

## Math 153

Goal of course: Gain a true understanding of the structure of  $\mathbb{R}$ .  
+ see how it makes calculus work.

## Sets, Functions & Cardinalities

Notation: " $x \in A$ " means "x is an element of the set A"

" $A \subseteq B$ " means "A is a subset of B" (proper subset:  $A \subset B$ )

Observe  $x \in A \Leftrightarrow \{x\} \subseteq A$

Def: Let  $A, B$  be sets. A function  $f: A \rightarrow B$  is a rule assigning to each  $x \in A$  exactly one  $f(x) \in B$ .

A: domain    B: codomain

Warning:  $f$  must be defined everywhere. i.e.  $f: N \rightarrow N$   $f(n) = \frac{1}{n}$

but  $f: N \rightarrow Q$  given by  $f(n) = \frac{1}{n}$  is a function

Images & Inverse Images: Let  $f: A \rightarrow B$  be a function



If  $A' \subseteq A$  we define the <sup>image</sup> of  $A'$  to be:

$$\begin{aligned}f(A') &= \{ b \in B \mid b = f(a) \text{ for some } a \in A' \} \\&= \{ f(a) \mid a \in A' \}\end{aligned}$$

If  $B' \subseteq B$ , the inverse image of  $B'$  is:

$$f^{-1}(B') = \{ a \in A \mid f(a) \in B' \} \quad (\text{always exists even if } f^{-1} \text{ does not})$$

Ex:  $f: \mathbb{Q} \rightarrow \mathbb{Q}$  given by  $f(x) = x^2$      $f^{-1}(13) = \{-\sqrt{13}, \sqrt{13}\}$ ,  $f^{-1}(123) = \emptyset$   
 $f^{-1}(4)$  makes no sense

Prop:  $f(\bigcup_{i \in I} A_i) = \bigcup_{i \in I} f(A_i)$

Pf: " $\subseteq$ " Let  $b \in f(\bigcup_{i \in I} A_i)$ . This means  $\exists x \in \bigcup_{i \in I} A_i$  s.t.  $f(x) = b$ .

Since  $x \in \bigcup_{i \in I} A_i$ ,  $\exists i \in I$  so that  $x \in A_i$ .

Since  $x \in A_i$ , this means  $f(x) \in f(A_i)$ . This means  $f(x) \in \bigcup_{i \in I} f(A_i)$  i.e.  
 $b \in \bigcup_{i \in I} f(A_i)$

Hence  $f(\bigcup_{i \in I} A_i) \subseteq \bigcup_{i \in I} f(A_i)$ . " $\supseteq$ " is true.

Injectivity, surjectivity, bijectivity: Let  $f: A \rightarrow B$  be a function.

• We say  $f$  is injective (1-1) if  $\forall a, a' \in A$ ,  $f(a) = f(a') \Rightarrow a = a'$

This is equivalent to  $f^{-1}(\{b\})$  having at most one element for all  $b \in B$ .

• We say  $f$  is surjective (onto) if for every  $b \in B$ , there exists  $a \in A$  so that  
 $b = f(a)$

This equivalent to  $f^{-1}(\{b\})$  having at least one element for all  $b \in B$ .

•  $f$  is bijective if both injective & surjective.

Fact  $f: A \rightarrow B$  is bijective iff it has an inverse function

$g: B \rightarrow A$  s.t  $f \circ g \in g \circ f$  are the identity function

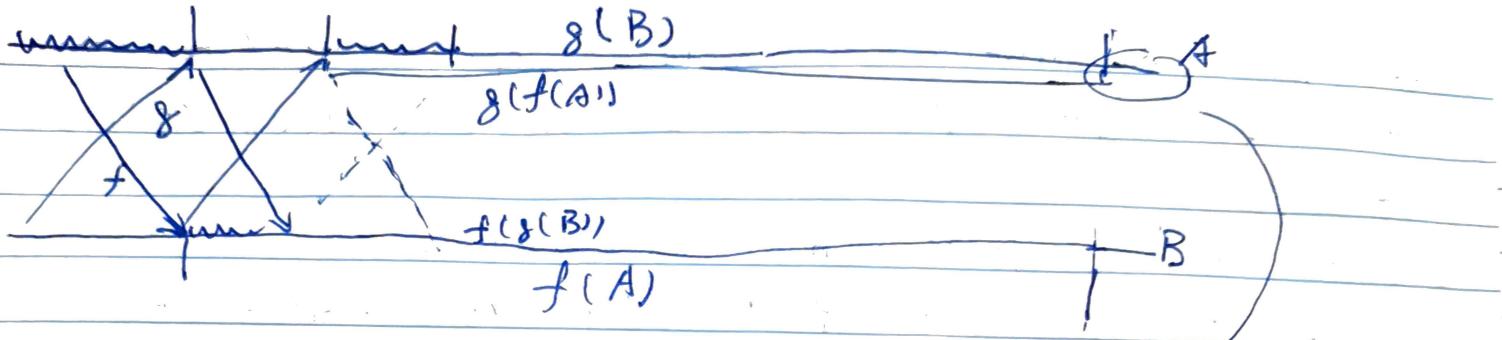
Def: We say  $A \in B$  have the same cardinality if  $\exists h: A \rightarrow B$  bijective.

Useful Theorem for cardinality: (Schröder-Bernstein Theorem)

Suppose  $A \in B$  are sets  $\nexists \exists f: A \rightarrow B$  injective  $\nexists \exists g: B \rightarrow A$  injective Then  
A and B have the same cardinality.

(Sketch of proof): Goal: Construct  $h: A \rightarrow B$  bijection using  $f, g$ .

$A \setminus g(B)$



left over piece  
 $\bigcup_{n=1}^{\infty} g(f(g(\dots(f(A)\dots)))$

Define  $h$  as follows:

$$h(a) = \begin{cases} f(a) & \text{if } a \in [A \setminus g(B)] \cup [g(f(A))] \cup [g(g(f(A)))] \cup \dots \cup [g \circ f]^n(A) \text{ (good!) } \\ f(a) & \text{if } a \in \bigcup_{n=1}^{\infty} [g \circ f]^n(A) \end{cases}$$

The unique element of  $g^{-1}(f(a))$  if  $a \in g(B) \setminus g(f(A)) \cup \dots \cup (f \circ g)^n(B) \setminus (g \circ f)^{n+1}(A) \cup \dots$

every  $a$  is in  $g(B)$

Observation: If  $A$  is infinite, then  $\exists$  an injective  $f: N \rightarrow A$

Why: Pick  $a_0 \in A$ , then pick  $a_1 \in A \setminus \{a_0\}$ . Inductively pick  $a_n \in A \setminus \{a_0, \dots, a_{n-1}\}$

Now define  $f: N \rightarrow A$  by  $f(n) = a_n$ .  $f$  is injective.

Then: Let  $A$  be an infinite set. TFAE:

- (1)  $A$  is countable
- (2)  $\exists g: N \rightarrow A$  surjective
- (3)  $\exists f: A \rightarrow N$  injective

Pf: (1)  $\rightarrow$  (2): We know  $\exists h: N \rightarrow A$  bijection. In particular,  $h$  is surjective.

(2)  $\rightarrow$  (3): Assume  $\exists g: N \rightarrow A$  surjective. We want to build  $f: A \rightarrow N$  injective.

Observe that  $g^{-1}(\{a\}) \neq \emptyset$  (Note:  $g^{-1}(\{a\}) \subseteq N$ ). Note if

$a \neq a'$ ,  $g^{-1}(\{a\}) \cap g^{-1}(\{a'\}) = \emptyset$ . Otherwise if both contains  $m$ , then  $(m) = a \neq (m) = a'$  which is a

Let  $L_a$  be the least element in  $g^{-1}(\{a\})$ . Let  $f: A \rightarrow N$  be defined by  $f(a) = L_a$ . Note  $a \neq a' \Rightarrow L_a \neq L_{a'}$  as  $g^{-1}(\{a\}) \cap g^{-1}(\{a'\}) = \emptyset \Rightarrow f$  is injective.

(3)  $\rightarrow$  (1): If  $A$  is infinite,  $\exists h: N \rightarrow A$  injective. Since we also know  $\exists f: A \rightarrow N$  injective, this implication follows from Schröder-Bernstein.

Ex:  $N \times N$  is countable.

Why:  $N \times N$  is infinite, so we just need injective  $h: N \times N \rightarrow N$ . Define  $h$  by:  $h(m, n) = 2^m 3^n$ . By fundamental theorem of arithmetic,

$$h(m_1, n_1) = h(m_2, n_2) \Leftrightarrow 2^{m_1} 3^{n_1} = 2^{m_2} 3^{n_2} \Leftrightarrow m_1 = m_2, n_1 = n_2.$$

Thus  $h$  is injective.

Ex. If  $A_1, A_2, \dots, A_n$  are countable, then so is  $\bigcup_{i=1}^{\infty} A_i$ .

Why:  $\forall i, \exists f: N \rightarrow A_i$  injective. Write  $f_i(j) = a_{ij} \in A_i$  i.e.  $A_i = \{a_{i1}, a_{i2}, a_{i3}, \dots\}$

Define  $F: N \times N \rightarrow \bigcup_{i=1}^{\infty} A_i$  by  $F(i, j) = a_{ij}$ . Note  $f$  is surjective.

Note if  $h: N \rightarrow N \times N$  is a bijection, then  $F \circ h: N \rightarrow \bigcup_{i=1}^{\infty} A_i$  is onto.

Corollary:  $\mathbb{Z}$  is countable:  $\mathbb{Z} = \{1, 2, 3, \dots\} \cup \{0, -1, -2, -3, \dots\}$ .

$\mathbb{Q}$  is countable  $\mathbb{Q} = \bigcup_{i=1}^{\infty} \left\{ \frac{n}{i} \mid n \in \mathbb{Z} \right\}$ .

Def: If  $A \subseteq B$  are sets, set  $A^B = \{f \mid f: B \rightarrow A\}$ .

Ex:  $A^N = \{f \mid f: N \rightarrow A\}$ . In this case, let  $a \in A^N$  i.e.  $a: N \rightarrow A$ .  $a(1) \in A, a(2) \in A, \dots, a(n) \in A$ .

Really,  $a$  is a sequence in  $A$ :  $a(1) = a_1, \dots, a(n) = a_n$ .

$$a = (a_1, a_2, a_3, a_4, \dots) \in A^N$$

Side note. Can visualize  $A^{N \times N} \rightarrow$  an array  $(a_{ij})_{i,j \in N}$  of elements of  $A$ .