

# Classification of SD-OCT Volumes with LBP: Application to DME Detection

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## Abstract

This paper addresses the problem of automatic classification of Spectral Domain OCT (SD-OCT) data for automatic identification of patients with Diabetic Macular Edema (DME) versus normal subjects. Our method is based on Local Binary Patterns (LBP) features to describe the texture of Optical Coherence Tomography (OCT) images and we compare different LBP features extraction approaches to compute a single signature for the whole OCT volume. Experimental results with two datasets of respectively 32 and 30 OCT volumes show that regardless of using low or high level representations, features derived from LBP texture have highly discriminative power.

Moreover, the experiments show that the proposed method achieves better classification performances than other recent published works.

**Keywords:** Diabetic Macular Edema, Optical Coherence Tomography, DME, OCT, LBP

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<sup>☆</sup>Document source available in GitHub [1]

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## 1. Introduction

Eye diseases such as Diabetic Retinopathy (DR) and Diabetic Macular Edema (DME) are the most common causes of irreversible vision loss in individuals with diabetes. Just in United States alone, health care and associated costs related to eye diseases are estimated at almost \$500 M [2]. Moreover, the prevalent cases of DR are expected to grow exponentially affecting over 300 M people worldwide by 2025 [3]. Early detection and treatment of DR and DME play a major role to prevent adverse effects such as blindness. Indeed, the detection and diagnosis of retinal diseases are based on the detection of vascular abnormalities or lesions in the retina.

In past decades, Computer Aided Diagnosis systems devoted to ophthalmology, have been developed focusing on the automatic analysis of fundus images [4, 5]. However, the use of fundus photography is limited to the detection of signs which are correlated with retinal thickening such as hard and soft exudates, hemorrhages or micro-aneurysms. However, DME is characterized as an increase in retinal thickness within 1 disk diameter of the fovea center with or without hard exudates and sometimes associated with cysts [6]. Therefore, fundus photography cannot always identify the clinical signs of DME; for example cysts, which are not visible in the retinal surface. In addition, it does not provide any quantitative measurements of retina thickness or information about cross-sectional retinal morphology.

Recently, Optical Coherence Tomography (OCT) has been widely used as a valuable diagnosis tool for DME detection. OCT is based on optical reflectivity and produces cross-sectional and three-dimensional images of the central retina, thus allowing quantitative retinal thickness and structure measurements. The new generation of OCT imaging, namely Spectral Domain OCT (SD-OCT) offers higher resolution and faster image acquisition over conventional time domain OCT. SD-OCT can produce 27,000 to 40,000 A-scans/seconds with an axial resolution ranging from 3.5  $\mu\text{m}$  to 6  $\mu\text{m}$  [7].

Many of the previous works on OCT image analysis have focused on the

I don't think that's the way to introduce it

problem of retinal layers segmentation, which is a necessary step for retinal thickness measurements [8, 9]. However, few have addressed the specific problem of DME and its associated features detection from OCT images.

In this research we focus on the latter problem and propose an automatic  
35 framework for identification of DME patients versus normal subjects using OCT volumes. The proposed method, which is an extension of our previous work [10], is based on Local Binary Patterns (LBP) features to describe the texture of OCT images and dictionary learning using the Bag-of-Words (BoW) models [11]. We propose to extract 2D and 3D LBP features from OCT images and volumes, re-  
40 spectively. The LBP descriptors are further extracted from the entire sample or local patches within individual samples. In this research beside the comparison of 2D and 3D features, we also compare the effects of common pre-processing steps for OCT data, and different classifiers.

In the following of this paper, first in Sect. 2 a summary of the related studies  
45 is presented.

This paper is organized as follows, Section 2 presents a summary of the related studies. The proposed framework is explained in Sect. 3, while the experiments and results are discussed in Sect. 4. Finally, the conclusion and avenue for future directions are drawn in Sect. 5.

## 50 2. Related Work

This section reviews the works straightly addressing the problem of classifying OCT volumes as normal or abnormal. A summary can be found in 1.

Srinivasan *et al.* [12] proposed a classification method to distinguish DME, Age-related Macular Degeneration (AMD) and normal SD-OCT volumes. The  
55 OCT images are pre-processed by reducing the speckle noise by enhancing the sparsity in a transform-domain and flattening the retinal curvature to reduce the inter-patient variations. Then, Histogram of Oriented Gradients (HOG) are extracted for each slice of a volume and a linear Support Vector Machines (SVM) is used for classification. On a dataset of 45 patients equally subdivided into the

three aforementioned classes, this method leads to a correct classification rate of 100%, 100% and 86.67% for normal, DME and AMD patients, respectively.

Venhuizen *et al.* proposed a method for OCT images classification using the BoW models [13]. The method starts with the detection and selection of keypoints in each individual B-scan, by keeping the most salient points corresponding to the top 3% of the vertical gradient values. Then, a texton of size  $9 \times 9$  pixels is extracted around each keypoint, and Principal Component Analysis (PCA) is applied to reduce the dimension of every texton to get a feature vector of size 9. All extracted feature vectors are used to create a codebook using *k*-means clustering. Then, each OCT volume is represented in terms of this codebook and is characterized as a histogram that captures the codebook occurrences. These histograms are used as feature vector to train a Random Forest (RF) with a maximum of 100 trees. The method was used to classify OCT volumes between AMD and normal cases and achieved an Area Under the Curve (AUC) of 0.984 with a dataset of 384 OCT volumes.

Liu *et al.* proposed a methodology for detecting macular pathology in OCT images using LBP and gradient information as attributes [14]. The method starts by aligning and flattening the images and creating a 3-level multi-scale spatial pyramid. The edge and LBP histograms are then extracted from each block of every level of the pyramid. All the obtained histograms are concatenated into a global descriptor whose dimensions are reduced using PCA. Finally a SVM is used as classifier. The method achieved good results in detection OCT scan containing different pathology such as DME or AMD, with an AUC of 0.93 using a dataset of 326 OCT scans.

Our later study proposes a standard classification procedure to differentiate between DME and normal SD-OCT volumes [1] The data is pre-processed using Non-Local Means (NL-means) filtering. The volumes are mapped into discrete set of structures namely: local, when these structures correspond to patches; or global, when the structures correspond to volume slices or the whole volume. These structures are described in terms of texture using LBP or LBP from Three Orthogonal Planes (LBP-TOP) and encoded using histogram, PCA or

BoW to produce a single feature vector in order to present the volumes to a RF classifier. This methodology was tested against Venhuizen *et al.* [13] using public and non-public datasets showing an improvement within the results achieving a Sensitivity (SE) of 87.5% and a Specificity (SP) of 75%. The obtained results  
95 of this study is listed in Sect. 4.

As stated in previous section, this research is a continue of our previous work, where we intend to evaluate the influence of different pre-processing, BoW representation and various classifiers. Our proposed pipeline with detail description of each step is presented in the following section.

Table 1: The summary of the state of the art methods

Table 11: A summary of the state of the art in the literature												
Ref	Task		Data size	Pre-processing			Features	Representation	Classifier	Evaluation		
	AMD	DME		Normal	De-noise	Flatten				Aligning	Cropping	SE
[12]	✓	✓	45	✓	✓		✓	HOG	SVM	86.7%,100%,100%		
[13]	✓		384					textron	RF			0.984
[14]	✓	✓	326		✓	✓		Edge, LBP	SVM			0.93
[10]		✓	32	✓				LBP-LBP-TOP	RF	87.5%	75%	
								PCA, BoW, histogram				

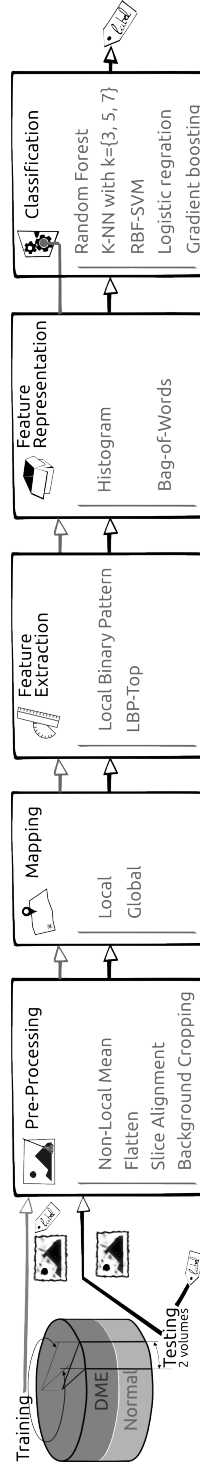


Figure 1: take out bg crop<sup>sik</sup> Machine learning classification basic scheme

### 100 3. Materials and Methods

The proposed method, as well as, its experimental set-up for OCT volume classification are outlined in Fig. 1. The methodology is formulated as a standard classification procedure, through 5 steps. First, the OCT volumes are pre-processed as presented in details in Sect. 3.1. Then toward a final descriptor 105 LBP and LBP-TOP features are extracted with different mapping strategy and represented using two approach. The feature extraction, mapping, and representation are presented in depth in Sect. 3.2, Sect. 3.3, and Sect. 3.4, respectively. Finally the classification step is presented in Sect. 3.5.

#### 110 3.1. Image pre-processing

This section describes set of pre-processing techniques which are provided by our framework. These approaches can be arbitrarily combined. Combinations of these technologies and the influence of these combinations are reported in experimentation section 4.

##### 115 3.1.1. Non-Local Means (NL-means)

OCT images, like other image modalities such as Ultra-Sound (US), suffer from speckle noise [15]. Considering that NL-means [16] has been successfully applied to US images [17] to reduce speckle, and our preliminary study in OCT images [1], NL-means filtering is used here to denoise each B-scan (i.e. each  $x - z$  slice) of the OCT volumes (see in Fig. 2(a)). ~~OCT images are known to be affected by a speckle noise [15]. Subsequently, NL-means [16] filtering has been successfully used in US images to filter similar noise [17] and is used in our framework to denoise each B-scan (i.e. each  $x - z$  slice) of the OCT volumes (see in Fig. 2(a)). In this regard (For this reason, Due to this reason), we use the~~ 120 ~~NL-means filtering which has been successfully used on US images to remove similar noise [17]. This filter is applied on each B-scan (i.e. each  $x - z$  slice) for the OCT volumes (see in Fig. 2(a)).~~<sup>sik</sup> NL-means filtering offers the advantage to use all the possible self-predictions that the image can provide rather than

Mention texture here, rework where the sections are called

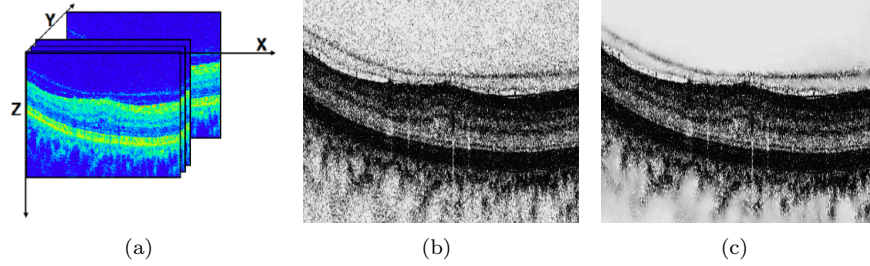


Figure 2: OCT: (a) Organization of the OCT data - (b) Original image - (c) NL-means filtering.

local or frequency filters such as Gaussian, anisotropic or Wiener filters [16]. An  
 130 example of filtering using NL-means filter on OCT image is depicted in Fig 2(b)  
 and Fig. 2(c).

### 3.1.2. Flatten

Texture descriptors characterize spatial arrangement of intensities. There-  
 fore, to ensure a consistent characterization of the tissue disposition regardless  
 135 of the location within the retina, the natural curvature of the retina needs to  
 be taken into account. This can be done in different manners:

- using a descriptor allowing for a wide number of textures, so that each  
 texture orientation accounts for each own descriptor.
- using a rotation invariant descriptor.
- 140 • by unfolding the curvature of the retina.

This process of unfolding the curvature of the retina is known as image  
 flattening. When flattening, an estimation of the Retinal Pigment Epithelium  
 (RPE) layer is used to modify the volume by imposing that the RPE should be  
 flat. Our implementation modifies the proposal of Liu *et al.* [14], as illustrated in  
 145 Figure 3. Otsu thresholding is used to segment the retina from the background.  
 A line is fitted to the bottom part of the segmentation hull, since it is assumed  
 to be parallel to the RPE. The image is corrected based on this line.



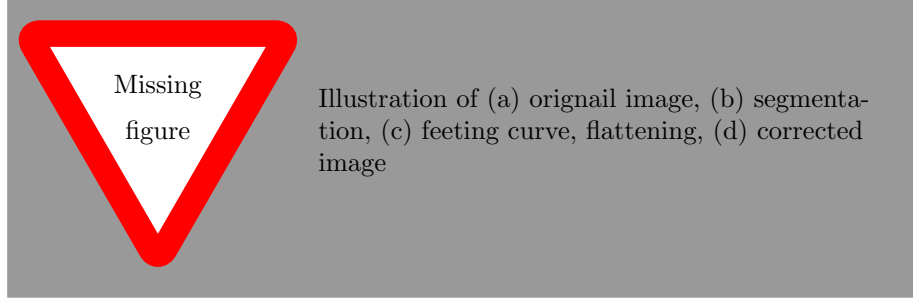


Figure 3:

### 3.1.3. Slice alignment

Similarly, when using 3D texture, misalignment between the slice introduce  
 150 error to the texture descriptor. In this case the slices are also aligned based on  
 the segmentation's hull.

### 3.2. Features extraction

This a generic description. Shall we place it for our images with some picture? (maybe we don't have time)

In this research we chose to extract simple and efficient LBP texture features  
 155 with regards to each OCT slice and volumes. LBP is a texture descriptor based  
 on the signs of the differences of a central pixel with respect to its neighboring  
 pixels [18]. These differences are encoded in terms of binary patterns as  
 in Eq. (1):

$$LBP_{P,R} = \sum_{p=0}^{P-1} s(g_p - g_c) 2^p, \quad s(\cdot) = \begin{cases} 1 & \text{if } (g_p - g_c) \geq 0 \\ 0 & \text{otherwise} \end{cases}, \quad (1)$$

where  $g_c$ ,  $g_p$  are the intensities of the central pixel and a given neighbor pixel,  
 160 respectively.  $P$  is the number of sampling points in the circle of radius  $R$ .  
 Figure ?? illustrates the meaning of  $P$  and  $R$ .

Ojala *et al.* further extend the original LBP formulation to achieve rotation  
 invariance at the expense of limiting the texture description to the notion of

Table 2: length of  $LBP_{hist}$  for different sampling points and radius ( $\{S, R\}$ ) in LBP descriptor

	Sampling point in a given radius ( $\{S, R\}$ )		
	$\{8, 1\}$	$\{16, 2\}$	$\{24, 3\}$
$LBP_{hist}$	10	18	26

circular “uniformity” [18]. Volume encoding is later proposed by Zhao *et al.* by  
165 computing LBP descriptors in each orthogonal planes, so called LBP-TOP [19].

In this research we consider uniform and rotation invariant LBP and LBP-TOP features with various sampling points,  $\{8, 16, 24\}$ , with respect to different radius,  $\{1, 2, 3\}$ , respectively.

Table. 2 shows the length of uniform rotation invariant histogram ( $LBP_{hist}$ )  
170 for the used sampling point and radius.

### 3.3. Mapping

The mapping stage is used to determine a discrete set of elements (or structures) which is used for representing the OCT volume. For this work two mapping strategies are defined: (i) *global* and (ii) *local* mapping.

175 **Global** mapping considers to extract the features from the 2D B-scans for LBP and 3D volume for LBP-TOP. Therefore for a volume with  $d$  B-scans, the *global*-LBP feature leads to a final descriptor of size  $d \times LBP_{hist}$  and *global*-LBP-TOP feature returns the final descriptor of size  $3 \times LBP_{hist}$ . Here  $LBP_{hist}$  refers to the size of uniform and rotation invariant LBP  
180 histogram which it’s number of bins depends on the number of sampling points in a given neighborhood.

**Local** mapping extracts the features from a set of 2D patches for LBP and a set of sub-volumes for LBP-TOP. Considering  $(m \times m)$  patch (P) for 2D LBP  
185 and  $(m \times m \times m)$  sub-volume for LBP-TOP. Using these elements, the *local*-LBP approach provides a final descriptor of size  $(LBP_{hist} \times N \times d)$  while *local*-LBP-TOP results in a final descriptor of size  $3 \times LBP_{hist} \times$

$N' \times \frac{m}{d}$ . Here  $N$  and  $N'$  are the total number of elements in each B-scan, and 3D volume, respectively.

190 For the sake of clarification, the length of final descriptor for *local* and *global* mapping of both LBP and LBP-TOP features with respect to the length of  $LBP_{hist}$  are listed in Table. 3.

Table 3: Final length of descriptors of LBP and LBP-TOP features, with respect to different mapping strategies and  $LBP_{hist}$  number of bins for various sampling point. Here  $d$  is the number of B-scans per volumes and  $N$  and  $N'$  are the number of patches per B-scan and sub-volumes per volumes, respectively.

Mapping	LBP			LBP-TOP		
	{8, 1}	{16, 2}	{24, 3}	{8, 1}	{16, 2}	{24, 3}
<i>global</i>	$10 \times d$	$18 \times d$	$26 \times d$	$3 \times 10$	$3 \times 18$	$3 \times 26$
<i>local</i>	$10 \times N \times d$	$18 \times N \times d$	$26 \times N \times d$	$3 \times 10 \times N' \times \frac{m}{d}$	$3 \times 18 \times N' \times \frac{m}{d}$	$3 \times 26 \times N' \times \frac{m}{d}$

### 3.4. Feature representation

Each OCT volume can be described by its texture and we employed two  
195 strategies.

**Low-level representation** The texture descriptor of an OCT volume is defined as the concatenation of the LBP histograms. Therefore, for the LBP-TOP, the feature descriptor is computed through the concatenation of the LBP histograms of the three orthogonal planes and for the LBP, the  
200 descriptor is defined either through concatenation of the LBP histograms per each B-scan (*global*-mapping), or per each P (*local*-mapping).

**High-level representation** According to the chosen mapping strategy, the low-level representation can lead to a high dimensional feature space.  
205 High-level representation simplifies this high dimensional feature space into a more discriminant lower space. BoW approach is used for this purpose [11]. This model represents the features by creating a visual dictionary or ~~codebook~~“codebook”<sup>sik</sup>, from the set of low-level features. The set of low-level features are clustered using  $k$ -means to create the codebook

210 with  $k$  clusters or visual words. After creating the codebook, each of the training example is represented as a histogram of size  $k$ . The histogram is obtained by calculating the frequency of occurrences of each of the  $k$  words in the extracted features from the training example.

### 3.5. Classification

215 Classification corresponds to the mapping of a set of inputs  $\mathbf{x}$  into a set of categorical outputs  $\mathbf{y}$  using a linear or non-linear function  $f(\cdot)$ . In supervised learning methods, this function is defined by providing a training set of  $N$  samples  $\mathbf{x}_{tr}$  with their associated labels  $\mathbf{y}_{tr}$ . In the remainder of this section, we briefly summarize the supervised classification methods used in the experiments. Details regarding the parameters used in our experiments are provided in Sect. ??<sup>glm</sup>

**$k$ -Nearest Neighbor (NN)** is a non-parametric classification method in which an unlabeled feature vector  $x$  is assigned to the majority class of its  $k$  nearest-neighbors from the training set. To avoid a tie case, the parameter  $k$  is set to an odd number.

**Logistic Regression (LR)** is a linear classifier which uses the logistic function to estimate the probability of  $x$  to belong to a particular class  $c_i$  [20]. Thus, the posterior probability is expressed as:

$$p(c_i|x) = \frac{1}{1 + \exp(-w^T x)} \quad (2)$$

230 where  $w$  is a vector of the regression parameters to obtain a linear combination of the input feature vector  $x$ . The vector  $w$  can be inferred by finding the maximum likelihood estimates via optimization methods such as quasi-Newton method [21]. Once the vector  $w$  found, an unlabeled feature vector is assigned to the class which maximizes the posterior probability.

235 **Random Forest (RF)** is an ensemble of decision trees [22] which generalizes the classification process by applying two types of randomization: at the

tree level, each tree is fed by a bootstrap made of  $S'$  samples which are built from the original data of size  $S$  such that  $S = S'$ , and at the node level, a subset of feature dimensions  $m$  is randomly selected from the original dimension  $M$  such that  $m \ll M$ . The trees in RF are grown to their maximum length without any pruning. In the testing stage, each tree in the ensemble casts a unit vote in the final prediction and the final prediction is based on combination of all the votes.

**Gradient Boosting (GB)** is a reformulation of AdaBoost [23] in which the problem of finding an ensemble of real-valued weak learners is tackled as a numerical optimization [24]. A strong learner is built by iteratively finding the best pair of real-valued weak learner function and its corresponding weight which minimizes a given differentiable loss function. Common choice for weak learners is decision stumps or regression trees while the loss function is generally an exponential or logarithmic loss [25], minimized via gradient descent or quadratic approximation.

**Support Vector Machines (SVM)** is a sparse kernel classification method which aims at finding the best linear hyperplane which separates two classes by maximizing the margin between them [26]. SVM becomes a non-linear classifier by using the kernel trick [27] which consists in replacing each inner product by a non-linear kernel function such as Radial Basis Function (RBF) or polynomial kernels.

#### 4. Experiments and Validation

To evaluate the effects and influence of the different blocks composing our framework, an experimentation suit has been designed to test different configuration parameters evaluated in various datasets (see ??). Despite details regarding the data can be found in section 4.1 and section 4.2; and specific details of the experiments can be found in section 4.3 to section 4.6; henceforth details the experimentation aspects and the design decisions that are consistent across all the experimentation.

Unless stated otherwise, all the experiments are run using our own dataset (SERI) alone. Only for the sake of comparison some experiments are re-run on the Duke public dataset using our optimal configurations.

For all the experiments, LBP and LBP-TOP features are extracted for different sampling points of 8, 16, and 24 for radius of 1, 2, and 3, respectively. As previously mentioned, two different mapping strategies, *local* and *global*, are used, where for *local* mapping, we consider a  $(7 \times 7)$  patch (P) for 2D LBP and  $(7 \times 7 \times 7)$  sub-volume for LBP-TOP.

~~The pipeline in each experiment is~~<sup>sik</sup> All the experiments are evaluated using Leave-One-Patient Out Cross-Validation (LOPO-CV) strategy. In this validation, at each round a pair DME-normal volume is selected for testing while the rest of the volumes are used for training. The use of this method implies that no variance in terms of SE and SP can be reported. However, and despite this limitation, LOPO-CV has been employed due to the small size of the dataset.

The obtained results of all the experiments except experiment #2 is represented in terms of SE and SP. All the experiments are evaluated in terms of SE and SP, which are statistics driven from the confusion matrix (see Fig. 4) as stated in eq. (3). The SE evaluates the performance of the classifier with respect to the positive class, while the SP evaluate it's performance with respect to negative class.

$$SE = \frac{TP}{TP + FN} \quad SP = \frac{TN}{TN + FP} \quad (3)$$

Some experiments are complemented using Accuracy (ACC) and F1-score (F1). Accuracy is used to have a overall sense of classifier performance, and F1 is used to see the trade off between SE and precision. Equation. 4 shows the formulation of these two measurements.

$$ACC = \frac{TP + TN}{TP + TN + FP + FN} \quad F1 = \frac{2TP}{2TP + FP + FN} \quad (4)$$

Experimentation details can be found in section 4.3 to section 4.6 and summarized in ???. In general terms, all the experiments have been carried out

		Actual	
		A+	A-
Predicted	P+	True Positive (TP)	False Positive (FP)
	P-	False Negative (FN)	True Negative (TN)

Figure 4: Confusion matrix with truly and falsely positive detected samples (TP, FP) in the first row, from left to right and the falsely and truly negative detected samples (FN, TN) in the second row, from left to right.

using SERI dataset while *Experiment #1 section 4.3* has been complemented using Duke dataset for comparison purposes. This *Experiment #1 section 4.3* takes from the experimentation reported in [10] to evaluate the effects of different feature representations and compares the results to those obtained by Venhuizen *et al.* [13]. *Experiment #2 section 4.3* studies the effect of the codebook size in order to find the optimal number of words for our application when using BoW. *Experiment #3 section 4.5* studies the effect different pre-processing and classifiers.

are not we evaluating feature extraction?

~~The *global* and *local* extracted features are then presented in low or high level representation. As previously mentioned, BoW approach is used for high level representation.~~<sup>sik</sup>

~~Four experiments are presented in the reminder of this section. Experiment #1 presents the obtained results from our primary study [10] where we the framework was tested with one pre-processing, one classifier, and fixed number of visual words. In this regard in the next experiment #2, we perform a preliminary test in a search for the optimal number of “visual words” ( $k$ ). In experiment #3, using the obtained number of words, we test the performance of different classifiers with regards to different pre-processing steps for high-level represented features and finally in experiment #4 we compare the performance of different classifiers with respect to different pre-processing for low-level represented features.~~

~~Beside experiment #1, where the primary pipeline is tested on SERI and Duke dataset, the rest of the experiment are performed only on SERI dataset and for~~

the sake of the comparison, these three experiments are performed using all the  
aforementioned classifiers. However only the relevant part and results related  
to our experiment is represented within the paper, while the rest are mentioned  
in online repository.<sup>sik</sup>

#### 310 4.1. SERI-Dataset

This data was acquired by Singapore Eye Research Institute (SERI), using  
CIRRUS TM (Carl Zeiss Meditec, Inc., Dublin, CA) SD-OCT device. The  
datasets consist of 32 OCT volumes (16 DME and 16 normal cases). Each  
volume contains 128 B-sane with dimension of  $512 \times 1024$  pixels. All SD-OCT  
315 images are read and assessed by trained graders and identifies as normal or  
DME cases based on evaluation of retinal thickening, hard exudates, intraretinal  
cystoid space formation and subretinal fluid.

#### 4.2. Duke-Dataset

This data published by Srinivasan *et al.* [12] was acquired in Institutional Re-  
320 view Board-approved protocols using Spectralis SD-OCT (Heidelberg Engineer-  
ing Inc., Heidelberg, Germany) imaging at Duke University, Harvard University  
and the University of Michigan. This datasets consist of 45 OCT volumes (15  
AMD, 15 DME and 15 normal). In this study we only consider a subset of the  
original data containing 15 DME and 15 normal OCT volumes.

#### 325 4.3. Experiment #1

As previously stated, this experiment was performed with our primary frame-  
work which is presented in [10]. This experiment was performed to evaluate the  
effects of different features representation. In this experiment, the OCT volumes  
of our own dataset, were only de-noised using NL-means method and BoW ap-  
330 proach was used with fix number of words ( $k = 32$ ). Then the low or high level  
represented features were classified using RF classifier with 100 un-pruned trees.  
For the sake of comparison, the same classification were performed for the ex-  
tracted *global* and *local* LBP-TOP, and *local*-LBP features from Duke dataste.  
As previously mentioned, this dataset is provided with cropped volumes, which



335 vary in sizes. Thus it was impossible to create a descriptor based on the *global*-LBP features for this dataset. The obtained results from this experiment is listed in Table. 4.

Table 4: Experient #1 - Obtained results of classification using SERI and Duke datasets.

Features	SERI dataset						Duke dataset					
	$8^{riu2}$		$16^{riu2}$		$24^{riu2}$		$8^{riu2}$		$16^{riu2}$		$24^{riu2}$	
	SE	SP	SE	SP	SE	SP	SE	SP	SE	SP	SE	SP
<i>global</i> -LBP-TOP	56.2	62.5	<b>87.5</b>	<b>75.0</b>	68.7	68.7	80.0	93.3	73.3	86.6	73.3	86.6
<i>local</i> -LBP	<b>75.0</b>	<b>87.5</b>	81.2	75.0	68.7	62.5	<b>80.0</b>	<b>86.6</b>	<b>86.7</b>	<b>100</b>	93.3	86.6
<i>local</i> -LBP-TOP	62.5	68.7	56.2	37.5	37.5	43.7	80.0	86.6	86.6	86.6	60.0	80.0

Once again in order to evaluate the proposed pipeline the proposed method by Venhuizen *et al.* [13] was developed and tested on the two dataset. The comparison of the obtained results with the highest performance achieved by  
340 our framework is shown in Table. ??

Table 5: Experient #1 - Comparing the proposed method by [13] on SERI and Duke datasets.

Data sets	SERI		Duke	
	SE	SP	SE	SP
Venhuizen <i>et al.</i> [13]	61.5	58.8	71.4	68.7
$\{\textit{local-LBP}\}, 8^{riu}$	<b>75.0</b>	<b>87.5</b>	<b>86.6</b>	<b>100.0</b>
$\{\textit{global-LBP-TOP}\}, 16^{riu}$	<b>75.0</b>	<b>87.5</b>	80.0	86.6

#### 4.4. Experiment #2

This experiment, conducted on SERI dataset, is performed to find the optimal number of words for BoW high-level feature representation. *Global* and  
345 *local*-LBP and *local*-LBP-TOP feature descriptors are re-represented using BoW approach. In this experiment, the BoW algorithm is performed using various number of words in the range of  $\{10, 20, 30, \dots, 100, 200, \dots, 500, 1000\}$ . The words are randomly selected using *k*-means++ algorithm and the features are mapped to their nearest word to create the final clusters. In order to asses  
350 the effect of number of words, simple linear classifier such as LR is used. The number of words associated with highest ACC and F1 score, is selected as the optimum number of words. Table 6 shows the obtained results of this experiment. The optimum number of words and the achieved ACC and F1 of LR

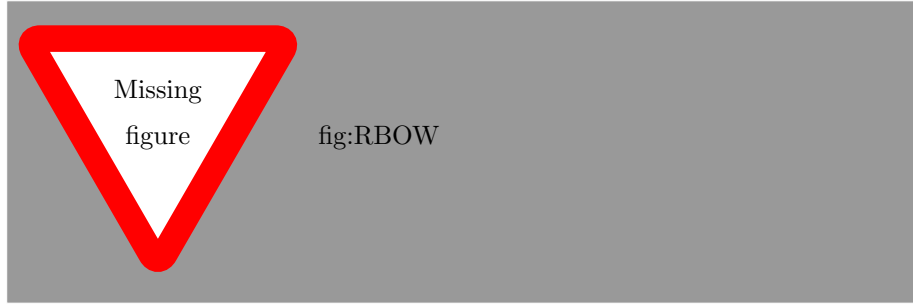


Figure 5:

classifier for different configurations are listed in this table.

Table 6: Experiment #2 - Optimum number of words for each configuration as a result of LR Classification, for high-level representation of *global* and *local*-LBP, and *local*-LBP-TOP features with different pre-processing. The pre-processing includes: NF, F, F+A, and F+A+C.

Features	Pre-processing	$8^{riu2}$			$16^{riu2}$			$24^{riu2}$		
		ACC%	F1%	W#	ACC%	F1%	W#	ACC%	F1%	W#
<i>global</i> -LBP										
	NF	81.2	78.5	500	62.5	58.06	80	62.5	62.5	80
	F	71.9	71	400	68.7	66.7	300	68.7	66.7	300
	F+A	71.9	71	500	71.9	71	200	75	68.7	500
<i>local</i> -LBP										
	NF	75	75	70	65.6	64.5	90	62.5	60	30
	F	75	73.3	30	71.8	61	70	62.5	62.5	100
	F+A	75	69	40	71.9	71	200	68.7	66.7	10
<i>local</i> -LBP-TOP										
	NF	68.7	68.7	400	75	75	500	71.9	71	60
	F	68.7	68.7	300	68.7	66.7	50	75	76.5	80
	F+A	75	73.3	100	75	73.3	90	75	69	70

Figure. 5 shows the obtained graphs for some of the configurations.

#### 4.5. Experiment #3

This experiment is performed for high-level features on SERI dataset. Using the optimum number of words which are obtained from the previous experiment, the low-level feature sets with regards to different pre-processing configurations are re-represented using BoW and  $k$ -means clustering approach and are classified using different classifiers such as  $k$ -NN, RF, GB, and SVM. The  $k$ -means algorithm is initialized using  $k$ -means++ method and is performed with 5 iteration for each codebook. The RF and GB classifier are trained using 100

365 un-pruned trees, while SVM classifier is trained with RBF kernel. The regularization and soft-margin parameters of this classifier are chosen with grid-search method. Finally the  $k$ -NN classifier is trained by considering the 3 nearest neighbor. Table 7 shows the obtained results from this experiment.

Table 7: Experiment #3 -  $k$ -NN and SVM classification with BoW for the *global* and *local* LBP and *local* LBP-TOP features with different pre-processing. The optimum number of words were selected based on the previous experiment.

Features	Pre-processing	$k$ -NN						SVM					
		8 <sup>riu2</sup>		16 <sup>riu2</sup>		24 <sup>riu2</sup>		8 <sup>riu2</sup>		16 <sup>riu2</sup>		24 <sup>riu2</sup>	
		SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%
<i>global</i> -LBP	NF	43.7	93.7	43.7	87.5	43.7	62.5	68.7	87.5	62.5	62.5	50.0	56.2
	F	43.7	56.2	50.0	75.0	62.5	56.2	56.2	56.2	56.2	75.0	56.2	68.7
	FA	56.2	62.5	43.7	81.2	68.7	56.2	56.2	68.7	68.7	68.7	56.2	75.0
<i>local</i> -LBP	NF	<b>75.0</b>	<b>87.5</b>	50.0	68.7	43.7	43.7	<b>75.0</b>	<b>93.7</b>	50.0	75.0	56.2	56.2
	F	56.2	56.2	50.0	50.0	50.0	43.7	<b>81.2</b>	<b>93.7</b>	68.7	68.7	68.7	75.0
	FA	56.2	43.7	50.0	75.0	50.0	62.5	<b>75.0</b>	<b>93.7</b>	75.0	68.7	68.7	68.7
<i>local</i> -LBP-TOP	NF	56.2	75.0	56.2	75.0	62.5	56.2	<b>81.2</b>	<b>87.5</b>	<b>75.0</b>	<b>100</b>	56.2	75.0
	F	62.5	43.7	37.5	68.7	43.7	62.5	<b>81.2</b>	<b>81.2</b>	75.0	68.7	81.2	68.7
	F+A	56.2	56.2	68.7	50.0	43.7	62.5	62.5	75.0	68.7	75.0	62.5	81.2
Features	Pre-processing	RF						GB					
		8 <sup>riu2</sup>		16 <sup>riu2</sup>		24 <sup>riu2</sup>		8 <sup>riu2</sup>		16 <sup>riu2</sup>		24 <sup>riu2</sup>	
		SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%
<i>global</i> -LBP	NF	<b>68.7</b>	<b>93.7</b>	43.7	62.5	50.0	68.7	56.2	50.0	37.5	31.2	50.0	43.7
	F	56.2	50.0	56.2	75.0	50.0	75.0	50.0	56.2	56.2	75.0	43.7	62.5
	FA	68.7	50.0	56.2	62.5	62.5	56.2	56.2	50.0	68.7	50.0	43.7	75.0
<i>local</i> -LBP	NF	<b>81.2</b>	<b>81.2</b>	62.5	56.2	56.2	56.2	75.0	62.5	68.7	87.5	50.0	75.0
	F	56.2	81.2	62.5	68.7	68.7	62.5	68.7	75.0	50.0	75.0	50.0	62.5
	FA	68.7	62.5	62.6	68.7	43.7	43.7	56.2	50.0	68.7	56.2	50.0	50.0
<i>local</i> -LBP-TOP	NF	68.7	62.5	<b>68.7</b>	<b>81.2</b>	68.7	68.7	37.5	68.7	62.5	81.2	62.5	50.0
	F	50.0	62.5	62.5	62.5	43.7	75.0	50.0	56.2	43.7	62.5	50.0	62.5
	F+A	50.0	62.5	<b>81.2</b>	<b>87.5</b>	50.0	68.7	56.2	62.5	81.2	68.7	75.0	68.7

#### 370 4.6. Experiment #4

This experiment is conducted for low-level features. The *global* LBP and LBP-TOP features are classified using the same classifiers as previous experiments with the same configurations. The obtained results from this experiment is listed in Table. 8.

375

Table 8: Experiment #4 - Classification results obtained from low-level representation of global LBP and LBP-TOP features with different pre-processing. Pre-processing steps include: NF, F, F+A. Different classifiers such as RF, GB, SVM, and  $k$ -NN are used.

Features	Pre-processing	$k$ -NN						$k$ -SVM					
		$8^{riu2}$		$16^{riu2}$		$24^{riu2}$		$8^{riu2}$		$16^{riu2}$		$24^{riu2}$	
		SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%
<i>global</i> -LBP													
	NF	37.5	50.0	25.0	50.0	37.5	68.7	56.2	62.5	56.2	43.7	56.2	68.7
	F	62.5	50.0	56.2	75.0	62.5	68.7	75.0	68.7	62.5	62.5	62.5	68.7
	F+A	56.2	50.0	56.2	75.0	62.5	68.7	75.0	68.7	62.5	62.5	62.5	68.7
-----													
<i>global</i> -LBP-TOP													
	NF	31.2	93.7	37.5	100.0	37.5	81.2	62.5	75.0	<b>62.5</b>	<b>93.7</b>	56.2	87.5
	F	50.0	56.2	56.2	75.0	56.2	62.5	68.7	75.0	43.7	68.7	68.7	56.2
	F+A	75.0	43.7	56.2	43.7	68.7	50.0	68.7	62.5	62.5	56.2	56.2	68.7
-----													
Features	Pre-processing	RF						GB					
		$8^{riu2}$		$16^{riu2}$		$24^{riu2}$		$8^{riu2}$		$16^{riu2}$		$24^{riu2}$	
		SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%	SE%	SP%
<i>global</i> -LBP													
	NF	43.7	62.5	43.7	62.5	56.2	75	43.7	43.7	43.7	37.5	37.5	31.25
	F	56.2	56.2	68.7	62.5	62.5	68.7	25	56.2	50.0	43.7	25.0	43.7
	F+A	65.2	56.2	50.0	50.0	56.2	68.7	43.75	62.5	62.5	50.0	31.2	31.2
-----													
<i>global</i> -LBP-TOP													
	NF	56.2	68.7	<b>68.7</b>	<b>87.5</b>	<b>68.7</b>	<b>81.2</b>	68.7	68.7	75.0	50.0	56.2	43.7
	F	56.2	62.5	81.2	68.7	<b>81.2</b>	<b>81.2</b>	56.2	62.5	62.5	68.7	68.7	81.2
	F+A	68.7	62.5	75.0	68.7	<b>75.0</b>	<b>81.2</b>	56.2	43.7	62.5	62.5	<b>75.0</b>	<b>75.0</b>

## 5. Conclusions

The work presented here addresses the automatic classification of SD-OCT data to identify subjects with DME versus normal. Based on the reported results, the low level volume 3D features and high level 2D features using patches  
380 achieve the most desirable results in the experimental setup presented here. The comparison against different datasets and methodologies, highlights that: regardless of using low or high level representations, volume signatures derived from LBP texture show high discriminative power for distinguishing DME vs normal volumes.

## 385 6. Future work

TOMORROW THE MOON !!

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