

Common Application Form

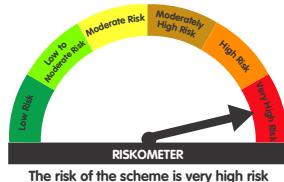
A Investors must read the Key Information Memorandum, the instructions and Product Labeling on cover page before completing this Form.
The Application Form should be completed in English and in **BLOCK LETTERS** only.

Parag Parikh Flexi Cap Fund

An open ended dynamic Equity scheme investing across large cap, mid cap, small cap stocks.

This Product is suitable for investors who are seeking*

- To generate long-term capital growth from an actively managed portfolio primarily of Equity and Equity related Securities.
- Scheme shall invest in Indian equities, foreign equities and related instruments and debt securities.



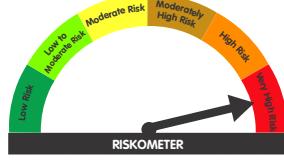
The risk of the scheme is very high risk

Parag Parikh ELSS Tax Saver Fund

An open-ended equity linked saving scheme with a statutory lock in of 3 years and tax benefit.

This Product is suitable for investors who are seeking*

- Long term capital appreciation
- Investment predominantly in equity and equity related securities.



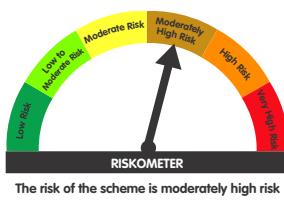
The risk of the scheme is very high risk

Parag Parikh Conservative Hybrid Fund

An open-ended hybrid scheme investing predominantly in debt instruments

This Product is suitable for investors who are seeking*

- To generate regular income through investments predominantly in debt and money market instruments.
- Long term capital appreciation from the portion of equity investments under the scheme.



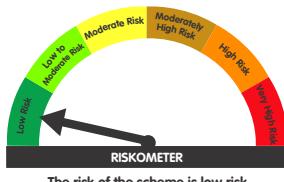
The risk of the scheme is moderately high risk

Parag Parikh Arbitrage Fund

An open-ended scheme investing in arbitrage opportunities

This Product is suitable for investors who are seeking*

- To generate income by investing in arbitrage opportunities
- Predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market.



The risk of the scheme is low risk

Parag Parikh Dynamic Asset Allocation Fund

An open-ended dynamic asset allocation fund.

This Product is suitable for investors who are seeking*

- Capital Appreciation & Income generation over medium to long term.
- Investment in equity and equity related instruments as well as debt and money market instruments while managing risk through active asset allocation



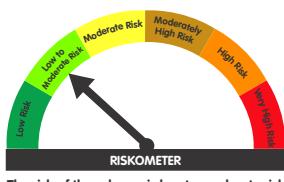
The risk of the scheme is moderate risk

Parag Parikh Liquid Fund

An Open-ended Liquid scheme. A Relatively Low Interest Rate Risk and Relatively low Credit Risk

This Product is suitable for investors who are seeking*

- Income over the short term
- Investments in Debt/money market instruments



The risk of the scheme is low to moderate risk

Potential Risk Class (PRC) of Parag Parikh Liquid Fund

Parag Parikh Liquid Fund	Potential Risk Class			
	Credit Risk →	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
	Interest Rate Risk ↓			
	Relatively Low (Class I)	A-I		
	Moderate (Class II)			
	Relatively High (Class III)			
A-I - A Scheme with Relatively Low Interest Rate Risk and Relatively Low Credit Risk				

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Please visit the website address for latest Riskometer updates: <https://www.amc.ppfas.com>---> Statutory Disclosures ---> Product Label of the Schemes.

1 KEY PARTNER/AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)

ARN/RIA Code/Stock Broker/Portfolio Manager Registration Number (PMRN)	ARN / Distributor Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification Number (EUIIN)
ARN-					
I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			First Holder	Second Holder	Third Holder

2 EXISTING INVESTOR DETAILS (If you have existing folio, please provide Folio No. and proceed to section 11 (Refer instruction C))

Folio No.	The details in our records under the folio no. mentioned alongside will apply for this application.
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3 MODE OF HOLDING / OPERATION

Single Anyone or Survivor (Default option) Joint

4 APPLICANT'S DETAILS (Please refer to the Instruction No. A, C, D, R) All fields are mandatory.

1st Holder Name as per PAN card*	Mr	Ms	M/s	Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	Date of Birth/ Incorporation (DOB)	<input type="checkbox"/> D	<input type="checkbox"/> D	<input type="checkbox"/> M	<input type="checkbox"/> M	<input type="checkbox"/> Y	<input type="checkbox"/> Y	<input type="checkbox"/> Y	<input type="checkbox"/> Y	
PAN/PEKRN*					CKYC Number/KIN	<input type="checkbox"/> Proof Attached	As per PAN card*							
GUARDIAN NAME IF MINOR/CONTACT PERSON (FOR NON INDIVIDUAL) /POA HOLDER (as per PAN card)				Mr	Ms	Nationality								
PAN/PEKRN*					Date of Birth (DOB)	<input type="checkbox"/> D	<input type="checkbox"/> D	<input type="checkbox"/> M	<input type="checkbox"/> M	<input type="checkbox"/> Y	<input type="checkbox"/> Y	<input type="checkbox"/> Y	<input type="checkbox"/> Y	
Relationship with Minor applicant	<input type="checkbox"/>	Natural guardian	<input type="checkbox"/>	Court appointed guardian	Proof of relationship with minor									
2nd Holder Name as per PAN card*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> NRI	(Second Applicant is not allowed in case of minor as first/sole applicant.)				Gender <input type="checkbox"/> Male <input type="checkbox"/> Female							
Mr	Ms	M/s					Date of Birth (DOB)	<input type="checkbox"/> D	<input type="checkbox"/> D	<input type="checkbox"/> M	<input type="checkbox"/> M	<input type="checkbox"/> Y	<input type="checkbox"/> Y	<input type="checkbox"/> Y
PAN/PEKRN*					CKYC Number/KIN	<input type="checkbox"/> Proof Attached	As per PAN card*							
3rd Holder Name as per PAN card*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> NRI	(Third Applicant is not allowed in case of minor as first/sole applicant.)				Gender <input type="checkbox"/> Male <input type="checkbox"/> Female							
Mr	Ms	M/s					Date of Birth (DOB)	<input type="checkbox"/> D	<input type="checkbox"/> D	<input type="checkbox"/> M	<input type="checkbox"/> M	<input type="checkbox"/> Y	<input type="checkbox"/> Y	<input type="checkbox"/> Y
PAN/PEKRN*					CKYC Number/KIN	<input type="checkbox"/> Proof Attached	As per PAN card*							
POA HOLDER	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> NRI					Gender <input type="checkbox"/> Male <input type="checkbox"/> Female							
Mr	Ms	M/s					Date of Birth (DOB)	<input type="checkbox"/> D	<input type="checkbox"/> D	<input type="checkbox"/> M	<input type="checkbox"/> M	<input type="checkbox"/> Y	<input type="checkbox"/> Y	<input type="checkbox"/> Y
PAN/PEKRN*					CKYC Number/KIN	<input type="checkbox"/> Proof Attached	As per PAN card*							

*Mandatory information - If left blank, the application is liable to be rejected. Individual client who has registered under KYC Records Registry (CKYCR) can fill the 14 digit KYC Identification Number (KIN)

5 CORRESPONDENCE DETAILS OF SOLE/FIRST APPLICANT (AS PER KYC RECORDS)

Correspondence Address

HOUSE / FLAT NO.	
STREET ADDRESS	
CITY / TOWN	STATE
COUNTRY	PIN CODE

Country Code. STD Code.

Tel. No. Office

Residence

On providing email-id investors shall receive the scheme wise annual report or an abridged summary thereof/ account statements/ statutory and other documents by email.

However, if the investors wish to receive physical copy of the scheme wise annual report or an abridged summary thereof [please tick(✓)] opt-in

First Unitholder:

Mobile <input type="text"/>	Email <input type="text"/>
Mobile No.* provided pertains to: (Please tick (✓))	
<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA	
<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA	

Second Unitholder:

Mobile <input type="text"/>	Email <input type="text"/>
Mobile No.* provided pertains to: (Please tick (✓))	
<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA	
<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA	

Third Unitholder:

Mobile <input type="text"/>	Email <input type="text"/>
Mobile No.* provided pertains to: (Please tick (✓))	
<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA	
<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Siblings <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Guardian <input type="checkbox"/> PMS <input type="checkbox"/> Custodian <input type="checkbox"/> POA	

6 I hereby declare that I shall immediately notify any change to the mobile number/ email id.

7 TAX STATUS (Please tick ✓) (For First / Sole Applicant)

<input type="checkbox"/> Resident Individual	<input type="checkbox"/> LLP	<input type="checkbox"/> Public Limited Company	<input type="checkbox"/> Government Body	<input type="checkbox"/> AOP/BOI	<input type="checkbox"/> Defence Establishment
<input type="checkbox"/> On behalf of Minor	<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Private Limited Company	<input type="checkbox"/> Financial Institution	<input type="checkbox"/> Trust / Society / NGO	<input type="checkbox"/> Other <input type="text"/>
<input type="checkbox"/> HUF	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> FII	<input type="checkbox"/> Non Profit Organization/Charities	
<input type="checkbox"/> NRI-NRE	<input type="checkbox"/> NRI-NRO	<input type="checkbox"/> Bank	<input type="checkbox"/> Foreign Portfolio Investor	<input type="checkbox"/> QFII	
<input type="checkbox"/> PIO	<input type="checkbox"/> OCI	<input type="checkbox"/> Foreign National Resident In India			

8 KYC DETAILS (Mandatory)

OCCUPATION [Please tick (✓)]

	Private Sector Service	Public Sector Service	Government Service	Business	Non Profit Organisation	Professional	Agriculturist	Retired	Housewife	Student	Proprietorship	Others
First Applicant/Guardian	<input type="checkbox"/>	Please specify										
Second Applicant	<input type="checkbox"/>	Please specify										
Third Applicant	<input type="checkbox"/>	Please specify										
POA Holder	<input type="checkbox"/>	Please specify										

GROSS ANNUAL INCOME [Please tick (✓)]

First Applicant/Guardian	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> >25 Lacs-1 crore	<input type="checkbox"/> >1 crore										
	OR Net worth (Mandatory for Non-Individuals) ₹ <input type="text"/>						as on	D	D	M	M	Y	Y	Y	Y	(Not older than 1 year)
Second Applicant	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> >25 Lacs-1 crore	<input type="checkbox"/> >1 crore	<input type="checkbox"/> OR Net worth ₹ <input type="text"/>									
Third Applicant	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> >25 Lacs-1 crore	<input type="checkbox"/> >1 crore	<input type="checkbox"/> OR Net worth ₹ <input type="text"/>									
POA Holder	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> >25 Lacs-1 crore	<input type="checkbox"/> >1 crore	<input type="checkbox"/> OR Net worth ₹ <input type="text"/>									

OTHERS [Please tick (✓)]

First Applicant/Guardian	For Individuals Please tick (✓) <input type="checkbox"/> I am Politically Exposed Person (PEP) [^] <input type="checkbox"/> I am Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Not applicable
	For Non-Individuals Please tick (✓) [Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. IV (h): (i) Foreign Exchange / Money Changer Services <input type="checkbox"/> Y <input type="checkbox"/> N (ii) Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> Y <input type="checkbox"/> N (iii) Money Lending / Pawning <input type="checkbox"/> Y <input type="checkbox"/> N]
Second Applicant	<input type="checkbox"/> Politically Exposed Person (PEP) [^] <input type="checkbox"/> Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Not applicable
Third Applicant	<input type="checkbox"/> Politically Exposed Person (PEP) [^] <input type="checkbox"/> Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Not applicable
POA Holder	<input type="checkbox"/> Politically Exposed Person (PEP) [^] <input type="checkbox"/> Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Not applicable

[^]Please refer instruction no. 3

9 DEMAT ACCOUNT DETAILS (Optional - Refer Instruction k) (Nomination Provided in Demat Account shall be considered)

NSDL	DP Name <input type="text"/>	CDSL	DP Name <input type="text"/>
NSDL: Depository Participant (DP) ID (NSDL only)	Beneficiary Account Number (NSDL only)	CDSL: Beneficiary ID (CDSL only)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

10 BANK DETAILS (The name of the Sole/First applicant must be pre printed on the cheque.)

Mandatory information - If left blank, the application is liable to be rejected(Mandatory to attach proof, in case the pay-out bank account is different from the bank account mentioned under Section 11 Below.)
For unit holder opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here. Redemption/Dividend/Refund Payout will be credited into this bank account.

Account Number				Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> FCNR	<input type="checkbox"/> Others	(please specify)
Bank Name & Branch											
Branch City				IFSC Code	_____ digit			MICR Code			_____ digit
LEI No.											Expiry Date

With reference to the RBI circular No. RBI/2020-21/82-DPSS.CO.OD No. 901/06.24.001/2020-21 dated January 05, 2021 on introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment System, LEI will have to be mandatorily included as part of the RTGS/NEFT instructions for any non-individual transactions beyond 50 crore w.e.f 1st April 2021.

11 INVESTMENT & PAYMENT DETAILS (refer instruction F) Please write Cheque/DD in favour of the Scheme name only.

Scheme	<input type="checkbox"/> Parag Parikh Flexi Cap Fund (PPFCF)	<input type="checkbox"/> Parag Parikh Liquid Fund (PPLF)	<input type="checkbox"/> Parag Parikh ELSS Tax Saver Fund (PPTSF)	<input type="checkbox"/> Parag Parikh Conservative Hybrid Fund (PPCHF)
	<input type="checkbox"/> Parag Parikh Arbitrage Fund (PPAF)	<input type="checkbox"/> Parag Parikh Dynamic Asset Allocation Fund (PPDAAF)		
Plan	<input type="checkbox"/> Direct (Default plan)	<input type="checkbox"/> Regular		
Option	<input type="checkbox"/> Growth (Default option)	<input type="checkbox"/> Income Distribution cum capital withdrawal option (IDCW)*	IN/A for Parag Parikh ELSS Tax Saver Fund (PPTSF) and Parag Parikh Arbitrage Fund (PPAF)	
Sub-Option	<input type="checkbox"/> Reinvestment of Income Distribution cum capital withdrawal option <input type="checkbox"/> Daily (Default incase of PPLF, Applicable only for PPL) <input type="checkbox"/> Weekly (Applicable only for PPL) <input type="checkbox"/> Monthly (Default incase of (PPCHF) and (PPDAAF))		<input type="checkbox"/> Payout of Income Distribution cum capital withdrawal option (Default in case of PPFCF) <input type="checkbox"/> Monthly (Applicable only for PPLF, PPCHF and PPDAAF.)	

*The IDCW record date for payout or reinvestment under PPFCF may be determined by the Trustee from time to time.

Mode of Payment Self Third Party Payment (please fill the 'Third Party Payment Declaration Form')

Payment mode Cheque DD Common CAMS OTM / PPFAS OTM Fund Transfer RTGS/NEFT Transfer Letter DD Charges _____

S. No.	*Cheque / DD Favouring Scheme Name	Cheque Date	Amount Invested ₹	DD Charges	Net Amount Paid ₹	Cheque/DD No./UTR No. (in case of NEFT/RTGS)	Bank and Branch and Account Number
1.	Parag Parikh Flexi Cap Fund						
2.	Parag Parikh Liquid Fund						
3.	Parag Parikh ELSS Tax Saver Fund						
4.	Parag Parikh Conservative Hybrid Fund						
5.	Parag Parikh Arbitrage Fund						
6.	Parag Parikh Dynamic Asset Allocation Fund						

*All purchases are subject to realization of funds in our bank accounts w.e.f February 01, 2021

12 NOMINATION DETAILS Individuals (single or joint applicant) are advised to avail Nomination facility.

I/We wish to make a nomination. [As per details given below]

OR

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the implications / issues involved in non-appointment of any nominee(s) and am/ are further aware that in case of my demise / death of all the unit holders in the folio, my / our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund / AMC for settlement of death claim / transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund folio/s.

I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our account/ folio in the event of my / our demise, as trustee and on behalf of my/ our legal heir(s)*. This nomination shall supersede any prior nomination made by us/me if any.

Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Mandatory information				
1	Name of the nominee(s)	Mr./Ms.	Mr./Ms.	Mr./Ms.
2	Share of each Nominee#	%	%	%
3	Date of Birth (for Minor)	dd-mmm-yyyy	dd-mmm-yyyy	dd-mmm-yyyy
4	Relationship with the Applicant (select one)	<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) <hr/>	<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) <hr/>	<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) <hr/>
5	Nominee/ Guardian (in case of Minor) Identification details <i>(Please tick any one of the following and provide ID Number and no copies required).</i>	PAN <hr/> Aadhaar (masked last 4 digits) ***** Passport (for NRIs/OCIs/PIOs) <hr/> Driving License <hr/>	PAN <hr/> Aadhaar (masked last 4 digits) ***** Passport (for NRIs/OCIs/PIOs) <hr/> Driving License <hr/>	PAN <hr/> Aadhaar (masked last 4 digits) ***** Passport (for NRIs/OCIs/PIOs) <hr/> Driving License <hr/>
6	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country	Pincode:	Pincode:	Pincode:
7	Mobile of nominee(s)/ Guardian in case of Minor			
8	Email ID of nominee(s)/ Guardian in case of Minor			
Non-mandatory details				
9	Nominee Guardian Name (in case Nominee is Minor)			

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

<input type="checkbox"/>	Name of nominee(s) with %	<input type="checkbox"/>	Nomination: Yes / No (Default)
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Signature(s) – As per mode of holding in demat accounts / MF Folio(s).

	Name of the Holder	Signature / Thumb Impression
Sole / First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

	*Name and Address of Witness	*Signature of Witness
Witness 1		
Witness 2		

Note:

The Intermediary shall provide acknowledgement of the nomination form to the account holder/s

13 FATCA and CRS Information/Foreign Tax Law (Self Certification) (Required for all applicant(s)/Guardians, Sole Proprietor & POA Holder)
For Non-Individual investor : You are required to submit separate FATCA/CRS/UBO declaration form.

It is mandatory to fill all relevant details correctly. Incorrect/incomplete details may lead to inaccurate FATF/CRS reporting.

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others Please specify
Second Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others Please specify
Third Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others Please specify
POA Holder			<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others Please specify

Are you a tax resident (i.e. are you assessed for tax) in any other country outside India? YES No (please tick✓)

If "YES" please fill for ALL countries (other than Indian in which you are a Resident for tax purpose i.e. where you are a Citizen/ Resident/ Green Card holder/ Tax Resident in the respective countries.)

	Country of Tax Residency#	Tax Identification Number or Functional Equivalent	Identification Type* (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Second Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Third Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
POA Holder				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

To also include USA, where the individual is a citizen/ green card holder of USA. *In case Tax Identification Number is Not available, kindly provide its functional equivalent.

Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents.

Reason B → No TIN required (Select this reasons Only if the authorities of the country of tax residence do not require the TIN to be collected) Reason C → Others please state the reasons there of:

Address Type of Sole / 1st Holder	Address Type of 2nd Holder	Address Type of 3rd Holder
<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business

15 Declaration for NPO

We are falling under "**Non-Profit Organization**" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

Yes

No

If yes, please quote the NPO Registration Number provided by DAR PAN portal.

(If not registered already, please register immediately and confirm with the above information.)

Instructions

1. If the Name given in the application does not match the name as appearing on the PAN Card/Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected'
 2. I /We have understood the information requirement of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided be me/us on this Form is true, correct, and complete. I/ We also confirm that I /We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.
 3. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public function in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executive of state-owned corporations, important political party officials, etc.
 4. Country of Tax Residence and Tax ID number: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the change. If you are a US citizen or resident, please include United States in this related field along with your US Tax Identification Number.
 5. As per AMFI Circular No. 135/BP/77 /2018-19, please provide email id and Mobile Number of the Primary Unit Holder of the Folio. In cases where the email address/mobile No. is not provided in the application form, the email address/mobile no. of the first applicant as per the KYC data will be taken as the email address/mobile No. The email address of one investor should not be allowed/up dated against folios of other/multiple investors, unless a specific written request is received in this regard, duly signed by the investors or the investors in such folios belong to the same family (applicable in respect of individual investors only).
- "Family means self, spouse, dependent children, dependent siblings, dependent parents, and a guardian in case of a minor as per AMFI guidelines dated March 28, 2022"
6. The AMC to strengthen control with respect to verification of key details of investors like Bank account details, email id, mobile number, and address, etc and to standardize the process for Validation of Email ID, Mobile Number, Bank Mandate & Two Factor Authentication for Redemptions of Non-Demat folios. AMC shall take necessary steps to comply with the AMFI Best Practice guidelines dated March 28, 2022, and as amended from time to time. Investors are requested to provide correct Bank account details, email id, and mobile number at the time of submitting the application.

DECLARATION

I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc. of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I/We hereby confirm and declare as under:-

1. For Non-Individual Investor: I/We hereby confirm that the object clause of the constitution document of the entity (viz. MOA / AOA /Trust Deed, etc. I, allows us to apply for investment in this scheme of PPFAS Mutual Fund and the application is being made within the limits for the same. I/We are complying with all requirements/ conditions of the entity while applying for the investments and I/We, including the entity, if the case may arise so, hereby agree to indemnify PPFAS AMC/ PPFAS Mutual Fund in case of any dispute regarding the eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity.
2. For NRIs only: I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non Resident External/Non-Resident Ordinary/FCNR account. (Refer Inst. No. F)
3. Applicable to PEKRN Holders: I, the first/ sole holder, also hereby declare that I do not hold a permanent Account Number and hold only a single PAN Exempt KYC Reference No. (PEKRN) Issued by KYC Registration Authority and that my existing Investments together with the current application will not result in aggregate Investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year.
4. I have voluntarily subscribed to the online access for transacting the internet facility provided by PPFAS Asset Management Private Ltd. (Investment Manager of PPFAS Mutual Fund) and confirm of having read, understood and agree to abide the terms and conditions for availing of the internet facility more particularly mentioned on the website www.amc.ppfas.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the online transactions effected by me and I shall be solely liable for all the costs and consequences thereof.
5. I/We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of PPFAS Mutual Fund ('Fund') indicated above.
6. I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/authorisation(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
7. The information given in/ with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the PPFAS Asset Management Private Limited (AMC)/Fund and undertake to inform the AMC/Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time.
8. That in the event, the above information and/or any part of it is/are found to be false/untrue/misleading, I/We will be liable for the consequences arising there from.
9. I/We hereby authorize you to disclose, share, remit in any form/manner mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Mutual Fund, Its Sponsor/s, Trustees, Asset Management Company, Its employees, agents and third party service providers, SEBI registered Intermediaries for single update/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.
10. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
11. The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other model, payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us).
12. I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the fund/amdls distributor for this investment.
13. Preferred mode of payment Electronic Credit. RTGS IFSC/NEFT code will help us transfer the amount to your bank account quicker, electronically. In case the bank does not credit my /our bank account with / without assigning any reason thereof, or if the transaction is delayed or not effected at all or credited into the wrong account for reasons of incomplete or incorrect information, I / We would not hold PPFAS Mutual Fund responsible. Further the Mutual Fund reserves the right to issue a demand draft/ payable at par cheque in case it is not possible to make payment by DC/NEFT /ECS.
14. I/We acknowledge that in case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We hereby authorize you [Fund/ AMC/RTA/other participating entities] to disclose, share, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to Mutual Fund, Its Sponsor, Asset Management Company, trustees, their employees/ RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax I revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated Intermediaries registered with SEBI /RBI/ IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your / Fund's end. As may be required by domestic or overseas regulators/ tax authorities, I/We authorize Fund/ AMC/RTA to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same
15. Consent for Telemarketing: I/We hereby accord my/our consent to PPFAS AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form.
16. For Foreign National Resident in India only: I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.
17. For NRIs/PIO/OCIs only: I/We confirm that my application is in compliance with applicable Indian and foreign laws.
Please () Yes No If Yes, () Repatriation basis Non-repatriation basis

DECLARATION

I declare that the information is to the best of my knowledge and belief, accurate and complete.

I agree to notify PPFAS Mutual Fund/PPFAS Asset Management Private Limited immediately in the event the information in the self-certification changes.

FIRST OR SOLE APPLICANT/ GUARDIAN/POA	SECOND APPLICANT	THIRD APPLICANT



ACKNOWLEDGMENT SLIP (To be filled by the Investor)

Application No.	ISC Stamp & Signature		
PPFAS MUTUAL FUND Registered Office: 81/82, 8th Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230, Nariman Point, Mumbai - 400 021. Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.			
From	Dated	Amount (RS)	Scheme

INSTRUCTIONS

A. General Instructions

- Please read the key Information Memorandum and the Scheme Information Document(s) of the Scheme and Statement of Additional Information carefully before filling the application Form. Investors should apprise themselves of the prevailing Load structure on the date of submitting the Application Form.
- Investors are deemed to have accepted the terms subject to which these offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- New investors wishing to make SIP investment will need to complete and submit both the Application Form and the SIP Enrollment Form.
- The Application Form is for Resident Investors/NRIs/ FIs and should be completed in **ENGLISH** and in **BOLD LETTERS** only. Please tick in the appropriate box for relevant options wherever applicable.
- Please do not overwrite. For any correction / changes (if any) made, the sole / all applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signing the same.
- Applications complete in all respects, may be submitted at the designated Investor Service Centres (ISCs) / Official points of Acceptance.
- The signature should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Applications by minors should be signed by the guardians. In case of H.U.F., the Karta should sign on behalf of the H. U. F.
- The AMC /Trustee retains the sole and absolute discretion to reject any application. It may be noted that the Securities and Exchange Board of India (SEBI) vide its Notification dated May 31, 2010 read with Circular dated June 24, 2010 states that with effect from June 01, 2010, the distributors, agents or any persons employed or engaged or to be employed or engaged in the sale and/or distribution of mutual fund products shall be required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination.
- Further, no agents / distributors would be entitled to sell units of mutual funds unless the intermediary is registered with AMFI.
- List of Official Points of Acceptance is available on the website of the Mutual Fund <http://amc.ppfas.com>
- In case the cheque is returned on account of whatever reasons the applicant would have to fill a new application form and submit the same along with a fresh cheque at the nearest Official Point of Acceptance of Transactions. Applicability of NAV shall be based on receipt of application and also the realization of funds in the Bank account of respective scheme (and NOT the time of deposit of Cash in the Bank) within the applicable cut-off timing. However, if the credit is received in the Bank account of the scheme but investor has not yet submitted the application form, units will be allotted as per receipt of application. (time stamping)
- Applications rejected by AMC/CAMS ISC post time stamping cannot be represented.

B. Existing Unitholder information

If you have an existing folio, please mention the Folio Number in the "EXISTING INVESTOR DETAILS" and proceed to point 11 in the application form. Please note that the all details and mode of holding will be as per the existing folio.

C. Unitholder Information

Applicant's name and address must be given in full (P. O. Box Address may not be sufficient. Investors residing overseas, please provide your Indian address and overseas address).

All communication and payments shall be made to the first applicant or the Karta in case of HUF / Guardian in case of minor.

The subscription amounts can be tendered by cheque payable locally at any of the AMC offices or CAMS Investor Service Centres (ISC) which are designated Official Points of Acceptance of Transactions and crossed "A/c Payee only".

"On behalf of Minor" Accounts: Name of Guardian must be mentioned if investments are being made on behalf of a minor. Date of birth is mandatory in case of minor. The minor shall be the first and the sole holder in the account (folio). No joint holder will be allowed in an account (folio) where minor is the first or sole holder. Guardian in the account (folio) on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian and the same must be mentioned in the space provided in application form. Copy of document evidencing the date of birth of the minor and relationship of the guardian with the minor (whether natural or legal guardian) should mandatorily be provided while opening of the account (folio). Also, nomination shall not be allowed in a folio/account held on behalf of a minor. When the minor attains majority then, please fill the Form for Minor attaining Majority

In case of an application under Power of Attorney or by a limited company, body corporate, registered society, trust or partnership, etc the relevant Power of attorney or the relevant resolution or authority to make the application as the case maybe, or duly notarised copy thereof, along with the Memorandum and Articles of Association/ Bye Laws must be lodged with the application form.

Documentation to be submitted by Corporate Investors/Societies / Trusts /Partnership Firms/FIIS

In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013. the additional details viz. Occupation details Gross Annual Income/networth and Politically Exposed Person (PEP)*status mentioned under section 4 & 5 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz Foreign Exchange/Gambling/Money lending, etc. (applicable for the first/sole applicant) is required to be provided as part of client Due Diligence (CCD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual Applications.

*PEP are defined as individuals who are or have been entrusted with prominent public function in the foreign country, e.g. Heads of states or of Government, senior politicians, senior Government / judicial / military officers, senior executive of state owned corporation, important political party officials, etc.

Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant ID / Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Documents	Individuals	Companies / LLP / FIs*	Trusts	Societies	Partnership Firms	Minor	NRIs	POA
Resolution / Authorisation to invest	✓	✓	✓	✓				
List of Authorised Signatories with Specimen Signature(s) @	✓	✓	✓	✓				✓
Certificate of Incorporation	✓							
Memorandum and Articles of Association / Partnership Deed	✓				✓			
Trust Deed			✓					
Bye-laws				✓				
Account Debit Certificate in case payment is made by DD from NRE / FCNR A/c where applicable								✓
PAN/PERN Proof (not required for existing investors)	✓	✓	✓	✓	✓	✓	✓	✓
KYC acknowledgment letter	✓	✓	✓	✓	✓	✓	✓	✓
Copy of cancelled Cheque/debit proof	✓	✓	✓	✓	✓	✓	✓	✓
PIO/QCI Card (As applicable)								✓
Notarised POA								✓
UBO	✓	✓	✓	✓	✓			✓
FACTA and CRS	✓	✓	✓	✓	✓	✓	✓	✓
Proof of Date of Birth								✓
Proof of Relationship with Guardian								✓
Names of the relevant persons holding senior management position#			✓					✓
Registered office and the principal place of its business if it is different.#		✓			✓			
Names of all the partners#						✓		
Names of the beneficiaries, trustees, settlor and authors of the trust and the address of the registered office of the trust #								
List of trustees and documents as are required for individuals as per Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2023 under sub-rule (4) for those discharging role as trustee and authorised to transact on behalf of the trust #				✓				

#These are additional documents to be submitted voluntarily

@ Should be original or true copy certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

* For FIs, copy of SEBI registration certificate should be provided.

The Power of Attorney should necessarily be signed by both the investor and the constituent Power of Attorney. Where only uncertified photocopies of the documents

are submitted/attached to the application form, the onus for authentication of the documents so submitted shall be on investors and the PPFAS Mutual Fund will accept and act in good faith on unauthenticated/not properly authenticated documents submitted/attached with the application form. Submission of such documents by investors shall be full and final investor's authority to invest and the PPFAS Mutual Fund shall not be liable under any circumstances for any defects in the documents so submitted.

Non-Individual investors are required to ensure that the object clause of the constitution document (viz. MOA / AOA / Trust Deed, etc.) permits investment in the scheme of PPFAS Mutual Fund. PPFAS Mutual Fund shall accept and process the applications made by these entities in good faith by relying on the undertaking given with respect to the authority, validity and compliance with all relevant formalities/conditions etc. in the application for making such investments with PPFAS Mutual Fund. Further, PPFAS Mutual Fund/Trustees or any of its affiliates shall not be liable in case of any dispute arising with respect to eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity, as applicable

- Applicants can specify the mode of holding in the application form as "Single" or "Joint" or "Anyone or Survivor". In the case of holding specified as "Joint", redemption and all other request / transactions would have to be signed by all unit holders. However, in cases of holding specified as "Anyone or Survivor", any one of the unit holders will have the power to make all necessary requests, without it being necessary for all the unit holders to sign. In the event the account has more than one registered unit holders and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all cases, the proceeds of all IDCW/redemption will be paid to the first named holder. All communications will also be sent to the first named holder.
- Investors should clearly indicate their preference of Plan/option on the application form. If no plan is selected in the application form, the investment will be deemed to be for the default option i.e. Direct Plan.

D. Bank Details

a) Pay- Out Bank Account Details:

An investor at the time of purchase of units must provide the details of his / her pay-out bank account (i.e. account into which redemption proceeds are to be paid) in Section 9 in the Application Form.