

OFFICE OF THE STATE TREASURER

Government Services/Constitutional Office

FY14 Headcount: 140

<http://www.treasurer.il.gov/>

SUMMARY OF AGENCY OPERATIONS:

The Office of the Treasurer receives all taxes and fees collected by the state and invests them in financial institutions across the state. As the chief investment officer and custodian of funds, the treasurer ensures the liquidity, safety and profitability of more than 600 active funds. As the counter signer of warrants, the treasurer ensures sufficiency of funds. The treasurer also operates an investment pool for local governments.

Internal Hiring:

Agency positions subject to restrictions based on criminal history

None

This agency is subject to personnel or non personnel code.

This is a non personnel code agency

Statutory Restrictions Applicable to Internal Hiring:

15 ILCS 510/9b (Merit and fitness)

For positions in the Office of the Treasurer with respect to selection and tenure on a basis of merit and fitness, those matters specified in Sections 9b.1 through 9b.13.

The Division may, at its discretion, accept the results of examinations conducted by any merit system established by federal law or by the law of any state, and may compile lists of eligible candidates therefrom or may add the names of successful candidates in examinations conducted by those merit systems to existing lists of eligible candidates. No person who is a nonresident of the State of Illinois may be appointed from those eligible lists unless the requirement that the applicants be residents of the State of Illinois is waived by the Treasurer. Special linguistic requirements may also be established where deemed appropriate.

Restriction Type: Discretionary

Restriction Duration: Not mentioned in the above listed statute

15 ILCS 510/9b.3 (Rejection of candidates or eligibles)

Rejection of candidates or eligibles. For the rejection of candidates or eligibles who fail to comply with reasonable, previously specified job requirements of the Division in regard to such factors as physical and psychological condition, training and experience; who have been guilty of infamous or disgraceful conduct; who are addicted to alcohol to excess or to controlled substances; or who have attempted any deception or fraud in connection with an examination.

Restriction Type: Discretionary

Restriction Duration: Not mentioned in the above listed statute

Background Check Procedures:

15 ILCS 510/9b.1 (Investigation)

For the requirement that each person seeking employment, as part of the application process, authorize background investigation to determine if the applicant has ever been convicted of a crime and if so, the disposition of those convictions; this authorization shall indicate the scope of the inquiry and the agencies which may be contacted. Upon this authorization, the Division of Personnel may request and receive information and assistance from any federal, State or local government agency as part of the authorized investigation.

(1) Self-disclosure on application

(2) background check review procedure

Background screening may be conducted by the Illinois State Police or the Executive Inspector General

The Director of Human Resources will initiate discretionary background screenings for applicants under consideration for full-time, part-time, contractual, or temporary employment.

Exemption, waiver, or review mechanisms

The Director of Human Resources will review background reports and may refer them to the General Counsel for legal interpretation.

Total number of people who underwent a background check

54

AGENCY occupational licensing restrictions based on criminal history:

None

EMPLOYMENT contracting restrictions based on criminal history:

Administrative Code: Title 44, Subtitle B, Chapter XXI, Part 1400, Subpart J, Section 1400.5010 Bribery

a. Prohibition

No person or business will be awarded a contract or subcontract under this Part who:

1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in the officer's or employee's official capacity; or

2) Has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.

c. Conduct on Behalf of Business

For the purpose of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business will be chargeable with the conduct.

Office of the State Treasurer

Restriction Type: Mandatory

Restriction Duration: Not mentioned in the above listed administrative code

(Procurement Rules) (Ethics)

Administrative Code: Title 44, Subtitle B, Chapter XXI, Part 1400, Subpart J, Section 1440.5015 Felons

Unless otherwise provided, no person or business convicted of a felony may do business with the Treasurer's office from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.

Restriction Type: Mandatory

Restriction Duration: 5 years after the date of completion of the sentence for that felony

SUMMARY OF EMPLOYMENT RESTRICTIONS FROM AGENCY REPORT:

New employees will be subject to criminal background screening. The Director of Human Resources will initiate discretionary background screenings for applicants under consideration for full-time, part-time, contractual, or temporary employment. Background screening may be conducted by the Illinois State Police or the Executive Inspector General. The Director of Human Resources will review background reports and may refer them to the General Counsel for legal interpretation.

Number of actual applicants who underwent criminal background checks: 54

Number of actual applicants who were deemed disqualified: 1

Number of applicants seeking waiver: 0

Number of applicants denied waiver: N/A