

# Classification of SD-OCT Volumes with LBP: Application to DME Detection

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**Abstract.** This paper addresses the problem of automatic classification of Spectral Domain OCT (SD-OCT) data for automatic identification of patients with Diabetic Macular Edema (DME) versus normal subjects. Our method is based on Local Binary Patterns (LBP) features to describe the texture of Optical Coherence Tomography (OCT) images and we compare different LBP features extraction approaches to compute a single signature for the whole OCT volume. Experimental results with two datasets of respectively 32 and 30 OCT volumes show that regardless of using low or high level representations, features derived from LBP texture have highly discriminative power. Moreover, the experiments show that the proposed method achieves better classification performances than other recent published works.

**Keywords:** Diabetic Macular Edema, Optical Coherence Tomography, DME, OCT, LBP.

## 1 Introduction

Eye diseases such as Diabetic Retinopathy (DR) and Diabetic Macular Edema (DME) are the most common causes of irreversible vision loss in individuals with diabetes. Just in United States alone, health care and associated costs related to eye diseases are estimated at almost \$500 M [19]. Moreover, the prevalent cases of DR are expected to grow exponentially affecting over 300 M people worldwide by 2025 [25]. Early detection and treatment of DR and DME play a major role to prevent adverse effects such as blindness. Indeed, the detection and diagnosis of retinal diseases are based on the detection of vascular abnormalities or lesions in the retina.

In past decades, Computer Aided Diagnosis (CAD) systems devoted to ophthalmology, have been developed focusing on the automatic analysis of fundus images [1, 22]. However, the use of fundus photography is limited to the detection of signs which are correlated with retinal thickening such as hard and soft

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exudates, hemorrhages or micro-aneurysms. However, DME is characterized as an increase in retinal thickness within 1 disk diameter of the fovea center with or without hard exudates and sometimes associated with cysts [11]. Therefore, fundus photography cannot always identify the clinical signs of DME; for example cysts, which are not visible in the retinal surface. In addition, it does not provide any quantitative measurements of retina thickness or information about cross-sectional retinal morphology.

Recently, Optical Coherence Tomography (OCT) has been widely used as a valuable diagnosis tool for DME detection. OCT is based on optical reflectivity and produces cross-sectional and three-dimensional images of the central retina, thus allowing quantitative retinal thickness and structure measurements. The new generation of OCT imaging, namely Spectral Domain OCT (SD-OCT) offers higher resolution and faster image acquisition over conventional time domain OCT. SD-OCT can produce 27,000 to 40,000 A-scans/seconds with an axial resolution ranging from 3.5  $\mu\text{m}$  to 6  $\mu\text{m}$  [7].

I don't think that's the way to introduce it

Many of the previous works on OCT image analysis have focused on the problem of retinal layers segmentation, which is a necessary step for retinal thickness measurements [8, 12], ~~and~~<sup>sik</sup> (however) few have addressed the specific problem of DME and its associated features detection from OCT images.

In this research we focus on the latter problem and propose an automatic framework for identification of DME patients versus normal subjects using OCT volumes. The proposed method is based on Local Binary Patterns (LBP) features to describe the texture of OCT images and dictionary learning using the Bag-of-Words (BoW) models [20]. We propose to extract 2D and 3D LBP features from OCT images and volumes, respectively. The LBP descriptors are further extracted from the entire sample or local patches within individual samples. In this research beside the comparison of 2D and 3D features, we also compare the effects of common pre-processing steps for OCT data, and different classifiers.

In the following of this paper, first in Sect. 2 a summary of the related studies is presented.

This paper is organized as follows, Section 2 presents a summary of the related studies. The proposed framework is explained in Sect. 3, while the experiments and results are discussed in Sect. 4. Finally, the conclusion and avenue for future directions are drawn in Sect. 5.

## 2 Background

review, add previous version of the paper

~~Queltee *et al.* proposed a method for the identification of fluid-filled regions in SD-OCT images of the macula based on texture features extracted in the pre-segmented retinal layers [17].~~<sup>sik</sup> (Isn't this out of focus?)

This section reviews up to our knowledge the works straightly addressing the problem of classifying OCT volume classification as normal or abnormal. A summary can be found in Table 1.

Srinivasan *et al.* [21] proposed a classification method to distinguish DME, Age-related Macular Degeneration (AMD) and normal SD-OCT volumes. The OCT images are pre-processed by reducing the speckle noise by enhancing the sparsity in a transform-domain and flattening the retinal curvature to reduce the inter-patient variations. Then, Histogram of Oriented Gradients (HOG) are extracted for each slice of a volume and a linear Support Vector Machines (SVM) is used for classification. On a dataset of 45 patients equally subdivided into the three aforementioned classes, this method leads to a correct classification rate of 100%, 100% and 86.67% for normal, DME and AMD patients, respectively.

Venhuizen *et al.* also proposed a method for OCT images classification using the BoW models [24]. The method starts with the detection and selection of keypoints in each individual B-scan by keeping the most salient points corresponding to the top 3% of the vertical gradient values. Then, a texton of size  $9 \times 9$  pixels is extracted around each keypoint, and Principal Component Analysis (PCA) is applied to reduce the dimension of every texton to get a feature vector of size 9. All extracted feature vectors are used to create a codebook using  $k$ -means clustering, and the obtained codebook from the training is used to represent each OCT volume as a feature vector occurrence histogram. Finally, this histogram is used as feature vector to train a Random Forest (RF) with a maximum of 100 trees. The method was used to classify OCT volumes between AMD and normal cases and achieved an Area Under the Curve (AUC) of 0.984 with a dataset of 384 OCT volumes.

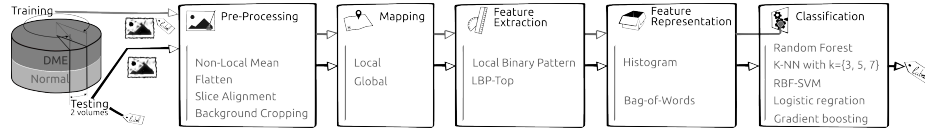
Liu *et al.* proposes a methodology for detecting macular pathology in OCT images using LBP and gradient information as attributes [14]. The method starts by aligning and flattening the images, then a 3-level multi-scale spatial pyramid is created and edge and LBP histograms are extracted in each block at every level of the pyramid. All obtained histograms are concatenated into a global descriptor whose dimensions are reduced using PCA. Finally a SVM is used as classifier. The method achieved good results in detection OCT scan containing different pathology such as DME or AMD, with an AUC of 0.93 using a dataset of 326 OCT scans.

Lemaître *et al.* propose

summary of previous best result

**Table 1.** Other methodologies overview

	Srinivasan <i>et al.</i> [21]	Venhuizen <i>et al.</i> [24]	Liu <i>et al.</i> [14]	Lemaître <i>et al.</i> [?]
task	DMEvs AMDvs normal	???	???	DMEvs normal
data size	45	384	326	??
pre-processing	filtering + flatten	??	??	filtering
features	HOG	BoWof texton keypoints	??	??
classifier	SVM	random forest	??	??
rate	100% 100% 86.7%	AUC98.4%	??	??



**Fig. 1.** Machine learning classification basic scheme

### 3 Materials and Methods

In my opinion this section should contain a description of the things we use in a generic manner. Describe the data, all the blocks in the figure but not their relations

The proposed method, as well as, its experimental set-up for OCT volume classification are outlined in Fig. 1. The methodology is formulated as a standard classification procedure, through 5 steps which are explained in the following. First, the OCT volumes are pre-processed as presented in details in Sect. 3.1. The mapping stage is used to determine a discrete set of elements (or structures) which is used for representing the OCT volume. Thereafter, two mapping strategies are defined: (i) *global* and (ii) *local* mapping. In the global mapping approach, a single structure is computed for the image/volume while in the local mapping, a set of structures is defined by sliding a window through the image/volume. Then, a descriptor is computed for each structure. The feature extraction and representation are presented in depth in Sect. 3.2 and Sect. 3.3, respectively. Finally the classification step is presented in Sect. 3.4.

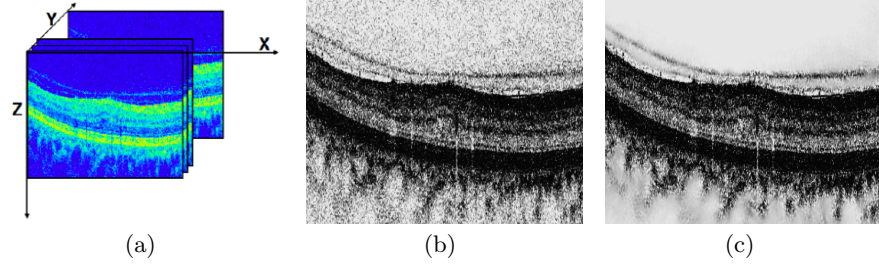
#### 3.1 Image pre-processing

describe the new preprocessing strategies. They should all be explained as independent stuff, and in experimentation we'll describe which are we using for each experiment scenario.

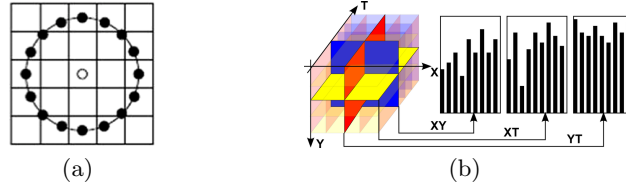
OCT images are known to be affected by a speckle noise [18]. Subsequently, Non-Local Means (NL-means) [5] filtering has been successfully used in Ultra-Sound (US) images to filter similar noise [9] and is used in our framework to denoise each B-scan (i.e. each  $x - z$  slice) of the OCT volumes (see in Fig. 2(a)). NL-means filtering offers the advantage to use all the possible self-predictions that the image can provide rather than local or frequency filters such as Gaussian, anisotropic or Wiener filters [5]. An example of filtering using NL-means filter on OCT image is depicted in Fig 2(b) and Fig. 2(c).

#### 3.2 Features extraction

In this research we chose to extract simple and efficient LBP texture features with regards to each OCT slice and volumes. LBP is a texture descriptor based on the signs of the differences of a central pixel with respect to its neighboring pixels [16]. These differences are encoded in terms of binary patterns as in Eq. (1):



**Fig. 2.** OCT: (a) Organization of the OCT data - (b) Original image - (c) NL-means filtering.



**Fig. 3.** The different LBP descriptors: (a) LBP with ( $R = 2, P = 16$ ) - (b) LBP-TOP [26].

$$LBP_{P,R} = \sum_{p=0}^{P-1} s(g_p - g_c) 2^p, \quad s(\cdot) = \begin{cases} 1 & \text{if } (g_p - g_c) \geq 0 \\ 0 & \text{otherwise} \end{cases}, \quad (1)$$

where  $g_c, g_p$  are the intensities of the central pixel and a given neighbor pixel, respectively.  $P$  is the number of sampling points in the circle of radius  $R$ . Figure 3(a) illustrates the meaning of  $P$  and  $R$ .

Ojala *et al.* further extend the original LBP formulation to achieve rotation invariance at the expense of limiting the texture description to the notion of circular “uniformity” [16]. Volume encoding is later proposed by Zhao *et al.* by computing LBP descriptors in each orthogonal planes, so called LBP from Three Orthogonal Planes (LBP-TOP) [26].

### 3.3 Feature representation

Each OCT volume can be described by its texture and we employed two strategies.

**Low-level representation** The texture descriptor of an OCT volume is defined as the concatenation of the LBP histograms. Therefore, for the LBP-TOP, the feature descriptor is computed through the concatenation of the

LBP histograms of the three orthogonal planes and for the LBP, the descriptor is defined either through concatenation of the LBP histograms per each B-scan (*gocal*-mapping), or per each patch (P) (*local*-mapping).

**High-level representation** According to the chosen mapping strategy, the low-level representation can lead to a high dimensional feature space. High-level representation simplifies this high dimensional feature space into a more discriminant lower space. BoW approach is used for this purpose [20]. This model represents the features by creating a visual dictionary or “codebook”, from the set of low-level features. The set of low-level features are clustered using  $k$ -means to create the codebook with  $k$  clusters or visual words. After creating the codebook, each of the training example is represented as a histogram of size  $k$ . The histogram is obtained by calculating the frequency of occurrences of each of the  $k$  words in the extracted features from the training example.

### 3.4 Classification

Classification is a supervised learning method which intends to find a mapping  $f(.)$  which relates a set of input  $x$  to a set of categorical outputs  $y$ . The learning is comprehended using a training set, which contains a set of  $N$  samples with their associated labels [15].

In this study we compare the performance of different classifiers including: RF, Gradient Boosting (GB), SVM, Logistic Regression (LR), and  $k$ -Nearest Neighbor (NN). A brief description of each of these approaches is presented in the following:

**Random Forest** is an ensemble of decision trees and was introduced by [4].

The ensemble uses each tree to predict an output and finalizes the ultimate prediction by aggregating the outputs of all trees. This classifier learns the data by training multiple decision trees on bootstrap samples of the original data. Each bootstrap of  $D$  dimension is used for training one decision tree and at each node, the best split among randomly ( $d \ll D$ ) selected subset of descriptors is chosen. Each tree is grown to its maximum length without any pruning. In the prediction stage a sample is voted by each tree and it is labeled by considering the majority of the votes.

**Gradient Boosting** is a generalization form of AdaBoost (AdB), which is able to use real-value weak learners and minimizes different loss functions [27]. GB builds the ensemble in a greedy manner. It iteratively selects the best pair of real-valued weak learners and adjust their weights so that they minimize a given differentiable loss function. Common choice for the weak learner is decision stumps or regression trees while the loss function is generally an exponential loss or a logarithmic loss [3]. This minimization is carried out via gradient descent or quadratic approximation.

**Support Vector Machines** [23] is a sparse kernel method which aims to separate two classes by finding the best hyperplane which maximizes the margin between the two classes. Maximizing the margin is equivalent to minimizing the norm of the normal vector of the hyperplane:

$$\min_{\mathbf{w}, \omega_0} \frac{1}{2} \|\mathbf{w}\|^2 \quad \text{s.t.} \quad y_i(\mathbf{w}^T \mathbf{x}_i + \omega_0) \geq 1, i = 1 : N \quad (2)$$

This constraint intends to force all the point to be in the correct side of the decision boundary (hyperplane) with a minimum distance of 1. This assumption is only valid if the data is linearly separable. Thus for general cases a slack variable  $\xi_i \geq 0$  is introduced, which is  $\xi_i = 0$ , if the points are on/or inside the correct margin boundary, is  $0 < \xi_i \leq 1$  if the points are inside the margin but in the correct side of the decision boundary and otherwise if they lie in the wrong side of decision boundary is  $\xi_i > 1$ . This assumption introduces the *soft margin constraints*. Therefore the optimization problem of SVM classifier is presented by:

$$\begin{aligned} \min_{\mathbf{w}, \omega_0, \xi} \quad & \frac{1}{2} \|\mathbf{w}\|^2 + C \sum_{i=1}^N \xi_i \\ \text{s.t.} \quad & \xi_i \geq 0, \quad y_i(x_i^T \mathbf{w} + \omega_0) \geq 1 - \xi_i, i = 1 : N \end{aligned} \quad (3)$$

In Eq. 3, the  $\sum_i \xi_i$  term, describes the upper bound on the number of misclassified points and  $C$  is the regularization parameter that controls the tolerance of the classifiers on the number of errors [15].

**Logistic Regression** [10] is another supervised learning which can provide associated probability of each prediction. As the name suggests, this classifier uses a logistic function, to estimate the probabilities. By defining the posterior probability for one of the classes, using logistic function (see Eq. 4), the probability of other class is defined as  $p(c_2|x_i) = 1 - p(c_1|x_i)$ .

$$p(c_1|x_i) = \frac{1}{1 + \exp(-w^T x_i)} \quad (4)$$

Here  $w$  is a vector of regression parameters, which allows to obtain a linear combination of the input feature vector  $x_i$ . Using this model the unlabeled sample is assigned to the class which maximizes the posterior probability.

$$C(x_i) = \arg \max_k p(C = k|x_i) \quad (5)$$

In this method, finding an optimal set of parameters for  $w$  is essential. The vectors of parameters  $w$  can be inferred by finding the maximum likelihood estimates via optimization methods such as quasi-Newton method [6].

**$k$ -Nearest Neighbor** is one the simplest supervised machine learning classification methods. In this method a new unlabeled vector is assigned to the most represented class from  $k$  nearest-neighbors in the features space. In order to avoid a tie case, the parameter  $k$  is usually an odd number.

## 4 Experiments and Validation

### 4.1 Datasets

In this work, we use two different dataset. Although our framework is implemented based on our dataset (SERI), we use duke dataset as well for further validation of proposed framework.

**SERI** - datasets were acquired by Singapore Eye Research Institute (SERI), using CIRRUS TM (Carl Zeiss Meditec, Inc., Dublin, CA) SD-OCT device. The datasets consist of 32 OCT volumes (16 DME and 16 normal cases). Each volume contains 128 B-sane with dimension of  $512 \times 1024$  pixels. All SD-OCT images are read and assessed by trained graders and identifies as normal or DME cases based on evaluation of retinal thickening, hard exudates, intraretinal cystoid space formation and subretinal fluid.

**Duke** - datasets published by Srinivasan et al. [21] were acquired in Institutional Review Board-approved protocols using Spectralis SD-OCT (Heidelberg Engineering Inc., Heidelberg, Germany) imaging at Duke University, Harvard University and the University of Michigan. This datasets consist of 45 OCT volumes (15 AMD, 15 DME and 15 normal). In this study we only consider a subset of the original data containing 15 DME and 15 normal OCT volumes.

### 4.2 Experiments & Results

To evaluate the effects and influence of different stages of our framework, we perform various tests. Majority of the experiments are performed on SERI dataset and for the sake of comparison, the optimal configurations are evaluated on Duke dataset. For all the experiments, LBP and LBP-TOP features are extracted for different sampling points of 8, 16, and 24 for radius of 1, 2, and 3, respectively. As previously mentioned, two different mapping strategies, *local* and *global*, are used.

*Global* mapping considers to extract the features from the 2D B-scans for LBP and 3D volume for LBP-TOP. Therefore for a volume with  $d$  B-scans, the *global*-LBP feature leads to a final descriptor of size  $d \times LBP_{hist}$  and *global*-LBP-TOP feature returns the final descriptor of size  $3 \times LBP_{hist}$ . Here  $LBP_{hist}$  refers to the size of uniform and rotation invariant LBP histogram which it's number of bins depends on the number of sampling points in a given neighborhood.

In *local* mapping, the features are extracted from a set of 2D patches for LBP and a set of sub-volumes for LBP-TOP. In this research we consider a  $(7 \times 7)$  patch (P) for 2D LBP and  $(7 \times 7 \times 7)$  sub-volume for LBP-TOP. Using these elements, the *local*-LBP approach provides a final descriptor of size  $(d \times N \times LBP_{hist})$  while *local*-LBP-TOP results in a final descriptor of size  $\frac{d}{7} \times N \times 3 \times LBP_{hist}$ . Here  $N$  is the total number of elements in each B-scan, and 3D volume, respectively.

The *global* and *local* extracted features are then presented in low or high representation. As previously mentioned, BoW approach is used for high-level



representation. In this regard, to find the optimal number of “visual-words” ( $k$ ), we perform a preliminary experiment.

Using BoW approach to find the optimal number of “visual-words” ( $k$ ), we perform a preliminary classification where different number of words randomly are selected. In this setup, the words are randomly selected using  $k$ -means++ algorithm [2]. This test is performed for all the high-level feature sets considering different pre-processing steps. The obtained results of this test is represented in experiment #1. Beside this experiment, four other experiments are performed which are explained in the following.

The pipeline in each experiment is evaluated using Leave-One-Patient Out Cross-Validation (LOPO-CV) strategy. In this validation, at each round a pair DME-normal volume is selected for testing while the rest of the volumes are used for training. The use of this method implies that no variance in terms of Sensitivity (SE) and Specificity (SP) can be reported. However, and despite this limitation, LOPO-CV has been employed due to the small size of the dataset.

**Experiment #1** is carried on SERI dataset. This experiment is conducted to find the optimal number of words for BoW high-level feature representation. *Global* and *local*-LBP and *local*-LBP-TOP feature descriptors are re-represented using BoW approach. In this experiment, the BoW algorithm is performed using various number of words in the range of  $\{10, 20, 30, \dots, 100, 200, \dots, 500, 1000\}$ . The words are randomly selected using  $k$ -means++ algorithm and the features are mapped to their nearest word to create the final clusters. In this experiment in order to assess the effect of number of words, simple linear classifier such as LR is used. Table ?? shows the obtained results of this experiment. The optimum number of words and the achieved Accuracy (ACC) and F1-score (F1) of LR classifier for different configurations are listed in this table. Accuracy measure the ration of correctly classified samples in comparison to all the samples, while F1 is the statistic measure between precision and SE. Figure. ?? shows the obtained graphs for some of the configurations.

**Experiment #2** is performed for high-level features on SERI dataset as well.

In this experiment using the optimum number of words which are obtained from the previous experiment, the low-level feature sets with regards to different pre-processing configurations are re-represented using BoW and  $k$ -means clustering approach and are classified using  $k$ -NN classifier.  *$k$ -NN classifier was chosen since it provides a sense of* Table ?? shows the obtained results from this experiment.

**Experiment #3** is dedicated to compare the performance of different classifiers. This experiment is also performed on SERI dataset and for high-level features. The *best configurations* of feature set and pre-processing based on the previous experiment is classified with different classifiers such as RF, GB, SVM, LR and  $k$ -NN. The obtained results of this experiment is listed in Table. ??.

**Experiment #4** is conducted for low-level features. The *global* LBP and LBP-TOP features are classified using the same classifiers as previous experiments. The obtained results from this experiment is listed in Table. ??.

**Experiment #5** is performed to evaluate the performance of our proposed framework considering different dataset. In this regards, the best configuration in terms of low-level or high-level representations, number of words and classifiers obtained from the previous experiments are performed on Duke dataset. A comparison of the obtained results from SERI and Duke datasets are presented in Table. ??.

## 5 Conclusions

The work presented here addresses the automatic classification of SD-OCT data to identify subjects with DME versus normal. Based on the reported results, the low level volume 3D features and high level 2D features using patches achieve the most desirable results in the experimental setup presented here. The comparison against different datasets and methodologies, highlights that: regardless of using low or high level representations, volume signatures derived from LBP texture show high discriminative power for distinguishing DME vs normal volumes.

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