

Operating System

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Outline

- Introduction to Operating System
- Operating System Software
- Types of Architectures
- System Calls

Introduction to Operating System

- An Operating System (OS) is a program that manages the computer hardware.
- It also provides a basis for Application Programs and acts as an intermediary between computer user and computer hardware.
- Some widely used operating systems are



Basic Terminologies & Definitions

- Task
- Algorithm
- Program
- Process
- Thread

Basic Terminologies & Definitions

- Kernel
- Shell
- system call

Task Manager						
Type a name, publisher, or PID...						
Processes						
		Run new task		End task		Efficiency mode
Name	Status	15% CPU	57% Memory	1% Disk	8% Network	
Apps (4)						
> Google Chrome (31)		0.7%	1,078.3 MB	0.1 MB/s	0.1 Mbps	
> Microsoft PowerPoint (32 bit)		0%	171.4 MB	0 MB/s	0 Mbps	
> Task Manager		1.5%	81.5 MB	0 MB/s	0 Mbps	
> Windows Explorer		0.8%	126.3 MB	0.1 MB/s	0 Mbps	
Background processes (92)						
> Acrobat Update Service (32 bit)		0%	0.3 MB	0 MB/s	0 Mbps	
> Antimalware Core Service		0%	2.9 MB	0 MB/s	0 Mbps	
> Antimalware Service Executable		0%	4.4 MB	0 MB/s	0 Mbps	

System Software

- A program that runs computer hardware and software.
- Examples: Operating System, BIOS, firmware
- Special Types of System Software: Translators, Compilers, Other Diagnostic tools
- Operates at the lowest computer level
- Manages & Operates Hardware
- Interface between Application Software and Hardware
- Synchronizes & controls data flow between memory, secondary storage device, Display, printers etc

Application software

- The softwares those run on system software to serve end users.
- Examples: Animation, Graphics, Microsoft Office, etc.
- Developed to perform single or multiple tasks

Operating System

- Operating System is collection of software that controls hardware for scheduling the execution of other programs, manage storage; input, output & Communication Devices and the CPU.

Operating System

- Means of invoking Operating System functions is called System Calls.
- System calls:
 - Process Management,
 - File Management,
 - Time Management,
 - Memory and I/O Management

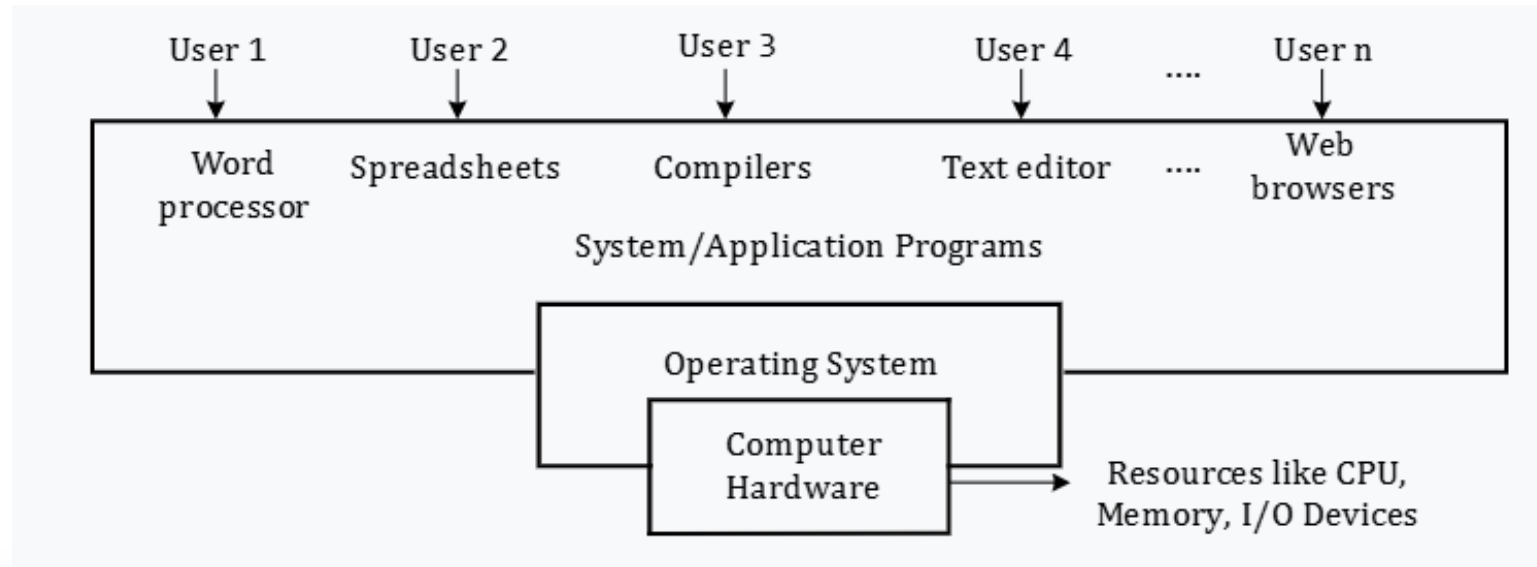
e.g. `ch=fgetc(fp)` is executed by
`Count = read(fd, ch,1)`

Elements of Computing system

- Processor: For execution of instructions.
- Memory Devices: For storing data and instructions (programs)
- Input /Output /Communication Devices: Used for communicating with the real world.
 - Receive the data from the user,
 - present data to the user
 - communicate with other devices.

Components of OS

- 1.The hardware
- 2.The OS
- 3.Application programs
- 4.Users



What does an OS do?

Resource Manager

- Convenience :
 - Operating System makes the communication process between the user and the hardware a lot easier, which is also required.
- Efficiency :
 - By efficiency, we mean the suitable allocation of resources and the quality of processing of data. So an operating system should provide an efficient usage of the system.

Quiz

Which of the following statement best describes an operating system?

- A. It is a type of antivirus software.
- B. It is a programming language used to develop application.
- C. It is a software that manages computer hardware and software resources.
- D. It is a collection of hardware components in a computer system.

Quiz

Which of the following is NOT a function performed by an operating system?

- A. File System Management
- B. Data Encryption
- C. User Interface
- D. Memory Management

Quiz

Which of the following modes of CPU execution provides the highest level of privilege?

- A. Protected Mode
- B. Supervisor Mode
- C. Kernel Mode
- D. User Mode

System programs

- **Device Drivers:**
 - Facilitate communication between the OS and hardware devices.
 - Provide necessary instructions for the OS to control hardware components.
- **Macro Processors:**
 - Expand macros within the source code if macros are used.
 - Replace macro instructions with their corresponding sequences of code before compilation.
- **Compilers:**
 - Translate high-level source code into assembly language or machine code.
 - Produce object code (usually stored in object files).
- **Assemblers:**
 - Convert assembly language code into machine code.
 - Generate object files containing machine code.

System programs

- **Linkers:**

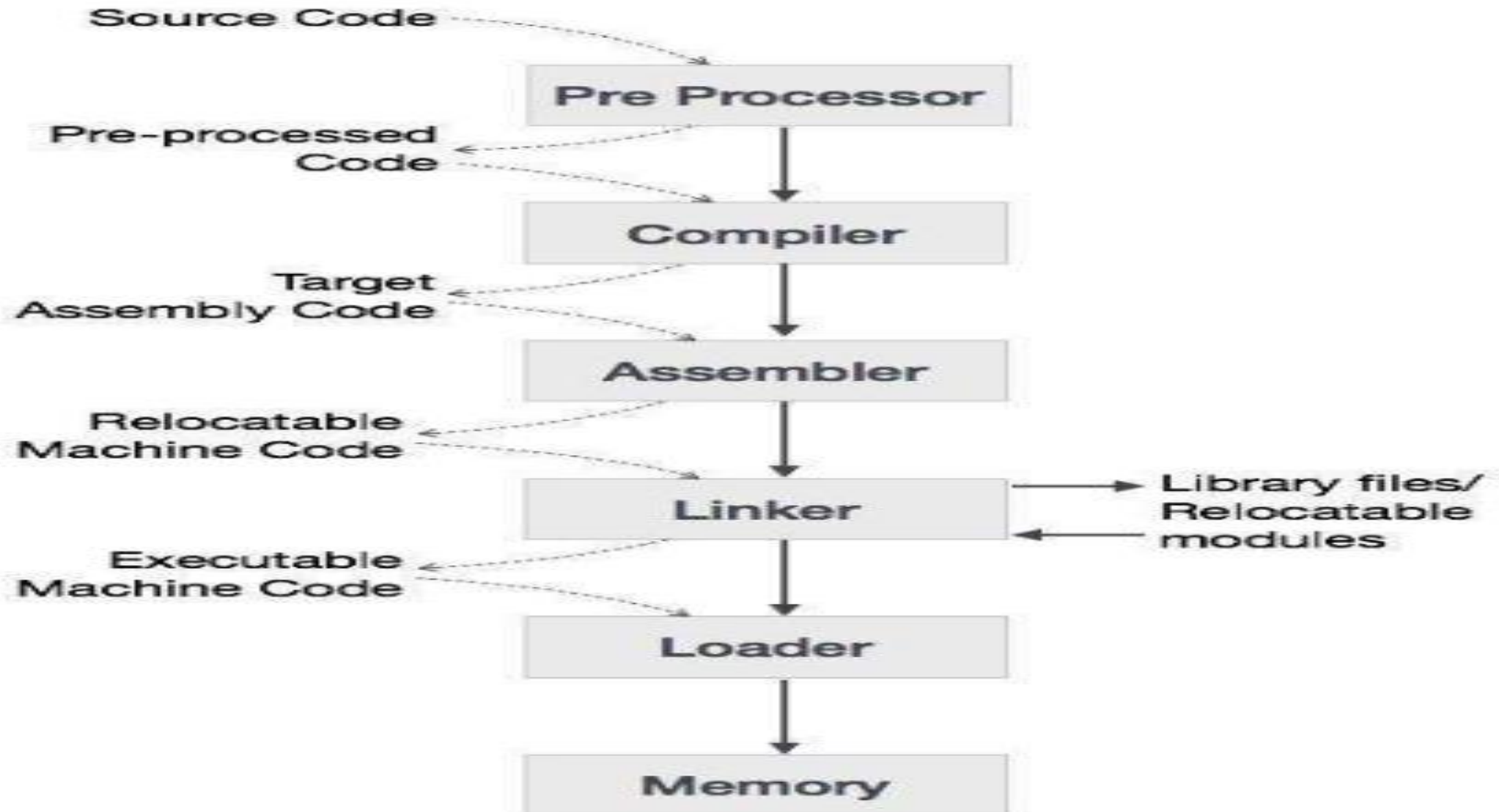
- Combine multiple object files into a single executable file.
- Resolve symbol references between object files and link necessary libraries.

- **Loaders:**

- Load the executable file into memory for execution.
- Allocate memory and set up the execution environment.

- **Interpreters:**

- Execute high-level programming code directly, line-by-line.
- Translate and execute code simultaneously without producing an intermediate machine code file.
- Interpreters can be used instead of compilers for certain languages (like Python).



How a program executes?

```
#include <stdio.h>

int main() {
    int a = 5;
    int b = 7;
    int sum = a + b;
    printf("Sum of %d and %d is %d\n", a, b, sum);
    return 0;
}
```

How a program executes?

- Macroprocessor / **Preprocessing**:

- The preprocessor expands directives such as `#include <stdio.h>`.
- It includes the contents of `stdio.h` and expands any macros defined within it.
E.g. `#define pi 3.142`

➔ Output after Preprocessing:

- Expanded source code including contents of `stdio.h`.
- Example: Expanded `printf` function declaration and macros from `stdio.h`.

How a program executes?

2 Compilation:

- The compiler translates the C code into assembly language or directly into machine code specific to the target platform.
- Output after Compilation (example):
 - Object code (machine code) instructions.
 - Symbol table (example): ``

Symbol Table:

Symbol	Address

main	0000H
a	0001H
b	0002H
sum	0003H

``	

- Example (simplified): Machine code instructions for setting up variables `a`, `b`, calculating `sum`, and calling `printf`.

How a program executes?

3. Assembly (if applicable):

- If assembly code is a readable representation of the machine code instructions.

Example Assembly Language Code

; Data Section

ORG 1000H

a DB 05H ; Define variable 'a' with initial value 05H

b DB 07H ; Define variable 'b' with initial value 07H

sum DS 01H ; Define space for result 'sum'

; Code Section

ORG 2000H

START:

MOV A, a ; Move value of 'a' into accumulator A

ADD A, b ; Add value of 'b' to accumulator A

MOV sum, A ; Store result in 'sum'

HLT ; Halt the program

END START ; End of program

How a program executes?

- Linker

- combines the compiled object code with necessary library functions (like printf from stdio.h) into a single executable file
- performs address calculations and relocation of code and data sections to ensure proper execution in the target memory space.
- It adjusts addresses as necessary to avoid conflicts and ensure correct operation.

How a program executes?

- Loader

- The input to the loader is executable file generated by the linker.
- contains machine code instructions, data, and information about memory layout.
- The loader loads its contents into memory.
- It allocates memory space for the program's code, data segments, stack, and heap as necessary.
- resolves addresses and relocations specified by the linker.
- adjusts addresses in the executable file to reflect the actual memory addresses where the program will reside during execution.

How a program executes?

Memory Layout:

Code Segment	Data Segment	Stack	
(Executable)	(Initialized)	(Function	
	(Variables)	Call Stack)	

COMPILER

A compiler is a program which converts the entire source code of a programming language into executable machine code for a CPU.

Compiler takes large amount of time to analyze the entire source code but the overall execution time of the program is comparatively faster.

Compiler generates the error message only after scanning the whole program, so debugging is comparatively hard as the error can be present anywhere in the program.

Generates intermediate object code.

Examples: C, C++, Java

INTERPRETER

interpreter takes a source program and runs it line by line, translating each line as it comes to it.

Interpreter takes less amount of time to analyze the source code but the overall execution time of the program is slower.

Its Debugging is easier as it continues translating the program until the error is met

No intermediate object code is generated.

Examples: Python, Perl

Quiz

GATE CS 1998 | Question 25

In a resident- OS computer, which of the following system software must reside in the main memory under all situations?

- (A) Assembler
- (B) Linker
- (C) Loader
- (D) Compiler

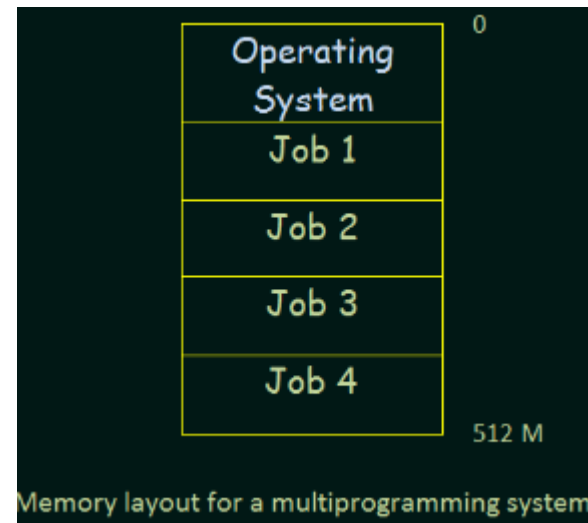
Quiz

A linker program

- A. a. places the program in the memory for the purpose of execution.
- B. b. relocates the program to execute from the specific memory area allocated to it.
- C. c. links the program with other programs needed for its execution.
- D. d. interfaces the program with the entities generating its input data.

Operating System Structure

- Multiprogramming
 - A single user can't in general, keep either the **CPU** or the **I/O devices** busy at **all times**.
 - Multiprogramming increases CPU utilization by **organizing jobs (code & data)** so that the **CPU** always has one to execute.

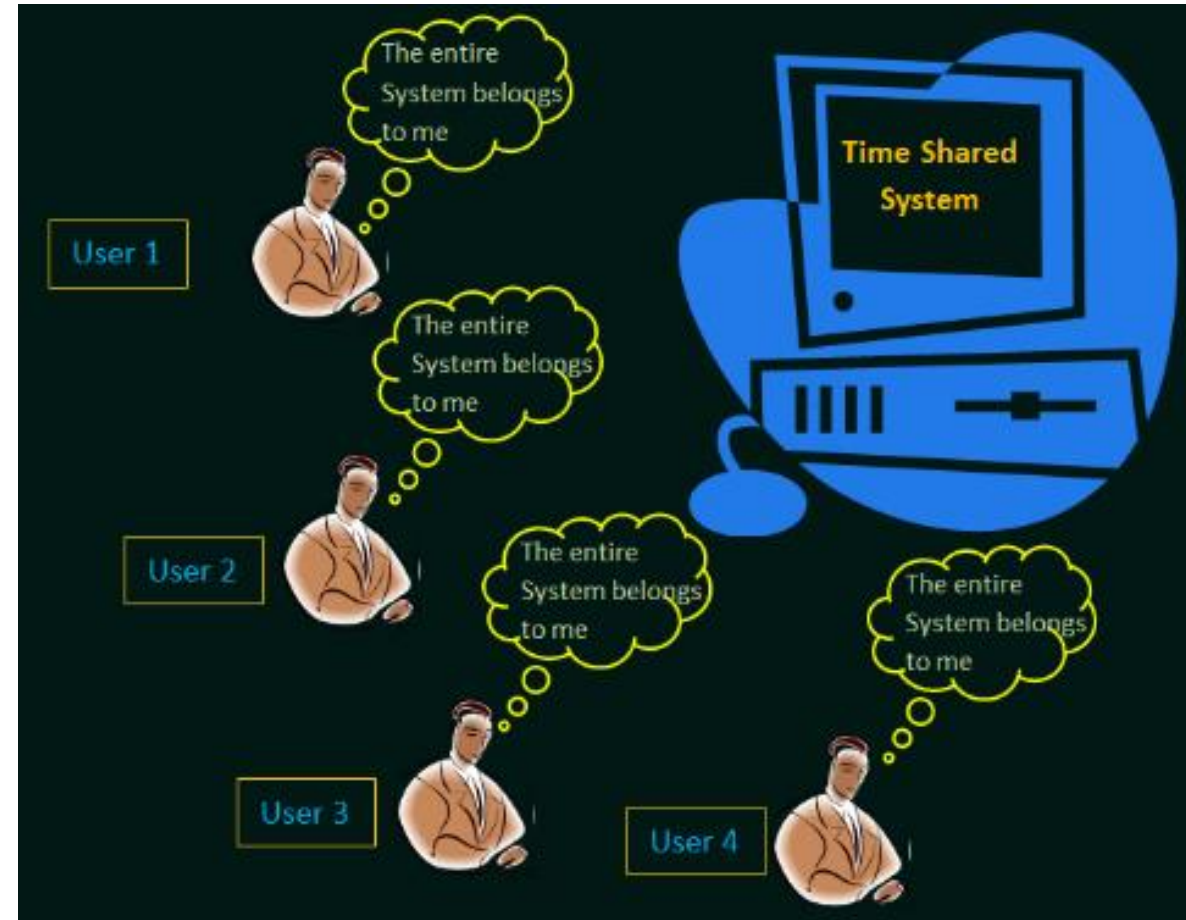


Operating System Structure (cont...)

- Time Sharing (Multitasking)
 - CPU executes multiple jobs by switching among them
 - Switches occur so frequently that the users can interact with each program while it is running
 - Time sharing requires an interactive (or hands-on) computer system, which provides direct communication between the user and the system.
 - A time-shared operating system allows many users to share the computer simultaneously.

Operating System Structure (cont...)

- Uses CPU scheduling & multiprogramming to provide each user with small portion of a time-shared computer.
- Each user has at least one separate program in memory.
- A program loaded into memory and executing is called a “Process”.



Evolution of OS

1. Early Systems (1940s-1950s) - Batch Processing Systems:

- These systems processed one job at a time in a batch mode.
- Jobs were collected and executed sequentially without user interaction.
- Examples: IBM 701, UNIVAC.

2. Simple Batch Systems (1950s-1960s) - Resident Monitor:

- An early form of the OS that resided in memory to handle job sequencing.
- Jobs were processed in batches with minimal manual intervention.
- Examples: IBM 1401.

3. Single-User, Single-Tasking Systems (1960s-1970s):

4. Single-User, Multiprocessing Systems (1970s-1980s):

- Examples: Early versions of macOS (Classic Mac OS), MS-DOS with TSR (Terminate and Stay Resident) programs.

Evolution of OS (cont...)

5. Multiprogramming Systems (1960s):

- 5. Allowed multiple programs to be loaded into memory and executed concurrently by the CPU.
- 6. Examples: IBM System/360, CTSS (Compatible Time-Sharing System).

6. Time-Sharing Systems (1960s-1970s):

- 5. Examples: MULTICS (Multiplexed Information and Computing Service), Unix.

7. Multi-User, Multiprocessing Systems (1970s-1980s):

- 5. Examples: Unix, VMS (Virtual Memory System).

8. Personal Computer Operating Systems (1980s-1990s) - Single-User, Multiprocessing:

- 5. Graphical User Interfaces (GUIs) gained popularity, making computers more accessible.
- 6. Examples: Windows 95, Mac OS.

Evolution of OS (cont...)

9. Network Operating Systems (1980s-1990s):

- 9. Enabled file sharing, printer sharing, and inter-process communication over networks.
- 10. Examples: Novell NetWare, Windows NT, early Unix-based systems with networking extensions.

10. Distributed Operating Systems (1990s):

- 9. Managed independent computers as a single coherent system.
- 10. Examples: Amoeba, Plan 9, early versions of Linux with distributed capabilities.

11. Modern Operating Systems (2000s-Present) - Multi-User, Multiprocessing Systems:

- Support advanced multitasking, multi-user environments, and extensive networking.
- Emphasize security, stability, and user-friendly interfaces.
- Examples: Modern Windows, macOS, Linux distributions, Android, iOS.

Evolution of OS (cont...)

12. Mobile Operating Systems (2000s-Present):

- 12. Designed for mobile devices with touch interfaces, efficient power management, and connectivity.
- 13. Emphasize app ecosystems and seamless user experiences.
- 14. Examples: iOS, Android.

13. Cloud and Virtualization (2010s-Present):

- Examples: VMware, Hyper-V, Kubernetes, cloud-based OS like Google Chrome OS.

Types of Architectures - Operating System

- Monolithic Architecture
- Layered Architecture
- Micro-Kernel Architecture
- Hybrid Architecture

Monolithic Architecture

- Each component of the **operating system** is contained in the **kernel** and the components of the operating system **communicate with each other using function calls**.
- E.g. OS/360, VMX, and LINUX.

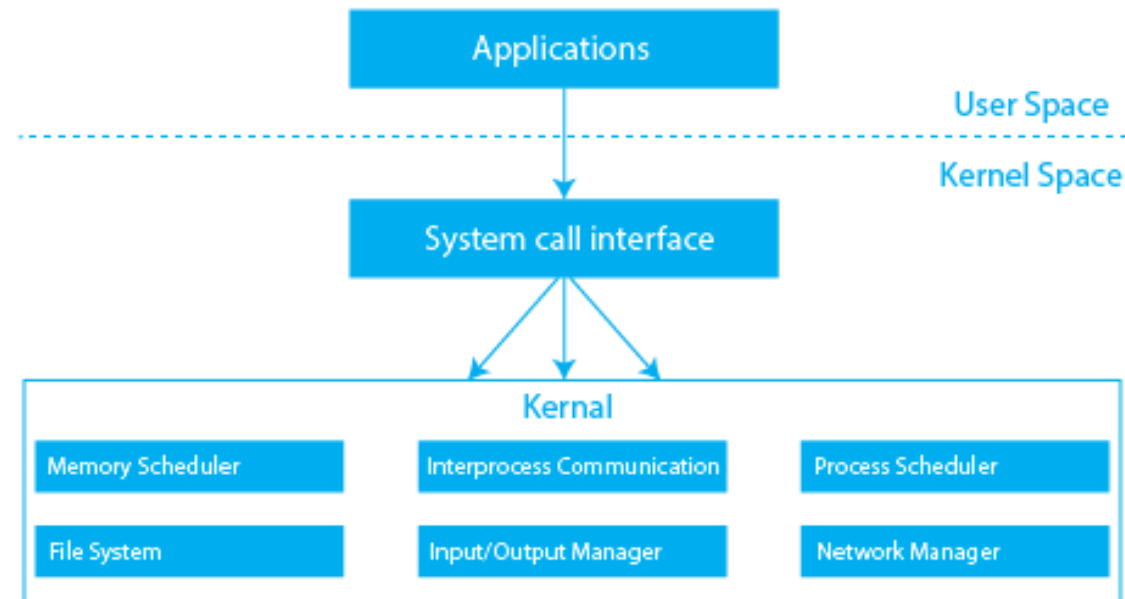


Image source : [Operating System Architecture \(prebytes.com\)](http://prebytes.com)

Monolithic Architecture (cont...)

- **Performance:**
 - High due to direct function calls within the kernel.
- **Modularity:**
 - Low, as all components are intertwined in a single large codebase.
- **Maintenance:**
 - Difficult, because changes in one part can affect many others.
- **Security:**
 - Lower, because a bug in any part of the kernel can compromise the entire system.

Layered Architecture

- The OS is separated into layers or levels in this kind of arrangement.
- Layer 0 (the lowest layer) contains the hardware, and layer 1 (the highest layer) contains the user interface (layer N).
- These layers are organized hierarchically, with the top-level layers making use of the capabilities of the lower-level ones.
- E.g. Windows XP, and LINUX

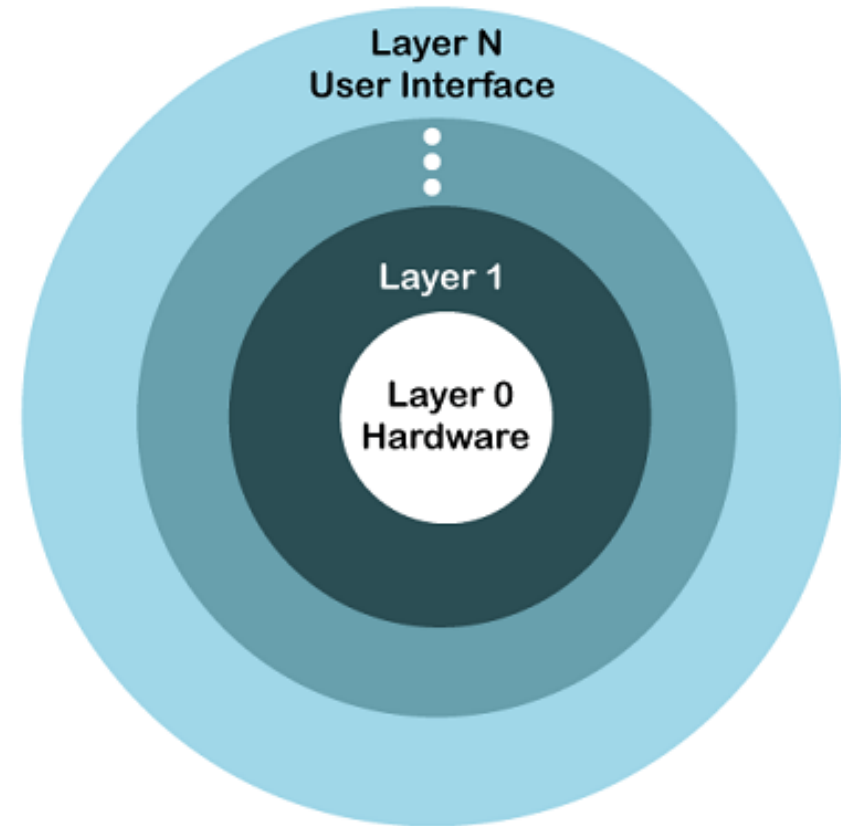


Image source : [Operating System Structure - javatpoint](#)

Layered Architecture (cont...)

- **Performance:**
 - Moderate, as each layer adds overhead.
- **Modularity:**
 - Higher than monolithic, since each layer has specific functionality.
- **Maintenance:**
 - Easier than monolithic, as changes are localized within layers.
- **Security:**
 - Improved compared to monolithic, but a bug in lower layers can still affect upper layers.

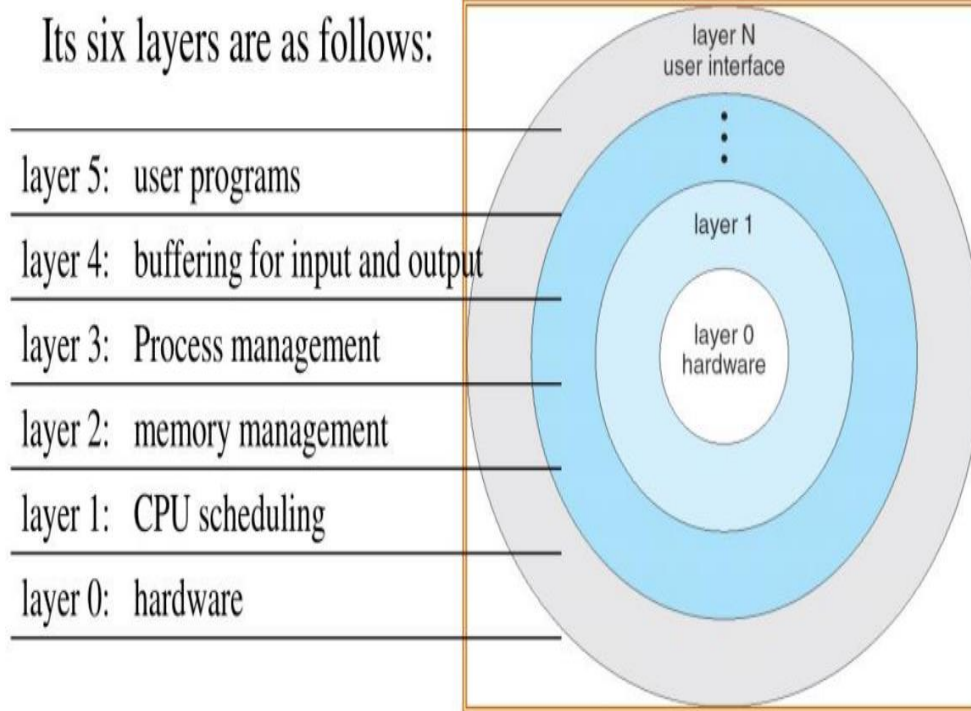
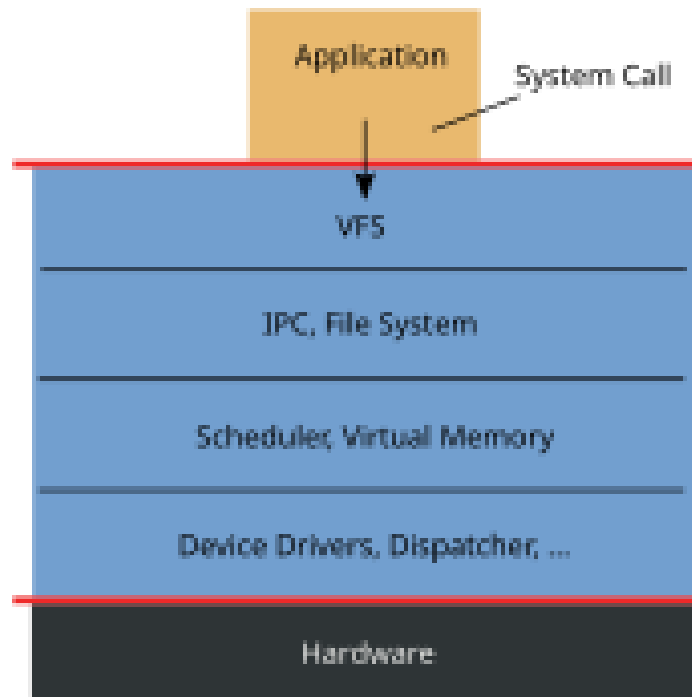


Image source : [Information to know about Operating System – Programmer Prodigy \(code.blog\)](#)

Microkernel Architecture

Monolithic Kernel
based Operating System



Microkernel
based Operating System

user
mode

kernel
mode

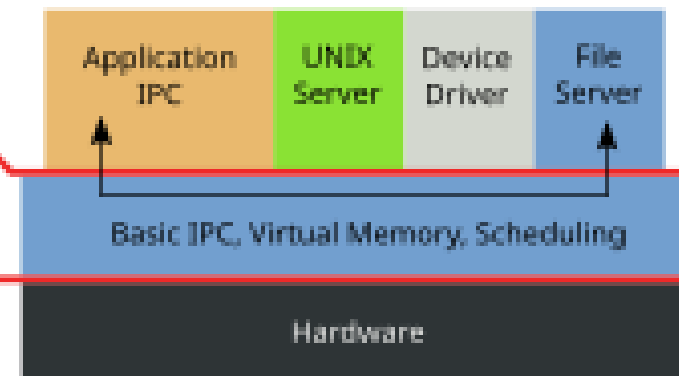


Image source: Wikipedia

Microkernel Architecture

- **Performance:**
 - Lower due to more context switches and inter-process communication.
- **Modularity:**
 - Very high, as only essential services are in the kernel and others run in user space.
- **Maintenance:**
 - Easiest, as most services are user-space programs that can be updated independently.
- **Security:**
 - Highest, because faults in user-space services do not affect the kernel.

Microkernel vs Monolithic

S. No.	Parameters	Microkernel	Monolithic kernel
1.	Address Space	In microkernel, user services and kernel services are kept in separate address space.	In monolithic kernel, both user services and kernel services are kept in the same address space.
2.	Design and Implementation	OS is complex to design.	OS is easy to design and implement.
3.	Size	Microkernel are smaller in size.	Monolithic kernel is larger than microkernel.
4.	Functionality	Easier to add new functionalities.	Difficult to add new functionalities.
5.	Coding	To design a microkernel, more code is required.	Less code when compared to microkernel

Microkernel vs Monolithic (cont...)

S. No.	Parameters	Microkernel	Monolithic kernel
6.	Failure	Failure of one component does not effect the working of micro kernel.	Failure of one component in a monolithic kernel leads to the failure of the entire system.
7.	Processing Speed	Execution speed is low.	Execution speed is high.
8.	Extend	It is easy to extend Microkernel.	It is not easy to extend monolithic kernel.
9.	Communication	To implement IPC messaging queues are used by the communication microkernels.	Signals and Sockets are utilized to implement IPC in monolithic kernels.
10.	Debugging	Debugging is simple.	Debugging is difficult.

Microkernel vs Monolithic (cont...)

S. No.	Parameters	Microkernel	Monolithic kernel
11.	Maintain	It is simple to maintain.	Extra time and resources are needed for maintenance.
12.	Message passing and Context switching	Message forwarding and context switching are required by the microkernel.	Message passing and context switching are not required while the kernel is working.
13.	Services	The kernel only offers IPC and low-level device management services.	The Kernel contains all of the operating system's services.
14.	Example	Example : Mac OS X.	Example : DOS, classical early versions of BSD, Unix, Solaris, Mac OS, etc.

Hybrid Architecture

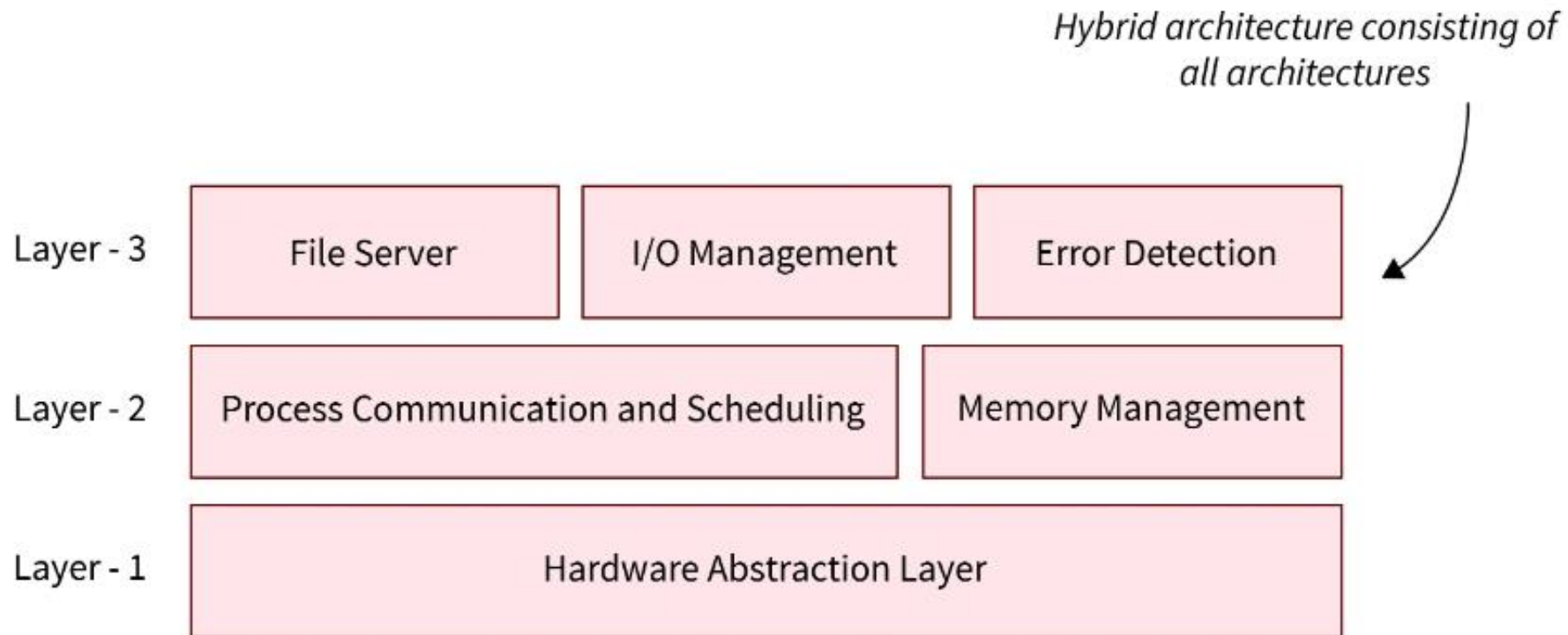


Image source: [Architecture of Operating System - Scaler Topics](#)

Hybrid Architecture (cont...)

- **Performance:**

- They allow various architectural components to provide specialized services.
- This flexibility can lead to better overall system performance.

- **Modularity:**

- Each layer focuses on specific functionality (e.g., file system, memory management).
- Developers can work on individual layers independently.

- **Maintenance:**

- Easier maintenance due to clear module boundaries.
- Updates or bug fixes can be applied to specific layers without affecting the entire system.

- **Security:**

- Separating critical services (kernel space) from less critical ones (user space) enhances security.
- Kernel-level services are protected from user-level code.

Current OS designs

- **Windows NT and successors (2000, XP, Vista, 7, 8, 10, 11):** Hybrid kernel with microkernel elements.
- **macOS (and iOS, iPadOS, watchOS, tvOS):** Based on the XNU kernel, combining Mach microkernel and FreeBSD components.
- **Linux distributions:** Monolithic kernel with dynamically loadable modules, offering hybrid-like flexibility.
- **Android:** Built on the Linux kernel, following a similar modular approach.
- **Solaris:** Primarily monolithic but incorporates some microkernel principles with loadable kernel modules.

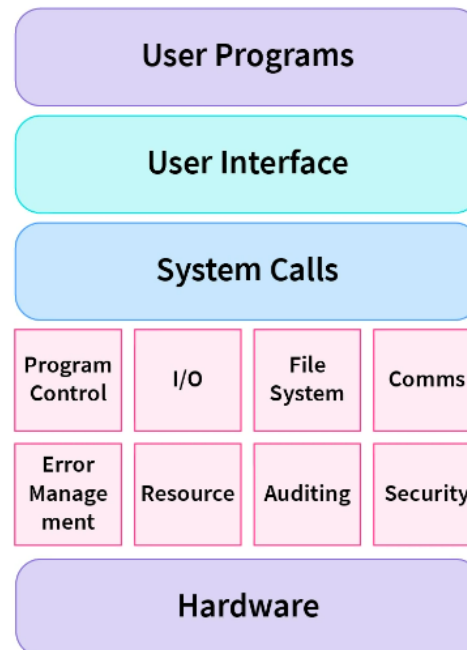
Quiz

In which among the given architecture executes different components of an operating system separately?

- A. Monolithic Architecture
- B. Layered Architecture
- C. Microkernel Architecture
- D. None of the given

System Calls

- System calls provide an interface to the services made available by an Operating System.
- Kernel is the core component of the Operating System that has complete control of the hardware.



System calls

- Working of system call

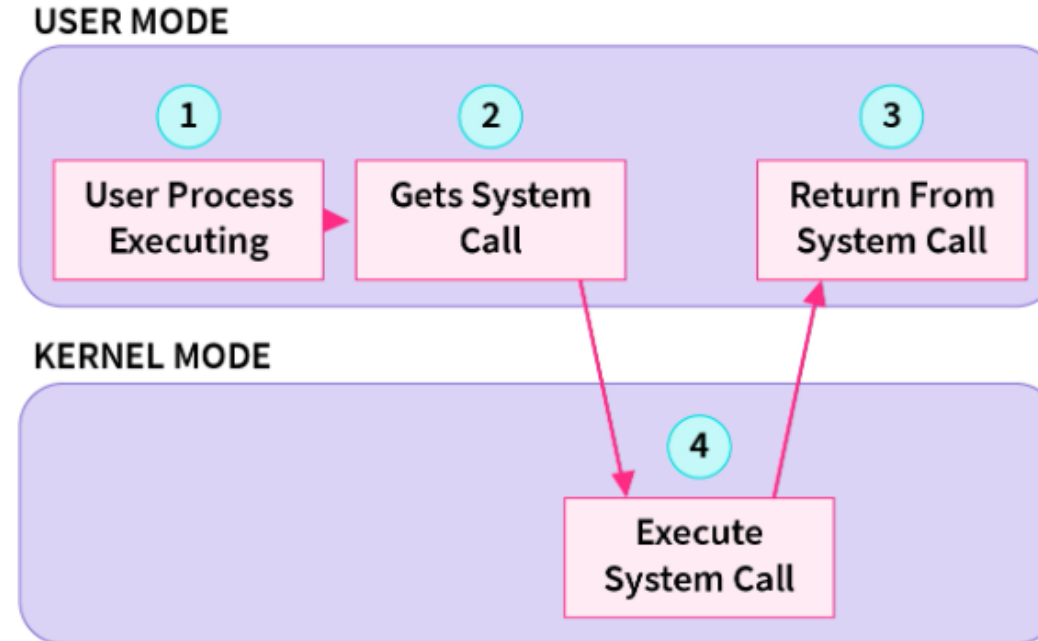


Image source: [System Calls in OS \(Operating System\) - Scaler Topics](#)

Example of System Call

Read data from one file and copy them to another file.

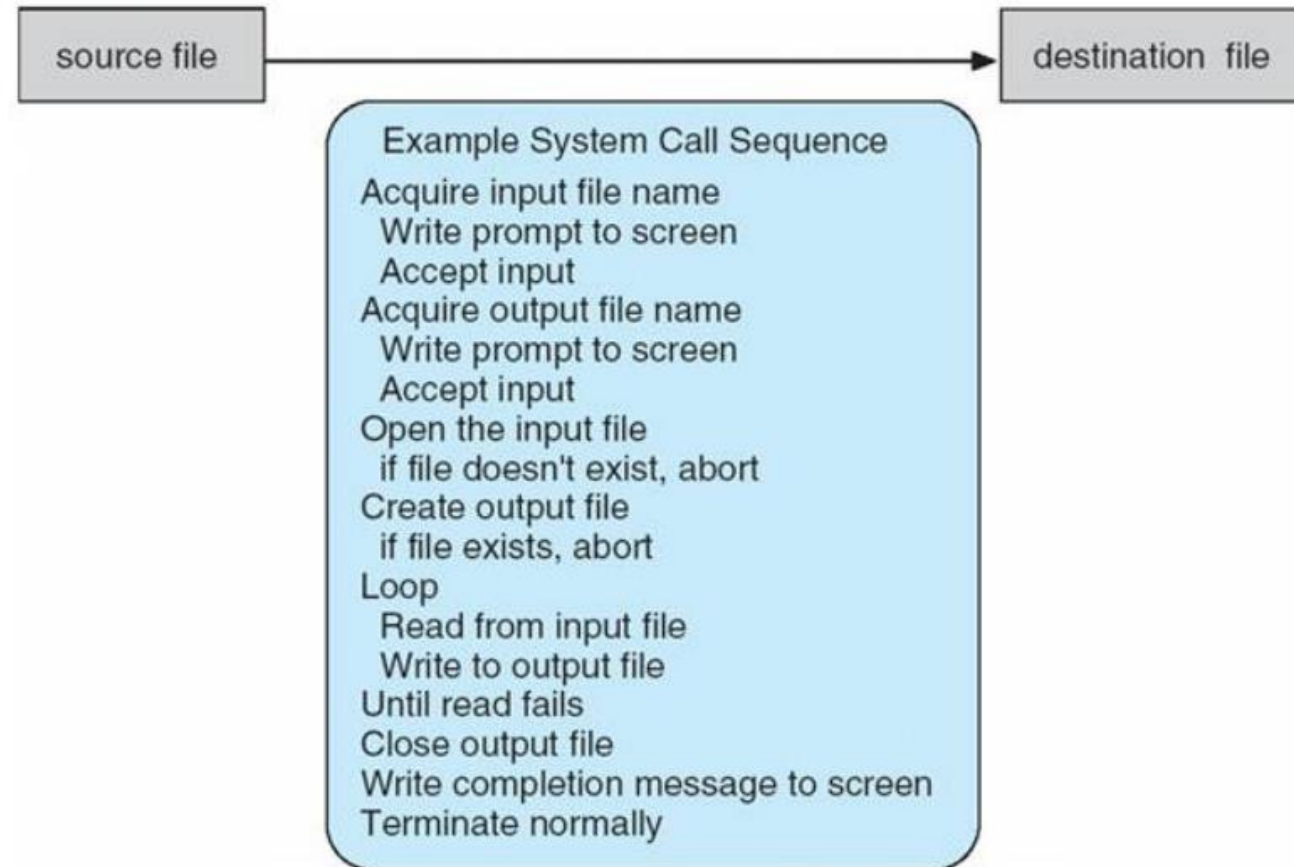


Image source: [System Calls \(iit.edu\)](http://SystemCalls.iit.edu)

Types of System Calls

- Process Control
 - end, abort
 - load, execute
 - create process, terminate process
 - get process attributes, set process attributes
 - wait for time
 - wait event, signal event
 - allocate and free memory
- File Management
 - Create file, delete file
 - open, close
 - read, write, reposition
 - get file attributes, set file attributes
- Device Management
 - request device, release device
 - read, write, reposition
 - get device attributes, set device attributes
 - logically attach or detach devices
- Information/Time Management
 - get time or date, set time or date
 - get system data, set system data
 - get process, file, or device attributes
 - set process, file, or device attributes
- Communication
 - create, delete communication connection
 - send, receive messages
 - transfer status information
 - attach or detach remote devices

Types of System Calls

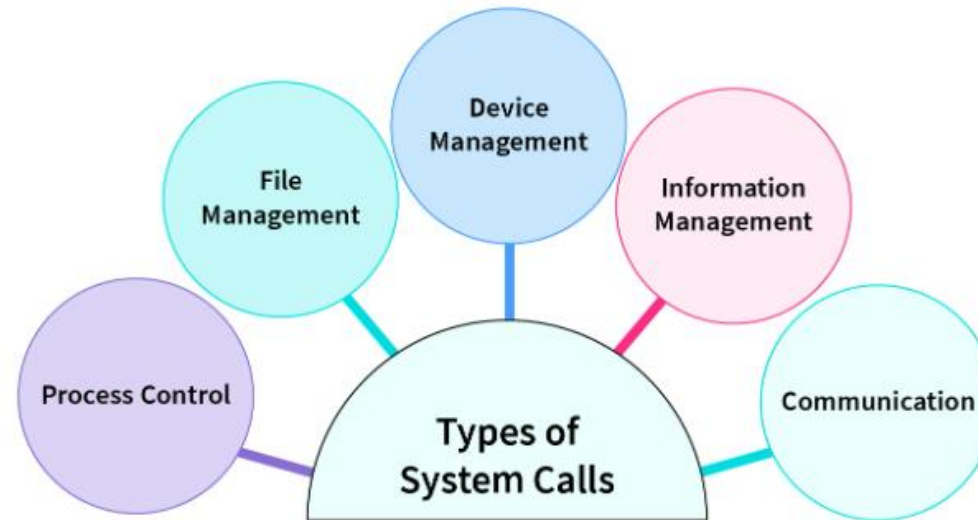


Image source: [System Calls in OS \(Operating System\) - Scaler Topics](#)

Process System Calls

- **fork():**
 - Creates a new process (child process) that runs concurrently with the parent process.
- **exec():**
 - Loads a new program into the current process space, replacing the current process with the new program.
- **wait() / waitpid():**
 - Suspends execution of the calling process until one of its child processes terminates. waitpid() allows more control over which child process to wait for.
- **exit():**
 - Terminates the calling process and optionally returns an exit status to the operating system.
- **getpid():**
 - Returns the process ID (PID) of the current process.
- **getppid():**
 - Returns the PID of the parent process.
- **kill():**
 - Terminates a specified process.

File System Calls

- **open()**
- **close()**
- **read()**
- **write()**
- **lseek()**
- **mkdir()**
- **rmdir()**
- **unlink()**
- **rename()**
- **stat() / fstat()**
- **chmod()**
- **chown()**
- **Link()**
- **Unlink()**
- **Mount()**
- **Umount()**

Information Management System Calls

- **time()**
- **gettimeofday()**
- **clock_gettime()**
- **alarm()**
- **sleep()**
- **nanosleep()**
- **getitimer()**
- **setitimer()**
- **Uptime()**

Memory System Calls

- **brk() / sbrk():**
 - Adjusts the location of the program break, which specifies the end of the process's data segment (heap)
- **mmap() / munmap():**
 - Maps or unmaps files or devices into memory.
- **malloc() / calloc() / realloc() / free():**
 - Allocates or deallocates dynamic memory.

I/O management related system calls

- **open() / close():**
 - Opens or closes a file or device.
- **read() / write():**
 - Reads from or writes to a file descriptor.
- **ioctl():**
 - Performs device-specific I/O operations.

Communication System Calls

- Pipe()
- Shmget()
- Mmap()

System Boot

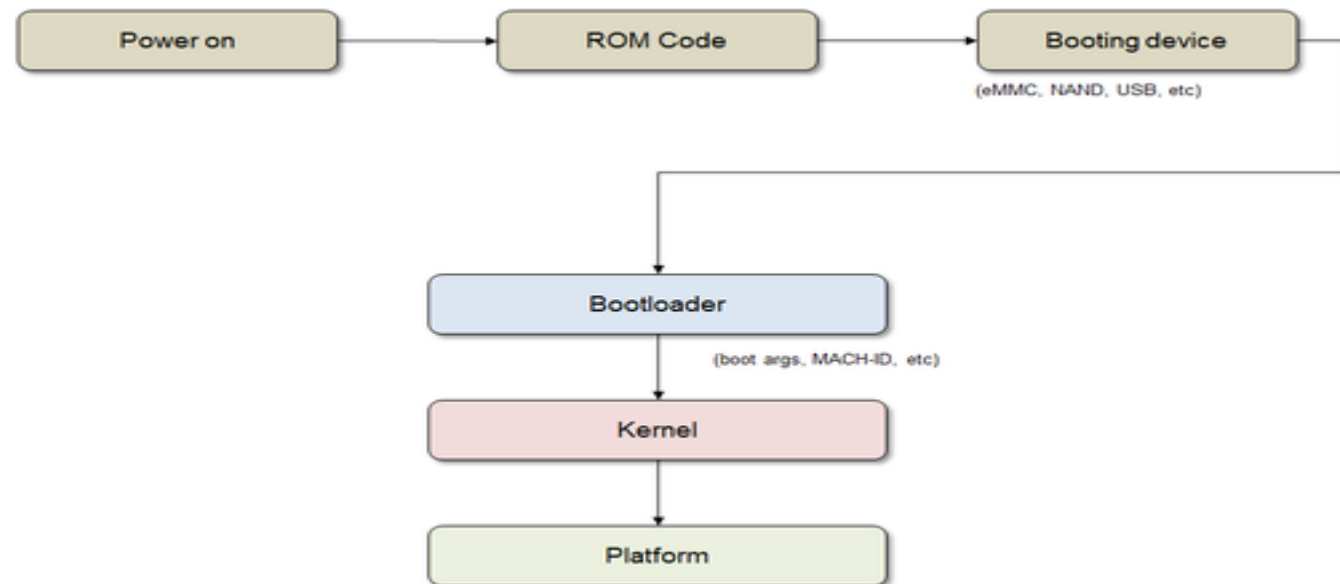
- The boot process is something that
 - happens every time
 - you turn your computer on.
 - You don't really see it,
 - because it happens so fast.
- You press the power button,
 - come back a few minutes later and
 - Windows XP, or Windows 10, or whatever Operating System you use
 - is all loaded.

System Boot

- When power is initialized on system,
 - execution starts at a fixed memory location,
 - **Firmware ROM used to hold initial boot code**
- Operating system must be
 - made available to hardware
 - so hardware can start it

System Boot (cont...)

- Sometimes two-step process where
 - **Boot block** at fixed location loaded by ROM code,
 - which loads bootstrap program from disk
 - Then loader continues with its job



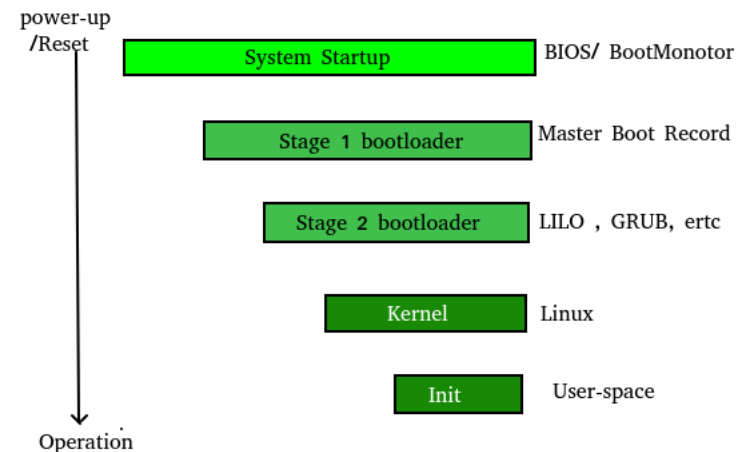
System Boot (cont...)

- **Bootstrap loader**

- Small piece of code,
- stored in **ROM** or **EEPROM** (electrically erasable programmable read-only memory)
- locates the kernel,
- loads it into memory, and
- starts it

System Boot (cont...)

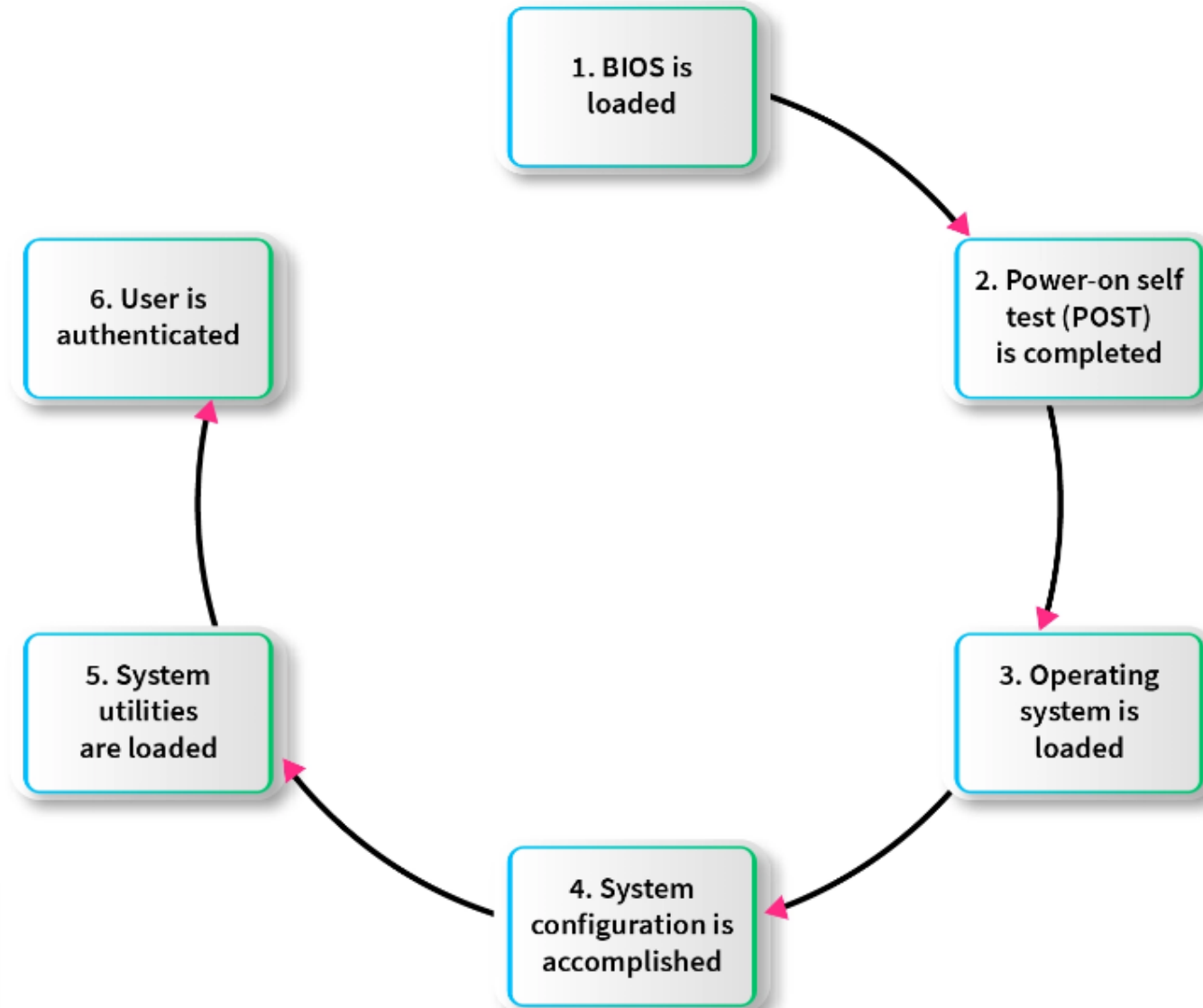
- Common bootstrap loader,
 - **GRUB**, (the **G**Rand **U**nified **B**ootloader)
 - allows selection of kernel from
 - multiple disks,
 - versions,
 - kernel options
- Kernel loads and
 - system is then **running**



Types of Booting

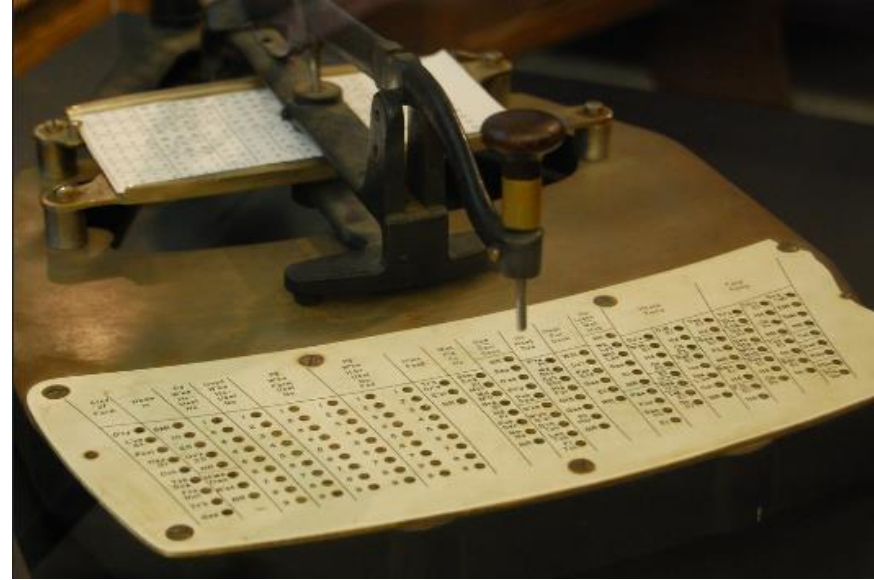
- Cold Booting/ Hard Booting:
 - Cold booting is the process when our computer system moves from the shutdown state to the start by pressing the power button.
 - The system reads the BIOS from ROM and will eventually load the Operating System.
- Warm Booting/ Soft Booting:
 - Warm booting is the process in which the computer gets restarted due to reasons like setting the configuration for newly installed software or hardware. Warm booting is called as rebooting.

Booting Process



Booting

- **Power-On Self-Test (POST):**
 - hardware (CPU, memory, motherboard, etc.) performs a Power-On Self-Test (POST).
 - also identifies connected devices like keyboards, monitors, and storage drives.
- **BIOS/UEFI Initialization:**
 - BIOS/UEFI is firmware stored on motherboard that provides basic control and communication between the operating system and hardware components.
- **Boot Loader Stage:**
 - The BIOS/UEFI locates and loads the boot loader program from the storage device (typically the hard drive or SSD).
 - The boot loader (such as GRUB in Linux or NTLDR in older versions of Windows) initializes the operating system.
- **System Operation:** Once logged in, users can interact with the operating system, run applications, and perform tasks using the system's resources.
- **Kernel Initialization:**
 - The boot loader loads the operating system's kernel into memory.
 - The kernel is the core of the operating system that manages system resources, such as memory, CPU, and devices.
- **Init Process:**
 - kernel once loaded, starts the init process
 - The init process is the first user-space process that initializes the system environment and starts essential system services.
- **User Login/Graphical Interface:**
 - system prompts for user login (in text mode) or launches the graphical user interface (GUI).
 - User login allows users to access the system and start applications.

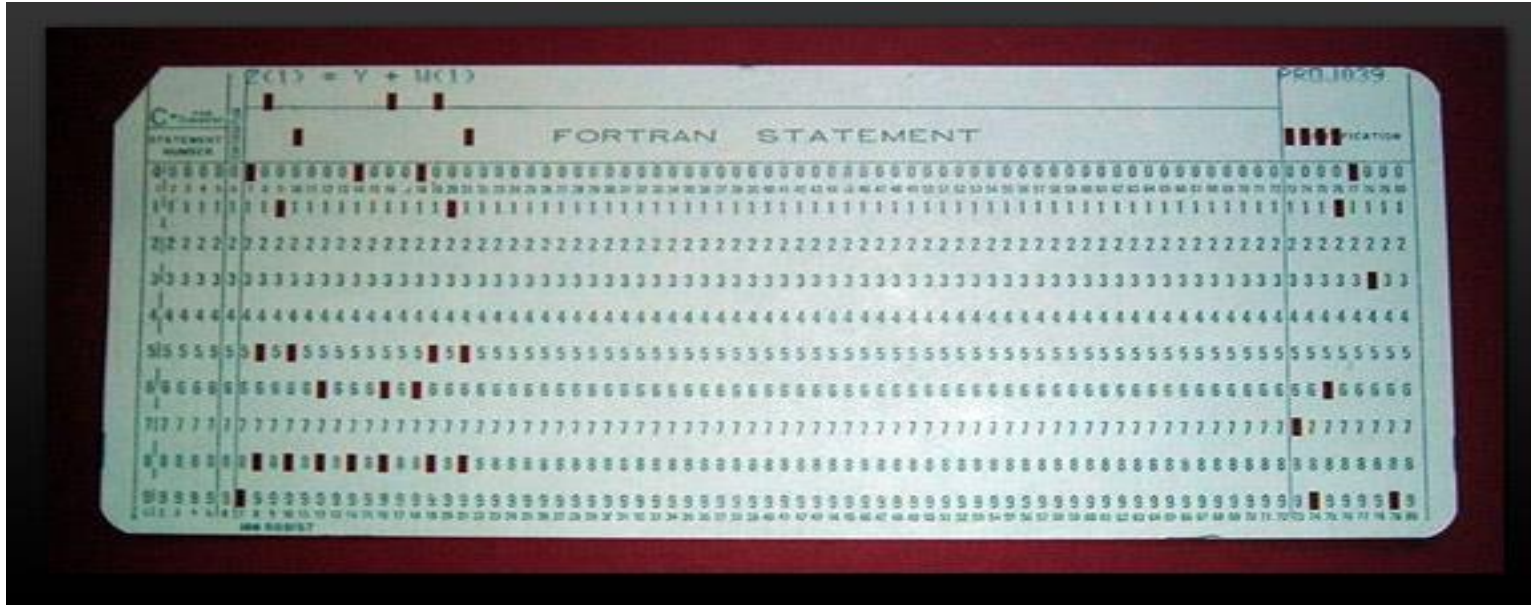


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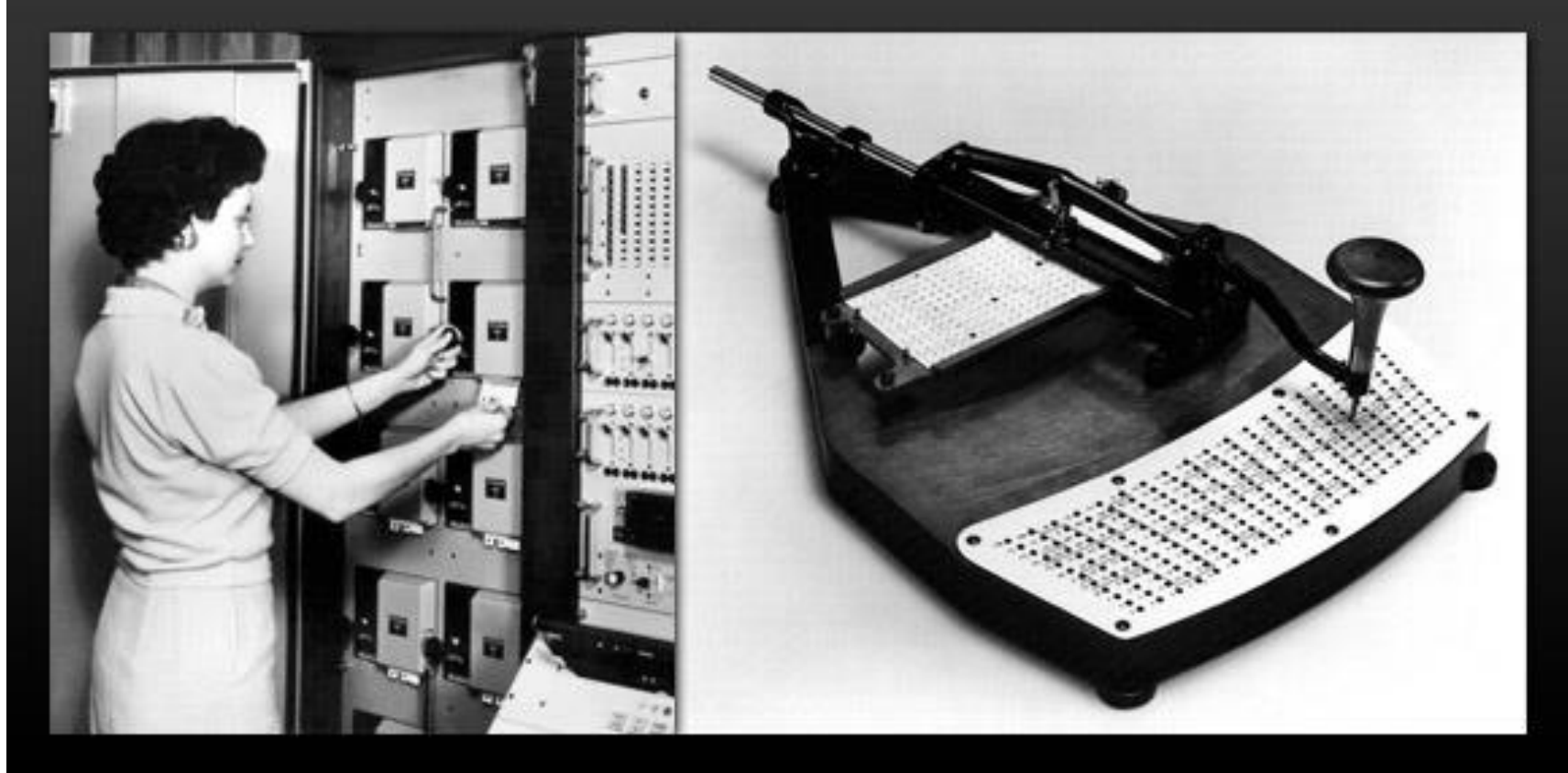




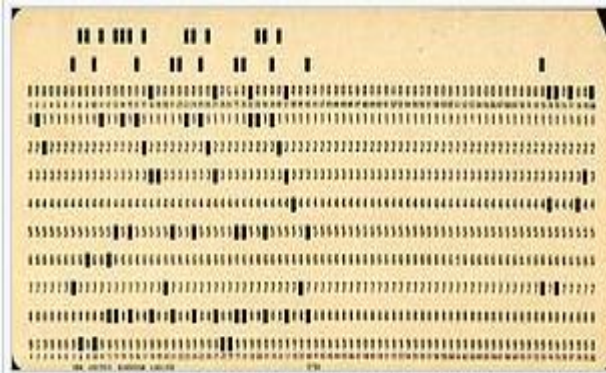
Punch card/A punched card



Punch card/A punched card



Punch card Reader, A punched card



A 12-row/80-column IBM punched card from the mid-twentieth century



A deck of punched cards comprising a computer program



Card reader/punch

Courtesy : <https://www.ibiblio.org/comphist/node/57>, https://en.wikipedia.org/wiki/Punched_card



- 5 MB of data on 62,500 punch cards in 1955.

REMOVABLE STORAGE



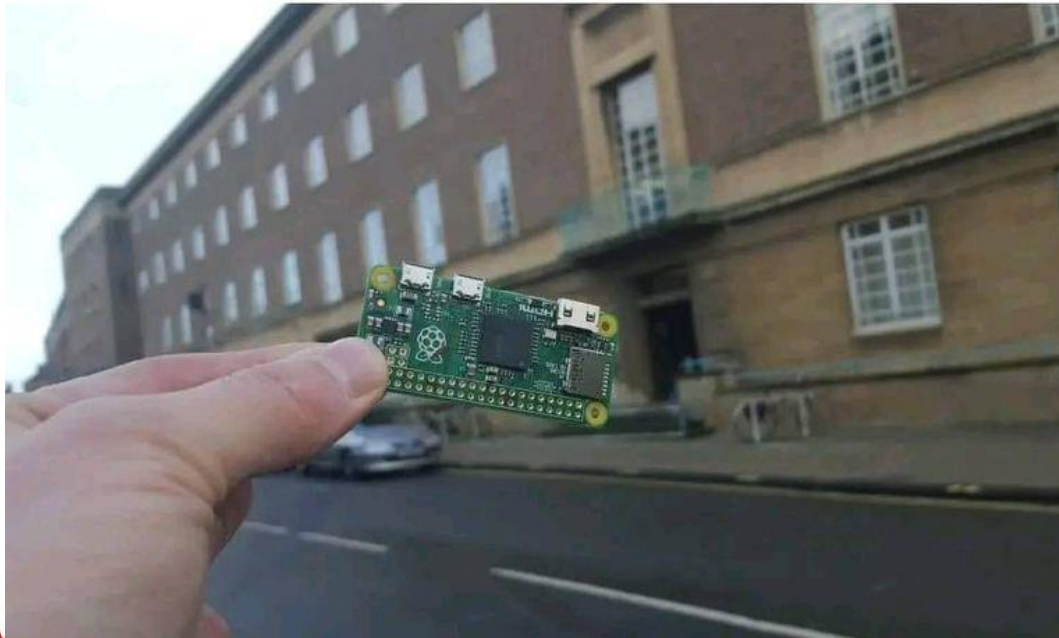
5 Megabytes ... \$120,000



65,536 Megabytes ... \$60



- Same place, same memory, 58 years later



ISRO | ISRO CS 2007 | Question 25

What is the name of the technique in which the operating system of a computer executes several programs concurrently by switching back and forth between them?

- (A) Partitioning
- (B) Multi-tasking
- (C) Windowing
- (D) Paging

GATE | GATE-CS-2002 | Question 46

Which combination of the following features will suffice to characterize an OS as a multi-programmed OS?

- (a) More than one program may be loaded into main memory at the same time for execution.
- (b) If a program waits for certain events such as I/O, another program is immediately scheduled for execution.
- (c) If the execution of program terminates, another program is immediately scheduled for execution.

- (A) a
- (B) a and b
- (C) a and c
- (D) a, b and c

Question ?