In response to your letter of January 11, 2012 (which was received in our Human Resources Division on 1/24/12), please note that your November 8, 2011 letter was never received. It is my understanding that many intended recipients did not receive this information. In any event, we apologize for the delay in response.

Pursuant to your request for information, and in accordance with 20 ILCS 5000, while our office does not impose specific restrictions for employment within our office, we attempt to complete background checks before offers of employment are made, and/or before employment commences. Please note the language below from our policy manual relevant to background checks:

## Background Screening

New employees will be subject to criminal background screening. The Director of Human Resources will initiate discretionary background screenings for applicants under consideration for full-time, part-time, contractual, or temporary employment. Background screening may be conducted by the Illinois State Police or the Executive Inspector General. The Director of Human Resources will review background reports and may refer them to the General Counsel for legal interpretation.

Please let me know if you have any questions or concerns. Thank you

Lori Ann Mitts Director of Human Resources Office of the Illinois State Treasurer - Dan Rutherford 400 West Monroe, Suite 401 Springfield, IL 62704 Phone: 217/524-4330 | Fax: 217/785-8554

lmitts@treasurer.state.il.us

As requested in your letter of January 11, and in accordance with 20 ILCS 5000, please note the information below relevant to total background checks for calendar years 2010 and 2011 in the Office of the Illinois State Treasurer:

Number of actual applicants who underwent criminal background checks: 54

Number of actual applicants who were deemed disqualified: 1

Number of applicants seeking waiver: 0 Number of applicants denied waiver: N/A

Lori Ann Mitts
Director of Human Resources
Office of the Illinois State Treasurer - Dan Rutherford
400 West Monroe, Suite 401
Springfield, IL 62704
Phone: 217/524-4330 | Fax: 217/785-8554

<u>lmitts@treasurer.state.il.us</u>