

Implications for the hydrologic cycle under climate change due to the expansion of bioenergy crops in the Midwestern United States

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Edited by Ignacio Rodriguez-Iturbe, Princeton University, Princeton, NJ, and approved August 3, 2011 (received for review May 8, 2011)

To meet emerging bioenergy demands, significant areas of the large-scale agricultural landscape of the Midwestern United States could be converted to second generation bioenergy crops such as miscanthus and switchgrass. The high biomass productivity of bioenergy crops in a longer growing season linked tightly to water use highlight the potential for significant impact on the hydrologic cycle in the region. This issue is further exacerbated by the uncertainty in the response of the vegetation under elevated CO₂ and temperature. We use a mechanistic multilayer canopy-root-soil model to (i) capture the eco-physiological acclimations of bioenergy crops under climate change, and (ii) predict how hydrologic fluxes are likely to be altered from their current magnitudes. Observed data and Monte Carlo simulations of weather for recent past and future scenarios are used to characterize the variability range of the predictions. Under present weather conditions, miscanthus and switchgrass utilized more water than maize for total seasonal evapotranspiration by approximately 58% and 36%, respectively. Projected higher concentrations of atmospheric CO₂ (550 ppm) is likely to decrease water used for evapotranspiration of miscanthus, switchgrass, and maize by 12%, 10%, and 11%, respectively. However, when climate change with projected increases in air temperature and reduced summer rainfall are also considered, there is a net increase in evapotranspiration for all crops, leading to significant reduction in soil-moisture storage and specific surface runoff. These results highlight the critical role of the warming climate in potentially altering the water cycle in the region under extensive conversion of existing maize cropping to support bioenergy demand.

Rapidly growing energy demand, worldwide depletion of fossil fuels, and global warming are raising an interest in expanding clean and renewable bioenergy production. In the United States, the current starch-based bioethanol production only contributes a small portion of total energy needs (1, 2), but it is raising new challenges related to environmental issues (3–6) and a competition with food production on available fertile land (7). Bioenergy extracted from lignocellulosic feedstocks offers the possible use of marginal land (8), along with many energy, environmental, and economic advantages over current biofuel sources (9), and is being considered as a promising alternative to sustainably meet the US Department of Energy target for bioenergy and biobased products in the future (10). At present, *Miscanthus × giganteus* (miscanthus) and *Panicum virgatum* (switchgrass) are considered as the two perennial grasses with the highest potential for lignocellulosic bioenergy production in the Midwest with high biofuels yield per unit land area, reduced requirement of nutrient inputs (11, 12), and low net CO₂ emissions (13–16). However, if large portions of the landscape in the Midwestern United States are converted to these crops for meeting bioenergy demands, for example, by using land that supports maize production, it is likely to significantly impact the hydrologic cycle.

A number of studies have been conducted to compare the water use associated with bioenergy crop production in the Midwest. Much of this work has estimated that the total evapotran-

spiration (*ET*) of miscanthus and switchgrass is higher relative to that of maize using methods such as the residual energy balance method (17), water budget estimation (18), and model-based approaches (19). Each of these studies highlighted the role of higher leaf area index (*LAI*) and longer growing season as the primary reason for the increase, but estimates of water use increase vary considerably. For instance, Hickman, et al (17) estimated that miscanthus and switchgrass increase total growing season *ET* by 343 and 153 mm relative to maize, respectively, while McIsaac, et al (18) showed that miscanthus increases total *ET* by 104 mm relative to maize, with switchgrass and maize having comparable total *ET*.

The present work evaluates potential impacts of biofuel-based land use changes on the hydrologic cycle through simultaneous considerations of (i) above-ground canopy structure and function as a result of changes in crop type and (ii) vegetation response to climate change as manifested through elevated atmospheric CO₂, higher temperature, and altered precipitation magnitude. Land use conversion from maize to bioenergy crops significantly modifies above-ground canopy structure, affecting near-surface hydrological processes in several ways. Higher *LAI* allows these perennial crops to intercept more rainfall before reaching the soil, which is then lost through evaporation, in combination with evaporative losses of increased condensation moisture on leaf surfaces (20, 21). Denser foliage will also modify the canopy radiative regime and within-canopy micro-climate (22), impacting *ET*, for example, by way of reduced soil evaporation as a result of the reduced energy flux reaching the ground surface (23). While alterations in canopy structure affect energy and water partitioning above ground, climate change is expected to trigger acclimatory responses in vegetation that lead to the modification of eco-hydrological responses (23). In the context of the plant acclimation categorization presented by Drewry, et al. (23), these C4 crops do not show any significant structural (leaf area) or biochemical acclimation (photosynthetic down-regulation), with the primary response to elevated CO₂ being ecophysiological acclimation (decreased stomatal conductance), and associated decreases in canopy-scale transpiration. This conclusion is drawn based on Free Air CO₂ Enrichment (FACE) experiments which have demonstrated a lack of response of photosynthesis, biomass accumulation, and yield of maize under elevated CO₂ (24, 25). These experiments have also shown insensitivity of key photosynthetic enzymes of this C4 crop to elevated CO₂ (24), and have pointed to the alleviation of water stress as the primary impact of elevated CO₂ on maize productivity (26, 27), in agreement with previous hypotheses on the impact of elevated CO₂ on the func-

Author contributions: P.K. and D.T.D. designed research; P.V.V.L. and P.K. performed research; P.V.V.L. and D.T.D. analyzed data; and P.V.V.L., P.K., and D.T.D. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1107177108/-DCSupplemental.

tioning of C4 plants (28). Published results for the response of miscanthus and switchgrass to elevated CO_2 are not yet available. We have therefore adopted the maize response as prototypical of all three crops. Increases in the air temperature will likely increase ET losses, potentially offsetting the conservative impact of reduced stomatal conductance on transpiration. The combined impact of these counteracting effects is a complex function of the biophysical functioning of each crop type, resulting in potentially significant changes in canopy-integrated water and heat exchange with the atmosphere.

In this study, we explore potential hydrologic change associated with simultaneous land use conversion to bioenergy crops and projected climate change in the US Midwest. Specifically, we contrast the ecohydrological responses of maize, the main feedstock for current starch-based biofuel production, with miscanthus and switchgrass, through the application of a vertically resolved model of canopy biophysical processes. The simulations are performed by parameterizing a multilayer canopy model [MLCan; (22, 23)] to account for canopy structural and biophysical functional characteristics of miscanthus and switchgrass. The data and modeling framework is described in *Materials and Methods*. The MLCan model has been extensively validated for both ambient and elevated CO_2 conditions for maize (C4) and soybean (C3) (22, 23). A list of essential parameters and their values for maize, miscanthus, and switchgrass is presented in Table S1.

The study is performed in four stages. First, the model is run for the year 2005 when field observations of leaf photosynthetic CO_2 uptake (A_n), strongly correlated with water utilization (29, 30), are available for miscanthus and switchgrass, providing data for model validation. Second, we examine the alterations in the energy balance and canopy temperature that result from the land use conversion from maize to miscanthus and switchgrass under present climate in 2005. Third, as a single year of data does not capture the entire range of meteorological variability in the recent past, we use a stochastic weather generator (31) to provide an ensemble of forcing for the model (Fig. 1). This ensemble enables us to examine the range of crop responses to potential meteorological forcing. In the fourth stage, meteorological forcing ensembles are generated which capture climate variability associated with a number of climate change scenarios projected for the US Midwest for 2050 (32) (see Table 1). The model is forced using each of the climate scenarios to produce variability range corresponding to the hydrologic predictions associated with these future climate scenarios. We then estimate the water use of bioenergy crops and the impact on the hydrologic cycle which is characterized through the change in soil-water storage and specific surface runoff (runoff per unit area).

Results

Model Validation. Comparisons of modeled and observed (data obtained from ref. 33) photosynthetic leaf CO_2 uptake (A_n) for several days demonstrate the ability of the model to capture the ecophysiological functioning of both miscanthus and switchgrass throughout the growing season (Fig. 2). A_n for miscanthus is consistently higher than switchgrass throughout the growing season. The fluctuations of A_n for both crops are strong on some days (e.g., Jul 7th and Aug. 10th) and are an indicator of the tight link between A_n and environmental conditions. Variations in solar radiation due to cloudiness and the associated air temperature fluctuations are the primary drivers of variability in biochemical photosynthesis and stomatal conductance which in turn controls leaf temperature through the energy balance (22) (Fig. 1).

Within-Canopy Vertical Variation. The multilayer canopy-root-soil system model, MLCan, provides insights into the impact of the vertical distributions of leaf area and root biomass (22), presented in Fig. S1. The leaf area density (LAD) affects radiation attenuation through the canopy and canopy microclimate, while root

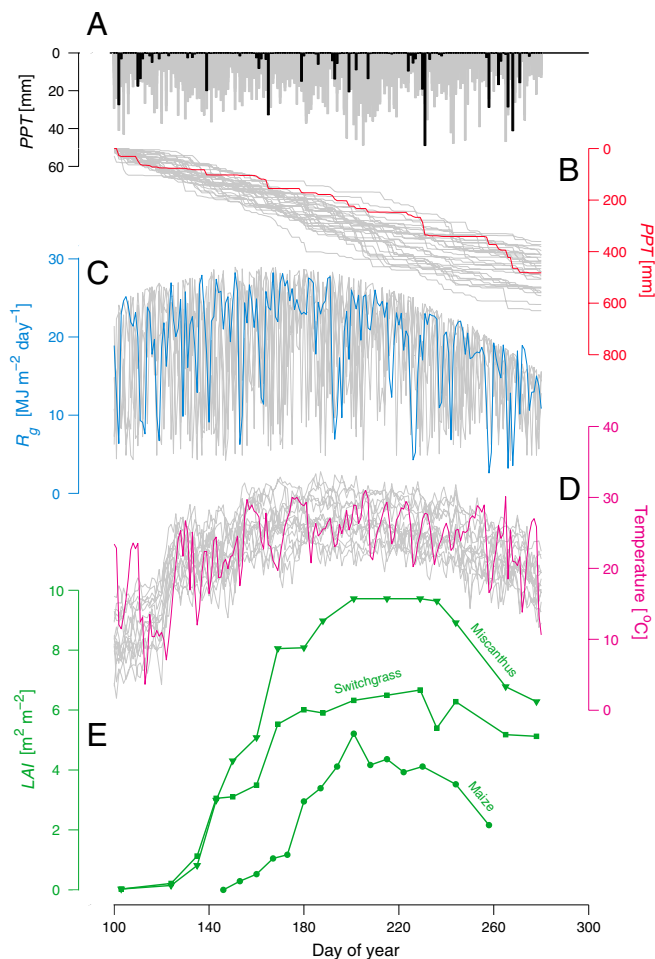
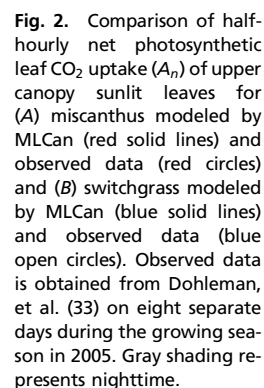


Fig. 1. Key meteorological forcing data observed in 2005 overlaid on an ensemble obtained using the stochastic weather generator. Meteorological data includes (A) Daily precipitation (PPT , black bars); (B) Cumulative precipitation (PPT , red line); (C) Daily global radiation (R_g , blue line); and (D) Mean day time air temperature (T_a , magenta line). Gray bars and lines in (A, B, C, and D) represent corresponding data obtained from stochastically generated weather ensemble of 30 independent years. All observed meteorological data in 2005 is obtained from Ameriflux tower at Bondville, Illinois (22). (E) LAI for the maize (green circles), miscanthus (green triangles), and switchgrass (green squares) canopies were obtained from published sources (11, 22). Miscanthus and switchgrass have a longer growing season as compared to maize, both at the beginning and end, which is reflected in the LAI plots.

biomass distribution dictates patterns of water uptake through the soil column. Fig. S2 presents the mean diurnal vertical patterns of A_n , latent heat (LE), sensible heat (H), total absorbed short-wave radiation (Q_{abs}), including photosynthetically active (PAR) and near-infrared (NIR) bands, and stomatal conductance for vapor (g_{sv}) through the canopy of each crop over the month of August, 2005. For each crop, the vertical distribution of A_n and

Table 1. Projected climate change scenarios during the summer for driving MLCan model predictions

Scenario	CO_2 [ppm]	Precipitation change [%]	Temperature increase [°C]
S-1	550	-	-
S-2	550	-15%	-
S-3	550	-	+1
S-4	550	-	+2
S-5	550	-	+3
S-6	550	-15%	+1
S-7	550	-15%	+2
S-8	550	-15%	+3



Diurnal variation of temperature difference between top layers and mean canopy for three crops over the same time are also compared to evaluate their temperature variation through the canopy (Fig. 3B). Compared to other crops, maize shows a smaller

Figure 1 consists of three main panels (A, B, C) and a 4x2 grid of subplots (D1-D2, E1-E2, F1-F2, G1-G2, H1-H2).

- Panel A:** Leaf temperature T_l [°C] vs. Time [hour]. The y-axis ranges from 18 to 30. The x-axis ranges from 2 to 22. Three series are shown: Maize (dotted line), Miscanthus (dashed line), and Switchgrass (solid line). All three show a peak around 12-14 hours, with Switchgrass reaching the highest temperature (~28.5°C) and Maize the lowest (~27.5°C).
- Panel B:** Albedo vs. Time [hour]. The y-axis ranges from -1 to 1. The x-axis ranges from 2 to 22. The same three series are shown. Switchgrass has the highest albedo, peaking around 10-12 hours (~0.8). Maize and Miscanthus have lower albedos, peaking around 10-12 hours (~0.4).
- Panel C:** Albedo vs. Time [hour]. The y-axis ranges from 0.2 to 0.26. The x-axis ranges from 6 to 16. The same three series are shown. Switchgrass has the highest albedo, peaking around 12-14 hours (~0.25). Maize and Miscanthus have lower albedos, peaking around 12-14 hours (~0.23).
- Grid of Subplots (D1-D2, E1-E2, F1-F2, G1-G2, H1-H2):**
 - D1, D2:** ΔA_n [$\mu\text{mol m}^{-2} \text{s}^{-1}$] vs. Time [hour]. Y-axis ranges from -2 to 1. D1 (red) shows a slight decrease around 12 hours. D2 (blue) is near zero.
 - E1, E2:** ΔLE [W m^{-2}] vs. Time [hour]. Y-axis ranges from -90 to 0. E1 (red) shows a significant decrease around 12 hours (~-70). E2 (blue) shows a significant decrease around 12 hours (~-50).
 - F1, F2:** ΔH [W m^{-2}] vs. Time [hour]. Y-axis ranges from 0 to 90. F1 (red) shows a peak around 12 hours (~60). F2 (blue) shows a peak around 12 hours (~60).
 - G1, G2:** ΔT_l [°C] vs. Time [hour]. Y-axis ranges from -0.1 to 0.4. G1 (red) shows a peak around 12 hours (~0.1). G2 (blue) shows a peak around 12 hours (~0.1).
 - H1, H2:** $\Delta g_{g,0}$ [$\text{mol m}^{-2} \text{s}^{-1}$] vs. Time [hour]. Y-axis ranges from -0.04 to 0.02. H1 (red) shows a decrease around 12 hours (~-0.02). H2 (blue) shows a decrease around 12 hours (~-0.02).

Fig. 3. Diurnal variation of mean canopy temperatures (A), temperature difference between the top layers and mean canopy (B), and albedo during the day (C) for maize (black dot line); miscanthus (red dash line); and switchgrass (blue solid line) in August 2005. Diurnally averaged change of net-canopy fluxes and variables obtained from the MLCan model with vertical bars representing \pm one standard deviation over growing season of photosynthetic rate ΔA_p (D); Latent heat ΔLE (E); Sensible heat ΔH (F); Leaf temperature ΔT_l (G); Stomatal conductance for vapor Δg_{sv} (H) for miscanthus (in red—D1, E1, F1, G1, and H1); and switchgrass (in blue—D2, E2, F2, G2, and H2).

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temperature fluctuation through the canopy due to lower *LAI*. Temperature differences between top layers and mean canopy for maize, miscanthus, and switchgrass ranged from -0.2 to 0.4 °C, -0.6 to 1.1 °C, and -0.3 to 1.1 °C, respectively.

However, diurnal variations of albedo during the daytime in August 2005 for the bioenergy crops are higher than maize (Fig. 3C). This higher albedo is because the lower *LAI* of maize allows more radiation to penetrate through the canopy to the soil which has a lower reflectivity than the leaves. Predicted mean values of albedo for miscanthus, switchgrass, and maize are 0.237, 0.235, and 0.220, respectively.

Vegetation Response to Elevated CO₂ and Increased Air Temperature.

To understand how each crop responds to elevated CO₂, and in particular the impact of reduced stomatal conductance on canopy energy partitioning, the model was run for the 2005 “present climate” forcing with two different atmospheric CO₂ concentrations: one representing present conditions (370 ppm) and one representing projected concentrations for the year 2050 (550 ppm). Fig. 3D–H show the diurnally averaged change of several net-canopy fluxes for miscanthus and switchgrass over four months of the growing season (June–Sept.), with each change (Δ) representing the difference between the 550 ppm and 370 ppm simulations. The diurnally averaged net-canopy fluxes over this same period under the 2005 climate forcing and atmospheric CO₂ concentration of 370 ppm is presented in Fig. S3 for comparison. The model’s ability to incorporate ecophysiological acclimation of reduced stomatal conductance but no structural and biochemical acclimation (see Fig. 2 in ref. 22) for these C4 crops (24,27) to elevated CO₂ results in only small changes in A_n ($<2\%$) for both miscanthus and switchgrass. However, reduced stomatal conductance causes a decrease in *LE* and a corresponding increase in *H* for both crops, with peak decreases in *LE* of 56 and 50 W·m⁻², and an increase in *H* of 54 and 52 W·m⁻² for miscanthus and switchgrass, respectively. The peak reduction in g_{sv} is -0.022 and -0.025 mol·m⁻²·s⁻¹ for miscanthus and switchgrass, respectively. Fig. 3D–H further shows that the diurnal variability of the net-canopy flux changes under elevated CO₂ for switchgrass are larger than those for miscanthus. These ecophysiological changes imply a reduction in water loss through *ET* under elevated CO₂.

All three crops show a consistent decrease in total *ET* ranging from 40 to 70 mm over one growing season (Table 3). To evaluate the role of temperature on *ET* change, the model was run for the 2005 “present climate” with projected atmospheric CO₂ concentration (550 ppm), but for three scenarios of increased air temperature during the summer, ranging from 1 to 3 °C (32). In contrast to the results with a modification only to CO₂ concentration, as air temperature increases in a higher CO₂ environment, the advantage of reduced water use is lost due to the increase of total *ET* (Table 3). The reason is that higher air temperature not only increases water evaporation from the soil and canopy but also modulates transpiration rate through its effect on vapor pressure (34).

Table 3. Comparisons of total evapotranspiration (*ET*) alterations [mm] under climate change between maize, miscanthus, and switchgrass

Crops	Total <i>ET</i> change [mm] under elevated CO ₂			
	$T_a + 0^\circ\text{C}$	$T_a + 1^\circ\text{C}$	$T_a + 2^\circ\text{C}$	$T_a + 3^\circ\text{C}$
Maize	−40	−10	15	40
Miscanthus	−70	−17	19	53
Switchgrass	−47	5	20	49

ET under elevated CO₂ and at different levels of increased air temperature (T_a) are compared with *ET* under present condition for each crop*. Elevated CO₂ is set equal to 550 ppm

$$*\Delta ET^{\text{crop}} = ET^{\text{crop}}_{\text{future}} - ET^{\text{crop}}_{\text{present}}$$

Impact on Hydrology. Changes in weekly mean water balance components for the three crops are compared under the same weather condition of the year 2005 (Fig. S4). The water use of miscanthus is the highest while that of maize is the lowest, further reflecting the role of *ET* as a key determinant of the water balance. Transpiration is the largest component of the water balance, accounting for more than 80% of total *ET* (see Table 2). A conversion from maize to miscanthus or switchgrass will lead to a reduction in soil-water storage and a consequent reduction in specific runoff. Under present climate in 2005, the total decreases in soil-water storage are 115 and 63 mm for miscanthus and switchgrass, respectively, relative to maize. The corresponding decrease in specific surface runoff are 24 and 6 mm, respectively.

To capture the uncertainty associated with these estimates, the model was run using an ensemble of thirty years of weather forcing obtained using a stochastic weather generator (31) (described in *Materials and Methods*). To understand the possible range of variation for each projected climate scenario the weather ensemble was modified to represent the conditions summarized in Table 1. Fig. 4 shows the box plots of total *ET*, soil-water storage change, and total specific surface runoff if maize is replaced by miscanthus or switchgrass. Under projected climate change scenarios our simulations demonstrate that there will be a decrease in both soil-water storage and specific surface runoff.

In the first scenario (elevated CO₂) soil-water storage and specific surface runoff decrease the least, as increased transpiration loss due to denser canopies and longer growing seasons is somewhat offset by reductions in stomatal conductance associated with ecophysiological acclimation. The mean soil-water storage decreases approximately 110 mm for miscanthus and 40 mm for switchgrass. For mean total specific surface runoff, the decreases are 25 and 3 mm, respectively.

In the second scenario, as precipitation is decreased 15% in the summer (32), water storage and surface runoff are further decreased, highlighting the role of reduced water availability.

In scenarios 3–5, air temperature is increased at three levels without any change in precipitation. We found that the decrease of total water storage and surface runoff is directly dependent on the increase of air temperature. Mean soil-water storage decreases ranged from 160 to 240 mm and 70 to 120 mm for miscanthus and switchgrass, respectively, for temperature increases ranging from 1 to 3 °C.

For scenarios 6–8, air temperature is increased at three levels along with the 15% reduction in precipitation. Water storage decreases are slightly greater than those in scenarios 3–5 due to the further reduction in water input.

The fractions of soil-water storage and specific surface runoff change during the overlapping and longer growing seasons (with respect to maize) of both bioenergy crops are also different. For miscanthus, 87% of soil-water storage change occurred during the overlapping period of the growing season, and 13% due to water utilization during the longer growing season. For switchgrass, these estimates are 83% and 17%, respectively. However, both crops showed a 92% decrease of specific surface runoff during the overlapping period of the growing season, and 8% decrease in the longer growing season.

Discussion

National policies and economic viability are likely to foster a shift in agricultural practices from maize to bioenergy crops such as miscanthus and switchgrass (35). The differences of miscanthus and switchgrass from maize in the density (*LAI*) and architecture (*LAD*) of above-ground foliage results in increased transpiration. The difference in structure also facilitates larger interception of rainfall and condensation leading to increased direct evaporation from the foliage. Attenuation of radiation through the denser canopy reduces the radiation reaching the soil thereby increasing the albedo as more light is reflected from the more reflective

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Canopy, Soil, and Root System. Canopy structure is described by *LAD* profiles and the total *LAI*. Vertical distributions of leaf area through the canopy for miscanthus (38) and for switchgrass (39) are averaged and normalized by dividing the canopy *LAI* at the time of measurement (Fig. S1A). Distributions of root systems through the soil column for miscanthus and switchgrass are obtained from the study by Monti and Zatta (40) (Fig. S1B). Canopy and root structures of maize are obtained from our previous work (22). Initial condition of soil moisture is set equal to 30% (22).

Weather Generator. Stochastic weather generator developed by Ivanov, et al. (31) is used for developing a forcing ensemble. The weather generator provides Monte Carlo simulation for hourly data which are then linearly interpolated to obtain half-hour values corresponding to the model time step. Parameters for the generator are obtained from 10-year (1997–2006) observation time series at the flux tower. The stochastic generator should be expected to capture the range of variability observed during this time period (see Fig. 1). An ensemble of 30 independent years of weather simulation is used for each case in the study.

Water Balance. Change in soil-water storage is important for evaluating the impact of different land covers on the hydrologic cycle. It is given as:

$$\frac{dS}{dt} = P + C - T_R - E - S_E - S_P - R, \quad [1]$$

where P , C , T_R , E , S_E , S_P , and R represent precipitation, condensation, transpiration, evaporation, soil evaporation, seepage, and specific surface runoff. All variables are in the dimensions of $[L/T]$.

Calculation of Albedo. Albedo for each crop is estimated based on the ratio of total outgoing and incoming shortwave radiation during the daytime.

$$\alpha = \frac{\int_{SW^{\downarrow} > 40(W \cdot m^{-2})} SW^{\downarrow} dt}{\int_{SW^{\downarrow} > 40(W \cdot m^{-2})} SW^{\downarrow} dt} \quad [2]$$

α : albedo [dimensionless];

SW^{\downarrow} : downward or incoming shortwave radiation ($W \cdot m^{-2}$);

SW^{\uparrow} : upward or outgoing shortwave radiation ($W \cdot m^{-2}$).

Sensitivity to Seasonal Variation in Photosynthetic Capacity. Considerations for structural, biochemical, and ecophysiological acclimation responses under elevated CO_2 have been made following the methodology of Drewry, et al. (23). For C4 crops, the simulations are performed for a constant value for V_{max} for the growing season (Table S1). We have examined the impact of seasonal variations in V_{max} for maize following observations presented in a study by Markelz, et al. (41). We assumed that the beginning and end of the growing season values are the same and correspond to the low value for the season with a high value in the early half of the season (see Fig. S5). Seasonality of V_{max} has little impact on the canopy fluxes (see Fig. S6) and results in a small change in total ET (1.8%) and specific surface runoff (1.7%) in comparison to the constant V_{max} case (Table 2). Similarly, simulations performed with a seasonally high but constant value of V_{max} result in only small changes in the fluxes for maize (see Fig. S6, Table S2). Data on seasonal variation of V_{max} for miscanthus and switchgrass is not available, but given the lack of any significant response in maize, it is deemed that the results with constant value (Table S1) capture the tendencies well.

ACKNOWLEDGMENTS. This work was supported by Vietnam Education Foundation (VEF) and National Science Foundation (NSF) Grant ATM-06-28687. D.T.D. was also supported by the National Science Foundation's International Research Fellowship Program (IRFP), award OISE-0900556.

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