

- For every  $i < \lambda$ , every  $A \subseteq B$ ,  $|A| < \lambda$ , every type over  $A \cup \bar{d}_i$  is realized by some  $d_\alpha$
- For every  $i < \lambda$ , the set  $B_i = B \cup d_i$  is complete, and  $P^{B_i} = P^B$
- For every  $\alpha < \lambda$ , the type  $\text{tp}(d_\alpha/B \cup \{d_\beta : \beta < \alpha\})$  is  $\lambda$ -isolated

If we succeed, then clearly  $\bar{d}_\lambda = \bigcup_{i < \lambda} \bar{d}_i$  is a  $\lambda$ -construction of a  $\lambda$ -saturated model  $N$  over  $B$ .

Let  $\bar{d}_0 = \langle \rangle$ .

For  $i$  limit, take unions. For  $i = j + 1$ , let  $B_j = B \cup \bar{d}_j$ . Let the sequence  $\langle p_{j,\gamma} : \gamma \in [j, \lambda) \rangle$  list all the types over subsets of  $B_j$  of cardinality  $< \lambda$  (recall that  $\lambda = \lambda^{<\lambda}$ ). Now consider the sequence  $\langle p_{\ell,j} : \ell < i \rangle$ .

Recall that by induction,  $\bar{d}_j = \langle d_\alpha : \alpha < \alpha_j \rangle$ . Now for  $\ell < i$  and  $\alpha = \alpha_j + \ell$ , let  $d_\alpha$  realize  $p_{\ell,j}$  such that  $\text{tp}(d_\alpha/B \cup \{d_\beta : \beta < \alpha\}) \in S_*(B \cup \{d_\beta : \beta < \alpha\})$  is  $\lambda$ -isolated (this is possible by Proposition 6.2).

Clearly, setting  $\alpha_i = \alpha_j + i$ , the sequence  $\bar{d}_i = \langle d_\alpha : \alpha < \alpha_i \rangle$  is as required. ■

In the proof of Theorem 6.5, the assumption that  $\lambda = \lambda^{<\lambda}$  was only used in order to ensure that at any stage  $i < \lambda$  of the construction, the number of types over small subsets of  $B_i$  is bounded by  $\lambda$ . For a specific theory  $T$ , this assumption may hold for other cardinals  $\lambda$  (for instance, if  $T$  has a saturated model of cardinality  $\lambda$ ).

So for example, the same proof as above gives the following stronger result:

**Corollary 6.6.** *Let  $A$  be a stable set such that there exists a saturated model  $N$  of cardinality  $\lambda$  containing  $A$  with  $P^N = P^A$ . Then there exists  $M$ ,  $A \subseteq M \prec N$ ,  $M$  is  $\lambda$ -primary over  $A$ .*

## 7 From Stability of Models: Quantifier Free Definitions

The goal of this section is to establish the major technical tool of this paper: quantifier free definability of types orthogonal to  $P$  over stable sets. However, as we have already pointed out at the end of section 5, for this we will need an additional hypothesis.

In [She86], the first author has shown (see Theorems 2.10 and 2.12 there) that if there is an unstable *model*, then there is a forcing extension in which there are many  $M_i$  pairwise non-isomorphic with  $M_i|P = M_j|P$  (all of cardinality  $|P| > \aleph_0$ ). We will, therefore, following the Classification Theory guidelines, add yet another hypothesis to Hypothesis 2.7. Specifically, from now on we assume the following:

**Hypothesis 7.1.** (Hypothesis 2). *Every  $M \prec \mathcal{C}$  is stable over  $P$  (as in Definition 4.8(ii))*

Now we are ready to prove that \*-types over all stable sets (not just models) are quantifier free internally definable, and therefore are also definable in  $\mathcal{C}$  in the usual sense.

**Theorem 7.2.** *If  $A$  is stable,  $|A| \geq 2$ , then for every  $\psi(\bar{x}, \bar{y})$  there is a quantifier free  $\Psi_\psi(\bar{y}, \bar{z}) \in L(T)$  such that whenever  $B \equiv A$  and  $p \in S_*(B)$  then  $p|\psi$  is defined by  $\Psi_\psi(\bar{y}, \bar{d})$  for some  $\bar{d} \subseteq B$ , i.e.  $p|\psi = \{\psi(\bar{x}, \bar{a}) : \bar{a} \in B, B \models \Psi_\psi(\bar{a}, \bar{d})\}$ .*

*Proof:* Let  $\lambda = \lambda^{<\lambda}$ ,  $\lambda > |A| + |T|$  to make things simple.

Also note that if  $A$  is stable and  $\bar{c}$  is finite with  $tp(\bar{c}/A) \in S^n_*(A)$ , then  $A \cup \bar{c}$  is also stable (as every  $p(x) \in S^1_*(A \cup \bar{c})$  gives rise to some type  $q(\bar{x}) \in S^{n+1}_*(A)$ .)

Let  $A, p$  be a counterexample, and  $\bar{c}$  realize  $p$ . We can find  $B$  saturated of power  $\lambda$  such that  $(\mathcal{C}|(A \cup \bar{c}), A, \bar{c}) \prec (\mathcal{C}|(B \cup \bar{c}), B, \bar{c})$ . Clearly  $B, \bar{c}$  form a counterexample too, and in particular  $tp(\bar{c}/B) \in S_*(B)$ . We will arrive at a contradiction by showing how to construct the required quantifier free definition. By Proposition 4.13 there is a model  $M, P^M \subseteq B \cup \bar{c} \subseteq M$ .  $Th(M, B, \bar{c})$  has a saturated model of power  $\lambda$  preserving the relevant properties. So without loss of generality  $(M, B, \bar{c})$  is saturated and  $|M| = |B| = \lambda$ .

By Theorem 6.5 and Remark 6.4, there is a  $\lambda$ -saturated model  $N, P^N \subseteq B \subseteq N$  such that for every  $\bar{d} \subseteq N$ ,  $tp(\bar{d}, B)$  is  $\lambda$ -isolated, say over  $B_{\bar{d}} \subseteq B$ ,  $|B_{\bar{d}}| < \lambda$ , and a construction  $N = \{d_i : i < \lambda\}$  such that  $tp\{d_i/B \cup \{d_j : j < i\}\}$  is  $\lambda$ -isolated. In particular,  $N$  is  $\lambda$ -prime over  $B$ .

Hence we can embed  $N$  into  $M$  over  $B$ . So without loss of generality  $N \prec M$ , and in particular  $P^M = P \cap B = P \cap N = P^N$  and  $tp(\bar{c}/N) \in S_*(N)$ .

Hence there are formulas  $\Psi_\psi(\bar{x}, \bar{e}_\psi) \in L(T)$ ,  $\bar{e}_\psi \subseteq N$  defining  $tp_\psi(\bar{c}/N)$  for  $\psi \in L$ . Let  $E = \bigcup_{\psi \in L(T)} \bar{e}_\psi \subseteq N$  and  $B^* = \bigcup_{\bar{d} \subseteq E} B_{\bar{d}}$ . So  $|E| \leq |T|$  and  $|B^*| < \lambda$ .

Now, if  $\bar{b}_1, \bar{b}_2 \in B$  realize the same type over  $B^*$  (in  $\mathcal{C}$ ), then they realize the same type over  $B^* \cup E$  by choice of  $E$ . Hence, they realize the same type over  $B^* \cup E \cup \bar{c}$ .

For  $\psi \in L(M, B)$  let

$$\Gamma_\psi = \{\psi(\bar{c}, \bar{y}_1) \equiv \neg\psi(\bar{c}, \bar{y}_2)\} \cup \{\chi(\bar{y}_1, \bar{d}) \equiv \chi(\bar{y}_2, \bar{d}) : \chi \in L(T), \bar{d} \subseteq B^*\} \cup \{\bar{y}_1 \bar{y}_2 \subseteq B\}$$

By the previous observation  $\Gamma_\psi$  is not realized in  $(M, B)$ . By the fact that  $(M, B)$  is  $\lambda$ -saturated, and  $|\Gamma_\psi| < \lambda$ , it is inconsistent. By compactness there are  $\chi_1, \dots, \chi_n \in L(T)$  and  $\bar{d}_1, \dots, \bar{d}_n \in B^*$  such that

$$\Gamma_\psi^1 = \{\psi(\bar{c}, \bar{y}_1) \equiv \neg\psi(\bar{c}, \bar{y}_2)\} \cup \{\bar{y}_1 \bar{y}_2 \subseteq B\} \cup \{\chi_l(\bar{y}_1, \bar{d}_l) \equiv \chi_l(\bar{y}_2, \bar{d}_l) : l = 1, \dots, n\}$$

is inconsistent.

So we can define  $tp_\psi(\bar{c}/B)$  since

$$\models \psi(\bar{c}, \bar{b}) \Leftrightarrow [\{l : 1 \leq l \leq n, \models \chi_l(\bar{b}, \bar{d}_l)\} \text{ is in } P^*]$$

for some appropriate  $P^* \subseteq \mathcal{P}\{1, \dots, n\}$ . Now apply compactness as in [Sh:c, II§2].  $\blacksquare$

Note that we have used the assumption that models are stable in the proof.

**Theorem 7.3.** *Let  $A$  be complete and  $\lambda = \lambda^{<\lambda}$ . The following are equivalent:*

- (i)  *$A$  is stable.*
- (ii) $_\lambda$  *If  $A' \equiv A$  is  $\lambda$ -saturated,  $\lambda = |A'| > |T|$ , then over  $A'$  there is a  $\lambda$ -primary model  $M$ .*
- (iii) $_\lambda$  *If  $A' \equiv A$  is  $\lambda$ -saturated,  $\lambda > |T|$ , then every  $m$ -type  $p$  over  $A$ ,  $|p| < \lambda$  can be extended to a  $\lambda$ -isolated  $q \in S_*(A')$ .*
- (iv) *For every  $A' \equiv A$  and  $p \in S_*(A)$  and  $\phi \in L(T)$ ,  $p|\phi$  is definable by some  $\Psi_\phi(\bar{y}, \bar{a}), \bar{a} \subseteq A, \Psi_\phi \in L(T)$ .*
- (v) *There is some collection  $\langle \Psi_\phi ; \phi \in L \rangle$  such that for every  $A' \equiv A, p \in S_*(A')$  and  $\psi \in L(T), p|\psi$  is definable by  $\Psi_\psi(\bar{y}, \bar{a})$  for some  $\bar{a} \in A'$ .*

So (ii) $_\lambda$ , (iii) $_\lambda$  do not depend on  $\lambda$ .

*Proof:* Included in the proofs of Theorem 7.2, Lemma 6.1, and Theorem 6.5.  $\blacksquare$

**Theorem 7.4.** (*T countable*) *If  $A$  is stable,  $\bar{a} \in A$ , and  $\models \exists x \theta(\bar{x}, \bar{a})$ , then there is  $p \in S_*(A)$  such that  $\theta(\bar{x}, \bar{a}) \in p$  and for every  $\phi \in L(T)$  there is  $\psi(\bar{x}, \bar{a}') \in p$  such that  $\psi(\bar{x}, \bar{a}') \vdash p|\phi$  (i.e.  $p$  is locally isolated, i.e.  $\mathbf{F}_{\aleph_0}^l$ -isolated. So the locally isolated types are dense in  $S_*(A)$ .)*

*Proof:* Again this is contained in the proofs of Theorem 7.2 and Lemma 6.1.  $\blacksquare$

## 8 Stationarization and Independence

The following definition mimics the Tarski-Vaught criterion, when one does not demand  $A, B \prec \mathcal{C}$ .

**Definition 8.1.**  $A \subseteq_t B$  if for every  $\bar{a} \in A, \bar{b} \in B$  and  $\psi \in L(T)$  such that  $\models \psi(\bar{b}, \bar{a})$  there is some  $\bar{b}' \subseteq A$  such that  $\models \psi(\bar{b}', \bar{a})$

As a simple example, note that  $A$  is complete if and only if  $A \cap P \subseteq_t P$ .

We can now define “free” (“non-forking”) extensions for  $*$ -types over stable sets. Such extensions will be defined only to supersets that are “elementary extensions” in the sense defined above.

The use of the term “non-forking” above is not just by analogy with classical stability theory, but (at least under certain circumstances, e.g., when  $A$