

**Uniform Notice for Funding Opportunity (NOFO)**  
**Adult Redeploy Illinois – SFY18 Planning and Implementation Grants**  
*September 2017*

|     | <b>Data Field</b>                     |  |
|-----|---------------------------------------|--|
| 1.  | Awarding Agency Name:                 | Illinois Criminal Justice Information Authority  |
| 2.  | Agency Contact:                       | Adriana Perez, Program Manager<br>Adult Redeploy Illinois<br>Illinois Criminal Justice Information Authority<br>300 West Adams, Suite 200<br>Chicago, IL 60606<br>Adriana.Perez@Illinois.gov<br>312-793-8406 |
| 3.  | Announcement Type:                    | <input checked="" type="checkbox"/> Initial announcement<br><input type="checkbox"/> Modification of a previous announcement   |
| 4.  | Type of Assistance Instrument:        | Grant  |
| 5.  | Funding Opportunity Number:           | 1562-364   |
| 6.  | Funding Opportunity Title:            | Adult Redeploy Illinois – SFY18 Planning and Implementation Grants   |
| 7.  | CSFA Number:                          | 546-00-1564  |
| 8.  | CSFA Popular Name:                    | Adult Redeploy Illinois (ARI) SFY18  |
| 9.  | CFDA Number(s):                       | N/A  |
| 10. | Anticipated Number of Awards:         | 10 - 12  |
| 11. | Estimated Total Program Funding:      | \$500,000  |
| 12. | Award Range                           | Category 1: Maximum \$30,000<br>Category 2: No maximum   |
| 13. | Source of Funding:                    | <input type="checkbox"/> Federal or Federal pass-through<br><input checked="" type="checkbox"/> State<br><input type="checkbox"/> Private / other funding<br>Mark all that apply                             |
| 14. | Cost Sharing or Matching Requirement: | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |
| 15. | Indirect Costs Allowed                | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |
|     | Restrictions on Indirect Costs        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If yes, provide the citation governing the restriction:   |

|     |                                |   |
|-----|--------------------------------|---|
| 16. | Posted Date:                   | September 13, 2017  |
| 17. | Closing Date for Applications: | <b>11:59 p.m., October 30, 2017</b>   |
| 18. | Technical Assistance Session:  | <p>Session Offered: <input checked="" type="checkbox"/> Yes   <input type="checkbox"/> No</p> <p>Session Mandatory: <input checked="" type="checkbox"/> Yes   <input type="checkbox"/> No</p> <p>Applicants must view and certify that that they have watched the recorded webinar, which will be available beginning on September 22, 2017, at 1:30 p.m.</p> <p>View the webinar at: <a href="https://gata.icjia.cloud/">https://gata.icjia.cloud/</a></p> |

## **A. Program Description**

Adult Redeploy Illinois (ARI) was established by the Illinois Crime Reduction Act of 2009 (Public Act 96-0761) to “increase public safety and encourage the successful local supervision of eligible offenders and their reintegration into the locality.” Under the Crime Reduction Act, ARI provides financial incentives (grants) to counties, groups of counties, and judicial circuits to increase local-level evidence-based programming for non-violent offenders. In exchange for the funding, jurisdictions agree to reduce the number of people they send to the Illinois Department of Corrections (IDOC), with penalties if they do not meet the reduction goal. Research has shown that community-based alternatives to incarceration, such as problem-solving courts, can reduce recidivism, decrease costs to taxpayers, increase public safety, and reintegrate offenders back into society.

The Crime Reduction Act calls for the following as part of the ARI program:

- Establishment of sites to increase access to community-based services and decrease commitments to IDOC. Counties or judicial circuits will be able to apply for grant funding for assistance in planning/developing and implementing local program plans that specify how to reduce commitments of non-violent offenders to prison and to provide supervision and community-based services for such individuals.
- An interagency Adult Redeploy Illinois Oversight Board (ARIOB) to oversee the implementation of ARI and report to the Governor and the General Assembly annually on its progress, co-chaired by the Director of IDOC and the Secretary of Illinois Department of Human Services (IDHS).
- Adoption of a standard plan template for jurisdictions to complete prior to implementing ARI. A jurisdiction shall use the standard plan template in developing its local plan for ARI implementation. The local plan must be approved by ARIOB as part of the funding process.
- A site to negotiate an agreement with the ARIOB to reduce by 25% the number of IDOC commitments from their ARI-eligible target population (overall or a specified subset), based on the average number of commitments of the three previous calendar years from that target population.
- A penalty to be assessed to a site that fails to meet its reduction goal and is unable to negotiate a corrective action plan with the ARIOB.
- A jurisdiction to receive payments from the state to offset the costs of providing community-based services to non-violent offenders.

- Establishment of a performance measurement system and evaluation process to assess the effectiveness of the program and report back to the Governor and General Assembly on an annual basis.

ARI is housed at the Illinois Criminal Justice Information Authority (ICJIA), a state agency dedicated to improving the administration of criminal justice. ICJIA brings together key leaders from the justice system and the public to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The statutory responsibilities of ICJIA fit into four areas: grants administration; research and analysis; policy and planning; and information systems and technology.

## **B. Authorizing Statutes**

Section 7(k) of the Illinois Criminal Justice Information Act (20 ILCS 3930/7(k)) establishes ICJIA as the agency “to apply for, receive, establish priorities for, allocate, disburse and spend grants of funds that are made available...from the United States pursuant to the federal Crime Control Act of 1973, as amended, and similar federal legislation, and to enter into agreements with the United States government to further the purposes of this Act, or as may be required as a condition of obtaining federal funds.”

Section 1560 of the Illinois Administrative Code (20 Ill. Admin. Code, Section 1560.10) establishes ICJIA as the agency to “exercise its responsibility *to apply for, receive, establish priorities for, allocate, disburse and spend grant funds that are made available by private sources* [20 ILCS 3930/7(k)], *to receive, expend and account for such funds of the State of Illinois as may be made available to further the purposes of the Act* [20 ILCS 3930/7(1)], *to enter into contracts and to cooperate with units of general local government or combinations of such units, State agencies, and criminal justice system agencies of other states for the purpose of carrying out the duties of ICJIA imposed by the Act* [20 ILCS 3930/7(m)]...”

The agency must comply with all applicable provisions of state and federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity, including, but not limited to: The Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), The Public Works Employment Discrimination Act (775 ILCS 10/1 et seq), The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a-and 2000H-6), Section 504 of the Rehabilitation Act of 1973 (29 USC 794), The Americans with Disabilities Act of 1990 (42 USC 12101 et seq.), and The Age Discrimination Act (42 USC 6101 et seq.).

ARI's enabling statute, the Crime Reduction Act (730 ILCS 190/), allows for financial incentives to be provided to local jurisdictions for programs that allow diversion of non-violent offenders from state prisons by providing community-based services and supervision through the ARI program. Pursuant to the Crime Reduction Act, the General Assembly has obligated funds for the ARI program to provide financial incentives to local jurisdictions through ICJIA on behalf of the ARIOB. Funds will be made available pursuant to ICJIA's rules entitled "Operating Procedures for the Administration of Non-Federal Funds" (20 Illinois Administrative Code 1560 et seq.) and pursuant to the ARIOB designating the Implementing Agency to receive funds for the purpose of activities that plan for or implement a local ARI program.

ARI funds for the current State fiscal year became available through Public Act 100-0021 (Article 27, Section 10) in which \$8,174,700, or so much thereof as may be necessary, was appropriated from the General Revenue Fund to ICJIA for administrative costs, awards and grants for Adult Redeploy and Diversion Programs.

## **C. Program-Specific Information**

### Background

Adult Redeploy Illinois (ARI) was created by the Crime Reduction Act (Public Act 96-0761) as part of a package of criminal justice reform measures passed in 2009. The Crime Reduction Act established a framework for modernizing the Illinois criminal justice system by requiring the use of validated assessments; evidence-based practices; and performance measurement and evaluation.

The underlying principles of the Crime Reduction Act were:

- The current criminal justice system is not as effective as it might be, and the current prison population growth is fiscally unsustainable.
- Being smart on crime involves understanding why people commit crimes and addressing the needs underlying criminal behavior.
- It is less expensive and more effective to treat non-violent offenders in their communities, reserving prison space for violent criminals.

In addition to mandated information-sharing across the criminal justice system, the Crime Reduction Act called for the adoption of a standardized validated assessment system—Risk, Assets, and Needs Assessment, or RANA. Companion legislation created the Illinois Sentencing Policy Advisory Council to collect and analyze data on sentencing policies and practices to determine their outcomes and system-wide fiscal impact.

ARI is modeled after the successful juvenile Redeploy Illinois program operating since 2005. ARI is based on the “performance incentive funding” (PIF) best practice, intended to align fiscal and operational responsibility for non-violent offenders at the local level to produce better public safety at a lower cost. ARI also draws on concepts of justice reinvestment, such as using data to implement strategies that drive down corrections costs and free up dollars for investment in community-based programs addressing recidivism.

The goals of ARI are to:

- Reduce crime and recidivism in a way that is cost efficient for taxpayers.
- Provide financial incentives to counties or judicial circuits to create effective local-level evidence-based services.
- Encourage the successful local supervision of eligible offenders and their reintegration into the locality.
- Perform rigorous data collection and analysis to assess the outcomes of the programs.

Since program inception, the ARI network has grown from 10 pilot sites in 10 counties, to 20 sites covering 39 counties. A map is included as *Appendix A*.

### *Target Population*

Per the Crime Reduction Act, ARI funds can only be used to supervise and serve “offenders who do not fall under the definition of violent offenders” as defined by the Rights of Crime Victim and Witnesses Act.

Additionally, ARI funds can only be used to serve prison-bound individuals whose diversion to community-based programs represents actual and immediate costs avoided by the state. With the goal to ensure that intensive interventions provided with ARI funds were targeted to those higher risk clients who can benefit the most from them and for whom they are most cost-effective, the ARIOB established that at least 80% of those served at each ARI site must score moderate to high risk according to the Level of Service Inventory-Revised (LSI-R) instrument.

### *Key Components*

Each ARI site is unique, reflecting the program’s emphasis on local control and design. Some sites use ARI funds to create or expand problem-solving (e.g., drug, mental health, veterans) courts; others operate intensive supervision programs combined with services that address criminogenic needs. Using the principles of the Risk-Need-Responsivity (RNR) model, ARI must invest in practices and programs that ensure ARI clients are given

validated assessments of their risk to re-offend, needs, and assets; matched with the appropriate type, level, and intensity of treatment services; and provided with supportive services that leverage strengths and reduce barriers to compliance and successful completion.

All sites must incorporate the ARI key components, including:

- Validated assessment of risks, needs, and assets.
- Evidence-based practices.
- Performance measurement and evaluation.

### *Cost-effectiveness*

To demonstrate cost-effectiveness and support the sustainability of the program, ARI-funded interventions must represent substantial savings from the cost of incarceration in state prisons.

### Program Design

Per the Crime Reduction Act, “Each county or circuit participating in the Adult Redeploy Illinois program shall create a local plan describing how it will protect public safety and reduce the county or circuit’s utilization of incarceration in State facilities or local county jails by the creation or expansion of individualized services or programs.”

ARI grants funds to units of local government (counties), which can apply individually or as groups of counties or circuits. To request an ARI implementation grant, a jurisdiction must first complete a local plan using the standard plan template. Local plans must provide a detailed account of how jurisdictions intend to operate to reduce non-violent admissions to prison. The plan must include a description of the program model, roles of the various stakeholders, efforts to support the successful reintegration of offenders through a community involvement component (e.g., community service, restorative justice board), and a commitment to reduce target population prison admissions 25% from the prior three year’s average.

ARI offers planning grants for jurisdictions to convene stakeholders, review local data, and develop a local plan. To gain commitment and involvement from each of the local stakeholders that influence incarceration decisions, those engaged in planning processes must represent the local criminal justice court system (e.g., judiciary, prosecution, defense, probation) and the community (e.g., treatment providers, social services, business).

In the preparation of their local plan, jurisdictions review localized research and data analysis to identify the target population and associated intervention. These data are available at the ARI website ([www.icjia.org/redeploy](http://www.icjia.org/redeploy)) and include demographics, offense classes, and offense types related to ARI-eligible non-violent offenders committed to IDOC by jurisdiction. For the purposes of calculating the target population for this solicitation, data for the three-year baseline of ARI-eligible commitments are available (1) for 2014 and 2015 at the ARI website ([www.icjia.org/redeploy](http://www.icjia.org/redeploy)) at the “Tools” tab under “County-Level Data,” and (2) for 2016 in a separate spreadsheet included with application materials.

Sites must serve prison-bound individuals, employ evidence-based practices, and demonstrate significant cost savings compared to incarceration. Local plans are submitted to and evaluated by the ARIOB for alignment with ARI principles and cost-effectiveness.

Local plans must include full descriptions as to the incorporation of the following:

- *Evidence-based practices:* The applicant should identify the evidence-based practice being proposed, identify and discuss the evidence that shows the practice is effective, discuss the populations for which the practice has been shown to be effective, and show that it is appropriate for the proposed target population.
- *Community involvement:* To support the goal of successful reintegration of participant into the community, applicants must include the development of a community involvement piece in their local plans (e.g., Community Restorative Boards). For an overview of community involvement strategies, see “[Bridges to Justice: A Community Engagement Toolkit for Adult Diversion Programs.](#)”
- *Affordable Care Act:* The Affordable Care Act (ACA) and expanded Medicaid allow for unprecedented access to critical substance abuse and behavioral health services for vulnerable populations, including justice-involved people. If awarded, selected sites will be required to utilize the ACA as much as possible where applicable before utilizing ARI funds for substance abuse treatment and behavioral health services.

Two categories of funding are available through this solicitation, for either the development or implementation of an ARI local plan. Awards resulting from this opportunity will have a projected period of performance of January 1, 2018, to June 30, 2018.

| Program Category                 | Minimum          | Maximum  |
|----------------------------------|------------------|----------|
| Category 1: Planning Grant       | None             | \$30,000 |
| Category 2: Implementation Grant | None             | None     |
| <b>Totals:</b>                   | <b>\$500,000</b> |          |



## **Category 1: Planning Grants**

County applicants may request a planning grant to convene stakeholders, analyze data, and conduct other activities in the design of a local ARI program to divert non-violent offenders from prison to community-based supervision and services. As part of the planning grant process, applicants will be expected to gather and analyze relevant criminal justice system data, including, but not limited to, current services offered, services needed, strategies for service delivery, potential eligible populations, local governance issues, data collection and analysis capabilities, and estimated costs of alternatives to incarceration. The process culminates in the development and submission of a local ARI implementation plan.

## **Category 2: Implementation Grants**

Adult Redeploy Illinois implementation grants can be used by jurisdictions to fund the implementation of local plans to divert non-violent offenders from prison to community-based supervision and services. In exchange for grant funds, jurisdictions must agree to reduce by 25% the number of ARI-eligible prison admissions from defined target populations. In other words, the state will redeploy corrections dollars to local jurisdictions to achieve better outcomes at a lower cost to taxpayers.

### Eligible Applicants

**Only county units of government are eligible to apply for funds under this notice.** A county may submit on behalf of a group of counties or a judicial circuit. Private agencies or not-for-profit organizations are not eligible to apply.

### Program Requirements

## **Category 1: Planning Grant**

### *Multi-disciplinary Planning Committee*

Each applicant should identify a multi-disciplinary planning committee representing the criminal justice spectrum (e.g., judiciary, prosecution, defense, probation) and the community (e.g., treatment providers, social services, business) to guide planning. Please list titles, not proper names, of all partners.

### *Planning Activities*

Funds are to be used solely for the purpose of developing a local plan to implement ARI. Uses of planning grant funds may include, but are not limited to:

- Employing an outside facilitator or strategic planning consultant.
- Conducting an outside or in-house data analysis.

- Providing stakeholder training.
- Conducting site visits to observe successful diversion programs.
- Community resource mapping.

Funds may not be used for capital expenditures, renovations or remodeling, or any food and beverage purchases. In addition, ARI funds shall not be used to supplant existing federal, state, county, or locally funded programs.

#### *Local Plan*

At the end of the planning grant period, funded jurisdictions must complete and submit a local ARI implementation plan using the standard plan template (available at <http://www.icjia.state.il.us/redeploy/standard-plan.cfm>). Note that funded jurisdictions are under no obligation to implement the plan.

### **Category 2: Implementation Grants**

Only jurisdictions with a completed local plan (using the standard plan template) may apply for implementation funds. Preference will be given to those jurisdictions whose local plans have received prior ARIOB approval. To reflect broad commitment, letters of support from all key and principal stakeholders in the justice system are strongly encouraged.

#### *Multi-disciplinary Steering Committee*

Each applicant should identify a multi-disciplinary steering committee representing the criminal justice spectrum (e.g., judiciary, prosecution, defense, probation) and the community (e.g., treatment providers, social services, business), to guide implementation. Please list titles, not proper names, of all partners.

#### *Assessment of Risk, Assets, and Needs*

ARI sites must utilize validated assessment instruments to guide supervision and programming decisions.

#### *Evidence-based Practices*

Per the Crime Reduction Act, ARI funds must be used to invest in local programs, services, and protocols that have been a promising practice by research to reduce recidivism. Examples of the evidence-based and promising practices are in *Appendix B*.

#### *Performance Measurement*

Every ARI site must collect and report performance measurement data quarterly as a condition of funding. The Crime Reduction Act specifically requires the following to be measured: recidivism, rate of revocations, employment rates, education achievement, successful completion of substance abuse treatment programs, and payment of victim restitution. ARI and ICJIA have defined additional performance measures to track these and

other indicators of impact. The list of mandatory data elements to be collected are included in *Appendix C*.

#### *Reduction Goal & Reimbursement Provision*

In exchange for the funds, jurisdictions must agree to reduce by 25% the number of offenders committed to IDOC from a defined target population or else face a penalty. The reduction goal is based on the jurisdictions' average number of commitments over the past three years according to IDOC data. Once granted funds, sites are required to collect data and regularly report on progress. Jurisdictions having difficulty meeting their reduction goals are provided technical assistance. They can propose a corrective action plan to meet an adjusted target or, as a penalty, prepare to reimburse a portion of the grant at the discretion of the ARIOB (reimbursement is capped at \$2,500 for each person short of the goal).

#### *Problem-Solving Court Certification (if applicable)*

If the proposed program model for ARI implementation is a problem-solving court (PSC), the jurisdiction must either be certified or in the process of being certified through the Administrative Office of the Illinois Courts. ARI funds can be used to support initial staffing, training and administrative support throughout the certification process; however, no funds can be used to provide services until such time as the PSC is certified and able to enroll participants.

#### Goals, Objectives, and Performance Metrics

Funded programs will be required to submit quarterly client-level data and performance progress reports to ARI that will minimally include the following information based on the objectives applicant agencies propose in their responses to this solicitation:

#### **Category 1: Planning Grant**

| <b>Goal:</b> Develop a strategy (local plan) to increase local capacity to provide supervision and services to a target population of non-violent offenders who would otherwise be incarcerated. |  |
|--|--|
| <b>Process Objectives</b>  | <b>Performance Measures</b>  |
| Form multi-disciplinary team (MDT) in charge of planning)  | <ul style="list-style-type: none"> <li>➤ Diverse planning committee membership representing criminal justice, treatment, social services, business, community leadership</li> <li>➤ ___% of meetings in which greater than 75% of MDT members are in attendance</li> </ul> |
| Analyze data on use of prison for non-violent offenders  | <ul style="list-style-type: none"> <li>➤ Submission of report on prison use for non-violent offenders</li> </ul>   |

|   |  |
|---|--|
| Assess current community capacity and identify gaps in services   | ➤ Submission of community capacity and gap analysis report   |
| Perform site visits to learn about other jurisdictions' use of alternatives to incarceration  | ➤ Number of visits conducted<br>➤ Number of contacts made with other programs  |
| Submit for ARIOB approval by January 5, 2018 a plan that describes program models, goals and objectives, and describes evidence-based practices to be implemented | ➤ Date of submission of plan that meets all ARIOB requirements<br>➤ Number of letters of support   |
| <b>Outcome Objectives</b>   | <b>Performance Measures</b>  |
| Determine whether or not an ARI program will be created, and if so, how.  | ➤ Submission of detailed local implementation plan, OR<br>➤ Submission of letter indicating that the grantee will not be implementing an ARI program including what led to this decision |

## Category 2: Implementation Grants

|   |   |
|---|---|
| <b>Goal:</b> Reduce the number of non-violent offenders committed to prison from the identified target population by 25% based on the average number of commitments in the prior three years. |   |
| <b>Process Objectives</b>   | <b>Performance Measures</b>   |
| Form multi-disciplinary team (MDT) to guide program implementation  | ➤ Formation of a diverse steering committee including members representing criminal justice, treatment, social services, business, community leadership<br>➤ ___% of meetings in which all MDT members are in attendance. |
| Complete staff training for EBPs, reporting and data submission   | ➤ ___% of staff who are EBP trained.<br>➤ ___% of staff who completed ARI quarterly reporting training<br>➤ ___% of staff who completed ARI client-level data submission training   |
| Identify, assess and enroll appropriate target population   | ➤ Number of clients referred<br>➤ ___% of clients assessed<br>➤ Number enrolled in the program  |

|   |  |
|---|--|
|   | <ul style="list-style-type: none"> <li>➤ Number of clients accepted into the program at each risk level: high, medium, low</li> <li>➤ ___% of program capacity</li> </ul>  |
| Based on assessed risk, develop participant supervision plan  | <ul style="list-style-type: none"> <li>➤ Number of clients meeting all supervision program requirements</li> <li>➤ Number with substance abuse disorder (if applicable)</li> <li>➤ Number with mental health diagnosis (if applicable)</li> </ul>  |
| Based on assessed needs, develop participant service plan   | <ul style="list-style-type: none"> <li>➤ ___% of participants with assessments</li> <li>➤ ___% of participants with service plan</li> </ul>  |
| Monitor compliance and progress   | <ul style="list-style-type: none"> <li>➤ Number of face-to-face meetings with probation officer</li> <li>➤ Number of clients at each program/treatment level or phase</li> <li>➤ Average monthly caseload for program staff</li> </ul>   |
| Collect and submit performance measurement data as required   | <ul style="list-style-type: none"> <li>➤ Report ALL mandatory data elements at the individual level</li> </ul>   |
| <b>Outcome Objectives</b>   | <b>Performance Measures</b>  |
| Meet diversion goal set with ARI administrators for SFY18   | <ul style="list-style-type: none"> <li>➤ Number diverted for SFY18</li> <li>➤ Number of prison commitments for SFY18</li> </ul>  |
| ___% of program participants will successfully complete program requirements.   | <ul style="list-style-type: none"> <li>➤ Treatment completion rate</li> <li>➤ ___% successfully completing all program requirements</li> <li>➤ ___% unsuccessfully completing all program requirements</li> </ul>  |
| ___% of program participants who make restitution for crimes committed and harm done to victims and their communities | <ul style="list-style-type: none"> <li>➤ Number ordered to complete a restorative justice process</li> <li>➤ Number completing a restorative justice process</li> <li>➤ Number ordered to pay restitution; amount ordered</li> <li>➤ Number who paid restitution; amount paid</li> </ul> |

## D. Funding Information

### *1. Award period*

Grant awards resulting from this opportunity will have a target period of performance of January 1, 2018 to June 30, 2018.

## ***2. Available Funds***

ARI funds became available through Public Act 100-0021 (Article 27, Section 10). A maximum of \$500,000 will be made available through this funding opportunity. Funding will first be allocated to implementation grants that have met criteria for funding, followed by planning grants.

### **Category 1: Planning Grants**

A county or a group of counties may request up to \$30,000. Planning grants typically range from \$3,500 to \$30,000 (maximum) with a life span of 90 to 180 days. Funding is available for the period of January 1, 2018, to June 30, 2018.

### **Category 2: Implementation Grants**

Only jurisdictions with a completed local plan (using the standard plan template) may apply for implementation funds. Preference will be given to those jurisdictions whose local plans have received prior ARIOB approval. Funding is available for the six-month period of January 1, 2018 to June 30, 2018.

Agreements that result from this funding opportunity are contingent upon and subject to the availability of funds. ICJIA, at its sole option, may terminate or suspend this agreement, in whole or in part, without penalty or further payment being required, if (1) the Illinois General Assembly fails to make an appropriation sufficient to pay such obligation, or if funds needed are insufficient for any reason (30 ILCS 500/20-60); (2) the Governor decreases ICJIA's funding by reserving some or all of ICJIA appropriation(s) pursuant to power delegated to the Governor by the Illinois General Assembly; or (3) ICJIA determines, in its sole discretion or as directed by the Office of the Governor, that a reduction is necessary or advisable based upon actual or projected budgetary considerations. The Implementing Agency will be notified in writing of the failure of appropriation or of a reduction or decrease.

## ***3. Cost Sharing or Matching***

There is no matching or cost-sharing requirement.

## ***4. Indirect Cost Rate***

In order to charge indirect costs to a grant, the applicant organization must have an annually negotiated indirect cost rate agreement (NICRA). There are three types of NICRAs:

- a) Federally Negotiated Rate: Applicant organizations that receive direct federal funding may have an indirect cost rate that was negotiated with the Federal Cognizant Agency. Illinois will accept the federally negotiated rate. The organization must provide a copy of the federally NICRA.
- b) State Negotiated Rate: The organization must negotiate an indirect cost rate with the State of Illinois if they do not have Federally Negotiated Rate or use the De Minimis Rate. The indirect cost rate proposal must be submitted to the State of Illinois within 90 days of the notice of award.
- c) De Minimis Rate: An organization that has never received a Federally Negotiated Rate may elect a de minimis rate of 10% of modified total direct cost (MTDC). Once established, the de minimis rate may be used indefinitely. The State of Illinois must verify the calculation of the MTDC annually in order to accept the de minimis rate. Applicants must submit documentation of the MTDC calculation to ICJIA within 90 days of the execution of a grant agreement under this solicitation.

**Programs charging negotiated indirect cost rates to a grant must provide a copy of the Negotiated Indirect Cost Rate Agreement (NICRA) at time of application.**

## **E. Eligibility Information**

### ***1. Eligible Applicants***

Applicants for funding must be a county unit of government in good standing with the State of Illinois. Counties may apply individually or as a group or judicial circuit (with a lead county as the grantee). Applicants can decide the most appropriate county agency to administer and coordinate the activities identified in the application.

### ***2. GATA Compliance***

Agencies must be pre-qualified through the Grant Accountability and Transparency Act (GATA) Grantee Portal, [www.grants.illinois.gov](http://www.grants.illinois.gov), to become eligible to apply for an award. During pre-qualification, Dun and Bradstreet verifications are performed, including a check of Debarred and Suspended status and good standing with the Secretary of State. The pre-qualification process also includes a financial and administrative risk assessment utilizing an Internal Controls Questionnaire (ICQ).

Applicants must have completed the GATA pre-qualification process and received approval of their ICQ from a State cognizant agency by the date of application. Applications from agencies that have not received ICQ approval will not be reviewed.

## **F. Application and Submission Information**

### ***1. Address to Obtain Application Materials***

Applications must be obtained at <https://gata.icjia.cloud/> by clicking on the link titled “ARI Planning and Implementation”. All required application materials must be emailed to [CJA.ARI.SFY18PIGrant@Illinois.gov](mailto:CJA.ARI.SFY18PIGrant@Illinois.gov) by **11:59 p.m., October 30, 2017**, to be considered for funding. Proposals will not be accepted by mail, fax or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed.

Paper copies of the application materials may be requested by calling Adriana Perez at 312-793-8406, but applications may only be submitted via email.

Agencies are encouraged to plan to submit their applications 72 hours in advance of the deadline. Technical difficulties experienced at any point during the process should be reported immediately to ICJIA at [CJA.ARI.SFY18PIGrant@Illinois.gov](mailto:CJA.ARI.SFY18PIGrant@Illinois.gov).

### ***2. Content and Form of Application Submission***

#### **Notice of Intent**

Agencies interested in submitting an application are strongly encouraged to complete an online Notice of Intent form by **11:59 p.m., October 16, 2017**. Submission of a Notice of Intent is nonbinding and will be used for internal planning purposes only. Agencies must have completed the GATA pre-qualification process and received ICQ approval from a State cognizant agency by the date of application. Upon receipt of a Notice of Intent, ICJIA will offer technical assistance to agencies which have not yet demonstrated GATA compliance.

Failure to submit a Notice of Intent by the deadline above may result in an agency not receiving technical assistance with respect to GATA compliance, therefore risking grant ineligibility.

The online Notice of Intent is available at:

<https://www.surveymzmo.com/s3/3814146/Adult-Redeploy-Illinois-SFY18-Planning-and-Implementation-Grants-Notice-of-Intent>

#### **Application Submission**



The application must be emailed to [CJA.ARI.SFY18PIGrant@Illinois.gov](mailto:CJA.ARI.SFY18PIGrant@Illinois.gov). The applicant agency name should appear in the Subject line of the email. Each document attached to the email must be submitted in the manner and method described below. Applications will be rejected if any documents are missing. The applicant is responsible for ensuring that documents adhere to the instructions provided.

| <b>The following materials MUST be submitted by all applicants. The applicant must submit the documents based on the instructions provided below.</b>                                  |  |            |             |              |
|--|--|------------|-------------|--------------|
| <b>Document</b>  | <b>Document Name</b>                     | <b>PDF</b> | <b>Word</b> | <b>Excel</b> |
| <b>Uniform Application for State Grant Assistance</b> – This form must be completed, signed, and scanned.  | <i>“Agency Name – Application”</i>       | X          |             |              |
| <b>Program Narrative</b> – This document must meet the requirements outlined in Section A. The narrative must be provided on this document. Do not change the format of this document. | <i>“Agency Name – Program Narrative”</i> |            | X           |              |
| <b>Budget/Budget Narrative:</b> This document is a workbook, with several pages (tabs). The last tab are instructions if clarification is need for a particular category.              | <i>“Agency Name – Budget”</i>            |            |             | X            |

### **3. *Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM)***

Each applicant is required to:

- (i) Be registered in SAM before submitting its application. If you are not registered in SAM, this link provides a connection for SAM registration:  
<https://governmentcontractregistration.com/sam-registration.asp>
- (ii) Provide a valid DUNS number in its application. To obtain a DUNS number, visit from Dun and Bradstreet, Inc., online at [www.dunandbradstreet.com](http://www.dunandbradstreet.com) or call 1-866-705- 5711.
- (iii) Maintain an active SAM registration throughout the application and grant period. ICJIA may not make a federal pass-through or state award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with the requirements by the time ICJIA is

ready to make a federal pass-through or state award, ICJIA may determine that the applicant is not qualified to receive an award and may use that determination as a basis for making a federal pass-through or state award to another applicant.

#### ***4. Submission Dates and Times***

##### Mandatory Applicant Technical Assistance Session

Applicants must view the following mandatory NOFO technical assistance recordings on ICJIA website at <https://gata.icjia.cloud/>:

- ☐ Programmatic Guidance.
- ☐ NOFO General Requirements.
- ☐ GATA Budget.
- ☐ Prior Approvals.
- ☐ Indirect costs.

The recordings will be available for viewing beginning at **1:30 p.m. September 22, 2017**. **Applicants must certify viewing the recording. Information provided during the session will be unofficial and not binding on the state. Certification of Viewing should be printed and kept on file for verification purposes.**

##### Notices of Intent to Apply

Agencies interested in submitting an application are strongly encouraged to complete an online Notice of Intent form by **11:59 p.m., October 16, 2017**. Submission of a Notice of Intent is nonbinding and will be used for internal planning purposes only. Agencies must have completed the GATA pre-qualification process and received ICQ approval from a State cognizant agency by the date of application. Upon receipt of a Notice of Intent, ICJIA will offer technical assistance to agencies which have not yet demonstrated GATA compliance.

The online Notice of Intent is available at:

<https://www.surveymoz.com/s3/3814146/Adult-Redeploy-Illinois-SFY18-Planning-and-Implementation-Grants-Notice-of-Intent>

##### Application Submission Questions and Deadline

Questions may be submitted via email at [CJA.ARI.SFY18PIGrant@Illinois.gov](mailto:CJA.ARI.SFY18PIGrant@Illinois.gov).

The deadline for submitting questions is 11:59 p.m., October 16, 2017. All substantive questions and responses will be posted on the ICJIA website at <https://gata.icjia.cloud/>.

Due to the competitive nature of this solicitation, applicants may not discuss this opportunity directly or indirectly with any ICJIA employee other than the respondent of this email address. Only written answers to questions shall be binding on the state.

All applications are due by **11:59 p.m. October 30, 2017.**

Completed application materials must be emailed to [CJA.ARI.SFY18PIGrant@Illinois.gov](mailto:CJA.ARI.SFY18PIGrant@Illinois.gov) by **11:59 p.m., October 30, 2017**, to be considered for funding. Proposals will not be accepted by mail, fax, or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed.

Agencies are encouraged to submit their applications 72 hours in advance of the deadline to avoid unforeseen technical difficulties. Technical difficulties should be reported immediately to ICJIA at [CJA.ARI.SFY18PIGrant@Illinois.gov](mailto:CJA.ARI.SFY18PIGrant@Illinois.gov).

### ***5. Intergovernmental Review***

Not applicable.

### ***6. Funding Descriptions***

The Federal Financial Guide which details allowable and unallowable costs is available at: <http://ojp.gov/financialguide/index.htm>.

In addition, costs may be determined to be unallowable even if they are not expressly prohibited in the Federal Financial Guide.

#### Supplanting

If funds will be used for the expansion of an already implemented program, applicants must explain how proposed activities will supplement—not supplant—current program activities and staff positions. Public agencies may not deliberately reduce local, federal or other state funds because of the existence of these funds. A written certification may be requested by ICJIA stating that these funds will not be used to supplant other state, local, or federal funds.

#### Prohibited Uses

Land acquisition and construction are prohibited uses of these funds. Funds may not be used for capital expenditures, renovations or remodeling, or any food and beverage purchases.

#### Pre-award costs

No costs incurred before the start date of the interagency agreement may be charged to a grant award received as part of this funding opportunity.

### Pre-approvals

In efforts to ensure the reasonableness, necessity and allowability of proposed uses of funds, ICJIA:

- (1) Requires prior written approval of Out of State travel.
- (2) Requires prior written approval of equipment over \$5,000. Prior approvals may affect project timelines.
- (3) Requires prior written approval of Requests for Proposals and all subcontracts.

ICJIA review of these materials should be incorporated into application Implementation Schedules.

### State Travel Guidelines

All travel costs charged to ICJIA per contractual agreement must conform to State Travel Guidelines, which may be found here:

[https://www.illinois.gov/cms/Employees/travel/Documents/travelguide\\_FY2017.pdf](https://www.illinois.gov/cms/Employees/travel/Documents/travelguide_FY2017.pdf)

Applicant agencies with lower cost travel guidelines must use those lower rates.

## **E. Application Review Information**

### **1. Criteria**

Application materials must address all components of this Notice of Funding Opportunity and demonstrate both a need for the program and an ability to successfully implement the program. Application selection will be made using the following criteria.

The total number of points available in each category is 100.

### **Category 1: Planning Grant**

| Scoring Criteria   | Possible Points |           |
|--|-----------------|-----------|
| <b>Summary of the Program:</b>   |                 | <b>10</b> |
| <ul style="list-style-type: none"><li>Provides a clear, concise summary of the proposal stating the problems or needs to be addressed, and states outcomes to be gained.</li></ul> | 10              |           |
| <b>Statement of the Problem:</b>   |                 | <b>10</b> |
| <ul style="list-style-type: none"><li>Identifies unmet programmatic needs.</li></ul>   | 5               |           |
| <ul style="list-style-type: none"><li>Describes the local resources available to meet the identified</li></ul>   | 5               |           |

|   |    |            |
|---|----|------------|
| programmatic needs, and identifies why the jurisdiction may lack adequate resources to implement the program.   |    |            |
| <b>Key Partners:</b>  |    | <b>10</b>  |
| <ul style="list-style-type: none"> <li>The planning committee includes all key and principal partners in the justice system.</li> </ul>   | 10 |            |
| <b>Program Strategy:</b>  |    | <b>25</b>  |
| <ul style="list-style-type: none"> <li>Describe how the planning committee will be assembled and how often they will meet.</li> </ul>   | 6  |            |
| <ul style="list-style-type: none"> <li>Describe plan to assemble and analyze relevant data.</li> </ul>  | 6  |            |
| <ul style="list-style-type: none"> <li>Describe plan to assess current alternatives to incarceration and resources, and identify gaps (e.g., community resource mapping)</li> </ul> | 7  |            |
| <ul style="list-style-type: none"> <li>Describe how the local plan will be completed and by whom.</li> </ul>  | 6  |            |
| <b>Goals, Objectives and Performance Indicators:</b>  |    | <b>20</b>  |
| <ul style="list-style-type: none"> <li>Sets reasonable benchmarks for the performance of both process and outcome objectives.</li> </ul>  | 10 |            |
| <ul style="list-style-type: none"> <li>Describes how each the objectives will be accomplished.</li> </ul>   | 10 |            |
| <b>Budget Detail:</b>   |    | <b>15</b>  |
| <ul style="list-style-type: none"> <li>Budget is complete.</li> </ul>   | 5  |            |
| <ul style="list-style-type: none"> <li>Costs are allowable and reasonable.</li> </ul>   | 5  |            |
| <ul style="list-style-type: none"> <li>Budgeted items are cost-effective in relation to the proposed activities.</li> </ul>   | 5  |            |
| <b>Budget Narrative:</b>  |    | <b>10</b>  |
| <ul style="list-style-type: none"> <li>Narrative is complete for all line items, clearly detailing how the applicant arrived at and calculated the budget amounts.</li> </ul>       | 5  |            |
| <ul style="list-style-type: none"> <li>Narrative describes why each line item is necessary for program implementation.</li> </ul>   | 5  |            |
| <b>Total Possible Points</b>  |    | <b>100</b> |

## Category 2: Implementation Grant

| Scoring Criteria   | Possible Points |           |
|--|-----------------|-----------|
| <b>Summary of the Program:</b>   |                 | <b>5</b>  |
| <ul style="list-style-type: none"> <li>Provides a clear, concise summary of the proposal stating the problems or needs to be addressed, and states outcomes to be gained.</li> </ul> | 5               |           |
| <b>Statement of the Problem – Description of Service Area:</b>   |                 | <b>10</b> |

|   |   |           |
|---|---|-----------|
| • Includes a description of community demographic characteristics.  | 5 |           |
| • Describes characteristics of the criminal justice system that are relevant to the statement of need.  | 5 |           |
| <b>Statement of the Problem – Current Situation:</b>  |   | <b>10</b> |
| • Provides a complete data table that demonstrates need for the program, and indicates what the data demonstrate.   | 5 |           |
| • Clearly explains current strategies being implemented to address the stated need.   | 5 |           |
| <b>Statement of the Problem – Unmet Needs:</b>  |   | <b>5</b>  |
| • Describes the gaps in local justice and human services systems and identifies what needs to be addressed prior to implementing the program.                                 | 5 |           |
| <b>Key Partners</b>   |   | <b>10</b> |
| • The steering committee includes all key and principal partners in the justice system.   | 5 |           |
| • Includes letters of support from all key stakeholders.  | 5 |           |
| <b>Description of the Proposed ARI Program Model:</b>   |   | <b>35</b> |
| • Defines the criteria to be used to accept individuals into the program.   | 5 |           |
| • Describes the intake and assessment process to be used for the ARI program.   | 5 |           |
| • Describes how you will integrate existing services and programs that are already designed to meet the individual needs of targeted offenders.                               | 5 |           |
| • Describes what will be done to rehabilitate the offender in the community that is different from current practices.   | 5 |           |
| • Includes a statement of impact to the court system.   | 5 |           |
| • Describes a plan to incorporate community involvement in the Adult Redeploy Illinois program.   | 5 |           |
| • Describes a plan of how the program utilizes or will utilize the Affordable Care Act (ACA) to increase access to a broad range of treatment in the most cost-effective way. | 5 |           |
| <b>Goals, Objectives and Performance Indicators:</b>  |   | <b>10</b> |
| • Sets reasonable benchmarks for the performance of both process and outcome objectives.  | 5 |           |
| • Describes how each of the objectives will be accomplished and measured.   | 5 |           |
| <b>Project Management:</b>  |   | <b>5</b>  |

|   |   |            |
|---|---|------------|
| • Describes plan for coordination and supervision of the project activities, including at least .25 FTE project coordinator   | 5 |            |
| <b>Budget Detail:</b>   |   | <b>6</b>   |
| • Budget is complete.   | 2 |            |
| • Costs are allowable and reasonable.   | 2 |            |
| • Budgeted items are cost-effective in relation to the proposed activities.   | 2 |            |
| <b>Budget Narrative:</b>  |   | <b>4</b>   |
| • Narrative is complete for all line items, clearly detailing how the applicant arrived at and calculated the budget amounts. | 2 |            |
| • Narrative describes why each line item is necessary for program implementation.   | 2 |            |
| <b>Total Possible Points</b>  |   | <b>100</b> |

## 2. Review and Selection Process

All applications will be screened for completeness and GATA ICQ approval for the current state fiscal year. Applications from agencies that do not have a current and approved ICQ by the date of application will not be reviewed.

Proposals that pass the screening process will be reviewed by a panel of ICJIA staff, including ARI staff, and the Adult Redeploy Illinois Oversight Board (ARIOB) Site Selection & Monitoring Committee. Funding will first be allocated to implementation grants that have met criteria for funding, followed by planning grants. Selection will be based on the average of team scores. Proposal selection will be made using the criteria hierarchy detailed below:

|  |
|--|
| Highest scoring application                                  |
| Highest score: Statement of the Problem                      |
| Highest score: Program Strategy/Description of Program Model |

Application review will be conducted by ICJIA staff, including ARI staff, and the Adult Redeploy Illinois Oversight Board (ARIOB) Site Selection & Monitoring Committee. ICJIA staff, including ARI staff, and ARIOB committee members reserve the right to reject any or all incomplete proposals, proposals including unallowable activities, proposals that fail to meet eligibility or program requirements, or proposals that are otherwise deemed to be unsatisfactory. Reviewers also reserve the right to invite one or more applicants to resubmit amended applications and modify budgets that include unallowable or unreasonable costs.

In addition to the cumulative scores, reviewers also will be considering cost-benefit analysis with respect to cost per person served/diverted in the proposed program and the potential savings accruing to the state, geographic distribution of proposed services areas, past performance history and/or financial standing with ICJIA, when making the final award decisions.

Successful applicants whose applications contained unallowable or unreasonable costs will have their award reduced by the total amount of all unallowable or unreasonable costs. Upon applicant acceptance of the grant award, announcement of the grant award shall be published by the awarding agency to the GATA portal.

### **3. *Debriefing Process***

Unsuccessful applicants may request a debriefing for feedback that could help them improve future funding applications. Briefings will take the form of advice to applicants on the strengths and weaknesses of their applications in terms of the evaluation and review criteria.

Requests for debriefings must be made via email and submitted within seven calendar days after receipt of notice.

Please send requests to:

Adriana Perez, Program Manager  
Adult Redeploy Illinois  
Illinois Criminal Justice Information Authority  
[Adriana.Perez@Illinois.gov](mailto:Adriana.Perez@Illinois.gov)

### **4. *Appeals Process***

Unsuccessful applicants may request a formal appeal. Only the evaluation process is subject to appeal. Evaluation scores and funding determinations may not be contested and will not be considered by ICJIA's Appeals Review Officer. The appeal must be via email and submitted within 14 calendar days after either the date the grant award notice is published or receipt of a Funding Opportunity Declination Letter from ICJIA, whichever comes first. The written appeal must include, at a minimum, the following:

- a. Statement indicating a request for a formal appeal.
- b. The name and address of the appealing party.
- c. Identification of the grant program.
- d. A statement of reason for the appeal.

Please send your appeal to:



Appeals Review Officer  
Illinois Criminal Justice Information Authority  
[Cja.aro@Illinois.gov](mailto:Cja.aro@Illinois.gov)

ICJIA will acknowledge an appeal within 14 calendar days of receipt. ICJIA will respond to the appeal within 60 days or supply a written explanation as to why additional time is required. The appealing party must supply any additional information requested by ICJIA within the time period set in the request. ICJIA will resolve the appeal by means of written determination. The determination will include:

- Review of the appeal.
- Appeal determination.
- Rationale for the determination
- Standard description of the appeal review process and criteria.

### **5. *Programmatic Risk***

All applicant agencies recommended for funding will be required to submit a completed ICJIA Programmatic Risk Assessment (PRA). This assessment will identify elements of fiscal and administrative risk at the program level and will be used to determine required specific conditions to the interagency agreement.

The PRA must be completed for the program agency.

#### **Implementing agency vs. program agency**

- An implementing agency is the legal entity that receives state funds, such as a county.
- A program agency:
  - Is a subdivision of the implementing agency, such as a county probation department.
  - Carries out program operations.
  - Is responsible for data and fiscal reporting.

PRAs completed for other state agencies will not be accepted by ICJIA.

### **6. *Anticipated Announcement and State Award Dates***

| <b>Milestones</b>   | <b>Target Date</b>            |
|---|-------------------------------|
| Release of NOFO and open application                      | Wednesday, September 13, 2017 |
| Posting of pre-application technical assistance recording | Friday, September 22, 2017    |
| Notice of Intent due                                      | October 16, 2017              |

|   |                   |
|---|-------------------|
| Last date for submission of questions         | October 26, 2017  |
| Application Closes                            | October 30, 2017  |
| Site Selection & Monitoring Committee Meeting | November 2017     |
| Oversight Board Meeting                       | November 13, 2017 |
| Start Program Performance Period              | January 1, 2018   |

## **F. Award Administration Information**

ICJIA will transmit a Notice of State Award (NOSA) to successful applicants after the ARI Oversight Board reviews and approves designations on November 13, 2017. No costs incurred before the start date of the agreement may be charged to the grant. The NOSA will detail specific conditions that will be included in the grant agreement. Applicant agencies must return the signed NOSA before the start of the agreement.

The following documents that must be received before an award may be issued, including the following required documents which may be viewed at <https://gata.icjia.cloud/>:

- Fiscal Information Sheet in Word completed by the Implementing Agency.
- Audit Information Sheet in PDF format completed and signed by the Implementing Agency.
- Completed ICJIA Programmatic Risk Assessment completed for the Program Agency in Excel.

### Costs Incurred

No costs incurred before the start date of the agreement may be charged to the grant.

### ***Administrative and National Policy Requirements***

In addition to implementing the funded project consistent with the agency-approved project proposal and budget, agencies selected for funding must comply with applicable grant terms and conditions and other legal requirements, including, but not limited to, ICJIA Financial Guide and Policy and Procedure Manual, the Office of Management and Budget Grants Accountability and Transparency Act, and U.S. Department of Justice regulations which will be included in the award documents, incorporated into the award by reference, or are otherwise applicable to the award.

Successful applicants will be required to enter into intergovernmental agreements for each funded component of the program. Additional programmatic and administrative special conditions may be required.

### ***Reporting***

Recipients must submit monthly financial reports, quarterly progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the CFR Part 200 Uniform Requirements. Future awards and fund drawdowns may be withheld if reports are delinquent.

### ***Site Visits***

Grantees will be required to participate in periodic site visit assessments. The assessments will provide feedback to the ARIOB on the overall organizational and operational strengths and weaknesses of the program. The assessments will be conducted by ARI staff representatives, ARIOB members and ICJIA research and analysis staff representatives, resulting in a written report to be shared with grantees and provided to the ARIOB.

### **G. State Awarding Agency Contact(s)**

For questions and technical assistance regarding application submission, contact:

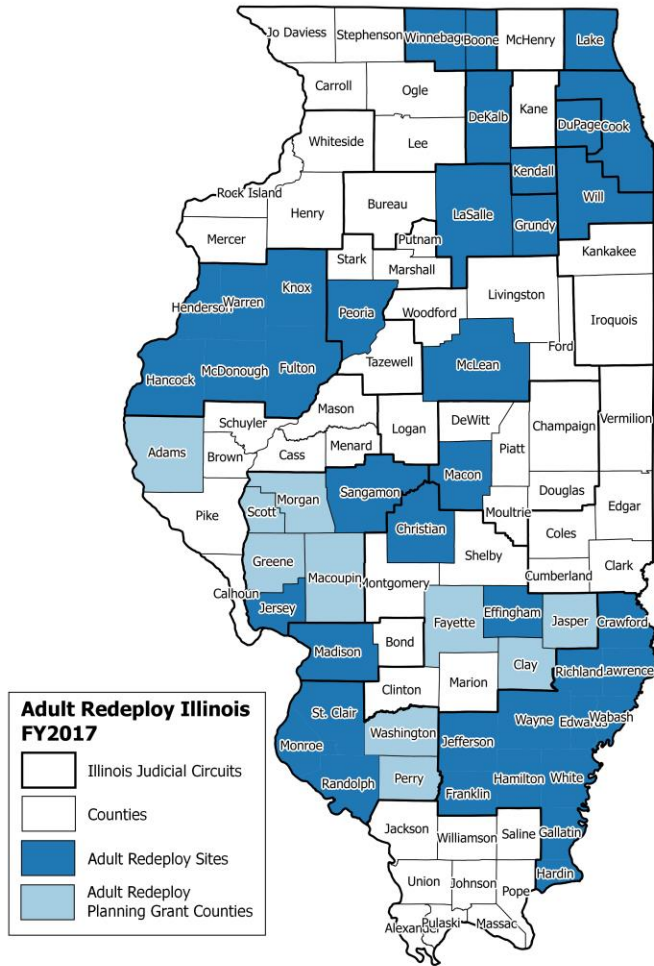
Adriana Perez, Program Manager  
Adult Redeploy Illinois  
Illinois Criminal Justice Information Authority  
[Adriana.Perez@Illinois.gov](mailto:Adriana.Perez@Illinois.gov)

### **H. Other Information**

Neither the State of Illinois nor ICJIA are obligated to make any award as a result of this announcement. ICJIA Executive Director has sole authority to bind the state government to the expenditure of funds through the execution of interagency grant agreements.

## APPENDIX A

### Map of ARI Sites



January, 2017

#### Local Programs

- 27 Problem-solving courts
  - 22 Drug courts
  - 5 Mental health courts (2 with veteran treatment track)
- 12 Intensive supervision probation with services programs (1 HOPE probation)

#### Key Components

- Assessment of risk, needs and assets
- Evidence-based and promising practices
- Performance measurement and evaluation
- Annual report to Governor and General Assembly

#### Expected Results

- Reduced prison over-crowding
- Lower costs to taxpayers
- End to the expensive and vicious cycle of crime and incarceration

## APPENDIX B

### Evidence-based and Promising Practices at ARI Sites

| Assessments  | Program Models   | Probation Methods & Tools   | Treatment & Therapy   | Recovery & Support  |
|--|--|---|---|---|
| Level of Service Inventory-Revised (LSI-R)   | Adult drug court                                       | Effective Practices in Community Supervision (EPICS)                                | Matrix model  | Recovery coaching   |
| Texas Christian University (TCU) screening & assessments   | Adult mental health court                              | Effective Casework Model  | Dialectical-Behavior Therapy (DBT)  | Twelve-Step Facilitation Therapy (AA, NA)   |
| Global Appraisal of Individual Needs (GAIN)  | Intensive supervision (surveillance & treatment)       | Motivational interviewing (MI)  | Medication Assisted Treatment (MAT)   | SMART Recovery (Self Management and Recovery Training)  |
| Substance Abuse Subtle Screening Inventory (SASSI)   | Hawaii's Opportunity Probation with Enforcement (HOPE) | Swift & certain/ graduated sanction case management for substance abusing offenders | Integrated Dual Disorder Therapy  | Wellness Recovery Action Planning (WRAP)  |
| Risk and Needs Triage (RANT)   |  | Electronic monitoring   | Assertive Community Treatment (ACT)   | Transitional and supportive housing   |
| Client Evaluation of Self Treatment (CEST)   |  | Carey Guides – Brief Intervention Tools (BITS)                                      | Cognitive behavioral therapy (CBT) (for high and moderate risk offenders) <ul style="list-style-type: none"> <li>- Thinking for a Change (T4C)</li> <li>- Moral Reconation Therapy (MRT)</li> <li>- Strategies for Self-Improvement and Change (SSC)</li> <li>- Relapse Prevention Therapy (RPT)</li> <li>- Moving On</li> <li>- Co-occurring Disorders Program (CDP)</li> <li>- Anger Management</li> <li>- Motivational Enhancement Therapy</li> <li>- A New Direction</li> </ul> | Wrap-around services <ul style="list-style-type: none"> <li>- Community Reinforcement Approach</li> <li>- Cultural Competency</li> <li>- Family psycho-education</li> <li>- Work therapy</li> <li>- Employment retention</li> </ul> |
| PTSD Checklist-Civilian Version (PCL-C), Trauma Screening Questionnaire (TSQ), Suicide Behaviors Questionnaire-Revised (SBQ-R) |  |   | Trauma-informed therapy <ul style="list-style-type: none"> <li>- Seeking Safety</li> <li>- Trauma Recovery &amp; Empowerment Model (TREM)</li> <li>- Helping Men/Women Recover</li> </ul>   |   |

## APPENDIX C

### **Mandatory ARI data elements for performance measurement**

#### Demographics:

- Name
- Date of birth
- Gender
- Race
- SID (fingerprint identification number)

#### Case information:

- Current offense (type of offense, class, dates of arrest and sentence)
- Date client was accepted/enrolled in the program
- If not accepted or enrolled, reason
- Termination date, reason

#### Adult Redeploy Illinois (ARI) information:

- Probation/ARI conditions (types of treatment required, restitution, education, etc.)
- Treatment provider(s)
- Status updates on these conditions (movement between phases, interrupted treatment, start date, completion date, compliance with treatment, etc.)
- Termination from conditions (successful or not, reason for termination, date of completion/termination)
- Changes in education level and employment
- Technical violations, rule infractions, other negative behavior (date, violation reason, sanction applied/response)
- Arrests/convictions while on ARI (date, offense, class, sentence and date if applicable)
- Level of Service Inventory-Revised (LSI-R)/other assessment scores, initial and follow up (date, and at least final assessed risk level and override, if applicable)
- Number of in-person visits with each client monthly (in-office visits, field visits, any time the officer and client meet face-to-face. Phone contacts should not be counted as face-to-face contacts)
- Primary substance of choice (if applicable)
- Drug testing information (date tested, result of test, substance(s) found if positive, location of test [probation or treatment provider])
- Diagnosis information
  - Mental health (date of diagnosis, actual diagnosis)
  - Substance abuse/dependence (date of diagnosis, abuse and/or dependence, substance of preference)