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Feedback-controlled heat transport in quantum devices: theory and solid-state experimental proposal

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Abstract

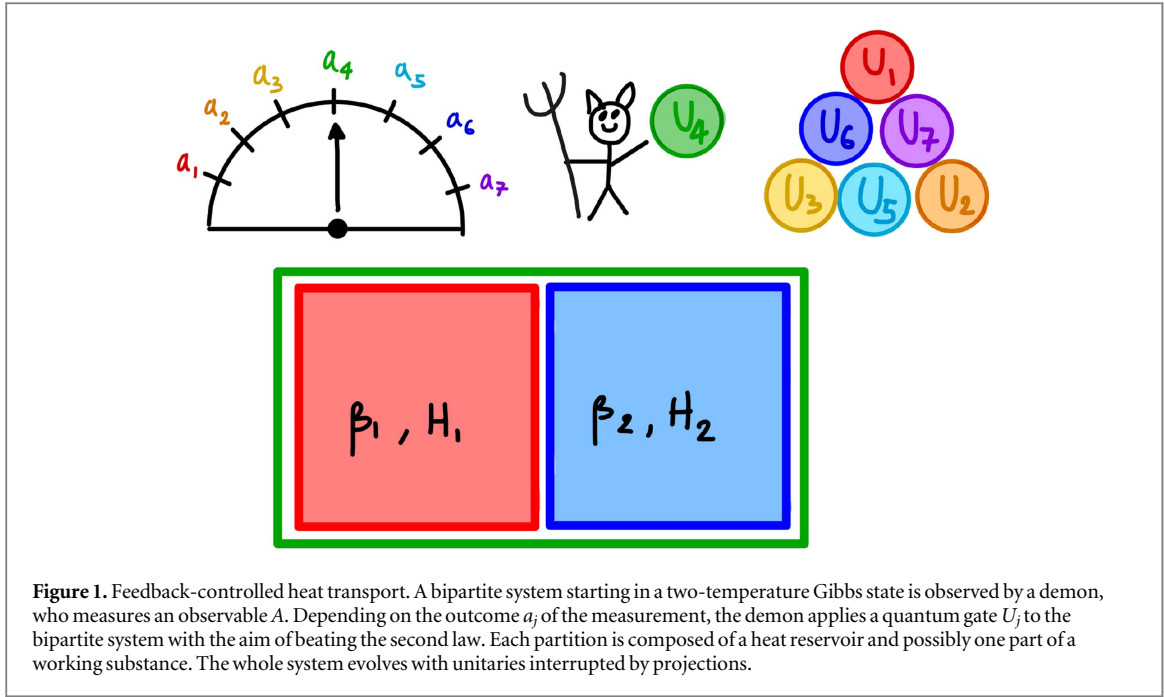
A theory of feedback-controlled heat transport in quantum systems is presented. It is based on modelling heat engines as driven multipartite systems subject to projective quantum measurements and measurement-conditioned unitary evolutions. The theory unifies various results presented previously in the literature. Feedback control breaks time reversal invariance. This in turn results in the fluctuation relation not being obeyed. Its restoration occurs through appropriate accounting of the gain and use of information via measurements and feedback. We further illustrate an experimental proposal for the realisation of a Maxwell demon using superconducting circuits and single-photon on-chip calorimetry. A two-level qubit acts as a trap-door, which, conditioned on its state, is coupled to either a hot resistor or a cold one. The feedback mechanism alters the temperatures felt by the qubit and can result in an effective inversion of temperature gradient, where heat flows from cold to hot thanks to the gain and use of information.

1. Introduction

In a famous thought experiment Maxwell envisioned a method for apparently defying the second law of thermodynamics by means of a feedback control mechanism [1]. Maxwell's idea is based on a malicious demon, an intelligent being that is able to observe the microscopic dynamics of a system, and acts on it so as to steer it toward defying the second law. In one of Maxwell's original concepts, the system is a container with two chambers, containing respectively a hot gas and a cold gas. The two chambers are separated by a wall containing a trap-door, which the demon can open and close at will. The demon observes the erratic motion of the gas particles, and when he sees a particle in the cold chamber approach the trap-door with sufficiently high velocity, he swiftly opens the door so as to let the particle pass through and closes it immediately afterwards. In this way, particle after particle, heat flows from the cold chamber to the hot chamber in contradiction with the second law.

Advances in nanotechnology have created the possibility of bringing Maxwell demons and similar devices from the realm of thought experiments to the realm of real experiments [2–5]. Theoretical and experimental studies so far have both focused mainly on situations where feedback control is operated as a measurement-conditioned driving on some working substance (classical or quantum) coupled to a single temperature, so as to withdraw energy from the latter in contradiction with the second law as formulated by Kelvin. Interesting realistic proposals have appeared in [6, 7]. Situations where heat flow between reservoirs at different temperatures is controlled, however, have not been addressed so far, either theoretically or experimentally. The main motivation of the present work is that of filling that gap. In the following we shall present the general theory of feedback-controlled heat transport in quantum devices, and describe a possible experimental realisation thereof.

The theory presented here builds on previous works concerning fluctuation relations in the presence of measurements without feedback [8, 9] and with feedback [10], combined with an inclusive approach where



quantum heat engines are seen as mechanically driven multipartite systems starting in a multi-temperature initial state [11–14]. Reference [10] reported on the theory of a one-measurement-based feedback control on a quantum working substance prepared by contact with a single bath. That formalism is here extended to the case of many heat baths, and also repeated measurements, to allow for the study of continuous feedback control of heat flow in a multi-reservoir scenario. Previous work concerning repeated measurements appeared in [15] for classical systems in contact with a single bath. Fluctuation relations need to be modified by a mutual information term, which we shall provide explicitly.

Our experimental proposal is based on the fast developing advancements in experimental solid-state low-temperature techniques: in particular the calorimetric measurement scheme that has been put forward by one of us and co-workers [16, 17]. As proven by some recent theoretical proposals [13, 18], the method opens up a new avenue for the practical management of heat and work on a chip by means of superconducting devices, particularly superconducting qubits. Here we illustrate the possible implementation of very simple feedback-controlled heat transport where the trap-door is realised by a superconducting qubit whose coupling with two resistors at different temperatures is controlled based on the outcomes of continuous calorimetric monitoring of the resistors themselves.

2. Theory

Following [14] we model a generic heat transport/heat engine scenario as a driven multipartite system starting in the factorised state (see figure 1):

$$\rho_0 = \bigotimes_l \frac{e^{-\beta_l H_l}}{Z_l} \quad (1)$$

where H_l is the Hamiltonian of each partition including a heat bath and possibly a portion of the working substance, and Z_l is the corresponding partition function [14]. Let the total Hamiltonian be

$$H(t) = \sum_l H_l + V(t) \quad (2)$$

where $V(t)$ is an interaction term that is switched on for the time interval $t \in [0, \tau]$ over which the system is monitored. We assume that at times $t_1 < t_2 < \dots < t_k$ some observable A is measured, thus causing the wavefunction describing the compound to collapse onto the subspace spanned by the eigenvectors belonging to the measured eigenvalue a_j . Following [10], we shall assume that there can be a measurement error where the eigenvalue a_k is recorded instead of the actual eigenvalue a_j . This is assumed to happen with probability $\varepsilon[k|j]$. The choice of the interaction $V(t)$ in the interval (t_i, t_{i+1}) is dictated by the sequence of recorded eigenvalues, or more simply the recorded sequence $\{k_1, k_2, \dots, k_i\} = \mathbf{k}_i$, that is $V(t) = V_{\mathbf{k}_i}(t)$ for $t_i < t < t_{i+1}$. The corresponding unitary operator describing the evolution in the time span $t_i < t < t_{i+1}$ is

$$U_{\mathbf{k}_i} = \overleftarrow{\exp} \left[\int_{t_i}^{t_{i+1}} ds H_{\mathbf{k}_i}(s) \right] \text{ where } \overleftarrow{\exp} \text{ denotes time-ordered exponential and } H_{\mathbf{k}_i}(t) = \sum_l H_l + V_{\mathbf{k}_i}(t). \text{ We}$$

shall denote the unconditioned evolution operator from time $t = 0$ to the time of the first measurement $t = t_1$ as U_0 . Note that the sequence of recorded labels \mathbf{k}_j generally differs from the sequence of labels $\{j_1, j_2, \dots, j_i\} = \mathbf{j}_i$ specifying in which subspace the system state was actually projected at the measurement times t_1, t_2, \dots, t_i . As is customary in the context of the fluctuation theorem we shall assume that, besides the intermediate measurements of A , all H_l 's are measured at times $t = 0, t = \tau$, giving the eigenvalues E_n^l, E_m^l respectively.

The quantity of primary interest is the probability $p(m, \mathbf{k}, \mathbf{j}, n)$ that n is obtained in the first energy measurement, the sequence \mathbf{j} is realised, the sequence \mathbf{k} is recorded and m is obtained in the final energy measurement. Here we have introduced the simplified notations $\mathbf{j} = \mathbf{j}_K, \mathbf{k} = \mathbf{k}_K$. The explicit expression for $p(m, \mathbf{k}, \mathbf{j}, n)$ is

$$p(m, \mathbf{k}, \mathbf{j}, n) = \text{Tr} P_m A_{\mathbf{k}, \mathbf{j}} U_0 P_n U_0^\dagger A_{\mathbf{k}, \mathbf{j}}^\dagger P_m P_n^0 \quad (3)$$

$$A_{\mathbf{k}, \mathbf{j}} = \overleftarrow{\Pi}_i \left(U_{\mathbf{k}_i} \pi_{j_i} \sqrt{\varepsilon[k_i | j_i]} \right) \quad (4)$$

where $p_n^0 = \Pi_l e^{-\beta_l E_n^l} / Z_l$ denotes the probability of obtaining the eigenvalue $E_n = \sum_l E_n^l$ in the first measurement; P_n denotes the corresponding projector; π_j denotes the projector onto the subspace belonging to the eigenvalue a_j of A ; the symbol $\overleftarrow{\Pi}_i$ denotes i -ordered product, that is, $\overleftarrow{\Pi}_i (U_{\mathbf{k}_i} \pi_{j_i} \sqrt{\varepsilon[k_i | j_i]}) = U_{\mathbf{k}_K} \pi_{j_K} \sqrt{\varepsilon[j_K | k_K]} \cdots U_{\mathbf{k}_2} \pi_{j_2} \sqrt{\varepsilon[j_2 | k_2]} U_{\mathbf{k}_1} \pi_{j_1} \sqrt{\varepsilon[j_1 | k_1]}$.

Let $\Delta E_l = E_m^l - E_n^l$ be the change in energy in the partition l observed in a single realisation of the feedback-driven protocol. Using the cyclic property of the trace and completeness $\sum P_n = \mathbb{1}$, we obtain the following:

$$\langle e^{-\sum_l \beta_l \Delta E_l} \rangle = \gamma = \sum_{\mathbf{j}, \mathbf{k}} \text{Tr} A_{\mathbf{k}, \mathbf{j}}^\dagger \rho_0 A_{\mathbf{k}, \mathbf{j}} \quad (5)$$

The proof is reported in appendix A. This relation extends the result presented in [10] to the case of a multipartite system with an initial multi-temperature state, and to repeated measurements⁵. The quantity $\text{Tr} A_{\mathbf{k}, \mathbf{j}}^\dagger \rho_0 A_{\mathbf{k}, \mathbf{j}}$ represents the probability that the sequences $\mathbf{j}^\dagger = \{j_K, \dots, j_2, j_1\}$ and $\mathbf{k}^\dagger = \{k_K, \dots, k_2, k_1\}$ are realised under the backward evolution specified by the adjoint Kraus operators $A_{\mathbf{k}, \mathbf{j}}^\dagger$. The total probability γ does not generally add to one. The reason for this is that the i th evolution $U_{\mathbf{k}_i}^\dagger$ occurs before the i th eigenvalue j_i is realised in the backward map. The feedback loop is evidently not symmetric under time reversal, and such a lack of reversibility breaks the fluctuation theorem $\langle e^{\sum_l \beta_l \Delta E_l} \rangle = 1$, which in fact is a manifestation of time-reversal symmetry [19]. This is reflected by the fact that the quantum channel specified by the Kraus operators $A_{\mathbf{k}, \mathbf{j}}$ is generally not unital⁶. The adjoint of a non-unital quantum channel is not trace-preserving. In the case of feedback control the quantum channel $\sum_{\mathbf{j}, \mathbf{k}} A_{\mathbf{k}, \mathbf{j}} \rho_0 A_{\mathbf{k}, \mathbf{j}}^\dagger$ is generally not unital; as a consequence its adjoint is generally not trace-preserving, hence we have generally $\gamma \neq 1$. Lack of unitality generally reflects a lack of time-reversal symmetry. Examples are thermalisation maps, namely maps that have a thermal state (not the identity) as a fixed point. Physically these are realised by means of weak contact of a system with a thermal bath, leading to irreversible dynamics. Likewise feedback control breaks the symmetry. This observation reveals some analogy between feedback control and dissipative dynamics.

Before proceeding, let us comment briefly on the origin of the lack of unitality in feedback-controlled systems, in order to gain insight into the issue. For simplicity let us consider the case of a single measurement $K = 1$. Let us begin by noticing that the quantum channel specified by the $A_{k,j}$ is trace-preserving. We have $\text{Tr} \sum_{k,j} A_{k,j} \rho A_{k,j}^\dagger = \sum_{k,j} \varepsilon[k | j] \text{Tr} U_k \pi_j \rho \pi_j U_k^\dagger = \sum_{k,j} \varepsilon[k | j] \text{Tr} \pi_j \rho \pi_j = \sum_j \text{Tr} \pi_j \rho \pi_j = \text{Tr} \rho$, where we have used the cyclic property of the trace, unitality $U_k^\dagger U_k = \mathbb{1}$, idempotence $\pi_j \pi_j = \pi_j$, normalisation $\sum_k \varepsilon[k | j] = 1$ and completeness $\sum_j \pi_j = \mathbb{1}$. Let us now turn to unitality. We have $\sum_{k,j} A_{k,j} A_{k,j}^\dagger = \sum_{k,j} \varepsilon[k | j] U_k \pi_j U_k^\dagger$. If the evolution U_k was not dependent on k , that is $U_k = \bar{U}$ was chosen regardless of the recorded value k (e.g., \bar{U} is pre-specified or is completely random), one could perform the sum over k using $\sum_k \varepsilon[k | j] = 1$ and then use $\sum_j \pi_j = \mathbb{1}$ to conclude that the map is unital. Feedback, implying explicit dependence on k of U_k , breaks unitality. Unitality would occur also in the case when $\varepsilon[k | j]$ does not depend on j , meaning the measurement outcome k is completely random and has no correlation with the actual state j . In sum, if the feedback control measurement is off, either because one decides not to use the information gathered in the measurement or because the measurement gathers no information in the first place, unitality is recovered, and the fluctuation theorem is restored. This result is in agreement with the established fact that projective measurements without feedback control do not alter the validity of the fluctuation theorem [8, 20, 21]. Here we have further learned that noise, i.e. choosing the U 's between the measurements completely randomly, also does not affect the integral fluctuation relation.

⁵ For simplicity we restricted the argument to the case of cyclic $H(t)$. The extension to the non-cyclic case is straightforward.

⁶ We recall that a quantum channel specified by Kraus operators $M_n: \rho \rightarrow \sum_i M_i \rho M_i^\dagger$ that is trace-preserving, i.e. $\sum_i M_i^\dagger M_i = \mathbb{1}$, is unital when it maps the identity into itself: $\sum_i M_i M_i^\dagger = \mathbb{1}$.

Let us now turn to thermodynamics. Using Jensen's inequality, equation (5) implies

$$\sum_l \beta_l \langle \Delta E_l \rangle \geq -\ln \gamma \quad (6)$$

In the case when the map is unital we have $\gamma = 1$, and the second law of thermodynamics is recovered [14]. When $\gamma > 1$ the condition $\sum_l \beta_l \langle \Delta E_l \rangle < 0$ is not forbidden, and the apparent violation of the second law becomes possible. This occurs with a proper 'demonic' design of the feedback control. When $\gamma < 1$ instead, the second law is more strictly enforced by means of an 'angelic' intervention.

As shown in [10, 22], in the case of a single measurement (in either classical or quantum systems) the fluctuation relation can be restored if an information theoretic term, in the form of mutual information, is added to the exponent in the exponential average. Reference [15] reports the extension to the case of repeated measurements in the classical scenario. All these results are for a single-temperature initial state. In the present set-up we find as well an information theoretic correction term (see appendix B for a proof):

$$\langle e^{-\sum_l \beta_l \Delta E_l - J_{\mathbf{k}, \mathbf{j}}} \rangle = 1 \quad (7)$$

where $J_{\mathbf{k}, \mathbf{j}}$ is defined by the following set of equations:

$$J_{\mathbf{k}, \mathbf{j}} = \ln \frac{p(\mathbf{k}, \mathbf{j})}{p(\mathbf{j} : \mathbf{k})p(\mathbf{k})} \quad (8)$$

$$p(\mathbf{k}, \mathbf{j}) = \sum_{n, m} p(m, \mathbf{k}, \mathbf{j}, n) \quad (9)$$

$$p(\mathbf{k}) = \sum_{n, \mathbf{j}, m} p(m, \mathbf{k}, \mathbf{j}, n) = \sum_{\mathbf{j}} p(\mathbf{k}, \mathbf{j}) \quad (10)$$

$$p(\mathbf{j} : \mathbf{k}) = \frac{p(\mathbf{k}, \mathbf{j})}{\prod_{i \in [k_i | j_i]} j_i}. \quad (11)$$

The symbol $p(\mathbf{k}, \mathbf{j})$ represents the joint probability that the sequence \mathbf{j} is realised and the sequence \mathbf{k} is recorded, while $p(\mathbf{k})$ is the probability that \mathbf{k} is recorded. The symbol $p(\mathbf{j} : \mathbf{k})$ stands for the probability that the sequence \mathbf{j} is realised, conditioned on \mathbf{k} being the record. More explicitly,

$$p(\mathbf{j} : \mathbf{k}) = \frac{p(\mathbf{k}, \mathbf{j})}{\prod_{i \in [k_i | j_i]} j_i} = \sum_{n, m} \text{Tr} P_m B_{\mathbf{k}, \mathbf{j}} U_0 P_n U_0^\dagger B_{\mathbf{k}, \mathbf{j}}^\dagger P_m P_n^0 \quad (12)$$

$$B_{\mathbf{k}, \mathbf{j}} = \overleftarrow{\prod}_i (U_{\mathbf{k}_i} \pi_{j_i}) = \frac{A_{\mathbf{k}, \mathbf{j}}}{\prod_i \sqrt{\varepsilon [k_i | j_i]}}. \quad (13)$$

The operators $B_{\mathbf{k}, \mathbf{j}}$ differ from the operators $A_{\mathbf{k}, \mathbf{j}}$ by the term containing the conditional probability $\varepsilon [k_i | j_i]$. Note that the Bayes rule does not apply here, i.e. generally it is $p(\mathbf{k}, \mathbf{j}) \neq p(\mathbf{j} : \mathbf{k})p(\mathbf{k})$. The reason is that \mathbf{j} and \mathbf{k} are concatenated with each other. An outcome j_i influences the record k_i , which in turn influences the next outcome j_{i+1} and so on. The quantity $J_{\mathbf{k}, \mathbf{j}}$ measures the degree of such mutual influence or correlation between the two sequences \mathbf{j} and \mathbf{k} ⁷. In the absence of feedback, namely when there is no correlation between the two sequences, $J_{\mathbf{k}, \mathbf{j}}$ is null and the standard relation is recovered. Note that, given a feedback rule, generally $\langle J_{\mathbf{k}, \mathbf{j}} \rangle$ would grow with the length K of the sequences, i.e. the number of measurements. It is accordingly expected that $\langle J_{\mathbf{k}, \mathbf{j}} \rangle \propto K$ in the large- K regime.

With Jensen's inequality equation (7) implies

$$\sum_l \beta_l \langle \Delta E_l \rangle \geq -\langle J_{\mathbf{k}, \mathbf{j}} \rangle. \quad (14)$$

We thus have found two bounds to $\sum_l \beta_l \langle \Delta E_l \rangle$.

By looking directly at $\sum_l \beta_l \langle \Delta E_l \rangle$ as in [14] we have found a third bound, whose interpretation is most direct and straightforward. Let

$$\rho_\tau = \sum_{n, \mathbf{j}, \mathbf{k}, m} P_m A_{\mathbf{k}, \mathbf{j}} U_0 P_n \rho_0 P_n U_0^\dagger A_{\mathbf{k}, \mathbf{j}}^\dagger P_m = \sum_{\mathbf{j}, \mathbf{k}} A_{\mathbf{k}, \mathbf{j}} U_0 \rho_0 U_0^\dagger A_{\mathbf{k}, \mathbf{j}}^\dagger \quad (15)$$

be the system density matrix at time τ . In the second equality we have used completeness $\sum_m P_m = \mathbb{1}$ and the fact that the initial state has no coherences in the energy eigenbasis $\sum_n P_n \rho_0 P_n = \rho_0$. Simple manipulations, similar to those employed in [14], lead to the following salient result:

⁷ Equation (7) is reminiscent of a similar relation reported by Vedral [23], see equation (8) there. The two relations differ fundamentally in various respects, notably in the meaning of the mutual information term. In our case it measures the correlation between outcomes and their records; in the case of [23] it measures the correlation between the measurements themselves.

$$\sum_l \beta_l \langle \Delta E_l \rangle = \sum_i D[\rho_\tau^i || \rho_0^i] + I[\rho_\tau] + \Delta \mathcal{H} \quad (16)$$

where

$$D[\rho_\tau^l || \rho_0^l] = \text{Tr} \rho_\tau^l \ln \rho_\tau^l - \text{Tr} \rho_\tau^l \ln \rho_0^l \quad (17)$$

$$I[\rho_\tau] = -\sum_l \text{Tr} \rho_\tau^l \ln \rho_\tau^l + \text{Tr} \rho_\tau \ln \rho_\tau \quad (18)$$

$$\Delta \mathcal{H} = -\text{Tr} \rho_\tau \ln \rho_\tau + \text{Tr} \rho_0 \ln \rho_0 \quad (19)$$

denote the Kullback Leibler divergence between the final state ρ_τ and the initial state ρ_0 , equation (17); the total amount of correlation (mutual information) that builds up among the partitions as a consequence of their interaction during the time span $[0, \tau]$, equation (18); and the total change in von Neumann entropy of the whole compound, equation (19). Here $\rho_t^l = \text{Tr}'_l \rho_t$ is the reduced state of partition l at time t (Tr'_l denotes the trace over all partitions but the l th). The mutual information I among the partitions of the system (measuring all correlations, quantal and classical), which develops generally due to their interaction $V(t)$ (and can also occur in the absence of measurements and feedback [14]), should not be confused with the classical mutual information $J_{\mathbf{k},\mathbf{j}}$ between the realisation sequence \mathbf{j} and the record sequence \mathbf{k} caused by the feedback mechanism.

Both the Kullback Leibler divergence $D[\rho_\tau^i || \rho_0^i]$ and the mutual information $I[\rho_t]$ are non-negative quantities. We thus arrive at the central inequality:

$$\sum_l \beta_l \langle \Delta E_l \rangle \geq \Delta \mathcal{H}. \quad (20)$$

In the standard no-measurement case, ρ_τ is linked to ρ_0 via a unitary map, hence $\Delta \mathcal{H} = 0$ and one recovers the result of [14], namely $\sum_i \beta_i \langle \Delta E_i \rangle = \sum_i D[\rho_\tau^i || \rho_0^i] + I[\rho_\tau]$, and the second law in its standard form. Note that when there are measurements, but no feedback, ρ_τ is linked to ρ_0 via a *unital* map, implying $\gamma = 0$, $\langle J_{\mathbf{k},\mathbf{j}} \rangle = 0$, and $\Delta \mathcal{H} \geq 0$, hence $\sum_l \beta_l \langle \Delta E_l \rangle \geq \Delta \mathcal{H} \geq 0$, meaning that, as is already known [8, 20, 21], the second law is not altered by the mere application of projective measurements that interrupt an otherwise unitary dynamics. However, equation (20) clearly indicates that there is a dissipation term associated with quantum-mechanical measurements, which is not present in the classical case. In sum, through equation (20) we see that there is a thermodynamic cost associated with quantum measurements.

Combining equations (6), (14) and (20), the second law of thermodynamics in the presence of feedback control takes the form

$$\sum_l \beta_l \langle \Delta E_l \rangle \geq \max[-\ln \gamma, -\langle J_{\mathbf{k},\mathbf{j}} \rangle, \Delta \mathcal{H}]. \quad (21)$$

3. Illustrative example

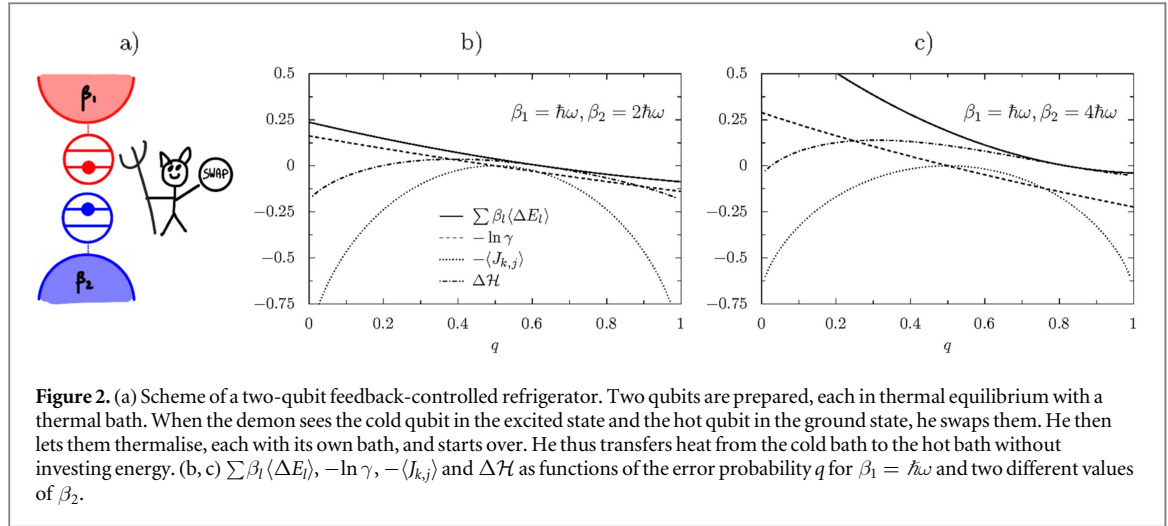
To exemplify the theory above we consider a prototypical model of a quantum heat engine whose working substance is made of two qubits [13, 14, 24]. Their Hamiltonian reads

$$H = H_1 + H_2 = \frac{\hbar\omega}{2} \sigma_z^1 + \frac{\hbar\omega}{2} \sigma_z^2 \quad (22)$$

where σ_z^i denote Pauli operators. We assume that the two qubits have the same level spacing $\hbar\omega$ and are initially in the state

$$\rho_0 = \frac{e^{-\beta_1 H_1}}{Z_1} \otimes \frac{e^{-\beta_2 H_2}}{Z_2} \quad (23)$$

with Z_i their partition functions. At $t = 0$ the σ_z^i 's are measured by collapsing the two qubits in the state $|k\rangle = |k'\rangle |k''\rangle$, with $k', k'' = \pm$. We assume classical error in the measurement of each qubit, $\epsilon[+|+] = \epsilon[-|-] = q$, $\epsilon[-|+] = \epsilon[+|-] = 1 - q$ for some $q \in [0, 1]$. Accordingly the eigenvalues $j = j', j''$ are recorded with probability $\epsilon[k|j] = \epsilon[k'|j'] \epsilon[k''|j'']$. If the states $|+, +\rangle, |-, +\rangle, |-, -\rangle$ are recorded we do nothing: $U_{+,+} = U_{-,+} = U_{-,-} = \mathbb{1}$; else, i.e., if $k = |-, +\rangle$, we apply a swap operation, $U_{-,+} = U_{\text{SWAP}}$, that maps $|-, +\rangle$ into $|+, -\rangle$. The system is now in a joint eigenstate $|m\rangle = U_k |j\rangle$ of the two qubits with Hamiltonian H , hence the final measurement of σ_z^i is irrelevant. At the end of the process each qubit is allowed to relax to thermal equilibrium with its respective thermal bath of inverse temperature β_i so as to re-establish the initial state ρ_0 . Accordingly, the average energy $\langle \Delta E_i \rangle$ acquired by each qubit during the process is equal to the average heat that it releases in the bath in the thermal relaxation step. As a result of the feedback mechanism energy may be withdrawn from the cold bath and released in the hot one. Note that, due to the fact that the two qubits have same level spacing, the SWAP operation does not alter their total energy. That is, there is no energy injection by the demon: to steer the energy flow he only uses information. The set-up is illustrated in figure 2(a).



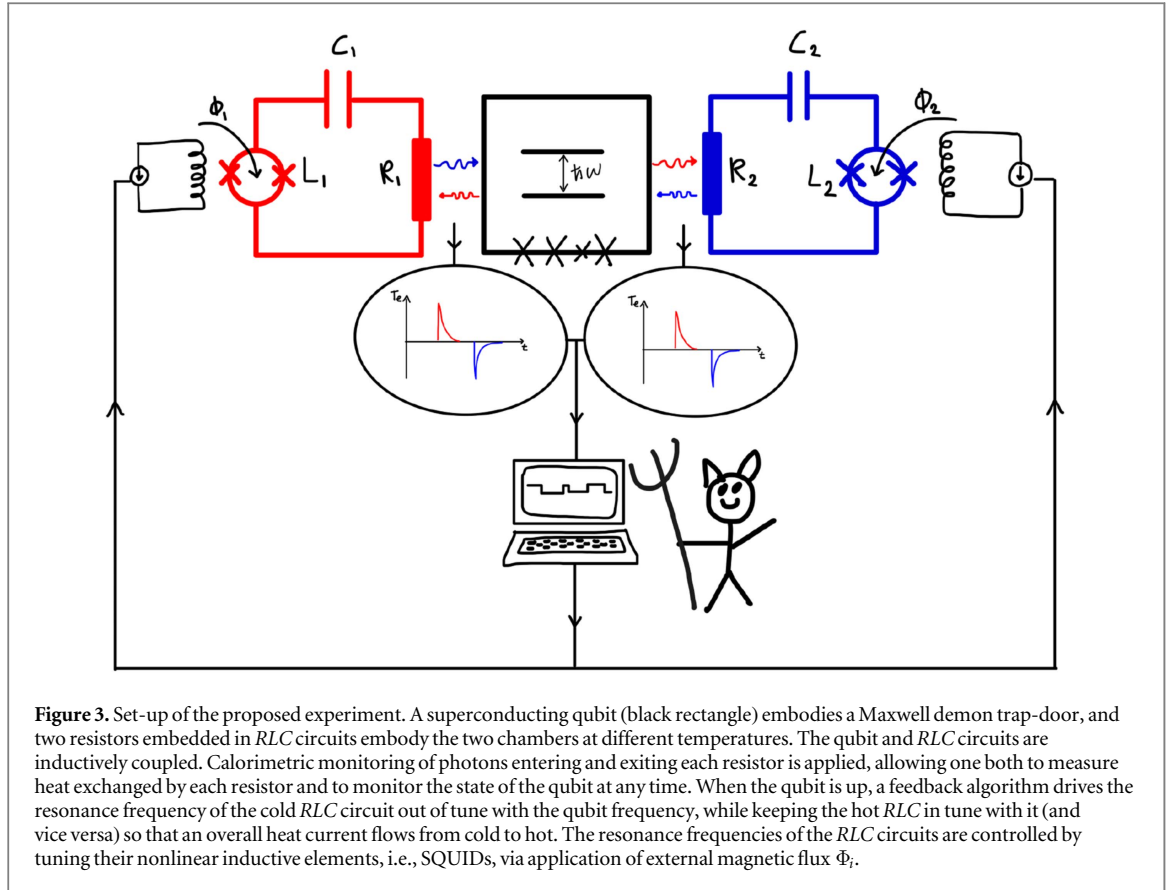
The relevant probability chain is a bit simpler than in the general case because the first energy measurement is itself here also the first feedback measurement. It reads $p(m, k, j) = \text{Tr} P_m U_k P_j U_k^\dagger P_m p_j^0 \varepsilon[k|j] = \text{Tr} P_m A_{k,j} A_{k,j}^\dagger P_m p_j^0$ with $A_{k,j} = \sqrt{\varepsilon[k|j]} U_k P_j$. For γ we have $\gamma = \sum_{j,k} \varepsilon[k|j] \text{Tr} P_j U_k^\dagger \rho_0 U_k P_j$. The final state is $\rho_f = \sum_{j,k} \varepsilon[k|j] U_k P_j \rho_0 P_j U_k^\dagger$. The probability $p(j : k)$ that the outcome j is realised conditioned on k being recorded is simply the marginal probability $p(j)$ that j is realised because the record k comes chronologically after the realisation of j and hence cannot have any influence on it. The quantity $J_{k,j}$ boils down then to the logarithm of the ratio $p(j, k)/p(j)p(k)$ [10], hence its expectation is the non-negative mutual information between j and k : $\langle J_{k,j} \rangle = \sum_{j,k} p(j, k) [\ln p(j, k)/p(j)p(k)]$.

Figures 2(b, c) show $\sum \beta_l \langle \Delta E_l \rangle$, $-\ln \gamma$, $-\langle J_{k,j} \rangle$ and $\Delta \mathcal{H}$, for two choices of β_2 and the same β_1 , as functions of the error probability q . In accordance with equation (21) we see that $\sum \beta_l \langle \Delta E_l \rangle$ is bounded from below by $-\ln \gamma$, $-\langle J_{k,j} \rangle$ and $\Delta \mathcal{H}$. Independent of all other parameters the refrigerator cannot work in the region $q < 1/2$ where j and k are anticorrelated, while it may only work if $q > 1/2$. This is captured by $-\ln \gamma$ being positive in the region $0 < q < 1/2$ and negative for $1/2 < q < 1$. At $q = 1/2$ the outcome and recording are fully uncorrelated, which restores unitality as discussed above and implies $\ln \gamma = 0$. Regarding $\Delta \mathcal{H}$, while it tends to be close to $\sum \beta_l \langle \Delta E_l \rangle$ in the operation region ($q > 1/2$), it greatly departs from it in the non-operation region, where it can even take negative values. Notably in both panels there is a value of q for which the bound is saturated by $\Delta \mathcal{H}$. Regarding $-\langle J_{k,j} \rangle$, we note that it is everywhere non-positive as expected. Furthermore it is symmetric with respect to $q \rightarrow 1 - q$. This reflects the fact that the mutual information does not distinguish between correlation and anticorrelation. The maximum $-\langle J_{k,j} \rangle = 0$ is attained at $q = 1/2$ where j and k are uncorrelated, and the standard fluctuation relation is recovered (i.e., $\gamma = 1$). In both panels we see that $\Delta \mathcal{H} > -\langle J_{k,j} \rangle$. Whether this a generic bound is yet to be understood. We note that while both $-\ln \gamma$ and $-\langle J_{k,j} \rangle$ are null at $q = 1/2$, $\Delta \mathcal{H}$ is non-negative, reflecting the fact that in the absence of feedback there is nonetheless an entropic cost associated with measurements, as discussed above. Such a cost can be counterbalanced in the presence of feedback (note that $\Delta \mathcal{H}$ may be negative for $q \neq 1/2$). Confronting now the two panels, we see that the higher the thermal gradient $\beta_2 - \beta_1$, the larger is the point q where the engine starts operating, i.e. where $\sum \beta_l \langle \Delta E_l \rangle$ turns from positive into negative: as intuition suggests, the steeper the gradient, the better must your measurement be. This feature is captured also by $\Delta \mathcal{H}$ but not by $-\ln \gamma$, $-\langle J_{k,j} \rangle$. Also the smaller the gradient, the more the shape of the function $\sum \beta_l \langle \Delta E_l \rangle$ resembles that of $-\ln \gamma$, with the shift between the two being approximately the value of $\Delta \mathcal{H}$ at $q = 1/2$: that is $\sum \beta_l \langle \Delta E_l \rangle \simeq -\ln \gamma + \Delta \mathcal{H}|_{q=1/2}$.

4. Experimental proposal

The general theory developed above allows for a joint information theoretic and thermodynamic analysis of feedback-controlled dynamics in the broad scenario where a demon can influence not only the amount of work being provided by the outside as in previous works [2–4] but also the heat flow between the various parts of a compound system, e.g. the heat flow between various heat baths.

Progress in solid-state technology, on the other hand, allows one to realise such feedback-controlled heat transport mechanisms in real devices. The example illustrated above can be realised experimentally by introducing a feedback mechanism in the scheme with two superconducting qubits illustrated in [13]. Below we illustrate a design that permits more immediate realisation. It is based on a single qubit and it does not involve any qubit operation, but only manipulations of qubit–bath couplings. The proposal that we put forward here is based on two ingredients that enable unique capabilities, allowing for the implementation of a Maxwell demon



based on a very simple concept. The two ingredients are a two-level system acting as a quantum trap-door and the calorimetric measurement scheme developed in [16, 17].

The one-qubit set-up is illustrated in figure 3. The two-level system (TLS) is embodied by a superconducting qubit of level spacing $\hbar\omega$. The two chambers are embodied by two resistors kept at different temperatures. The qubit and resistors can exchange energy (i.e. heat) in the form of photons of energy $\hbar\omega$ associated with the TLS absorbing/emitting one photon from/to one of the two baths. The resistors are embedded in an RLC loop of tunable resonance frequency. This results in a tunable TLS/resistor coupling. When an RLC circuit is far detuned from ω , the qubit is effectively decoupled from the resistor, while maximal coupling occurs when it is in tune with the qubit. The resonance frequency can be tuned by using a SQUID as a nonlinear and tunable inductor, its inductance being governed by a controllable threading magnetic flux.

When a photon enters/exits one of the two resistors, its electronic temperature undergoes a positive/negative jump followed by a fast decay. Two calorimeters [16, 17] continuously monitor the two resistors and count how many photons enter/exit them. This allows for a directional full counting statistics of heat. Most remarkably it also allows one to infer the state of the TLS at each time. If an absorption (in either resistor) is observed, it means the TLS jumped down, hence it was up before the absorption was detected and is down afterwards. This allows one to access the quantum state trajectory of the TLS experimentally.

The feedback concept is extremely simple: as soon as a jump down is observed, turn on the interaction with the cold resistor and turn off the interaction with the hot resistor. Vice versa for the observation of a jump up. This results in a net flow of heat from the cold resistor to the hot one. Based on the above general analysis the apparent violation of the second law is understood in terms of lack of time-reversal symmetry of feedback control, leading to an overall non-unital dynamics of resistors plus TLS. In a practical realisation one is realistically not able to fully turn off the interactions. Furthermore there will be some delay time δ between the measurement being performed and feedback being realised, giving rise effectively to a possible error $\varepsilon [k_i | j_i]$ between the measured state k_i and actual state j_i of the qubit.

5. Modelling

In the following we model the dynamics of the proposed experiment. We model the evolution of the two-level system via a standard Lindblad master equation

$$\dot{\rho} = -i[H_S, \rho] + \mathcal{L}_L \rho + \mathcal{L}_R \rho \quad (24)$$

where $H_S = -E_0(\Delta\sigma_x + q\sigma_z)$ is the Hamiltonian of the two-level system expressed in terms of the Pauli matrices σ_α , and \mathcal{L}_l are Lindblad operators

$$\mathcal{L}_l \rho = \Gamma_l^\downarrow D[\sigma] \rho + \Gamma_l^\uparrow D[\sigma^\dagger] \rho \quad (25)$$

expressed in terms of the super-operator $D[O]\rho = O\rho O^\dagger - \frac{1}{2}O^\dagger O\rho - \frac{1}{2}\rho O^\dagger O$ and the rising and lowering spin operators σ^\dagger, σ of the Hamiltonian H_S , defined via $\sigma^\dagger|-\rangle = |+\rangle$, $\sigma^\dagger|+\rangle = 0$, $\sigma|+\rangle = |-\rangle$, $\sigma|-\rangle = 0$, where $|-(+)\rangle$ is the ground (excited) state of H_S . Here $l = L, R$ denotes either the left or the right reservoir. The rates $\Gamma_l^{\downarrow\uparrow}$ for jump down/up in the l th resistor are given by

$$\Gamma_l^{\downarrow\uparrow} = \frac{E_0^2 M_l^2}{\hbar^2 \Phi_0} \frac{\Delta^2}{(q^2 + \Delta^2)} S_{l,l}(\pm\omega) \quad (26)$$

where $S_{l,l}(\omega) = S_{V,l}(\omega)[R_l^2(1 + Q_l^2[\omega/\omega_{LC,l} - \omega_{LC,l}/\omega]^2)]^{-1}$ is the current noise spectrum expressed in terms of the voltage noise spectrum $S_{V,l}(\omega) = 2R_l \hbar\omega (1 - e^{-\beta_l \hbar\omega})^{-1}$, $Q_l = \sqrt{L_l/C_l}/R_l$ is the quality factor and $\omega_{LC,l} = 1/\sqrt{L_l C_l}$ the resonance frequency of resonator l , expressed in terms of its resistance, inductance and capacitance R_l, L_l, C_l . By increasing L_j the rates $\Gamma_l^{\downarrow\uparrow}$ can be quenched, i.e. the interaction between the TLS and the l th resistor can be turned off. The symbol M_l stands for the mutual inductance between the qubit and the l th resistor and Φ_0 is the flux quantum. Note that the rates are in detailed balance:

$$\Gamma_l^\downarrow = e^{\beta_l \hbar\omega} \Gamma_l^\uparrow \quad (27)$$

The study of heat and work fluctuations requires the study of the dynamics to be performed at the level of single quantum-jump trajectories [13, 25], resulting from the unravelling of the master equation. This is achieved here by means of the Monte Carlo wavefunction (MCWF) method [26, 27]. In the specific case under study of a two-level system subject to dissipation terms leading to full wavefunction collapse in either state $|-\rangle$ or $|+\rangle$, this results in a classical dichotomous Poisson process with rates $\Gamma_l^{\downarrow\uparrow}$ [13].

The basis of our numerical experiment is the generation of such dichotomous Poisson random trajectories. We chose the right reservoir as the cold one and the left as the hot one. The TLS is assumed to be initially in equilibrium with the left bath. We produce a large sample of trajectories and build the normalised histogram $h(N_R)$ of the number N_R of photons entering the right reservoir. Since the heat Q_R entering the right reservoir is given as $Q_R = \hbar N_R \omega$, the statistics $h(N_R)$ is the heat statistics. In the absence of feedback it satisfies the fluctuation relation

$$\frac{h(N_R)}{h(-N_R)} = e^{-\Delta\beta \hbar\omega N_R}, \quad \text{no feedback.} \quad (28)$$

The feedback is introduced as follows. At each moment in time we distinguish between the actual state of the system $j = \pm$ and the knowledge $k = \pm$ we have about it. The latter does not necessarily coincide with the former because we allow for some delay time δ between a jump occurring in the TLS and our knowledge of the state of the qubit being updated accordingly. The delay time thus effectively introduces an error probability $\varepsilon[\pm|\pm]$ between the actual state and our knowledge about it, at each time. At each time, conditioned on the knowledge k of the state, we use either set of rates favouring the interaction with either the cold or the hot bath. More explicitly, let $\Gamma_l^{\downarrow\uparrow|\pm}$ be the rate for a jump down (up) in the l th bath conditioned on the TLS being measured to be in state \pm . In accordance with equation (26) we use the following rates:

$$\Gamma_L^{\downarrow|+} = \frac{A}{1 - e^{-\beta_L \hbar\omega}} \quad \Gamma_L^{\uparrow|+} = \Gamma_L^{\downarrow|+} e^{-\beta_L \hbar\omega} \quad (29)$$

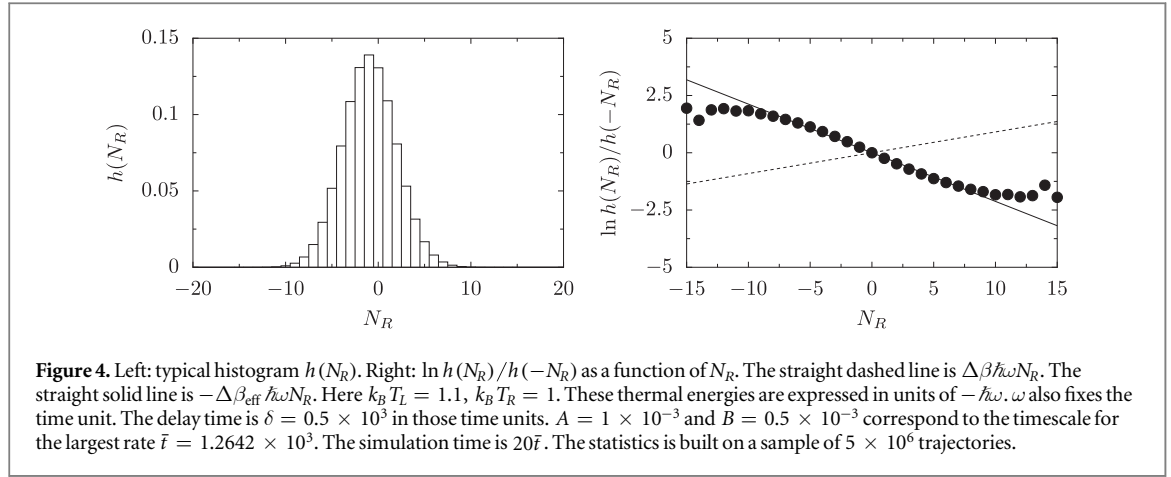
$$\Gamma_R^{\downarrow|+} = \frac{B}{1 - e^{-\beta_R \hbar\omega}} \quad \Gamma_R^{\uparrow|+} = \Gamma_R^{\downarrow|+} e^{-\beta_R \hbar\omega} \quad (30)$$

$$\Gamma_L^{\downarrow|-} = \frac{B}{1 - e^{-\beta_L \hbar\omega}} \quad \Gamma_L^{\uparrow|-} = \Gamma_L^{\downarrow|-} e^{-\beta_L \hbar\omega} \quad (31)$$

$$\Gamma_R^{\downarrow|-} = \frac{A}{1 - e^{-\beta_R \hbar\omega}} \quad \Gamma_R^{\uparrow|-} = \Gamma_R^{\downarrow|-} e^{-\beta_R \hbar\omega} \quad (32)$$

where A and B are determined by the circuitry parameters and can be tuned via external fluxes Φ_i . With $B < A$, this means that energy exchange with the right (cold) bath is larger when the TLS is believed to be down, so that it becomes more likely that energy flows out of the cold reservoir. Similarly energy exchange with the left (hot) bath is larger when the TLS is believed to be up, so that it becomes more likely that energy flows into the hot reservoir. Overall this results in an effect that is opposite to the natural flow from hot to cold. The largest effect can be achieved when turning off the unwanted interaction completely, namely when $B = 0$. Having in mind a realistic set-up, here we keep the ratio A/B finite, meaning partial turning-off is considered.

Because of the feedback the fluctuation relation (28) is not obeyed. However, it can be proved (see appendix C) that, due to the feedback mechanism, the TLS feels the effective temperature gradient



$$\Delta\beta^{\text{eff}} = \beta_L^{\text{eff}} - \beta_R^{\text{eff}} = \Delta\beta + \frac{2}{\hbar\omega} \ln \frac{\varepsilon[++]A + \varepsilon[-+]B}{\varepsilon[+-]A + \varepsilon[--]B}. \quad (33)$$

We thus see that by tuning the ratio A/B the effective temperature gradient can be manipulated, and if the error associated with the measurement is not too big, it can even be inverted as compared to the original thermal gradient $\Delta\beta$. So the overall effect of the demon is to change the ‘temperatures felt’ by the TLS. Accordingly the fluctuation relation

$$\frac{h(N_R)}{h(-N_R)} = e^{-\Delta\beta_{\text{eff}}\hbar\omega N_R} \quad (34)$$

is obeyed by the histogram $h(N_R)$. This immediately allows us to interpret the quantity

$$J_{\text{exp}} = -\frac{2Q_R}{\hbar\omega} \ln \frac{\varepsilon[++]A + \varepsilon[-+]B}{\varepsilon[+-]A + \varepsilon[--]B} \quad (35)$$

via equation (7) as the mutual information encoded in a trajectory along which heat Q_R is exchanged with the R bath. Note that when $A = B$, the feedback has no effect and accordingly $J_{\text{exp}} = 0$. Likewise if $\varepsilon[++] = \varepsilon[+-]$ (hence $\varepsilon[-+] = \varepsilon[--]$), meaning no correlation between state and knowledge thereof, feedback control does not work and again $J_{\text{exp}} = 0$. Most importantly, the experimental mutual information J_{exp} is proportional to the heat exchanged. This allows access to a fluctuating information theoretic quantity by means of a thermodynamic measurement in a realistic experimental scenario.

Figure 4 shows typical histograms $h(N_R)$ for realistic parameters. We also plotted the quantity $\ln h(N_R)/h(-N_R)$, finding good agreement with the theoretical prediction $-\Delta\beta_{\text{eff}}\hbar\omega N_R$. The effective conditional probabilities $\varepsilon[k|j]$ were obtained by recording for each trajectory the total time when the state was j and the knowledge was k , and averaging their values over the whole ensemble of trajectories. The observed deviation is a consequence of the fact that error here is introduced not in the form of an outcome being missed (as assumed in deriving equation (34)), but rather in one being reported with some delay. With the histogram $h(N_R)$ we computed $\sum \beta_l \langle \Delta E_l \rangle = \hbar\omega \Delta\beta \langle N_R \rangle = -0.0862$, $-\ln \gamma = -\ln \langle e^{\Delta\beta\hbar\omega N_R} \rangle = -0.1205$ and $-\langle J_{\text{exp}} \rangle = -0.2873$ for the chosen parameters. The computed values are in agreement with the prediction of equation (21). The proposed experiment does not allow us to measure $\Delta\mathcal{H}$, which would require access to the full density matrix of system+baths.

5.1. Energy spent by the demon

What is the energy cost incurred by the demon to open/close the trap-door? To roughly estimate that we model the *LCR* circuit as a classical harmonic oscillator (*LC* circuit) in contact with a heat bath (the resistor) at temperature T . To open/close the door towards one of the two reservoirs, the demon switches the *LC* frequency from ω_i to another frequency ω_f so as to put it in/off resonance with the qubit. If the operation is carried out in a quasi-static manner, the work done is equal to the change in free energy: $W = k_B T \ln(\omega_f/\omega_i)$. The operation would in this case be reversible, and the work lost when opening the door would be retrieved when opening it. The overall cost of an open/close cycle would be null in this limiting case. The other limiting case is when the switch is infinitely fast. The overall cost of a single open/close cycle in this case would be non-negative in accordance with the second law of thermodynamics, and amounts to $W = k_B T (\omega_f/\omega_i - \omega_i/\omega_f)^2/2$. The overall work incurred in a repeated feedback operation is proportional to the number of open/close cycles, which in turn is proportional to the net number of energy quanta being transported, namely the total heat transported. Interestingly we note that the faster the open/close operation, the more effective is the feedback mechanism, and the more energy needs to be invested.

6. Conclusions

We have developed a general quantum theory of repeated feedback control in a scenario with multiple heat reservoirs. The main effect of feedback control is that it induces a generally non-unital dynamics of the full reservoirs+system compound. As a consequence the standard bound set by the second law of thermodynamics on the dissipation quantifier $\sum_l \beta_l \langle \Delta E_l \rangle$ is shifted and may become negative. We have illustrated an experimental proposal where a single superconducting qubit plays the role of a trap-door that is subject to feedback control. The method envisaged for simultaneously measuring the qubit state and the heat exchanged by each reservoir is single-photon calorimetry.

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Appendix A. Derivation of equation (5)

$$\begin{aligned}
 \langle e^{-\sum_l \beta_l \Delta E_l} \rangle &= \sum_{n,j,k,m} p(m, \mathbf{k}, \mathbf{j}, n) e^{-\sum \beta_l E_m^l} e^{\sum \beta_l E_n^l} \\
 &= \sum_{n,j,k,m} \text{Tr} P_m A_{\mathbf{k},j} U_0 P_n U_0^\dagger A_{\mathbf{k},j}^\dagger P_m \frac{e^{-\sum \beta_l E_n^l}}{Z} e^{-\sum \beta_l E_m^l} e^{\sum \beta_l E_n^l} \\
 &= \sum_{j,k,m} \text{Tr} P_m A_{\mathbf{k},j} A_{\mathbf{k},j}^\dagger P_m \frac{e^{-\sum \beta_l E_m^l}}{Z} \\
 &= \sum_{j,k} \text{Tr} A_{\mathbf{k},j}^\dagger \rho_0 A_{\mathbf{k},j}
 \end{aligned}$$

Equation (3) and $\rho_0 = \sum_n P_n e^{-\sum \beta_l E_n^l} / Z$ have been used to obtain the second line. Completeness $\sum_n P_n = \mathbb{1}$ and unitarity $U_0 U_0^\dagger = \mathbb{1}$ led to the third line. The fourth line follows from the cyclical property of the trace, idempotence $P_m P_m = P_m$ and $\rho_0 = \sum_m P_m e^{-\sum \beta_l E_m^l} / Z$.

Appendix B. Derivation of equation (7)

Using equation (11), the exponentiated fluctuating mutual information can be conveniently expressed as

$$e^{-J_{\mathbf{k},j}} = \frac{p(\mathbf{j} : \mathbf{k}) p(\mathbf{k})}{p(\mathbf{k}, \mathbf{j})} = \frac{p(\mathbf{k})}{\prod_{i \in [k_i | j_i]}} \quad (\text{B.1})$$

hence

$$\begin{aligned}
 \langle e^{-\sum_l \beta_l \Delta E_l - J_{\mathbf{k},j}} \rangle &= \sum_{n,j,k,m} p(m, \mathbf{k}, \mathbf{j}, n) e^{-\sum \beta_l E_m^l} e^{\sum \beta_l E_n^l} \frac{p(\mathbf{k})}{\prod_{i \in [k_i | j_i]}} \\
 &= \sum_{n,j,k,m} \text{Tr} P_m B_{\mathbf{k},j} U_0 P_n U_0^\dagger B_{\mathbf{k},j}^\dagger P_m \frac{e^{-\sum \beta_l E_n^l}}{\prod_l Z_l} e^{-\sum \beta_l E_m^l} e^{\sum \beta_l E_n^l} p(\mathbf{k}) \\
 &= \sum_{j,k,m} \text{Tr} P_m B_{\mathbf{k},j} B_{\mathbf{k},j}^\dagger P_m \frac{e^{-\sum \beta_l E_m^l}}{\prod_l Z_l} p(\mathbf{k}) \quad (\text{B.2})
 \end{aligned}$$

$$= \sum_{\mathbf{k},m} \text{Tr} P_m \frac{e^{-\sum \beta_l E_m^l}}{\prod_l Z_l} p(\mathbf{k}) \quad (\text{B.3})$$

$$= \text{Tr} \rho_0 \sum_{\mathbf{k}} p(\mathbf{k}) = 1 \quad (\text{B.4})$$

Equation (3), $\rho_0 = \sum_n P_n e^{-\sum \beta_l E_n^l} / \prod_l Z_l$ and equation (13) have been used to obtain the second line. Completeness $\sum_n P_n = \mathbb{1}$ and unitarity $U_0 U_0^\dagger = \mathbb{1}$ led to the third line. The fourth line follows from $\sum_j B_{\mathbf{k},j} B_{\mathbf{k},j}^\dagger = \mathbb{1}$, which follows by expanding the i -ordered products, applying idempotence $\pi_j \pi_j = \pi_j$,

completeness $\sum_j \pi_j = \mathbb{1}$ and unitarity $U_j U_j^\dagger = \mathbb{1}$. $\rho_0 = \sum_m P_m e^{-\sum \beta_l E_m^l} / \Pi_l Z_l$ lead to the fifth line. The final result is a consequence of normalisation of ρ_0 and of $p(\mathbf{k})$.

Appendix C. Derivation of equation (33)

Under the operation of the demon the TLS experiences effective temperatures of the baths that differ from their actual value. To fix ideas, let us for the moment assume no delay time and no error in the measurement. The qubit is effectively subject to the following effective rates $\Gamma_s^{\downarrow, \text{eff}} = \Gamma_s^{\downarrow+}$, $\Gamma_s^{\uparrow, \text{eff}} = \Gamma_s^{\uparrow-}$. Accordingly, the detailed balance temperatures are shifted:

$$e^{\beta_L^{\text{eff}} \hbar \omega} = \Gamma_L^{\downarrow, \text{eff}} / \Gamma_L^{\uparrow, \text{eff}} = (A/B) e^{\beta_L \hbar \omega} \quad (\text{C.1})$$

$$e^{\beta_R^{\text{eff}} \hbar \omega} = \Gamma_R^{\downarrow, \text{eff}} / \Gamma_R^{\uparrow, \text{eff}} = (B/A) e^{\beta_R \hbar \omega} \quad (\text{C.2})$$

where we used the explicit expressions of equation (26). This implies the effective temperatures

$$\beta_L^{\text{eff}} = \frac{1}{\hbar \omega} \ln(A/B) + \beta_L \quad (\text{C.3})$$

$$\beta_R^{\text{eff}} = \frac{1}{\hbar \omega} \ln(B/A) + \beta_R. \quad (\text{C.4})$$

Let us now introduce the errors $\varepsilon[\pm|\pm]$ related to the measurement. The stochastic process describing the dynamics of the TLS is still Poissonian with one rate occurring in the case of correct measurement and one rate occurring in the other case. The idea is that monitoring is continuous, or better, occurring with a sampling time interval dt , which we assume to be short compared to all rates $\Gamma_{R,L}^{\uparrow, \downarrow \pm}$. Let us imagine the system is in state $j = +$. There is a probability $\varepsilon[+|+]$ that the observation is $k = +$ and a probability $\varepsilon[-|+]$ that it is $k = -$. Thus the probability to undergo a jump down in the reservoir s in the interval dt is

$$p(dt) = \varepsilon[+|+] e^{-\Gamma_s^{\downarrow+} dt} + \varepsilon[-|+] e^{-\Gamma_s^{\downarrow-} dt} \quad (\text{C.5})$$

$$\simeq \varepsilon[+|+](1 - \Gamma_s^{\downarrow+} dt) + \varepsilon[-|+](1 - \Gamma_s^{\downarrow-} dt) \quad (\text{C.6})$$

$$= 1 - (\varepsilon[+|+]\Gamma_s^{\downarrow+} + \varepsilon[-|+]\Gamma_s^{\downarrow-}) dt \quad (\text{C.7})$$

$$= e^{-(\varepsilon[+|+]\Gamma_s^{\downarrow+} + \varepsilon[-|+]\Gamma_s^{\downarrow-}) dt} \quad (\text{C.8})$$

Similarly for the jump up. Overall the TLS experiences the new rates

$$\Gamma_{s, \text{eff}}^{\downarrow} = \varepsilon[+|+]\Gamma_s^{\downarrow+} + \varepsilon[-|+]\Gamma_s^{\downarrow-} \quad (\text{C.9})$$

$$\Gamma_{s, \text{eff}}^{\uparrow} = \varepsilon[+|-]\Gamma_s^{\uparrow+} + \varepsilon[-|-]\Gamma_s^{\uparrow-}. \quad (\text{C.10})$$

Accordingly,

$$e^{\beta_s^{\text{eff}} \hbar \omega} = \frac{\Gamma_{s, \text{eff}}^{\downarrow}}{\Gamma_{s, \text{eff}}^{\uparrow}} = \frac{\varepsilon[+|+]\Gamma_s^{\downarrow+} + \varepsilon[-|+]\Gamma_s^{\downarrow-}}{\varepsilon[+|-]\Gamma_s^{\uparrow+} + \varepsilon[-|-]\Gamma_s^{\uparrow-}} \quad (\text{C.11})$$

$$\beta_s^{\text{eff}} = \frac{1}{\hbar \omega} \ln \frac{\varepsilon[+|+]\Gamma_s^{\downarrow+} + \varepsilon[-|+]\Gamma_s^{\downarrow-}}{\varepsilon[+|-]\Gamma_s^{\uparrow+} + \varepsilon[-|-]\Gamma_s^{\uparrow-}}. \quad (\text{C.12})$$

Plugging in the explicit expressions we get

$$\beta_L^{\text{eff}} = \beta_L + \frac{1}{\hbar \omega} \ln \frac{\varepsilon[+|+]A + \varepsilon[-|+]B}{\varepsilon[+|-]A + \varepsilon[-|-]B} \quad (\text{C.13})$$

$$\beta_R^{\text{eff}} = \beta_R + \frac{1}{\hbar \omega} \ln \frac{\varepsilon[+|-]A + \varepsilon[-|-]B}{\varepsilon[+|+]A + \varepsilon[-|+]B}. \quad (\text{C.14})$$

Hence equation (33).

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