

THEORIES OF NEURAL CODING AND REPRESENTATION

Our work explores representations in artificial “neurons”. Neuroscientists study similar questions in biological neurons. There are a variety of theories for how information could be encoded by a group of neurons. At one extreme is a *local code*, in which every individual stimulus is represented by a separate neuron. At the other extreme is a *maximally-dense distributed code*, in which the information-theoretic capacity of the population is fully utilized, and every neuron in the population plays a necessary role in representing every input.

One challenge in comparing our work with the neuroscience literature is that a “distributed representation” seems to mean different things. Consider an overly-simplified example of a population of neurons, each taking a binary value of active or inactive, and a stimulus set of sixteen items: four shapes, with four colors (example borrowed from [4]). A “local code” would be one with a “red triangle” neuron, a “red square” neuron, and so on. In what sense could the representation be made more “distributed”? One sense is by representing *independent features* separately — e.g. four “shape” neurons and four “color” neurons. A second sense is by representing *more items than neurons* — i.e. using a binary code over four neurons to encode $2^4 = 16$ stimuli. In our framework, these senses correspond to *decomposability* (representing stimuli as compositions of independent features) and *superposition* (representing more features than neurons, at cost of interference if features co-occur).

Decomposability doesn’t necessarily mean each feature gets its own neuron. Instead, it could be that each feature corresponds to a “direction in activation-space”²⁵, given scalar “activations” (which in biological neurons would be firing rate). Then, only if there is a *privileged basis*, “feature neurons” are incentivized to develop. In biological neurons, metabolic considerations are often hypothesized to induce a privileged basis, and thus a “sparse code”. This would be expected if the nervous system’s energy expenditure increases linearly or sublinearly with firing rate.²⁶ Additionally, neurons are the units by which biological neural networks can implement non-linear transformations, so if a feature needs to be non-linearly transformed, a “feature neuron” is a good way to achieve that.

Any decomposable linear code that uses orthogonal feature vectors is functionally equivalent from the viewpoint of a linear readout. So, a code can both be “maximally distributed” — in the sense that every neuron participates in representing every input, making each neuron extremely polysemantic — and also have no more features than it has dimensions. In this conception, it’s clear that a code can be fully “distributed” and also have no superposition.

A notable difference between our work, and the neuroscience literature we have encountered, is that we consider as a central concept the likelihood that features co-occur with some probability.²⁷ A “maximally-dense distributed code” makes the most sense in the case where items never co-occur; if the network only needs to represent one item at a time, it can tolerate a very extreme degree of superposition. By contrast, a network that could plausibly need to represent all the items at once can do so without interference between the items if it uses a code with no superposition. One example of high feature co-occurrence could be encoding spatial frequency in a receptive field; these visual neurons need to be able to represent white noise, which has energy at all frequencies. An example of limited co-occurrence could be a motor “reach” task to discrete targets, far enough apart that only one can be reached at a time

One hypothesis in neuroscience is that highly compressed representations might have an important use in long-range communication between brain areas^[57]. Under this theory, sparse representations are used within a brain area to do computation, and then are compressed for transmission across a small number of axons. Our experiments with the absolute value toy model shows that networks can do useful computation even under a code with a moderate degree of superposition. This suggests that all neural codes, not just those used for efficient communication, could plausibly be “compressed” to some degree; the regional code might not necessarily need to be decompressed to a fully sparse one.