

# Modern Complexity Theory (CS1.405)

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# Intractability

- Some computational problems can theoretically be solved, but the time or space required for their solutions makes them impractical. These problems are referred to as *intractable*.
- Intractability varies depending on the computational resources available and the type of solution sought.
- **Problem 1.** A problem that is generally easy to solve but becomes difficult in rare instances is only intractable in the worst-case scenario.
- **Problem 2.** A problem might be solvable on a supercomputer but take an excessive amount of time to solve on a personal computer.
- **Goal.** We focus on problems whose worst-case complexity would be so enormous that any computer (**Quantum Computers**, in near future) that we could conceivably build would need more time than is thought to remain in the lifetime of the universe.

## Definition (Big “oh” ( $O$ ))

The function  $f(n) = O(g(n))$  (read as “ $f$  of  $n$  is big oh of  $g$  of  $n$ ”) if and only if (iff) there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq c \cdot g(n), \forall n \geq n_0$ .

- Let  $f(n) = 3n + 2$ .  
Then  $3n + 2 \leq 4n, \forall n \geq 2$ .  
Hence,  $f(n) = O(n)$ .
- Let  $g(n) = 1000n^2 + 100n - 6$ .  
Then  $g(n) = O(n^2)$ , as  $1000n^2 + 100n - 6 \leq 1001n^2$ , for all  $n \geq 100$ .
- Let  $h(n) = 6 \cdot 2^n + n^2$ .  
Then  $h(n) = O(2^n)$  as  $6 \cdot 2^n + n^2 \leq 7 \cdot 2^n$ , for all  $n \geq 4$ .

## Definition (Little “oh” ( $o$ ))

The function  $f(n) = o(g(n))$  (read as “ $f$  of  $n$  is little oh of  $g$  of  $n$ ”) if and only if (iff) there exist positive constants  $c$  and  $n_0$  such that  $f(n) < c \cdot g(n), \forall n \geq n_0$ .

In other words, the function  $f(n) = o(g(n))$  iff  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ .

- Let  $f(n) = 3n + 2$ .  
Then  $f(n) = o(n^2)$ ,  
since  $\lim_{n \rightarrow \infty} \frac{3n+2}{n^2} = \lim_{n \rightarrow \infty} \left( \frac{3}{n} + \frac{2}{n^2} \right) = 0$ .
- Let  $g(n) = 3n + 2$ .  
Then  $g(n) = o(n \log n)$ , since  
 $\lim_{n \rightarrow \infty} \frac{3n+2}{n \log n} = \lim_{n \rightarrow \infty} \left( \frac{3}{\log n} + \frac{2}{n \log n} \right) = 0$ .

## Definition (Space constructible)

A function  $f : N \rightarrow N$ , where  $f(n)$  is at least  $O(\log n)$ , is called *space constructible* if the function that maps the string  $1^n$  to the binary representation of  $f(n)$  is computable in space  $O(f(n))$ . Here,  $1^n = 111 \dots 1$  ( $n$  times).

In other words,  $f$  is space constructible if some  $O(f(n))$  space TM exists that always halts with the binary representation of  $f(n)$  on its tape when started on input  $1^n$ .

## Example

All commonly occurring functions that are at least  $O(\log n)$  are space constructible, including the functions  $\log n$ ,  $n \log n$ , and  $n^2$ .

## Theorem (Space hierarchy theorem)

*For any space constructible function  $f : N \rightarrow N$ , a language  $A$  exists that is decidable in  $O(f(n))$  space but not in  $o(f(n))$  space.*

## Corollary

*For any two real numbers  $\epsilon_1$  and  $\epsilon_2$  with  $0 \leq \epsilon_1 < \epsilon_2$ ,*

$$SPACE(n^{\epsilon_1}) \subset SPACE(n^{\epsilon_2}).$$



## Corollary

$$NL \subset PSPACE.$$

## Proof.

- By applying the Savitch's theorem, we have

$$NL \subseteq SPACE(\log^2 n).$$

- By the space hierarchy theorem, we have

$$SPACE(\log^2 n) \subset SPACE(n).$$

Hence,

$$NL \subseteq SPACE(\log^2 n) \subset SPACE(n).$$

Thus, the corollary follows. □

## Corollary

$$PSPACE \subset EXPSPACE.$$

## Definition (Time constructible)

A function  $t : N \rightarrow N$ , where  $t(n)$  is at least  $O(n \log n)$ , is called **time constructible** if the function that maps the string  $1^n$  to the binary representation of  $t(n)$  is computable in time  $O(t(n))$ .

In other words,  $t$  is time constructible if some  $O(t(n))$ -time TM exists that always halts with the binary representation of  $t(n)$  on its tape when started on input  $1^n$ .

## Example

All commonly occurring functions that are at least  $n \log n$  are time constructible, including the functions  $n \log n$ ,  $n\sqrt{n}$ ,  $n^2$ , and  $2^n$ .

## Theorem (Time hierarchy theorem)

*For any time constructible function  $t : N \rightarrow N$ , a language  $A$  exists that is decidable in  $O(t(n))$  time but not in time  $o(t(n)/\log t(n))$ .*

## Corollary

*For any two functions  $t_1 : N \rightarrow N$  and  $t_2 : N \rightarrow N$ , where  $t_1(n)$  is  $o(t_2(n)/\log t_2(n))$  and  $t_2$  is time constructible, the*

$$TIME(t_1(n)) \subset TIME(t_2(n)).$$

## Corollary

*For any two real numbers  $\epsilon_1$  and  $\epsilon_2$  with  $1 \leq \epsilon_1 < \epsilon_2$ ,*

$$TIME(n^{\epsilon_1}) \subset TIME(n^{\epsilon_2}).$$

## Corollary

$$P \subset EXPTIME.$$

## Definition (EXPSPACE-complete)

A language  $B$  is EXPSPACE-complete if

- 1  $B \in \text{EXPSPACE}$ , and
- 2 every  $A$  in EXPSPACE is poly-time reducible to  $B$ , that is,  $A \leq_p B$ , for all  $A$  in EXPSPACE (EXPSPACE-hard).



**Problem:** Let  $\uparrow$  represent the exponentiation operation. If  $R$  is a regular expression and  $k$  is a non-negative integer,  $R \uparrow$  is equivalent to the concatenation of  $R$  with itself  $k$  times. In other words,

$$R^k = R \uparrow k = R \circ R \circ \dots R \text{ (} k \text{ times)}.$$

Let  $EQ_{REX\uparrow} = \{\langle Q, R \rangle \mid Q \text{ and } R \text{ are equivalent regular expressions with exponentiation}\}$ .

Prove that  $EQ_{REX\uparrow}$  is EXPSPACE-complete.

**PROOF** First, we present a nondeterministic algorithm for testing whether two NFAs are inequivalent.

$N$  = “On input  $\langle N_1, N_2 \rangle$ , where  $N_1$  and  $N_2$  are NFAs:

1. Place a marker on each of the start states of  $N_1$  and  $N_2$ .
2. Repeat  $2^{q_1+q_2}$  times, where  $q_1$  and  $q_2$  are the numbers of states in  $N_1$  and  $N_2$ :
3. Nondeterministically select an input symbol and change the positions of the markers on the states of  $N_1$  and  $N_2$  to simulate reading that symbol.
4. If at any point a marker was placed on an accept state of one of the finite automata and not on any accept state of the other finite automaton, *accept*. Otherwise, *reject*.”

If automata  $N_1$  and  $N_2$  are equivalent,  $N$  clearly rejects because it only accepts when it determines that one machine accepts a string that the other does not accept. If the automata are not equivalent, some string is accepted by one machine and not by the other. Some such string must be of length at most  $2^{q_1+q_2}$ . Otherwise, consider using the shortest such string as the sequence of nondeterministic choices. Only  $2^{q_1+q_2}$  different ways exist to place markers on the states of  $N_1$  and  $N_2$ ; so in a longer string, the positions of the markers would repeat. By removing the portion of the string between the repetitions, a shorter such string would be obtained. Hence algorithm  $N$  would guess this string among its nondeterministic choices and would accept. Thus,  $N$  operates correctly.

Algorithm  $N$  runs in nondeterministic linear space. Thus, Savitch's theorem provides a deterministic  $O(n^2)$  space algorithm for this problem. Next, we use the deterministic form of this algorithm to design the following algorithm  $E$  that decides  $EQ_{\text{REX}^\dagger}$ .

$E$  = “On input  $\langle R_1, R_2 \rangle$ , where  $R_1$  and  $R_2$  are regular expressions with exponentiation:

1. Convert  $R_1$  and  $R_2$  to equivalent regular expressions  $B_1$  and  $B_2$  that use repetition instead of exponentiation.
2. Convert  $B_1$  and  $B_2$  to equivalent NFAs  $N_1$  and  $N_2$ , using the conversion procedure given in the proof of Lemma 1.55.
3. Use the deterministic version of algorithm  $N$  to determine whether  $N_1$  and  $N_2$  are equivalent.”

Algorithm  $E$  obviously is correct. To analyze its space complexity, we observe that using repetition to replace exponentiation may increase the length of an expression by a factor of  $2^l$ , where  $l$  is the sum of the lengths of the ex-

ponents. Thus, expressions  $B_1$  and  $B_2$  have a length of at most  $n2^n$ , where  $n$  is the input length. The conversion procedure of Lemma 1.55 increases the size linearly, and hence NFAs  $N_1$  and  $N_2$  have at most  $O(n2^n)$  states. Thus, with input size  $O(n2^n)$ , the deterministic version of algorithm  $N$  uses space  $O((n2^n)^2) = O(n^2 2^{2n})$ . Hence  $EQ_{\text{REX}\uparrow}$  is decidable in exponential space.

Next, we show that  $EQ_{\text{REX}\uparrow}$  is EXPSPACE-hard. Let  $A$  be a language that is decided by TM  $M$  running in space  $2^{(n^k)}$  for some constant  $k$ . The reduction maps an input  $w$  to a pair of regular expressions,  $R_1$  and  $R_2$ . Expression  $R_1$  is  $\Delta^*$  where if  $\Gamma$  and  $Q$  are  $M$ 's tape alphabet and states,  $\Delta = \Gamma \cup Q \cup \{\#\}$  is the alphabet consisting of all symbols that may appear in a computation history. We construct expression  $R_2$  to generate all strings that aren't rejecting computation histories of  $M$  on  $w$ . Of course,  $M$  accepts  $w$  iff  $M$  on  $w$  has no rejecting computation histories. Therefore, the two expressions are equivalent iff  $M$  accepts  $w$ . The

# Thank You!!!