

ILLINOIS DEPARTMENT OF TRANSPORTATION

Economic Development and Infrastructure Agency/Executive Agency

FY14 Headcount: 5,350

<http://www.dot.state.il.us/>

Summary of Agency Operations

The Illinois Department of Transportation (IDOT) works to improve the safety, mobility and efficiency of Illinois' intermodal transportation network by constructing and maintaining roads, transit systems, high speed rail, airports, bike paths and walkways. Active engagement in diversity recruiting, sustainable environmental practices and technology advancements are just a few of the many ways IDOT will enhance agency operations into the future. IDOT's investments have resulted in hundreds of thousands of jobs created throughout Illinois.

Internal Hiring

Agency positions subject to restrictions based on criminal history

This agency is subject to personnel or non-personnel code.

Personnel code agency

Statutory Restrictions Applicable to Internal Hiring:

None

Administrative Code: Title 44, Sub title B, Chapter IX, Part 650, Sub part A, Section 650.110. Denial or Revocation of Ratings

a. Prequalification ratings will be denied, or previously issued ratings will be revoked, in the event the Department finds the applicant or contractor to be nonresponsible. Reasons or events for a finding of nonresponsibility include but are not limited to the following. The Department shall be notified by the applicant or prequalified contractor of any information known to them which is relevant to any of the following reasons:

15. The applicant has been convicted for the violation of any State or federal law having relevance to the integrity and reliability of the applicant.

Restriction Type: Mandatory

Restriction Duration: Not mentioned in the above listed administrative code

Background Check Procedures:

(1) Self-disclosure on application

Yes

(2) Background check review procedure

CMS completes all background checks through the ISP prior to employment with IDOT.

Exemption, waiver, or review mechanisms

Not mentioned in the agency report

Total number of people who underwent a background check

Not mentioned in the agency report

AGENCY occupational licensing restrictions based on criminal history:

Administrative Code: Title 92, Chapter I, Subchapter f, Part 554, Sub part C, Section 554.311. Subsequent permits following a violation

The permit to continue a move following a violation will be withheld until:

c. Following a conviction for a third offense within a one year period, the Department shall not issue permits to the person, firm, or corporation for a period of one year after the date of the conviction for such third offense [625 ILCS 5/15-301(j)].

Restriction Type: Mandatory

Restriction Duration: Following a conviction for a third offense within a one year period

605 ILCS 130/35 (Termination of the Public Private Agreement)

The Department may terminate a public private agreement or interim agreement under Section 30 of this Act if the contractor or any executive employee of the contractor is found guilty of any criminal offense related to the conduct of its business or the regulation thereof in any jurisdiction. For purposes of this Section, an "executive employee" is the President, Chairman, Chief Executive Officer, or Chief Financial Officer; any employee with executive decision-making authority over the long-term or day-to-day affairs of the contractor; or any employee whose compensation or evaluation is determined in whole or in part by the award of the public private agreement. (Source: P.A. 96-913, eff. 6-9-10.)

Restriction Type: Discretionary

Restriction Duration: Not mentioned in the above listed statute

Administrative Code: Title 92, Chapter I, Subchapter E, Part 448, Section 448.30.
Application Procedure for Station Permit

1) If one or more of the Official Testing Station owners or applicants have been convicted of a felony, the Department may consider that fact, along with others, as grounds for either revocation or denial of a Testing Station Permit. However, the fact of a conviction of a felony by one or more of the Official Testing Station owners or applicants, standing alone, is not grounds for either denial or revocation of a Testing Station Permit.

Restriction Type: Discretionary

Restriction Duration: Not mentioned in the above listed administrative code

Employment contracting restrictions based on criminal history:

605 ILCS 5/6-411.1 (Pecuniary Interest in Contracts)

d. A contract for the procurement of public utility services by a road district with a public utility company is not barred by this Section by one or more members of the governing body being an officer or employee of the public utility company, holding an ownership interest of no more than 7 1/2% in the public utility company, or holding an ownership interest of any size if the road district has a population of less than 7,500 and the public utility's rates are approved by the Illinois Commerce Commission. An elected or appointed member of the governing body having such an interest shall be deemed not to have a prohibited interest under this Section.

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e. Any officer who violates this Section is guilty of a Class 4 felony. Any office held by the person so convicted shall become vacant and shall be declared vacant as part of the judgment of the court.

Restriction Type: Mandatory

Restriction Duration: Not mentioned in the above listed statute

Summary of Employment Restrictions from Agency Report:

CMS requests background checks, on behalf of IDOT, from ISP for all applicants for seasonal positions. Additionally, IDOT's Bureau of Personnel Management maintains a list of individuals not recommended for rehire, which is compiled of IDOT employees that have been discharged for cause.

IDOT does not keep data on the total number of background checks requested because CMS requests the checks on IDOT's behalf. Records indicate IDOT denied 78 snowbird applicants due to criminal background in the 2010-2011 and 2011-2012 seasons.