
& \dim V = 1 \rightarrow \exists b \in V, \forall v \in V, \exists \lambda \rightarrow \lambda b = v \\
& T \in \mathcal{L}(V, V). T(x + y) = T(x) + T(y) \\
& T(x + y) = T(\lambda_1 b + \lambda_2 b) = \lambda_1 T(b) + \lambda_2 T(b) \\
& = (\lambda_1 + \lambda_2)T(b) \\
& T((\lambda_1 + \lambda_2)b) = (\lambda_1 + \lambda_2)T(b) \\
& T(\lambda b) = \lambda T(b) \\
& T(b) \in V \rightarrow T(b) = \mu b \\
& ???
\end{aligned}$$

8. Example:  $\varphi: R^2 \rightarrow R, \forall a \in R, \forall v \in R^2 \rightarrow \varphi(av) = a\varphi(v) \wedge \varphi \notin \mathcal{L}(R^2, R)$

$$\begin{aligned}
& \varphi(\lambda x, \lambda y) = \lambda \varphi(x, y) \\
& \varphi(x_1 + x_2, y_1 + y_2) \neq \varphi(x_1, y_1) + \varphi(x_2, y_2) \\
& \varphi(x, y) = x, x \geq 0; -x, x < 0. && \text{由于标乘} \\
& && \text{构造不同斜率的区域} \\
& \varphi(ax, ay) = ax, x \geq 0; -ax, x < 0 \\
& = \alpha \varphi(x, y) \\
& \varphi(x_1 + x_2, y_1 + y_2) = (x_1 + x_2), x_1 + x_2 \geq 0; -(x_1, x_2), (x_1 + x_2) < 0 \\
& x_1 = 1, x_2 = -2 \rightarrow x_1 + x_2 = -1 < 0 \rightarrow \varphi(x_1 + x_2) = 1 \\
& \varphi(1, y) + \varphi(-2, y) = 1 + 2 = 3 \neq 1 \\
& \varphi(z) = |z| && \text{复空间}
\end{aligned}$$

9. Example:  $\varphi: C \rightarrow C, \forall x, y \in C \rightarrow \varphi(x+y) = \varphi(x) + \varphi(y) \wedge \varphi \notin \mathcal{L}(C, C)$

$$\begin{aligned}\varphi(x+y) &= \varphi(x) + \varphi(y) \\ \varphi(ax) &\neq a\varphi(x) \\ &???\end{aligned}$$

各向异性

Remark:  $R^R$ 里也有这样的函数。不过目前不足证明它存在... 直觉yyds

10. Proof:  $U \subset V \wedge U \neq V, S \in \mathcal{L}(U, W) \wedge S \neq 0$

$$\begin{aligned}T: V \rightarrow W \quad T(u) &= S(u) \quad u \in U \\ &= 0 \quad u \in V \wedge u \notin U\end{aligned}$$

Proof:  $T \notin \mathcal{L}(V, W)$

$$\begin{aligned}\forall v \in V, v &= au + bw \\ T(v) &= T(au + bw), b \neq 0 \rightarrow au + bw \notin U \rightarrow T(au + bw) = 0 \\ T(au) + T(bw) &= T(au). a \neq 0 \rightarrow \exists a \rightarrow S(au) \neq 0 \\ 0 &= T(au + bw) \neq T(au) + T(bw) \neq 0\end{aligned}$$

这 $a, b, w, u$ 全是向量

11. Proof:  $\dim V < \infty, U \subset V, S \in \mathcal{L}(U, W) \rightarrow \exists T \in \mathcal{L}(V, W), \forall u \in U, T(u) = S(u)$

$$\begin{aligned}\forall v \in V, v &= au + bw \\ T(v) &= T(au + bw) \\ &= T(au) + T(bw) \\ \forall \mu \in U, \mu &= au \rightarrow T(au) = S(\mu) \\ \text{若存在一个 } W \text{ 上的线性映射 } T_W \\ T &= S(au) + T_W(bw), v \notin U \wedge v \notin W \\ T(v) &= T(au + bw) = S(au) + T_W(bw). a, b \text{ 是向量}\end{aligned}$$

definition

$$\begin{aligned}T(v_1 + v_2) &= T(a_1u + a_2u, b_1w + b_2w) = S(a_1u + a_2u) + T_W(b_1w + b_2w) \\ &= S(a_1u + a_2u) + T_W(b_1w + b_2w) \\ &= S(a_1u) + T_W(b_1w) + S(a_2u) + T_W(b_2w) \\ &= T(a_1u, b_1w) + T(a_2u, b_2w)\end{aligned}$$

$$\begin{aligned}T(\lambda v) &= T(\lambda au + \lambda bw) \\ &= S(\lambda au) + T_W(\lambda bw) \\ &= \lambda S(au) + \lambda T_W(bw) \\ &= \lambda(T(au + bw)) \\ &\rightarrow T \in \mathcal{L}(U, W)\end{aligned}$$

$$\begin{aligned}T_W \text{ 的存在性: } T_W(a_1v_1 + \dots + a_nv_n) &= a_1b_{w1}. (\text{基的第一个元素}) \\ T_W(a_1v + a_2v) &= (a_1 + a_2)b_{w1} = a_1b_{w1} + a_2b_{w1} = T_W(a_1v) + T_W(a_2v) \\ T_W(\lambda a_1v) &= \lambda a_1b_{w1} = \lambda(a_1v) = \lambda T_W(a_1v) \\ &\rightarrow T_W \in \mathcal{L}(\bar{U}, W)\end{aligned}$$

$\dim \bar{U} = 0$ 的情况是平凡的

12. Proof:  $0 < \dim V < \infty, \forall n \in N^+, \dim W > n \rightarrow \forall n \in N^+, \dim(\mathcal{L}(V, W)) > n$

$$\forall f \in \mathcal{L}(V, W).$$

设 $f$ 属于有限维  $\rightarrow f = \text{span}(\mathbf{b}). \text{length } \mathbf{b} = n < \infty$

???

13. Proof:  $v \in V \wedge v$ 线性相关,  $W \neq \{0\}$ . Proof:  $\exists w \in W \rightarrow \forall T \in \mathcal{L}(V, W), \exists i \in 1 \dots n, T(v_i) \neq w_i$

设 $w_i$ 线性无关.这样的向量在 $W$ 中是存在的

$$\begin{aligned} & \text{设 } T(\mathbf{v}) = \mathbf{w} \\ & \leftarrow T(\sum a_i v_i) = \sum a_i T(v_i) = \sum a_i w_i \\ & w_i \text{ 线性无关 } \rightarrow 0 = \sum a_i w_i \rightarrow a_i = 0 \\ & \quad \exists a_i \neq 0 \rightarrow \sum a_i v_i = 0 \\ & T(0) = 0 \rightarrow T(\sum a_i v_i) = \sum a_i w_i \rightarrow a_i = 0 \text{ 矛盾} \\ & \rightarrow T(\mathbf{v}) \neq \mathbf{w} \end{aligned}$$

14. Proof:  $2 \leq \dim V < \infty$ , Proof:  $\exists S, T \in \mathcal{L}(V, V) \rightarrow ST \neq TS$

$$\begin{aligned} \dim V \geq 2 & \rightarrow \exists \mathbf{b}, \text{length } \mathbf{b} \geq 2, \text{span}(\mathbf{b}) = V. \\ T_1(x_1, x_2, \dots) &= b_1, \dots, b_1 \\ T_1(x_1 + y_1, x_2 + y_2, \dots) &= x_1 + y_1 = T_1(x_1, \dots) + T_1(x_2, \dots) \\ T_1(ax_1, \dots) &= ax_1 = aT(x_1, \dots) \\ &\rightarrow T_1 \in \mathcal{L}(V, V) \\ T_1 T_2(1, 2, \dots) &= T_1(2, 2, \dots) \\ T_2 T_1(1, 2, \dots) &= T_2(1, 1, \dots) \\ &\rightarrow T_1 T_2 \neq T_2 T_1 \end{aligned}$$

## 2 零空间与值域

### 2.1 零空间与单射性

定义 2.1. 零空间 (null space), null  $T$ . 核

$T \in \mathcal{L}(V, W)$ .  $T$  的零空间值  $V$  中被  $T$  映到  $0 \in W$  的向量构成的子集  
 $\text{null } T = \{v: v \in V \wedge Tv = 0\}$

定理 2.2. 零空间是子空间

证明.

1.  $T \in \mathcal{L}(V, W) \rightarrow T(0) = 0 \rightarrow 0 \in \text{null } T$
  2.  $\forall x, y \in \text{null } T. T(x + y) = T(x) + T(y) = 0 + 0 = 0$
  3.  $\forall x \in \text{null } T, \forall \lambda \in F, T(\lambda x) = \lambda T(x) = \lambda 0 = 0$
- 1.2.3.  $\rightarrow \text{null } T$  是子空间

□

例 2.3. 一些零空间

1.  $\mathbf{0} \in \mathcal{L}(V, W). \mathbf{0}(v) = 0 \rightarrow \text{null } \mathbf{0} = V$
2.  $\varphi \in \mathcal{L}(C^3, F). \varphi(z_1, z_2, z_3) = z_1 + 2z_2 + 3z_3. \text{null } \varphi = \{(z_1, z_2, z_3) \in C^3: z_1 + 2z_2 + 3z_3 = 0\}$   
 $\dim(\text{null } \varphi) = 2, \text{null } \varphi = \text{span}((-2, 1, 0), (-3, 0, 1))$
3.  $D \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)). Dp = p'. \text{null } D = \{f(x) = C\}$
4.  $T \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)). Tp = x^2 p. x \in R \rightarrow x^2 p(x) = 0 \rightarrow p(x) = 0 \rightarrow \text{null } T = \{0\}$
5.  $T \in \mathcal{L}(F^\infty, F^\infty). T(x_1, x_2, \dots) = (x_2, x_3, \dots). T(x_1, x_2, \dots) = \mathbf{0} \rightarrow x_1 \neq 0, x_2 = \dots = 0$   
 $\rightarrow \text{null } T = \{(a, 0, 0, \dots): a \in F\}$

定义 2.4. 映射的单性 (injective) :  $Tu = Tv \rightarrow u = v$ . 称  $T$  是单的

定理 2.5. 线性映射. 单性  $\Leftrightarrow \text{null } T = \{0\}$

证明.

$$\begin{aligned} T &\in \mathcal{L}(V, W) \\ T \text{ 单} &\rightarrow \text{null } T = \{0\} \\ T \text{ 单}: T(x) = T(y) &\rightarrow x = y \\ 0 = T(0) = T(x) &\rightarrow x = 0 \\ &\rightarrow \text{null } T = 0 \end{aligned}$$

□

$$\begin{aligned} \text{null } T = \{0\} &\rightarrow T \text{ 单} \\ \forall x, y \in V \wedge T(x) = T(y) & \\ 0 = T(x) - T(y) = T(x - y) &\rightarrow x - y = 0 \\ &\rightarrow x = y \\ &\rightarrow T \text{ 是单的} \end{aligned}$$

## 2.2 值域与满性

定义 2.6. 值域(*range*).  $\text{range } T$ ; 像

$$T: V \rightarrow W. \text{range } T = \{Tv: v \in V\}$$

定理 2.7. 线性映射: 值域是子空间

证明.

$$\begin{aligned} T &\in \mathcal{L}(V, W) \rightarrow \text{range } T \text{ 是 } W \text{ 的子空间} \\ 0 \in V \rightarrow T(0) &\in \text{range } T \rightarrow 0 \in \text{range } T \\ \forall T(x), T(y) \in \text{range } T. &T(x) + T(y) = T(x + y) \\ x + y \in V \rightarrow T(x + y) &\in W \\ \forall T(x) \in \text{range } T. \forall \lambda \in F. &\lambda T(x) = T(\lambda x) \\ \lambda x \in V \rightarrow T(\lambda x) = \lambda T(x) &\in \text{range } T \\ &\rightarrow \text{range } T \text{ 是 } W \text{ 的子空间} \end{aligned}$$

□

例 2.8. 一些线性映射的值域

1.  $T \in \mathcal{L}(V, W). T(v) = 0. \text{range } T = \{0\}$
2.  $T \in \mathcal{L}(R^2, R^3). T(x, y) = (2x, 5y, x + y). \text{range } T = \{(2x, 5x, x + y): x, y \in R\}$   
 $\dim(\text{range } T) = 2. \text{range } T = \text{span}((2, 0, 1), (0, 5, 1))$
3.  $D \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)). Dp = p'. Dp \in \mathcal{P}(R) \rightarrow \text{range } D = \mathcal{P}(R)$

定义 2.9. 映射的满性(*subjective*), 映上.  $T: V \rightarrow W. \text{range } T = W$  称  $T$  为满的

Remark: 线性映射的满性与  $W$  空间有关

例 2.10.

$$\begin{aligned} D &\in \mathcal{L}(\mathcal{P}_5(R), \mathcal{P}_5(R)). Dp = p' \\ \text{range } D = \mathcal{P}_4(R) &\rightarrow D \text{ 不是满的} \\ S &\in \mathcal{L}(\mathcal{P}_5(R), \mathcal{P}_4(R)). Sp = p' \\ \text{range } S = \mathcal{P}_4(R). &\text{所以 } S \text{ 是满的} \end{aligned}$$

## 2.3 线性映射基本定理

定理 2.11. 线性映射基本定理

$V$  是有限维的.  $T \in \mathcal{L}(V, W) \rightarrow \text{range } T, \text{null } T$  是有限维的  
 $\dim V = \dim \text{null } T + \dim \text{range } T$

证明.

$\text{null } T$  是  $V$  的子空间  $\rightarrow \text{null } T$  是有限维的  
 $\rightarrow \mathbf{u}$  是  $\text{null } T$  的基.  
 $\mathbf{u}$  有线性无关组的扩充  $\mathbf{v} \rightarrow V = \text{span}(\mathbf{u}, \mathbf{v}) \quad \mathbf{v} = 0$  是平凡的  
 $\Leftrightarrow T\mathbf{v}$  是  $\text{range } T$  的基  
 $\forall \mathbf{v} \in V, \mathbf{v} = \mathbf{a}\mathbf{u} + \mathbf{b}\mathbf{v}$   
 $T\mathbf{v} = T(\mathbf{a}\mathbf{u}) + T(\mathbf{b}\mathbf{v}) = T(\mathbf{b}\mathbf{v})$   
 $\rightarrow \text{range } T = \text{span}(\mathbf{v}) \rightarrow \dim \text{range } T < \text{length } \mathbf{v}$   
 即  $\text{range } T$  是有限维的 □

$0 = \lambda T\mathbf{v} \rightarrow 0 = T(\lambda\mathbf{v})$   
 $\lambda\mathbf{v} \in \text{null } T$   
 $\rightarrow \lambda\mathbf{v} \in \text{span } \mathbf{u}$   
 $\mathbf{u}, \mathbf{v}$  线性无关  $\rightarrow \lambda = 0$   
 $\rightarrow T\mathbf{v}$  线性无关  
 $\rightarrow \dim \text{range } T = \text{length } \mathbf{v}$   
 $\rightarrow \dim V = \dim \text{null } T + \dim \text{range } T$

定理 2.12. 高维空间向低维空间的线性映射不是单的

证明.

$T \in \mathcal{L}(V, W). \dim V > \dim W$   
 $\dim \text{null } T = \dim V - \dim \text{range } T$   
 $\geq \dim V - \dim W$   
 $> 0$  □

定理 2.13. 低维空间向高维空间的线性映射不是满的

证明.

$T \in \mathcal{L}(V, W). \dim V < \dim W$   
 $\dim \text{range } T = \dim V - \dim \text{null } T$   
 $\leq \dim V$   
 $< \dim W$  □

例 2.14. 线性映射观点下齐次线性方程组是否有非零解问题

$m, n > 0$ , 齐次线性方程组  
 $\sum_{k=1}^n a_{1,k}x_k = 0$   
 $\sum_{k=1}^n a_{2,k}x_k = 0$   
 $\vdots$   
 $\sum_{k=1}^n a_{m,k}x_k = 0$   
 是否具有非0解?  
 $T: F^n \rightarrow F^m: T(x_1, \dots, x_n) = (\sum_k a_{1,k}x_k, \dots, \sum_k a_{m,k}x_k)$   
 $T(\mathbf{x} + \mathbf{y}) = (\mathbf{a}\mathbf{x} + \mathbf{a}\mathbf{y}) = \mathbf{a}\mathbf{x} + \mathbf{a}\mathbf{y} = T(\mathbf{x}) + T(\mathbf{y})$   
 $T(\lambda\mathbf{x}) = \mathbf{a}(\lambda\mathbf{x}) = \lambda(\mathbf{a}\mathbf{x}) = \lambda T(\mathbf{x})$   
 $\rightarrow T \in \mathcal{L}(F^n, F^m).$   
 齐次方程有非0解  $\Leftrightarrow \text{null } T \neq \{0\}$   
 $\text{null} > 0$  的一个条件是  $\dim V > \dim W$   
 即  $n > m$ . 方程数小于未知数数量



例 2.15. 线性映射观点下非齐次方程无解问题

$m, n > 0$ . 非齐次线性方程组

$$\begin{aligned}\sum_{k=1}^n a_{1,k}x_k &= b_1 \\ \sum_{k=1}^n a_{2,k}x_k &= b_2 \\ &\vdots \\ \sum_{k=1}^n a_{m,k}x_k &= b_m \\ T(\mathbf{x}) &= \mathbf{b}\end{aligned}$$

若  $\exists \mathbf{b} \in F^m$ , 使得  $\forall \mathbf{x} \in V, T(\mathbf{x}) \neq \mathbf{b}$  则为矛盾方程组, 无解

存在  $\mathbf{b} \neq 0$  使得方程组无解  $\Leftrightarrow \text{range } T \neq F^m$

一个条件:  $\dim F^n < \dim F^m$  时, 不能映满  $F^m$

即  $m > n$ . 方程组数量超过变量数

## 习题3.B

1. Example:  $T \in \mathcal{L}(V, W)$ .  $\dim \text{null } T = 3$ .  $\dim \text{range } T = 2$

$$\begin{aligned}V &= R^5. T(x_1, x_2, x_3, x_4, x_5) = (x_1 + x_2 + x_3, x_4 + x_5) \\ \text{null } T &= \{(x_1, x_2, x_3, x_4, x_5) : x_1 + x_2 + x_3 = 0 \wedge x_4 + x_5 = 0\} \\ \text{range } T &= \{(x, y) : x, y \in F\}\end{aligned}$$

2. Proof:  $V$  是向量空间.  $S, T \in \mathcal{L}(V, V) \rightarrow \text{range } S \subset \text{null } T$ . Proof:  $(ST)^2 = 0$

$$\begin{aligned}(ST)^2 &= STST \\ T(V) &= \text{range } T \\ S(T(V)) &= S(\text{range } T) \subset \text{range } S \subset \text{null } T \\ T(ST(x)) &= T(S(T(x))) \subset T(S(\text{range } T)) \subset T(\text{range } S) \subset T(\text{null } T) = 0 \\ S(T(S(T(V)))) &\subset S(0) = 0\end{aligned}$$

3.  $v_1, \dots, v_m$  是  $V$  中的向量组.  $T \in \mathcal{L}(F^m, V)$ .  $T(z_1, \dots, z_m) = \sum z_i v_i$

- a.  $T$  的什么性质  $\Leftrightarrow \text{span}(\mathbf{v}) = V$

$$\text{span}(\mathbf{v}) = V \rightarrow T(\mathbf{v}) = V \text{ 即满性}$$

- b.  $T$  的什么性质  $\Leftrightarrow v_1, \dots, v_m$  线性无关

$$\begin{aligned}v_1, \dots, v_m \text{ 线性无关} &\rightarrow T(\mathbf{v}) \text{ 线性无关} \\ 0 = \mathbf{a}\mathbf{v} &\rightarrow \mathbf{a} = 0 \\ T(\mathbf{a}\mathbf{v}) = \mathbf{a}T(\mathbf{v}) &\rightarrow \mathbf{a} = 0 \\ &\rightarrow \text{null } T = \{0\} \\ &\rightarrow T \text{ 是单的}\end{aligned}$$

4. Proof:  $\{T \in \mathcal{L}(R^5, R^4) : \dim \text{null } T > 2\}$  不是  $\mathcal{L}(R^5, R^4)$  的子空间

$$\begin{aligned}T_1(\mathbf{x}) &\rightarrow (x_1, x_2, 0, 0), T_2(\mathbf{x}) = (0, 0, x_3, x_4) \\ T_1(x) = 0 &\rightarrow x = (0, 0, x, y, z). \dim \text{null } T_1 = 3 \\ T_2(x) = 0 &\rightarrow x = (x, y, 0, 0, z). \dim \text{null } T_2 = 3 \\ (T_1 + T_2)(\mathbf{x}) &= (x_1, x_2, x_3, x_4) \\ \text{null } (T_1 + T_2) &= \{(0, 0, 0, 0, x)\} \\ \dim \text{null } (T_1 + T_2) &= 1 \notin T\end{aligned}$$

5. Example:  $T \in \mathcal{L}(R^4, R^4)$ .  $\text{range } T = \text{null } T$

$$\begin{aligned}\dim R^4 &= \dim \text{range } T + \dim \text{null } T \wedge \text{range } T = \text{null } T \\ &\rightarrow \dim \text{range } T = \dim \text{null } T = 2 \\ \text{range } T = \text{null } T &\rightarrow TT(R^4) = T(\text{range } T) = T(\text{null } T) = 0 \\ T(\mathbf{x}) &= (0, 0, x_1, x_2) \\ T(\mathbf{x}) = 0 &\rightarrow x_1 = x_2 = 0. \text{null } T = (0, 0, x, y) \\ \text{range } T &= (0, 0, x, y)\end{aligned}$$

6. Proof:  $\forall T \in \mathcal{L}(R^5, R^5)$ . Proof:  $\text{range } T \neq \text{null } T$

$$\begin{aligned}\dim R^5 &= \dim \text{range } T + \dim \text{null } T \\ \text{range } T = \text{null } T &\rightarrow \dim \text{range } T = \dim \text{null } T \\ &\rightarrow \frac{5}{2} = \dim \text{range } T = \dim \text{null } T \\ &\text{这超出了向量空间的定义范围}\end{aligned}$$

7. Proof:  $V, W$  是有限维的.  $2 \leq \dim V \leq \dim W$ . Proof:  $\{T \in \mathcal{L}(V, W) : T \text{ 不单}\}$  不是  $\mathcal{L}(V, W)$  的子空间

$$\begin{aligned}x, y \in V, x \neq y \wedge T(x) &= T(y) \\ \forall f \in T, \text{null } f &\neq \{0\} \\ f(x, y) &= (x, 0). g(x, y) = (0, y). f, g \text{ 不单} \\ (f + g)(x, y) &= (x, y) = I(x, y) \text{ 是单的.} \\ \rightarrow f + g \notin T &\rightarrow T \text{ 不是子空间}\end{aligned}$$

8. Proof:  $V, W$  是有限维的.  $\dim V \geq \dim W \geq 2$ . Proof:  $\{T \in \mathcal{L}(V, W) : T \text{ 不满}\}$  不是  $\mathcal{L}(V, W)$  的子空间

$$\begin{aligned}f(x, y) &= (x, 0). g(x, y) = (0, y). f, g \text{ 不满} \\ (f + g)(x, y) &= I(x, y) \text{ 满} \\ \rightarrow f + g \notin T &\rightarrow T \text{ 不是子空间}\end{aligned}$$

9. Proof:  $T \in \mathcal{L}(V, W)$  是单的.  $v_1, \dots, v_n$  在  $V$  中线性无关. Proof:  $Tv_1, \dots, Tv_n$  在  $W$  中线性无关

$$\begin{aligned}0 &= \lambda T(\mathbf{v}) \\ &= T(\lambda \mathbf{v}) \\ T \text{ 单} \rightarrow \text{null } T &= \{0\} \rightarrow 0 = T(\lambda \mathbf{v}) \rightarrow \lambda = 0 \\ &\rightarrow T(\mathbf{v}) \text{ 线性无关}\end{aligned}$$

10. Proof:  $\text{span}(v_1, \dots, v_n) = V$ .  $T \in \mathcal{L}(V, W)$ . Proof:  $\text{span}(Tv_1, \dots, Tv_n) = \text{range } T$

$$\begin{aligned}\forall v \in V. v &= \lambda \mathbf{v} \\ T(\lambda \mathbf{v}) &= \lambda T(\mathbf{v}) \rightarrow \text{range } T(V) = \text{span } T(\mathbf{v})\end{aligned}$$

11. Proof:  $S_1, \dots, S_n$  都是单的线性映射.  $S_1 S_2 \dots S_n$  有意义. Proof:  $S_1 S_2 \dots S_n$  是单的

$$\begin{aligned}\text{null } S &= \{0\}, \text{null } T = \{0\} \\ \forall v \neq 0 &\rightarrow T(v) \neq 0 \\ \forall u \neq 0 &\rightarrow S(u) \neq 0 \\ ST(v) &= S(T(v)) \\ &\neq S(0) = 0 \\ &\rightarrow ST \text{ 是单的.} \\ &\rightarrow S_1 S_2 \dots S_n \text{ 是单的.}\end{aligned}$$

12. Proof:  $V$  是有限维的.  $T \in \mathcal{L}(V, W)$ . Proof:  $\exists U \subset V \rightarrow U \cap \text{null } T = \{0\} \wedge \text{range } T = \{Tu : u \in U\}$

$$\begin{aligned}
& U \text{的一个基为 } \mathbf{u} \\
& \text{null } T \subset T. \text{span}(\mathbf{t}) = \text{null } T \\
& V = \text{span}(\mathbf{u}, \mathbf{v}) = \text{span}(\mathbf{t}, \mathbf{s}) \\
& \forall v \in V. v = \mathbf{a}\mathbf{u} + \mathbf{b}\mathbf{v} \\
& T(\mathbf{a}\mathbf{u} + \mathbf{b}\mathbf{v}) = T(\mathbf{a}\mathbf{u}) + T(\mathbf{b}\mathbf{v}) \\
& T(\mathbf{a}\mathbf{u}) = \text{range } T \rightarrow \text{span}(T(\mathbf{u})) = \text{range } T \\
& \rightarrow \dim U \geq \dim \text{range } T \qquad \text{range } T = \text{span}(T(\mathbf{u}))
\end{aligned}$$

$$\begin{aligned}
& \dim V = \dim \text{null } T + \dim \text{range } T \\
& \dim V \geq \dim \text{null } T + \dim U \\
& \rightarrow \dim U \leq \dim \text{range } T \qquad V = \text{null } T \oplus U \oplus \text{else}
\end{aligned}$$

$$\begin{aligned}
& \rightarrow \dim U = \dim \text{range } T \\
& V = \text{span}(\mathbf{u}, \mathbf{v}) = \text{span}(\mathbf{t}, \mathbf{s}) \\
& \text{length } \mathbf{u} = \text{length } \mathbf{s} \wedge \text{span}(\mathbf{v}) = \text{span}(\mathbf{t}) = \text{null } T \\
& \rightarrow \mathbf{u} \in \text{span}(\mathbf{s}) \\
& \rightarrow U = V - \text{null } T
\end{aligned}$$

13. Proof:  $T \in \mathcal{L}(F^4, F^2)$ .  $\text{null } T = \{(x_1, x_2, x_3, x_4): x_1 = 5x_2, x_3 = 7x_4\}$ . Proof:  $T$ 是满的

$$\begin{aligned}
& \dim V = \dim \text{null } T + \dim \text{range } T \\
& 4 = 2 + \dim \text{range } T \rightarrow \dim \text{range } T = 2 \\
& \dim F^2 = 2 = \dim \text{range } T \\
& \rightarrow \text{满} \qquad \dim V = \dim S \rightarrow V \cong S
\end{aligned}$$

14. Proof:  $U \subset R^8$ .  $\dim U = 3$ .  $T \in \mathcal{L}(R^8, R^5)$ .  $\text{null } T = U$ . Proof:  $T$ 满

$$\begin{aligned}
& \dim V = \dim \text{null } T + \dim \text{range } T \\
& 8 = 3 + \dim \text{range } T \\
& \dim \text{range } T = 5 = \dim R^5 \\
& \rightarrow T \text{满}
\end{aligned}$$

15. Proof:  $\forall T \in \mathcal{L}(F^5, F^2)$ . Proof:  $\text{null } T \neq \{(x_1, x_2, x_3, x_4, x_5): x_1 = 3x_2, x_3 = x_4 = x_5\}$

$$\begin{aligned}
& \dim V = \dim \text{null } T + \dim \text{range } T \\
& 5 = 2 + \dim \text{range } T \rightarrow \dim \text{range } T = 3 \\
& 3 = \dim \text{range } T \geq \dim F^2 = 2 \\
& \text{矛盾}
\end{aligned}$$

16. Proof:  $\exists T \in \mathcal{L}(V, W)$ .  $\dim \text{null } T < \infty$ ,  $\dim \text{range } T < \infty$ . Proof:  $\dim V < \infty$

$$\begin{aligned}
& \dim \text{null } T = n, \dim \text{range } T = r \\
& V = \text{null } T \oplus S \\
& \mathbf{s} \in S, T(\mathbf{s}) \in \text{range } T \qquad \mathbf{s} \text{不是基, } S \text{还不是有限维} \\
& \rightarrow \text{range } T = \text{span}(T(\mathbf{s})) \\
& \dim \text{range } T = r \rightarrow \lambda T(\mathbf{s}) = 0 \rightarrow \lambda = 0 \\
& T(\lambda \mathbf{s}) = 0 \rightarrow \lambda = 0 \\
& \rightarrow \mathbf{s} \text{线性无关.}
\end{aligned}$$

$$\begin{aligned}
& \forall u \in \text{range } T. u = T(\mathbf{s}) \\
& \text{若 } \exists \mathbf{s} \in S \wedge T\mathbf{s} \notin \text{range } T \text{与range } T \text{矛盾} \\
& \rightarrow \text{range } T \text{的基}\mathbf{r} \text{的原像构成} S \text{的一个基} \qquad \text{只论存在性} \\
& \rightarrow \dim S = \dim \text{range } T \rightarrow S \text{有限维} \\
& V = \text{span}(\mathbf{s}, \mathbf{t}) = n + r < \infty
\end{aligned}$$

17. Proof:  $\dim V < \infty, \dim W < \infty$ . Proof:  $\exists T \in \mathcal{L}(V, W) \wedge T \text{单} \Leftrightarrow \dim V \leq \dim W$

$$\begin{aligned} T \text{单} &\rightarrow \text{null } T = \{0\} \rightarrow \dim \text{null } T = 0 \\ \dim V &= \dim \text{null } T + \dim \text{range } T \\ &\rightarrow \dim V = \dim \text{range } T \\ \text{range } T &\subset W \rightarrow \dim \text{range } T \leq \dim W \\ &\rightarrow \dim V \leq \dim W \end{aligned}$$

$$\begin{aligned} \dim V &\leq \dim W: \\ V &= \text{span}(\mathbf{v}). W = \text{span}(\mathbf{w}) \\ i &\leq \dim V: T(v_i) = w_i \\ \forall v \in V, T(v) &= T(\mathbf{a}\mathbf{v}) = \mathbf{a}T(\mathbf{v}) && \text{这里需要的条件应该更强一点} \\ &\rightarrow T \in \mathcal{L}(V, W) && \|v_i\| = \lambda \|w_i\|. \text{否则不构成线性映射} \\ \forall T(v) &\neq T(u) \\ &\rightarrow T(v) - T(u) = T(v - u) \neq 0 \\ &\rightarrow v \neq u \\ &\rightarrow T \text{单} \end{aligned}$$

18. Proof:  $\dim V < \infty, \dim W < \infty$ . Proof:  $\exists T \in \mathcal{L}(V, W) \wedge T \text{满} \Leftrightarrow \dim V \geq \dim W$

$$\begin{aligned} T \text{满} &\rightarrow \text{range } T = W \rightarrow \dim \text{range } T = \dim W \\ \dim V &= \dim \text{null } T + \dim \text{range } T \\ &= \dim \text{null } T + \dim W \\ &\rightarrow \dim V \geq \dim W \end{aligned}$$

$$\begin{aligned} \dim V &\geq \dim W: \\ i &\leq \dim W: T(v_i) = w_i \\ \dim V &\geq i > \dim W: T(v_i) = 0 \\ &T \in \mathcal{L}(V, W). \\ \mathbf{v} \in V. \text{span}(T(\mathbf{v})) &= W \\ &\rightarrow T \text{满} \end{aligned}$$

19. Proof:  $V, W$  有限维.  $U \subset V$ . Proof:  $\exists T \in \mathcal{L}(V, W), \text{null } T = U \Leftrightarrow \dim U \geq \dim V - \dim W$

$$\begin{aligned} T &\in \mathcal{L}(V, W). \text{null } T = U. \\ \dim V &= \dim \text{null } T + \dim \text{range } T \\ &= \dim U + \dim \text{range } T \\ &\leq \dim U + \dim W \\ &\rightarrow \dim V - \dim W \leq \dim U \end{aligned}$$

$$\begin{aligned} \dim V - \dim W &\leq \dim U \\ &\rightarrow \dim V \leq \dim W + \dim U \\ \dim V &= \dim \text{range } T + \dim \text{null } T \\ U &\subset V \rightarrow \dim U \leq \dim V \\ U &= \text{span}(\mathbf{u}). V = \text{span}(\mathbf{u}, \mathbf{v}) \\ \forall \lambda, T(\lambda \mathbf{u}) &= 0 \rightarrow \text{span}(T(\mathbf{u})) \subset \text{null } T \\ \dim V - \dim U &\leq \dim W \\ &\rightarrow \exists T(V - U) \rightarrow W \text{的单射} \\ &\rightarrow \forall t \in V - U, t \neq 0 \rightarrow T(t) \neq 0 \\ &\rightarrow V - U \not\subset \text{null } T \\ V &= U \cup (V - U) \rightarrow \text{null } T = U \end{aligned}$$

20. Proof:  $\dim W < \infty$ .  $T \in \mathcal{L}(V, W)$ . Proof:  $T \text{单} \Leftrightarrow \exists S \in \mathcal{L}(W, V) \rightarrow ST = I_V$

$$\begin{aligned}
& T \text{单: } \text{null } T = \{0\} \\
& \forall T(x) = T(y) \rightarrow x = y \\
& S: W \rightarrow V, S(T(x)) = x \\
& S(T(x) + T(y)) = S(T(x + y)) = x + y = S(T(x)) + S(T(y)) \quad T \text{单: } x \text{确定} \rightarrow T(x) \text{确定} \\
& S(\lambda T(x)) = S(T(\lambda x)) = \lambda x = \lambda S(T(x)) \quad S(T(x)) \rightarrow x, S \text{合理} \\
& \rightarrow S \in \mathcal{L}(W, V) \\
& ST(x) = S(T(x)) = x
\end{aligned}$$

$$\begin{aligned}
& \exists S \in \mathcal{L}(W, V), ST = I_V: \\
& T(x) = T(y), S(T(x)) = S(T(y)) \rightarrow I_V(x) = I_V(y) \quad S \text{无法分辨 } T(x), T(y) \\
& \rightarrow T \text{是单的.} \quad \text{都映射到同一个元素}
\end{aligned}$$

21. Proof:  $\dim V < \infty, T \in \mathcal{L}(V, W)$ . Proof:  $T \text{满} \Leftrightarrow \exists S \in \mathcal{L}(W, V) \rightarrow TS = I_W$

$$\begin{aligned}
& \forall x \in W, TS(x) = x \rightarrow \forall x, y \in W, x \neq y \\
& \rightarrow S(x) \neq S(y). \text{否则 } T(S(x)) = T(S(y)) = x = y \text{矛盾} \quad \text{先证明 } S \text{是单的} \\
& \rightarrow S \text{是单的} \quad \text{前后都需要使用 } S \text{单性} \\
& \quad \text{逆否命题}
\end{aligned}$$

$$\begin{aligned}
& T \text{满: } \text{range } T = W \\
& S: W \rightarrow V, T(S(x)) = x \\
& S \text{单} \rightarrow \forall x \neq y \in W, S(x) \neq S(y) \\
& T(S(x + y)) = x + y = T(S(x)) + T(S(y)) = T(S(x) + S(y)) \quad \text{单性: } TS(ax + by) = ax + by \\
& T(\lambda S(x)) = T(S(\lambda x)) = \lambda x = \lambda T(S(x)) \quad \text{保证 } T \text{的定义合理} \\
& \rightarrow S \in \mathcal{L}(W, V) \quad \text{与20中单性的作用一致}
\end{aligned}$$

$$\begin{aligned}
& TS = I_W: \\
& \exists T \in \mathcal{L}(V, W) \\
& W = \text{span}(\mathbf{w}) \\
& \text{range } S = \text{span}(S(\mathbf{w})) \subset V \\
& T: T(S(\mathbf{w})) = \mathbf{w}; T(V - \text{range } S) = 0 \quad \text{由于 } S \text{单, 这样的 } T \text{是存在的} \\
& T(S(x) + S(y)) = T(S(x + y)) = x + y = T(S(x)) + T(S(y)) \\
& T(\lambda S(x)) = T(S(\lambda x)) = \lambda x = \lambda T(S(x)) \\
& \rightarrow T \in \mathcal{L}(V, W) \\
& \text{range } T = \text{span } \mathbf{w} = W \rightarrow T \text{满}
\end{aligned}$$

22. Proof:  $U, V$ 有限.  $S \in \mathcal{L}(V, W), T \in \mathcal{L}(U, V)$ . Proof:  $\dim \text{null } ST \leq \dim \text{null } S + \dim \text{null } T$

$$\begin{aligned}
& \text{null } ST: \{x \in U: ST(x) = 0\} \\
& \text{null } S: \{x \in V: S(x) = 0\} \\
& \text{null } T: \{x \in U: T(x) = 0\}
\end{aligned}$$

$$\begin{aligned}
& \forall x \in \text{null } ST \rightarrow S(T(x)) = 0. \\
& \rightarrow T(x) \in \text{null } S \vee x \in \text{null } T \\
& \rightarrow \dim \text{null } ST \leq \dim \text{null } S + \dim \text{null } T \\
& ??? \text{这里差把或关系转成加法}
\end{aligned}$$

23. Proof:  $U, V$ 有限.  $S \in \mathcal{L}(V, W), T \in \mathcal{L}(U, V)$ . Proof:  $\dim \text{range } ST \leq \min(\dim \text{range } S, \dim \text{range } T)$

$$\begin{aligned}
& \forall x \in \text{range } ST. \\
& \text{let: } x \neq 0: x = ST(u) \\
& \rightarrow u \neq 0 \wedge T(u) \neq 0 \wedge T(u) \notin \text{null } S \\
& \rightarrow u \notin \text{null } T \wedge T(u) \notin \text{null } S \\
& \rightarrow u \in \text{range } T \wedge T(u) \in \text{range } S \\
& \dim ST \leq \dim \text{range } T; \dim T(u) = \dim \text{range } T \\
& \dim \text{range } T \leq \dim \text{range } S \\
& \dim ST \leq \min(\dim \text{range } T, \dim \text{range } S) \\
& ??? \text{需要把且关系转换成最小值}
\end{aligned}$$

24. Proof:  $W$ 有限.  $T_1, T_2 \in \mathcal{L}(V, W)$ . Proof:  $\text{null } T_1 \subset \text{null } T_2 \Leftrightarrow \exists S \in \mathcal{L}(W, W) \rightarrow T_2 = ST_1$

$$\begin{aligned}
& \text{null } T_1 \subset \text{null } T_2 \rightarrow \dim \text{range } T_1 \geq \dim \text{range } T_2 \\
& \text{range } T_1 = \text{span}(\mathbf{x}), \text{range } T_2 = \text{span}(\mathbf{y}) \\
& S: W \rightarrow W. T(x_i) = T_2(y_i), i \leq \dim \mathbf{y}; T(x_i) = 0, i > \dim \mathbf{y} \\
& S \in \mathcal{L}(W, W). \\
& \forall x \in V. S(T_1(x)) = T_2(y) \\
& \text{对基进行相互映射, 保证了张成空间的一致性。}
\end{aligned}$$

25. Proof:  $W$ 有限.  $T_1, T_2 \in \mathcal{L}(V, W)$ . Proof:  $\text{range } T_1 \subset \text{range } T_2 \Leftrightarrow \exists S \in \mathcal{L}(V, V) \rightarrow T_1 = T_2 S$

$$\begin{aligned}
& \text{range } T_1 \subset \text{range } T_2 \\
& \text{range } T_1 = \text{span}(\mathbf{x}), \text{range } T_2 = \text{span}(\mathbf{x}, \mathbf{y}) \\
& S: S(x_i) = S(x_i), i \leq \dim \text{range } T_1 \\
& S(x_i) = 0, i > \dim \text{range } T_1 \\
& \rightarrow S \in \mathcal{L}(V, V). \\
& \forall x \in V, T_1(\mathbf{ax} + \mathbf{by}) = T_2(S(\mathbf{ax} + \mathbf{by})) = T_2(\mathbf{ax})
\end{aligned}$$

26. Proof:  $D \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R))$ .  $\deg(Dp) = (\deg p) - 1$ . Proof:  $D$ 是满的

$$\begin{aligned}
& \forall y \in \mathcal{P}(R). \\
& y = a_n p^n + \dots + a_1 p + a_0 \\
& Dp = a_n p^n + \dots + a_0 \rightarrow p = b_{n+1} p^{n+1} + \dots + a_0 \\
& D^{-1}(y) = x \text{是存在的.} \\
& \text{现证 } f^{-1} \text{是单的} \\
& \text{null } D^{-1} = \{x: D^{-1}(x) = 0\} \\
& \forall p \in \mathcal{P}(R). f^{-1}(p) = \deg p + 1 \rightarrow \deg f^{-1}(p) \geq 1 \\
& \rightarrow D^{-1}(p) = \{0\} \\
& \rightarrow D^{-1}(p) \text{是单的} \\
& \rightarrow D \text{是满的}
\end{aligned}$$

27. Proof:  $\forall p \in \mathcal{P}(R)$ . Proof:  $\exists q \in \mathcal{P}(R) \rightarrow p = 5q'' + 3q'$

$$\begin{aligned}
& \forall p \in \mathcal{P}(R). p = \mathbf{ax}. \mathbf{a} = (a_0, a_1, \dots). \mathbf{x} = (1, x, x^2, x^3, \dots) \\
& \text{由于 } D \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)). D \text{满} \\
& D(q), D(D(q)) \text{满} \rightarrow Dq \text{与 } D(Dq) \text{都是满射} \\
& \rightarrow 5D(D(q)) \text{是满射, } 3D(q) \text{也是满射} \\
& \rightarrow 5D(D(q)) + 3D(q) \text{是满射} \\
& \rightarrow \text{range}(5D^2, 3D) = \mathcal{P}(R)
\end{aligned}$$

$D(D)$ 是满射. 数乘是满射  
加法是满射

28. Proof:  $T \in \mathcal{L}(V, W)$ .  $\mathbf{w}$ 是 $\text{range } T$ 的基. Proof:  $\exists \varphi_1, \dots, \varphi_n \in \mathcal{L}(V, F) \rightarrow \forall v \in V, Tv = \sum_i \varphi_i(v) w_i$

$$\begin{aligned}
& \text{range } T = \text{span}(\mathbf{w}) \\
& \forall v \in V, Tv = \lambda \mathbf{w} \\
& \text{现证: } \lambda \text{线性变化} \rightarrow v \text{线性变化} \\
& (\mathbf{m} + \mathbf{n})\mathbf{w} = \mathbf{mw} + \mathbf{nw} = T(\mathbf{m}) + T(\mathbf{n}) = T(\mathbf{m} + \mathbf{n}) \\
& \alpha \lambda \mathbf{w} = \alpha T(\lambda) = T(\alpha \lambda) \\
& \rightarrow \mathbf{w} \text{固定时系数是线性变换} \\
& \rightarrow T(v) = \mathbf{w} \lambda \\
& \text{let: } \varphi_i(v) = \lambda_i \rightarrow \varphi_i \in \mathcal{L}(V, F) \\
& Tv = \lambda \mathbf{w} = \sum \lambda_i w_i = \sum \varphi_i(v) w_i
\end{aligned}$$

29. Proof:  $\varphi \in \mathcal{L}(V, F)$ .  $u \in V \wedge u \notin \text{null } \varphi$ . Proof:  $V = \text{null } \varphi \oplus \{\lambda u: \lambda \in F\}$

$$\begin{aligned}
& \dim V = \dim \text{null } T + \dim \text{range } T \\
& \dim \text{range } T \leq \dim F = 1 \\
& \dim \text{range } T = 0 \rightarrow \forall v \notin \text{null } T. v = \{0\} \\
& \rightarrow V = \text{null } T \\
& \dim \text{range } T = 1 \rightarrow \text{range } T = \text{span}(v). \text{range } T = \{\lambda u: \lambda \in F\}
\end{aligned}$$

30. Proof:  $\varphi_1, \varphi_2 \in \mathcal{L}(V, F)$ .  $\text{null } \varphi_1 = \text{null } \varphi_2$ . Proof:  $\exists \lambda \in F \rightarrow \varphi_1 = \lambda \varphi_2$

$$\dim V = \dim \text{null } \varphi + \dim \text{range } \varphi$$

$$\dim \text{range } \varphi \leq \dim F = 1$$

$$\dim \text{range } \varphi_1 = \dim \text{range } \varphi_2 = 0:$$

$$\rightarrow \forall \lambda \in F, \varphi_1(x) = 0 = \lambda 0 = \lambda \varphi_2(x)$$

$$\dim \text{range } \varphi_1 = \dim \text{range } \varphi_2 = 1$$

$$\text{null } \varphi_1 = \text{null } \varphi_2 \rightarrow \text{null } \varphi_1 = \text{span } (v) = \text{null } \varphi_2$$

$$V = \text{span } (v, w)$$

$$\text{length } w = 1$$

$$\rightarrow \dim \text{range } V = \dim V - \dim \text{null } V = 1$$

$$\forall x = \lambda w. \varphi_1 \in \mathcal{L}(V, F)$$

$$\rightarrow \varphi_1(w) = \lambda_1 w; \varphi_2(w) = \lambda_2 w$$

$$\rightarrow \varphi_1 = \frac{\lambda_2}{\lambda_1} w$$

31. Example:  $T_1, T_2 \in \mathcal{L}(R^5, R^2)$ .  $\text{null } T_1 = \text{null } T_2 \wedge T_1 \neq \lambda T_2$

$$T_1(x) = (x_4, x_5); T_2(x) = (x_5, x_4)$$

$$\text{null } T_1 = (x, y, z, 0, 0). \text{null } T_2 = (x, y, z, 0, 0)$$

$$T_1(0, 0, 0, 1, 2) = (1, 2); T_2(0, 0, 0, 1, 2) = (2, 1)$$

$$(1, 2) = \lambda(2, 1) \text{ 是不可能的}$$

### 3 矩阵

#### 3.1 矩阵定义

定义 3.1. 矩阵(matrix)

$m, n \in N^+$ .  $m \times n$  矩阵  $A$  是由  $F$  的元素构成的  $m$  行  $n$  列的数字组合

$$A = \begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} & \cdots & a_{m,n} \end{pmatrix}$$

$$a_{i,j} \in F.$$

定义 3.2. 线性映射的矩阵(matrix of a linear map),  $\mathcal{M}(T)$

$T \in \mathcal{L}(V, M)$ .  $v$  是  $V$  的基.  $w$  是  $W$  的基.  $T$  关于这些基的矩阵为  $m \times n$  矩阵  $\mathcal{M}(T)$ .

$$a_{i,j} = T(v_k) = a_{1,k}w_1 + a_{2,k}w_2 + \cdots + a_{m,k}w_m$$

需要强调具体的基则使用记号  $\mathcal{M}(T, (v_1, \dots, v_n), (w_1, \dots, w_m))$

例 3.3. 一些线性空间之间基的矩阵

$$1. T \in \mathcal{L}(F^2, F^3). T(x, y) = (x + 3y, 2x + 5y, 7x + 9y)$$

$$\mathcal{M}(T) = \begin{pmatrix} 1 & 3 \\ 2 & 5 \\ 7 & 9 \end{pmatrix}$$

$$2. D \in \mathcal{L}(\mathcal{P}_3(R), \mathcal{P}_2(R)). D(p) = p'. \text{关于标准基的矩阵}$$

$$(x^n)' = nx^{n-1}. p' = ax^0 \rightarrow p = ax + b.$$

$$\mathcal{M}(T) = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix}$$

### 3.2 矩阵运算，加法与标量乘法

定义 3.4. 矩阵加法(*matrix addition*)

$m \times n$ 阶的两个矩阵的和定义为矩阵中对应位置元素之和

$$A + B = \begin{pmatrix} a_{1,1} + b_{1,1} & \cdots & a_{1,n} + b_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} + b_{m,1} & \cdots & a_{m,n} + b_{m,n} \end{pmatrix}$$

定理 3.5. 线性映射和的矩阵等于各自矩阵的和

$$S, T \in \mathcal{L}(V, W). \mathcal{M}(S + T) = \mathcal{M}(S) + \mathcal{M}(T)$$

证明. 换成两个基的和, 再拆开. 显然

□

定义 3.6. 矩阵的标量乘法(*scalar multiplication of a matrix*)

$$\lambda \begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} & \cdots & a_{m,n} \end{pmatrix} = \begin{pmatrix} \lambda a_{1,1} & \cdots & \lambda a_{1,n} \\ \vdots & \ddots & \vdots \\ \lambda a_{m,1} & \cdots & \lambda a_{m,n} \end{pmatrix}$$

定理 3.7. 线性映射的标量乘法的矩阵等于线性映射的矩阵的标量乘法

$$\lambda \in F. T \in \mathcal{L}(V, W). \mathcal{M}(\lambda T) = \lambda \mathcal{M}(T)$$

证明. 换成各自基的标乘, 再合并到矩阵. 显然

□

定义 3.8. 矩阵在构成的向量空间  $F^{m,n}$

$m, n \in \mathbb{N}^+$ . 所有取自  $F$  的  $m \times n$  矩阵的集合记作  $F^{m,n}$

$$\dim F^{m,n} = mn$$

### 3.3 矩阵乘法

定义 3.9. 矩阵乘法(*matrix multiplication*)

$$A \in F^{m,n}, B \in F^{n,p}. AB \in F^{m,p}$$

$$(ab)_{i,j} = \sum_{r=1}^n a_{i,r} \cdot b_{r,j}$$

把  $A$  的  $i$  行与  $B$  的  $j$  列对应元素相乘再相加

定理 3.10. 在  $U, V, W$  的公共基下. 两个线性映射复合的矩阵等于各自矩阵的乘积矩阵

$$S \in \mathcal{L}(V, W), T \in \mathcal{L}(U, V). \mathcal{M}(ST) = \mathcal{M}(S) \cdot \mathcal{M}(T)$$



证明.

$$\begin{aligned}
 \mathcal{M}(S) &= A. \mathcal{M}(T) = B \\
 \forall u \in U. (ST)(u) &= S(\sum_{r=1}^n B_{r,k} v_r) \\
 &= \sum_{r=1}^n B_{r,k} \cdot S(v_r) \\
 &= \sum_{r=1}^n B_{r,k} \cdot (\sum_{j=1}^m A_{j,r} \cdot w_j) \\
 &= \sum_{j=1}^m (\sum_{r=1}^n A_{j,r} \cdot B_{r,k}) w_j \\
 \rightarrow \mathcal{M}(ST) &\text{是 } w_j \text{ 的系数为 } \sum_{j=1}^m (\sum_{r=1}^n A_{j,r} \cdot B_{r,k}) \text{ 的线性组合}
 \end{aligned}$$

□

例 3.11.

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \\ 5 & 6 \end{pmatrix} \begin{pmatrix} 6 & 5 & 4 & 3 \\ 2 & 1 & 0 & -1 \end{pmatrix} = \begin{pmatrix} 10 & 7 & 4 & 1 \\ 26 & 19 & 12 & 5 \\ 42 & 31 & 20 & 9 \end{pmatrix}$$

定义 3.12. 矩阵的行、矩阵的列

$A_{j,\cdot}$  表示矩阵的  $j$  行组成的矩阵  
 $A_{\cdot,i}$  表示矩阵的第  $i$  列组成的矩阵

例 3.13.

$$A = \begin{pmatrix} 8 & 4 & 5 \\ 1 & 9 & 7 \end{pmatrix}. A_{2,\cdot} = (1, 9, 7). A_{\cdot,2} = \begin{pmatrix} 4 \\ 9 \end{pmatrix}$$

定理 3.14. 矩阵的乘积元素等于行乘以列

$$(AB)_{i,j} = A_{i,\cdot} \cdot B_{\cdot,j}$$

定理 3.15. 矩阵乘积的列元素等于矩阵乘以列

$$(AB)_{\cdot,j} = AB_{\cdot,j}$$

定理 3.16. 矩阵可以理解为列的线性组合

$$\begin{aligned}
 A &\in F^{m,n}, c \in F^{n,1} \\
 Ac &= c_1 A_{\cdot,1} + c_2 A_{\cdot,2} + \cdots + c_n A_{\cdot,n}
 \end{aligned}$$

## 习题3.C

1. Proof:  $\dim V, \dim W < \infty. T \in \mathcal{L}(V, W)$ . Proof:  $\forall \mathbf{v}, \mathbf{w}. \dim \mathcal{M}(T) \geq \dim \text{range } T$

Assume:  $\dim \mathcal{M}(T) < \dim \text{range } T$

$$\mathbf{w} = \mathcal{M}(T) \cdot \mathbf{v}$$

$\rightarrow \text{length } \mathbf{w} < \text{range } T$ . 这是不可能的

$\rightarrow \dim(T) > \dim \text{range } T$

2. Compute:  $D \in \mathcal{L}(\mathcal{P}_3(R), \mathcal{P}_2(R)). D(p) = p'$ . 求  $\mathcal{P}_3$  的基和  $\mathcal{P}_2$  的基使得  $D$  关于这些基的矩阵为单位阵

$$\mathcal{P}_2(R) = \text{span}(1, x, x^2); \mathcal{P}_3(R) = \text{span}(x, \frac{1}{2}x^2, \frac{1}{3}x^3, 1)$$

$$\mathcal{M}(T, \mathbf{v}, \mathbf{w}) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

3. Proof:  $\dim V, W < \infty. T \in \mathcal{L}(V, W)$ . Proof:  $\exists$  基  $\mathbf{v}, \mathbf{w}. \mathcal{M}(T, \mathbf{v}, \mathbf{w})$  是对角的且有  $\text{range } T$  个 1

$$\mathcal{M}(T) = \begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & 1 & & \\ & & & 0 & \\ & & & & \ddots \\ & & & & & 0 \end{pmatrix} \rightarrow T(u_i) = w_i$$

$$\forall v \in V. v = \mathbf{a}v. T(\mathbf{a}v) = \mathbf{a}w.$$

有点高阶函数的味道了

这样的 $T$ 的线性映射 $\mathcal{M}(T)$ 是这样的矩阵

4. Proof:  $v \in V$ 是基. $\dim W < \infty. T \in \mathcal{L}(V, W)$ . Proof:  $\exists w \in W. \mathcal{M}(T, v, w)_{1, \cdot}$ 只有第一个不为0

$$\mathcal{M}(T, v, w)_{1, \cdot} = (1, 0, 0, \dots)$$

$$\rightarrow T(v_1) = w_1. T(v_2, v_3, \dots) \in \text{span}(w_2, w_3, \dots)$$

$$T_1(v) = T(av_1 + \lambda v^-) = aT(v_1). T_1 \in \mathcal{L}(V, W)$$

$$T_-(v) = T_-(av_1 + \lambda v^-) = \lambda T(v^-).$$

$$T_-(x + y) = T_-((a + b)v_1 + (\mathbf{a} + \mathbf{b})v^-) = T_-((\mathbf{a} + \mathbf{b})v^-)$$

$$= T(\mathbf{a}v^-) + T(\mathbf{b}v^-) = T_-(av_1 + \mathbf{a}v^-) + T_-(bv_1 + \mathbf{b}v^-)$$

$$T_-(\lambda x) = T_-(\lambda av_1 + \lambda \mathbf{a}v^-) = T(\lambda \mathbf{a}v^-) = \lambda T(\mathbf{a}v^-) = \lambda T_-(av_1 + \mathbf{a}v^-)$$

$$\rightarrow T_- \in \mathcal{L}(V, W)$$

$$\mathcal{M}(T_-)_{1, \cdot} = (0, \dots, 0)$$

$$T_1 + T_- \in \mathcal{L}(V, W)$$

$$\mathcal{M}(T_- + T_1)_{1, \cdot} = (1, 0, \dots, 0) + (0, 0, \dots, 0) = (1, 0, \dots, 0)$$

线性映射用基  
分割的映射是线性的

5. Proof:  $w$ 是 $W$ 的基. $V$ 有限维. $T \in \mathcal{L}(V, W)$ . Proof:  $\exists V$ 的基 $v \rightarrow \mathcal{M}(T, v, w)_{1, \cdot}$ 只有第一个不为0  
同上

6. Proof:  $V, W$ 有限. $T \in \mathcal{L}(V, W)$ . Proof:  $\dim \text{range } T = 1 \Leftrightarrow \exists$ 基 $v, w \rightarrow \mathcal{M}(T)_{i, j} = 1$

$$\dim \text{range } T = 1 \rightarrow \exists v, w \rightarrow \mathcal{M}(T)_{i, j} = 1$$

$$\text{range } T \in \text{span}(w).$$

$$\text{range } T = k \lambda w. \text{选取 } \lambda = (1, \dots, 1)$$

$$w_i = T(v_1) + \dots + T(v_n)$$

$$\rightarrow T(\mathbf{a}v) = \sum \mathbf{a}$$

$$T(x + y) = T(x) + T(y). T(\lambda x) = \lambda T(x) \rightarrow T \in \mathcal{L}(V, W)$$

方向错了，证成存在一个 $T$ 了

7.

8.

9.

10.  $A \in F^{m, n}, B \in F^{n, p}$ . Proof:  $(AB)_{i, \cdot} = A_{i, \cdot} B$  积矩阵的行等于行矩阵乘矩阵

11.  $a = (a_1 \dots a_n) \in F^{1, n}. C \in F^{n, p}$ . Proof:  $aC = a_1 C_{1, \cdot} + \dots + a_n C_{n, \cdot}$ .

12. Example:  $A, B \in F^{2, 2}. AB \neq BA$

$$\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 2 & 0 \\ 0 & 0 \end{pmatrix}; \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}$$

13. Proof: 矩阵乘法具有分配律

$$A(B + C) = AB + AC$$

$$(A + B)C = AC + BC$$

14. Proof: 矩阵乘法有结合律 $(AB)C = A(BC)$

15. Compute:  $A \in F^{n, n}. (A^3)_{i, j} = \sum_{p=1}^n \sum_{q=1}^n A_{i, p} A_{p, q} A_{q, j}$

## 4 可逆性与同构的向量空间

定义 4.1. 线性映射. 可逆(*invertible*), 逆(*inverse*)

$T \in \mathcal{L}(V, W), \exists S \in \mathcal{L}(W, V). ST = I_V, TS = I_W$  可逆  
 $S \in \mathcal{L}(W, V)$  称为  $T$  的逆.

定理 4.2. 可逆线性映射的逆是唯一的.  $T^{-1}$

证明.

$T \in \mathcal{L}(V, W)$  可逆,  $S_1 \neq S_2 \in \mathcal{L}(W, V)$  是  $T$  的逆  
 $S_1 = S_1 I_W = S_1 (TS_2) = (S_1 T) S_2 = I_V S_2 = S_2$

□

定义 4.3. 线性映射. 可逆  $\Leftrightarrow$  即单又满

证明.

$T \in \mathcal{L}(V, W). T$  可逆  $\rightarrow T$  即单又满

$\forall u, v \in V. Tu = Tv$   
 $\rightarrow T^{-1}(T(u)) = T^{-1}(T(v))$   
 $\rightarrow u = v$   
 $\rightarrow T$  是单的

$T^{-1}$  在同一结果

$\forall w \in W. w = I_w(w) = T(T^{-1}(w))$   
 $\rightarrow w \in \text{range } T \rightarrow \text{range } T = W$   
 $\rightarrow T$  满

$T$  即单又满  $\rightarrow T$  可逆  
 $T$  单  $\rightarrow \dim V = \dim \text{range } T$   
 $T$  满  $\dim \text{range } T = \dim W$   
 $\rightarrow \dim V = \dim W$

□

$T$  单.  $T(x) = T(y) \rightarrow x = y$   
 $T$  满.  $\forall w \in W. \exists x \in V \rightarrow T(x) = w$   
 let:  $S(w) \in V \rightarrow T(S(w)) = w. TS = I_W$   
 $\rightarrow S(w)$  是必然存在于  $V$  的.  $S(w)$  必然是唯一的  
 $T(S(x+y)) = x+y = TS(x) + TS(y)$   
 $TS(\lambda x) = \lambda x = \lambda T(x)$   
 $\rightarrow S \in \mathcal{L}(W, V)$

$TS = I_W$

$S \in \mathcal{L}(W, V)$

$\forall v \in V. T(S(T(v))) = (TS)(T(v)) = I_W T(v) = T(v)$   
 $T$  满  $\rightarrow \text{range } T = W$   
 $\rightarrow \text{range } TS = W$   
 $T$  单  $\rightarrow T(ST(v)) = T(v) \rightarrow ST = I_V$

$ST = I_V$

例 4.4. 不可逆的线性映射

1.  $T \in \mathcal{L}(\mathcal{P}(R), \mathcal{P}(R)). T(p) = x^2 p$   $1 \notin \text{range } T$
2.  $T \in \mathcal{L}(F^\infty, F^\infty). T(x_1, x_2, \dots) = (x_2, x_3, \dots)$   $T(x, x_2, \dots) = T(y, x_2, \dots)$

### 4.1 同构的向量空间

定义 4.5. 两个向量空间的同构(*isomorphism*). 同构的(*isomorphic*)

从向量空间  $A$  到向量空间  $B$  的可逆线性映射 同构  
 若  $A, B$  存在同构 同构的

定理 4.6. 两个向量空间。维数相同  $\Leftrightarrow$  同构

证明.

$$\begin{aligned} V, W \text{同构} &\rightarrow \text{维数相同} \\ \exists T \in \mathcal{L}(V, W). T \text{可逆} \\ \text{null } T = \{0\}. \text{range } T = W. \\ \dim V = \dim \text{null } T + \dim \text{range } T \\ &\rightarrow \dim V = \dim W \end{aligned}$$

$$\begin{aligned} V, W \text{维数相同} &\rightarrow V, W \text{存在同构} \\ \dim V = \dim W = d \\ V = \text{span}(\mathbf{v}). W = \text{span}(\mathbf{w}) \\ T(\mathbf{av}) &= \mathbf{aw} \end{aligned}$$

$$\begin{aligned} T(x+y) &= (\mathbf{a} + \mathbf{b})\mathbf{w} = \mathbf{aw} + \mathbf{bw} = T(x) + T(y) \\ T(\lambda x) &= \lambda \mathbf{aw} = \lambda(T(x)) \\ &\rightarrow T \in \mathcal{L}(V, W) \end{aligned}$$

$$\begin{aligned} \mathbf{w} \text{线性无关} &\rightarrow T(x) = 0 \rightarrow x = 0 \rightarrow \text{null } T = \{0\} \rightarrow T \text{单} \\ \text{span}(\mathbf{w}) = W &\rightarrow \text{range } T = W \rightarrow T \text{满} \\ &\rightarrow T \text{是同构} \end{aligned}$$

□

定理 4.7.  $\mathcal{L}(V, W) \cong F^{m,n}$

$\mathbf{v}$ 是 $V$ 的基. $\mathbf{w}$ 是 $W$ 的基. $\mathcal{M}$ 是 $\mathcal{L}(V, W)$ 和 $F^{m,n}$ 的同构

证明.

$$\begin{aligned} \mathcal{M}(T_1 + T_2) &= \mathcal{M}(T_1) + \mathcal{M}(T_2) && \text{已证} \\ \mathcal{M}(\lambda T_1) &= \lambda \mathcal{M}(T_1) && \text{已证} \\ &\rightarrow \mathcal{M} \in \mathcal{L}(\mathcal{L}(V, W), F^{m,n}) \end{aligned}$$

$$\begin{aligned} \mathcal{M}(T) &= 0_{m,n} \rightarrow T(v_i) = 0 \\ \rightarrow \text{span}(v_i) = \{0\} &\rightarrow \dim \text{null } \mathcal{M} = 0_{V,W} \\ &\rightarrow \mathcal{M} \text{是单的} \\ T v_i &= \sum_{j=1}^m A_{j,i} \cdot w_j \\ \mathcal{M}(T) = A. &\text{由于 } A_{j,i} \text{取值的任意性} \\ &\rightarrow \mathcal{M} \text{是满的} \\ &\rightarrow \mathcal{M} \text{是 } \mathcal{L}(V, W), F^{m,n} \text{的同构} \end{aligned}$$

□

定理 4.8.  $\dim \mathcal{L}(V, W) = \dim V \cdot \dim W$

## 4.2 线性映射等价于矩阵乘

定义 4.9. 向量的矩阵(matrix of a vector),  $\mathcal{M}(\mathbf{v})$

$\mathbf{v} \in V$ .  $\mathbf{v}$ 是 $V$ 的基. $\mathbf{v}$ 关于 $\mathbf{v}$ 的矩阵是 $n \times 1$ 矩阵

$$\begin{aligned} \mathcal{M}(\mathbf{v}, \mathbf{v}) &= (a_1, a_2, \dots, a_n)^T \\ \mathbf{v} &= a_1 v_1 + \dots + a_n v_n \end{aligned}$$

例 4.10. 一些向量的矩阵

1.  $\mathcal{M}(2 - 7x + 5x^3, (1, x, x^2, x^3)) = (2, -7, 0, 5)^T$
2.  $x \in F^n$ 的标准基是 $x$ 的各个坐标元素的 $n \times 1$ 矩阵. $\mathcal{M}(x) = (x_1, \dots, x_n)^T$

定理 4.11.  $\mathcal{M}(T)_{\cdot, k} = \mathcal{M}(T v_k)$

$$\mathcal{M}(T)_{\cdot, k} = A_{\cdot, k} \cdot \mathcal{M}(T v_k) = \mathcal{M}(w_k) = A_{\cdot, k}$$

定理 4.12. 线性映射等价于矩阵乘

$$T \in \mathcal{L}(V, W). v \in V. \mathbf{v} \text{ 是 } V \text{ 的基. } \mathbf{w} \text{ 是 } W \text{ 的基. } \rightarrow \mathcal{M}(T(\mathbf{v})) = \mathcal{M}(T) \mathcal{M}(\mathbf{v})$$

证明.

$$\begin{aligned} T(\mathbf{v}) &= T(a_1 v_1) + \cdots + T(a_n v_n) \\ \mathcal{M}(T(\mathbf{v})) &= a_1 \mathcal{M}(T(v_1)) + \cdots + a_n \mathcal{M}(T(v_n)) \\ &= a_1 \mathcal{M}(T)_{\cdot, 1} + \cdots + a_n \mathcal{M}(T)_{\cdot, n} \quad 4.11 \\ &= \mathcal{M}(T) \mathcal{M}(\mathbf{v}) \quad 3.16 \end{aligned}$$

□

### 4.3 算子

定义 4.13. 算子(operator),  $\mathcal{L}(V)$

向量空间到自身的映射称为算子. 记号  $\mathcal{L}(V) = \mathcal{L}(V, V)$

定理 4.14. 无限维空间中. 单性不能得可逆; 满性不能得可逆

$$\begin{aligned} T \in \mathcal{L}(\mathcal{P}(R)). T(p) = x^2 p. 1 \notin \text{range } T \rightarrow T \text{ 不满} \\ \forall x \neq y \in \text{range } T. x = x^2 p = x^2 q = y \rightarrow p = q \rightarrow T \text{ 单} \\ T \text{ 不可逆. ??? 缺证明} \end{aligned}$$

$$\begin{aligned} T \in \mathcal{L}(F^\infty). T(x_1, x_2, \dots) &= T(x_2, x_3, \dots) \\ \forall \mathbf{x} \in F^\infty. (0, \mathbf{x}) \in F^\infty. T(0, \mathbf{x}) &= \mathbf{x} \rightarrow T \text{ 满} \\ T(1, 1, 1, \dots) &= T(0, 1, 1, \dots). \rightarrow T \text{ 不单} \\ T \text{ 不可逆, 因为无法选取唯一的元素使得 } T^{-1} &\text{ 是映射} \end{aligned}$$

定理 4.15. 有限维向量空间的算子. 单性  $\Leftrightarrow$  满性  $\Leftrightarrow$  可逆

证明.

$$\begin{aligned} &\text{单} \rightarrow \text{满} \\ \dim V &= \dim \text{null } T + \dim \text{range } T \\ \text{null } T = \{0\} &\rightarrow \dim \text{range } T = \dim V \\ &\rightarrow \text{满} \end{aligned}$$

$$\begin{aligned} &\text{满} \rightarrow \text{单} \\ \dim V &= \dim \text{null } T + \dim \text{range } T \\ &\rightarrow \dim \text{range } T = \dim V \\ &\rightarrow \dim \text{null } T = 0 \rightarrow \text{null } T = \{0\} \\ &\rightarrow T \text{ 单} \end{aligned}$$

□

$$(\text{单} \rightarrow \text{满} \vee \text{满} \rightarrow \text{单}) \rightarrow \text{可逆}$$

例 4.16.  $\forall q \in \mathcal{P}(R), \exists p \in \mathcal{P}(R) \rightarrow ((x^2 + 5x + 7)p)'' = q.$

证明.

$$\begin{aligned} \forall p \in \mathcal{P}(R). \deg p = m &\rightarrow p \in \mathcal{P}_m(R) \\ q \in \mathcal{P}_m(R). T: \mathcal{P}_m(R) &\rightarrow \mathcal{P}_m(R), T(p) = ((x^2 + 5x + 7)p)'' \\ \deg((x^2 + 5x + 7)p)'' &= \deg((x^2 + 5x + 7)p) - 2 = m + 2 - 2 = m \\ &\rightarrow T \in \mathcal{L}(\mathcal{P}_m(R), \mathcal{P}_m(R)) \\ T(p) = 0 &\rightarrow ((x^2 + 5x + 7)p)'' = 0 \\ &\rightarrow p = 0 \rightarrow \text{null } T = \{0\} \\ &\rightarrow T \text{ 是单的} \\ &\rightarrow T \text{ 是满的} \quad 4.15 \\ &\rightarrow \exists p \in \mathcal{P}_m(R) \rightarrow T(p) = q \end{aligned}$$

□

## 习题3.D

1. Pf  $T \in \mathcal{L}(U, V), S \in \mathcal{L}(V, W), T, S$  可逆. Proof:  $ST$  可逆  $\wedge (ST)^{-1} = T^{-1}S^{-1}$   
 $T, S$  可逆  $\rightarrow \dim U = \dim V = \dim W$   
 $ST(x) = S(T(y)) \rightarrow T(x) = T(y) \rightarrow x = y \rightarrow ST$  单  
 $T$  满  $\rightarrow \forall v \in V, \exists x \in U, T(x) = v. \rightarrow \forall w \in W, \exists T(x) \in V. S(T(x)) = w \rightarrow ST$  满  
 $\rightarrow ST$  可逆

$$T^{-1}S^{-1}ST = T^{-1}(S^{-1}S)T = T^{-1}I_V T = T^{-1}T = I_U$$

$$STT^{-1}S^{-1} = S(TT^{-1})S^{-1} = SI_V S^{-1} = SS^{-1} = I_W$$

$$\text{def } \rightarrow (ST)^{-1} = T^{-1}S^{-1}.$$

2. Pf  $V$  有限维.  $\dim V > 1$ . Proof:  $V$  上的不可逆算子构成的集合不是  $\mathcal{L}(V)$  的子空间  
 $T_1(x, y) = (x, 0), T_2(x, y) = (0, y), \text{null } T_1 = (0, x), \text{null } T_2 = (x, 0) \rightarrow T_1, T_2$  不可逆  
 $\rightarrow (T_1 + T_2)(x, y) = (x, 0) + (0, y) = (x, y) = I_V(x, y)$  可逆

3. Pf 有限维  $V, U$  是  $V$  的子空间.  $S \in \mathcal{L}(U, V)$ . Proof:  $(\exists$  可逆  $T \in \mathcal{L}(V) \rightarrow \forall u \in U, Tu = Su) \Leftrightarrow S$  单  
可逆  $T \in \mathcal{L}(V), \forall u \in U, Tu = Su \rightarrow S$  单  
 $\forall S(x) = S(y), S(x) = T(x) = T(y) = S(y) \rightarrow x = y \rightarrow S$  单

$$S \text{ 单} \rightarrow \exists \text{ 可逆 } T \in \mathcal{L}(V), \forall u \in U, Tu = Su$$

$$\dim \text{null } S = 0$$

$$\dim U = \dim \text{null } S + \dim \text{range } S$$

$$\rightarrow \dim \text{range } S = \dim U - \dim \text{null } S$$

$$\rightarrow \dim \text{range } S = \dim U \leq \dim V$$

$$\rightarrow \exists W \subset V \wedge W \cap U = \{0\}, \dim W = \dim V - \dim U$$

$$T = S + T', T' \in \mathcal{L}(W, V), T(\mathbf{a}u + \mathbf{b}w) = \mathbf{b}w$$

$$S(\mathbf{a}u + \mathbf{b}w) = S(\mathbf{a}u)$$

$$T \in \mathcal{L}(V), Tu = u$$

4. Pf 有限维  $W, T_1, T_2 \in \mathcal{L}(V, W)$ . Proof:  $\text{null } T_1 = \text{null } T_2 \Leftrightarrow \exists$  可逆  $S \in \mathcal{L}(W) \rightarrow T_1 = ST_2$

$$V \text{ 有限维}$$

$$\text{null } T_1 = \text{null } T_2 \rightarrow \dim \text{range } T_1 = \dim \text{range } T_2$$

$$\rightarrow \text{range } T_1 \cong \text{range } T_2$$

$$\rightarrow \exists \text{ 同构映射 } S \in \mathcal{L}(\text{range } T_2, \text{range } T_1), T_1(x) = ST_2(x)$$

5. Pf 有限维  $V, T_1, T_2 \in \mathcal{L}(V, W)$ . Proof:  $\text{range } T_1 = \text{range } T_2 \Leftrightarrow \exists$  可逆  $S \in \mathcal{L}(V) \rightarrow T_1 = T_2 S$   
 $\dim V = \dim \text{null } T_1 + \dim \text{range } T_1 \rightarrow \text{null } T_1$  和  $\dim \text{range } T_1$  都是有限维  
 $\text{range } T_1 = \text{range } T_2 \rightarrow \dim \text{range } T_1 = \dim \text{range } T_2$   
 $\rightarrow \exists S \in \mathcal{L}(\text{range } T_1, \text{range } T_2), T_2 = ST_1, \mathcal{M}(S) = S\mathbf{v} = \mathbf{v} \rightarrow T_1 = S^{-1}ST_1 = S^{-1}T_2$   
 $\rightarrow T_1 = S^{-1}T_2$

6. Pf 有限维  $V, W, T_1, T_2 \in \mathcal{L}(V, W)$ . Proof:  $\exists$  可逆  $R \in \mathcal{L}(V), \exists$  可逆  $S \in \mathcal{L}(W) \rightarrow T_1 = ST_2 R$   
 $\Leftrightarrow \dim \text{null } T_1 = \dim \text{null } T_2$   
 $T_1 = ST_2 R \rightarrow \text{range } \dim T_1 = \dim \text{range } ST_2 R$   
 $R$  满  $\rightarrow \forall S, \dim \text{range } SR = \dim \text{range } S$   
 $\rightarrow \dim \text{range } ST_2 R = \dim \text{range } ST_2$   
 $S$  单  $\rightarrow \forall T, \dim \text{range } ST = \dim \text{range } T$   
 $\rightarrow \dim T_1 = \dim \text{range } ST_2 = \dim \text{range } T_2$

$$\dim \text{null } T_1 = \dim \text{null } T_2 \rightarrow \exists R, S \rightarrow T_1 = ST_2 R$$

$$\dim \text{null } T_1 = \dim \text{null } T_2 \rightarrow \dim \text{range } T_1 = \dim \text{range } T_2$$

$$\rightarrow \exists S \in \mathcal{L}(W, W) \rightarrow T_1 = ST_2$$

$$I_V \in \mathcal{L}(V, V) \rightarrow T_1 = ST_2 = ST_2 I_V$$

7. 有限维 $V, W, v \in V, E = \{T \in \mathcal{L}(V, W) : Tv = 0\}$

Pf  $E$ 是 $\mathcal{L}(V, W)$ 的子空间

$$\dim V = \text{span}(v, v).$$

$$\mathbf{0}(v) = 0 \rightarrow \mathbf{0} \in E$$

$$T(av) + T(av) = T(av) = T(av + av) \in E$$

$$T(av + av) + T(bv + bv) = T(av) + T(bv) = T((av + av) + (bv + bv)) \in E$$

$$\lambda T((av + av)) = \lambda T(av) = T(\lambda av + \lambda av) \in E$$

$\rightarrow E$ 是子空间

Cp  $v \neq 0$ . Compute  $\dim E$

$$v \neq 0 \rightarrow Tv = 0$$

$$\rightarrow \forall x \in V, x = av + av$$

$$T(ax + av) = T(av)$$

$$\rightarrow \mathcal{M}(T)_{.,1} = (0, 0, \dots, 0)$$

$$\rightarrow \dim \mathcal{M}(T) = (\dim V - 1) \times \dim W$$

$$\mathcal{M}(T), T \text{同构} \rightarrow \dim T = \dim \mathcal{M}(T) = (\dim V - 1) \times \dim W$$

8.Pf 有限维 $V, T \in \mathcal{L}(V, W)$ , 且 $T$ 满. Proof:  $\exists U \subset V \rightarrow T|_U$ 是 $U, W$ 的同构.  $T|_U$ 是 $T$ 在 $U$ 上的限制

$T$ 满  $\rightarrow \dim \text{range } T = \dim W \rightarrow W$ 有限维

$$\dim V \geq \dim W$$

$$\text{span}(v, s) = V \rightarrow \text{length } v = \dim W$$

$$\rightarrow \dim \text{span}(v) = \dim W$$

$$\rightarrow \exists \text{同构 } S. T = RS.$$

9.Pf 有限维 $V, S, T \in \mathcal{L}(V)$ . Proof:  $ST$ 可逆  $\Leftrightarrow S$ 可逆  $\wedge T$ 可逆

$$ST \text{可逆} \rightarrow ST \text{单}$$

$$\forall x \neq y \in V. ST(x) \neq ST(y)$$

$$\text{设 } T \text{不单} \rightarrow \exists x \neq y \in V. T(x) = T(y) \rightarrow ST(x) = ST(y)$$

与 $ST$ 的单性矛盾

同理 $S$ 单

$$\rightarrow S, T \text{都满}$$

$$\rightarrow S, T \text{可逆}$$

Pf2

$$\dim V = \dim \text{null } ST + \dim \text{range } ST$$

$$\dim V = \dim \text{range } ST$$

$$\dim V = \dim \text{null } S + \dim \text{range } S$$

$$\dim V = \dim \text{null } T + \dim \text{range } T$$

$$\dim \text{range } X \leq \dim \text{range } XY$$

$$\rightarrow \dim V = \dim \text{range } ST = \dim \text{range } S = \dim \text{range } T$$

$$\rightarrow S \text{可逆}, T \text{可逆}$$

$$S, T \text{可逆} \rightarrow ST \text{可逆}$$

$$\forall x \in V$$

$$\rightarrow (T^{-1}S^{-1})(ST)(x) = T^{-1}(S^{-1}S)T(x)$$

$$= T^{-1}I_V T(x) = (T^{-1}T)(x) = I_V(x) = x$$

$$\rightarrow (ST)(T^{-1}S^{-1})(x) = S(TT^{-1})S^{-1}(x)$$

$$= SI_V S^{-1}(x) = (SS^{-1})(x) = x$$

$$\rightarrow T^{-1}S^{-1} \text{是 } ST \text{的逆}$$

$$\rightarrow ST \text{可逆}$$

10.Pf 有限维 $V$ . $S, T \in \mathcal{L}(V)$ .Proof:  $ST = I_V \Leftrightarrow TS = I_V$

$I_V$ 可逆  $\rightarrow S, T$ 可逆  $\rightarrow TS$ 可逆

$$I_V = (T^{-1}S^{-1})(ST) \rightarrow I_V = T^{-1}S^{-1}$$

$$I_V = STST \rightarrow TS = S^{-1}T^{-1} = (TS)^{-1}$$

$$I_V = TS(TS)^{-1} = TSTS = TS$$



11.Pf 有限维 $V$ .  $S, T, U \in \mathcal{L}(V)$ .  $STU = I_V$ . Proof:  $T$ 可逆  $\wedge T^{-1} = US$

$$STU = I_V \rightarrow (ST)U = I_V \rightarrow U(ST) = I_V$$

$$(US)T = I_V$$

$$I_V \text{可逆} \rightarrow US \text{可逆} \wedge T \text{可逆}$$

$$(US)T = I_V \rightarrow T(US) = I_V$$

Df

$$\rightarrow T^{-1} = US$$

12.Eg 无限维 $V$ . 上述结论不成立

$$I_V \text{可逆} f(x) = x. \sigma(p(x)) = p'(x). \varphi(p(x)) = \int p(x)$$

$$\sigma\varphi(p) = I_P(p) = p$$

$$\sigma I_P \varphi(p) = p$$

$$\varphi I_P \sigma(p) = \{p + c \times 1: p\}$$

13.Pf 有限维 $V$ .  $R, S, T \in \mathcal{L}(V)$ ,  $RST$ 满. Proof:  $S$ 单

$$\text{有限} \rightarrow RST \text{满} \rightarrow RST \text{单}$$

$$\rightarrow (RST)^{-1} \text{存在}$$

$$\rightarrow R^{-1}, S^{-1}, T^{-1} \text{存在}$$

$$\rightarrow R, S, T \text{即单又满}$$

14.Pf  $v$ 是 $V$ 的基.  $T: V \rightarrow F^{n,1}$ ,  $Tv = \mathcal{M}(v)$ . Proof:  $T$ 是 $V, F^{n,1}$ 的同构

$$\forall T(x) \neq T(y). x \neq y \rightarrow x = \mathbf{a}v, y = \mathbf{b}v \wedge \mathbf{a} \neq \mathbf{b}$$

$$\rightarrow \mathcal{M}(x) = \mathbf{a}' \neq \mathbf{b}' = \mathcal{M}(y)$$

$$\rightarrow T \text{是单的}$$

$$V \text{是有限维的} \wedge F^{n,1} \text{是有限维的} \rightarrow T \text{是满的}$$

$$\rightarrow T \text{是同构}$$

15.Pf  $T \in \mathcal{L}(F^{n,1}, F^{m,1})$ ,  $\exists m, n$ 矩阵 $A \rightarrow \forall x \in F^{n,1}, Tx = Ax$

$$\forall \mathbf{x} \in F^{n,1}, \mathbf{x} = \mathbf{a}v.$$

$$T(\mathbf{x}) = \mathbf{T}(\mathbf{a}v) = \mathbf{a}T(v)$$

$$\mathcal{M}(T) = \begin{pmatrix} T(v_1) & \cdots & T(v_n) \\ \vdots & \ddots & \vdots \\ T(v_1) & \cdots & T(v_n) \end{pmatrix}$$

$$\mathcal{M}(T)\mathbf{x} = \begin{pmatrix} T(v_1) & \cdots & T(v_n) \end{pmatrix} \begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{n,1} & \cdots & a_{n,n} \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \mathbf{a}T(v)$$

???

16.Pf 有限维 $V$ .  $T \in \mathcal{L}(V)$ . Proof:  $Tx = (\lambda I_V)x \Leftrightarrow \forall S \in \mathcal{L}(V), ST = TS$

$$Tx = \lambda I_V(x). \forall S \in \mathcal{L}(V). ST = S(\lambda I_V(x)) = S(\lambda x) = \lambda S(x) = \lambda I_V(S) = TS$$

$$\forall S \in \mathcal{L}(V), ST = TS$$

$$\rightarrow STST = (ST)(ST) = (TS)(TS) = S^2T^2 = T^2S^2$$

$$???$$

17.Pf 有限维 $V$ .  $\mathcal{E}$ 是 $\mathcal{L}(V)$ 的子空间.  $\forall S \in \mathcal{L}(V), \forall T \in \mathcal{E}, ST \in \mathcal{E} \wedge TS \in \mathcal{E}$ . Proof:  $\mathcal{E} = \{0\} \vee \mathcal{E} = \mathcal{L}(V)$

$$\mathcal{E} = \{0\} \rightarrow \forall S \in \mathcal{L}(V). ST = S\mathbf{0} = \mathbf{0}S = \mathbf{0} \in \mathcal{E} \rightarrow \mathcal{E} = \{0\} \text{时上述结论成立}$$

轮换

$$\text{设 } \mathcal{E} \neq \{0\} \wedge \mathcal{E} \neq \mathcal{L}(V)$$

$$\forall U \subseteq V. \mathcal{E} = \mathcal{L}(U).$$

$$TS \in \mathcal{E} \rightarrow \text{任意轮换线性变换 } S(x_1, \dots, x_n) = (x_2, x_3, \dots, x_n, x_1)$$

$$S^2 \dots S^n \in \mathcal{L}(V).$$

$$\forall ST \in \mathcal{E}, \text{这样每个被 } T \text{变换掉的变量会重新出现}$$

$$\rightarrow ST \subset \mathcal{L}(U). TS \in \mathcal{L}(V)$$

$$\rightarrow \mathcal{E} = \mathcal{L}(V)$$

18. Pf  $V$  和  $\mathcal{L}(F, V)$  是同构的向量空间

$$\forall \mathbf{t} \in V. T(\mathbf{t}) = \Phi(\mathbf{t}, \mathbf{x})$$

$$\Phi(\mathbf{t}, \mathbf{x}) = \mathbf{t} \cdot \mathbf{x}$$

$$\Phi(\mathbf{t}, \mathbf{x} + \mathbf{y}) = \mathbf{t} \cdot (\mathbf{x} + \mathbf{y})$$

$$= \mathbf{t} \cdot \mathbf{x} + \mathbf{t} \cdot \mathbf{y}$$

$$= \Phi(\mathbf{t}, \mathbf{x}) + \Phi(\mathbf{t}, \mathbf{y})$$

$$\Phi(\mathbf{t}, \lambda \mathbf{x}) = \mathbf{t} \cdot \lambda \mathbf{x}$$

$$= \lambda(\mathbf{t} \cdot \mathbf{x})$$

$$= \lambda \Phi(\mathbf{t}, \mathbf{x})$$

$$\rightarrow \Phi(\mathbf{t}, \mathbf{x}) \in \mathcal{L}(V, F)$$

$\rightarrow T$  是  $V$  和  $\mathcal{L}(F, V)$  的同构

$$\mathcal{L}(F, V) \cong \mathcal{L}(V, F)$$

$\rightarrow V$  和  $\mathcal{L}(F, V)$  存在同构

19.  $T \in \mathcal{L}(\mathcal{P}(R))$  单.  $\forall p \in \mathcal{P}(R) \wedge p \neq 0. \deg Tp \leq \deg p$ .

Pf  $T$  满

$$\deg p = 0 \rightarrow \deg T(p) \leq 0 \rightarrow Tp = c$$

$$\deg p = 1 \rightarrow \deg T(p) \leq 1 \rightarrow Tp = ax + b$$

...

$$\rightarrow \deg p = n \rightarrow \deg T(p) \leq n \rightarrow \deg Tp = n$$

$$\rightarrow \text{span}(x^0, x^1, \dots, x^n, \dots) = \mathcal{P}(R)$$

$\rightarrow T$  满

Pf  $\forall p \in \mathcal{P}(R) \wedge p \neq 0. \deg Tp = \deg p$

20. Pf  $A$  是方阵. 下面两命题等价

1.  $A\mathbf{x} = \mathbf{0}$  的唯一解  $\mathbf{0}$

2.  $\forall \mathbf{b}, A\mathbf{x} = \mathbf{b}$  有解

$$1 \rightarrow 2 \quad A\mathbf{x} = \mathbf{0} \rightarrow \mathbf{x} = \mathbf{0} \rightarrow \text{null } A = \{\mathbf{0}\}$$

$$\rightarrow \dim \text{range } A = \dim V$$

$$\rightarrow \forall \mathbf{b} \in V. A\mathbf{x} = \mathbf{b} \text{ 有解}$$

$$2 \rightarrow 1 \quad \forall \mathbf{b} \in V, A\mathbf{x} = \mathbf{b} \text{ 有解}$$

$$\rightarrow \dim \text{range } A = \dim V$$

$$\rightarrow \dim \text{null } A = \{0\}$$

$$\rightarrow A\mathbf{x} = \mathbf{0} \rightarrow \mathbf{x} = \mathbf{0}$$

## 5 向量空间的积与商

通常处理多个向量空间时, 这些向量空间都应该定义在同一个域上。

### 5.1 向量空间的积

定义 5.1. 向量空间的有限积(product of vector space)

$V_1, \dots, V_n$  是  $F$  上的向量空间

$$V_1 \times V_1 \times \dots \times V_n = \{(v_1, \dots, v_n) : v_1 \in V_1, \dots, v_n \in V_n\}$$

$$\begin{aligned} \forall x, y \in \prod V_i. x + y &= (x_1 + y_1, \dots, x_n + y_n) \quad \text{加法} \\ \forall x \in \prod V_i. \forall \lambda \in F. \lambda x &= (\lambda x_1, \dots, \lambda x_n) \quad \text{标乘} \end{aligned}$$

例 5.2.  $A = \mathcal{P}_2(R) \times R^3. \forall x \in A. \text{length } x = 2. (x^2 + x + 1, (1, 1, 1)) \in A$

定理 5.3. 向量空间的有限积是向量空间

1.  $\forall x, y \in S. x + y = y + x$
2.  $\forall x, y, z \in S. (x + y) + z = x + (y + z)$
3.  $\exists 0 \in S. 0 = (0, \dots, 0). \forall x \in S. x + 0 = x$
4.  $\forall x \in S. \exists -x = (-x_1, \dots, -x_n). x + -x = 0$
5.  $1 \in F. \forall x \in S. 1 \cdot x = x$
6.  $\forall \lambda \in F, \forall x, y \in S. \lambda(x + y) = \lambda x + \lambda y.$   
 $\forall a, b \in F, \forall x \in S. (a + b)x = ax + bx.$

→任意有限个向量空间的积空间 $S$ 是向量空间

定理 5.4. 有限维向量空间的有限积。积空间的维数等于各个向量空间维数的和

$$\dim V_1 \times V_2 = \dim V_1 + \dim V_2$$

证明.

$$\begin{aligned} V_1 &= \text{span}(\mathbf{v}_1). V_2 = \text{span}(\mathbf{v}_2) \\ \rightarrow V_1 \times V_2 &= (\mathbf{a}\mathbf{v}_1, \mathbf{b}\mathbf{v}_2) = \text{span}(\mathbf{v}_1, \mathbf{v}_2) \\ \rightarrow \dim V_1 \times V_2 &= \dim V_1 + \dim V_2 \end{aligned}$$

□

定理 5.5. 积与直和的关系

$$\begin{aligned} U_1, \dots, U_m \text{ 为 } V \text{ 的子空间. 线性映射 } \Gamma: U_1 \times \dots \times U_m &\rightarrow U_1 + \dots + U_m \\ \Gamma(u_1, \dots, u_m) &= u_1 + \dots + u_m. \\ U_1 + \dots + U_m \text{ 是直和 } &\Leftrightarrow \Gamma \text{ 是单的} \end{aligned}$$

Remark: 有限维空间中 $U_i$ 是有限维的 →  $\Gamma$ 是满的

证明.

$$\begin{aligned} \Gamma \text{ 单} &\Leftrightarrow \text{null } \Gamma = \{0\} \\ \rightarrow \Gamma(x) = 0 &= u_1 + \dots + u_m \Leftrightarrow u_1 = u_2 = \dots = u_m = 0 \end{aligned}$$

□

定理 5.6. 线性空间的和为直和  $\Leftrightarrow$  和空间维数为个空间维数之和

$V$ 有限维. $U_1, \dots, U_m$ 是 $V$ 的子空间. $U_1 + \dots + U_m$ 是直和  $\Leftrightarrow \dim(U_1 + \dots + U_m) = \dim U_1 + \dots + \dim U_m$

证明.

$$\begin{aligned} \Gamma: U_1 \times \dots \times U_m &\rightarrow U_1 + \dots + U_m. \\ \dim(U_1 + \dots + U_m) &= \dim(U_1 \times \dots \times U_m) \\ \dim(U_1 \times \dots \times U_m) &= \dim(U_1 + \dots + U_m) \Leftrightarrow \Gamma \text{ 单} \\ \Gamma \text{ 单} &\Leftrightarrow U_1 \oplus \dots \oplus U_m \end{aligned}$$

□

## 5.2 向量空间的商

定义 5.7. 向量与子空间的和

$$v \in V. U \text{ 是 } V \text{ 的子空间. } v + U \subset V. v + U = \{v + u : u \in U\}$$

定义 5.8. 仿射子集(affine subset). 平行(parallel)

仿射子集  $\forall v \in V. U \text{ 是 } V \text{ 的子空间. } v + U$   
 平行  $\forall v \in V. U \text{ 是 } V \text{ 的子空间. 仿射子集 } v + U \text{ 平行于 } U$

Remark: 这里平行的概念与几何上的平行不同。  $R^3$  的直线不平行与  $R^3$  中的平面

定义 5.9. 商空间(quotient space),  $V/U$

$U$  是  $V$  的子空间. 商空间  $V/U$  指所有平行与  $U$  的仿射子集的集合  
 $V/U = \{v + U : v \in V\}$

例 5.10. 商空间

1.  $U = \{x, 2x\} \in R^2. R^2/U$  是  $R^2$  中所有斜率为 2 的直线的集合
2.  $U = \{(x, y, z) : A_1x + B_1y + C_1z = 0; A_2x + B_2y + C_2z = 0\}.$   
 $R^3/U = \{(x, y, z) : A_1x + B_1y + C_1z + D_1 = 0; A_2x + B_2y + C_2z + D_2 = 0. D_1, D_2 \text{ 是随机的}\}$
3.  $U = \{(x, y, z) : Ax + By + Cz = 0\}. R/U = \{(x, y, z) : Ax + By + Cz + D = 0. D \text{ 是随机的}\}$

定理 5.11. 平行于  $U$  的两个仿射子集相等或不相交

$U$  是  $V$  的子空间.  $v, w \in V$ . 以下命题等价

1.  $v - w \in U$
2.  $v + U = w + U$
3.  $(v + U) \cap (w + U) \neq \emptyset$

证明.

$$\begin{aligned} 1 \rightarrow 2 \quad & v - w \in U. \forall u \in U. v + u = w + (v - w) + u \in w + U \\ & \rightarrow u + U \in w + U \\ & w + u = v - (v - w) + u \in v + U \\ & \rightarrow w + U \in v + U \\ & \rightarrow v + U = w + U \end{aligned}$$

□

$$2 \rightarrow 3 \quad v + U = w + U \rightarrow v + U \cap w + U = v + U = w + U \neq \emptyset$$

$$\begin{aligned} 3 \rightarrow 1 \quad & (v + U) \cap (w + U) \neq \emptyset \\ & \rightarrow \exists u_1, u_2 \in U \rightarrow v + u_1 = w + u_2 \\ & \quad v - w = u_2 - u_1 \in U \end{aligned}$$

定义 5.12. 商空间商的加法和标量乘法(addition and scalar multiplication on  $V/U$ )

$U$  是  $V$  的子空间.  $V/U$  上定义运算  
 加法  $(v + U) + (w + U) = (v + w) + U$   
 标乘  $\lambda(v + U) = \lambda v + U$

**定理 5.13.** 商空间上定义的加法和标乘使得商空间构成向量空间

**证明.**

$$\begin{aligned}
 v, w \in V, \hat{v}, \hat{w} \in V &\rightarrow v + U = \hat{v} + U, w + U = \hat{w} + U \\
 v + U = \hat{v} + U &\rightarrow v - \hat{v} \in U, w - \hat{w} \in U \\
 &\rightarrow (v - \hat{v}) - (w - \hat{w}) \in U \\
 &\rightarrow (v + w) - (\hat{v} + \hat{w}) \in U \\
 &\rightarrow (v + w) + U = (\hat{v} + \hat{w}) + U \\
 &\text{表示不同的相同元素的加法结果是同一和} \\
 &\rightarrow \text{加法映射是合理的}
 \end{aligned}$$

$$\begin{aligned}
 v + U = \hat{v} + U &\rightarrow v - \hat{v} \in U \\
 &\rightarrow \lambda(v - \hat{v}) \in U \\
 &\rightarrow \lambda v - \lambda \hat{v} \in U \\
 &\rightarrow \lambda v + U = \lambda \hat{v} + U \\
 &\rightarrow \text{相同元素的标量乘法表示的是同一个元素} \\
 &\rightarrow \text{标乘映射是合理的}
 \end{aligned}$$

□

1.  $\forall x, y \in V. (x + U) + (y + U) = (x + y) + U = (y + x) + U = (y + U) + (x + U)$
2.  $\forall x, y, z \in V. (x + y) + U + (z + U) = (x + y + z) + U = x + U + (y + z) + U$
3.  $0 \in V. \forall x \in V. (x + U) + (0 + U) = (x + 0) + U = x + U$
4.  $\forall x \in V. -x \in V. (x + U) + (-x + U) = (x + -x) + U = 0 + U$
5.  $1 \in F. \forall x \in V. 1(x + U) = (1x) + U = x + U$
6.  $\lambda((x + U) + (y + U)) = (\lambda x + \lambda y) + U$   
 $= (\lambda x + U) + (\lambda y + U)$   
 $= \lambda(x + U) + \lambda(y + U)$   
 $(a + b)(x + U) = ((a + b)x) + U$   
 $= (ax + bx) + U$   
 $= (ax + U) + (bx + U)$   
 $= a(x + U) + b(x + U)$   
 $\rightarrow \text{向量空间对子空间的商空间是向量空间}$

**定义 5.14.** 商映射(quotient map)

$U$  是  $V$  的子空间. 商映射  $\pi$  是映射  $\pi: V \rightarrow V/U$   
 $\pi(v) = v + U$

**定理 5.15.** 商映射对变量  $v$  是线性映射

**证明.**

$$\begin{aligned}
 \forall x, y \in V. \pi(x + y) &= (x + y) + U \\
 &= (x + U) + (y + U) \\
 &= \pi(x) + \pi(y) \\
 \forall x \in V, \forall \lambda \in F. \pi(\lambda x) &= (\lambda x) + U \\
 &= \lambda(x + U) \\
 &= \lambda \pi(x)
 \end{aligned}$$

□

**定理 5.16.** 商空间的维数

$V$  是有限维的.  $U$  是  $V$  的子空间.  $\dim V/U = \dim V - \dim U$

证明.

$$\begin{aligned}
 \pi: V &\rightarrow V/U. \\
 \text{null } \pi &= U. \text{range } \pi = V/U \\
 \rightarrow \dim V &= \dim \text{null } \pi + \dim \text{range } \pi \\
 &= \dim U + \dim V/U \\
 \rightarrow \dim V/U &= \dim V - \dim U
 \end{aligned}$$

□

定义 5.17. 线性映射诱导的商空间映射

$$\begin{aligned}
 T \in \mathcal{L}(V, W). \tilde{T}: V/(\text{null } T) &\rightarrow W \\
 \tilde{T}(v + \text{null } T) &= T(v)
 \end{aligned}$$

$$\begin{aligned}
 \text{验证它是个映射} \rightarrow \forall u, v \in V \rightarrow u + \text{null } T &= v + \text{null } T \\
 \rightarrow u - v &\in \text{null } T \\
 T(u - v) &= T(u) - T(v) = 0 \rightarrow T(u) = T(v) \\
 \rightarrow \text{若 } u, v \text{ 诱导出同一个商空间则它们的线性映射相同}
 \end{aligned}$$

定理 5.18.  $\tilde{T}$  的零空间与值域

- $$T \in \mathcal{L}(V, W)$$
1.  $\tilde{T}$  是  $V/\text{null } T \rightarrow W$  的线性映射
  2.  $\tilde{T}$  单
  3.  $\text{range } \tilde{T} = \text{range } T$
  4.  $V/\text{null } T$  与  $\text{range } T$  同构

证明.

1.  $\forall x, y \in V/\text{null } T. \tilde{T}(x + y) = T((x + y) + \text{null } T) = T(x + y)$   
 $= T(x) + T(y) = \tilde{T}(x + \text{null } T) + \tilde{T}(y + \text{null } T)$   
 $\forall x \in V/\text{null } T, \lambda \in F. \tilde{T}(\lambda x) = \tilde{T}(\lambda x + \text{null } T)$   
 $= T(\lambda x) = \lambda T(x) = \lambda(\tilde{T}(x + \text{null } T))$   
 $\rightarrow \tilde{T} \in \mathcal{L}(V/\text{null } T, W)$
2.  $\forall v \in V. \tilde{T}(v + \text{null } T) = T(v) = 0 \rightarrow v \in \text{null } T.$   
 $\rightarrow v + \text{null } T = 0 + \text{null } T$   
 $\rightarrow \text{null } \tilde{T} = \{0\}$   
 $\rightarrow \tilde{T}$  单
3.  $\forall v \in V. \tilde{T}(v + \text{null } T) = T(v)$   
 $\rightarrow \text{range } \tilde{T}(v + \text{null } T) = \text{range } T(v)$
4.  $\tilde{T}$  即单又满  $\rightarrow \tilde{T}$  可逆  $\rightarrow \tilde{T}$  是  $V/\text{null } T$  和  $\text{range } T$  的同构

□

## 习题3.E

1. Proof:  $T: V \rightarrow W. T$  的图是  $V \times W$  的的子集  $T$  的图  $= \{(v, Tv) \in V \times W: v \in V\}.$

Proof:  $T$ 是线性映射  $\Leftrightarrow T$ 的图是  $V \times W$ 的子空间

$$\begin{aligned} T \text{是线性映射} &\rightarrow T \text{的图是 } V \times W \text{的子空间} \\ 0 \in V, T(0) = 0 \in W &\rightarrow (0, T(0)) \in G(T) \\ \forall x, y \in G(T). x + y = (x, T(x)) + (y, T(y)) \\ &= (x + y, T(x) + T(y)) \\ &= (x + y, T(x + y)) \\ x, y \in V &\rightarrow T(x + y) \in \text{range } T \\ &\rightarrow x + y \in G(T) \end{aligned}$$

$$\begin{aligned} \forall x \in G(T). \lambda \in F. \lambda x &= (\lambda x, \lambda T(x)) \\ &= (\lambda x, T(\lambda x)) \\ \lambda x \in V &\rightarrow T(\lambda x) \in \text{range } T \\ &\rightarrow (\lambda x, T(\lambda x)) \in G(T) \\ &\rightarrow G(T) \text{是子空间} \end{aligned}$$

$$\begin{aligned} G(T) \text{是子空间} &\rightarrow T \text{是线性映射} \\ \forall (x, Tx), (y, Ty) \in G(T) &\rightarrow (x + y, Tx + Ty) \in G(T) \\ (x + y, T(x + y)) &\in G(T) \rightarrow T(x + y) \\ \forall u \in V, T(u) = T(u) &\rightarrow T(x) + T(y) = T(x + y) \\ \forall (x, Tx) \in G(T). \lambda(x, Tx) &= (\lambda x, \lambda Tx) \in G(T) \\ (\lambda x, T(\lambda x)) \in G(T) &\rightarrow \lambda Tx = T(\lambda x) \\ &\rightarrow T \in \mathcal{L}(V, W) \end{aligned}$$

2. Proof:  $V_1, \dots, V_m$ 都是向量空间使得  $V_1 \times \dots \times V_m$ 是有限维的. Proof: 每个  $V$ 都是有限维的

$$\begin{aligned} \dim(V_1 \times \dots \times V_m) &= \dim V_1 + \dots + \dim V_m \\ \text{若 } V_i \text{无限} &\rightarrow \dim(V_1 \times \dots \times V_m) \geq \dim V_i \text{矛盾} \\ &\rightarrow V_i \text{有限维} \end{aligned}$$

3. Example: 给出向量空间和两个子空间  $U_1, U_2$ 的例子.  $U_1 \times U_2$ 同构于  $U_1 + U_2$ , 但  $U_1 + U_2$ 不是直和

$$\begin{aligned} V = \mathbb{R}^\infty. U_1 = U_{\text{odd}}; U_2 = U_{\text{even}} + \text{span}((x, 0, \dots)). U_1 \times U_2 &\cong U_1 + U_2. U_1 \cap U_2 = \text{span}((x, 0, \dots)) \\ &\rightarrow U_1 + U_2 \text{不是直和} \end{aligned}$$

4. Proof:  $V_1, \dots, V_m$ 均为向量空间. Proof:  $\mathcal{L}(V_1 \times \dots \times V_m, W)$ 和  $\mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W)$ 同构

$$\begin{aligned} \forall f \in \mathcal{L}(V_1 \times \dots \times V_m, W). \\ f_i: V_i &\rightarrow V_i. f_i \in \mathcal{L}(V_i, W) \\ f &= \sum f_i \\ \text{null } f \rightarrow f = \mathbf{0} &\Leftrightarrow f_i = \mathbf{0} \\ \varphi: f &\rightarrow (f_i) \\ \varphi: \mathcal{L}(V_1 \times \dots \times V_m, W) &\rightarrow \mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W) \\ \varphi \in \mathcal{L}(\mathcal{L}(V_1 \times \dots \times V_m, W), \mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W)) \\ \text{null } \varphi &= \{f: \varphi(f) = \mathbf{0}\} \\ \varphi(f) = \mathbf{0} &\rightarrow f_i = \mathbf{0} \rightarrow f = \mathbf{0} \\ &\rightarrow \varphi \text{是单的} \\ \forall f \in \mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W) \\ f &= (f_i), f_i \in \mathcal{L}(V_i, W) \\ \sum f_i = f &\in \mathcal{L}(V_1 \times \dots \times V_m, W) \\ \varphi(f) &= (f_i) \\ &\rightarrow \varphi \text{满} \\ &\rightarrow \varphi \text{是同构} \end{aligned}$$

5. Proof:  $W_1, \dots, W_m$ 为向量空间. Proof:  $\mathcal{L}(V, W_1 \times \dots \times W_m)$ 和  $\mathcal{L}(V, W_1) \times \dots \times \mathcal{L}(V, W_m)$ 同构

$$\begin{aligned}
\varphi_i: \mathcal{L}(V, W_1 \times \cdots \times W_n) &\rightarrow \mathcal{L}(V, W_i) \\
\varphi_i(f(V, W_1 \times \cdots \times W_n)) &= f_i(V, W_i) \\
f \in \mathcal{L}(V, W_1 \times \cdots \times W_n) &\rightarrow f(v, w) = f(v, \mathbf{a}w) \\
&\rightarrow f = \sum f_i \\
f_i &\in \mathcal{L}(V, W_i) \\
\text{null } \varphi \rightarrow \varphi(f) = \mathbf{0} &\rightarrow \sum f_i = \mathbf{0} \\
&\rightarrow f_i = \mathbf{0} \rightarrow f = \mathbf{0} \\
&\rightarrow \varphi \text{ 是单射}
\end{aligned}$$

$$\begin{aligned}
\forall f \in \mathcal{L}(V, W_1) \times \cdots \times \mathcal{L}(V, W_n) \\
\sum (f_i) - f \in \mathcal{L}(V, W_1 \times \cdots \times W_n) \\
\varphi \text{ 是满射} \\
\rightarrow \varphi \text{ 是 } \mathcal{L}(V, W_1 \times \cdots \times W_n) \text{ 和 } \mathcal{L}(V, W_1) \times \cdots \times \mathcal{L}(V, W_n)
\end{aligned}$$

6. Proof:  $n \in N^+, V^n = V \times \cdots \times V$ . Proof:  $V^n \cong \mathcal{L}(F^n, V)$

$$\begin{aligned}
&\text{只证了有限维的} \\
\forall f \in \mathcal{L}(V, F), f = \mathbf{a}v &\quad ??? \\
\text{length } \mathbf{a} = \text{length } v = \dim V \\
&\rightarrow \mathcal{L}(V, F) \cong V \\
&\quad 4, 5 \\
&\rightarrow V \cong \mathcal{L}(F, V) \\
&\quad ??? \quad \text{有限维貌似也不会}
\end{aligned}$$

7. Proof:  $\exists x, y \in V, U, W$  是  $V$  的子空间,  $x + U = y + W$ . Proof:  $U = W$

$$\begin{aligned}
\forall u \in U, \exists w \in W, x + u &= y + w \\
&\rightarrow (x - y) - w = u \in U \\
x \in x + U, y \in y + W &\rightarrow x - (y + w) = u \in U \\
&\quad x - y \in U - W \\
&\rightarrow \forall w \in W, (x - y) - u = w \in W \\
&\quad \rightarrow U \subset W \\
U - W - W \in U &\rightarrow U - W \in U \quad \text{这里的 } - \text{ 表示各个元素进行 } - \text{ 运算} \\
W - U \subset U \\
W \subset U
\end{aligned}$$

8. Proof:  $V$  的非空子集  $A$  是  $V$  的仿射子集  $\Leftrightarrow \forall x, y \in A, \forall \lambda \in F \rightarrow \lambda x + (1 - \lambda)y \in A$

$$\begin{aligned}
A \text{ 是 } V \text{ 的仿射子集} &\rightarrow \forall x, y \in A, \forall \lambda \in F \rightarrow \lambda v + (1 - \lambda)w \in A \\
A = v + U, \forall x, y \in A, x &= v + u_1 + v + u_2 \\
&\quad \lambda(v + u_1) + (1 - \lambda)(v + u_2) \\
&= \lambda v + \lambda u_1 + (1 - \lambda)v + (1 - \lambda)u_2 \\
&= v + \lambda u_1 + (1 - \lambda)u_2 \\
&\in v + U = A
\end{aligned}$$

$$\begin{aligned}
\forall x, y \in A, \forall \lambda \in F &\rightarrow \lambda x + (1 - \lambda)y \in A \rightarrow A \text{ 是仿射子集} \\
\lambda x + (1 - \lambda)y \in A &\rightarrow \lambda x + y - \lambda y \in A \\
&\quad \lambda(x - y) + y \in A \\
&\quad \rightarrow y + S \in A \quad S = \lambda(x - y) \\
\text{反向证明 } \forall y, (\forall x \in A, x &= y + \lambda(x - y)) \\
\forall x \in A, x + (\lambda(x - y) + y) &\in A \\
x + \lambda x + y - \lambda y &\in A \\
x = y + (x - y), \lambda = 1 \\
&\rightarrow A \in y + S \\
&\rightarrow A = y + \lambda(x - y) \\
\text{由 } \lambda \text{ 的任意性, } S = \lambda(x - y) &\text{ 对任意固定的 } x, y \text{ 都是子空间} \\
&\rightarrow A = y + S \text{ 是仿射子集}
\end{aligned}$$



9. Proof:  $A_1$ 和 $A_2$ 均为 $V$ 的仿射子集. Proof:  $A_1 \cap A_2$ 是 $V$ 的仿射子集或空集

$$\begin{aligned}\forall x \in A_1, x &= a_1 + U_1. \forall y \in A_2, y = a_2 + U_2 \\ \forall t \in A_1 \cap A_2. t &= a_1 + u_1 = a_2 + u_2 \\ &\rightarrow a_1 - a_2 = u_2 - u_1\end{aligned}$$

$$\begin{aligned}\text{设 } U_1 \cap U_2 &= \emptyset \\ a_1 + u_1 &= a_2 + u_2 \\ &\rightarrow a_1 - a_2 = u_2 - u_1\end{aligned}$$

但 $u_2$ 与 $u_1$ 是不同空间中的不同向量  $\rightarrow a_1 - a_2$ 是不确定的  
 $\rightarrow A_1 \cap A_2 = \emptyset$

$$\begin{aligned}\text{设 } U_1 \cap U_2 &\neq \emptyset \\ &\rightarrow U_1 \cap U_2 \text{ 是子空间} \\ a_1 + u_1 &= a_2 + u_2 \\ &\rightarrow a_1 - a_2 = u_2 - u_1 \in U_1 \cap U_2 \\ &\rightarrow a_1 - a_2 \in U_1 \cap U_2 \\ \forall x \in A_1 \cap A_2. x &= a_1 - a_2 + U_1 \cap U_2 \text{ 是仿射子集}\end{aligned}$$

10. Proof:  $V$ 的任意一组仿射子集的交是 $V$ 的仿射子集或空集

任意两个仿射子集之交是仿射子集或空集  
 $\rightarrow$ 任意有限个仿射子集之交是仿射子集或空集  
 $U_a \subset V. \forall x, y \in \bigcap U_a. \exists a \in E. x \in U_a, y \in U_b$   
 $x + y \in U_a \cap U_b \vee U_a \cap U_b = \emptyset$   
 $\forall x \in \bigcap U_a. \exists a \in E, x \in U_a. \lambda x \in U_a$   
 $\rightarrow \bigcap U_a$ 是子空间或空集  
 $\rightarrow a_1 + U_1 = a_2 + U_2 = \dots = a_\alpha + U_\alpha$   
 若 $\bigcap_a U_a = \emptyset \rightarrow u_a - u_{a-1}$ 必至少有一个不确定  
 $\rightarrow a_a - a_{a-1}$ 是向量空间这与 $a_\alpha$ 是确定的矛盾  
 ???

11.  $v_1, \dots, v_m \in V$ .

$$A = \{\lambda_1 v_1 + \dots + \lambda_m v_m : \lambda_1, \dots, \lambda_m \in F \wedge \lambda_1 + \dots + \lambda_m = 1\}$$

a. Proof:  $A$ 是 $V$ 的仿射子集

$$\begin{aligned}\forall x \in A. x &= \sum \lambda_i v_i. \sum \lambda_i = 1 \\ x &= \sum \lambda_i v_i \rightarrow \lambda_1 v_1 = x - \sum_{i=2}^n \lambda_i v_i \\ v_1 &= \frac{x - \sum_{i=2}^n \lambda_i v_i}{\lambda_1}\end{aligned}$$

b. Proof:  $V$ 的每个包含 $v_1, \dots, v_m$ 的仿射子集均包含 $A$

c. Proof:  $\exists v \in V, \exists U$ 是 $V$ 的子空间  $\rightarrow A = v + U \wedge \dim U \leq m - 1$

12. Proof:  $U$ 是 $V$ 的子空间  $\wedge V/U$ 是有限维的. Proof:  $V \cong U \times (V/U)$ .

$U$ 是 $V$ 的子空间  $\rightarrow V/U$ 是有限维的  
 $V/U = \{v + U : v \in V\}$   
 ???

13. Proof:  $U$ 是 $V$ 的子空间,  $v_1 + U, \dots, v_m + U$ 是 $V/U$ 的基.  $u_1, \dots, u_n$ 是 $U$ 的基. Proof:  $v_1, \dots, v_m, u_1, \dots, u_n$ 是 $V$ 的基

$v_1 + U, \dots, v_m + U$  是  $V/U$  的基  
 $\rightarrow \text{span}(v_1 + U, \dots, v_m + U) = V/U \rightarrow \dim V/U = m$   
 $u_1, \dots, u_n$  是  $U$  的基  $\rightarrow \text{span}(u_1, \dots, u_n) = U \rightarrow \dim U = n$   
 $v_1 + U, \dots, v_m + U$  是基  $\rightarrow v_1, \dots, v_m \notin U$   
 $\dim V/U = \dim V - \dim U$   
 $\rightarrow \dim V = \dim V/U + \dim U$   
 $v_1, \dots, v_m \notin U \rightarrow u_1, \dots, u_n$  与  $v_i$  线性无关  
 $\rightarrow u_1, \dots, u_n, v_1, \dots, v_m$  线性无关  
 而  $\dim V = \dim V/U + \dim U = \text{length}(\mathbf{u}) + \text{length}(\mathbf{v})$   
 $\rightarrow \mathbf{u}, \mathbf{v}$  是  $V$  的基

14.  $U = \{(x_1, \dots) \in F^\infty : \text{只有至多有限个 } j, x_j \neq 0\}$

a. Proof:  $U$  是  $F^\infty$  的子空间

$(0, 0, \dots)$  有 0 个  $x_i \neq 0 \rightarrow (0, 0, \dots) \in U$   
 $\forall x, y \in U. x$  有  $M$  个,  $y$  有  $N$  个.  $M, N \in \mathbb{N}. \text{count}(x + y) \leq M + N$   
 $\rightarrow x + y \in U$   
 $\forall x \in U. \forall \lambda \in F. \text{count } \lambda x = M \vee 0x = 0 \in U$   
 $\rightarrow \lambda x \in U$   
 $\rightarrow U$  是  $F^\infty$  的子空间

b. Proof:  $F^\infty/U$  是无限维的

$F^\infty/U$ : 至少有无穷个  $x_i \neq 0$   
 $\rightarrow F^\infty/U \cong F^\infty$   
 $\rightarrow F^\infty/U$  是无穷维的

15. Proof:  $\varphi \in \mathcal{L}(V, F), \varphi \neq 0$ . Proof:  $\dim(V/\text{null } \varphi) = 1$ .

$\varphi \in \mathcal{L}(V, F). \varphi \neq 0$   
 $\text{null } \varphi = \{v: \varphi(v) = 0\}$   
 设  $\varphi(v) = t_0. \varphi(\lambda v) = \lambda \varphi(v) = \lambda t_0$   
 $\rightarrow \dim \text{range } \varphi = 1$   
 $\dim V = \dim \text{range } \varphi + \dim \text{null } \varphi$   
 $\dim V/\text{null } \varphi = \dim V - \dim \text{range } \varphi = 1$

16. Proof:  $U$  是  $V$  的子空间  $\wedge \dim(V/U) = 1$ . Proof:  $\exists \varphi \in \mathcal{L}(V, F) \rightarrow \text{null } \varphi = U$

$\varphi \in \mathcal{L}(V, F).$   
 $\dim V/U = 1 \rightarrow V/U = \text{span } w$   
 $\varphi(\mathbf{a}u + \mathbf{b}v) = bw$   
 易证  $\varphi(u) \in \mathcal{L}(V, F)$   
 $\forall u \in U. \varphi(u) = 0$   
 $\forall w \in V/U \rightarrow \varphi(w + U) = \varphi(w) = w$   
 $U = \text{span}(u, w)$   
 $\rightarrow \text{null } \varphi = U$

17. Proof:  $U$  是  $V$  的子空间,  $V/U$  是有限维的. Proof:  $\exists W$  是  $V$  的子空间  $\rightarrow \dim W = \dim V/U \wedge V = U \oplus W$

$V/U$  是有限维的  $\rightarrow V/U = \text{span}(\mathbf{v}_u)$   
 $\mathbf{v}_u = v_i + U$   
 $W = \text{span}(\mathbf{v})$   
 $\dim W = \dim V/U.$   
 $\forall x \in U \cap W. x = \mathbf{a}v = u$   
 但这是不可能的,  $v_i \in U. V/U = \{0\} \rightarrow V = U$

18. Proof:  $T \in \mathcal{L}(V, W)$ ,  $U$  是  $V$  的子空间. 商映射  $\pi: V \rightarrow V/U$ . Proof:  $\exists S \in \mathcal{L}(V/U, W) \rightarrow T = S \circ \pi \Leftrightarrow U \subset \text{null } T$

$$\begin{aligned}
& S \in \mathcal{L}(V/U, W). T = S \circ \pi \rightarrow U \subset \text{null } T \\
& T = S \circ \pi. \text{null } T = \text{null } S \circ \pi \\
& S \circ \pi(v) = S(v+U) \in \mathcal{L}(V/U, W) \\
& u_1, u_2 \in U \wedge u_1 \neq u_2 \rightarrow S(v+u_1) = S(v+u_2) \\
& \rightarrow T(v+u_1) = T(v+u_2) \\
& \rightarrow T(v) + T(u_1) = T(v) + T(u_2) \\
& \rightarrow T(u_1) = T(u_2) \\
& \text{设 } T(u) \neq 0 \rightarrow T(\lambda u) = \lambda T(u) \\
& \text{let: } u_1 = \lambda u_2 \\
& T(u_1) = \lambda T(u_2) = T(u_2) \rightarrow T(u_2) = 0 \\
& \rightarrow T(u) = 0 \\
& \rightarrow U \subset \text{null } T
\end{aligned}$$

$$\begin{aligned}
& U \subset \text{null } T. \forall v \in V. S \circ \pi(v) = S(v+U) = S(v) + S(U) \\
& \text{let: } S(\mathbf{a}v + \mathbf{b}u) = \mathbf{a}v + U \\
& S \circ \pi(v) = S(\pi(\mathbf{a}v + \mathbf{b}u)) = S(\mathbf{a}v + U) = \mathbf{a}v \\
& \forall x, y \in V. S \circ \pi(x+y) = S \circ \pi(\mathbf{a}v + \mathbf{b}u + \mathbf{c}v + \mathbf{d}u) \\
& = S \circ \pi((\mathbf{a} + \mathbf{c})v + U) \\
& = S((\mathbf{a} + \mathbf{c})v + U) = (\mathbf{a} + \mathbf{c})v \\
& = \mathbf{a}v + \mathbf{b}v \\
& = S(\mathbf{a}v + U) + S(\mathbf{b}v + U) \\
& \forall x \in V. \forall \lambda \in F. S \circ \pi(\lambda x) = S \circ \pi(\lambda \mathbf{a}v + \lambda \mathbf{b}u) \\
& = S(\lambda \mathbf{a}v + U) \\
& = \lambda \mathbf{a}v \\
& = \lambda S(\mathbf{a}v + U) \\
& \rightarrow S \in \mathcal{L}(V/U, W)
\end{aligned}$$

19. Example: 有限集给出一个类比于 (和是直和  $\Leftrightarrow$  和的维数是维数的和) 的命题。

集合的并类比于子空间的和, 不交并类比于直和

$$\text{card } A, \text{card } B < \infty. A \cap B = \emptyset \Leftrightarrow \text{card } A \cup B = \text{card } A + \text{card } B$$

20.  $U$  是  $V$  的子空间.  $\Gamma: \mathcal{L}(V/U, W) \rightarrow \mathcal{L}(V, W). \Gamma(S) = S \circ \pi$ .

- a. Proof:  $\Gamma$  是线性映射

$$\begin{aligned}
& \Gamma(S) = S \circ \pi \\
& \forall X, Y \in \mathcal{L}(V/U, W) \\
& \Gamma(X+Y) = (X+Y) \circ \pi \\
& = X \circ \pi + Y \circ \pi \\
& = \Gamma(X) + \Gamma(Y) \\
& \Gamma(\lambda X) = \lambda X \circ \pi \\
& = \lambda(\Gamma(X)) \\
& \rightarrow \Gamma \text{ 是线性映射}
\end{aligned}$$

- b. Proof:  $\Gamma$  单

$$\begin{aligned}
& \forall X \neq Y \in \mathcal{L}(V/U, W) \\
& \Gamma(X) = X \circ \pi. \Gamma(Y) = Y \circ \pi \\
& \forall v \in V. \text{let: } X \circ \pi(v) = Y \circ \pi(v) \\
& \rightarrow X(v+U) = Y(v+U) \\
& \rightarrow X(v) = Y(v) \\
& \text{而 } \text{span}(v) = V/U \wedge v \notin \text{span}(\mathbf{u}) \\
& \rightarrow X(v) \neq Y(v) \\
& \rightarrow \Gamma \text{ 单}
\end{aligned}$$

c. Proof:  $\text{range } \Gamma = \{T \in \mathcal{L}(V, W) : U \subset \text{null } T\}$

$$\begin{aligned} T(u) &= 0, \Gamma(S) = T = S \circ \pi \\ T(u) &= S(u + U) \rightarrow T(\mathbf{b}u) = S(\mathbf{b}u + U) \\ &= S(U) = 0 \\ &\rightarrow U \subset \text{null } T \\ &\rightarrow \{T \in \mathcal{L}(V, W) : U \subset \text{null } T\} \subset \text{range } \Gamma \end{aligned}$$

$$\begin{aligned} \forall T \wedge \exists u \notin U, T(u) &\neq 0. \\ T(u) &= T(\mathbf{b}u) = S(\mathbf{b}u + U) \\ &= S(U) \\ &= T(0) = 0 \\ &\rightarrow u \in \text{null } T \\ &\rightarrow \{T \in \mathcal{L}(V, W) : U \subset \text{null } T\} = \text{range } T \end{aligned}$$

## 6 对偶

### 6.1 对偶空间与对偶映射

定义 6.1. 线性泛函(linear functional)

$$V \text{ 上的线性泛函} = \{\mathcal{L}(V, F)\}.$$

例 6.2. 一些线性泛函

1.  $\varphi: R^3 \rightarrow R. \varphi(x, y, z) = 4x - 5y + 2z. \varphi$  是  $R^3$  上的线性泛函
2.  $(c_1, \dots, c_n) \in F^n. \varphi: F^n \rightarrow F. \varphi(x_1, \dots, x_n) = \sum_{i=1}^n c_i x_i. \varphi$  是  $F^n$  上的线性泛函
3.  $\varphi: \mathcal{P}(R) \rightarrow R. \varphi(p) = 3p''(5) + 7p(4). \varphi$  是  $\mathcal{P}(R)$  上的线性泛函
4.  $\varphi: \mathcal{P}(R) \rightarrow R. \varphi(p) = \int_0^1 p(x) dx. \varphi$  是  $\mathcal{P}(R)$  上的线性泛函

定义 6.3. 对偶空间(dual space),  $V'$

$V$  上的所有线性泛函构成的向量空间成为  $V$  的对偶空间, 记为  $V'. V' = \mathcal{L}(V, F)$

定理 6.4.  $\dim V' = \dim V$

证明. let:  $S_i(V) = a_i v_i. \forall \varphi \in V'. \varphi = \sum S_i \rightarrow \dim V' = \dim \sum c_i S_i = i \dim S_i = n$

□

定义 6.5. 对偶基(dual basis)

$$v \text{ 是 } V \text{ 的基. } v \text{ 的对偶基是 } V' \text{ 中的元素组 } \varphi. \varphi_i(v_k) = \begin{cases} 1 & k = j \\ 0 & k \neq j \end{cases}$$

例 6.6.  $F^\infty$  的标准基  $e$  的对偶基

$$\begin{aligned} \varphi_i(x_1, \dots, x_j) &= x_i. \\ \varphi_i(e_k) &= \begin{cases} 1 & k = j \\ 0 & k \neq j \end{cases} \end{aligned}$$

**定理 6.7.** 有限维空间中. 基的对偶基是对偶空间的基

*证明.*

$$\begin{aligned}
 & \mathbf{v} \text{ 是 } V \text{ 的基. } \varphi \text{ 是 } \mathbf{v} \text{ 的对偶基} \\
 & \text{let: } 0 = \mathbf{a}\varphi \\
 & \rightarrow \forall v \in V. \mathbf{a}\varphi(v) = 0 \\
 & \text{let: } v = v_i \rightarrow \mathbf{a}\varphi(v) = \mathbf{a}\varphi(v_i) = 0 \\
 & \quad = a_i = 0 \\
 & \quad \rightarrow \mathbf{a} = \mathbf{0} \\
 & \rightarrow \varphi \text{ 线性无关}
 \end{aligned}$$

□

$\dim V = \dim V' = \text{length } \varphi \rightarrow \varphi \text{ 是 } V' \text{ 的基}$

**定义 6.8.** 对偶映射(dual map).  $T$  关于线性泛函空间  $W'$  的对偶映射  $T'$ .

$$T \in \mathcal{L}(V, W), T \text{ 的对偶线性映射 } T' \in \mathcal{L}(W', V'): \forall \varphi \in W', T'(\varphi) = \varphi \circ T$$

*Remark:*  $T'(\varphi)$  是一个线性泛函,  $T'$  是在  $W$  的对偶空间  $W'$  上的所有线性泛函组成的空间

$$\text{由于 } \varphi \in W' = \mathcal{L}(W, F). T \in \mathcal{L}(V, W) \rightarrow \varphi \circ T \in \mathcal{L}(V, F) \rightarrow T'(\varphi) \in V'$$

$$\begin{aligned}
 \forall \varphi, \phi \in W', T'(\varphi + \phi) &= (\varphi + \phi) \circ T = \varphi \circ T + \phi \circ T = T'(\varphi) + T'(\phi) \\
 \forall \lambda \in F. \varphi \in W'. T'(\lambda\varphi) &= (\lambda\varphi) \circ T = \lambda(\varphi \circ T) = \lambda T'(\varphi) \\
 &\rightarrow \forall T' \in \mathcal{L}(W', V')
 \end{aligned}$$

**例 6.9.**  $D: \mathcal{P}(R) \rightarrow \mathcal{P}(R). Dp = p'$

$$1. \varphi \in \mathcal{L}(\mathcal{P}(R), F). \varphi(p) = p(3). D'(\varphi) = (D'(\varphi))(p) = (\varphi \circ D)(p) = \varphi(Dp) = \varphi(p') = p'(3).$$

$D'$  是  $\mathcal{P}(R)$  上将  $p$  变为  $p'(3)$  的线性泛函

$$2. \varphi \in \mathcal{L}(\mathcal{P}(R), F). \varphi = \int_0^1 p(x)dx. D'(\varphi) = (D'(\varphi))(p) = (\varphi \circ D)(p) = \varphi(Dp) = \varphi(p') = \int_0^1 p'(x)dx$$

$$p(1) - p(0).$$

$D'(\varphi)$  是  $\mathcal{P}(R)$  上将  $p$  变为  $p(1) \rightarrow p(0)$  的线性泛函

**定理 6.10.** 对偶映射的代数性质

1.  $\forall S, T \in \mathcal{L}(V, W) \rightarrow (S + T)' = S' + T'$
2.  $\forall \lambda \in F, \forall T \in \mathcal{L}(V, W) \rightarrow (\lambda T)' = \lambda T'$
3.  $\forall T \in \mathcal{L}(U, V), \forall S \in \mathcal{L}(V, W) \rightarrow (ST)' = T' S'$

*证明.*

1.  $(S + T)'(\varphi) = \varphi \circ (S + T) = \varphi \circ S + \varphi \circ T = S'(\varphi) + T'(\varphi)$
2.  $(\lambda T)'(\varphi) = \varphi \circ \lambda T = \lambda(\varphi \circ T) = \lambda T'(\varphi)$
3.  $(ST)'(\varphi) = \varphi \circ (ST) = (\varphi \circ S) \circ T = T'(\varphi \circ S) = T'(S'(\varphi)) = (T' S')(\varphi)$

□

## 6.2 线性映射的对偶空间的零空间与值域

**定义 6.11.** 零化子(annihilator).  $V$  是向量空间,  $U$  是  $V$  的子空间.  $U^0$

$$U \subset V. U \text{ 的零化子 } U^0 = \{\varphi \in V': U \subset \text{null } \varphi\}$$

*Explanation:*  $\varphi$  是  $V$  上的线性泛函. 所有  $\varphi(U) = 0$  的泛函是  $U$  的零化子

例 6.12.

设  $U$  是  $\mathcal{P}(R)$  的用  $x^2$  乘以多项式得到的子空间. 若  $\varphi$  是  $\mathcal{P}(R)$  上由  $\varphi(p) = p'(0)$  定义的线性泛函.  $\varphi \in U^0$

$$\begin{aligned} \forall u \in U. u &= x^2 p. \\ \varphi \in V' &\rightarrow \varphi \in \mathcal{L}(\mathcal{P}(R), F) \\ \varphi(p) &= p'(0). \varphi(u) = (x^2 p)'(0) = (2xp + x^2 p')(0) = 0 \\ &\rightarrow U \subset \text{null } \varphi \\ &\rightarrow \varphi \in U^0 \end{aligned}$$

例 6.13.

$e$  是  $R^5$  的标准基,  $\varphi$  表示  $(R^5)'$  的对偶基  
 $U = \text{span}(e_1, e_2) = \{(x_1, x_2, 0, 0, 0) \in R^5: x_1, x_2 \in R\}.$

$$\begin{aligned} \varphi_i(x) &= x_i \\ \forall \varphi \in \text{span}(\varphi_3, \varphi_4, \varphi_5). \varphi(x_1, x_2, 0, 0, 0) &= 0 \\ &\rightarrow U \subset \text{null } \varphi \\ &\rightarrow \varphi \in U^0 \\ &\rightarrow \text{span}(\varphi_3, \varphi_4, \varphi_5) \subset U^0 \end{aligned}$$

$$\begin{aligned} \forall \varphi \in U^0. \text{对偶基} \text{span } \varphi &= (R^5)'. \\ &\rightarrow \forall \varphi \in (R^5)' = \sum_1^5 c_i \varphi_i \\ e_1 \in U \wedge \varphi \in U^0. \varphi(e) &= 0 \\ \rightarrow 0 &= \varphi(e_1) = (\sum_1^5 c_i \varphi_i)(e_1) = c_1 \\ \text{同} \rightarrow 0 &= c_2 \\ &\rightarrow \forall \varphi \in U^0. \varphi = \sum_3^5 c_i \varphi_i \\ &\rightarrow \varphi \in \text{span}(\varphi_3, \varphi_4, \varphi_5) \\ &\rightarrow U^0 \subset \text{span}(\varphi_3, \varphi_4, \varphi_5) \\ &\rightarrow U^0 = \text{span}(\varphi_3, \varphi_4, \varphi_5) \end{aligned}$$

定理 6.14. 零化子是子空间

$$U \subset V. U^0 \text{ 是 } V' \text{ 的子空间}$$

证明.

$$\begin{aligned} U^0 &= \{\varphi \in V': U \subset \text{null } \varphi\} \\ \varphi \in \mathcal{L}(V, F) &\rightarrow \varphi(\mathbf{0}) = 0 \rightarrow U \subset V = \text{null } \mathbf{0} \rightarrow \mathbf{0} \in U^0 \\ \forall \varphi, \phi \in U^0. \forall u \in U. (\varphi + \phi)(u) &= \varphi(u) + \phi(u) = 0 + 0 = 0 \quad \text{逐点加} \\ &\rightarrow U \subset \text{null } (\varphi + \phi) \rightarrow \varphi + \phi \in U^0 \\ \forall \lambda \in F, \forall \varphi \in U^0. \forall u \in U, (\lambda\varphi)(u) &= \lambda(\varphi(u)) = \lambda 0 = 0 \quad \text{标量乘} \\ &\rightarrow U \subset \text{null } (\lambda\varphi) \rightarrow \lambda\varphi \in U^0 \\ &\rightarrow U^0 \text{ 是子空间} \end{aligned}$$

□

**定理 6.15.** 零化子的维数

$$\dim U + \dim U^0 = \dim V$$

*证明.*

$$\forall i \in \mathcal{L}(U, V). \forall u \in U, i(u) = u. i' \in \mathcal{L}(V', U')$$

$$\dim \text{range } i' + \dim \text{null } i' = \dim V'$$

$$\text{null } i' = \{\varphi \in V': i'(\varphi) = \mathbf{0} \in U'\} = U^0 \quad \text{定义}$$

$$\dim V = \dim V'$$

$$\rightarrow \dim V = \dim U^0 + \dim \text{range } i'$$

$\varphi$  是  $U'$  的基

$\forall \varphi \in \varphi, \varphi$  可以扩张成  $V$  上的线性泛函组  $\psi$

$$\rightarrow i'(\psi_i) = \varphi_i \rightarrow \varphi_i \in \text{range } i'$$

$$\rightarrow \text{range } i' = U'$$

$$\rightarrow \dim V = \dim U^0 + \dim U'$$

$$\rightarrow \dim V = \dim U^0 + \dim U$$

□

**定理 6.16.**  $T$  的对偶映射  $T'$  的零空间

$V, W$  是有限维,  $T \in \mathcal{L}(V, W)$

1.  $\text{null } T' = (\text{range } T)^0$
2.  $\dim \text{null } T' = \dim \text{null } T + \dim W - \dim V$

*证明.*

$$1. \quad \forall \varphi \in \text{null } T'. \mathbf{0} = T'(\varphi) = \varphi \circ T$$

$$\forall v \in V \rightarrow 0 = (\varphi \circ T)(v) = \varphi(T(v))$$

$$\rightarrow \varphi \in (\text{range } T)^0$$

$$\text{range } T \rightarrow 0$$

$$\rightarrow \text{null } T' \subset (\text{range } T)^0$$

$$\forall \varphi \in (\text{range } T)^0.$$

$$\forall v \in V. \varphi(T(v)) = 0$$

$$0 = \varphi \circ T = T'(\varphi)$$

$$\rightarrow \varphi \in \text{null } T'$$

$$\rightarrow (\text{range } T)^0 \subset \text{null } T'$$

□

$$\rightarrow \text{null } T' = (\text{range } T)^0$$

$$\begin{aligned} 2. \quad \dim \text{null } T' &= \dim (\text{range } T)^0 & \dim (\text{range } T)^0 &= \dim W - \dim \text{range } T \\ &= \dim W - \dim \text{range } T & \dim W &= \dim \text{range } T + \dim (\text{range } T)^0 \\ &= \dim W - (\dim V - \dim \text{null } T) \\ &= \dim \text{null } T + \dim W - \dim V \end{aligned}$$

**定理 6.17.**  $T$  是满的  $\Leftrightarrow T'$  是单的

$$V, W \text{ 有限维. } T \in \mathcal{L}(V, W). T \text{ 满} \Leftrightarrow T' \text{ 单}$$

*证明.*

$$T \in \mathcal{L}(V, W) \text{ 满} \Leftrightarrow \text{range } T = W \Leftrightarrow (\text{range } T)^0 = \{0\} \Leftrightarrow \text{null } T' = \{0\} \Leftrightarrow T' \text{ 单}$$

□

**定理 6.18.**  $T'$  的值域.  $V, W$  是有限维的,  $T \in \mathcal{L}(V, W)$ .

$$\dim \text{range } T' = \dim \text{range } T$$

$$\text{range } T' = (\text{null } T)^0$$

证明.

1. 
$$\begin{aligned}\dim \operatorname{range} T' &= \dim W' - \dim \operatorname{null} T' \\ &= \dim W' - \dim(\operatorname{range} T)^0 \\ &= \dim \operatorname{range} T\end{aligned}$$
2. 
$$\begin{aligned}\varphi \in \operatorname{range} T'. \exists \psi \in W' \rightarrow T'(\psi) = \varphi. \\ \forall v \in \operatorname{null} T, \varphi(v) = (T'(\psi))(v) = (\psi \circ T)(v) = \psi(T(v)) = \psi(0) = 0 \\ \rightarrow \varphi \in (\operatorname{null} T)^0 \rightarrow \operatorname{range} T' \subset (\operatorname{null} T)^0\end{aligned}$$

□

$$\begin{aligned}\dim \operatorname{range} T' &= \dim \operatorname{range} T \\ &= \dim V - \dim \operatorname{null} T \\ &= \dim (\operatorname{null} T)^0\end{aligned}$$

$$\begin{aligned}\dim \operatorname{range} T' &= \dim (\operatorname{null} T)^0 \wedge \operatorname{range} T' \subset \operatorname{null} T^0 \\ &\rightarrow \operatorname{range} T' = (\operatorname{null} T)^0\end{aligned}$$

定理 6.19.  $T$  单  $\Leftrightarrow T'$  满

证明.

$$T \in \mathcal{L}(V, W) \text{ 单} \Leftrightarrow \operatorname{null} T = \{0\} \Leftrightarrow (\operatorname{null} T)^0 = V' \Leftrightarrow \operatorname{range} T' = V' \Leftrightarrow T' \text{ 满}$$

□

### 6.3 对偶映射的矩阵, 转置

定义 6.20. 矩阵的转置(transpose).  $A'$

矩阵  $A \in F^{m,n}$  的转置.  $A^t \in F^{n,m}$ ; 元素  $A_{(i,j)}^t = A_{j,i}$

例 6.21. 矩阵的转置

$$A = \begin{pmatrix} 5 & -7 \\ 3 & 8 \\ -4 & 2 \end{pmatrix} \Leftrightarrow A^t = \begin{pmatrix} 5 & 3 & -4 \\ -7 & 8 & 2 \end{pmatrix}$$

定理 6.22. 转置对加法和标乘不变

$$\begin{aligned}\forall A, B \in \mathcal{M}(m, n), \forall \lambda \in F \\ (A + B)^t &= A^t + B^t. \\ (\lambda A)^t &= \lambda(A^t)\end{aligned}$$

定理 6.23. 矩阵乘积的转置

$$A \in F^{m,n}, B \in F^{n,p} \rightarrow (AB)^t = B^t A^t$$

证明.

$$\begin{aligned}(AB)_{k,j}^t &= (AB)_{j,k} \\ &= \sum_{r=1}^n A_{j,r} \cdot B_{r,k} \\ &= \sum_{r=1}^n (B^t)_{k,r} \cdot (A^t)_{r,j} \\ &= (B^t A^t)_{k,j}\end{aligned}$$



□

**定理 6.24.** 对偶映射的矩阵是原映射矩阵的转置

$$T \in \mathcal{L}(V, W). \mathcal{M}(T') = (\mathcal{M}(T))^t$$

**证明.**

$$\begin{aligned} A = \mathcal{M}(T). C = \mathcal{M}(T'). 1 \leq j \leq m, 1 \leq k \leq n \\ T'(\psi_j) = \sum_{r=1}^n C_{r,j} \cdot \varphi_r \\ T'(\psi_j) = \psi_j \circ T \rightarrow (\psi_j \circ T)(v_k) = \sum_{r=1}^n C_{r,j} \cdot \varphi_r(v_k) = C_{k,j} \end{aligned}$$

$$\begin{aligned} (\psi_j \circ T)(v_k) &= \psi_j(T(v_k)) \\ &= \psi_j\left(\sum_{r=1}^m A_{r,k} \cdot w_r\right) \\ &= \sum_{r=1}^m A_{r,k} \cdot \psi_j(w_r) \\ &= A_{j,k} \end{aligned}$$

□

$$\rightarrow C_{k,j} = A_{j,k}$$

$$\rightarrow C = A^t$$

**定义 6.25.** 矩阵的行秩、列秩

$$\begin{aligned} A &\in F^{m,n}. \\ \text{行秩} & \dim \text{span}(A_{\cdot, i}) \\ \text{列秩} & \dim \text{span}(A_{j, \cdot}) \end{aligned}$$

**例 6.26.** 矩阵的秩

$$\begin{aligned} A &= \begin{pmatrix} 4 & 7 & 1 & 8 \\ 3 & 5 & 2 & 9 \end{pmatrix}. \\ \dim \text{span}((4, 7, 1, 8), (3, 5, 2, 9)) &= 2 \\ \dim \text{span}\left(\begin{pmatrix} 4 \\ 3 \end{pmatrix}, \begin{pmatrix} 7 \\ 5 \end{pmatrix}, \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 8 \\ 9 \end{pmatrix}\right) &\leq 2 \wedge \forall \lambda \in R. \lambda \begin{pmatrix} 4 \\ 3 \end{pmatrix} \neq \begin{pmatrix} 7 \\ 5 \end{pmatrix}. \rightarrow \dim \text{span} \sim 2 \end{aligned}$$

**定理 6.27.**  $\dim \text{range } T = \mathcal{M}(T)$  的列秩

$$V, W \text{ 有限维. } \forall T \in \mathcal{L}(V, W). \text{range } T = \mathcal{M}(T) \text{ 的列秩}$$

**证明.**

$$\begin{aligned} v_1, \dots, v_n &\text{ 是 } V \text{ 的基, } w_1, \dots, w_n \text{ 是 } W \text{ 的基.} \\ w \in \text{span}(Tv_1, \dots, Tv_n) &\rightarrow \mathcal{M}(w) \text{ 的函数是 } \text{span}(T\mathbf{v}) \text{ 和 } \text{span}(\mathbf{w}) \text{ 的同构} \\ \rightarrow \dim \text{span}(T\mathbf{v}) &= \dim \text{span}(\mathcal{M}(Tv_1), \dots, \mathcal{M}(Tv_n)). \\ \text{而 } \mathcal{M}(Tv_i) &\text{ 是 } \mathcal{M}(T) \text{ 的列} \\ \text{range } T &= \text{span}(Tv_1, \dots, Tv_n) \\ \rightarrow \dim \text{range } T &= \mathcal{M}(T) \text{ 的列秩} \end{aligned}$$

□

**定理 6.28.** 行秩等于列秩

$$\forall A \in F^{m,n}. A \text{ 的行秩} = A \text{ 的列秩}$$

**证明.**

$$T: F^{n,1} \rightarrow F^{m,1}. Tx = Ax. \mathcal{M}(T) = A.$$

$A$ 的列秩 =  $\mathcal{M}(T)$ 的列秩

$$= \dim \text{range } T$$

$$= \dim \text{range } T'$$

$$= \mathcal{M}(T')$$

$$= A^t \text{的列秩}$$

$$= A \text{的行秩}$$

□

定义 6.29. 矩阵的秩(rank)

$$\forall A \in F^{m,n}. \text{rank } A = A \text{的列秩}$$

## 习题3.F

1. Explanation: 线性泛函是满的或零

$$\forall \varphi \in \mathcal{L}(V, F). \varphi = \mathbf{0} \rightarrow \varphi \in \mathcal{L}(V, F).$$

$$\varphi \neq \mathbf{0}. \exists v \in V \rightarrow \varphi(v) \neq 0. \text{ let } \varphi(v) = t$$

$$\forall \lambda \in F, \varphi(\lambda v) = \lambda t. F = \text{span}(t)$$

$\rightarrow \varphi$ 满

2. Exapmle:  $R^{[0,1]}$ 上的三个不同的线性泛函

$$\forall f \in R^{[0,1]}, f: [0, 1] \rightarrow R$$

$$1 \quad \varphi_1(f) = f\left(\frac{1}{2}\right)$$

$$2 \quad \varphi_2(f) = f(0)$$

$$3 \quad \varphi_3(f) = f(1)$$

没有可微、可积条件

$$\varphi(f) = \int_0^1 f(x) dx$$

$$\varphi(f) = f'\left(\frac{1}{2}\right)$$

3. Proof:  $V$ 有限维,  $v \in V \wedge v \neq 0$ . Proof:  $\exists \varphi \in V' \rightarrow \varphi(v) = 1$

$$\text{let: } \varphi(v) = 1..$$

$$\forall \lambda \in F, \varphi(\lambda v) = \lambda \varphi(v), \text{ 给出 } v \text{ 的所有倍数的定义}$$

$\text{span } v$ 是 $V$ 的一维子空间

$$\varphi(U + v) = \varphi(U). \forall x \in V = au + bv \quad \text{基的扩张}$$

$$\varphi(au + bv) = a \in F.$$

$$\varphi \in \mathcal{L}(V, F) \rightarrow \varphi \in V'$$

4. Proof:  $V$ 有限维,  $U$ 是 $V$ 的子空间  $\wedge U \neq V$ . Proof:  $\exists \varphi \in V' \rightarrow \forall u \in U, \varphi(u) = 0 \wedge \varphi \neq \mathbf{0}$

$$U = \text{span } \mathbf{u}. V = \text{span } (\mathbf{u}, \mathbf{v}).$$

$$\varphi(v) = \varphi(\mathbf{a}\mathbf{u} + \mathbf{b}\mathbf{v}) = \sum \mathbf{b} \in F$$

$$\varphi(x + y) = \varphi(\mathbf{a}\mathbf{u} + \mathbf{b}\mathbf{v} + \mathbf{c}\mathbf{u} + \mathbf{d}\mathbf{v})$$

$$= \varphi((\mathbf{a} + \mathbf{c})\mathbf{u} + (\mathbf{b} + \mathbf{d})\mathbf{v})$$

$$= \sum (\mathbf{b} + \mathbf{d})$$

$$= \sum \mathbf{b} + \sum \mathbf{d}$$

$$= \varphi(\mathbf{a}\mathbf{u} + \mathbf{b}\mathbf{v}) + \varphi(\mathbf{c}\mathbf{u} + \mathbf{d}\mathbf{v})$$

$$= \varphi(x) + \varphi(y)$$

$$\varphi(\lambda x) = \lambda \varphi(x)$$

$$\rightarrow \varphi \in V'$$

$$v = (1, \dots, 1) \cdot \mathbf{v}. v \notin U, \varphi(v) = \varphi((1, \dots, 1) \cdot \mathbf{v}) = \dim U^0 \neq 0$$

5. Proof:  $V_1, \dots, V_m$  为向量空间. Proof:  $(V_1 \times \dots \times V_m)' \cong V_1' \times \dots \times V_m'$

$$\begin{aligned}
 (V_1 \times \dots \times V_m)' &= \mathcal{L}(V_1 \times \dots \times V_m, F) \\
 V_1 &\cong V_1', \dots, V_m \cong V_m' \\
 &\rightarrow \mathcal{L}(V_1, F) \cong \mathcal{L}(V_1', F) \\
 \rightarrow \mathcal{L}(V_1 \times \dots \times V_m, F) &\cong \mathcal{L}(V_1' \times \dots \times V_m', F) \\
 &\rightarrow V_1' \times \dots \times V_m' \cong V_1' \times \dots \times V_m' \\
 V_1 \times \dots \times V_m &\cong (V_1 \times \dots \times V_m)' \\
 &\rightarrow (V_1 \times \dots \times V_m)' \cong V_1' \times \dots \times V_m'
 \end{aligned}$$

6.  $V$  有限维,  $v_1, \dots, v_m \in V. \Gamma: V' \rightarrow F^m, \Gamma(\varphi) = (\varphi(v_1), \dots, \varphi(v_m))$

a. Proof:  $\text{span}(\mathbf{v}) = V \Leftrightarrow \Gamma$  单

$$\begin{aligned}
 \text{span}(\mathbf{v}) &= V \rightarrow \Gamma \text{ 单} \\
 \text{span}(\mathbf{v}) = V. \Gamma(\varphi) &= (\varphi(v_1), \dots, \varphi(v_m)) \\
 \varphi_1, \varphi_2 &\in V'. \varphi_1 \neq \varphi_2. \\
 \Gamma(\varphi_1) &= (\varphi_1(v_1), \dots, \varphi_1(v_m)) \\
 \Gamma(\varphi_2) &= (\varphi_2(v_1), \dots, \varphi_2(v_m)) \\
 \text{Assume: } \Gamma(\varphi_1) &= \Gamma(\varphi_2) \rightarrow \varphi_1(v_i) = \varphi_2(v_i) \\
 \text{span}(\mathbf{v}) = V &\rightarrow \varphi_1(\mathbf{a}\mathbf{v}) = \varphi_2(\mathbf{a}\mathbf{v}) \\
 \forall v \in V. v &= \mathbf{a}\mathbf{v}. \\
 \rightarrow \mathbf{a}\varphi_1(\mathbf{v}) &= \mathbf{a}\varphi_2(\mathbf{v}) \\
 \rightarrow \varphi_1(\mathbf{v}) &= \varphi_2(\mathbf{v}) \\
 \rightarrow \varphi_1 = \varphi_2 &\text{这是不可能的} \\
 \rightarrow \Gamma(\varphi_1) &\neq \Gamma(\varphi_2) \\
 &\rightarrow \Gamma \text{ 单}
 \end{aligned}$$

$$\begin{aligned}
 \Gamma \text{ 单} &\rightarrow \text{span}(\mathbf{v}) = V \\
 \Gamma(\varphi_1) &= \Gamma(\varphi_2) \rightarrow \varphi_1 = \varphi_2 \\
 \Gamma(\varphi_1) &= (\varphi_1(v_1), \dots, \varphi_1(v_m)) = (\varphi_2(v_1), \dots, \varphi_2(v_m)) = \Gamma(\varphi_2) \\
 \varphi_1(v_1) &= \varphi_2(v_1), \dots, \varphi_1(v_m) = \varphi_2(v_m) \rightarrow \varphi_1 = \varphi_2 \\
 \text{设 } \text{span}(\mathbf{v}) &\subseteq V. \text{span}(\mathbf{v}, \mathbf{u}) = V. \forall i \in 1 \dots m. \varphi_1(v_i) = \varphi_2(v_i) \\
 \text{let: } \varphi_1(u) &= 0. \varphi_2(u) \neq 0, \text{ 这样的 } \varphi_1, \varphi_2 \text{ 是存在的} \\
 &\rightarrow \text{矛盾} \\
 &\rightarrow \text{span}(\mathbf{v}) = V
 \end{aligned}$$

b. Proof:  $\mathbf{v}$  线性无关  $\Leftrightarrow \Gamma$  满

$$\begin{aligned}
 \mathbf{v} \text{ 线性无关} &\rightarrow \Gamma \text{ 满} \\
 \forall x \in F^m, \Gamma(\varphi) &= (\varphi(v_1), \dots, \varphi(v_m)) \\
 \varphi \in V'. \varphi(\lambda v_1) &= \lambda \varphi(v_1) \\
 \mathbf{v} \text{ 线性无关} &\rightarrow \varphi(\mathbf{v}) \text{ 是线性无关的.} \\
 0 &= (\varphi(v_1), \dots, \varphi(v_m)). v_1, \dots, v_m \neq 0 \\
 \text{这是不可能的, 除非 } \varphi &= \mathbf{0} \\
 \rightarrow \varphi(\mathbf{v}) &\text{ 也线性无关} \\
 \rightarrow \exists \varphi \in V'. \Gamma(\varphi) &= x \\
 &\rightarrow \Gamma \text{ 满}
 \end{aligned}$$

$$\begin{aligned}
 \Gamma \text{ 满} &\rightarrow \mathbf{v} \text{ 线性无关} \\
 \forall x \in F^m, \exists \varphi \in V' &\rightarrow \Gamma(\varphi) = x. \\
 \text{Assume: } \mathbf{v} \text{ 线性相关} &\rightarrow v_i = \sum c_i v_i \\
 \varphi(v_i) &= \varphi(\sum c_i v_i) \\
 \varphi(v_i) &= \mathbf{c}\varphi(\mathbf{v}) \\
 \varphi(v_i) &= c_i \varphi(\mathbf{v}) \text{ 这样的 } \dim \varphi(\mathbf{v}) = \dim F^m - 1 \\
 &\rightarrow \Gamma \text{ 不满} \\
 &\rightarrow \text{矛盾} \\
 &\rightarrow \mathbf{v} \text{ 线性无关}
 \end{aligned}$$

7. Proof:  $m \in N^+$ . Proof:  $\mathcal{P}_m(R)$ 的基 $x^0, \dots, x^m$ 的对偶基 $\varphi_0, \dots, \varphi_m$ .  $\varphi_n(p) = \frac{p^{(n)}(0)}{n!}$ .  $p^{(n)}$ 为 $n$ 阶导数

根据对偶基的定义:

$$\begin{aligned}\varphi_i(x^0) &= 0, \dots, \varphi_i(x^i) = 1, \dots, \varphi_i(x^m) = 0 \\ \varphi \in \mathcal{P}_m(R) &\rightarrow \varphi_i(p) = \varphi_i(\sum a_i x^i) = a_i \\ p &= a_i x^i + \dots + a_1 x + 0! a_0 x^0 \\ p' &= i a_i x^{i-1} + (i-1) a_{i-1} x^{i-2} + \dots + 1! a_1 x^0 \\ p'' &= i(i-1) a_i x^{i-2} + (i-1)(i-2) a_{i-1} x^{i-3} + \dots + 2! \cdot a_2 x^0 \\ &\dots \\ p^{(n)} &= \frac{i!}{(i-n)!} a_i x^{i-n} + \frac{(i-1)!}{(i-n-1)!} a_{i-1} x^{i-n-1} + \dots + n! \cdot a_n x^0 \\ p^{(n)}(0) &= n! a_n x^0 \\ a_n &= \frac{p^{(n)}(0)}{n!} \\ \rightarrow \varphi_i(p) &= \frac{p^{(n)}(0)}{n!}\end{aligned}$$

8.  $m \in N^+$ .

a. Proof:  $(x-5)^0, \dots, (x-5)^m$ 是 $\mathcal{P}_m(R)$ 的基

$$\begin{aligned}\forall i \neq j, i, j \in 0 \dots m. (x-5)^i &\neq \lambda (x-5)^j \\ (x-5)^m &= \sum c^i (x-5)^i \rightarrow c^i = 1 \\ (x-5)^m &= \sum (x-5)^i \text{这是不可能的} \\ \rightarrow (x-5)^i &\text{在} \mathcal{P}_m(R) \text{中线性无关} \\ \text{length}((x-5)^m) &= m+1 = \dim \mathcal{P}_m(R) \\ \rightarrow (x-5)^0, (x-5)^1, \dots, (x-5)^m &\text{是} \mathcal{P}_m(R) \text{的基}\end{aligned}$$

b. Compute:  $(x-5)^n$ 的对偶基

根据对偶基定义:

$$\begin{aligned}\varphi_i((x-5)^0) &= 0, \dots, \varphi_i((x-5)^i) = 1, \dots, \varphi_i((x-5)^m) = 0 \\ \forall p \in \mathcal{P}_m(R). p &= \sum_0^m c_i (x-5)^m \\ p &= c_m (x-5)^m + c_{m-1} (x-5)^{m-1} + \dots + 0! \cdot c_0 (x-5)^0 \\ p' &= c_m \cdot m (x-5)^{m-1} + c_{m-1} \cdot (m-1) (x-5)^{m-2} + \dots + 1! \cdot c_1 (x-5)^0 \\ p^{(n)} &= c_m \frac{m!}{(m-n)!} (x-5)^{m-n} + c_{m-1} \cdot \frac{(m-1)!}{(m-n-1)!} (x-5)^{m-n-1} + \dots + c_n \cdot n! (x-5)^0 \\ \rightarrow c_n &= \frac{p^{(n)}(5)}{n!} = \frac{0+0+\dots+c_n \cdot n!}{n!} \\ \rightarrow \varphi_n &= \frac{p^{(n)}(5)}{n!}\end{aligned}$$

9. Proof:  $v$ 是 $V$ 的基,  $\varphi$ 是 $V'$ 的对应基.  $\psi \in V'$ . Proof:  $\psi = \psi(v_1)\varphi_1 + \dots + \psi(v_n)\varphi_n$

$$\begin{aligned}\forall \psi \in V', \varphi \text{是} V' \text{的基} &\rightarrow \psi = \sum c_i \varphi_i \\ \leftarrow \psi(v_i) &= c_i \\ \forall v \in V, v = \mathbf{a}v. \psi(v) &= \psi(\mathbf{a}v) = \mathbf{a}\psi(v) \\ &= \sum a_i \psi(v_i) \\ \leftarrow \psi(v) &= \sum \psi(v_i) \varphi_i(v) \\ &= \sum \psi(v_i) \varphi_i(\mathbf{a}v) \\ &= \sum \psi(v_i) (\sum a_i \varphi(v_i)) \\ &\quad ???\end{aligned}$$

10.

11.  $A \in F^{m,n} \wedge A \neq 0$ . Proof:  
rank  $A = 1 \Leftrightarrow \exists c \in F^m, \exists d \in F^n \rightarrow \forall j \in 1 \dots m, \forall k \in 1 \dots n \rightarrow A_{j,k} = c_j d_k$

$$\text{rank } A = 1 \rightarrow \exists \mathbf{c} \in F^m, \exists \mathbf{d} \in F^n, A_{i,j} = c_i d_j$$

$$\text{rank } A = 1 \Leftrightarrow \dim(\text{span}(A_{\cdot,i})) = 1$$

$$\rightarrow \text{span}(A_{\cdot,i}) = \{\lambda A_{\cdot,i}, \lambda \in F\}$$

$$\text{let: } \mathbf{d} = A_{\cdot,i} \neq 0, A \neq 0 \rightarrow \text{这样的 } \mathbf{d} \text{ 是存在的}$$

$$A_{\cdot,i} = \lambda_i \mathbf{d}$$

$$\text{let: } c_i = \lambda_i$$

$$A_{i,j} = \lambda_i d_j$$

$$\exists \mathbf{c} \in F^m, \exists \mathbf{d} \in F^n, A_{i,j} = c_i d_j \rightarrow \text{rank } A = 1$$

$$A_{\cdot,i} = c_i \mathbf{d} \rightarrow A_{\cdot,i} = \frac{c_i}{c_j} \mathbf{d}$$

$$\text{rank } A = \text{span}\left(\frac{c_i}{c_j} \mathbf{d}\right) = 1. \text{ 除非 } \mathbf{c} = 0 \wedge \mathbf{d} = 0 \text{ 此时 } \text{rank } A = 0$$

12. Proof:  $I_V$  的对偶映射是  $I_{V'}$

$$\forall v \in V, I_V(v) = v.$$

$$\forall \varphi \in V', (I_V)'(\varphi) = \varphi \circ I_V$$

$$(I_V)'(\varphi)(v) = \varphi \circ I_V(v) = \varphi(v)$$

$$\rightarrow (I_V)'(\varphi) = \varphi$$

$$\rightarrow (I_V)' = I_{V'}$$

13.  $T: R^3 \rightarrow R^2, T(x, y, z) = (4x + 5y + 6z, 7x + 8y + 9z)$ .  $\varphi_1, \varphi_2$  是  $R^2$  标准基的对偶基,  $\psi_1, \psi_2, \psi_3$  是  $R^3$  标准基的对偶基.

a. 描述:  $T'(\varphi_1), T'(\varphi_2)$

$$R^2 \text{ 的标准基: } (1, 0), (0, 1)$$

$$\varphi_1((1, 0)) = 1, \varphi_1((0, 1)) = 0$$

$$\varphi_2((1, 0)) = 0, \varphi_2((0, 1)) = 1$$

$$\rightarrow \varphi_1(x, y) = x, \varphi_2(x, y) = y.$$

$$\psi_1(x, y, z) = x; \psi_2(x, y, z) = y; \psi_3(x, y, z) = z$$

$$T'(\varphi_1) = \varphi_1 \circ T = 4x + 5y + 6z$$

$$T'(\varphi_2) = \varphi_2 \circ T = 7x + 8y + 9z$$

b. 计算:  $T'(\varphi_1), T'(\varphi_2)$  的  $\psi_1, \psi_2, \psi_3$  的线性组合

$$\forall (x, y, z) \in R^3, T'(\varphi_1)(x, y, z) = (\varphi_1 \circ T)(x, y, z) = (4x + 5y + 6z)(x, y, z)$$

$$\forall (x, y, z) \in R^3, T'(\varphi_2)(x, y, z) = (\varphi_2 \circ T)(x, y, z) = (7x + 8y + 9z)(x, y, z)$$

$$T'(\varphi_1)(x, y, z) = 4\psi_1(x, y, z) + 5\psi_2(x, y, z) + 6\psi_3(x, y, z)$$

$$T'(\varphi_2)(x, y, z) = 7\psi_1(x, y, z) + 8\psi_2(x, y, z) + 9\psi_3(x, y, z)$$

14.  $T: \mathcal{P}(R) \rightarrow \mathcal{P}(R), T(p)(x) = (x^2 p)(x) + p''(x)$

a.  $\varphi \in \mathcal{P}(R)', \varphi(p) = p'(4)$ . 描述  $\mathcal{P}(R)$  上的线性泛函  $T'(\varphi)$

b.  $\varphi \in \mathcal{P}(R)', \varphi(p) = \int_0^1 p(x) dx$ . 求:  $(T'(\varphi))(x^3)$

15. Proof:  $W$  有限维.  $T \in \mathcal{L}(V, W)$ . Proof:  $T' = \mathbf{0} \Leftrightarrow T = \mathbf{0}$

16. Proof:  $V, W$  有限维. Proof:  $T \in \mathcal{L}(V, W) \rightarrow T' \in \mathcal{L}(W', V')$  的映射是  $\mathcal{L}(V, W)$  和  $\mathcal{L}(W', V')$  的同构

17. Explanation:  $U \subset V$ . Explanation:  $U^0 = \{\varphi \in V': U \subset \text{null } \varphi\}$

18. Proof:  $V$  有限维.  $U \subset V$ . Proof:  $U = \{0\} \Leftrightarrow U^0 = V'$

19. Proof:  $V$  有限维.  $U$  是  $V$  的子空间. Proof:  $U = V \Leftrightarrow U^0 = \{0\}$

20. Proof:  $U \subset V, W \subset V. U \subset W$ . Proof:  $W^0 \subset U^0$
21. Proof:  $V$  有限维,  $U, W$  是  $V$  的子空间且  $W^0 \subset U^0$ . Proof:  $U \subset W$ .
22. Proof:  $U, W$  是  $V$  的子空间. Proof:  $(U + W)^0 = U^0 \cap W^0$
23. Proof:  $V$  是有限维的.  $U, W$  是  $V$  的子空间. Proof:  $(U \cap W)^0 = U^0 + W^0$
- 24.
25. Proof:  $V$  是有限维的.  $U$  是  $V$  的子空间. Proof:  $U = \{v \in V: \forall \varphi \in U_0 \rightarrow \varphi(v) = 0\}$ .
26. Proof:  $V$  是有限维的.  $\Gamma$  是  $V'$  的子空间. Proof:  $\Gamma = \{v \in V: \forall \varphi \in \Gamma \rightarrow \varphi(v) = 0\}^0$
27. Proof:  $T \in \mathcal{L}(\mathcal{P}_5(R), \mathcal{P}_5(R)) \wedge \text{null } T' = \text{span}(\varphi)$ .  $\varphi$  是  $\mathcal{P}_5(R)$  上的  $\varphi(p) = p(8)$  定义的线性泛函.  
Proof:  $\text{range } T = \{p \in \mathcal{P}_5(R): p(8) = 0\}$
28. Proof:  $V, W$  是有限维的.  $T \in \mathcal{L}(V, W)$ .  $\exists \varphi \in W' \rightarrow \text{null } T' = \text{span}(\varphi)$ . Proof:  $\text{range } T = \text{null } \varphi$
29. Proof:  $V, W$  是有限维的.  $T \in \mathcal{L}(V, W)$ .  $\exists \varphi \in V' \rightarrow \text{range } T' = \text{span}(\varphi)$ . Proof:  $\text{null } T = \text{null } \varphi$
30. Proof:  $V$  是有限维的.  $\varphi_1, \dots, \varphi_m$  是  $V'$  中的一个线性无关组. Proof:  $\dim((\text{null } \varphi_1) \cap \dots \cap (\text{null } \varphi_m)) = (\dim V) - m$
31. Proof:  $V$  是有限维的.  $\varphi_1, \dots, \varphi_m$  是  $V'$  的基. Proof:  $\exists V$  的基使得其对偶基是  $\varphi_1, \dots, \varphi_m$
32.  $T \in \mathcal{L}(V)$ .  $u_1, \dots, u_n$  是  $V$  的基.  $v_1, \dots, v_n$  是  $V$  的基. Proof: 下列命题等价

$$\mathcal{M}(T) = \mathcal{M}(T, u, v)$$

1.  $T$  可逆
2.  $\mathcal{M}(T)$  的列在  $F^{n,1}$  中是线性无关的
3.  $\text{span}(\mathcal{M}(T)_{\cdot, i}) = F^{n,1}$
4.  $\mathcal{M}(T)$  的行在  $F^{1,n}$  中线性无关
5.  $\text{span}(\mathcal{M}(T)_{i, \cdot}) = F^{1,n}$

33. Proof:  $m, n \in N^+$ . Proof:  $\varphi: A \rightarrow A^t \in \mathcal{L}(F^{m,n}, F^{n,m}) \wedge \varphi$  可逆

$$\begin{aligned} \forall A, B \in F^{m,n} \quad \varphi(A+B) &= (A+B)^t = A^t + B^t = \varphi(A) + \varphi(B) \\ \forall A \in F^{m,n}, \lambda \in F \quad \varphi(\lambda A) &= (\lambda A)^t = \lambda A^t = \lambda \varphi(A) \\ &\rightarrow \varphi \in \mathcal{L}(F^{m,n}, F^{n,m}) \end{aligned}$$

$$\begin{aligned} \forall A \neq B \rightarrow \exists i, j \in \dots \rightarrow A_{i,j} &\neq B_{i,j} \\ \varphi(A)_{j,i} &\neq \varphi(B)_{j,i} \rightarrow \varphi(A) \neq \varphi(B) \\ &\rightarrow \varphi \text{ 单} \end{aligned}$$

$$\begin{aligned} \forall X \in F^{n,m}, X^t \in F^{m,n} \quad \varphi(X^t) &= (X^t)^t = X \\ &\rightarrow \varphi \text{ 满} \end{aligned}$$

$$\rightarrow \varphi \text{ 可逆}$$

定义  $V$  的二次对偶空间  $(V'')$  是  $V'$  的对偶空间.  $V'' = (V')'$

$$\Lambda: V \rightarrow V'', \forall v \in V, \varphi \in V'. \Lambda(v)(\varphi) = \varphi(v)$$

34. 1. Proof:  $\Lambda \in \mathcal{L}(V, V'')$   
2. Proof:  $T \in \mathcal{L}(V) \rightarrow T'' \circ \Lambda = \Lambda \circ T$   
3. Proof:  $V$  是有限维的,  $\Lambda$  是  $V$  和  $V''$  的同构

35. Proof:  $(\mathcal{P}(R))' \cong R^\infty$

36.  $U$  是  $V$  的子空间. 设  $i: U \rightarrow V, i(u) = u. i' \in \mathcal{L}(V', U')$ .

a. Proof:  $\text{null } i' = U^0$

b. Proof:  $V$  是有限维的  $\rightarrow \text{range } i' = U'$

c. Proof:  $V$  是有限维的  $\rightarrow \tilde{i}'$  是  $V'/U^0$  和  $U'$  的同构

37.  $U$  是  $V$  的子空间,  $\pi: V \rightarrow V/U$  是商映射,  $\pi' \in \mathcal{L}((V/U)', V')$ .

a. Proof:  $\pi'$  单

b. Proof:  $\text{range } \pi' = U^0$

c. Proof:  $\pi'$  是  $(V/U)'$  和  $U^0$  的同构