

# SE328:Topology

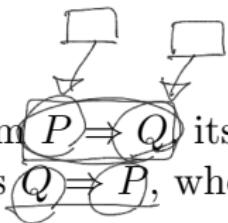
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Week 01

## Definition

Given a statement of the form  $P \Rightarrow Q$ , its contrapositive is  $\sim Q \Rightarrow \sim P$ , and its converse is  $Q \Rightarrow P$ , where  $\sim P$  is the negation of  $P$ .



## Example

Determine whether the following statements are true.

1.  $A \subset B \text{ and } A \subset C \Leftrightarrow A \subset (B \cap C)$       " $\Rightarrow$ " & " $\Leftarrow$ "
2.  $A - (A - B) = B$      $A - B = A \cap B^c$
3.  $\underline{(A \cap B) \cup (A - B) = A}$     //

## Example

1. Write the contrapositive and converse of " $x < 0 \Rightarrow x^2 - x > 0$ "
2. Write the negation of  $\forall a \in A, a^2 \in B$ : " $\exists a \in A, a^2 \notin B$ ".  
"for all", "for every"

" $A \subset B$  and  $A \subset C$ "  $\Leftrightarrow$  " $A \subset B \cap C$ "

( $\Rightarrow$ ) Need to prove  $x \in A$  then  $x \in B \cap C$   
 $x \in B \cap C$  if and only if  $x \in B$  and  $x \in C$ .  
Thus if  $x \in A$ , then  $x \in B$  and  $x \in C$   
Thus  $A \subset B$  and  $A \subset C$ .

Brain-storming

" $x \in A \Rightarrow x \in B$  and  $x \in A \Rightarrow x \in C$ "

$\Rightarrow$  " $x \in A \Rightarrow x \in B$  and  $x \in C$ "

$\Rightarrow$  " $x \in A \Rightarrow x \in B \cap C$ "

$\Rightarrow$  " $A \subset B \cap C$ ."

( $\Leftarrow$ ) " $x \in A \Rightarrow x \in B \cap C$ "

$\Rightarrow$  " $x \in A \Rightarrow x \in B \cap C \subset B$  and  $x \in B \cap C \subset C$ "

$\Rightarrow$  " $x \in A \Rightarrow x \in B$  and  $x \in A \Rightarrow x \in C$ "

$\Rightarrow$   $A \subset B$  and  $A \subset C$ .

" $A - (A - B) \neq B$ "

$$A - (A - B) = A \cap (A - B)^c = A \cap (A \cap B^c)^c$$

$$= A \cap (A^c \cup B) = (A \cap A^c) \cup (A \cap B) = \emptyset \cup (A \cap B) = A \cap B$$

Need a counter-example when  $A \cap B \neq B$ .

$$A = \{1, 2\}, B = \{2, 3\}$$

" $x < 0 \Rightarrow x^2 - x > 0$ " (" $P \Rightarrow Q$ "  $P: x < 0$ ,  $Q: x^2 - x > 0$ )

contrapositive : " $x^2 - x < 0 \Rightarrow x > 0$ "

converse : " $x^2 - x > 0 \Rightarrow x < 0$ ".

## Definition

Given sets  $A$  and  $B$ , the cartesian product  $\underline{A \times B}$  is the set

$$A \times B = \{(a, b) \mid a \in A, b \in B\}$$

*ordered pair (can define by  $\{3, a\}, \{a, b\}\}$*

## Example

Determine whether each of the following sets is a cartesian product of two subsets of  $\mathbb{R}$ .

1.  $\{(x, y) \mid x \in \mathbb{Z}\} \subset \mathbb{R} \times \mathbb{R}$        $\mathbb{Z} \times \mathbb{R}$ .

2.  $\boxed{\{(x, y) \mid y > x\}} \subset \mathbb{R} \times \mathbb{R}$        $\times$ .

" $\{(x,y) \mid x \in \mathbb{Z}\} = \mathbb{Z} \times \mathbb{R}$ "

①  $\{(x,y) \mid x \in \mathbb{Z}\} \subset \mathbb{Z} \times \mathbb{R}$

$x \in \mathbb{Z}$ , then  $(x,y) \in \mathbb{Z} \times \mathbb{R}$

②  $\{(x,y) \mid x \in \mathbb{Z}\} \supset \mathbb{Z} \times \mathbb{R}$

Let  $(x,y) \in \mathbb{Z} \times \mathbb{R}$ . Then  $x \in \mathbb{Z}, y \in \mathbb{R}$

Thus  $(x,y) \in \{(x,y) \mid x \in \mathbb{Z}\}$

"There is no  $A, B \subset \mathbb{R}$  such that  $\{(x,y) \mid y > x\} = A \times B$ ".

Suppose that there are  $A, B \subset \mathbb{R}$  such that

$$C = \{(x,y) \mid y > x\} = A \times B.$$

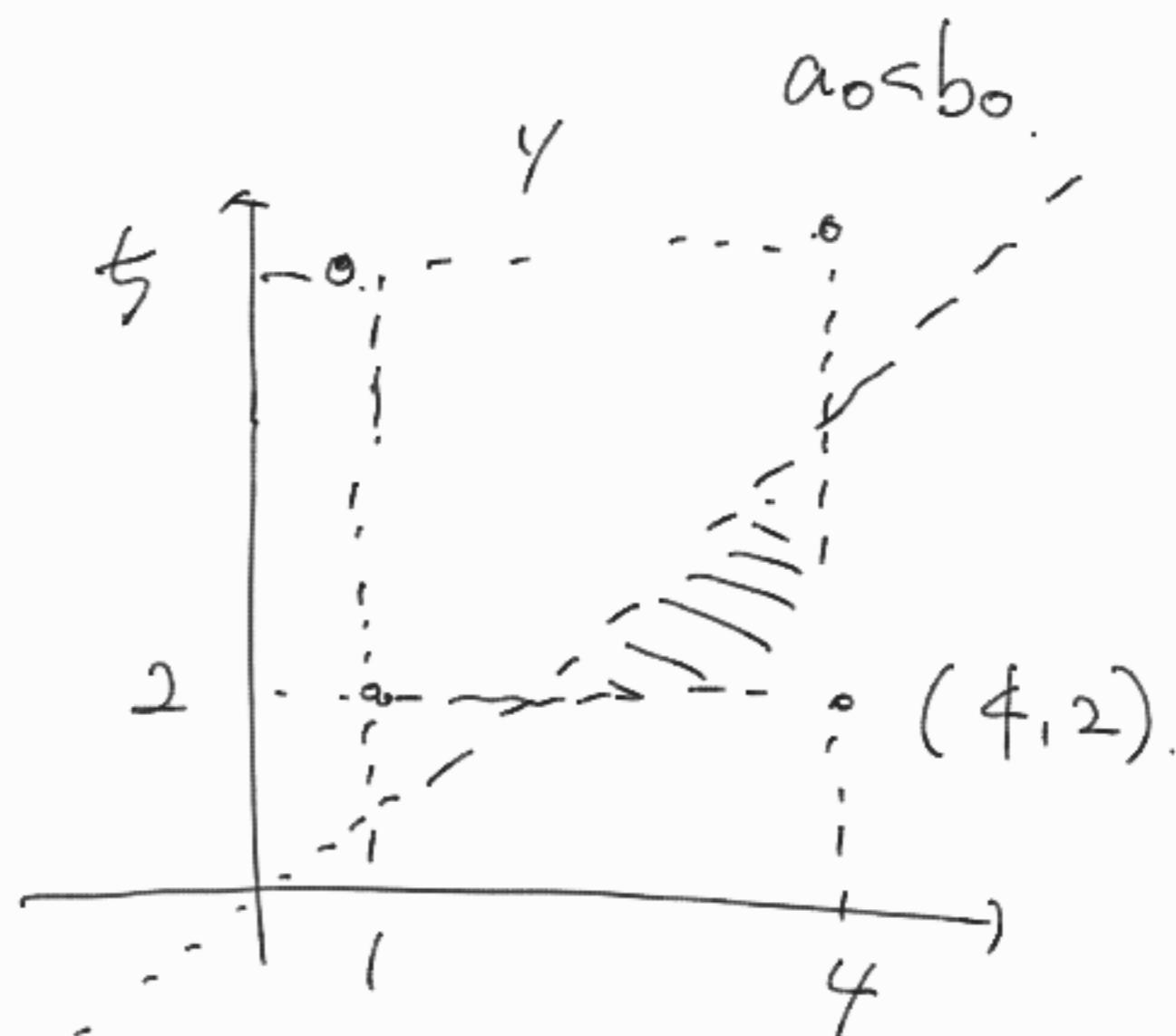
$C$  contains  $(1,2)$  and  $(4,5)$ .

That means  $A$  contains  $1, 4$ .

$B$  " "  $2, 5$ .

Thus  $A \times B$  must contain  $(4,2)$

But  $(4,2) \notin C$ . contradiction.



## Definition

A function  $f$  is a subset of the cartesian product  $A \times B$  of two sets, with the property that each element in  $C$  appears as the first coordinate of at most one ordered pair. In other words,

$$\underline{(a, b), (a, b')} \in f \Rightarrow \underline{b = b'} \quad f(a) = b$$

$\Leftrightarrow$  If the  $f(a) = b$  and  $f(a) = b'$ , then  $b = b'$ .

## Definition

Given a function  $f : \underline{A} \rightarrow \underline{B}$  and a subsets  $\underline{A_0} \subset A$ , the restriction of  $f$  to  $A_0$  is

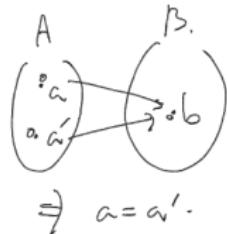
$$\{(a, f(a)) \mid a \in \underline{A_0}\}$$



## Definition

A function  $f : A \rightarrow B$  is injective if

$$\forall a \in A, \underline{f(a)} = \underline{f(a')} \Rightarrow \underline{a} = \underline{a'}$$



and surjective if

$$\forall b \in B, \exists \underline{a} \in \underline{A} f(a) = b$$

bijection  $\Leftrightarrow$  inj + surj

## Example

Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be functions and  $\underline{A_0} \subset A$ ,  $B_0, B_1 \subset B$ .

1.  $\underline{A_0} \subset \underline{f^{-1}(f(A_0))}$ .
2.  $\underline{B_0} \subset B_1 \Rightarrow \underline{f^{-1}(B_0)} \subset f^{-1}(B_1)$ .
3. If  $C_0 \subset C$ , then

$$(g \circ f)^{-1}(C_0) = f^{-1}(g^{-1}(C_0))$$

" $A_0 \subset f^{-1}(f(A_0))$ ".

Need to show  $x \in A_0$ , then  $x \in f^{-1}(f(A_0))$ .

Note that  $\underline{x_0 \in f^{-1}(f(A_0))}$  if  $\underline{f(x_0) \in f(A_0)}$ .

$x \in A_0 \Rightarrow f(x) \in f(A_0) \Rightarrow x \in f^{-1}(f(A_0))$ .

" $\underline{B_0 \subset B_1} \Rightarrow f^{-1}(B_0) \subset f^{-1}(B_1)$ ".

Let  $\underline{x \in f^{-1}(B_0)}$ . Then  $f(x) \in B_0$ . Since  $\underline{B_0 \subset B_1}$ ,  $f(x) \in B_1$ .

Therefore  $\underline{x \in f^{-1}(B_1)}$  Thus  $f^{-1}(B_0) \subset f^{-1}(B_1)$ .

## Definition

A equivalence relation  $\sim$  on a set  $A$  is a subset  $\subset A \times A$  such that

1.  $\forall x \in A, (x, x) \in \sim \quad : \quad x \sim x$
2.  $(\overline{x}, \overline{y}) \in C \Rightarrow (\overline{y}, \overline{x}) \in \sim \quad : \quad x \sim y \Rightarrow y \sim x$
3.  $(x, y), (y, z) \in \sim \Rightarrow (x, z) \in \sim \quad : \quad x \sim y, y \sim z \Rightarrow x \sim z$

We denote  $x \sim y$  if  $(x, y) \in \sim$ .

## Definition

The equivalence class of  $x \in A$  is the set

$$[x] = \{y \mid y \sim x\}$$

$\star [1] = \{n \in \mathbb{Z} \mid 1 \equiv_3 n\}$ .  
 $\star [0] = [3]$ .  
 $\star [2] = [5]$ .

The collection of all equivalence classes for  $\sim$  becomes a partition of  $A$ , i.e. the collection of disjoint nonempty subsets of  $A$ .

## Definition

An order relation  $<$  on a set  $A$  is a subset of  $A \times A$  such that

1.  $\boxed{x, y} \in A, \underline{x \neq y} \Rightarrow (x, y) \in < \text{ or } (y, x) \in < : x \neq y \Rightarrow x < y \Leftrightarrow$
2.  $\nexists x \in A, (x, x) \in < : \nexists x \in A, x < x \quad y < x$
3.  $(x, y) \in <, (y, z) \in < \Rightarrow (x, z) \in <. : x < y, y < z \Rightarrow x < z$

We denote  $x < y$  if  $(x, y) \in <.$

## Definition

If  $<$  is an order relation on a set  $A$ , and if  $\underline{a} < \underline{b}$ , an open interval  $(a, b)$  is a subset defined by

$$(a, b) = \{x \in A \mid \underline{a} < x < \underline{b}\}$$

If  $\underline{(a, b)} = \emptyset$ , then  $a$  is called the immediate predecessor of  $b$ , and  $b$  is called the immediate successor of  $a$ .

## Definition

Suppose that  $A, B$  are two sets with order relations  $<_A, <_B$ .

The dictionary order relation  $<$  on  $A \times B$  is defined by

$$\underline{(a_1, b_1)} = a_1 \times b_1 < \underline{a_2 \times b_2} = \underline{(a_2, b_2)}$$

if  $a_1 <_A a_2$ , or if  $a_1 = a_2$  and  $b_1 <_B b_2$ .

## Definition

An ordered set  $\underline{A}$  is said to have the least upper bound property if every nonempty subset  $\underline{A_0} \subset A$  that is bounded above has a least upper bound. The greatest lower bound property is similarly defined.

## Example

1. Let  $f : A \rightarrow B$  is a surjective function. Define  $a_0 \sim a_1$  if  $f(a_0) = f(a_1)$ . Show that  $\sim$  is an equivalence relation.
2. If an ordered set  $A$  has the least upper bound property, then it has the greatest lower bound property.
3. Assuming that the real line has the least upper bound property, show that  $[0, 1] = \{x \mid 0 \leq x \leq 1\}$  also has the least upper bound property.
4. Does  $[0, 1] \times [0, 1]$  in the dictionary order relation have the least upper bound property?

" $a \sim a \Leftrightarrow f(a) = f(a)$ " is an e.v.

pf) ① Need to show  $a \sim a$  for all  $a \in A$ .

$f(a) = f(a)$ . Thus true.

② Need to show  $a \sim b$  then  $b \sim a$  for all  $a, b \in A$ .

$a \sim b \Leftrightarrow f(a) = f(b) \Leftrightarrow f(b) = f(a) \Leftrightarrow b \sim a$

③ Need to show  $a \sim b, b \sim c \Rightarrow a \sim c$

$a \sim b \Leftrightarrow f(a) = f(b) \quad b \sim c \Leftrightarrow f(b) = f(c)$

$a \sim b, b \sim c \Rightarrow f(a) = f(b) = f(c) \Rightarrow a \sim c$

"If A has l.u.b.p, then A has g.l.b.p"

Suppose that there is a subset  $A_0 \subset A$  which is bounded below, but does not have a greatest lower bound.

Let  $B_0$  be the set of all lower bounds of  $A_0$ .

$B_0 \neq \emptyset$  because  $A_0$  is bounded below (there exists at least one lower bound of  $A_0$ ) and  $A$  has l.u.b.p.,  $B_0$  is bounded above by an element  $a \in A_0$  (any element  $a$  will do.)

Thus  $B_0$  has least upper bound say  $b_0$ ,

If  $b_0 \in B_0$ , then  $b_0$  is a lower bound of  $A$  and it is the greatest lower bound. contradiction.

If  $b_0 \notin B_0$ , then there is  $a \in A_0$  such that  $a < b_0$ .

Note that  $a$  is an upper bound of  $B_0$  less than  $b_0$ . contradicts to the def. of  $b_0$ .

Thus there is  $b_0$  such  $A_0$ , and all nonempty subsets of  $A$  having lower bound admits greatest lower bound.

## Definition

The set of real numbers, denoted by  $\mathbb{R}$ , is a set with two operations  $+$ (addition),  $\cdot$ (multiplication), and an **order relation**  $<$ . It contains two special elements, **1**(one) and **0**(zero). All elementary algebraic properties hold including the following statements.

1.  $x < y \Rightarrow x + z < y + z$
2.  $x < y, 0 < z \Rightarrow x \cdot z < y \cdot z$
3.  $<$  has the least upper bound property
4.  $x < y \Rightarrow \exists z \in \mathbb{R} x < z < y$

## Definition

The subset  $A \subset \mathbb{R}$  is called **inductive** if it contains 1 and if  $x \in A$  then  $x + 1 \in A$ . The set of all positive integers, denoted by  $\mathbb{Z}_+$  is the smallest among all inductive subsets.

## Theorem

$$\mathbb{Z}_+ = \bigcap_{A \subset \mathbb{R}, A \text{ : inductive}} A.$$

*Every nonempty subset of  $\mathbb{Z}_+$  has a smallest element.*

## Theorem

Let  $A$  be a set of positive integers containing 1. For each positive integer  $n \in \mathbb{Z}_+$ ,  $S_n = \{1, \dots, n\} \subset A \Rightarrow n + 1 \in A$ . If this is true for all  $n$ , then  $A = \mathbb{Z}_+$ .

$$A = \{n \in \mathbb{Z}_+ \mid P(n)\}$$

$"S_n \subset A \Rightarrow n+1 \in A" \Rightarrow A = \mathbb{Z}_+$  } "Induction method"

Thm Every nonempty subset of  $\mathbb{Z}_+$  has the least element.

pf) First, consider a special case when  $S_n = \{1, \dots, n\}$ .

Let  $A$  be a subset of  $S_n$ .

We want to use induction method.

$n=1$ .  $A = \{1\}$  has the least element, 1.

Suppose that any subset of  $S_k$  has the least element for  $k \leq n$ .

Let  $A \subset S_{n+1}$ . If  $A = \{n+1\}$ , then  $A$  has the least element,  $n+1$ .

If  $n+1 \notin A$ , then  $A \subset S_n$ . Therefore by assumption,  $A$  has the least elt.

If  $A' = A \setminus \{n+1\} \neq \emptyset$ , then  $A' \subset S_n$ , thus  $A'$  has the least elt.

and this elt is the least elt of  $A$ .

Let  $A \subset \mathbb{Z}_+$  and  $n \in A$ . Then  $A' = A \cap S_n \subset S_n$

Thus  $A'$  has the least element. This elt is the least elt of  $A$ .  $\square$

Thm //

pf) Suppose  $A \neq \mathbb{Z}_+$ , that is, there is  $n \notin A$ .  $n \in \mathbb{Z}_+$ .

Choose the smallest  $n \in \mathbb{Z}_+$  such that  $n \notin A$ .

Then  $S_{n-1} \subset A$ . ( $n \neq 1$ ). Thus by assumption,  $n \in A$ . contradiction.

Therefore the assumption is false. That means  $A = \mathbb{Z}_+$   $\square$

## Definition

$$\mathbf{x} = (x_1, \dots, x_m)$$

Let  $m$  be a positive integer. An  $m$ -tuple of elements of  $X$  is a function  $\mathbf{x} : \{1, \dots, m\} \rightarrow X$ . The  $\omega$ -tuple of elements of  $X$  is a function  $\mathbf{x} : \mathbb{Z}_+ \rightarrow X$ .

$$\mathbf{x} = (x_1, x_2, \dots, x_n, \dots)$$

## Definition

Let  $A_1, A_2, \dots$  be a family of sets, indexed by  $\mathbb{Z}_+$ . The cartesian product of  $A_i$ , denoted by  $\prod_{i \in \mathbb{Z}_+} A_i$ , is the set of all

$\omega$ -tuples of elements of  $\bigcup_{i \in \mathbb{Z}_+} A_i$  such that  $x_i \in A_i$ . If  $A_i = X$  for

all  $i$ , then the cartesian product is denoted by  $X^\omega$ .

Example  $A_i = X$ ,  $A_1 \times A_2 = X \times X = X^2$   $f(\mathbf{x}, \mathbf{y})(i) = \begin{cases} x(\frac{i+1}{2}) & i: \text{odd} \\ y(\frac{i}{2}) & i: \text{even} \end{cases}$

Find a bijective map  $f : X^\omega \times X^\omega \rightarrow X^\omega$  example.

$$(\mathbf{x}, \mathbf{y}) \mapsto (x_1, y_1, x_2, y_2, \dots) = f(\mathbf{x}, \mathbf{y})$$

↑      ↑

## Definition

A set is called finite if there is a bijection between the set and  $S_n$  for some positive integer  $n$ . A set is called infinite if it is not finite. It is called countably infinite if there is a bijection between the set and  $\mathbb{Z}_+$ . An infinite set which is not countable is called uncountable.

## Theorem

Let  $A$  be a set. The followings are equivalent:

1.  $A$  is countable.
2. There is a surjective function  $f : \mathbb{Z}_+ \rightarrow A$ .
3. There is an injective function  $f : A \rightarrow \mathbb{Z}_+$ .

ex)  $A = \{2n \mid n \in \mathbb{Z}_+\}$ .

$$f : \mathbb{Z}_+ \rightarrow A \quad f(n) = 2n \quad : \text{surj}$$

$$f : A \rightarrow \mathbb{Z}_+ \quad f(m) = m \quad : \text{inj}$$

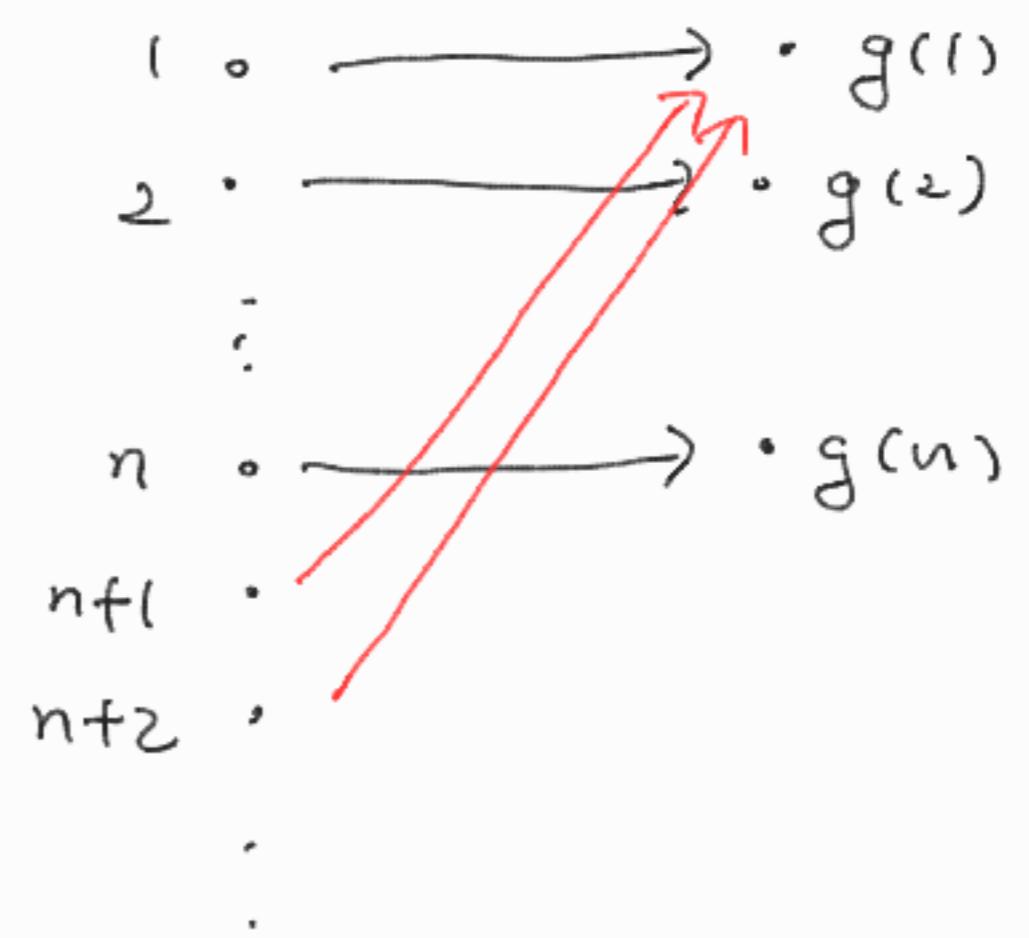
Thm  $\rightarrow$

①  $\Rightarrow$  ②

If  $A$  is finite, then  $g: S_n \rightarrow A$  bijection.

Thus we can define  $f: \mathbb{Z}_+ \rightarrow A$  as

$$f(k) = \begin{cases} g(k) & \text{if } k \in S_n \\ g^{(1)} & \text{if } k \notin S_n. \end{cases}$$



②  $\Rightarrow$  ③

Let  $g: \mathbb{Z}_+ \rightarrow A$ . Define  $f: A \rightarrow \mathbb{Z}_+$  as

$$f(a) = \text{smallest } m \text{ in } g^{-1}(a).$$

$f$  is injective because if  $a_0 \neq a_1 \in A$ , then  $g^{-1}(a_0) \cap g^{-1}(a_1) = \emptyset$

Thus  $f(a_0) \neq f(a_1)$ .

③  $\Rightarrow$  ①

prove that every subset of  $\mathbb{Z}_+$  is countable

let  $C \subset \mathbb{Z}_+$  let us define  $h: \mathbb{Z}_+ \rightarrow C$  as

$$h(1) = \text{smallest } m \text{ in } C$$

$$h(2) = \text{smallest } m \text{ in } C \setminus \{h(1)\}$$

:

$$h(n) = \text{smallest } m \text{ in } C \setminus \{h(1), \dots, h(n-1)\}.$$

$h$  is injective, because if  $i < j$  then

since  $h(i) \in \{h(1), \dots, h(j)\}$   $h(j) \in C \setminus \{h(1), \dots, h(j-1)\}$ .

$h(i) \neq h(j)$ .

$h$  is surjective. To show this, pick  $c \in C$

let  $m \in \mathbb{Z}_+$  be the smallest element in  $\mathbb{Z}_+$  s.t.  $h(m) \geq c$ .

If  $i < m$ , then  $h(i) < c$  (why? if not, say  $h(i) \geq c$ , then  
i is a smaller elt than  $m$  satisfying  $h(m) \geq c$ ,  
and this contradicts the assumption.)

Thus  $c \notin \{h(1), \dots, h(m-1)\}$

Thus  $lcm(m) = \underbrace{\text{smallest}}_{\substack{\text{contains } c \\ \nearrow}} \text{elt in } (c \setminus \{lcm(1), \dots, lcm(m)\}) \leq c.$   
does not contain  $c$ .

Thus  $lcm(m) = c$ . (i.e.  $m$  is the preimage of  $c$ ).

Supp  $f: A \rightarrow \mathbb{Z}_+$  is injective. Then  $f: A \rightarrow f(A)$  is bijective.

Then  $f(A)$  is a subset of  $\mathbb{Z}_+$ , thus  $f(A)$  is countable by the **claim**.

Thus there is a bijection  $h: f(A) \rightarrow \mathbb{Z}_+$  and by composition,  
 $h \circ f: A \rightarrow \mathbb{Z}_+$  : bijection. □

**Lemma** ← Is proved in the theorem.

If  $A$  is an infinite subset of  $\mathbb{Z}_+$ , then  $A$  is countably infinite.

### Corollary

1. A subset of a countable set is countable.
2. The set  $\mathbb{Z}_+ \times \mathbb{Z}_+$  is countably infinite.
3. A countable union of countable sets is countable.
4. A finite product of countable sets is countable.

### Theorem

Let  $X$  be the two element set  $\{0, 1\}$ . Then  $X^\omega$  is uncountable.

Corollary 1.  $A \subset B$ ,  $B$ : countable.  $\Rightarrow f: B \rightarrow \mathbb{Z}_+ \text{ inj.}$   
 $\Rightarrow f|_A: A \rightarrow \mathbb{Z}_+ \text{ inj.} \Rightarrow A \text{ :countable}$

Corollary 2.  $f: \mathbb{Z}_+ \times \mathbb{Z}_+ \rightarrow \mathbb{Z}_+$ ,  $f(n,m) = 2^n \cdot 3^m \in \mathbb{Z}_+$  is injective.

Corollary 3.  $A_1, \dots, A_n \dots$  countable  $\Leftrightarrow f_n: \mathbb{Z}_+ \rightarrow A_n$  surjective.

$J \subset \mathbb{Z}_+$  : countable subset  $\Leftrightarrow g: \mathbb{Z}_+ \rightarrow J$  surjection

NTS:  $\bigcup_{i \in J} A_i$  is countable. Suffice to show  $\exists$  surj  $f: \mathbb{Z}_+ \rightarrow \bigcup_{i \in J} A_i$

$$h: \mathbb{Z}_+ \times \mathbb{Z}_+ \rightarrow \bigcup_{i \in J} A_i ; h(n,m) = f_{g(n)}(m)$$

$h$  is surjective b/c.  $x \in A_i$ , then  $f_{g(n)}(m) = x$  where  $g(n) = i$   
 $f_i(m) = x$ .

$$\begin{array}{ccc} \mathbb{Z}_+ & \xrightarrow{\text{1-1}} & \mathbb{Z}_+ \times \mathbb{Z}_+ \xrightarrow{\text{surj.}} \bigcup_{i \in J} A_i \\ & \downarrow & \end{array} \quad (\text{by the surjectivity of } f_n, g).$$

b/c  $\mathbb{Z}_+ \times \mathbb{Z}_+$  is countable (proved in Coro 2)

Corollary 4. Suff. to show that  $A, B$ : countable  $\Rightarrow A \times B$ : countable

$A, B$  :countable  $\Rightarrow f: \mathbb{Z}_+ \rightarrow A$ ,  $g: \mathbb{Z}_+ \rightarrow B$  surj.

$\Rightarrow h: \mathbb{Z}_+ \times \mathbb{Z}_+ \rightarrow A \times B$ .  $h(n,m) = (f(n), g(m))$   
is surjective.

Thm  $\{0,1\}^\omega$  is uncountable

Pf) Suppose  $\{0,1\}^\omega$  is countable. That is there is 1-1.

$$f: \mathbb{Z}_+ \rightarrow \{0,1\}^\omega$$

$$\begin{aligned} 1. & \rightarrow 001001 \dots x_1 \quad y = (y_i), \quad y_i = \begin{cases} 1 & \text{if } x_{ii} = 0 \\ 0 & \text{if } x_{ii} = 1. \end{cases} \\ 2. & \rightarrow 101001 \dots x_2 \\ 3. & \rightarrow 111100 \dots x_3 \\ & \vdots \\ & \qquad \qquad \qquad x_i = (x_{i1}, x_{i2}, \dots) \end{aligned}$$

$110 \dots y \Rightarrow$  f is NOT surjective

Then  $y$  has no preimage in  $f \Rightarrow \{0,1\}^\omega$  is NOT countable  $\square$

## Definition

Two sets  $A$  and  $B$  have the same cardinality if there is a bijection between  $A$  and  $B$ .

Example  $A = \mathbb{Z}_+$ ,  $B = \{2n \mid n \in \mathbb{Z}_+\}$   $f: \mathbb{Z}_+ \rightarrow \mathbb{Z}_+ : f(n) = 2n$ .

1. Show that if  $B \subset A$  and there is a injection  $f: A \rightarrow B$ , then  $A$  and  $B$  have the same cardinality.
2. If there are injection  $f: A \rightarrow C$  and  $g: C \rightarrow A$ , then  $A$  and  $C$  have the same cardinality.
3. Let  $X$  be the two element set  $\{0, 1\}$ , and  $\mathcal{B}$  be the set of all countable subsets of  $X^\omega$ . Then  $X^\omega$  and  $\mathcal{B}$  have the same cardinality.

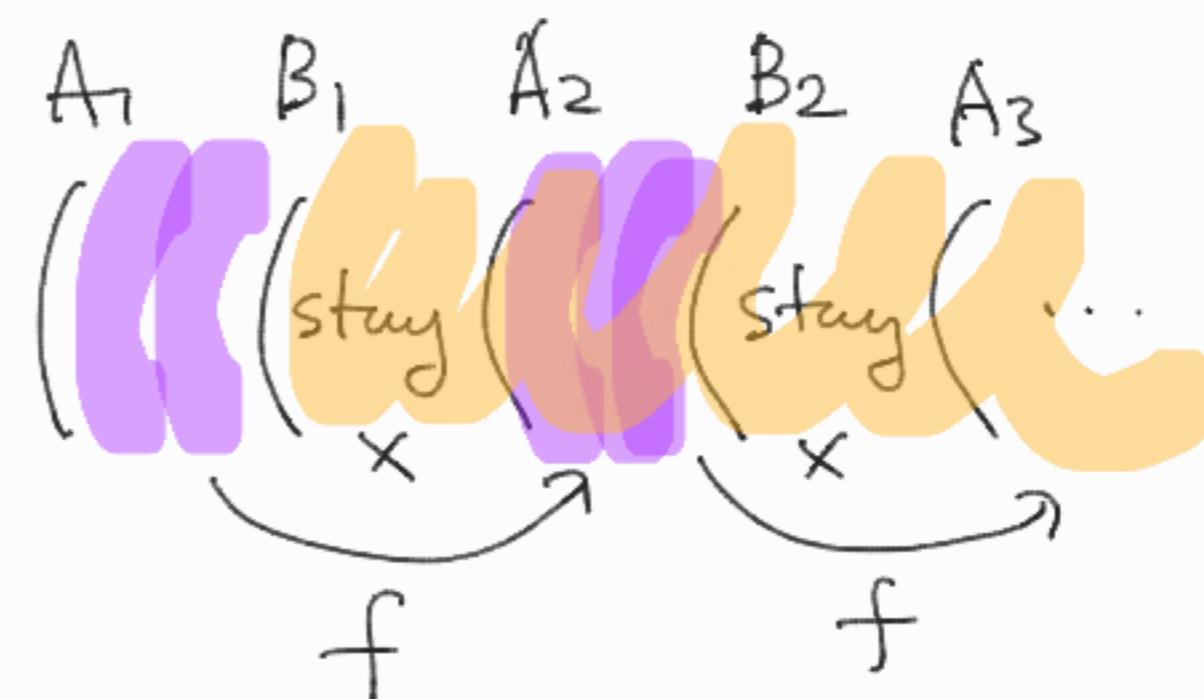
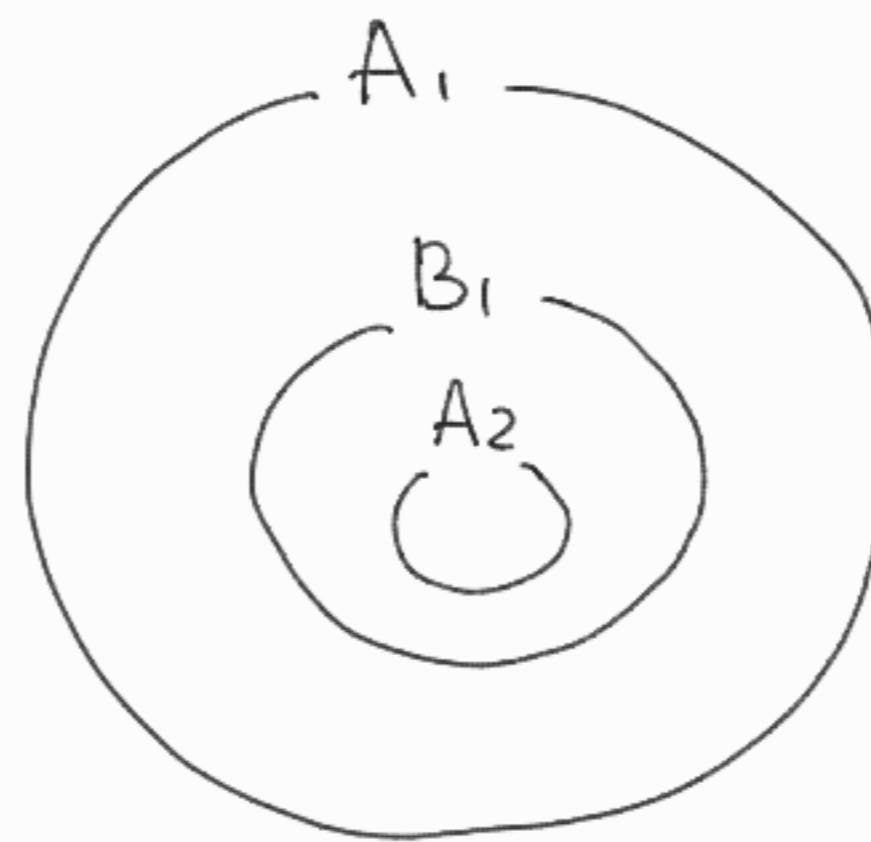
NTS:  $X^\omega \xrightarrow{\text{1-1}} \mathcal{B}$   
 $f$

$$\#1. \quad A_1 = A, \quad B_1 = B.$$

$$f(A_1) = A_2 \subset B_1.$$

$$f(B_1) = B_2 \subset A_2$$

:



Define a bijection  $h: A \rightarrow B$ .

$$h(x) = \begin{cases} f(x) & \text{if } x \in A_i - B_i \\ x & \text{otherwise} \end{cases} \quad (i=1, 2, \dots)$$

$h$  is inj. because if  $x_0 \in A_i - B_i, x_1 \in A_j - B_j$  and  $x_0 \neq x_1$

then  $h(x_0) = f(x_0) \neq h(x_1) = f(x_1)$  because  $f$  is inj.

and if  $x_i \notin A_i - B_i$ , then  $h(x_i) = x_i$

$h$  is surj. the set  $B$  always has a preimage.

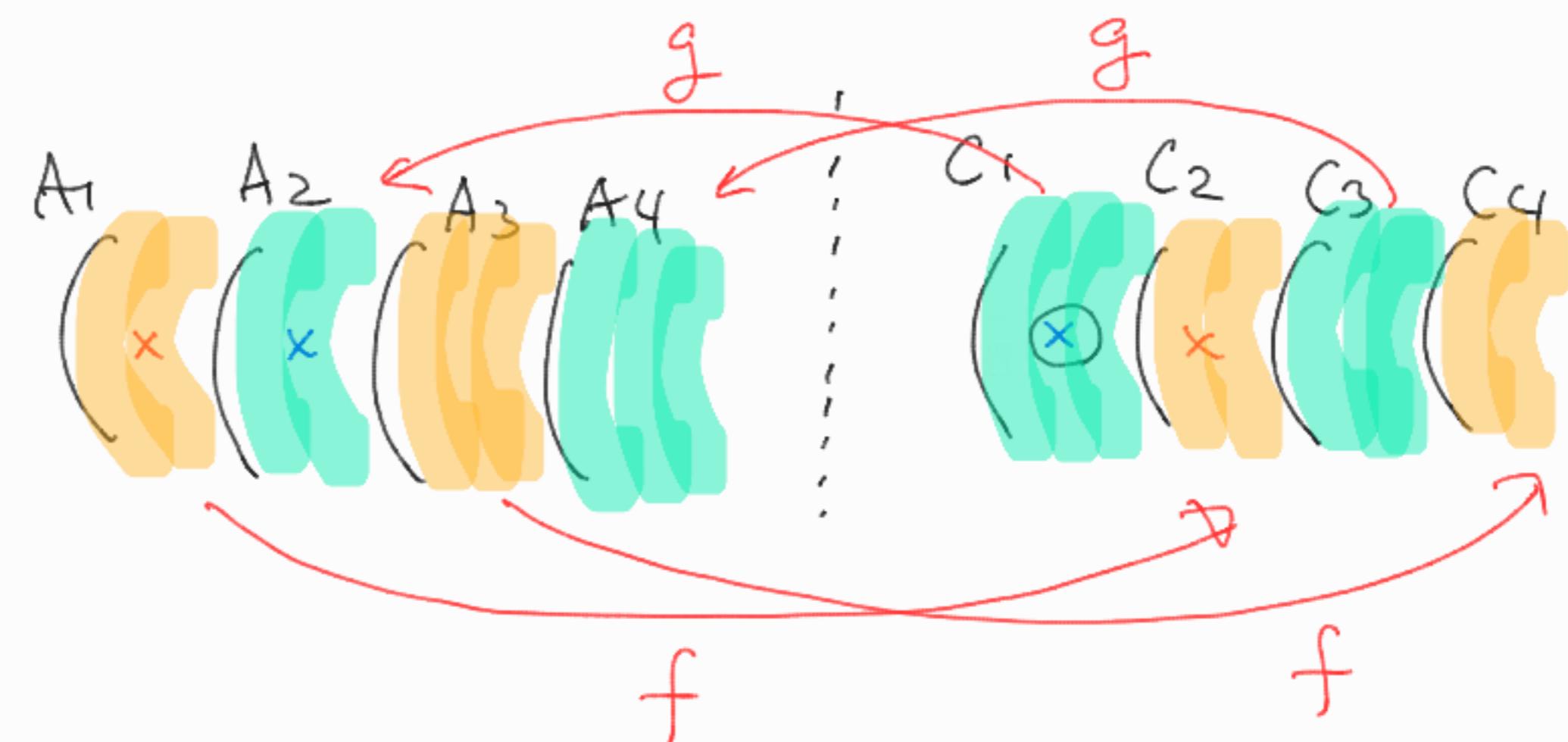
b/c any element in

$$\#2. \quad A_1 = A, \quad C_1 = C.$$

$$f(A_1) = C_2, \quad g(C_1) = A_2.$$

$$f(A_2) = C_3, \quad g(C_2) = A_3$$

:



$$C_2 \subset C_1 \Rightarrow g(C_2) = A_3 \subset g(C_1) = A_2.$$

$$h: A \rightarrow C \text{ defined by } h(x) = \begin{cases} f(x) & \text{if } x \in A_{2n-1} - A_{2n} \\ g^{-1}(x) & \text{if } x \in A_{2n} - A_{2n+1}. \end{cases}$$

is bijective. ( $\because$  injectivity follows from inj. of  $f, g$  &  
surjectivity follows from the def. of  $f, g$ ).

\*

Need an elaboration! (using def of inj. surj.).

## Theorem

Let  $A$  be a set. The followings are equivalent.

1. There is an injective function  $f : \mathbb{Z}_+ \rightarrow A$ .
2. There is a  $A$  and a proper subset of  $A$ .
3.  $A$  is infinite.  
if  $\exists$  not entire  $A$ .

## \* Axiom of choice

Given a collection  $\mathcal{A}$  of disjoint nonempty sets, there exists a set  $C$  consisting of exactly one element from each element of  $\mathcal{A}$ .

## Lemma

Given a collection  $\mathcal{B}$  of nonempty sets, there exists a function  $c : \mathcal{B} \rightarrow \bigcup_{B \in \mathcal{B}} B$  such that  $c(B)$  is an element in  $B$ , for each  $B \in \mathcal{B}$

Then  $\rightarrow$

$\textcircled{1} \Rightarrow \textcircled{2}$

$$f(1) = a_1, \dots, f(n) = a_n, \dots$$

$h : A \rightarrow A \setminus \{a_i\}$  defined by  $h(a_i) = a_{n+i}$ .

$h(a) = a$  if  $a \notin f(\mathbb{Z}_+)$ .

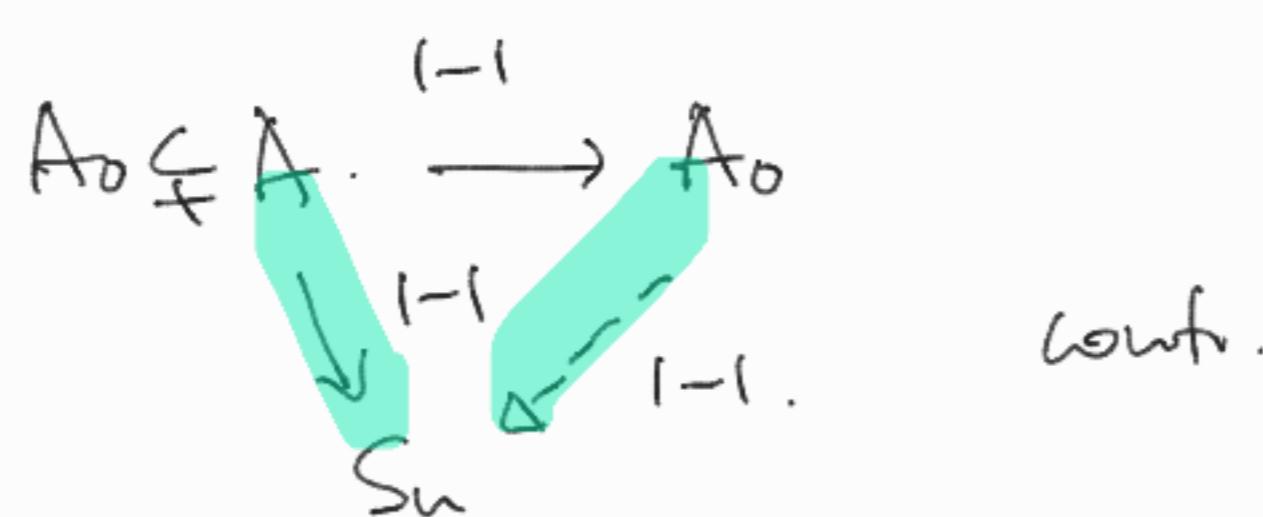
$h$  is inj. b/c if  $a_i, a_j$  are taken. ( $i \neq j$ ), then  $h(a_i) = a_{n+i} \neq h(a_j) = a_{j+n}$ .

and if  $a \notin f(\mathbb{Z}_+)$ , then  $h(a) = a \notin f(\mathbb{Z}_+)$ , thus  $h(a) \neq h(a_i) \forall i$ .

and if  $a, a' \notin f(\mathbb{Z}_+)$ , then  $h(a) = a \neq h(a') = a'$ .

$\textcircled{2} \Rightarrow \textcircled{3}$

Suppose  $A$  is finite.  $\exists A \xrightarrow{(-1)} S_n$



$\textcircled{3} \Rightarrow \textcircled{1}$  NTS:  $\exists$  inj  $f : \mathbb{Z}_+ \rightarrow A$ .

$f(1) = a_1 \in A$ . (pick an elt  $a_1$  in  $A$ )

$f(2) = a_2 \in A$  (pick an elt  $a_2$  in  $A \setminus \{a_1\}$ )

:

$f(n) = a_n \in A$ ,  $a_n$ : some elt in  $A \setminus \{a_1, \dots, a_{n-1}\}$ .

$\uparrow$  "disturbing".

Lem  $\exists c : \mathcal{B} \rightarrow \bigcup \mathcal{B}$ ,  $(c_B) \in \mathcal{B}$ .

$B \in \mathcal{B}$

pf)  $\forall B \in \mathcal{B}$ , define  $B' = \{(B, x) \mid x \in B\} \subset \mathcal{B} \times \bigcup_{B \in \mathcal{B}} \mathcal{B}$

If  $B_1, B_2 \in \mathcal{B}$ , then  $B'_1 \cap B'_2 = \emptyset$ .

Let  $\Phi = \{B' \mid B \in \mathcal{B}\}$ ,  $\exists C$  from A.C.  $\Rightarrow \underline{c(B) = x \text{ if } (B, x) \in C}$ .  $\blacksquare$

Modified version of ③  $\Rightarrow$  ①.

$\mathcal{B}$  = the collection of nonempty subsets of  $A$ .

$$\exists \underline{c} : \mathcal{B} \rightarrow \bigcup_{B \in \mathcal{B}} B = A$$

Defn  $f(1) = \underline{c(A)}$ .

$$f(2) = \underline{c(A - \{f(1)\})}$$

:

$$f(n) = \underline{c(A - \{f(1), \dots, f(n-1)\})}$$

:

} NOT a recursive definition.

From now on, we may assume A.C. whenever we need to define a function (or set) in this manner.

The allowable methods for specifying sets:

1. Defining a set by listing its elements, or by taking a given set  $A$  and specifying a subset  $B$  of it by giving a property that the elements of  $B$  are to satisfy
2. Taking unions or intersections of the elements of a given collection of sets, or taking the difference of two sets
3. Taking the set of all subsets of given set
4. taking cartesian products of sets

### Example

Define an injective map  $f : \mathbb{Z}_+ \rightarrow X^\omega$  where  $X = \{0, 1\}$  without using axiom of choice.

$$\{x = (x_1, x_2, \dots)\}.$$

$$f(1) = (1, 0, \dots)$$

$$f(2) = (0, 1, 0, \dots)$$

$n$ th place.

$$f(n) = (0, \dots, \overset{\circ}{1}, 0, \dots)$$

## Definition

A set  $A$  with an order relation  $<$  is called **well-ordered** if every nonempty subset of  $A$  has a smallest element.

**Definition** ex.  $\mathbb{Z}_+$  is well-ordered.  $\mathbb{Z} \supset (-\infty, 0]$  has no well-ordered.  $\mathbb{N}$  is well-ordered.  $\mathbb{Z}$  is not well-ordered.  $\mathbb{Z} \supset (-\infty, 0]$  has no smallest element.

Two ordered sets  $A$  and  $B$  have the same order type if there is a bijection between  $A$  and  $B$  preserving order relations.

$$f: A \xrightarrow{\text{bijective}} B \quad f(a_1) > f(a_2) \text{ if } a_1 > a_2.$$

## Theorem

Every nonempty finite ordered set has the order type of a section  $\{1, \dots, n\}$  of  $\mathbb{Z}_+$

**Example**  $\overset{n}{\underset{1}{\mathcal{S}_n}}$

1. Show that  $\{1, 2\} \times \mathbb{Z}_+$  in dictionary order is **well-ordered**.
2. Do  $\{1, 2\} \times \mathbb{Z}_+$  and  $\mathbb{Z}_+ \times \{1, 2\}$  have the same order type?

Thm  $\rightarrow$

Pf) Let  $A$  be a finite ordered set.

If  $A = \{a\}$ , Then  $A \cong S_1$ . Done.

Suppose statement holds for  $|A| < n$ .

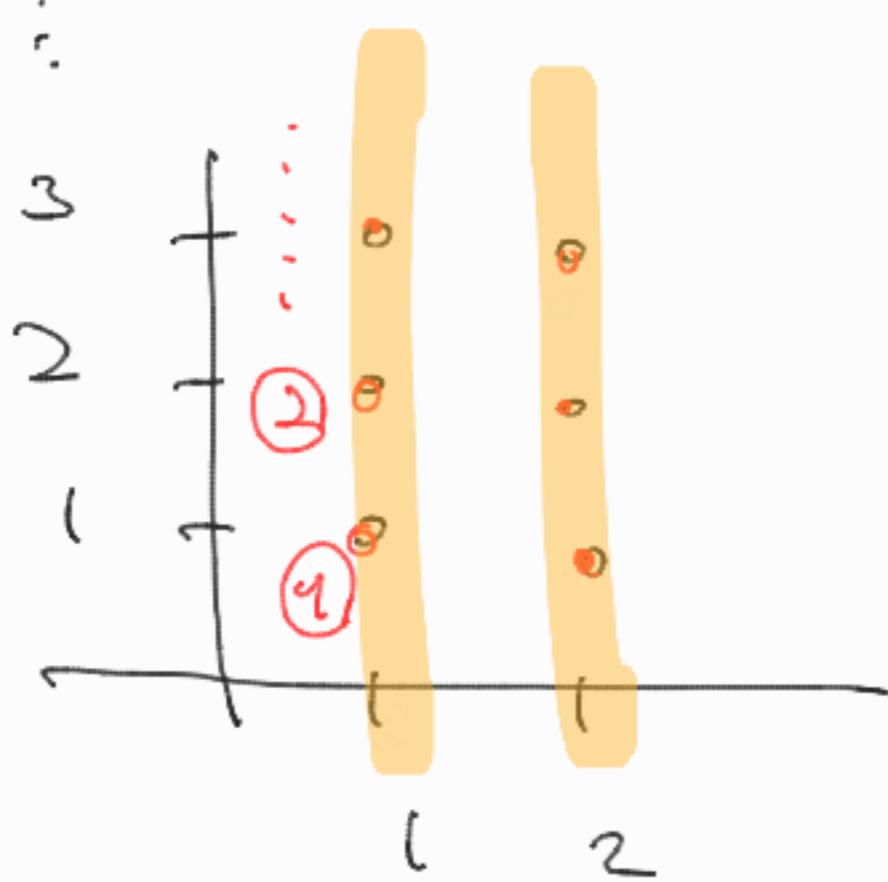
Let  $|A| = n$ . Then there is a largest element  $b \in A$ .

Then  $(A \setminus \{b\}) = n-1 < n$ . Thus  $A \setminus \{b\} \cong S_{n-1}$ , i.e.

$$f: S_{n-1} \rightarrow A \setminus \{b\}$$

Define  $f': S_n \rightarrow A$  as  $f'(i) = f(i)$  for  $i < n$   
 $f(n) = b$ .  $\square$

Ex



$$A \subset \{(1, x) \mid (1, x) \in A\} \times \mathbb{Z}_+$$

NTS:  $A$  has the smallest elt.

$$A = A_1 \cup A_2 \text{ where } A_1 = \{(1, x) \mid (1, x) \in A\}$$

$$A_2 = \{(2, x) \mid (2, x) \in A\}$$

If  $A_1 = \emptyset$ , then need to find smallest elt in  $A_2$

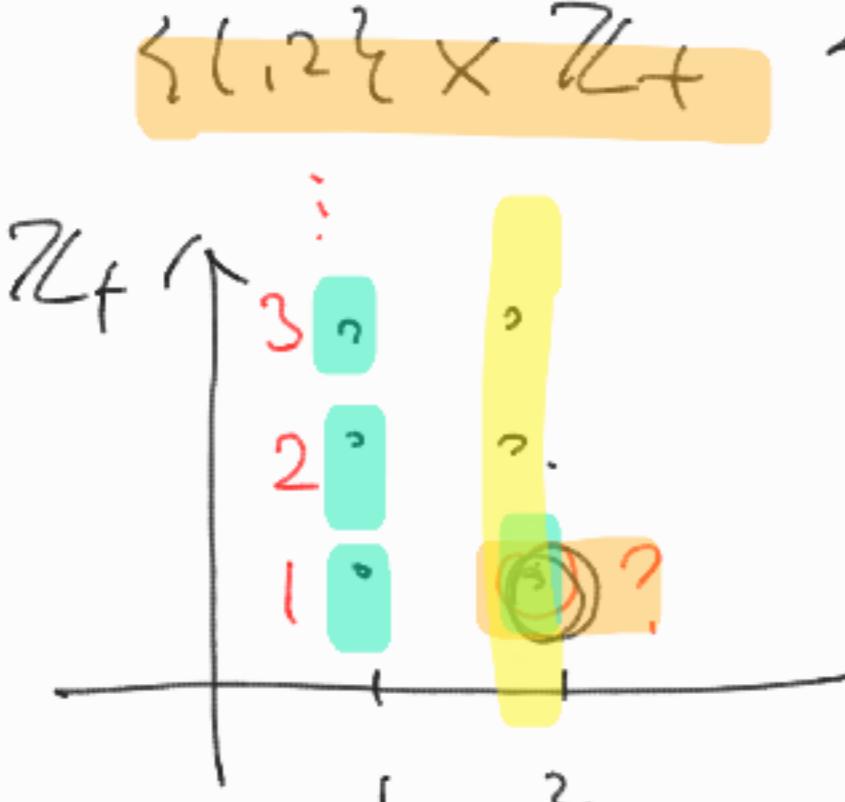
$$A' = \{x \in \mathbb{Z}_+ \mid (2, x) \in A\} \subset \mathbb{Z}_+$$

Since  $\mathbb{Z}_+$  is well-ordered,  $A'$  has the smallest elt, which is the smallest elt in  $A$ .

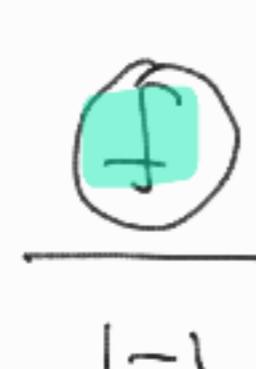
If  $A_1 \neq \emptyset$ , then the smallest elt lies in  $A_1$ .

$$A' = \{x \in \mathbb{Z}_+ \mid (1, x) \in A\} \subset \mathbb{Z}_+ \text{ has the smallest elt. } \square$$

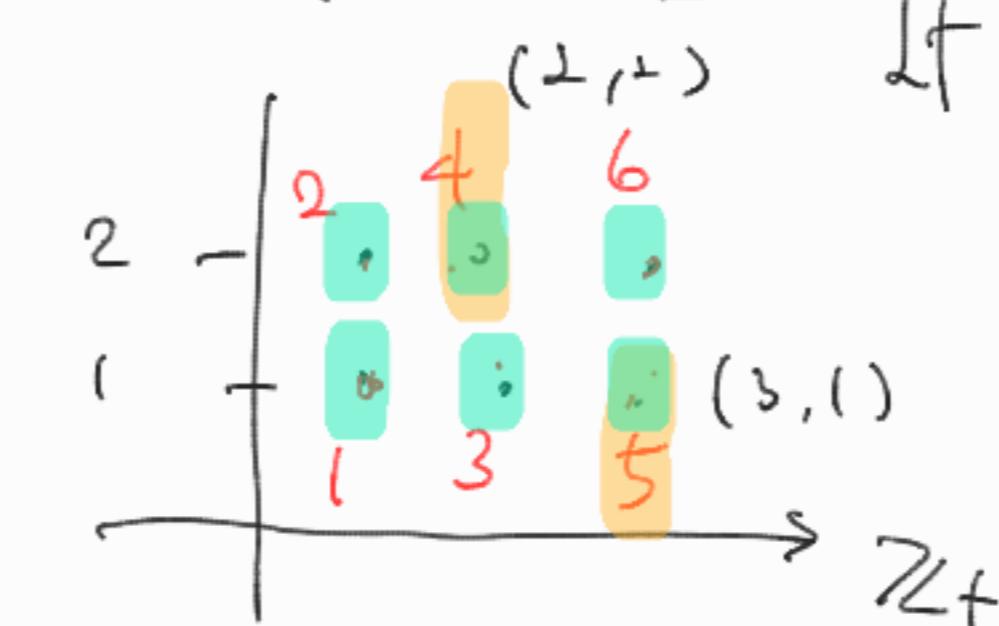
Ex



do not have the same order type.



$$\mathbb{Z}_+ \times \{(1, 2)\}$$



$$\text{If } f(2_1) = (n, m) \in \mathbb{Z}_+ \times \{(1, 2)\}$$

$(n, m)$  has the immediate predecessor in  $\mathbb{Z}_+ \times \{(1, 2)\}$ .

For example, if  $(n,m) = (3,1)$ , then  $(2,2)$  is the immediate predecessor. In general,

$$\begin{cases} (n+1, m+1) & \text{if } m=1 \\ (n, m-1) & \text{if } m=2 \end{cases}$$

is the immediate predecessor of  $(n,m) \in \mathbb{Z}_+ \times \{(1,2)\}$ .

But  $(2,1) = f^{-1}(n,m)$  do NOT have the immediate predecessor. If it has one, say  $(1,n)$ , then

$$(1,n) < (1,n+1) < (2,1)$$

Thus  $(1,n)$  is NOT the immediate predecessor.