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Data Structures I
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Assignment 3

This assignment analyzes the performance of two matrix transposition algorithms as pertaining to processor cache: a simple nested loop and a recursive cache-oblivious algorithm. Each matrix is in a row-structure of size $n \times n$, where each slot is *int* bytes.

I. Hardware Test

The hardware test plots the average amount of time (in nanoseconds) that a single swap takes in a matrix transposition for a given matrix of size $n \times n$. This test was benchmarked on an Intel Core i7 7700k (4.2 GHz) processor with 8 MiB cache. Note that the horizontal axis uses a log scale.

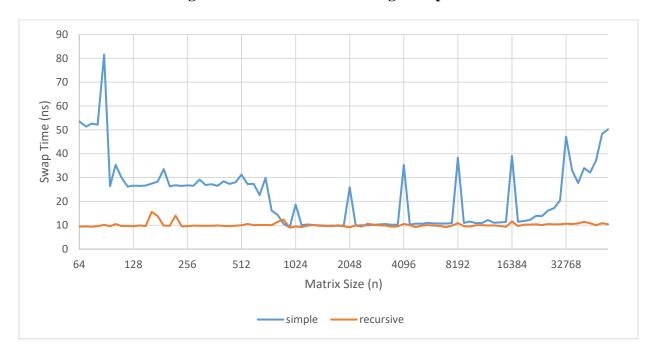


Figure 1: Matrix Size vs. Average Swap Time

As expected, the recursive (cache-oblivious) matrix transposition algorithm runs faster on average than the simple algorithm due to its ability to use the processor cache effectively. As the matrix size increases, the chance that the elements which the simple algorithm needs will be in the cache decreases substantially, since the memory accesses on the row-based layout of the matrix are far apart. The recursive algorithm tends to transpose elements that are close together, increasing the likelihood that the next swapped elements will also be in the cache (even when the matrix is large).

One curious observation is that in the simple transpose algorithm, the average swap time spikes substantially when the matrix size is a power of two. This occurs due to the cache being set associative (as opposed to being fully associative). Each set in a set associative caches use a fixed number of lines, and thus the set size is a factor of the entire cache size. If memory is accessed in such a way that the boundaries between memory accesses map to the same cache set, then the accessed elements will be evicted from the cache much quicker as we are only storing the recent memory in one set. This boundary is known as the critical stride, which is a power of two in modern processors. Hence, transposing matrices of size of a power of two with the simple algorithm will tend to use the cache in the worst way possible.

II. Cache Simulator

The cache simulator simulates the number of page faults that would occur in an LRU fully associative cache. The following plots display the number of cache misses that would occur for both algorithms as a function of the matrix width n. Multiple plots are shown to examine the behavior of changing cache parameters B and C, where B is the size of one page (in ints) and C is the number of pages.

To improve readability, the horizontal axes use a log scale.

Figure 2: B, C = 64, 64

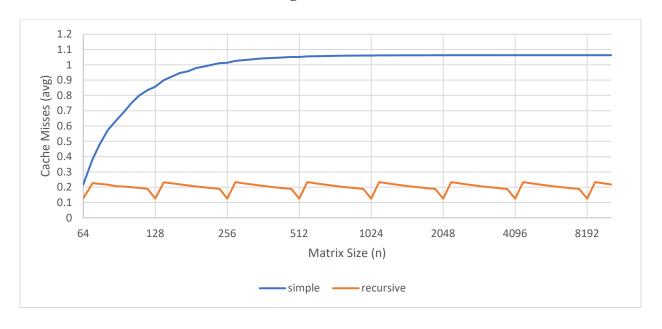


Figure 3: B, C = 64, 1024

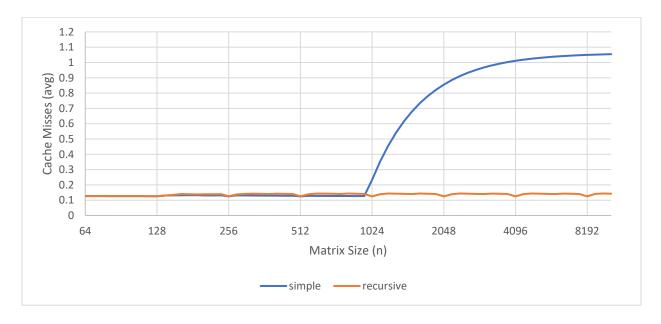


Figure 4: B, C = 64, 4096

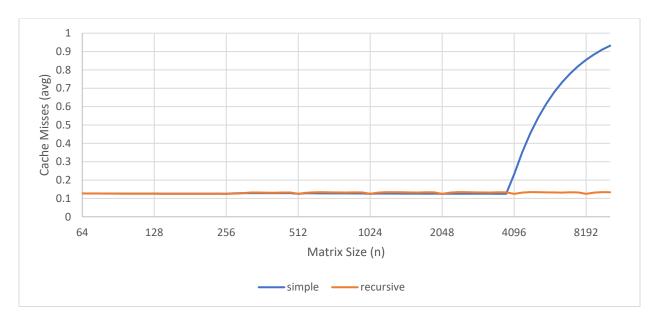


Figure 5: B, C = 512, 512

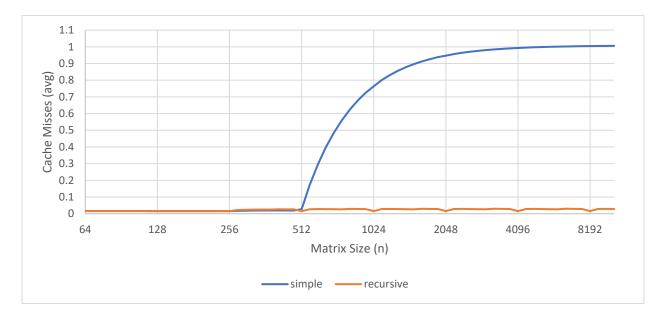
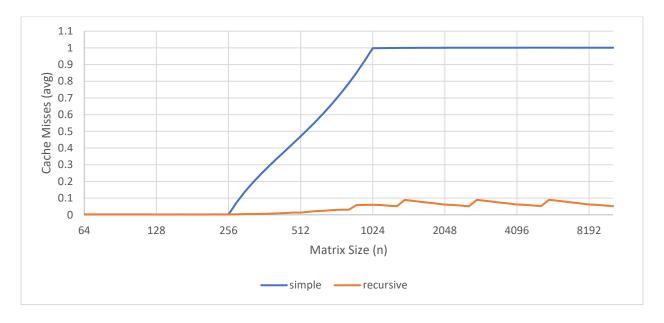


Figure 6: B, C = 4096, 64



It can be seen from the plots that as the number of pages C increase, the more a matrix can be fully stored in cache; the number of cache misses of the simple algorithm decreases up to the point at which the matrix can no longer be fully stored in the cache. Up until that point, the simple algorithm behaves identically to the recursive algorithm. The cache misses of the recursive algorithm decrease slightly as C increases, but there is not much of an impact.

By increasing the size of each page B (and holding the total cache size BC constant), it is apparent that both the simple and recursive algorithms benefit significantly. This is because there are fewer cache boundaries in which a cache miss can occur, i.e., more of each row in the matrix is loaded after a cache miss, reducing the likelihood of a subsequent cache miss. In the simple algorithm, the whole matrix is fully stored in the cache initially, but as the matrix size exceeds the cache size the number of cache misses returns to its base rate (as if there was little to no cache at all). On the other hand, the recursive algorithm shows improvement across the entire spectrum of matrix sizes, indicating that it can utilize the cache even when the entire matrix does not fit into cache.