

COL 106 : Data Structures and Algorithms

Semester II, 2022-23, IIT Delhi

Assignment - 3 (due on 25th February, 11:00 PM)

Important Guidelines:

- You must ensure that your submitted code runs in the JDK 11.0.17 environment.
- You are not allowed to share your code with anyone. Cheating of any form will lead to strict disciplinary action. Typical penalty would include Fail grade in the course.
- The assignment must be done individually, and no group submissions are allowed.
- All starter code files must be included in the submission, which must be uploaded on the gradescope without compressing the files.
- The names of files and method signatures must not be changed in the starter code.
- You should write the code in the portion of the starter code labelled "**To be filled in by the student**".
- Do not declare global variables as your code will run on multiple testcases and hence might give incorrect results when you submit the code on autograder.
- While submitting the code zip the folders Q1 and Q2 into a single file rather than zipping the folder containing Q1 and Q2.

1 Skip List

A skip list (discussed in lectures 11, 12) is a data structure that uses linked lists and randomization to guarantee $O(\log n)$ time for insert, delete, and search operations on expectation. Work your way through this assignment to learn how to implement a skip list and use it to solve an interesting problem!

1.1 Implementation

In this part, your task is to implement a skip list data-structure using a class `SkipList` defined in `SkipList.java`. The `SkipList` class will comprise of the following components:

- **Instance variables:**

1. `int height` - The height of the skip list, equals the maximum number of linked lists any node is part of. An empty skip-list will have height 1.
2. `SkipListNode head` - The head sentinel, has fixed value `Integer.MIN_VALUE`, and height equal to the skip list height. Upon initialization, it should have height 1, and should point to the tail sentinel at that height.
3. `SkipListNode tail` - The tail sentinel, has fixed value `Integer.MAX_VALUE`, and height equal to the skip list height. At each height, it points to null. Upon initialization, it has height 1.
4. `Randomizer randomizer` - A randomizer object to generate node heights for elements to be inserted. See below for more details.

- **Member functions:**

1. `public boolean search(int target)` - Takes an integer *target* as a parameter and returns *true* if it is present in the skip list, and *false* otherwise.
2. `public boolean delete(int num)` - Takes an integer *num* as a parameter and returns *false* if it is not present in the skip list, otherwise removes the **first** occurrence of value *num* from the skip list and returns *true*. **After deleting a node the height must be updated such that the next pointer of head node at any level (0,height) must not point to tail node, unless the skiplist is empty.**
3. `public void insert(int num)` - Takes an integer *num* as a parameter and inserts it into the skip list (**before** all existing instance of *num*, if any).
4. `public Integer upperBound(int target)` - Takes an integer *target* as a parameter and returns the next larger integer in the skip list. Returns `Integer.MAX_VALUE` if no such integer exists.
5. `public void print()` - The **print** function simply prints the elements of skip list.

Each node in a `SkipList` will be an object of the class `SkipListNode`. The class `SkipListNode` comprises of the following:

- **Instance variables:**

1. `int height` - The height of the node, equals the number of linked lists it is part of.
2. `int value` - The value stored at the node.
3. `ArrayList<SkipListNode> next` - An `ArrayList` of size *height*, with pointers to next nodes of this node. Note that `next[0]` points to the next element in the base linked list (that is, list at height 1), whereas `next[h]` points to the next node in the linked list at height $h+1$.

Your task is to implement the functions **search**, **insert**, **delete** and **upperBound** in **SkipList.java**. You can create helper functions if you like, but you are not allowed to change the signature of the given functions. The implementations of the classes **SkipListNode** and **Randomizer**, and the functions **print** and **SkipList** have been provided. You are not allowed to change them.

Note on Randomizer and Insertion: An object *randomizer* of class `Randomizer` has been initialized in the `SkipList` class. It has a function **binaryRandomGen**, which returns *true* or *false* in a (pseudo) random manner. While inserting a node, you should initialize its height to 1, and using `randomizer.binaryRandomGen()`, you should iteratively check whether its height should be increased. **Note that if the node height becomes larger than the skip list height, then you must stop calls to `binaryRandomGen()` function, and update the skip list height.** Using this procedure is mandatory, not adhering may cause inconsistency in output.

Note: Height at any instant must represents current the height of skiplist.

Example:

TestCase :-

```
SkipList skiplistobj = new SkipList();
```

```
skiplistobj.insert(3);
```

```
skiplistobj.insert(1);
```

```
skiplistobj.insert(5);
```

```
skiplistobj.insert(8);
```

```
skiplistobj.search(1);
```

```
skiplistobj.search(10);
```

```
skiplistobj.delete(5);
```

```
skiplistobj.insert(4)
```

```
skiplistobj.search(5);
```

```
skiplistobj.search(4);
```

```
skiplistobj.upperBound(3);
```

Outputs:-

```
true
```

```
false
```

```
false
```

```
true
```

```
4
```

Final SkipList after all operations:-

```
head->1---->4---->tail
```

```
head->1->3->4->8->tail
```

1.2 Using SkipLists

In this part, you will learn a beautiful application of the *SkipList* class you created.

Suppose you run a *Bakery* that specializes in multi-tier cakes. The standard preparation procedure is to bake each tier in a separate oven and combine them **bottom up**. Obviously, each subsequent tier should be **smaller** than the one just below. You rose to fame overnight when your special New Year cake gained widespread acclaim on *Instagram*. As a result, you decided to apply your data structure skills to ground the preparation procedure. You have a large number of ovens. You bake one cake tier in each one of them. Cake tiers in different ovens may have different sizes, and they come out of the oven one by one, randomly. As and when a cake tier comes out, you have two choices -

1. You can make it base of a new cake.
2. You can add it on top of an existing tier (whose top most cake has larger size).

You **must** process the cake levels in the order they come out of the oven. Assume that no two cakes come out at the same time. You want to make the **minimum** number of cakes, owing to your limited space availability.

Your task is to implement the function:

`static Integer solve(ArrayList<Integer> cakes)` in **Bakery.java**. The function takes an ArrayList of Integers representing the sizes of the cake tiers. It returns the minimum number of cakes that can be formed. You can create helper functions if you like, but you are not allowed to change the signature of the given function.

This question can be solved with various data structures. However, for an optimal solution, you require $O(\log n)$ time for search, insert and delete. You will be using a skip list here, as it provides the required time guarantees. You should use the functions developed in the first part of this question. **You are not allowed to use any other inbuilt/user defined data structure for this question.**

Example:

Input Format:-

The input will consist of an ArrayList containing the sizes of the cake tiers in the order they come out of ovens.

Output Format:-

The output will consist of a single integer which represents the minimum number of cakes that the baker can make.

TestCase-:

Input:

2 9 3 2 8

Output-:

3

Explanation-:

An optimal way will follow the following procedure:

1. Get cake tier sized 2. Create a new base and get ((2)).
2. Get cake tier sized 9. Create a new base and get ((2), (9)).
3. Get cake tier sized 3. Create a new base, and get ((2), (9), (3)).
4. Get cake tier sized 2. Put on 3, and get ((2), (9), (3, 2)).
5. Get cake tier sized 8. Put on 9, and get ((2), (9, 8), (3, 2)).

In the end, we have 3 cakes. It can be shown that this is the minimum possible.

Hint: This problem can be solved in an online fashion - you don't need to know what tiers you will be encountering afterward to make the best decision at a given step. Ponder on the following questions:

- What should you store in the skip list? *After seeing some tiers and arranging them in some way, what is the important information you need for later?*
- Is it better to create a new base or add it on top of an existing one?
- Once you choose to insert the tier on an existing one, which one should you choose to insert if there are several options?

2 Balanced Binary Search Tree Implementation

When it comes to searching and sorting data, one of the most fundamental data structures is the Binary Search Tree (BST). A BST stores data with the invariant that the key of any node is larger than or equal to the keys in its left subtree and is smaller than equal to the keys in its right subtree. You'll learn how to implement a BST in the first part of this question.

Recall that the performance of a binary search tree is highly dependent on its shape, and in the worst case, it can degenerate into a linear structure with time complexity of $O(n)$. This is where Balanced BST comes in, and you will get to implement this in the second part of the question.

2.1 BST implementation

In this part of the assignment, we will implement a simple binary search tree.

The class `BST` will contain the following components.

- **Instance variables:**

1. `BSTNode root` - Points to the root of the BST, initially, it is Null, representing empty BST.

- **Member functions:**

1. `public void insert(int num)` - Takes an integer *num* as a parameter and inserts num at the leaf of the BST.
2. `public boolean search(int num)` - Takes an integer *num* as a parameter returns *true* if it is present in the BST, and *false* otherwise.
3. `public boolean delete(int num)` - Takes an integer *num* as a parameter and returns *false* if it is not present in the BST, otherwise removes the value *num* from the BST and returns *true*.
(While deleting an internal node the node chosen to perform swap should be the *inorder predecessor of the node storing num*).
4. `public ArrayList<Integer> inorder()` - The **inorder** function simply returns `ArrayList<Integer>` containing the inorder traversal of the BST.
5. `public ArrayList<Integer> preorder()` - The **preorder** function simply returns `ArrayList<Integer>` containing the preorder traversal of the BST.
6. `public ArrayList<Integer> postorder()` - The **postorder** function simply returns `ArrayList<Integer>` containing the postorder traversal of the BST.

Each node in a BST will be an object of the class `BSTNode`. The class `BSTNode` comprises of the following:

- **Instance variables:**

1. `int value` - The integer value stored at the node.
2. `BSTNode left` - Points to the left child of node.
3. `BSTNode right` - Points to the right child of node.
4. `int height` - The height of the node, initialized as 1.

You can create other helper functions if you like, but don't change the signature of the given functions. **You are not allowed to use any inbuilt/user-defined data structures for this part.**

Example:

```

TestCase1:-
BST bst = new BST();
bst.insert(2);
bst.insert(0);
bst.insert(7);
bst.insert(4);

bst.inorder();
bst.preorder();
bst.postorder();

Final BST after all insertions :-
      2
     / \
    0   7
     /
    4

Inorder Traversal should return:
0 2 4 7
Preorder Traversal should return:
2 0 7 4
Postorder Traversal should return:
0 4 7 2

```

NOTE:- All elements of the BST will be distinct.

2.2 Balanced BST implementation

A Balanced BST ensures that the heights of the left sub-tree and right sub-tree are related by a constant factor, using a specific set of rules. This balance guarantees that the time complexity for operations such as insertion, deletion, and searching is always $O(\log n)$, regardless of the initial shape of the tree.

In this part of the assignment, we will use the previously implemented class BST to implement Balanced BST.

The class *BalancedBST* will extend the class *BST* and override the methods *insert* and *delete* to ensure that the BST after insertion and deletion operation remains balanced.

- **Updated Member functions:**

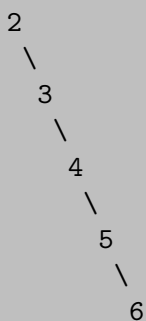
1. **public void insert(int num)** - Takes an integer *num* as a parameter and inserts *num* in the BST. Should ensure that the tree is balanced after insertion.
2. **public boolean delete(int num)** - Takes an integer *num* as a parameter and removes the occurrence of node with value *num* from the BST. (While deleting an internal node the node chosen to perform swap should be the *inorder predecessor* of the node storing *num*)

NOTE:- For balancing no additional data-structures should be used. All operations should take only $O(1)$ additional space. You can create other helper functions if you like, but don't change the signature of the given functions.

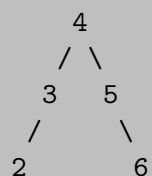
Example:

```
TestCase2:-
BalancedBST bst = new BalancedBST();
bst.insert(2);
bst.insert(3);
bst.insert(4);
bst.insert(5);
bst.insert(6);
```

Unbalanced BST



Balanced BST



NOTE:- All elements of the BST will be distinct. Height at any instant must represent current the height of BST. You are not allowed to use any inbuilt/user-defined data structures for this part.