

Majorization, correlating catalysts, and the one-shot interpretation of entropic quantities

Markus P. Müller^{1,2,4}, Matteo Lostaglio³,
Michele Pastena⁴, and Jakob Scharlau⁴

¹ Institute for Quantum Optics and Quantum Information, Vienna

² Perimeter Institute for Theoretical Physics, Waterloo, Canada

³ ICFO - Institut de Ciencies Fotoniques, Barcelona, Spain

⁴ Department of Theoretical Physics, University of Heidelberg, Germany



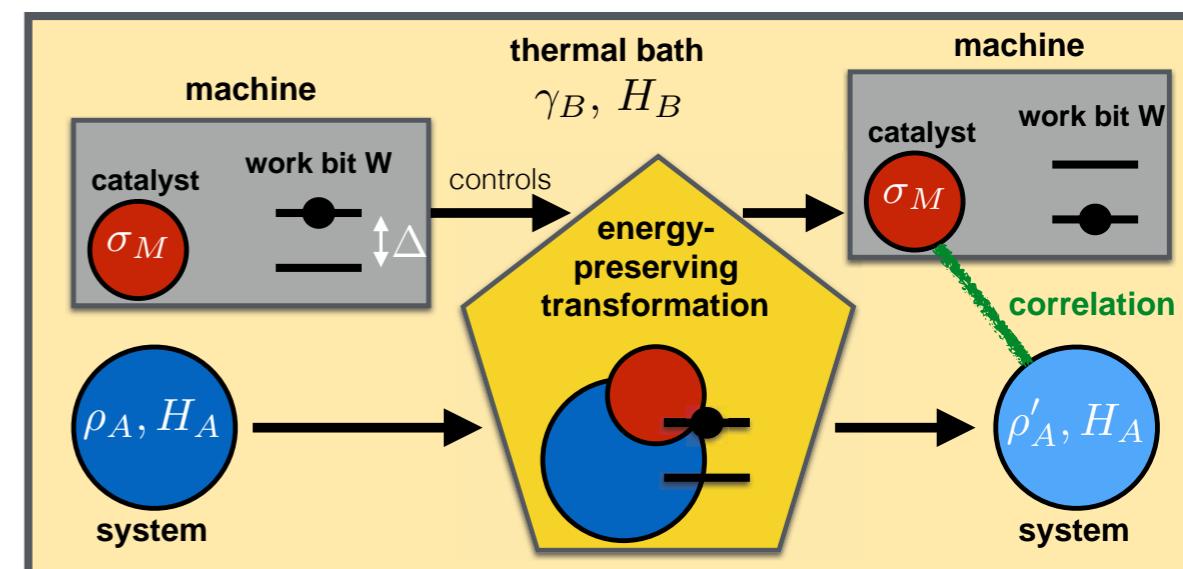
Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for
quantum thermodynamics

4. Implications for quantum information (in progress)

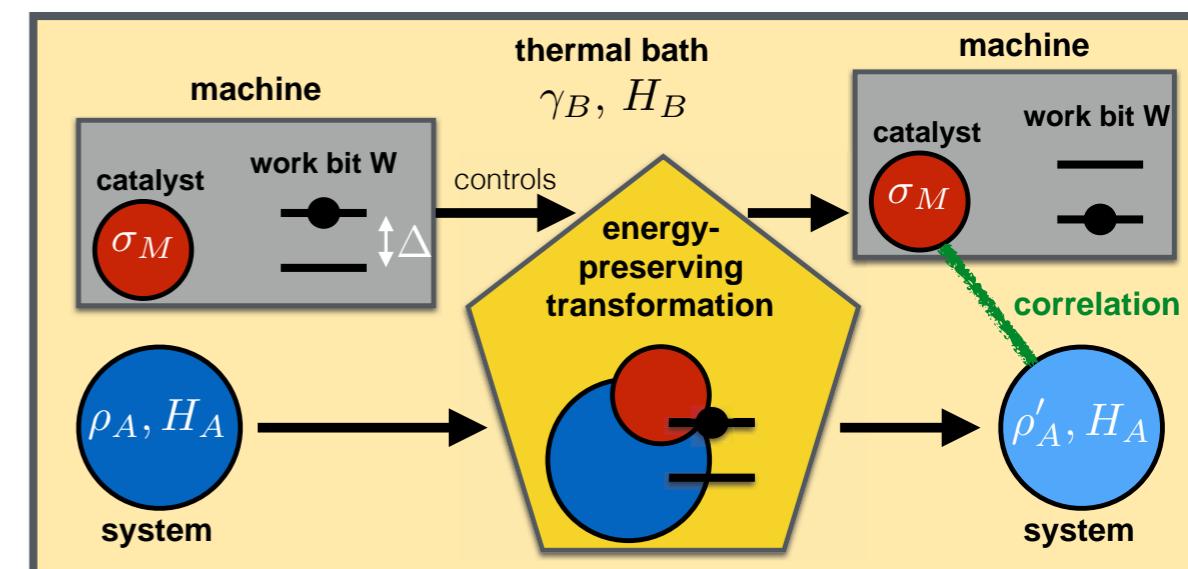


Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for quantum thermodynamics



4. Implications for quantum information (in progress)

Intro: Majorization in quantum information

When can a state $|\psi\rangle_{AB}$ be transformed into another state $|\varphi\rangle_{AB}$ by LOCC?

Intro: Majorization in quantum information

When can a state $|\psi\rangle_{AB}$ be transformed into another state $|\varphi\rangle_{AB}$ by LOCC?

Nielsen's Theorem: If and only if $\lambda_\varphi \succ \lambda_\psi$.

$$|\psi\rangle = \sum_i \sqrt{\lambda_\psi^{(i)}} |i\rangle \otimes |i\rangle$$

Intro: Majorization in quantum information

When can a state $|\psi\rangle_{AB}$ be transformed into another state $|\varphi\rangle_{AB}$ by LOCC?

Nielsen's Theorem: If and only if $\lambda_\varphi \succ \lambda_\psi$.

$$|\psi\rangle = \sum_i \sqrt{\lambda_\psi^{(i)}} |i\rangle \otimes |i\rangle$$

Majorization: prob. vectors $p = (p_1, \dots, p_n)$, $q = (q_1, \dots, q_n)$

$$p \succ q \iff \sum_{i=1}^k p_i^\downarrow \geq \sum_{i=1}^k q_i^\downarrow \quad (k = 1, \dots, n).$$

Intro: Majorization in quantum information

When can a state $|\psi\rangle_{AB}$ be transformed into another state $|\varphi\rangle_{AB}$ by LOCC?

Nielsen's Theorem: If and only if $\lambda_\varphi \succ \lambda_\psi$.

$$|\psi\rangle = \sum_i \sqrt{\lambda_\psi^{(i)}} |i\rangle \otimes |i\rangle$$

Majorization: prob. vectors $p = (p_1, \dots, p_n)$, $q = (q_1, \dots, q_n)$

$$p \succ q \iff \sum_{i=1}^k p_i^\downarrow \geq \sum_{i=1}^k q_i^\downarrow \quad (k = 1, \dots, n).$$

e.g. $(1, 0, 0) \succ (.7, .2, .1) \succ \left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}\right)$

(Resource theory of) noisy operations

(Resource theory of) noisy operations

M.+P. Horodecki, and J. Oppenheim, *Reversible transformations from pure to mixed states, and the unique measure of information*, Phys. Rev. A **67**, 062104 (2003).

(Resource theory of) noisy operations

M.+P. Horodecki, and J. Oppenheim, *Reversible transformations from pure to mixed states, and the unique measure of information*, Phys. Rev. A **67**, 062104 (2003).

Write $\rho_A \xrightarrow{\text{noisy}} \rho'_A$ if there is a finite-dim. B , a unitary U_{AB} and maximally mixed state $\mu_B = \mathbf{1}_B/d_B$ such that

$$\rho'_A = \mathrm{Tr}_B \left[U_{AB} (\rho_A \otimes \mu_B) U_{AB}^\dagger \right].$$

(Resource theory of) noisy operations

M.+P. Horodecki, and J. Oppenheim, *Reversible transformations from pure to mixed states, and the unique measure of information*, Phys. Rev. A **67**, 062104 (2003).

Write $\rho_A \xrightarrow{\text{noisy}} \rho'_A$ if there is a finite-dim. B , a unitary U_{AB} and maximally mixed state $\mu_B = \mathbf{1}_B/d_B$ such that

$$\rho'_A = \mathrm{Tr}_B \left[U_{AB} (\rho_A \otimes \mu_B) U_{AB}^\dagger \right].$$

Blueprint of the resource theory of q. thermodynamics...

(Resource theory of) noisy operations

M.+P. Horodecki, and J. Oppenheim, *Reversible transformations from pure to mixed states, and the unique measure of information*, Phys. Rev. A **67**, 062104 (2003).

Write $\rho_A \xrightarrow{\text{noisy}} \rho'_A$ if there is a finite-dim. B , a unitary U_{AB} and maximally mixed state $\mu_B = \mathbf{1}_B/d_B$ such that

$$\rho'_A = \mathrm{Tr}_B \left[U_{AB} (\rho_A \otimes \mu_B) U_{AB}^\dagger \right].$$

Blueprint of the resource theory of q. thermodynamics...

Theorem. For all $\varepsilon > 0$ there is $\rho'_A(\varepsilon)$ and

$$\rho_A \xrightarrow{\text{noisy}} \rho'_A(\varepsilon), \quad \|\rho'_A - \rho'_A(\varepsilon)\| < \varepsilon$$

if and only if $\mathrm{spec}(\rho_A) \succ \mathrm{spec}(\rho'_A)$.

(Resource theory of) noisy operations

M.+P. Horodecki, and J. Oppenheim, *Reversible transformations from pure to mixed states, and the unique measure of information*, Phys. Rev. A **67**, 062104 (2003).

Write $\rho_A \xrightarrow{\text{noisy}} \rho'_A$ if there is a finite-dim. B , a unitary U_{AB} and maximally mixed state $\mu_B = \mathbf{1}_B/d_B$ such that

$$\rho'_A = \mathrm{Tr}_B \left[U_{AB} (\rho_A \otimes \mu_B) U_{AB}^\dagger \right].$$

Blueprint of the resource theory of q. thermodynamics...

Theorem. For all $\varepsilon > 0$ there is $\rho'_A(\varepsilon)$ and

$$\rho_A \xrightarrow{\text{noisy}} \rho'_A(\varepsilon), \quad \|\rho'_A - \rho'_A(\varepsilon)\| < \varepsilon$$

if and only if $\mathrm{spec}(\rho_A) \succ \mathrm{spec}(\rho'_A)$.

$$\rho_A \succ \rho'_A$$

(Resource theory of) noisy operations

M.+P. Horodecki, and J. Oppenheim, *Reversible transformations from pure to mixed states, and the unique measure of information*, Phys. Rev. A **67**, 062104 (2003).

Write $\rho_A \xrightarrow{\text{noisy}} \rho'_A$ if there is a finite-dim. B , a unitary U_{AB} and maximally mixed state $\mu_B = \mathbf{1}_B/d_B$ such that

$$\rho'_A = \mathrm{Tr}_B \left[U_{AB} (\rho_A \otimes \mu_B) U_{AB}^\dagger \right].$$

Blueprint of the resource theory of q. thermodynamics...

Theorem. For all $\varepsilon > 0$ there is $\rho'_A(\varepsilon)$ and

$$\rho_A \xrightarrow{\text{noisy}} \rho'_A(\varepsilon), \quad \|\rho'_A - \rho'_A(\varepsilon)\| < \varepsilon$$

if and only if $\mathrm{spec}(\rho_A) \succ \mathrm{spec}(\rho'_A)$.

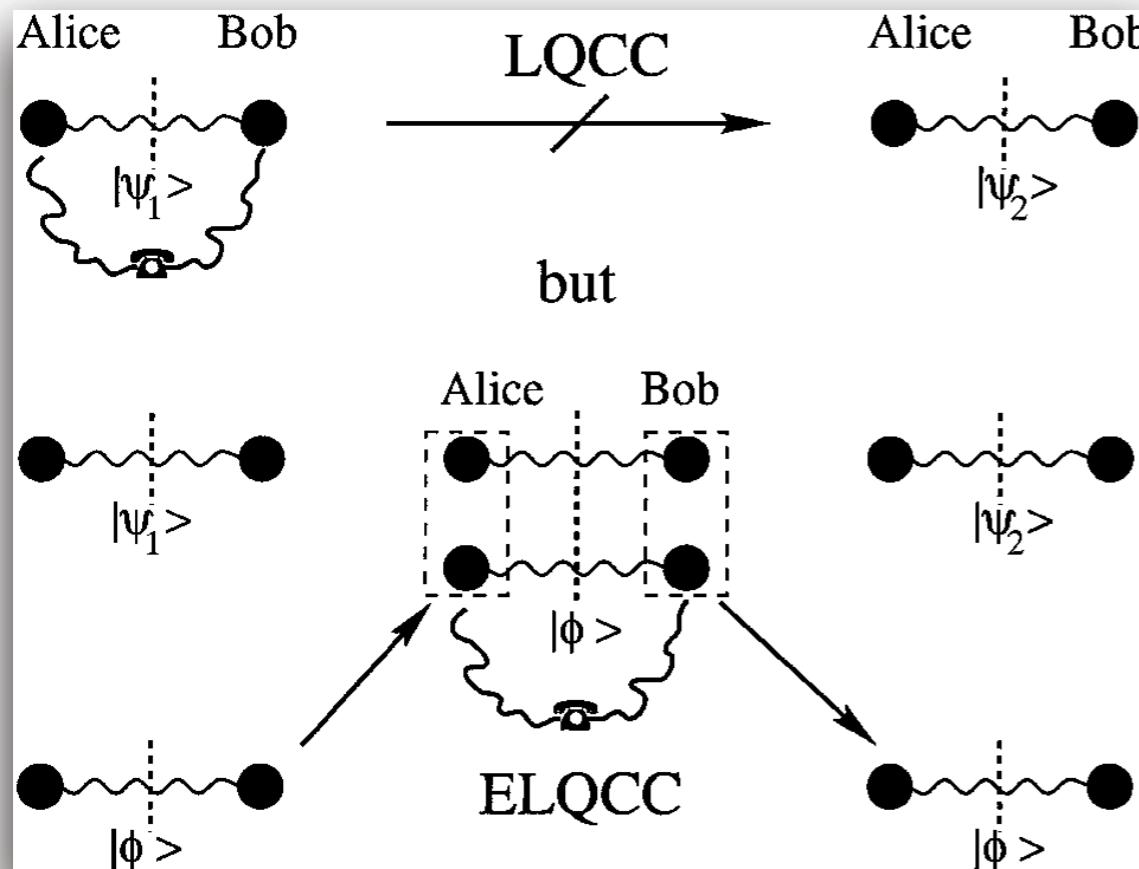
Need large d_B for small ε .

$$\rho_A \succ \rho'_A$$

Catalysis

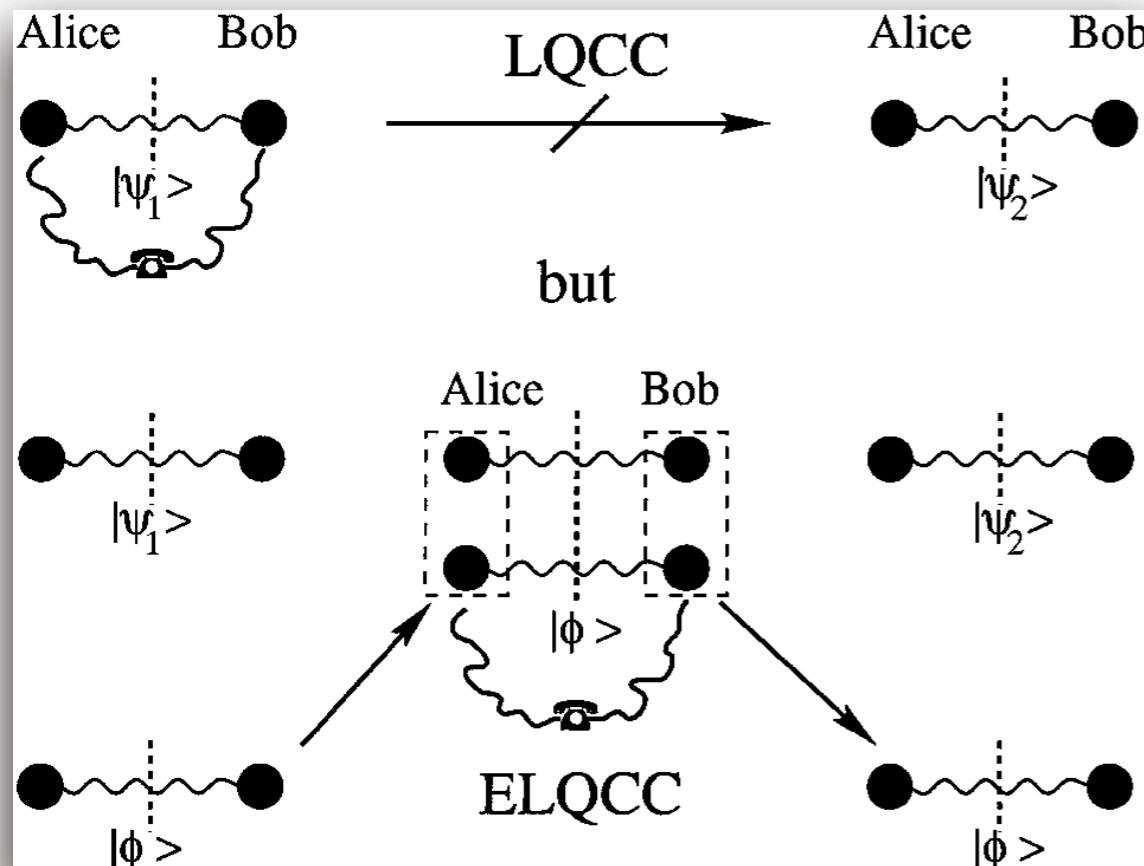
Catalysis

D. Jonathan and M. B. Plenio, *Entanglement-Assisted Local Manipulation of Pure Quantum States*, Phys. Rev. Lett. **83**(17), 3566 (1999).



Catalysis

D. Jonathan and M. B. Plenio, *Entanglement-Assisted Local Manipulation of Pure Quantum States*, Phys. Rev. Lett. **83**(17), 3566 (1999).



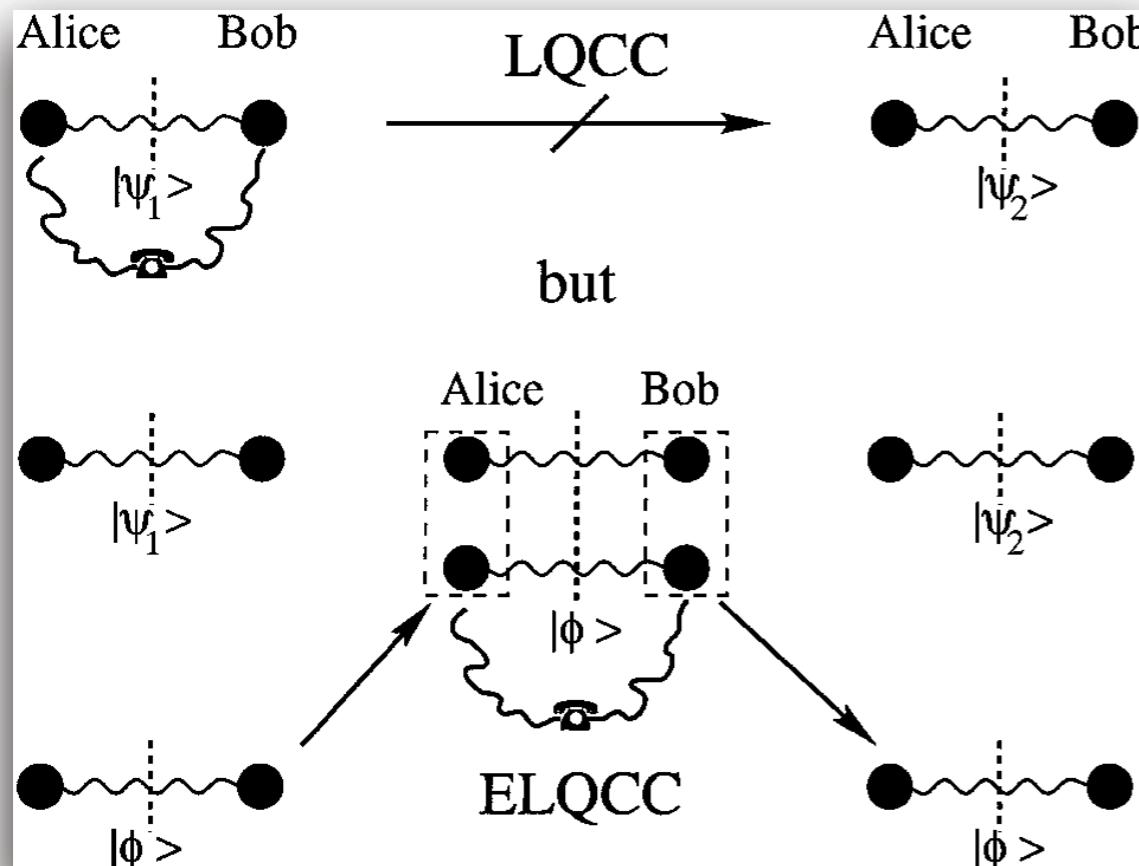
There are states with

$$|\psi_1\rangle \xrightarrow{\text{LOCC}} |\psi_2\rangle \quad \text{but}$$

$$|\psi_1\rangle \otimes |\varphi\rangle \xrightarrow{\text{LOCC}} |\psi_2\rangle \otimes |\varphi\rangle$$

Catalysis

D. Jonathan and M. B. Plenio, *Entanglement-Assisted Local Manipulation of Pure Quantum States*, Phys. Rev. Lett. **83**(17), 3566 (1999).



There are states with

$$|\psi_1\rangle \xrightarrow{\text{LOCC}} |\psi_2\rangle \quad \text{but}$$

$$|\psi_1\rangle \otimes |\varphi\rangle \xrightarrow{\text{LOCC}} |\psi_2\rangle \otimes |\varphi\rangle$$

because there are prob. vectors p, q, c with $p \succ q$

but $p \otimes c \succ q \otimes c.$

Catalysis

Given p, q , when is there c such that $p \otimes c \succ q \otimes c$?

Catalysis

Given p, q , when is there c such that $p \otimes c \succ q \otimes c$?

Lemma (Klimesh; Turgut 2007):

Assuming $p^\downarrow \neq q^\downarrow$, there is such a c if and only if

$H_\alpha(p) < H_\alpha(q)$ for all $\alpha \in \mathbb{R} \setminus \{0\}$ and

$H_{\text{Burg}}(p) < H_{\text{Burg}}(q)$.

Catalysis

Given p, q , when is there c such that $p \otimes c \succ q \otimes c$?

Lemma (Klimesh; Turgut 2007):

Assuming $p^\downarrow \neq q^\downarrow$, there is such a c if and only if

$$H_\alpha(p) < H_\alpha(q) \text{ for all } \alpha \in \mathbb{R} \setminus \{0\} \text{ and}$$

$$H_{\text{Burg}}(p) < H_{\text{Burg}}(q).$$

$$H_\alpha(p) = \frac{\text{sgn}(\alpha)}{1 - \alpha} \log \sum_{i=1}^n p_i^\alpha, \quad H_{\text{Burg}}(p) = \frac{1}{n} \sum_{i=1}^n \log p_i.$$

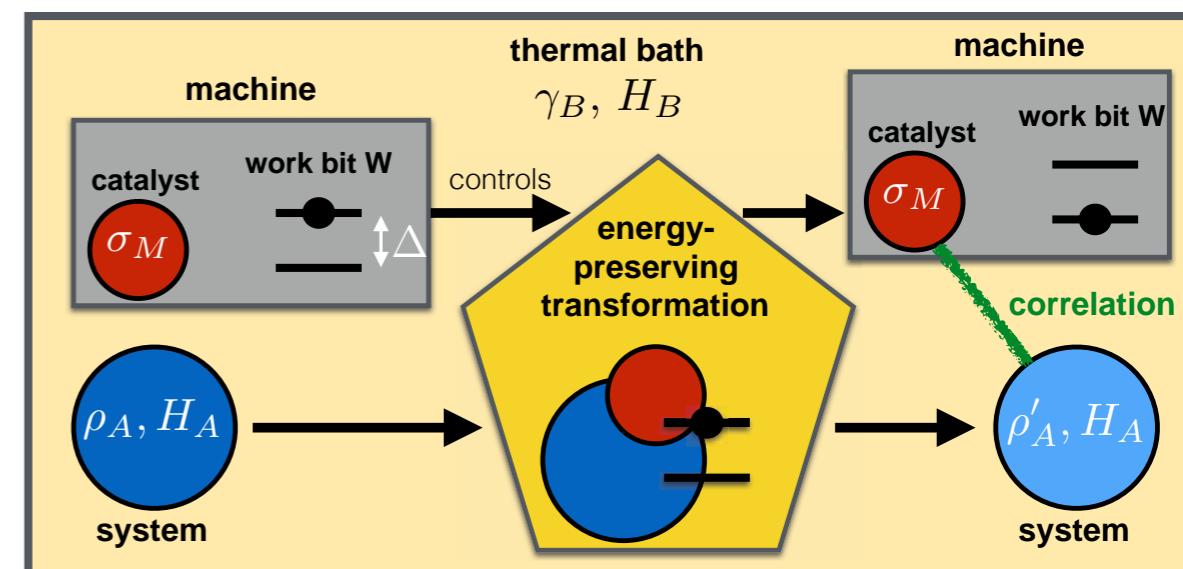
Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for quantum thermodynamics

4. Implications for quantum information (in progress)

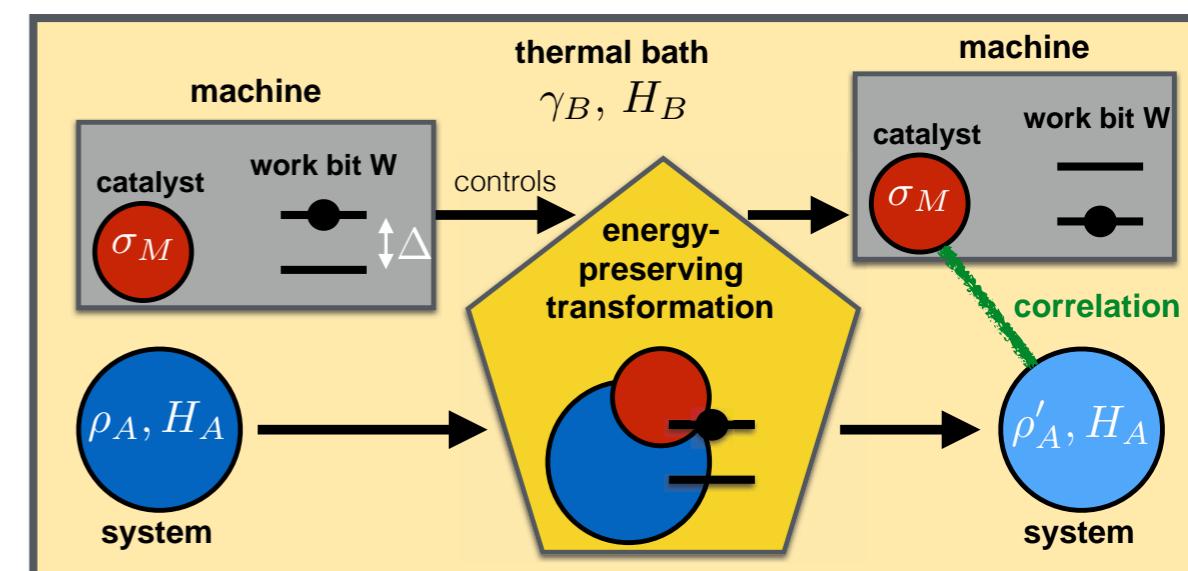


Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for
quantum thermodynamics



4. Implications for quantum information (in progress)

Main mathematical results

[2] J. Scharlau and MM, *Quantum Horn's lemma, finite heat baths, and the third law of thermodynamics*, arXiv:1605.06092



Main mathematical results

[2] J. Scharlau and MM, *Quantum Horn's lemma, finite heat baths, and the third law of thermodynamics*, arXiv:1605.06092



Theorem 3 (Ref. [2]). *Let ρ and ρ' be quantum states on A such that $\rho \succ \rho'$, and let B be a copy of A . Then there exists a unitary U_{AB} such that*

$$\rho'_A = \text{Tr}_B \left[U_{AB} (\rho_A \otimes \mu_B) U_{AB}^\dagger \right],$$

that is, the noisy transition from ρ to ρ' can be achieved exactly with an auxiliary system that is of the same size as A . Moreover, U_{AB} can be chosen to leave the maximally mixed state μ_B on B invariant.

Main mathematical results

[2] J. Scharlau and MM, *Quantum Horn's lemma, finite heat baths, and the third law of thermodynamics*, arXiv:1605.06092



Theorem 3 (Ref. [2]). *Let ρ and ρ' be quantum states on A such that $\rho \succ \rho'$, and let B be a copy of A . Then there exists a unitary U_{AB} such that*

$$\rho'_A = \text{Tr}_B \left[U_{AB} (\rho_A \otimes \mu_B) U_{AB}^\dagger \right],$$

that is, the noisy transition from ρ to ρ' can be achieved exactly with an auxiliary system that is of the same size as A . Moreover, U_{AB} can be chosen to leave the maximally mixed state μ_B on B invariant.

Answers a question by Bengtsson and Życzkowski.

Main mathematical results

Main mathematical results

- [0] MM and M. Pastena, *A generalization of majorization that characterizes Shannon entropy*, IEEE Trans. Inf. Th. **62**(4), 1711-1720 (2016)
- [1] MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



Main mathematical results

- [0] MM and M. Pastena, *A generalization of majorization that characterizes Shannon entropy*, IEEE Trans. Inf. Th. **62**(4), 1711-1720 (2016)
- [1] MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



Theorem 2 (Ref. [1]). *Let $p, p' \in \mathbb{R}^m$ be probability distributions with $p^\downarrow \neq p'^\downarrow$. Then there exists an extension p'_{XY} of $p' \equiv p'_X$ such that*

$$p_X \otimes p'_Y \succ p'_{XY} \quad (1)$$

if and only if $H_0(p) \leq H_0(p')$ and $H(p) < H(p')$. Moreover, for every $\varepsilon > 0$, we can choose Y and p'_{XY} such that the mutual information is $I(X : Y) \equiv S(p'_{XY} \| p'_X \otimes p'_Y) < \varepsilon$.

Main mathematical results

- [0] MM and M. Pastena, *A generalization of majorization that characterizes Shannon entropy*, IEEE Trans. Inf. Th. **62**(4), 1711-1720 (2016)
- [1] MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



Theorem 2 (Ref. [1]). *Let $p, p' \in \mathbb{R}^m$ be probability distributions with $p^\downarrow \neq p'^\downarrow$. Then there exists an extension p'_{XY} of $p' \equiv p'_X$ such that*

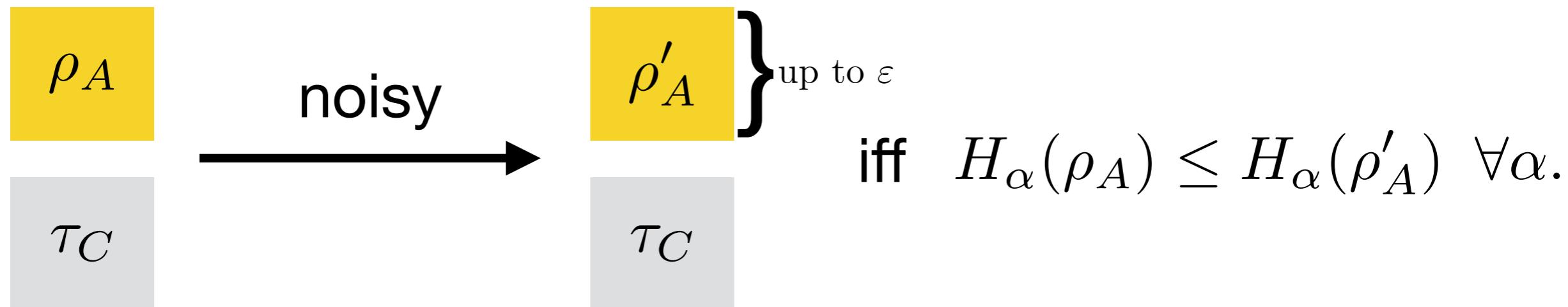
$$p_X \otimes p'_Y \succ p'_{XY} \quad (1)$$

if and only if $H_0(p) \leq H_0(p')$ and $H(p) < H(p')$. Moreover, for every $\varepsilon > 0$, we can choose Y and p'_{XY} such that the mutual information is $I(X : Y) \equiv S(p'_{XY} \| p'_X \otimes p'_Y) < \varepsilon$.

$$H_0(p) = \log \#\{i : p_i \neq 0\}, \quad H(p) = - \sum_i p_i \log p_i.$$

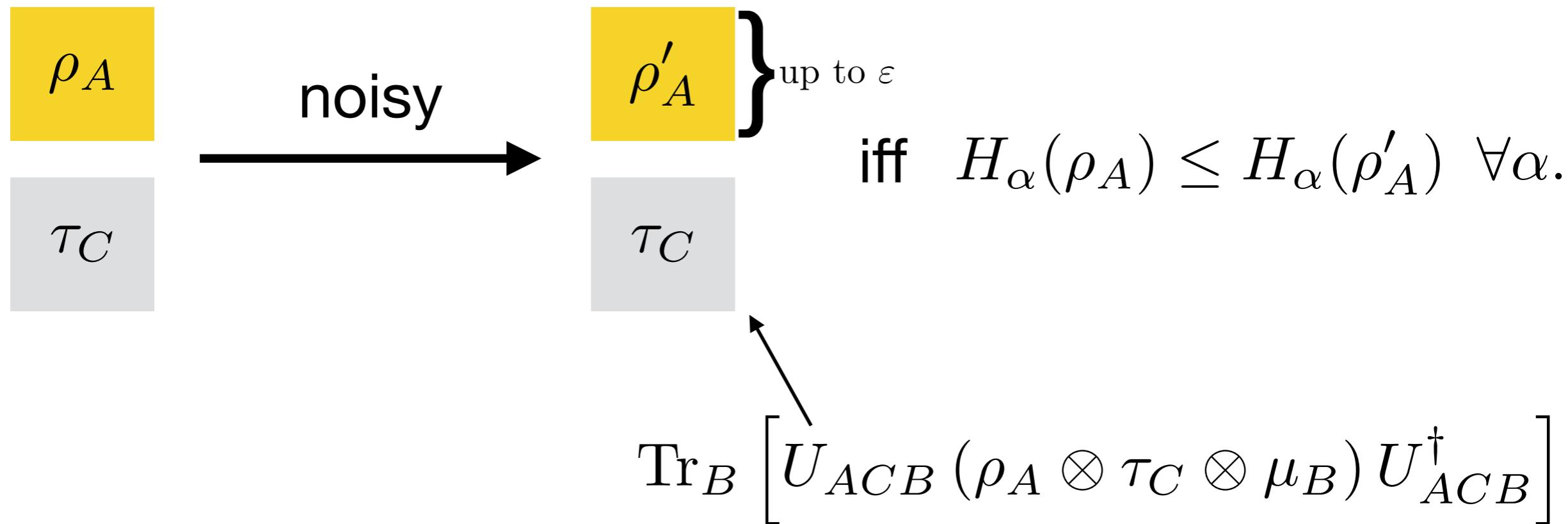
Catalytic noisy operations

Klimesh/Turgut's 2007 catalysis result implies:



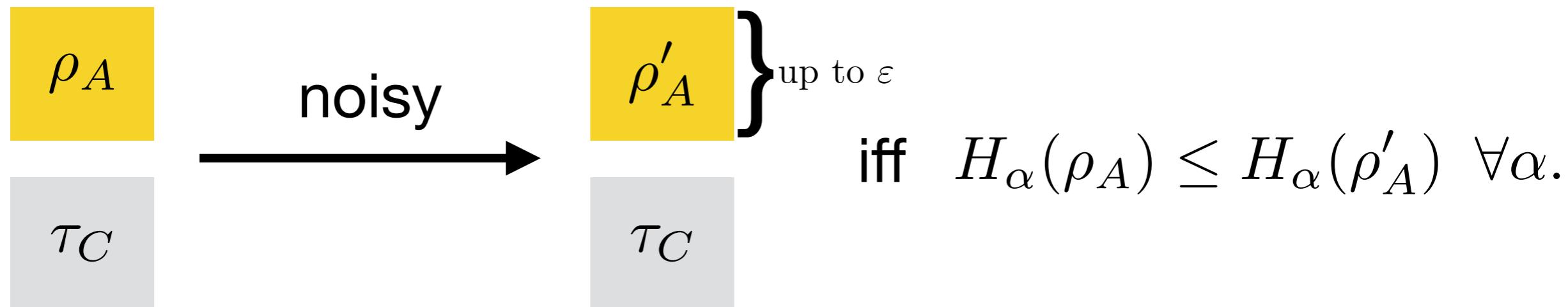
Catalytic noisy operations

Klimesh/Turgut's 2007 catalysis result implies:



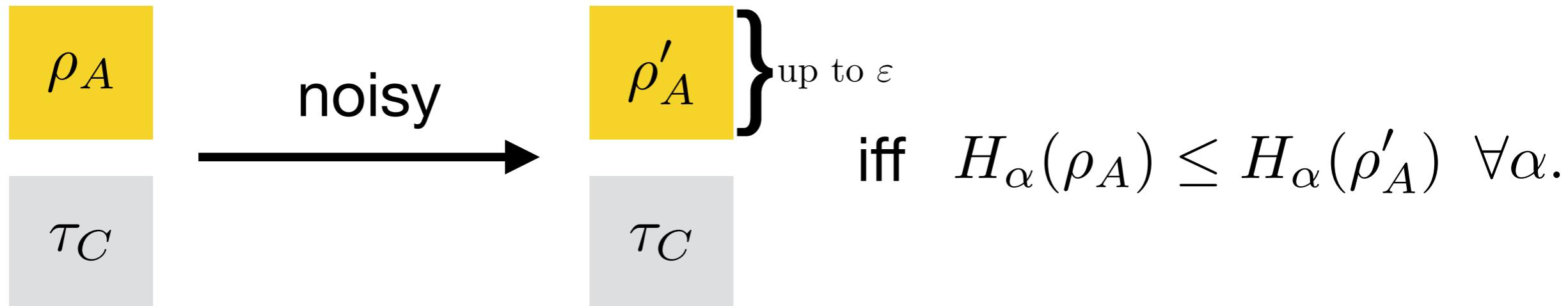
Catalytic noisy operations

Klimesh/Turgut's 2007 catalysis result implies:

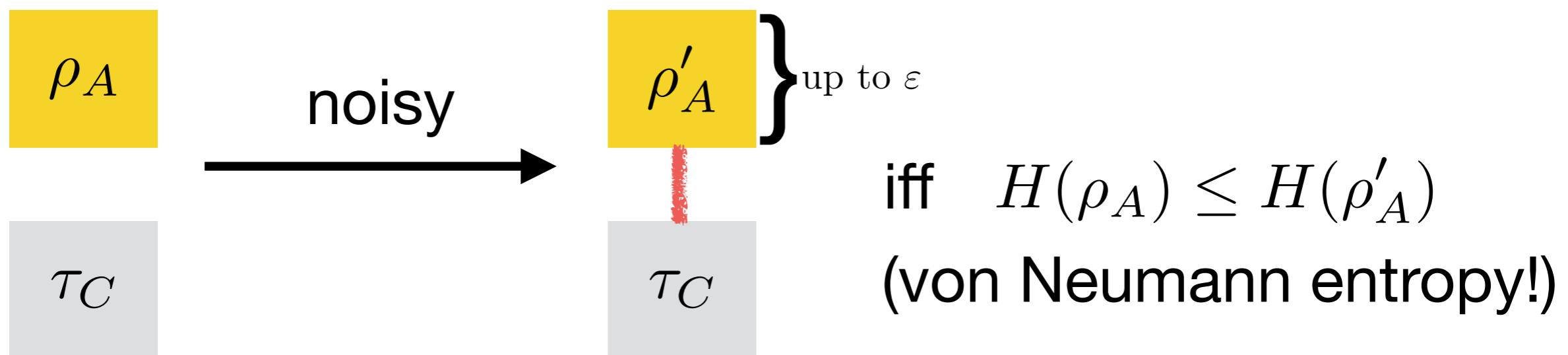


Catalytic noisy operations

Klimesh/Turgut's 2007 catalysis result implies:



Our result implies:

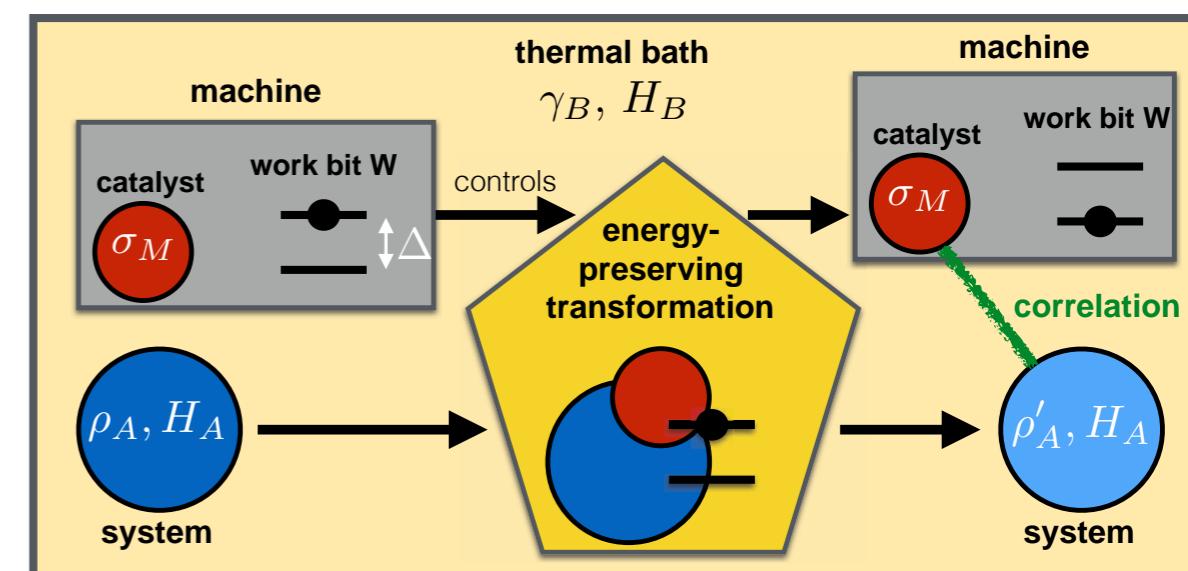


Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for
quantum thermodynamics



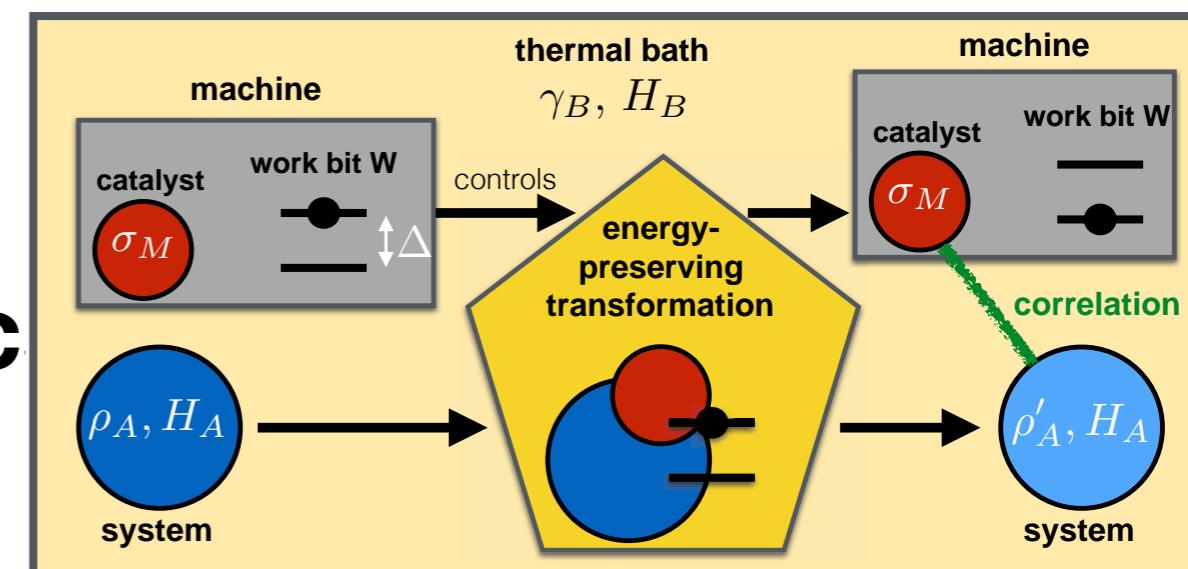
4. Implications for quantum information (in progress)

Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for
quantum thermodynamic



4. Implications for quantum information (in progress)

3. Quantum thermodynamics

Implications for quantum thermodynamics



The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

3. Quantum thermodynamics

Implications for quantum thermodynamics



The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

Quantum thermo for small&strongly correlated systems:
formulate as a **resource theory**.

3. Quantum thermodynamics

Implications for quantum thermodynamics

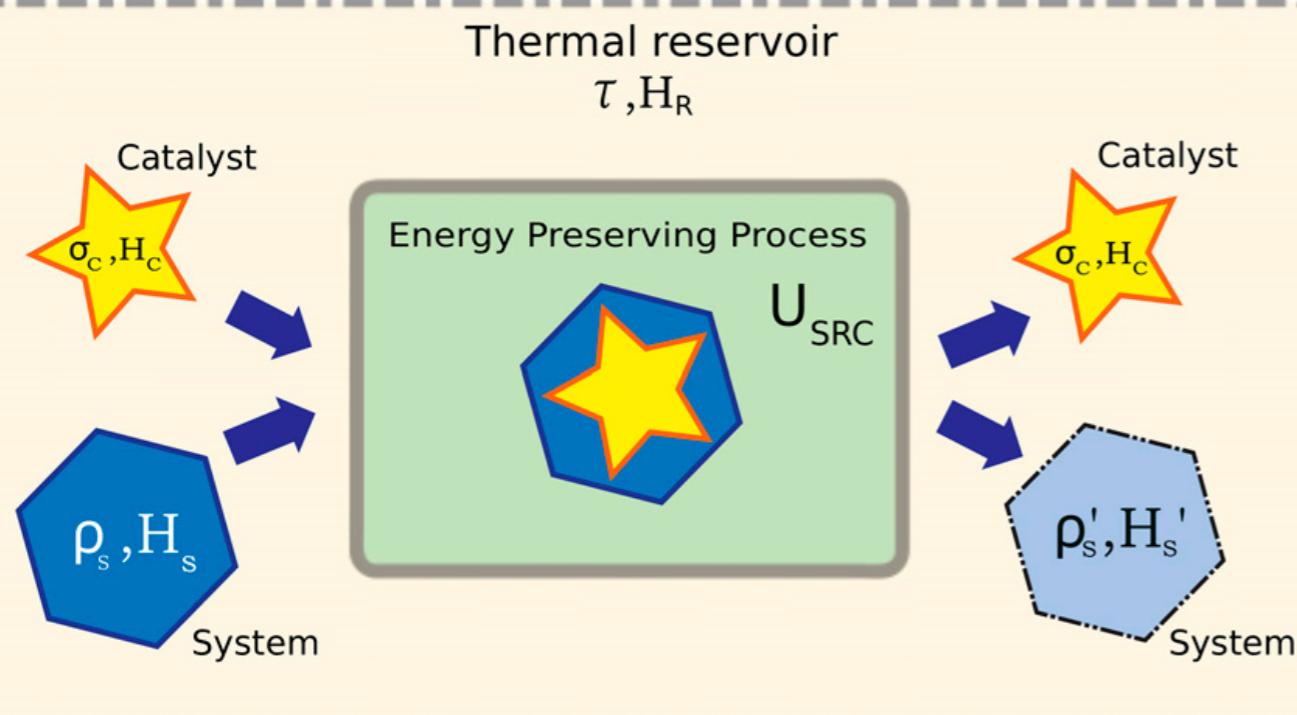
The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

Quantum thermo for small&strongly correlated systems:
formulate as a **resource theory**.



3. Quantum thermodynamics

Implications for quantum thermodynamics

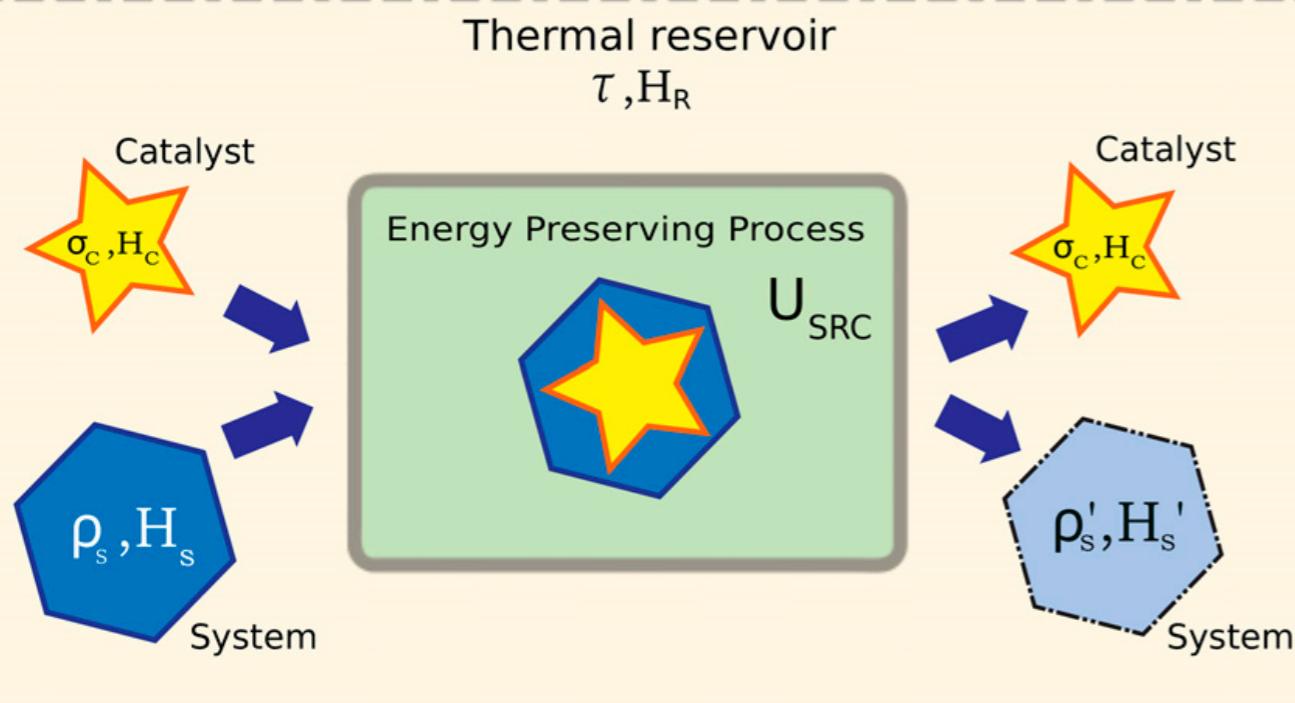
The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

Quantum thermo for small&strongly correlated systems:
formulate as a **resource theory**.



$$\begin{aligned} \text{Tr}_R \left[U_{SRC} (\rho_S \otimes \sigma_C \otimes \gamma_R) U_{SRC}^\dagger \right] \\ = \rho'_S \otimes \sigma_C \end{aligned}$$

3. Quantum thermodynamics

Implications for quantum thermodynamics

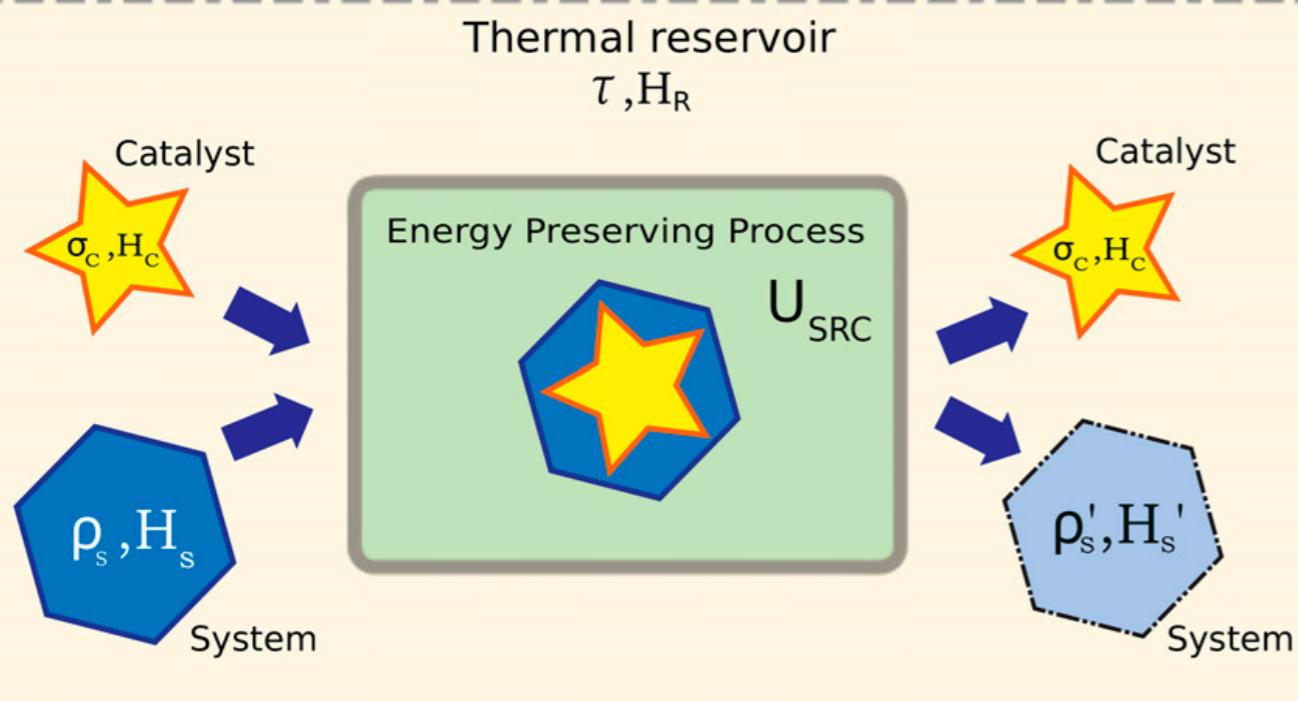
The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

Quantum thermo for small&strongly correlated systems:
formulate as a **resource theory**.



$$\text{Tr}_R \left[U_{SRC} (\rho_S \otimes \sigma_C \otimes \gamma_R) U_{SRC}^\dagger \right] = \rho'_S \otimes \sigma_C$$

thermal reservoir

3. Quantum thermodynamics

Implications for quantum thermodynamics

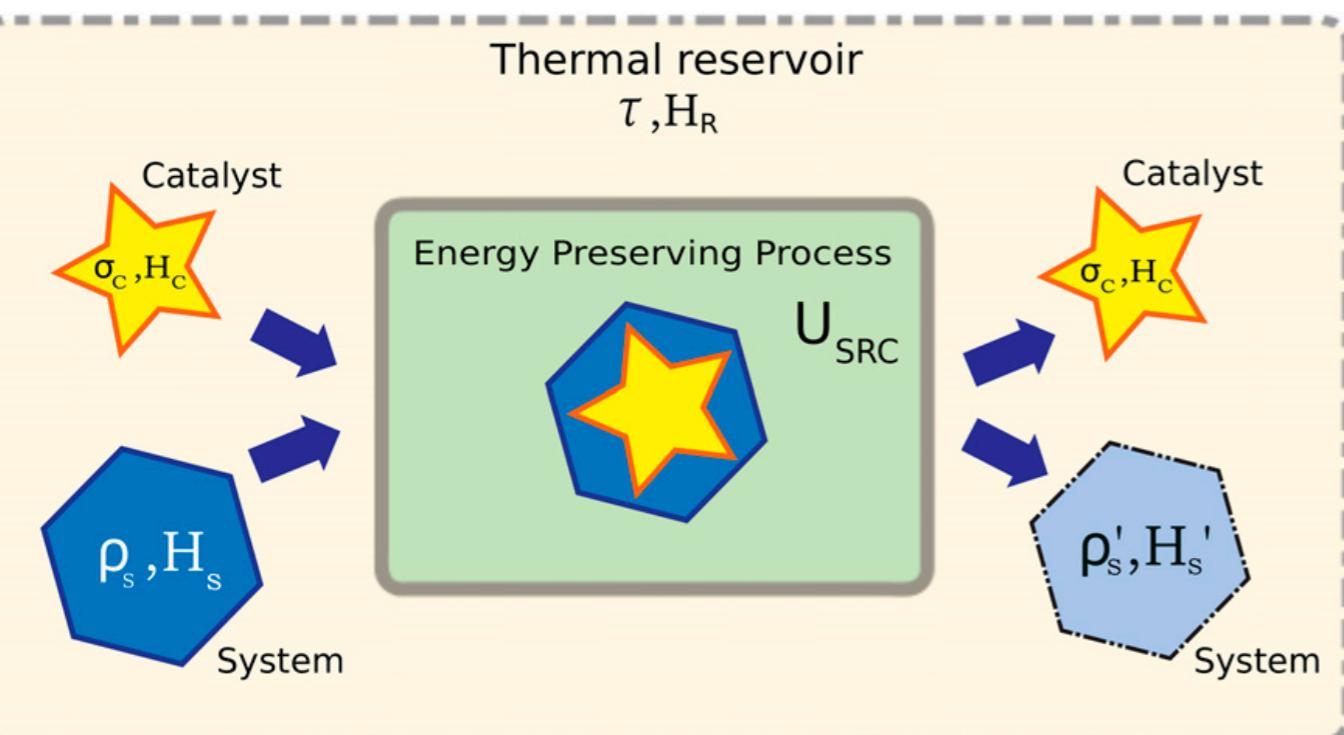
The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

Quantum thermo for small&strongly correlated systems:
formulate as a **resource theory**.



$$\begin{aligned} \text{Tr}_R \left[U_{SRC} (\rho_S \otimes \sigma_C \otimes \gamma_R) U_{SRC}^\dagger \right] \\ = \rho'_S \otimes \sigma_C \end{aligned}$$

$[U_{SRC}, H_S + H_R + H_C] = 0$
(energy strictly preserved)

thermal reservoir

Implications for quantum thermodynamics



The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

3. Quantum thermodynamics

Implications for quantum thermodynamics



The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

Theorem: $\rho \rightarrow \rho'$ is possible (for block-diagonal states) iff
 $F_\alpha(\rho) \geq F_\alpha(\rho') \quad \forall \alpha$ (“free energies”).

Implications for quantum thermodynamics

The second laws of quantum thermodynamics

Fernando Brandão^{a,1}, Michał Horodecki^b, Nelly Ng^c, Jonathan Oppenheim^{c,d,2}, and Stephanie Wehner^{c,e}

^aDepartment of Computer Science, University College London, London WC1E 6BT, United Kingdom; ^bInstytut Fizyki Teoretycznej i Astrofizyki, University of Gdańsk, 80-952 Gdańsk, Poland; ^cCentre for Quantum Technologies, National University of Singapore, 117543 Singapore; ^dDepartment of Physics & Astronomy, University College London, London WC1E 6BT, United Kingdom; and ^eSchool of Computing, National University of Singapore, 117417 Singapore

Edited by Peter W. Shor, Massachusetts Institute of Technology, Cambridge, MA, and approved January 12, 2015 (received for review June 26, 2014)

Theorem: $\rho \rightarrow \rho'$ is possible (for block-diagonal states) iff
 $F_\alpha(\rho) \geq F_\alpha(\rho') \quad \forall \alpha$ (“free energies”).

$$F(\rho_A) \equiv F_1(\rho) = \text{tr}(\rho_A H_A) - k_B T S(\rho_A),$$

$$F_\alpha(\rho) = k_B T S_\alpha(\rho \parallel \gamma) + F_\alpha(\gamma).$$

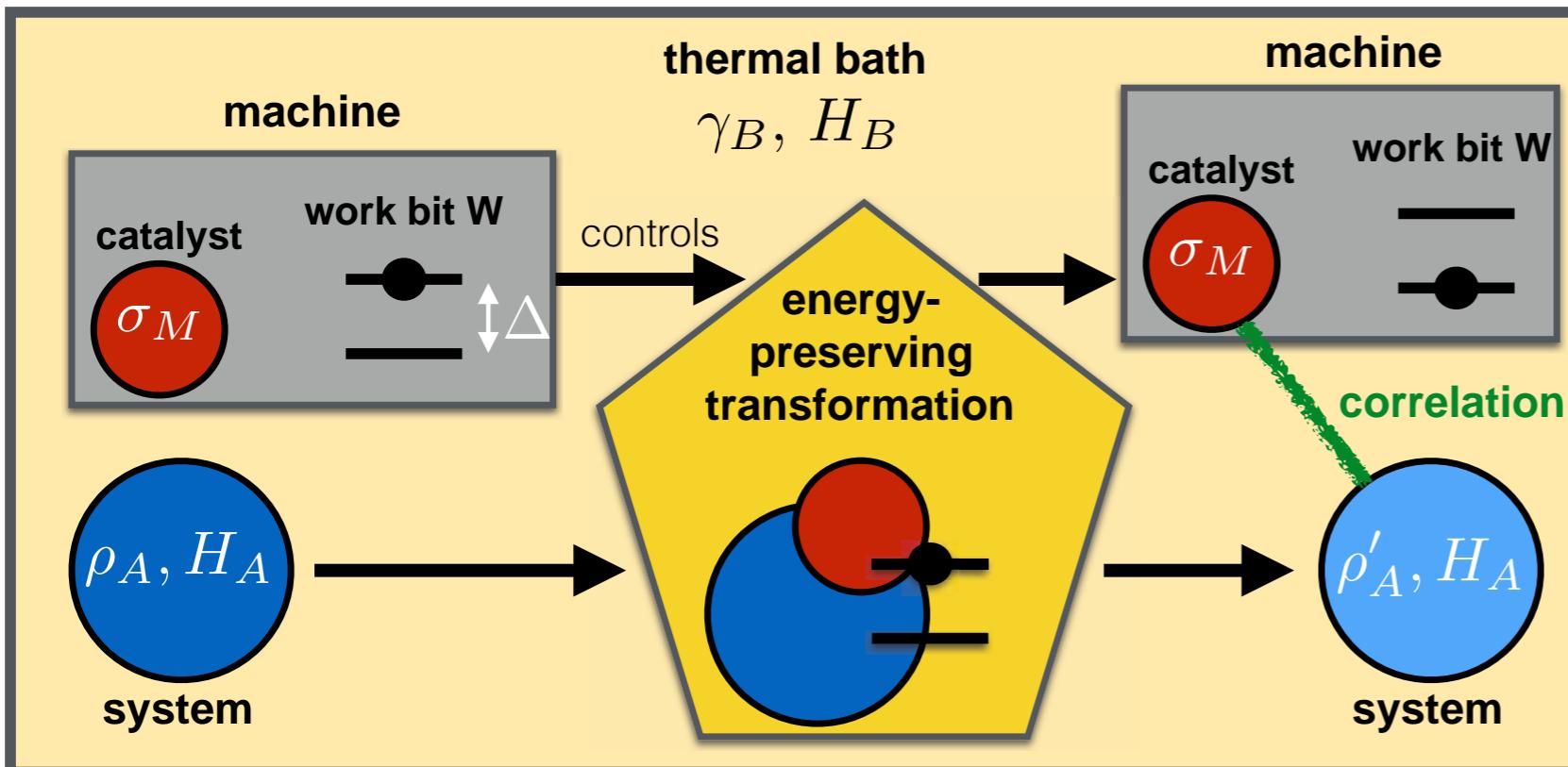
Rényi divergence

Implications for quantum thermodynamics

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

Implications for quantum thermodynamics

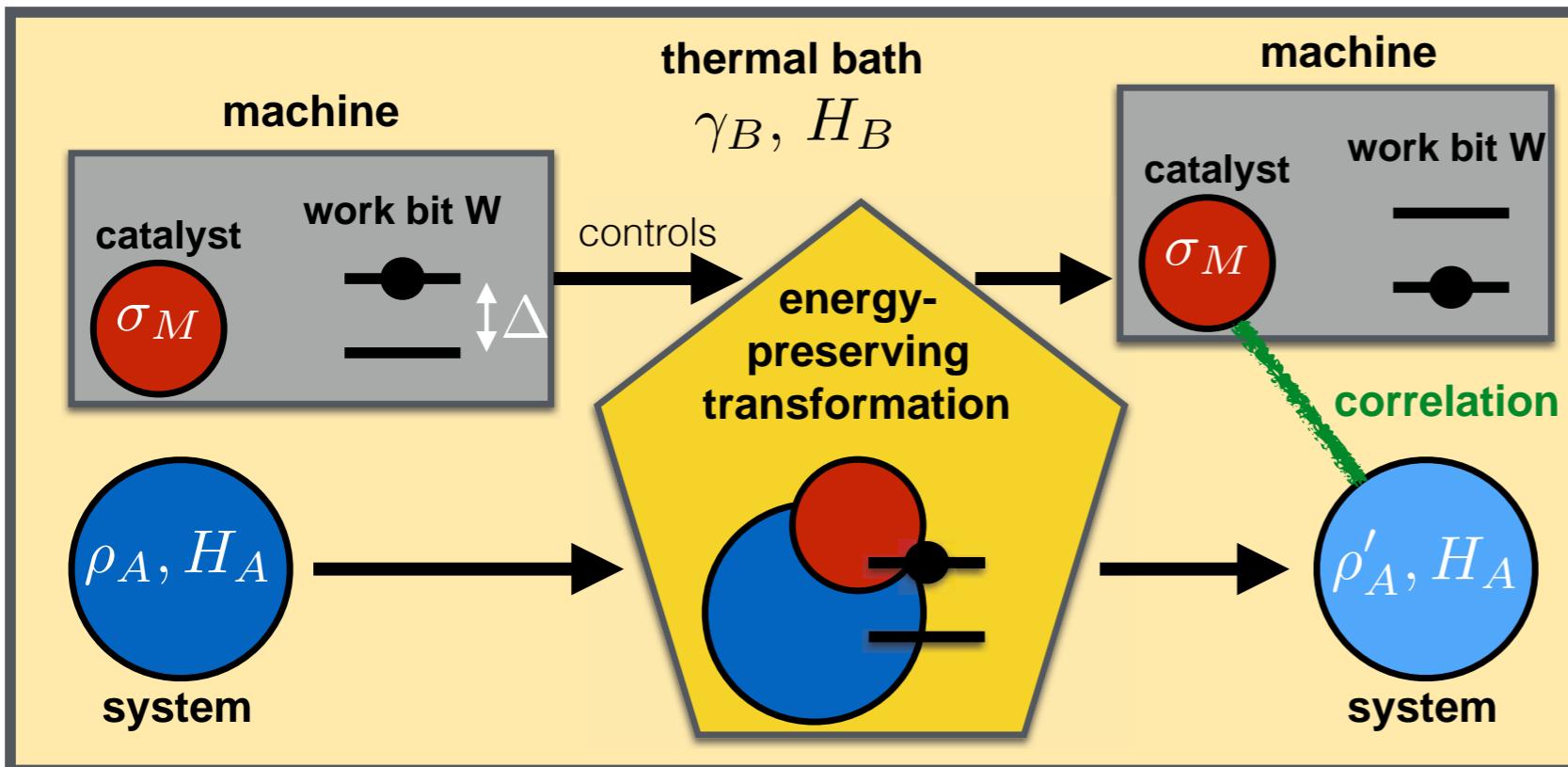
MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



Preserve catalyst exactly, but allow **correlations** to build up.

Implications for quantum thermodynamics

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



Preserve catalyst exactly, but allow **correlations** to build up.

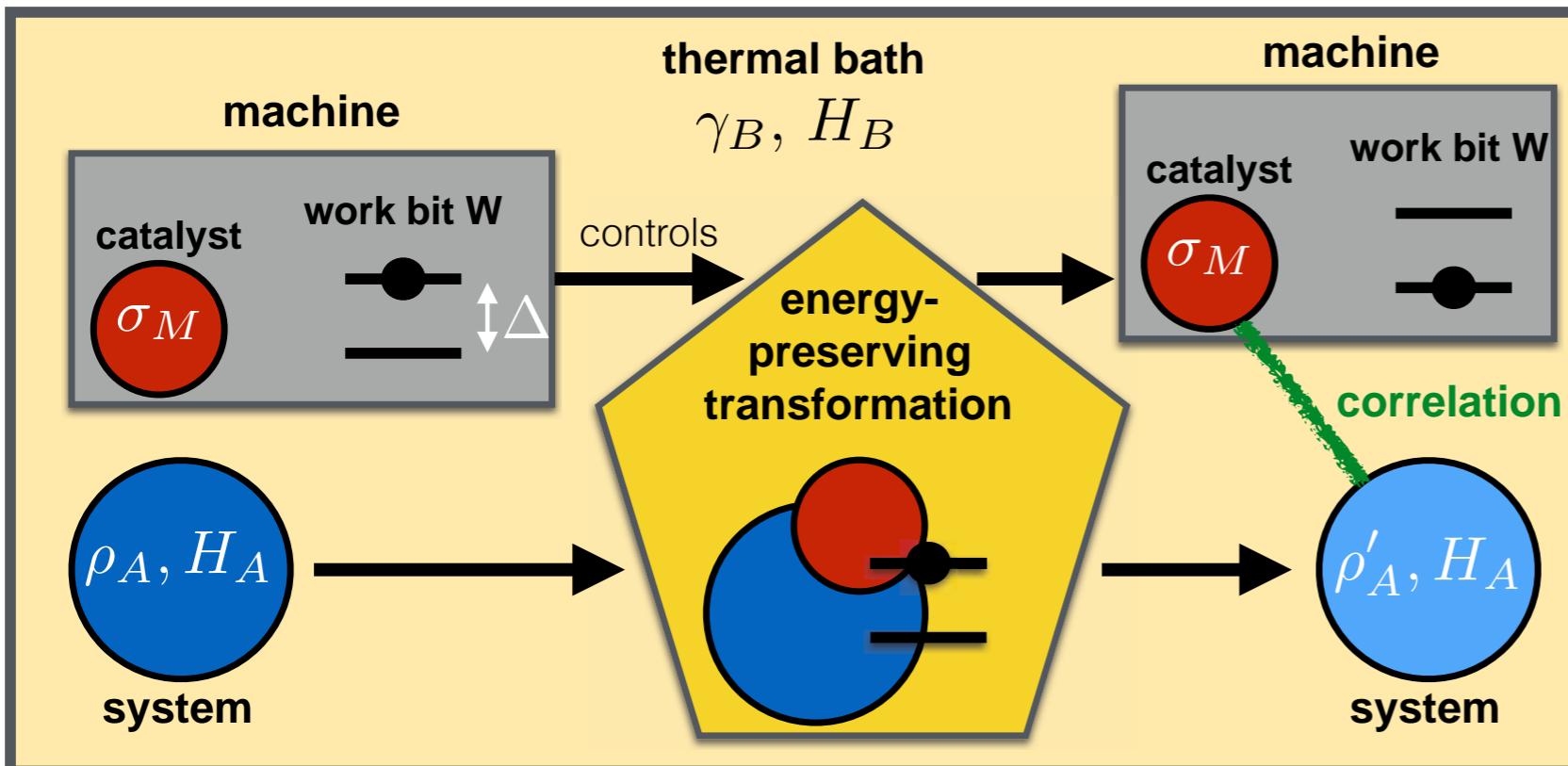
Applies to situations like these:

thermal machine,
acting single-shot,
not encountering systems again



Implications for quantum thermodynamics

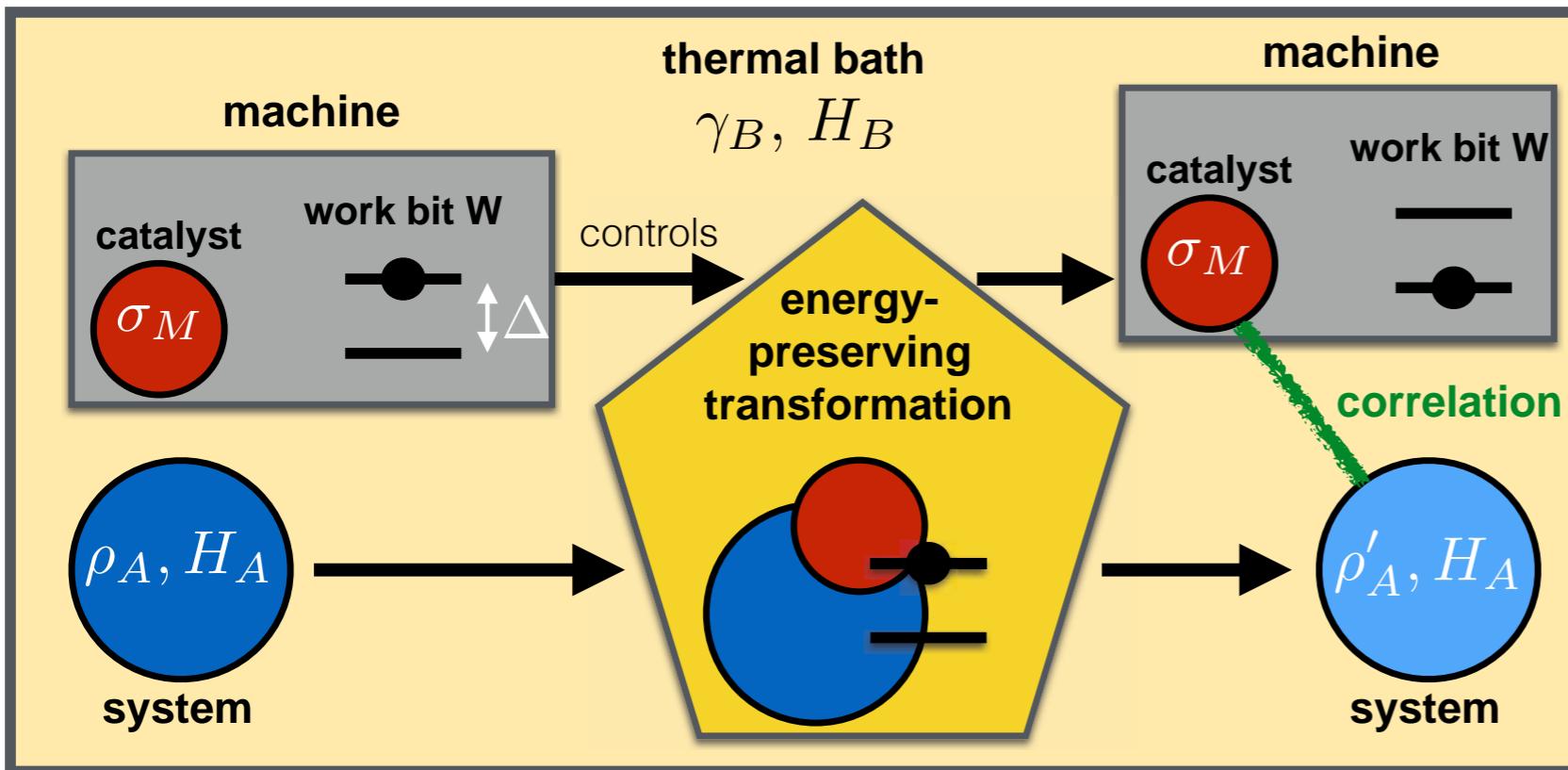
MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



Preserve catalyst exactly, but allow **correlations** to build up.

Implications for quantum thermodynamics

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



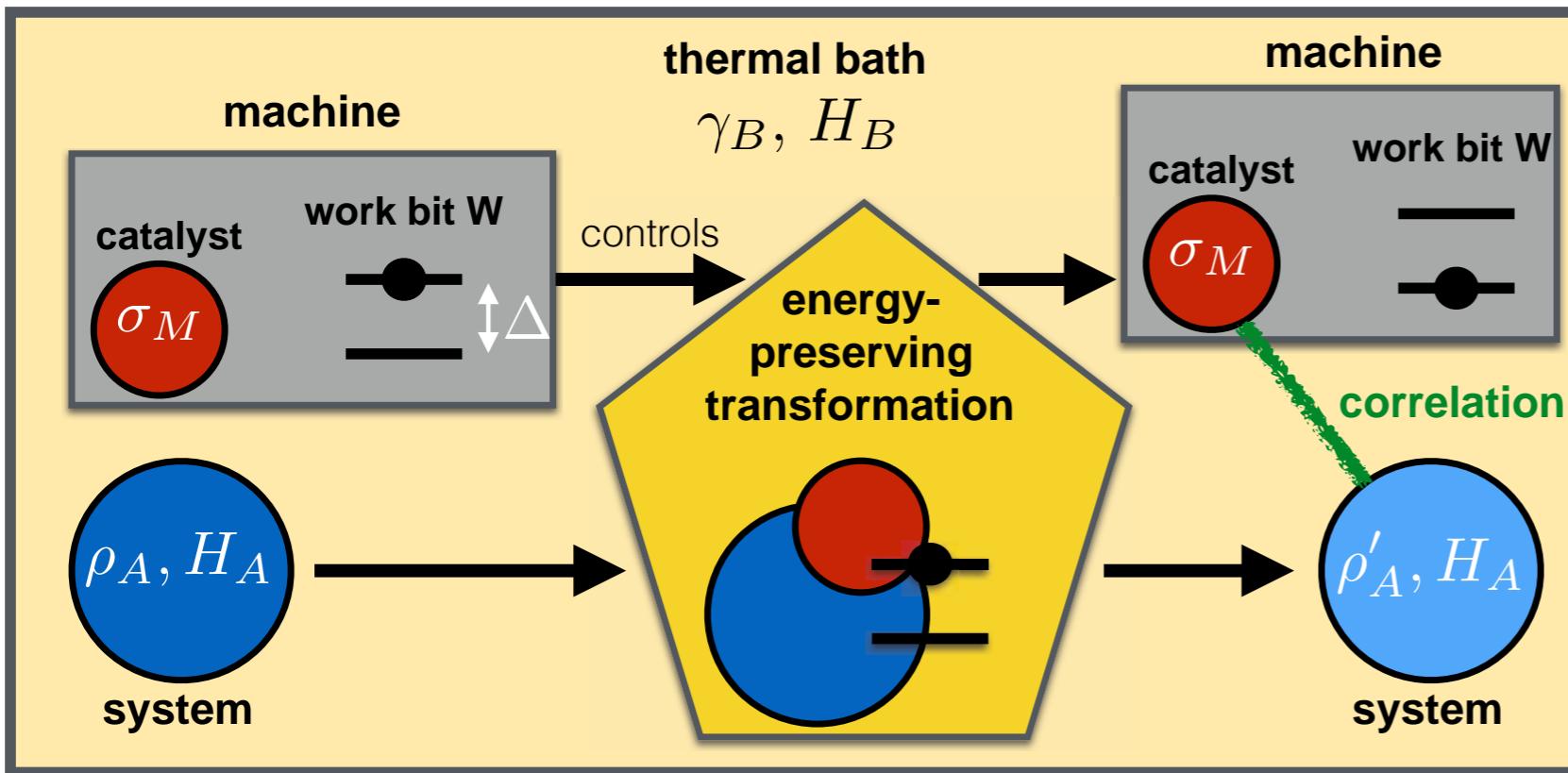
Preserve catalyst exactly, but allow **correlations** to build up.

to arbitrary accuracy $\varepsilon > 0$.

Theorem: $\rho \rightarrow \rho'$ is possible (for block-diagonal states) iff $F(\rho) \geq F(\rho')$ (if work bit not involved).

Implications for quantum thermodynamics

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



Preserve catalyst exactly, but allow **correlations** to build up.

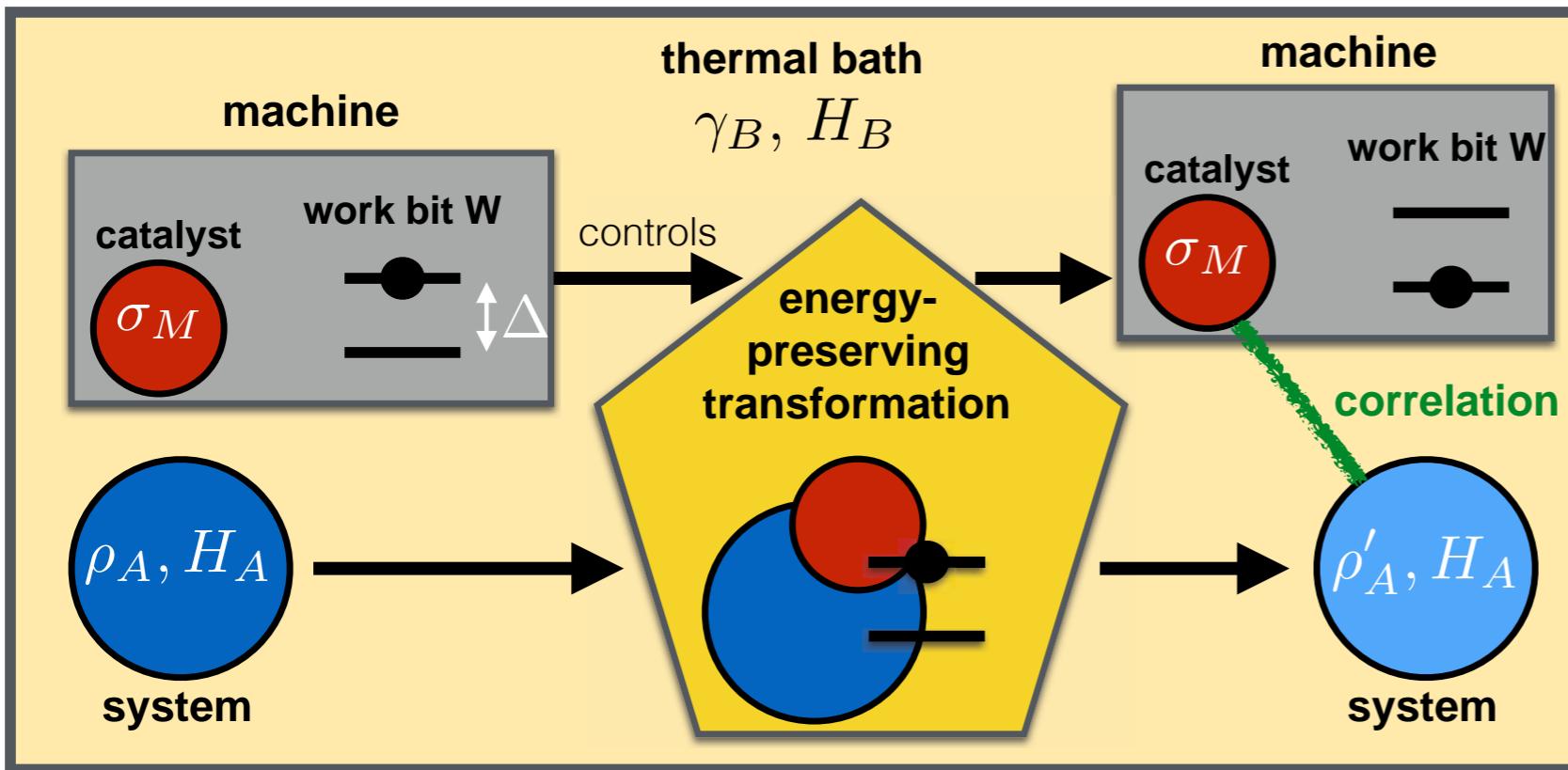
to arbitrary accuracy $\varepsilon > 0$.

Theorem: $\rho \rightarrow \rho'$ is possible (for block-diagonal states) iff $F(\rho) \geq F(\rho')$ (if work bit not involved).

One-shot operational interpretation of Helmholtz free energy!

Second laws \longrightarrow second law!

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



Preserve catalyst exactly, but allow **correlations** to build up.

to arbitrary accuracy $\varepsilon > 0$.

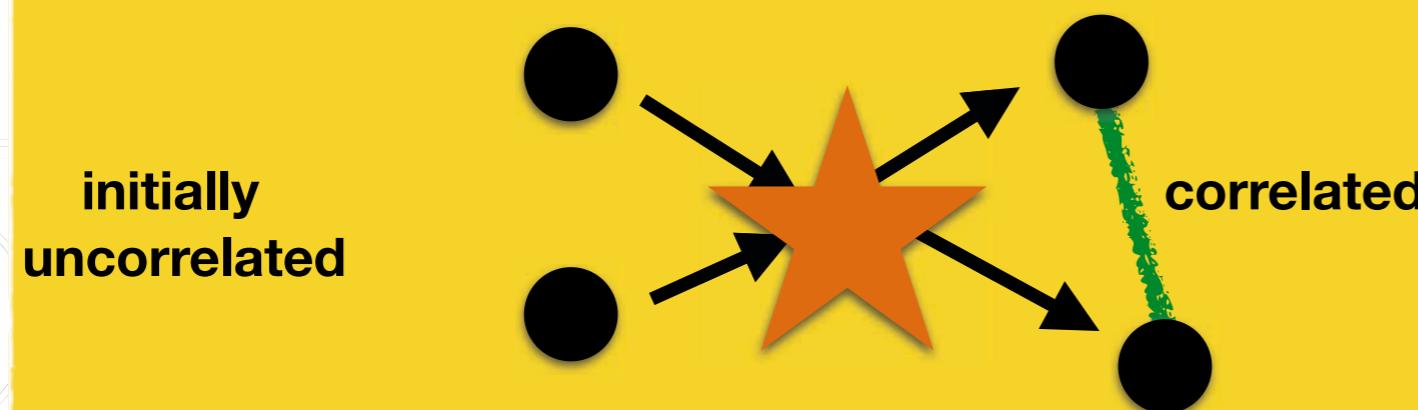
Theorem: $\rho \rightarrow \rho'$ is possible (for block-diagonal states) iff $F(\rho) \geq F(\rho')$ (if work bit not involved).

One-shot operational interpretation of Helmholtz free energy!

Second laws —→ second law!

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

In spirit somewhat close to Boltzmann's original **Stoßzahlansatz** (1896):



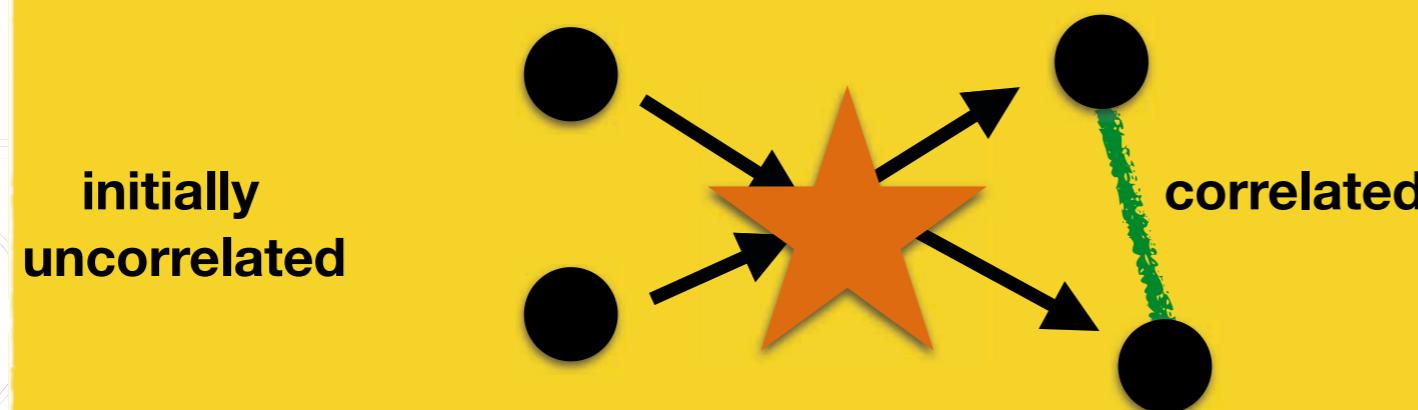
Theorem: $\rho \rightarrow \rho'$ is possible (for block-diagonal states) iff
 $F(\rho) \geq F(\rho')$ (if work bit not involved).

One-shot operational interpretation of Helmholtz free energy!

Second laws \longrightarrow second law!

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

In spirit somewhat close to Boltzmann's original **Stoßzahlansatz** (1896):



Theorem:

Conjecture. Result also true in the presence of quantum coherence.

One-shot operational interpretation of Helmholtz free energy!

Extractable work / work of formation...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

Extractable work / work of formation...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

... are both in general random variables.

ARTICLE

Received 27 Mar 2013 | Accepted 28 May 2013 | Published 26 Jun 2013

DOI: 10.1038/ncomms3059

Fundamental limitations for quantum and nanoscale thermodynamics

Michał Horodecki^{1,*} & Jonathan Oppenheim^{2,3,*}

3. Quantum thermodynamics

Extractable work / work of formation...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

... are both in general random variables.

ARTICLE

Received 27 Mar 2013 | Accepted 28 May 2013 | Published 26 Jun 2013

DOI: 10.1038/ncomms3059

Fundamental limitations for quantum and nanoscale thermodynamics

Michał Horodecki^{1,*} & Jonathan Oppenheim^{2,3,*}

If we want reliability (success prob. $\geq 1 - \varepsilon$), then

- extractable work: $F_0^\varepsilon(\rho) - F(\gamma)$
- work of formation: $F_\infty^\varepsilon(\rho) - F(\gamma)$

3. Quantum thermodynamics

Extractable work / work of formation...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

... are both in general random variables.

ARTICLE

Received 27 Mar 2013 | Accepted 28 May 2013 | Published 26 Jun 2013

DOI: 10.1038/ncomms3059

Fundamental limitations for quantum and nanoscale thermodynamics

Michał Horodecki^{1,*} & Jonathan Oppenheim^{2,3,*}

If we want reliability (success prob. $\geq 1 - \varepsilon$), then

- extractable work: $F_0^\varepsilon(\rho) - F(\gamma)$
- work of formation: $F_\infty^\varepsilon(\rho) - F(\gamma)$

$$F_0 \ll F \ll F_\infty.$$

3. Quantum thermodynamics

Extractable work / work of formation...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

... are both in general random variables.

If we want reliability (success prob. $\geq 1 - \varepsilon$), then

- extractable work: $F_0^\varepsilon(\rho) - F(\gamma)$
- work of formation: $F_\infty^\varepsilon(\rho) - F(\gamma)$

$$F_0 \ll F \ll F_\infty.$$

Extractable work / work of formation...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

... are both in general random variables.

$$\lim_{n \rightarrow \infty} \frac{1}{n} F_{0/\infty}^\varepsilon(\rho^{\otimes n}) = F(\rho).$$

Work characterized by F only in the thermodynamic limit.

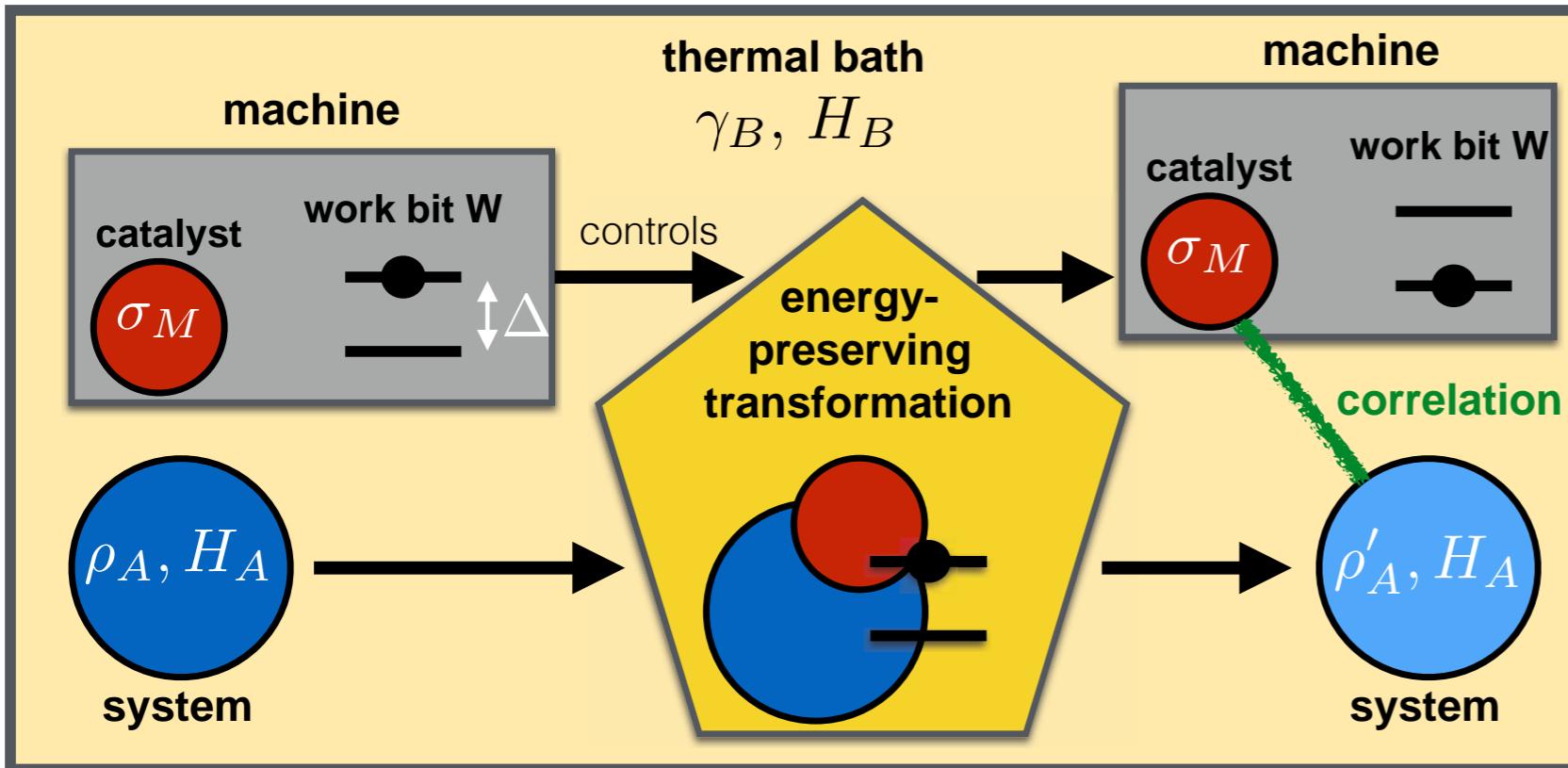
If we want reliability (success prob. $\geq 1 - \varepsilon$), then

- extractable work: $F_0^\varepsilon(\rho) - F(\gamma)$
- work of formation: $F_\infty^\varepsilon(\rho) - F(\gamma)$

$$F_0 \ll F \ll F_\infty.$$

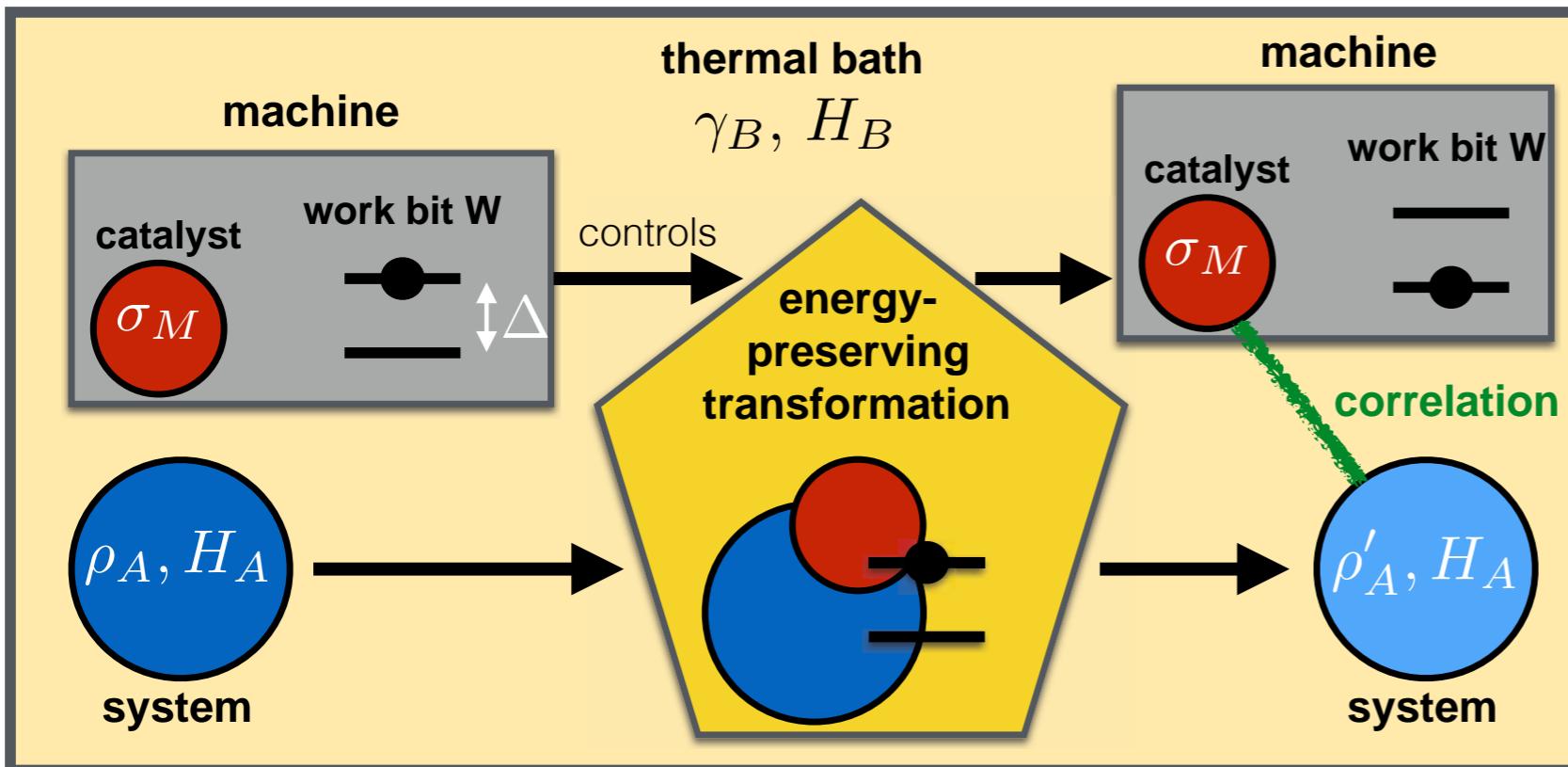
Work of formation (when allowing correlations)...

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



Work of formation (when allowing correlations)...

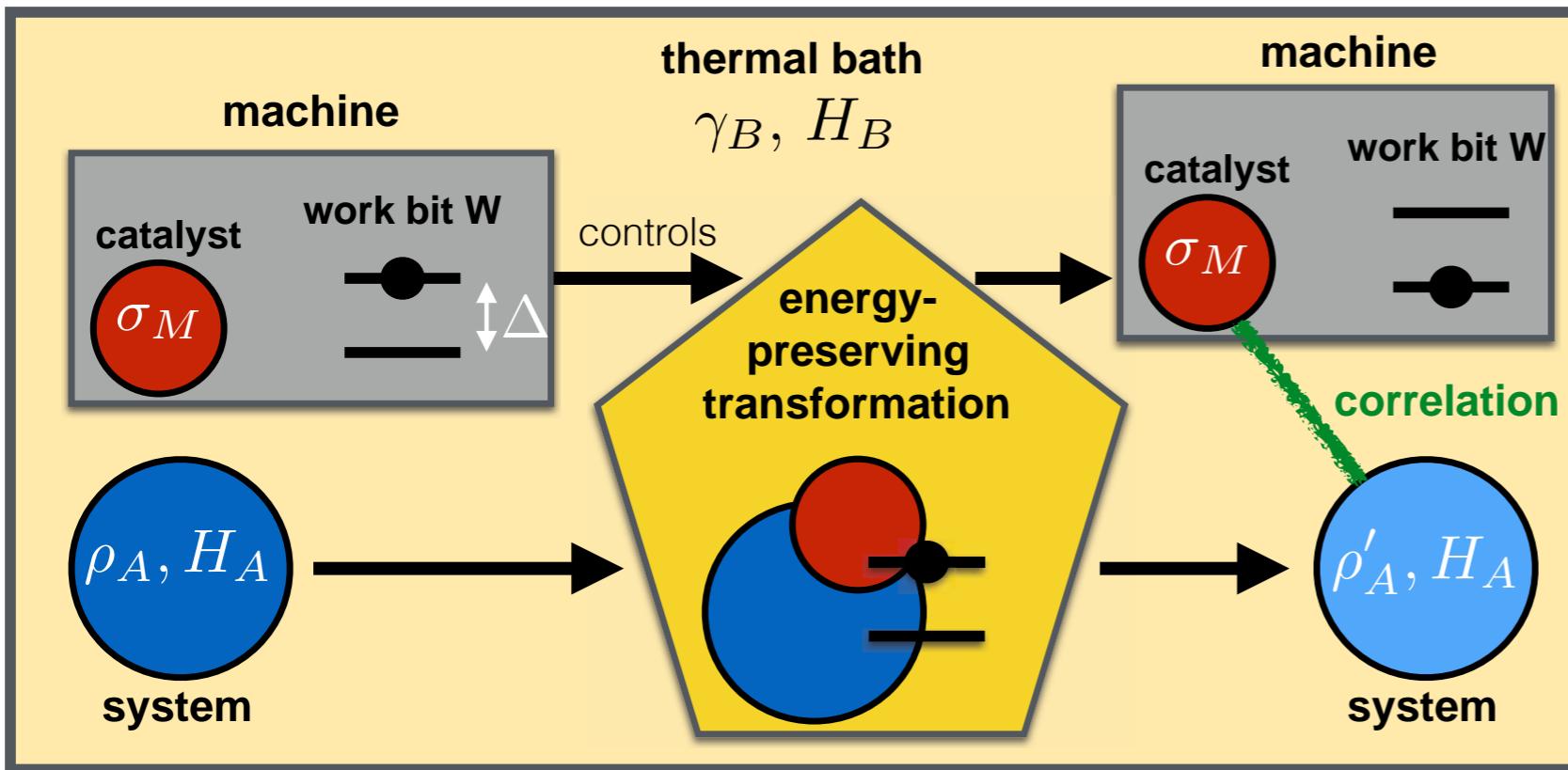
MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



... becomes **exactly** ΔF , without any fluctuations!

Work of formation (when allowing correlations)...

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



... becomes **exactly** ΔF , without any fluctuations!

$$\begin{array}{c} \rho_A \otimes \sigma_M \otimes |e\rangle\langle e|_W \\ \downarrow \\ \sigma_{AM} \otimes |g\rangle\langle g|_W \end{array}$$

Theorem. Fix any initial state ρ_A and target state ρ'_A , both block-diagonal, such that $F(\rho'_A) \geq F(\rho_A)$. Using a work bit W with some energy gap Δ larger than, but arbitrarily close to $F(\rho'_A) - F(\rho_A)$, the transition

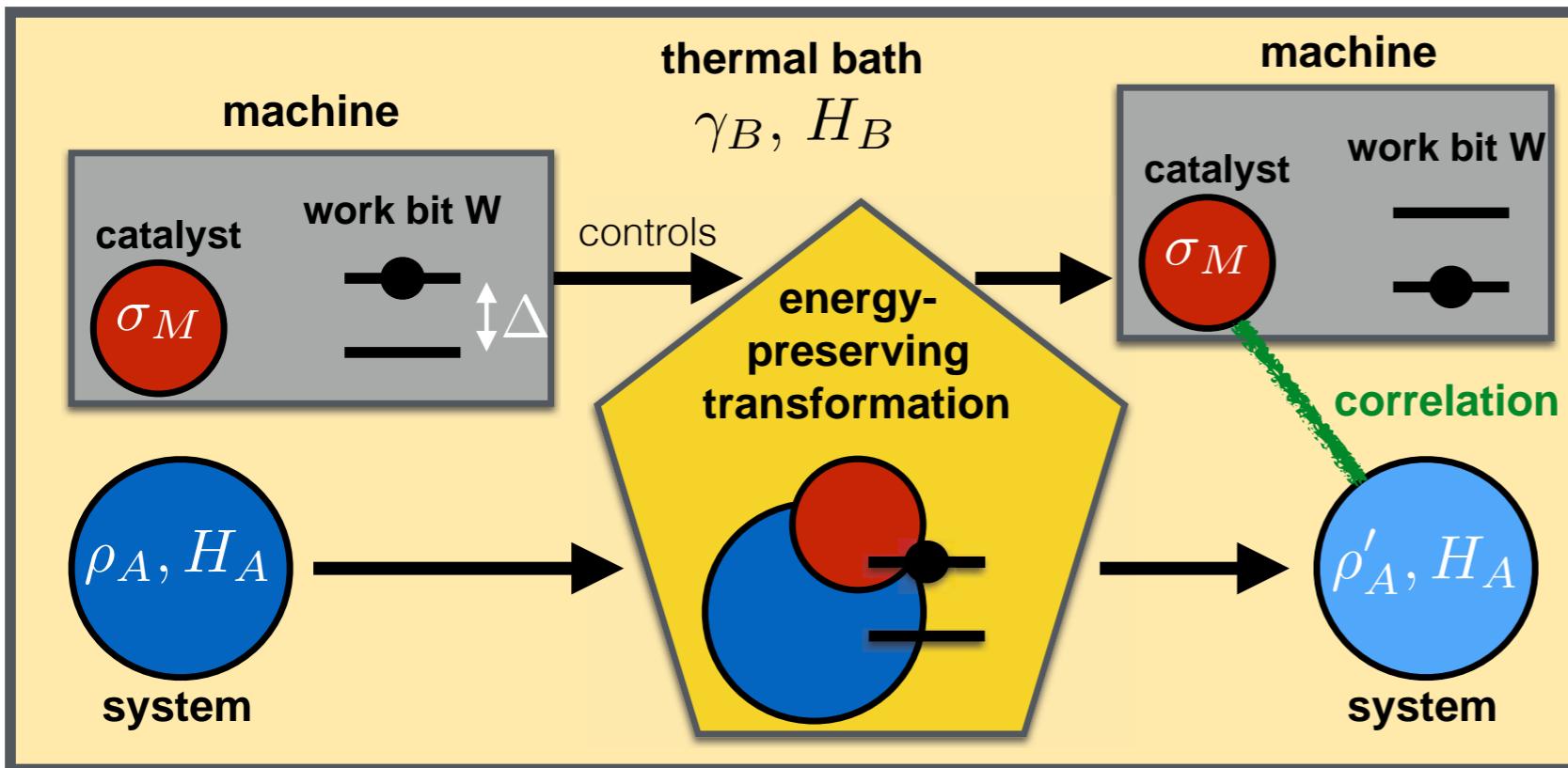
$$\rho_A \otimes \sigma_M \otimes |e\rangle\langle e|_W \mapsto \sigma_{AM} \otimes |g\rangle\langle g|_W$$

can be achieved by a thermal operation, where $\sigma_A := \text{Tr}_M \sigma_{AM}$ is arbitrarily close to ρ'_A .

The state σ_M is exactly identical before and after the transformation, M is finite-dimensional, and the resulting correlations between A and M can be made arbitrarily small.

Work of formation (when allowing correlations)...

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



... becomes **exactly** ΔF , without any fluctuations!

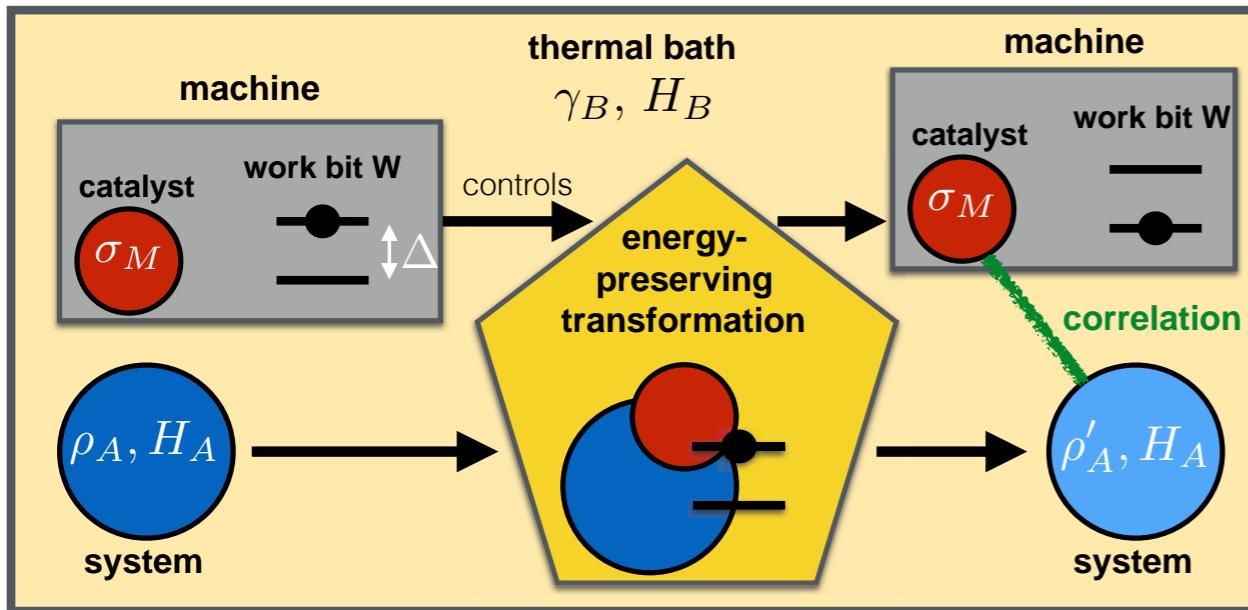
$$\begin{aligned} \rho_A \otimes \sigma_M \otimes |e\rangle\langle e|_W \\ \downarrow \\ \sigma_{AM} \otimes |g\rangle\langle g|_W \end{aligned}$$

Theorem. Fix any initial state ρ_A and target state ρ'_A both block-diagonal, such that $F(\rho'_A) \geq F(\rho_A)$. Then there exists a work bit W with some energy gap $\Delta > F(\rho'_A) - F(\rho_A)$ such that $F(\rho'_A) - F(\rho_A)$, the transition

σ_A as close to ρ'_A as you like, σ_M as close to ρ_A as you like, and $|g\rangle\langle g|_W$ as close to $|e\rangle\langle e|_W$ as you like. The state σ_{AM} is finite-dimensional, and the resulting correlation σ_M exactly preserved, $\dim M < \infty$.

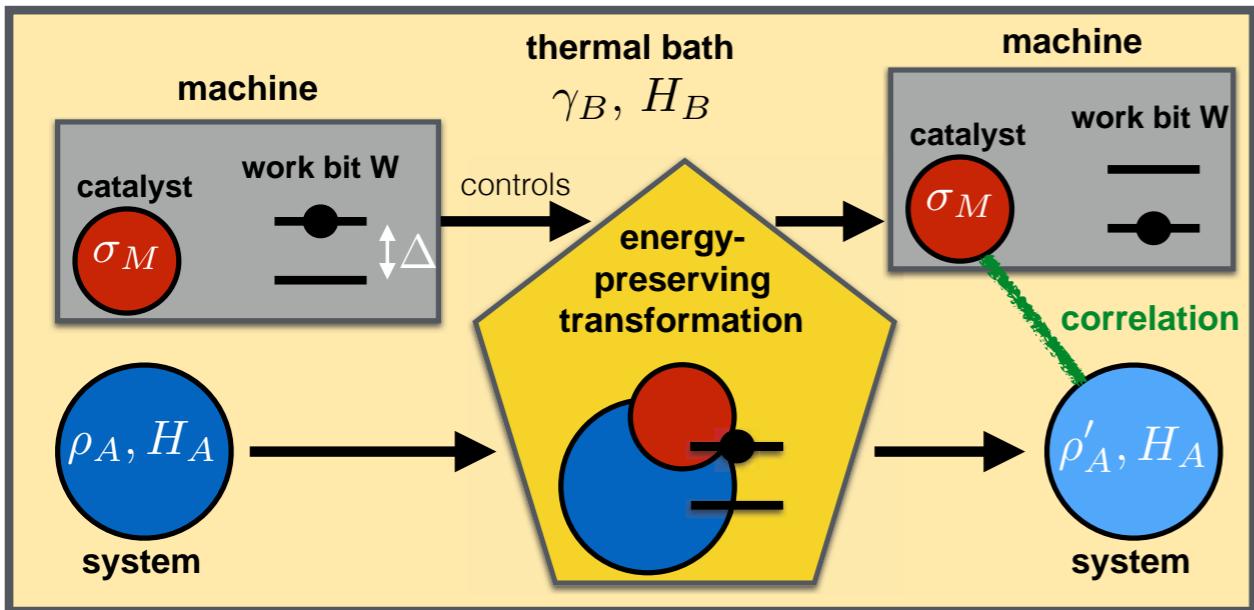
Extractable work (when allowing correlations)...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



Extractable work (when allowing correlations)...

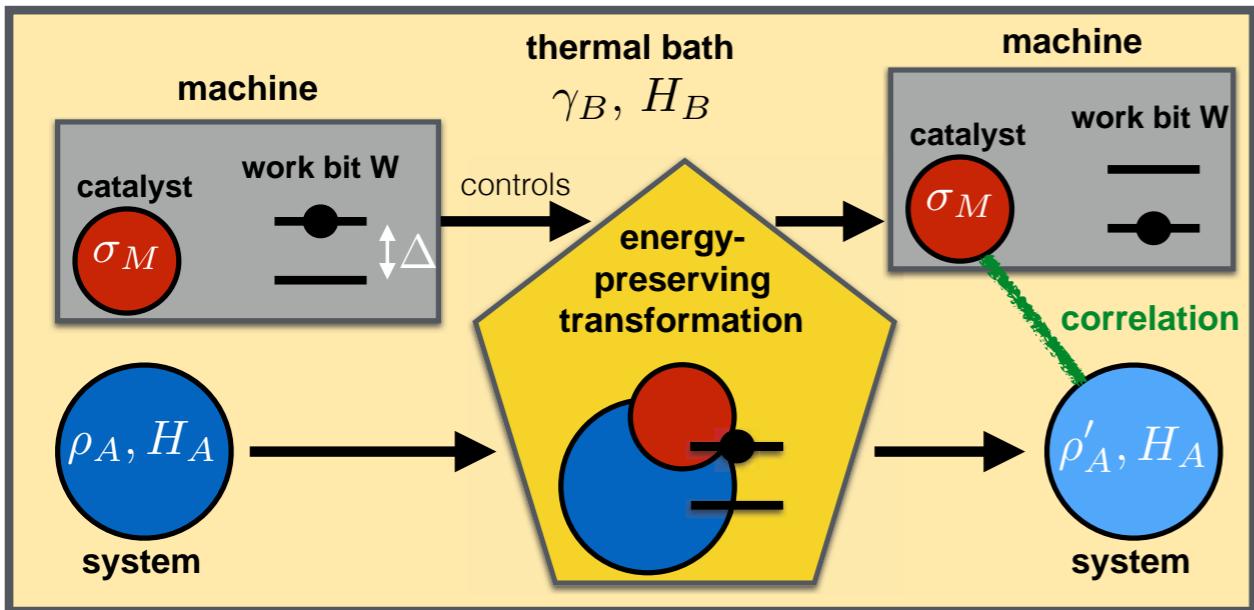
MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451



... becomes **exactly ΔF** , but we need a “max-entropy sink”.

Extractable work (when allowing correlations)...

MM, *Correlating thermal machines and the second law at the nanoscale*, arXiv:1707.03451

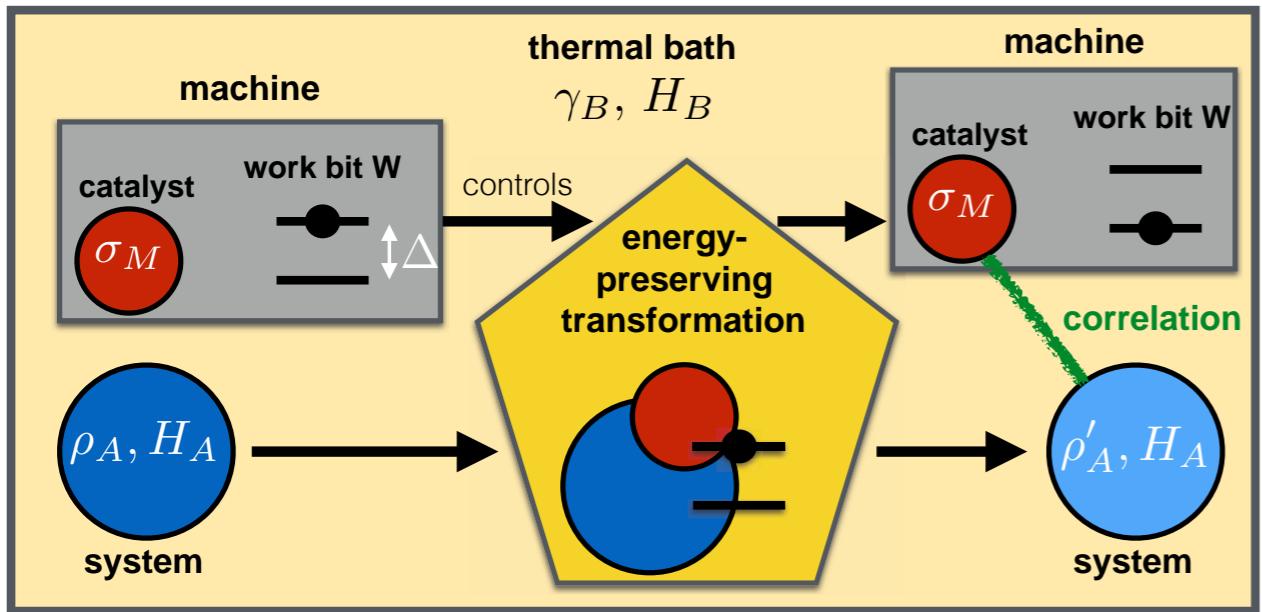


... becomes **exactly** ΔF , but we need a “max-entropy sink”.

$$\rho_A \otimes \sigma_M \otimes (1, 0, \dots, 0) \otimes |g\rangle\langle g|_W \xrightarrow{T} \sigma_{AM} \leftrightarrow (1 - \varepsilon, \varepsilon/n, \dots, \varepsilon/n) \otimes |e\rangle\langle e|_W$$

Extractable work (when allowing correlations)...

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



... becomes exactly ΔF , but we need a “max-entropy sink”.

$$\rho_A \otimes \sigma_M \otimes (1, 0, \dots, 0) \otimes |g\rangle\langle g|_W \xrightarrow{T} \sigma_{AM} \leftrightarrow (1 - \varepsilon, \varepsilon/n, \dots, \varepsilon/n) \otimes |e\rangle\langle e|_W$$

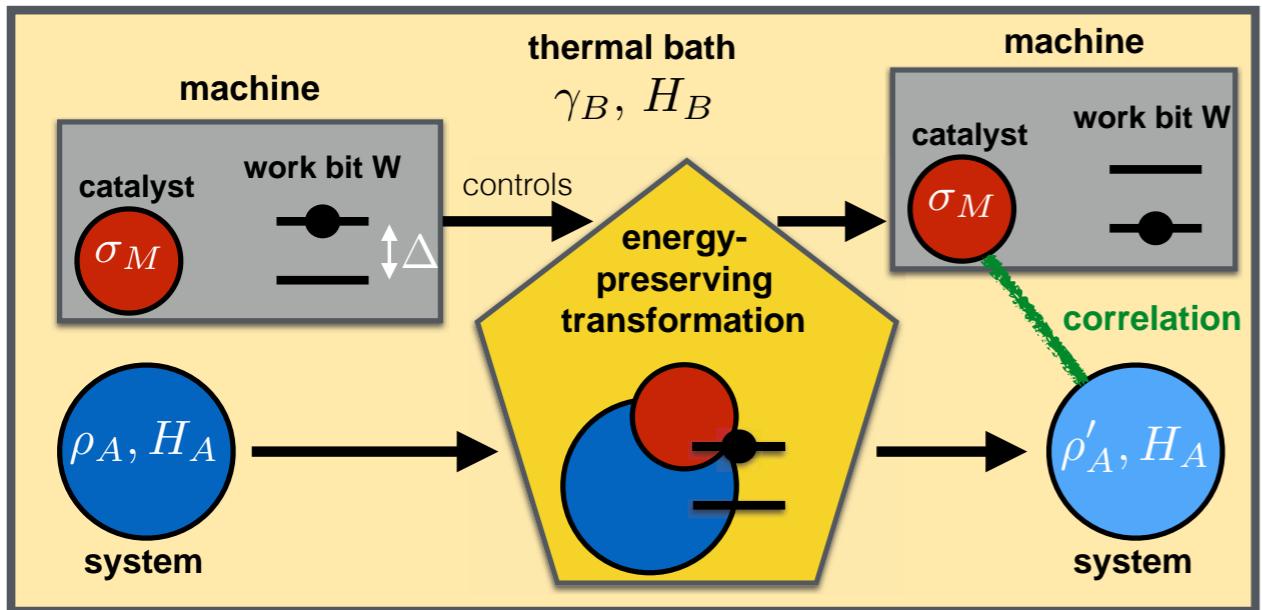
Theorem. Fix any initial state ρ_A and target state ρ'_A , both block-diagonal, such that $F(\rho_A) \geq F(\rho'_A)$. Using a work bit with energy gap Δ smaller than, but arbitrarily close to $F(\rho_A) - F(\rho'_A)$, we can implement the following transition with a thermal operation, which extracts work Δ without any fluctuations:

$$\rho_A \otimes \sigma_M \otimes \tau_S^{(m,n)} \otimes |g\rangle\langle g|_W \mapsto \sigma_{AMS} \otimes |e\rangle\langle e|_W.$$

Here $\sigma_M = \text{Tr}_{AS}\sigma_{AMS}$ remains identical during the transformation, $\sigma_S = \tau_S^{(m,n,\varepsilon)}$, and σ_A is as close to ρ'_A as we like. This can be achieved for any choice of $\varepsilon > 0$, as long as n/m is large enough.

Extractable work (when allowing correlations)...

MM, Correlating thermal machines and the second law at the nanoscale, arXiv:1707.03451



... becomes exactly ΔF , but we need a “max-entropy sink”.

$$\rho_A \otimes \sigma_M \otimes (1, 0, \dots, 0) \otimes |g\rangle\langle g|_W \xrightarrow{T} \sigma_{AM} \leftrightarrow (1 - \varepsilon, \varepsilon/n, \dots, \varepsilon/n) \otimes |e\rangle\langle e|_W$$

Theorem. Fix any initial state ρ_A and target state ρ'_A , both block-diagonal, such that $F(\rho_A) \geq F(\rho'_A)$. Using a work bit with energy gap Δ smaller than, but arbitrarily close to $F(\rho_A) - F(\rho'_A)$, we can implement the following transition with a thermal operation, which extracts work Δ without any fluctuations:

$$\rho_A \otimes \sigma_M \otimes \tau_S^{(m,n)} \otimes |g\rangle\langle g|_W \mapsto \sigma_{AMS} \otimes |e\rangle\langle e|_W.$$

Here $\sigma_M = \text{Tr}_{AS}\sigma_{AMS}$ remains identical during the transformation, $\sigma_S = \tau_S^{(m,n,\varepsilon)}$, and σ_A is as close to ρ'_A as we like. This can be achieved for any choice of $\varepsilon > 0$, as long as n/m is large enough.

I.e. can make fluctuations arbitrarily small (but not zero).

Stochastic independence as a resource

3. Quantum thermodynamics

Stochastic independence as a resource

M. Lostaglio, MM, and M. Pastena, *Stochastic independence as a resource in small-scale thermodynamics*, Phys. Rev. Lett. **115**, 150402 (2015).

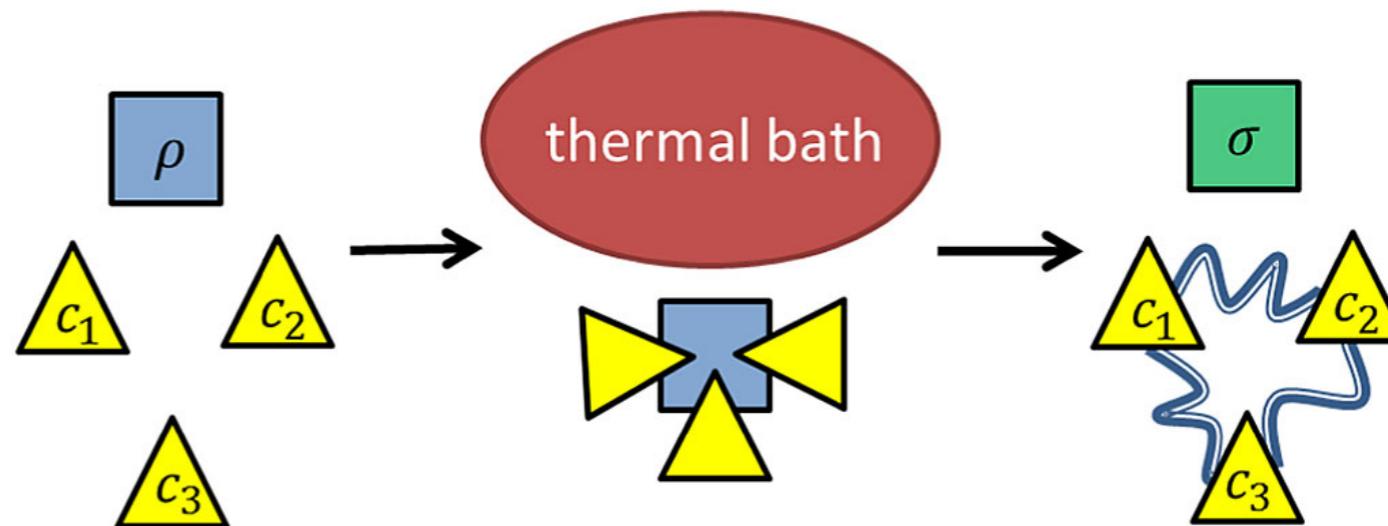


Stochastic independence as a resource

M. Lostaglio, MM, and M. Pastena, *Stochastic independence as a resource in small-scale thermodynamics*, Phys. Rev. Lett. **115**, 150402 (2015).



Intermediate (weaker) math. result gives:

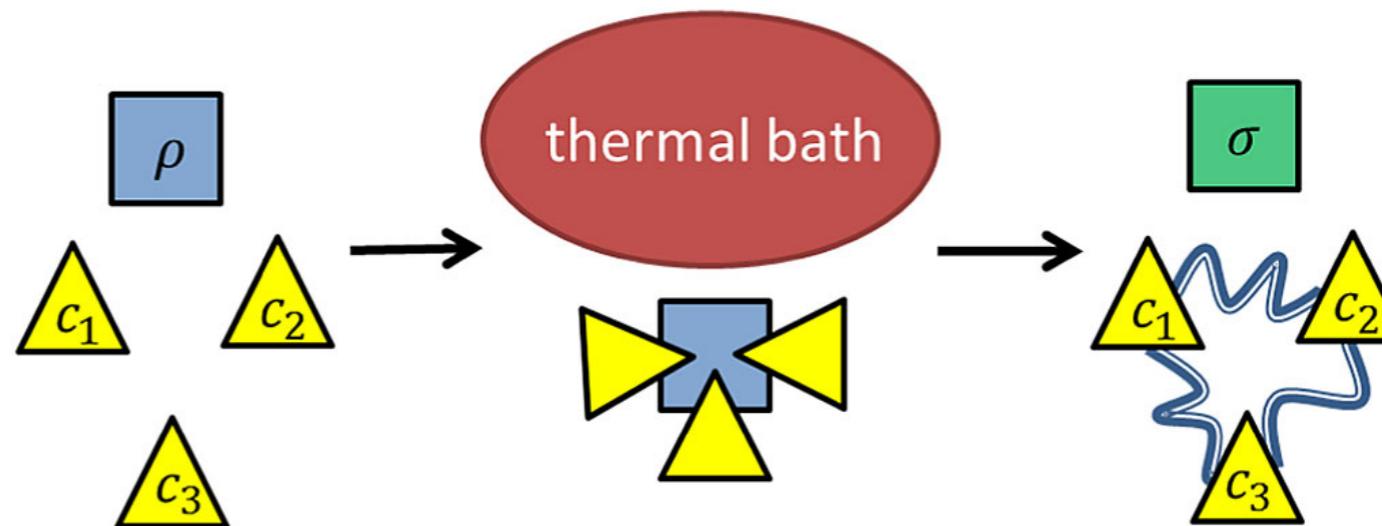


Stochastic independence as a resource

M. Lostaglio, MM, and M. Pastena, *Stochastic independence as a resource in small-scale thermodynamics*, Phys. Rev. Lett. **115**, 150402 (2015).



Intermediate (weaker) math. result gives:



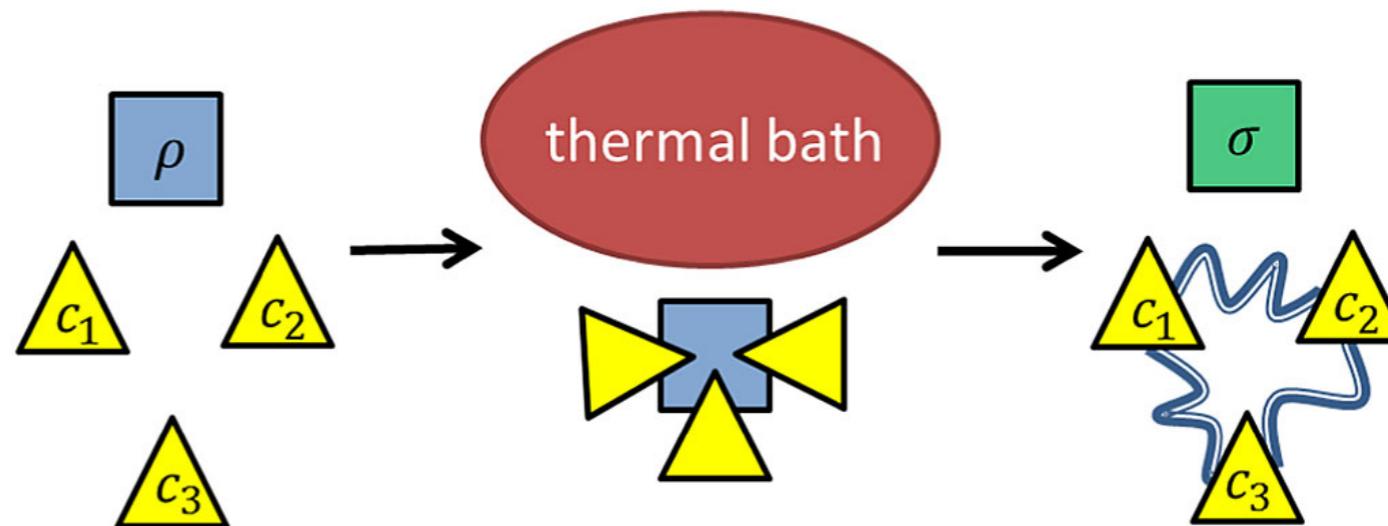
Correlating external systems can allow otherwise impossible state transitions.

Stochastic independence as a resource

M. Lostaglio, MM, and M. Pastena, *Stochastic independence as a resource in small-scale thermodynamics*, Phys. Rev. Lett. **115**, 150402 (2015).



Intermediate (weaker) math. result gives:



Correlating external systems can allow otherwise impossible state transitions.

The exact opposite of what one would expect from standard thermodynamics!

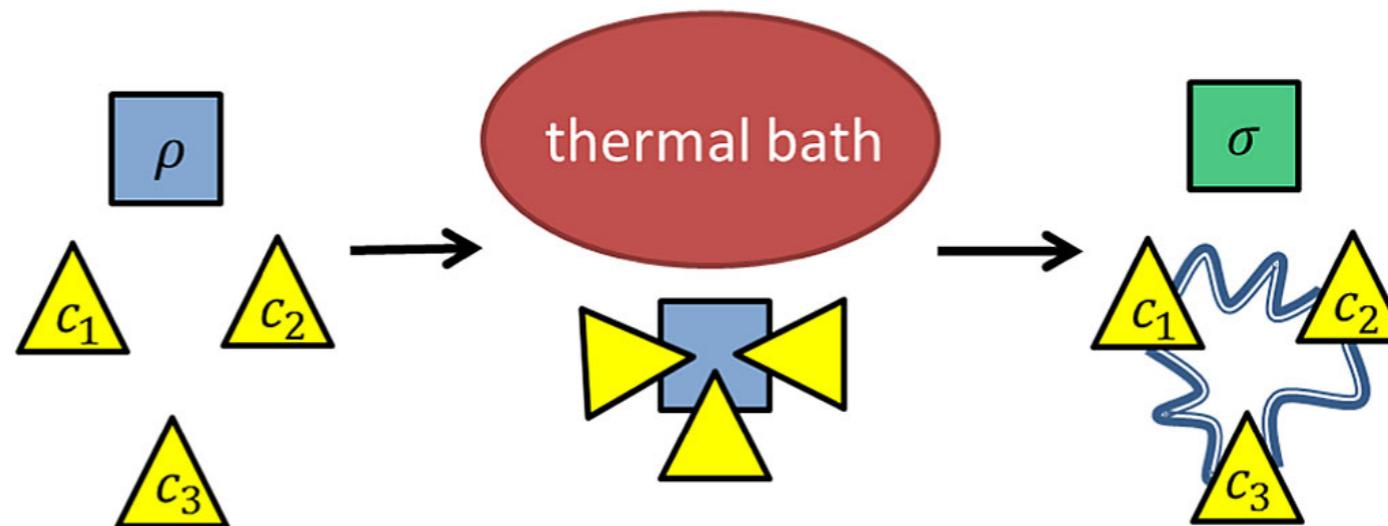
$$F(\rho_{AB}) \geq F(\rho_A \otimes \rho_B).$$

Stochastic independence as a resource

M. Lostaglio, MM, and M. Pastena, *Stochastic independence as a resource in small-scale thermodynamics*, Phys. Rev. Lett. **115**, 150402 (2015).



Intermediate (weaker) math. result gives:



Correlating external systems can allow otherwise impossible state transitions. “**Trade fluctuations for correlations.**”

The exact opposite of what one would expect from standard thermodynamics!

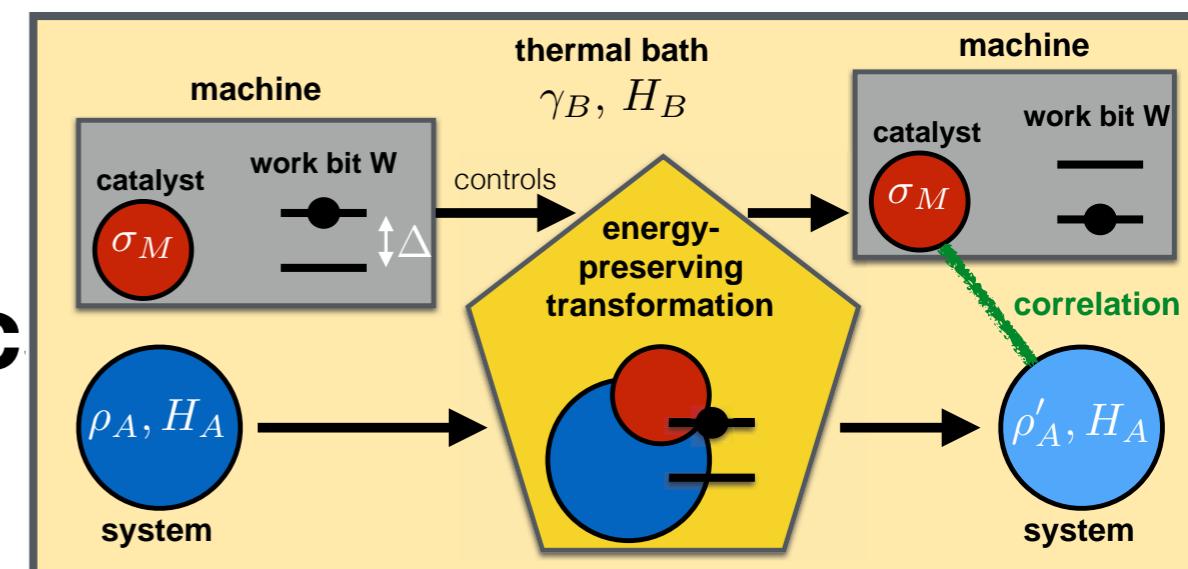
$$F(\rho_{AB}) \geq F(\rho_A \otimes \rho_B).$$

Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for
quantum thermodynamic



4. Implications for quantum information (in progress)

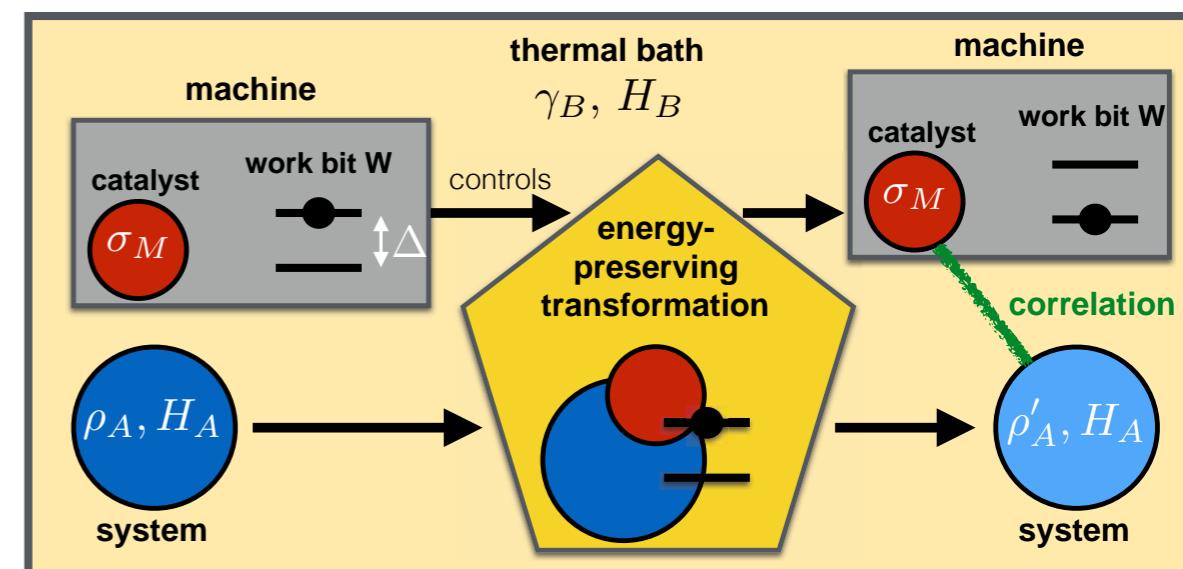
3. Quantum thermodynamics

Outline

1. Intro: Majorization in quantum information

2. Main mathematical results

3. Implications for
quantum thermodynamics



4. Implications for quantum information (in progress)

Implications for quantum information

Implications for quantum information

One-shot operational tasks are typically characterized by one-shot entropies. E.g.:

Implications for quantum information

One-shot operational tasks are typically characterized by one-shot entropies. E.g.:

PRL 118, 080503 (2017)

PHYSICAL REVIEW LETTERS

week ending
24 FEBRUARY 2017

Catalytic Decoupling of Quantum Information

Christian Majenz,^{1,*} Mario Berta,² Frédéric Dupuis,³ Renato Renner,⁴ and Matthias Christandl¹

¹*Department of Mathematical Sciences, University of Copenhagen, Universitetsparken 5, DK-2100 Copenhagen Ø*

²*Institute for Quantum Information and Matter, California Institute of Technology, Pasadena, California 91125, USA*

³*Faculty of Informatics, Masaryk University, Brno, Czech Republic*

⁴*Institute for Theoretical Physics, ETH Zurich, 8093 Zürich, Switzerland*

(Received 24 May 2016; published 23 February 2017)

Implications for quantum information

One-shot operational tasks are typically characterized by one-shot entropies. E.g.:

PRL 118, 080503 (2017)

PHYSICAL REVIEW LETTERS

week ending
24 FEBRUARY 2017

Catalytic Decoupling of Quantum Information

Christian Majenz,^{1,*} Mario Berta,² Frédéric Dupuis,³ Renato Renner,⁴ and Matthias Christandl¹

¹*Department of Mathematical Sciences, University of Copenhagen, Universitetsparken 5, DK-2100 Copenhagen Ø*

²*Institute for Quantum Information and Matter, California Institute of Technology, Pasadena, California 91125, USA*

³*Faculty of Informatics, Masaryk University, Brno, Czech Republic*

⁴*Institute for Theoretical Physics, ETH Zurich, 8093 Zürich, Switzerland*

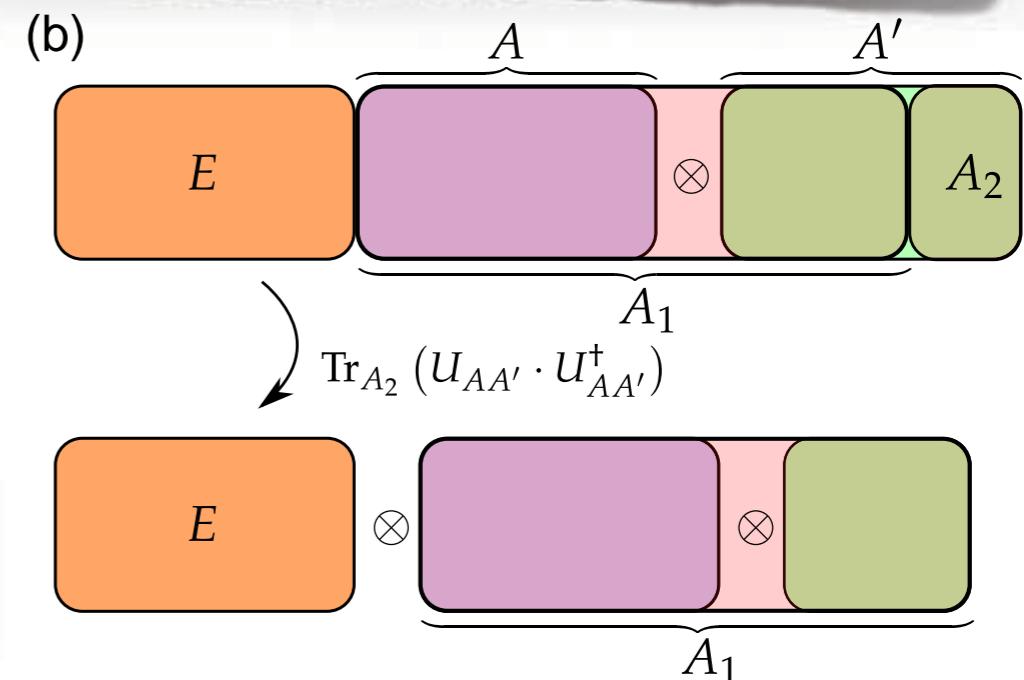
(Received 24 May 2016; published 23 February 2017)

Theorem 1: (Catalytic decoupling) For any bipartite quantum state ρ_{AE} and $0 < \delta \leq \varepsilon \leq 1$, we have:

$$R_c^\varepsilon(A; E)_\rho \lesssim \frac{1}{2} I_{\max}^{\varepsilon-\delta}(E; A)_\rho, \quad (11)$$

where \lesssim stands for smaller or equal up to terms $\mathcal{O}(\log \log |A| + \log(1/\delta))$. We also have the converse

$$R_c^\varepsilon(A; E)_\rho \geq \frac{1}{2} I_{\max}^\varepsilon(E; A)_\rho. \quad (12)$$



Implications for quantum information

One-shot operational tasks are typically characterized by one-shot entropies.

Implications for quantum information

One-shot operational tasks are typically characterized by one-shot entropies.

Given the above, **standard entropies** might attain one-shot interpretations if (non-disturbing) correlations are allowed to build up.

Implications for quantum information

One-shot operational tasks are typically characterized by one-shot entropies.

Given the above, **standard entropies** might attain one-shot interpretations if (non-disturbing) correlations are allowed to build up.

Interesting, for example, because standard entropies have **dual spacetime interpretations**:

Implications for quantum information

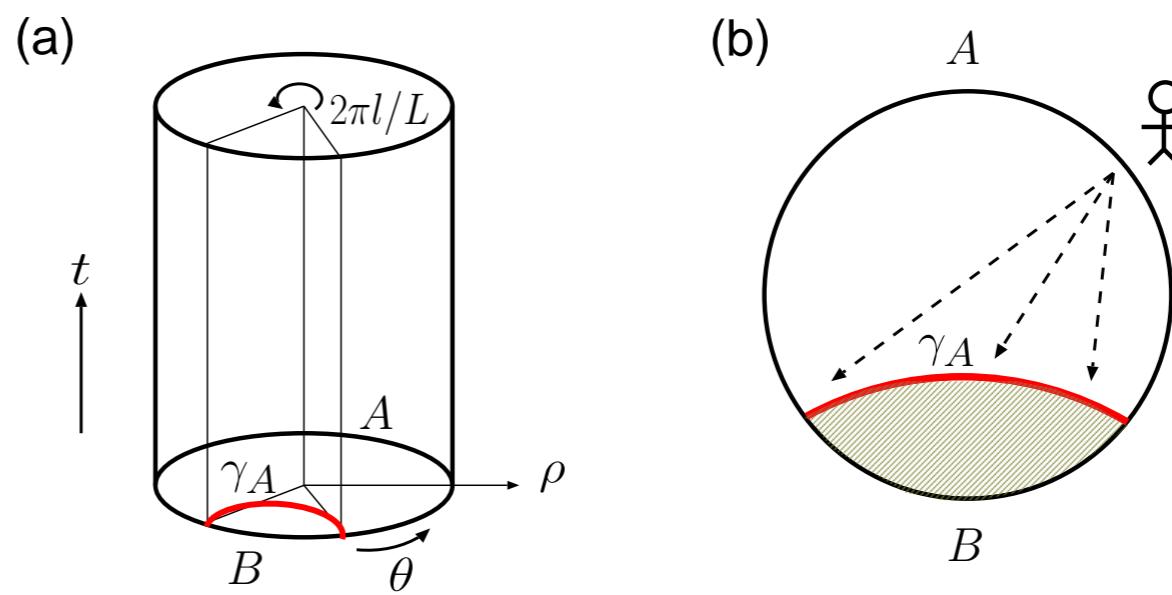
One-shot operational tasks are typically characterized by one-shot entropies.

Given the above, **standard entropies** might attain one-shot interpretations if (non-disturbing) correlations are allowed to build up.

Interesting, for example, because standard entropies have **dual spacetime interpretations**:

S. Ryu and T. Takayanagi, *Holographic Derivation of Entanglement Entropy from AdS/CFT*, Phys. Rev. Lett. **96**, 181602 (2006).

$$S_A = \frac{\text{Area of } \gamma_A}{4G_N^{(d+2)}}$$

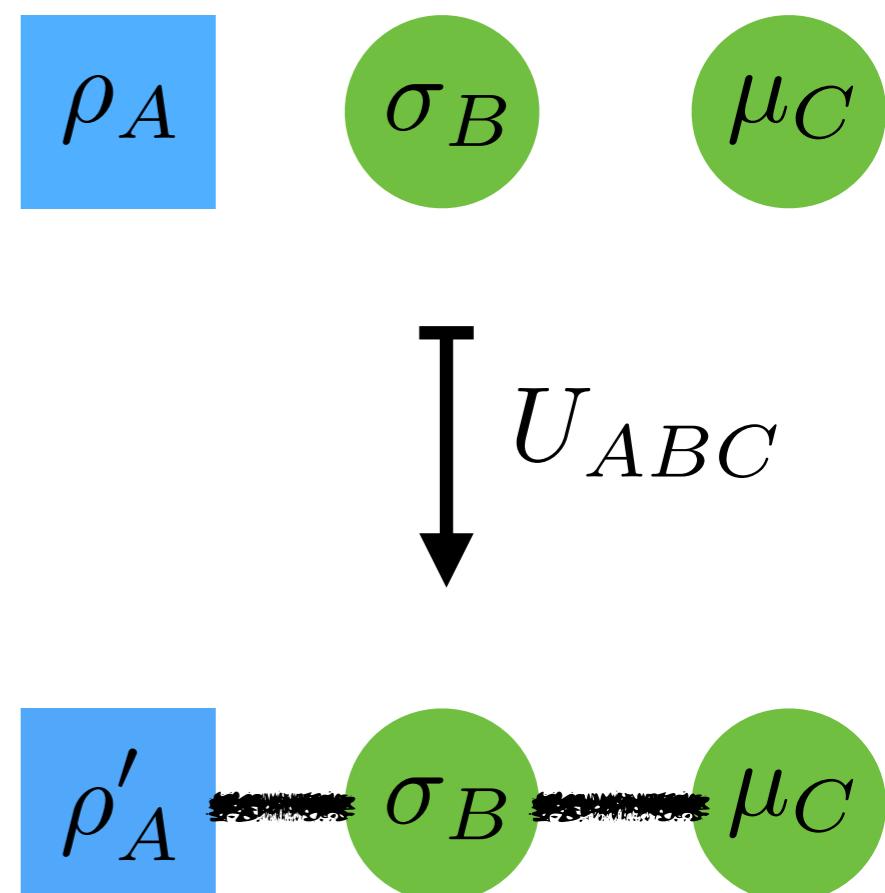


Implications for quantum information

What's possible here? Don't know (yet).
But here's an example, following from the above:

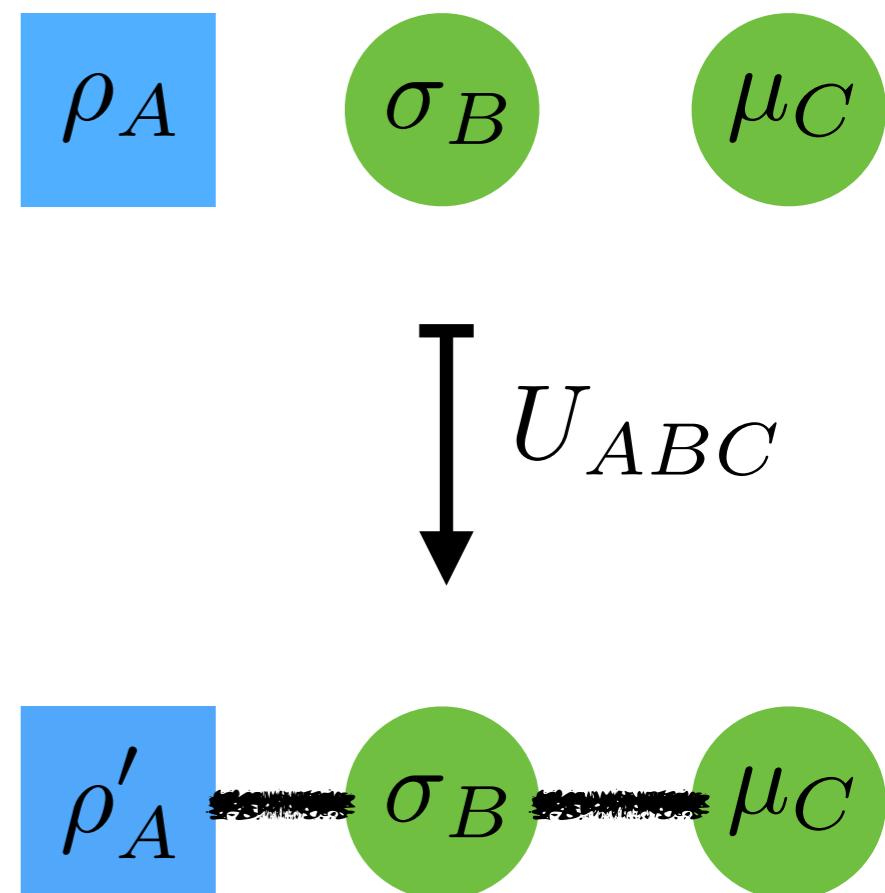
Implications for quantum information

What's possible here? Don't know (yet).
But here's an example, following from the above:



Implications for quantum information

What's possible here? Don't know (yet).
But here's an example, following from the above:



$$S(\rho_A) + S(\sigma_B) + S(\mu_C) = S(\rho'_{ABC}) \leq S(\rho'_A) + S(\sigma_B) + S(\mu_C).$$

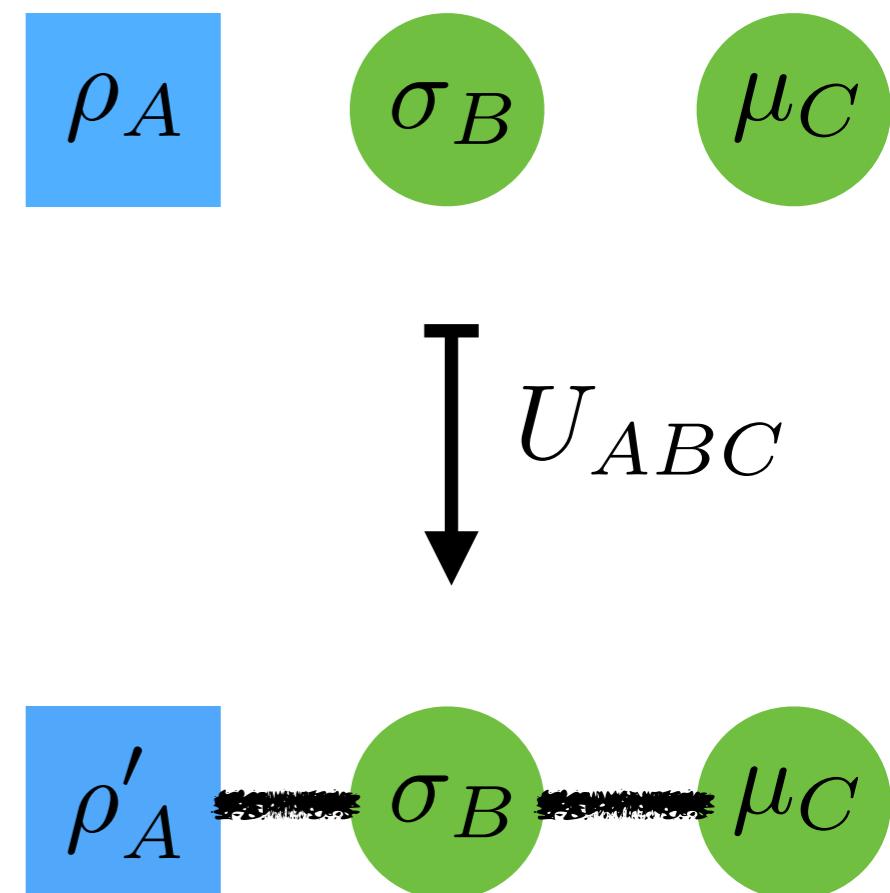
Implications for quantum information

What's possible here? Don't know (yet).
But here's an example, following from the above:

Theorem 5. Let ρ_A and ρ'_A be quantum states with full rank which are not unitarily equivalent, i.e. do not have the exact same set of eigenvalues. Then there exists a finite auxiliary system B , a quantum state σ_B , and a copy C of AB with maximally mixed state μ_C as well a unitary U_{ABC} such that

$$U_{ABC}(\rho_A \otimes \sigma_B \otimes \mu_C)U_{ABC}^\dagger = \rho'_{ABC}$$

with marginals ρ'_A on A , $\rho'_B = \sigma_B$ and $\rho'_C = \mu_C$ if and only if $S(\rho_A) < S(\rho'_A)$ for the von Neumann entropy S .



$$S(\rho_A) + S(\sigma_B) + S(\mu_C) = S(\rho'_{ABC}) \leq S(\rho'_A) + S(\sigma_B) + S(\mu_C).$$

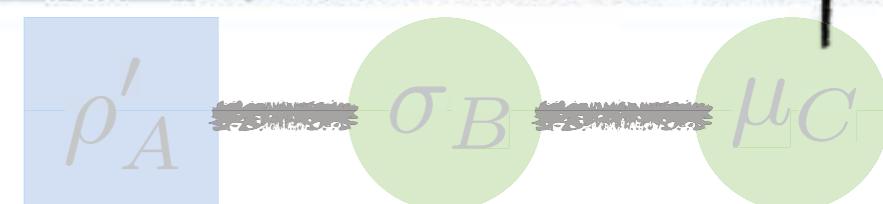
Implications for quantum information

What's possible here? Don't know (yet).
But here's an example, following from the above:

Open Questions. Can we do without the C system?
Or recycle BC? And do the same if A is correlated
with some other system (decoupling)?

Relation to versions of the quantum marginal problem.

with marginals ρ'_A on A, $\rho'_B = \sigma_B$ and $\rho'_C = \mu_C$ if and
only if $S(\rho_A) < S(\rho'_A)$ for the von Neumann entropy S.



$$S(\rho_A) + S(\sigma_B) + S(\mu_C) = S(\rho'_{ABC}) \leq S(\rho'_A) + S(\sigma_B) + S(\mu_C).$$

Conclusions

- **Majorization:** some new results

In particular $p_X \otimes p'_Y \succ p'_{XY} \Leftrightarrow H(X) \leq H(X')$

MM, [arXiv:1707.03451](https://arxiv.org/abs/1707.03451) (+refs)

Further with M. Lostaglio, M. Pastena, J. Scharlau, see <http://mpmueller.net>

- **Quantum thermodynamics:** standard 2nd law; natural one-shot interpretation of free energy F
- **Quantum info:** one-shot int. of standard entropies (?)



Thank you!