

**Question 2.6.** For  $\psi = \psi(\bar{x}, \bar{y})$ ,  $\bar{c} \in \mathcal{C}$ , is  $\text{tp}_\psi(\bar{c}/P^{\mathcal{C}})$  definable?

Recall that  $\text{tp}_\psi(\bar{c}/A) = \{\psi(\bar{x}, \bar{a}) : \bar{a} \in A, \mathcal{C} \models \psi(\bar{c}, \bar{a})\}$  and  $p = \text{tp}_\psi(\bar{c}/A)$  is definable if it is definable by some  $\theta(\bar{y}, \bar{d})$  with  $\bar{d} \in A$ , which means that for every  $\bar{a} \in A$  we have  $\psi(\bar{x}, \bar{a}) \in p \iff \mathcal{C} \models \theta(\bar{a}, \bar{d})$ . We restrict ourselves to  $\psi$ -types in order to be able to use compactness arguments.

Again, it is shown in [PS85] that if the answer to this question is “no”, then  $I(\lambda) \geq \text{Ded}(\lambda)$ . The two questions are closely related (the second one is a version of the first one with external parameters), and the proofs are similar, and, in fact, both work for pseudo-elementary classes.

In conclusion, for the rest of the paper we make the following assumptions:

**Hypothesis 2.7. (Hypothesis 1)**

*T is a complete first order theory , P a monadic predicate in the language of T, C is the monster model of T such that*

- (i) *Subsets  $P^{\mathcal{C}}$  that are 0-definable (in C), are already 0-definable in  $\mathcal{C}|_P$ .*
- (ii) *Every type over  $P^{\mathcal{C}}$  is definable.*

Later we shall add an additional clause to this hypothesis regarding quantifier elimination of T; see Hypothesis 4.14.

For simplicity we also make the set theoretic assumption that for arbitrarily large  $\lambda$ , we have  $\lambda^{<\lambda} = \lambda$  (in particular, T has arbitrarily large saturated models). Note that for any conclusion we draw which says something about every  $\lambda$ , this hypothesis can be eliminated.

### 3 Internal and external definability

In this section we observe several basic consequences of Hypothesis 1.

First we reformulate the assumption that every type over  $P^{\mathcal{C}}$  is definable in the following obviously equivalent way: every externally definable subset of  $P^{\mathcal{C}}$  is also internally definable in  $\mathcal{C}|_P$  (with parameters in  $P^{\mathcal{C}}$ ).

**Observation 3.1.** (i) *Let  $\varphi(\bar{x}, \bar{a})$  define (in C) a subset of  $P^{\mathcal{C}}$ . Then there exists a formula  $\theta(\bar{x}, \bar{b})$  with  $\bar{b} \in P^{\mathcal{C}}$  that defines the same set. Moreover, there exists a formula  $\theta(\bar{x}, \bar{b})$  that defines the same set in  $\mathcal{C}|_P$ .*

(ii) *Furthermore, for any  $A \subseteq P^{\mathcal{C}}$  such that  $\text{tp}(\bar{a}/P^{\mathcal{C}})$  is definable over A,  $\theta(\bar{x}, \bar{b})$  in the previous clause can be chosen so that  $\bar{b} \in P^A$ .*

*Proof:* By Hypothesis 2.7(ii),  $\text{tp}(\bar{a}/P^{\mathcal{C}})$  is definable. Hence there is  $\hat{\theta}(\bar{x}, \bar{b})$  with  $\bar{b} \in P^{\mathcal{C}}$  such that  $\mathcal{C} \models \forall \bar{x} (\hat{\theta}(\bar{x}, \bar{b}) \longleftrightarrow \varphi(\bar{x}, \bar{a}))$ . By Hypothesis 2.7(i), there exists  $\theta(\bar{x}, \bar{y})$  such that for every  $\bar{c}, \bar{d} \in P^{\mathcal{C}}$  we have  $\mathcal{C} \models \hat{\theta}(\bar{c}, \bar{d})$  if and only if  $\mathcal{C}|_P \models \theta(\bar{c}, \bar{d})$ . Clearly  $\theta(\bar{x}, \bar{b})$  is as required (in both clauses of the Observation). ■

**Corollary 3.2.** (i) Let  $p(\bar{x})$  be a (partial) type over  $\mathcal{C}$  such that  $P(\bar{x}) \in p$ . Then  $p$  is equivalent to a  $T^P$ -type  $p'$  over  $P^{\mathcal{C}}$ .

(ii) Let  $A$  be a set. Assume that for every  $\bar{a} \in A$  the type  $\text{tp}(\bar{a}/P^{\mathcal{C}})$  is definable over  $P^A = P^{\mathcal{C}} \cap A$ . Let  $p$  be a (partial) type over  $A$  with  $P(x) \in p$ . Then  $p$  is equivalent to a ( $T$ -)type  $\hat{p}$  over  $P^A$ , and to a  $T^P$ -type  $p'$  over  $P^A$ .

The following corollary again follows immediately, but is very useful.

**Observation 3.3.** Let  $A$  be a set such that for every  $\bar{a} \in A$  the type  $\text{tp}(\bar{a}/P^{\mathcal{C}})$  is definable over  $P^A$ . Let  $\lambda$  be a cardinal.

(i) Assume that  $\mathcal{C}|_{P^A}$  is a  $\lambda$ -saturated (or just  $\lambda$ -compact) model of  $T^P$ . Then every  $T$ -type of size  $< \lambda$  over  $P^A$  which is finitely satisfiable in  $P^A$  is realized in  $P^A$ .

In fact, it is enough to assume that any  $T^P$ -type of size  $< \lambda$  over  $P^A$  which is finitely satisfiable in  $P^A$  is realized in  $P^A$  (so  $\mathcal{C}|_{P^A}$  does not need to be itself a model of  $T^P$ ).

(ii) If  $P^A$  satisfies the conclusion of the clause (i), then every type  $p$  of size  $< \lambda$  over  $A$  with  $P(x) \in p$  is realized in  $P^A$ .

*Proof:* For clause (i), let  $p$  is a  $T$ -type of size  $< \lambda$  over  $P^A$  finitely satisfiable in  $P^A$ . By Corollary 3.2(ii), it is equivalent to a  $T^P$ -type over  $P^A$ , and so is realized in  $P^A$ . For clause (ii), note that, again by Corollary 3.2(ii), such a type  $p$  is equivalent to a type of size  $< \lambda$  over  $P^A$  (and clearly it is finitely satisfiable in  $P^A$ ). ■

Let us formulate a simple version of the last Observation, which will be particularly useful:

**Corollary 3.4.** Let  $A$  be a set such that for every  $\bar{a} \in A$  the type  $\text{tp}(\bar{a}/P^{\mathcal{C}})$  is definable over  $P^A$ . Let  $\lambda$  be a cardinal, and assume that  $\mathcal{C}|_{P^A}$  is a  $\lambda$ -saturated model of  $T^P$ . Then every  $T$ -type  $p$  of size  $< \lambda$  over  $A$  with  $P(x) \in p$  is realized in  $P^A$ .

**Remark 3.5.** In the rest of the paper, we will prove claims about sets and models under the assumption that their  $P$ -part is  $\lambda$ -saturated. As a matter of fact, all we'll need in these claims is the conclusion of Observation 3.3 (i). So in particular assuming that  $P^A$  is a “ $\lambda$ -compact subset” of  $\mathcal{C}$  (every  $T$ -type of size  $< \lambda$  which is finitely satisfiable in  $P^A$  is realized in  $P^A$ ) is enough. But we will not focus on this.

A perhaps less obvious consequence of Hypothesis 1 is that  $T$  can be Morleyized without much additional cost.

In classical Classification Theory (classifying first order theories), assuming that  $T$  has quantifier elimination often comes without much cost (e.g., by Morleyization). However, in our case, this assumption may appear less harmless. Indeed, in general, if  $\mathcal{C}$  is expanded with new predicates, then so is

$\mathcal{C}|_P$ , potentially enhancing the theory  $T^P$ , hence changing the original classification problem. Fortunately, since Morleyzation of  $T$  does not add new 0-definable sets to  $\mathcal{C}$ , if Hypothesis 1 is true, no new 0-definable sets are added to  $\mathcal{C}|_P$  either. In other words:

**Observation 3.6.** *Let  $T'$  is the Morleyzation of  $T$ , and let  $\mathcal{C}'$  be the expanded monster model (of  $T'$ ). Let  $T'^P$  be the theory of  $\mathcal{C}'|_P$ . Then  $T'^P$  is a trivial expansion of the Morleyzation of  $T^P$  (that is, it is an expansion that adds no new 0-definable sets).*

*Proof:* On the one hand, if  $\theta(\bar{x})$  be a  $T_P$ -formula, let  $\theta^P(\bar{x})$  be the formula that defines the same subset of  $P^{\mathcal{C}}$  in  $\mathcal{C}$  (as in Remark 2.2). Let  $R(\bar{x})$  be a  $T'$ -predicate equivalent (modulo  $T'$ ) to  $\theta^P(\bar{x})$ ; then it also defines the same subset of  $\mathcal{C}'_P$ , hence is equivalent to  $\theta(\bar{x})$  modulo  $T'^P$ . In conclusion,  $T'_P$  expands the Morleyzation of  $T^P$  (this part is, of course, always true).

On the other hand, let  $R(\bar{x})$  be a new predicate in  $T'^P$ . Then it is equivalent modulo  $T'$  to a  $T$ -formula

$$\bar{x} \subseteq P \bigwedge \varphi(\bar{x})$$

By Hypothesis 1, there is a  $T^P$ -formula  $\theta(\bar{x})$  (without parameters) defining the same subset of  $P^{\mathcal{C}}$ . Therefore any  $T'_P$  formula  $\theta'(\bar{x})$  is equivalent to a  $T_P$  formula, as required. ■

We conclude this section with a few trivial consequences of quantifier elimination in  $T$ . Some more interesting ones will be discussed in the next section (e.g., Lemma 4.5).

First we note that some of the observations above become trivially true for any substructure of  $\mathcal{C}$  (which in our case just means a set containing all the individual constants), for example:

**Remark 3.7.** *Assume that  $T$  has QE and let  $A$  be a substructure of  $\mathcal{C}$ .*

- (i) *Any externally definable subset of  $A$  is definable internally in  $\mathcal{C}|_A$ . A  $T$ -type over  $A$  is also a type in  $\mathcal{C}|_A$ .*
- (ii) *If  $\mathcal{C}|_A$  is  $\lambda$ -compact, that is, it is a  $\lambda$ -compact model of the theory  $\text{Th}(\mathcal{C}|_A)$ . Then every  $T$ -type of size  $< \lambda$  which is finitely satisfiable in  $A$  is realized in  $A$ .*

Quantifier elimination also adds to the understanding of  $T^P$ :

**Remark 3.8.** *Assume that  $T$  has QE and let  $A$  be a substructure of  $\mathcal{C}$ .*

- (i)  *$T^P$  has QE.*
- (ii) *Every subset of  $P^{\mathcal{C}}$  definable in  $\mathcal{C}$  (with or without parameters) is definable by the same quantifier free formula (with or without parameters, respectively) both in  $\mathcal{C}$  and in  $\mathcal{C}|_P$ .*
- (iii) *Corollary 3.2(ii) can be strengthened to: both  $\hat{p}$  and  $p'$  are equivalent to the same quantifier free type.*