

# Taming Distributed One-Hop Multicasting in Millimeter-Wave VANETs

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**Abstract**—Efficient one-hop multicasting (OHM) of high-volume sensor data plays a pivotal role in the success of cooperative autonomous driving applications. Although millimeter-Wave (mmWave) bands demonstrate huge potential for high-bandwidth OHM data transmission, the challenge lies in enabling individual vehicles to locate and communicate with suitable neighbors in a fully distributed and highly dynamic scenario. This paper introduces mmV2V, a fully distributed OHM scheme designed for vehicular networks, comprising three tightly integrated protocols. Initially, synchronized vehicles perform a probabilistic neighbor discovery procedure, wherein randomly divided transmitters (or receivers) clockwise scan (or listen to) the surroundings in synchronization with heterogeneous Tx (or Rx) beams. This approach facilitates the identification of the vast majority of neighbors within a few repeated rounds. Subsequently, vehicles engage in negotiations with their neighbors to establish an optimal communication schedule in evenly distributed slots. Finally, matched pairs of neighboring vehicles commence high data rate transmissions using refined beams. We implement a prototype testbed to validate the feasibility of the main components of mmV2V. Extensive simulations based on generated and real-world traffic traces are conducted and the results demonstrate that mmV2V consistently achieves a high completion ratio in demanding OHM tasks across various traffic conditions.

**Index Terms**—Beamforming, mmWave communication, neighbor discovery, one-hop multicasting, vehicular networks.

## I. INTRODUCTION

AS NEW vehicles are seeking higher levels of driving automation, more sophisticated sensors such as LIDAR and high-resolution cameras are equipped on such vehicles [1]. The data rate of sensory data generated by a self-driving vehicle

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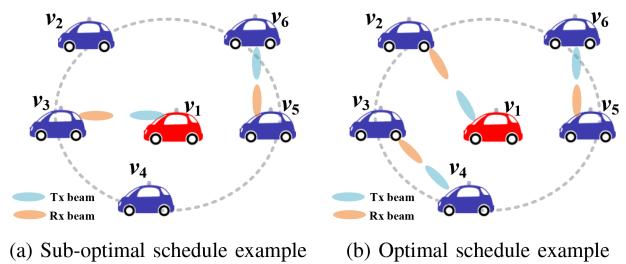


Fig. 1. Illustration of mmWave vehicular network, where data transmission only happens when a Tx beam is aligned with a Rx beam. In the OHM problem, each vehicle (e.g.,  $v_1$ ) needs to individually communicate with its one-hop neighbors (e.g., vehicles within the dotted disk area). Without centralized coordination, the network throughput may be sub-optimal as shown in (a) due to an inappropriate schedule.

can be up to 750 Mbps [2] and perception fusion methods like early-fusion and deep-fusion which help vehicles achieve more robust perception of surrounding environments require for large amount of raw data and feature exchange between one-hop neighboring vehicles [3], [4], [5]. Traditional communication protocol working in low frequency band like 802.11p cannot undertake such heavy load. There is an urgent need for new V2V communication technology with higher bandwidth so that huge amount of sensory data can be exchanged among neighboring vehicles in real time [6], [7], [8]. As millimeter-wave (mmWave) bands in 60 GHz have about 14 GHz unlicensed spectrum, current 5 G standards have introduced mmWave bands (FR2) for high data rate communication [9]. Moreover, mmWave bands also exhibit a great potential for future V2V communications. We focus on the *one-hop multicasting* (OHM) problem in mmWave vehicular networks, i.e., vehicles need to constantly exchange high-volume sensory data with their one-hop neighbors with narrow mmWave wireless beams. Fig. 1 illustrates an OHM scenario, where vehicles equipped with LIDAR exchange their individual 3D point cloud data with each of their one-hop neighbors via directional mmWave beams.

A practical OHM protocol in mmWave vehicular networks should satisfy three rigid requirements as follows. First, the protocol should fit in fully distributed settings due to the possible lack of a central unit such as a road side unit (RSU). Second, considering the characteristics of directional mmWave links, the protocol should maximize the number of aligned transmitter-and-receiver pairs and the corresponding link quality to achieve

supreme network throughput. Last but not least, the protocol should be resilient to high mobility of vehicles which requires fast and frequent beam realignment.

In the literature, several pilot studies have demonstrated the feasibility of mmWave V2X communications. Wang et al. [2] deploy an experimental testbed consisting of one vehicle and four RSUs to enable microscopic investigation of the channel and the V2R link. Kim et al. [10] evaluate the performance of 802.11ad for V2V communication using a pair of vehicles. Such recent studies mainly focus on link-level measurements and have not considered the overall performance of the whole network. In traditional mmWave networks, plenty of beam alignment schemes between multiple clients and Access Points (APs) have been proposed to increase the network throughput and robustness. BounceNet [11] is the first many-to-many mmWave beam alignment protocol that can exploit dense spatial reuse to allow many AP-to-client links to operate in parallel in a confined space and scale the wireless throughput with the number of clients. mmChoir [12] is a proactive blockage mitigation technique that utilizes joint transmissions from multiple APs to provide blockage resilience to clients. These protocols are designed for indoor scenarios and require centralized APs, which cannot be applied to fully distributed vehicular environments. As a result, there is no existing distributed protocol, to the best of our knowledge, that can successfully address the OHM problem in mmWave vehicular networks.

In this paper, we propose a fully distributed scheme, called *mmV2V*, which can effectively tackle the OHM problem in mmWave vehicular networks. The core idea of *mmV2V* is for individual vehicles to first efficiently discover their neighbors with directional Tx or Rx beams. More specifically, vehicles are synchronized and randomly choose to clockwise scan or listen to the surroundings in unison. Then, to determine an optimal neighbor to communicate, vehicles negotiate with their neighbors in evenly distributed time slots scheduled with a common hash function. Finally, an agreed pair of neighboring vehicles start to communicate with refined beams. The process repeats until a vehicle has exchanged data with all its neighbors.

There are two main challenges to be solved when designing *mmV2V*. First, the neighborhood of a vehicle is rapidly changing and hard to identify only with mmWave beams. Uncoordinated attempts from individual vehicles would only arouse signal collisions and unaligned beams. In *mmV2V*, vehicles are first synchronized via the Global Positioning System (GPS) and then conduct a probabilistic neighbor discovery procedure, consisting of multiple independent rounds. In each round, all vehicles can recognize one half of their neighbors without interfering with each other by letting all Tx and Rx beams scan in pace but with a  $180^\circ$  offset. As a result, after a small number of  $K$  rounds, a large ratio of  $1 - 0.5^K$  neighbors can be identified. In addition, heterogeneous beam widths are used for Tx and Rx beams, respectively, to obtain an optimal discovery efficiency.

Second, in a fully distributed setting, it is very challenging for individual vehicles to collectively make a communication schedule that maximizes the whole network throughput. Indeed,

to determine the optimal communication schedule is NP-hard even if the global network information is available. In order to tackle this challenge, *mmV2V* leverages a novel distributed greedy algorithm. In this algorithm, each vehicle negotiates about the optimal communication schedule with each of its neighbor according to a negotiation sequence of slots. The sequence is determined with a common hash function so that the same pair of neighbors are arranged to the identical slot but different pairs of neighbors are arranged to distinct slots. As a result, vehicles are coordinated to exchange and update their individual decisions until they meet consensus after a few negotiation slots.

We implement a 60 GHz prototype testbed, consisting of two experiment vehicles, to verify the feasibility of main components of *mmV2V*. It requires minimal hardware requirements and is a fully distributed protocol. In our implementation, the neighbor discovery scheme can always identify a neighbor in a correct beam alignment configuration with at least 9.7 dB gain. Furthermore, we also verify the channel and beam pattern model with our real-world signal-to-noise ratio (SNR) trace. The efficacy of beam refinement is also verified in our experiment. We conduct large-scale simulations where each vehicle performs a data exchange task requiring 200 Mbps data rate with its neighbors in various scenarios with different traffic densities. In normal traffic condition, *mmV2V* can complete 74.7% of the task, in contrast to 31.9% and 46.5% achieved by a random scheme and IEEE 802.11ad, respectively. The results demonstrate the efficacy of *mmV2V* design. We highlight the main contributions in this paper as follows: 1) the OHM problem in mmWave vehicular networks is proposed; 2) a probabilistic neighbor discovery scheme without signal collisions is proposed; 3) a distributed greedy communication scheduling algorithm is proposed; 4) a 60 GHz prototype testbed is implemented and extensive simulations are conducted, demonstrating the efficacy of *mmV2V*.

## II. SYSTEM MODEL AND PROBLEM DEFINITION

### A. System Model

We consider more practical and challenging situation where there is no centralized control unit available. Vehicles move at variable speeds in the same direction on multi-lane surface roads and have equal capabilities as stated below:

- *Communication*: Each vehicle is equipped with a 60 GHz mmWave radio and a phased antenna array which can beam the signal with a desired beam width and in a desired direction according to multi-level codebooks. A co-channel deployment, uniform transmission power and half-duplex mode are assumed. V2V communications are operated under time division duplexing (TDD).
- *Synchronization*: Vehicles are synchronized through GPS receivers which can achieve high synchronization accuracy of less than 100 ns [13], [14]. In addition, vehicles can also obtain their heading direction information with GPS receivers.
- *Computation*: Vehicles can perform basic calculations such as modulo operation and random number generation.

### B. Problem Definition

Without the loss of generality, we consider those vehicles that have line-of-sight (LOS) path within the communication range of a vehicle  $v_i$  as its one-hop neighbors, denoted as  $\mathcal{N}_i$ . The OHM problem is to determine a communication schedule such that the total time consumption for each vehicle  $v_i \in V$  to exchange its sensory data with all its one-hop neighbors  $v_j \in \mathcal{N}_i$  is minimized. Given that time is divided into synchronized slots for all vehicles, we give the formal definition of OHM problem as follows:

$$\begin{aligned} & \min\{|T|\} \\ \text{s.t. } & \sum_{v_j \in \mathcal{N}_i} l_{i,j}^t \leq 1, \forall v_i \in V, \forall t \in T, \\ & \sum_{t=0}^{|T|-1} \sum_{v_j \in \mathcal{N}_i} l_{i,j}^t \cdot d_{i,j}^t = D_{i,j}, \forall v_i \in V, \end{aligned} \quad (1)$$

where  $T$  is the set of required time slots and  $|T|$  is the number of time slots in  $T$ ;  $l_{i,j}^t$  denotes the communication state between  $v_i$  and  $v_j$  in time slot  $t$ , i.e.,  $l_{i,j}^t = 1$  means  $v_i$  communicate with  $v_j$  at time slot  $t$  and  $l_{i,j}^t = 0$  means  $v_i$  and  $v_j$  do not communicate with each other at time slot  $t$ ;  $d_{i,j}^t$  denotes the amount of data exchanged between  $v_i$  and  $v_j$  in time slot  $t$  and  $D_{i,j}$  represents the total amount of data to be transferred between  $v_i$  and  $v_j$ . Based on the definition of OHM problem, we have the following theorem:

**Theorem 1:** The OHM problem is NP-hard.

*Proof:* Let  $\mathcal{G} = \langle V, E \rangle$  denote the vehicular network, where  $V = \{v_i, 1 \leq i \leq N\}$  is the set of vehicles and  $E = \{l_{ij}, i \neq j\}$  is the set of LOS links between a pair of neighboring vehicles  $v_i$  and  $v_j$ . We simplify the OHM problem by assuming  $D_{i,j}$  is all the same for arbitrary pair of vehicles  $v_i$  and  $v_j$  and can be exchanged within one time slot  $t$ . We also assume that each vehicle  $v_i$  knows  $\mathcal{G}$ . With these assumptions, if we utilize one color to represent one time slot, the OHM problem is equal to find a schedule which can assign the minimum number of colors to all edges in  $E$  without assigning one color to any two or more edges connecting the same vehicle. This is the classic edge coloring problem which has been proved to be NP-complete [15]. In a fully distributed setting, the OHM is even harder because it is difficult for each individual vehicle to obtain the global network information (e.g., the location information of each vehicle in the network) and coordinate with each other. This concludes the proof.

## III. DESIGN OF mmV2V

### A. Overview

In mmV2V, synchronized vehicles exchange their data in independent beacons. In a beacon, as illustrated in Fig. 2, all vehicles follow the same protocol, which consists of three components, i.e., synchronized neighbor discovery, distributed consensual matching, and unicast data transmission.

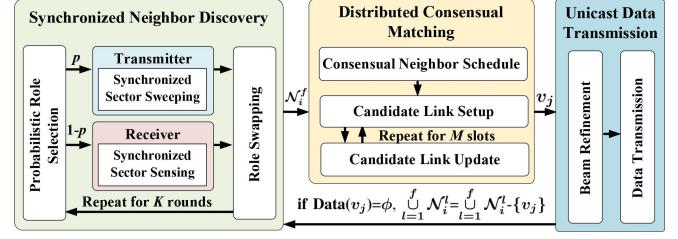


Fig. 2. Architecture of mmV2V.

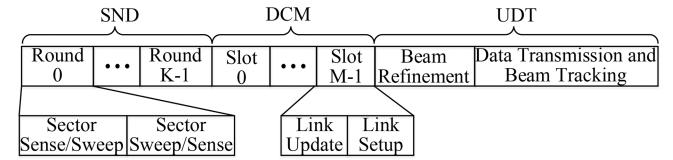


Fig. 3. Beacon structure of mmV2V.

**Synchronized Neighbor Discovery (SND):** SND consists of multiple discovery rounds. In each round, a vehicle  $v_i$  first probabilistically selects a role as a transmitter or as a receiver. Then, all transmitters start to clockwise sweep the surroundings (divided into equal sectors) with wide transmission beams, and meanwhile all receivers start to sense on the corresponding opposite sector with wide reception beams. With this synchronized beam sweeping and sensing procedure, each receiver can efficiently collect the link information from those LOS transmitters without collisions. After that, vehicles swap their roles and conduct the synchronized beam sweeping and sensing procedure again. As a result, both ends of a LOS link make acquaintance to each other. With high probability, vehicle  $v_i$  can identify the vast majority of neighbors in the  $f$ th beacon, denoted as  $\mathcal{N}_i^f$ , after a few number of discovery rounds.

**Distributed Consensual Matching (DCM):** Given all identified neighbors, DCM consists of a sequence of negotiation slots, during which each vehicle try to find the optimal neighbor for data transmission. In a distributed network setting, individual vehicles first perform the consensual neighbor schedule (CNS) that uses a Hash function to distribute neighboring vehicle pairs into different time slots to avoid packet collisions. In each slot, a vehicle  $v_i$  exchanges the information of its current candidate with the neighbor  $v_j$  designated by the CNS. If  $v_j$  is a better candidate in terms of maximizing the network throughput,  $v_i$  and  $v_j$  respectively set each other as their new candidates and update this change with their previous candidates.

**Unicast Data Transmission (UDT):** After consensual matching, vehicle  $v_i$  has determined which neighbor  $v_j$  to exchange data with. To obtain optimal data transmission rate,  $v_i$  and  $v_j$  conduct fast beam refinement to search for the best narrow beam alignment, only within the range of the corresponding wide beam previously used for neighbor discovery. Then, they exchange a unit of data using the refined beams in the remaining time of this beacon. If all sensory data have been exchanged with  $v_j$ ,  $v_i$  removes  $v_j$  from  $\cup_{l=1}^f \mathcal{N}_i^l$ . After that,  $v_i$  repeats the whole

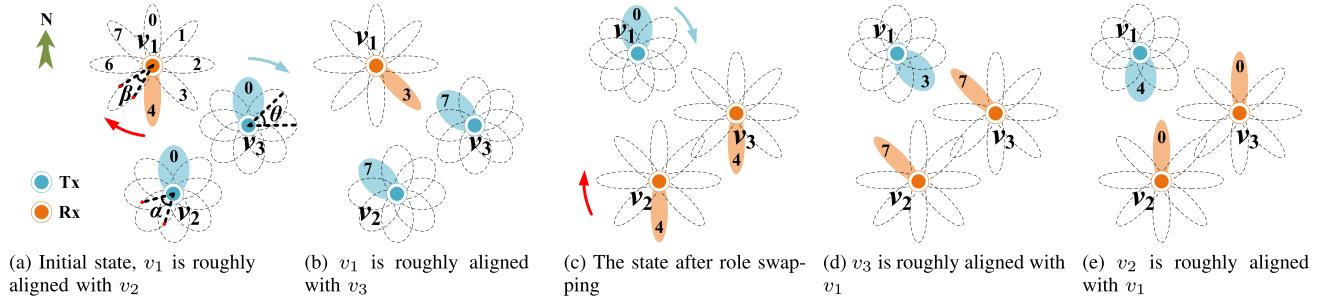


Fig. 4. Snapshots in an example of one synchronized neighbor discovery round, where  $v_1$  selects to be a receiver and  $v_2$  and  $v_3$  select to be transmitters.

process of mmV2V protocol until all sensory data have been exchanged with all its neighbors.

### B. Synchronized Neighbor Discovery

In a fully distributed mmWave vehicular network, a vehicle needs to acquire precise location information of its neighboring vehicles, in order to conduct beam alignment for better link condition. However, it is challenging to efficiently identify neighbors only with non-broadcasting mmWave radios. In mmV2V, neighbor discovery is coordinated among vehicle without being interfered with each other. Specifically, neighbor discovery consists of  $K$  rounds and time complexity of SND is  $O(KS)$  where  $S$  is the number of predefined sectors. In each round, a vehicle  $v_i$  performs a sequence of operations elaborated as follows:

1) *Probabilistic Role Selection*: Vehicle  $v_i$  first selects a role as a transmitter with the probability of  $p$  or as a receiver with the probability of  $1 - p$ . Given the independent role selection among vehicles, the whole network is expected to be separated with evenly distributed  $N \cdot p$  transmitters and  $N \cdot (1 - p)$  receivers, where  $N$  is the number of vehicles in the network.

2) *Synchronized Sector Sweeping*: After role selection, all transmitters are synchronized to clockwise sweep on a set of  $S$  predefined sectors with beams of  $\alpha$  degrees wide measured at 3 dB attenuation. Sectors are indexed from the north direction, ranging from 0 to  $S - 1$ , and the interval of two consecutive sectors  $\theta = 360^\circ/S$ . When sweeping on a sector, a transmitter sends out a sweep frame, consisting of its ID (e.g., MAC address) and the sector ID information. As illustrated in Fig. 4(a),  $v_2$  and  $v_3$  select to be transmitters and their surroundings are equally divided into  $S = 8$  sectors, with Sector 0 pointing at the north. Guided by the direction obtained from GPS receivers,  $v_2$  and  $v_3$  start to sweep from Sector 0 to Sector 7.

3) *Synchronized Sector Sensing*: Similarly, after role selection, all receivers are synchronized to clockwise sense on the same set of  $S$  predefined sectors but from the south sector (i.e., the opposite sector of the north sector), with beams of  $\beta$  wide. In general, if the current sweeping sector ID is  $i$ , the sensing sector ID is  $(i + \frac{S}{2})\%S$ . When sensing a sector, a receiver passively listen from the reception beam. As illustrated in Fig. 4(a),  $v_1$  selects to be a receiver and starts to sense on Sector 4 (i.e., the opposite sector of Sector 0). In this case,  $v_1$  is roughly aligned with  $v_2$  and can obtain  $v_2$ 's ID, sweeping sector ID and

the channel SNR information. With this synchronized sector sweeping and sensing scheme, all receivers can obtain the link information with all their LOS transmitters. For instance, as depicted in Fig. 4(b),  $v_1$  gets to know about  $v_3$  when the sweeping sector ID is seven and the sensing sector ID is three.

4) *Role Swapping*: After all sectors are swept or sensed, all vehicles swap their roles, i.e., a transmitter becomes a receiver and vice versa, and respectively do the synchronized sector sweeping and sensing again. For example in Fig. 4(c),  $v_1$  starts to sweep from Sector 0 and  $v_2$  and  $v_3$  start to sense from Sector 4. In this way, the LOS link information can be obtained by both ends of the link. For instance in Fig. 4(c) and (d),  $v_3$  and  $v_2$  also know about the LOS link with  $v_1$ , respectively. Algorithm 1 shows the pseudo code of SND.

It should be noted that the settings of width of sweeping beams  $\alpha$  and that of sensing beams  $\beta$  are a tradeoff between neighbor discovery efficiency and accuracy. With wider beams, the sweeping and sensing process consumes less time but coarser link measurement can be obtained. Moreover, with high probability, each vehicle can identify the vast majority of neighbors after a few number of discovery rounds. We have the following observation:

*Observation: If vehicles in the network use the same probability  $p$  to select a role, then  $p = 0.5$  can make a vehicle identify the maximum number of LOS neighbors; after  $K$  discovery rounds, the expected ratio of identified neighbors is  $1 - 0.5^K$  for  $p = 0.5$ .*

*Proof:* For a vehicle  $v_i$ , as each vehicle individually selects its role, we consider the probability of one neighboring vehicle to be discovered without loss of generality. A neighboring vehicle that cannot be identified by  $v_i$  after  $K$  discovery rounds is the vehicle that choose exactly the same roles as  $v_i$  for  $K$  times. Therefore, the probability for that to happen is  $f(p, K) = [p^2 + (1 - p)^2]^K$ . To minimize  $f(p, K)$ , we have  $\frac{df(p, K)}{dp} = 0$  which leads to  $p = 0.5$ . After  $K$  discovery rounds, the expected ratio of identified neighbors is  $1 - [p^2 + (1 - p)^2]^K$ , which is  $1 - 0.5^K$  for  $p = 0.5$  and concludes the proof. ■

### C. Distributed Consensual Matching

Given all identified neighbors, as stated in Theorem 1, the OHM problem is NP-hard. Without global network topology information, it is even harder for each vehicle to choose an optimal neighbor to communicate so that the whole network

**Algorithm 1:** Procedure of SND for  $v_i$ .

```

Input: Tx beam width  $\alpha_0$ , Rx beam width  $\beta_0$ , the
       number of sectors  $S_0$ 
1 Initialize discovered one-hop neighbor set  $\mathcal{N}_i^f \leftarrow \{\}$ 
2 for  $k \in 0, 1, \dots, K - 1$  do
3   Generate a random number  $p \in [0, 1]$ 
4   if  $p > 0.5$  then
5     SWEEP( $\alpha_0, S_0$ )
6     SENSE( $\beta_0, S_0, \mathcal{N}_i^f$ )
7   else
8     SENSE( $\beta_0, S_0, \mathcal{N}_i^f$ )
9     SWEEP( $\alpha_0, S_0$ )
10 Function SWEEP( $\alpha, S$ )
11   Set beamwidth to  $\alpha$ 
12   for  $k \in 0, 1, \dots, S - 1$  do
13     Send a sweep frame to sector  $k$ 
14 Function SENSE( $\beta, S, \mathcal{N}_i^f$ )
15   Set beamwidth to  $\beta$ 
16   for  $k \in \frac{S}{2}\%S, (\frac{S}{2} + 1)\%S, \dots, (\frac{S}{2} + S - 1)\%S$  do
17     Listen on sector  $k$ 
18     if Receive a sweep frame from  $v_j$  then
19        $\mathcal{N}_i^f.append(v_j)$ 

```

throughput is maximized. In mmV2V, each vehicle negotiates with its neighbors in  $M$  continuous slots and time complexity of DCM is  $O(M)$ . The detailed two-step negotiation process is stated as follows.

1) *Consensual Neighbor Schedule*: To avoid two or more neighboring vehicles simultaneously negotiating with the same vehicle, each vehicle arranges a negotiation sequence of  $M$  slots. For vehicle  $v_i$ , it schedules vehicle  $v_j \in \mathcal{N}_i^f$  to the  $k$ th slot if  $(H(MAC(v_i)) + H(MAC(v_j)))\%C = k\%C$ , for  $k \in [0, M - 1]$ , where  $H(\cdot)$  is a Hash function;  $MAC(\cdot)$  returns the MAC address of a vehicle; and  $C$  is a constant used to separate vehicles in  $\mathcal{N}_i^f$  into different slots. For example, as illustrated in Fig. 5(a), we assume that  $v_2$  has a LOS path with  $v_1$  and  $v_3$ , respectively, and  $M = 3, C = 3, (H(MAC(v_1)) + H(MAC(v_2)))\%3 = 2$  and  $(H(MAC(v_2)) + H(MAC(v_3)))\%3 = 0$ . In this case,  $v_1$  and  $v_2$  will both schedule each other to Slot 2, and  $v_2$  and  $v_3$  will both schedule each other to Slot 0.

Note that when  $M$  is larger than  $C$ , vehicle  $v_j \in \mathcal{N}_i^f$  can be arranged in  $v_i$ 's sequence for multiple times. The purpose of this is for both vehicles to update their decisions (see below). If there are more than one vehicles in  $\mathcal{N}_i^f$  being assigned to the same slot due to Hash collision or a small  $C$ ,  $v_i$  will random pick one to fill the slot.

2) *Candidate Link Setup and Update*: Vehicle  $v_i$  negotiates with its neighbors one by one according to the determined negotiation sequence. Specifically, in each slot, if there is a vehicle  $v_j \in \mathcal{N}_i^f$  is scheduled in that slot,  $v_i$  and  $v_j$  will exchange the link

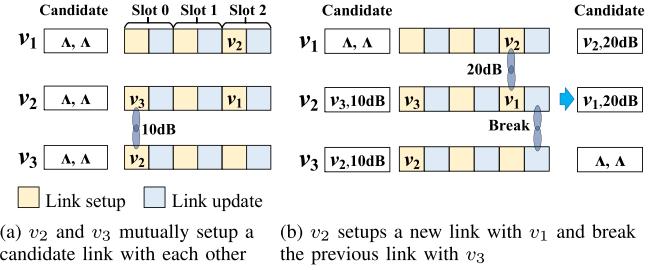


Fig. 5. Illustration of distributed consensual matching, where  $v_2$  has a LOS path with  $v_1$  and  $v_3$ , respectively and  $M = 3, C = 3$ .

quality information of their current communication candidates.<sup>1</sup> Both vehicles will set each other as the new communication candidate if one of the following conditions stands: 1) both  $v_i$  and  $v_j$  have no candidates; 2)  $v_i$  (or  $v_j$ ) has a candidate  $v_l$  but the quality of the link between  $v_i$  and  $v_j$  is better than the candidate link between  $v_i$  (or  $v_j$ ) and  $v_l$ . Otherwise,  $v_i$  and  $v_j$  keep their current candidates unchanged. In addition, for the second condition,  $v_i$  (or  $v_j$ ) will also update with  $v_l$  to inform  $v_l$  that  $v_i$  (or  $v_j$ ) is no longer its candidate. The pseudo code of DCM is shown in Algorithm 2.

For example in Fig. 5(a), in Slot 0, both  $v_2$  and  $v_3$  have no current candidate. After exchange this information, both vehicles record each other as the current candidate and the SNR of the link (e.g., 10 dB) as shown in the left part of Fig. 5(b). In Slot 2, as the quality of the link between  $v_2$  and  $v_1$  (e.g., 20 dB) is better than that of the link between  $v_2$  and  $v_3$ ,  $v_2$  changes its candidate from  $v_3$  to  $v_1$  and informs  $v_3$  with this change during the second half of Slot 2, as shown in the right part of Fig. 5(b).

Although the whole matching process lasts for predefined  $M$  slots, we prove that the matching process converges in finite slots.

*Theorem2: DCM converges in finite slots.*

*Proof:*  $E = \{l_{ij}, i \neq j\}$  is the set of LOS links between a pair of neighboring vehicles  $v_i$  and  $v_j$ . As DCM seeks for better link quality, the best link in  $E$  will be established and will not break after a finite  $m$  negotiation slots since the number of neighbors of a vehicle is finite. Without loss of generality, we assume the best link is  $l_{i,j}$  between  $v_i$  and  $v_j$ . Then we can remove all links related to  $v_i$  and  $v_j$  from  $E$  as  $v_i$  and  $v_j$  is a fixed pair. The above phase will be repeated until  $E = \{\}$  and this is the final state of DCM which can be achieved in a finite number of negotiation slots as  $E$  is a finite set. This concludes the proof.

#### D. Unicast Data Transmission

After distributed consensual matching, a vehicle  $v_i$  has determined which neighbor  $v_j$  is optimal to exchange data with. However,  $v_i$  and  $v_j$  are coarsely aligned with wide beams. To further obtain better data transmission rate,  $v_i$  and  $v_j$  conduct beam refinement to search for the best alignment with the narrowest beams. Specifically, as illustrated in Fig. 6, the number of narrowest beams needed to search on each side is  $s = \lfloor \frac{\theta}{\theta_{\min}} \rfloor + 1$ ,

<sup>1</sup>Both vehicles follow a rule to transmit their information in turn. For example, the vehicle with a larger MAC address does first.

**Algorithm 2:** Procedure of DCM for  $v_i$ .

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```

Input: Constant  $C$ , the number of negotiation slots
        $M$ , identified one-hop neighbor set  $\mathcal{N}_i^f$ 
1 Initialize negotiation set  $\mathcal{N}_i^c \leftarrow \{\}$ , candidate
    $c_i \leftarrow \text{NULL}$ 
2 for  $k \in 0, 1, \dots, M - 1$  do
3    $flag \leftarrow \text{False}$ 
4    $\mathcal{N}_i^c \leftarrow \{\}$ 
5   for  $v_j \in \mathcal{N}_i^f$  do
6     if
        $(H(MAC(v_i)) + H(MAC(v_j))) \% C = k \% C$ 
     then
        $\mathcal{N}_i^c.append(v_j)$ 
7
8   LINKSETUP( $flag, \mathcal{N}_i^c, c_i$ )
9   LINKUPDATE( $flag, c_i$ )
10 Function LINKSETUP ( $flag, \mathcal{N}_i^c, c_i$ )
11   Random select a  $v_j$  from  $\mathcal{N}_i^c$ 
12   //  $q_{i,j}$  is the link quality between  $v_i$  and  $v_j$ 
13   if ( $c_i == c_j == \text{NULL}$ ) or ( $q_{i,j}$  is the best) then
14      $flag \leftarrow \text{True}$ 
15      $c_i \leftarrow v_j$ 
16 Function LINKUPDATE ( $flag, c_i$ )
17   if  $flag == \text{True}$  then
18     Send previous candidate  $v_k$  with break message
19   else
20     if Receive break message from  $c_i$  then
21        $c_i \leftarrow \text{NULL}$ 

```

---

where  $\theta$  is the sector interval as stated in Section III-B2 and  $\theta_{\min}$  is the interval of narrow beams. As  $s$  is usually very small,  $v_i$  and  $v_j$  find the best narrow beam alignment via cross searching. Finally, they exchange a unit of data with the refined narrowest beams. To maintain the optimal link quality on move,  $v_i$  and  $v_j$  will conduct Extended Kalman Filter (EKF) based beam tracking [16] during data transmission.

**IV. PROTOTYPE IMPLEMENTATION**

We implement a 60 GHz prototype testbed consisting of two experiment vehicles to verify the beam pattern model we used in large-scale simulations and the synchronized sector sweep and beam refinement in mmV2V. Specifically, both vehicles are synchronized with COTS GPS modules, which can achieve low synchronization errors of less than 1 ms measured under all urban scenarios in Shanghai city. Each vehicle has a USRP N210 software defined radio [17] equipped with LFTX and LFRX daughterboards, and a Sivers IMA EVK06002 platform [18]. The configuration of the experiment vehicle is illustrated in Fig. 7 and the block diagram of hardware connection is illustrated in Fig. 8, where the USRP N210 is used to process Tx and Rx baseband signals, and the EVK06002 platform is used as the mmWave RF front-end and mounted on the roof of the vehicle.

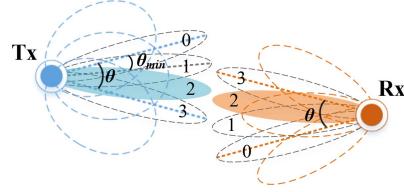


Fig. 6. Illustration of beam refinement, where the optimal narrow beams can be aligned via cross searching.

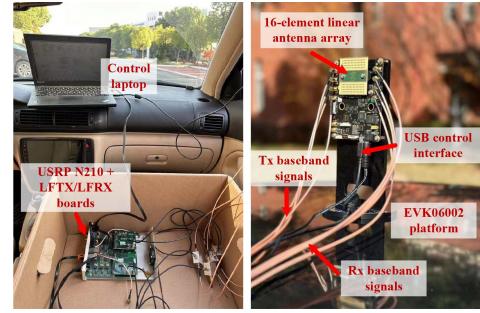


Fig. 7. Configuration of the experiment vehicle in our prototype testbed.

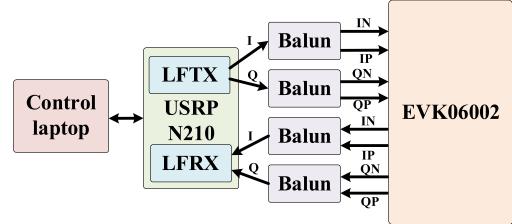


Fig. 8. Block diagram of hardware connection.

The central frequency of our testbed is set to 60.48 GHz. We use a 16-element linear phased array from the EVK06002 platform, where each antenna is equipped with a 6-bit phase-shifter. The predefined codebook of a EVK06002 platform contains 63 beams with main lobes ranging from  $-45^\circ$  to  $+45^\circ$  at angles. The interval of two consecutive sectors  $\theta$  is set to  $15^\circ$ . With our prototype hardware configuration, it takes 8 ms to switch a beam and the duration of a sweep frame is set to 2 ms with the first 1 ms for enduring synchronization errors and the rest 1 ms for data transmission. Therefore, it takes 200 ms and 600 ms to finish one round of neighbor discovery and the whole SND with three neighbor discovery rounds, respectively. As in our experiments vehicles can always find each other at the correct sector and match, we mainly focus on validating the physical layer of mmV2V in our experiments.

First, we verify utilize the beam pattern utilized in our simulations. The beam pattern is based on a 3GPP channel model [19], which defines the antenna gain at orientation  $\gamma$  as

$$g^a(\gamma) = \begin{cases} g^1 10^{-\frac{3}{10} (\frac{|\gamma|}{\omega/2})^2} & |\gamma| < \theta_1 \\ g^2 & \theta_1 < |\gamma| < \pi \end{cases}, \quad (2)$$

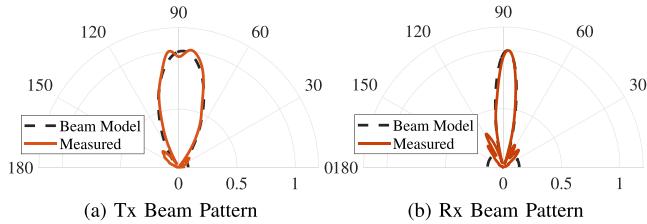


Fig. 9. Beam utilized in synchronized sector sweep.

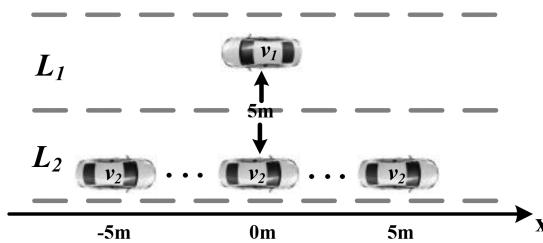


Fig. 10. Illustration of field experiment scenario.

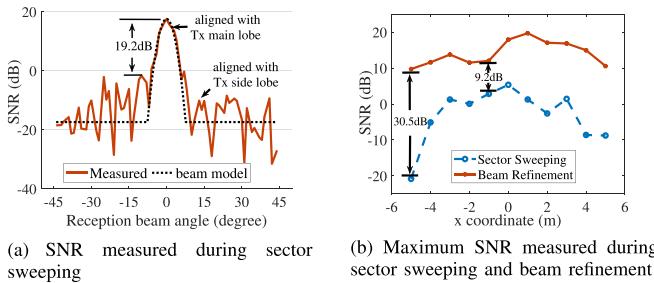


Fig. 11. SNR measured in our field study.

where  $\omega$  is the 3 dB beam width;  $g^1$  and  $g^2$  are the main lobe gain and side lobe gain, respectively; and  $\theta_1 = \omega/2\sqrt{10/3\log_{10}(g^1/g^2)}$  is the boundary of the main lobe and the side lobe. Specifically, we utilize 4 and 8 antennas in the centre of phased array to beamform wide Tx and Rx beams, respectively. To measure the beam pattern, we fix one phased array and rotate the other from  $0^\circ$  to  $180^\circ$  with an interval of  $3^\circ$  and measure the signal strength on each direction. Fig. 9 plots the measured Tx beam pattern and RX beam pattern, the beam pattern of the 3GPP model is also plotted for comparison. It can be seen that the 3GPP beam pattern model can well capture the main characteristics of our linear phased array.

We then verify the synchronized sector sweeping and sensing in outdoor vehicular setting. As illustrated in Fig. 10, we stop both experiment vehicles  $v_1$  (Tx) and  $v_2$  (Rx) at each meter zero location of two adjacent lanes  $L_1$  and  $L_2$ , respectively. The width of each lane is 5 meters. In this setting, vehicles conduct synchronized sector sweeping/sensing accordingly from  $-45^\circ$  to  $+45^\circ$  with an interval of  $1.5^\circ$  using fine beams formed with all 16 antennas. Fig. 11(a) plots the average SNR measured at receiver as a function of the reception beam angle. It can be seen that the SNR reaches the maximum when the reception beam is aligned with the main lobe of the transmission beam

and drops as the reception beam angle increases. In addition, though SNR peaks can be seen when the reception beam aligns with side lobes of the transmission beam, they are about 19 dB lower than the maximum SNR, which means that the receiver can successfully identify the transmitter and the corresponding sector (or beam) ID. Moreover, the dotted black curve plotted in Fig. 11(a) is derived from the adopted beam pattern model.

Due to the hardware limitation, we then verify the synchronized sector sweeping/sensing and the beam refinement schemes with  $v_2$  at different fixed locations. Specifically, as illustrated in Fig. 10, we fix  $v_1$  at meter zero in lane  $L_1$ , and let  $v_2$  moves one meter forward and stops from meter -5 to meter 5 in lane  $L_2$ , respectively. For each location of  $v_2$ ,  $v_1$  first acts as transmitter and  $v_2$  acts as receiver to conduct synchronized sector sweeping/sensing. After that, both vehicle swap their roles and repeat the process. Thereafter,  $v_1$  and  $v_2$  immediately conducts beam refinement with their Rx/Tx roles unchanged, using narrow beams formed with all 16 antennas. The sweeping range is set from  $-5^\circ$  to  $+5^\circ$  of the direction of the identified sector and the sweeping interval  $\theta$  is set to  $5^\circ$ . During the process, we measure the SNR at  $v_1$ . Fig. 11(a) plots the maximum SNR achieved during the synchronized sector sweeping and the beam refinement for each location of  $v_2$ . It can be seen that with beam refinement the link quality can be largely improved, the highest increase of SNR reaches 30.5 dB and even the least increase of SNR reaches 9.2 dB, demonstrating the efficacy of beam refinement.

## V. PERFORMANCE EVALUATION

### A. Methodology

We use VENUS [20], which is a vehicular network simulator supporting microscope transportation simulations, to generate road traffic of different density on a road segment of one kilometer long with three lanes of 5 meters wide on each direction. The speed range on three lanes is 40–60 km/h, 50–70 km/h, and 60–80 km/h, respectively. The simulator calculates the location of each vehicle based on a car-following model and a lane-changing model. In addition, we also evaluate mmV2V on a real-world trace, referred to as the *highD* dataset [21], in which more than 110,500 vehicle are recorded at six locations on German highways with a drone. The *highD* dataset consists of 60 recordings and each recording lasts for about 15 minutes. We randomly pick 5 clips from each recording and each clip lasts for 20 s in our experiments. Since the *highD* dataset only provides vehicle positions with a time granularity of 40 ms, we conduct linear interpolation between two consecutive vehicle positions to achieve vehicle trajectories with a time granularity of 5 ms.

We adopt the standard long-distance path loss model [22] in our simulations, which is formulated as

$$g_{i,j}^l = a \cdot 10 \cdot \log_{10} d + O + 15 \cdot d/1000, \quad (3)$$

where  $d$  is the distance between  $v_i$  and  $v_j$ ;  $a$  represents the path loss exponent;  $O$  is a constant determined by the number of blockers; and the last term is atmospheric attenuation. We adopt the channel and beam pattern models defined in (2). Assume

$v_j$  receives data from  $v_i$ , the SINR measured at  $v_j$  at time  $t$  is calculated as

$$SINR_{i,j}(t) = \frac{p_i(t)g_i^t(t)g_{i,j}^c(t)g_j^r(t)}{N_0B + \sum_{k \in N_j, k \neq i} p_k(t)g_k^t(t)g_{k,j}^c(t)g_j^r(t)} \quad (4)$$

where  $p_i(t) = 28$  dBm is  $v_i$ 's transmission power;  $g_i^a(t)$  and  $g_j^a(t)$  are antenna gain of  $v_i$  and  $v_j$ , respectively;  $N_0 = -174$  dBm/Hz is the Gaussian noise power density; and  $B = 2.16$  GHz is the bandwidth of the channel. We adopt the a set of Modulation and Coding Schemes (MCS) of IEEE 802.11ad protocol [23], i.e., from MCS0 to MCS12, which achieve different data transmission rates up to 4.62 Gbps according to the measured Error Vector Magnitude (EVM) calculated as  $SINR^{-1/2}$  [24].

The duration of one beacon is set to 20 ms. The delay for forming a new beam is 1  $\mu$ s [23] and the narrowest beam width is set to 10°. We use the 802.11ad Sector level Sweep (SSW) frame as our sweep frame when doing synchronized sector sweeping/sensing, which takes 15  $\mu$ s [25]. To scan 24 sectors, one round of SND takes 0.8 ms. According to 802.11ad aControlPHYPreambleLength and aSIFSTime, the beacon delivery delay and the beacon processing delay are set to 4.3  $\mu$ s and 3  $\mu$ s, respectively. One negotiation slot in DCM takes 30  $\mu$ s with 15  $\mu$ s for candidate link setup and 15  $\mu$ s for link update. Considering about channel dynamics caused by vehicle movement, vehicle position and link quality is updated every 5 ms which is within the coherence time [26] in our simulations.

We consider a typical application of 3GPP video data sharing for assisted and improved automated driving (VaD) [27], referred to as *high resolution image exchange* (HRIE) task, which requires 100-700 Mbps data rate for exchanging video data (with resolution 1280 x 720, 24 b per pixel, 30 fps).

We compare our neighbor discovery scheme with the Random Neighbor Discovery (RND) scheme [28], and compare our matching scheme with two schemes, i.e., the Simple Distributed Weighted Matching (SDWM) scheme [29] and a Random Matching (RM) scheme:

- *RND*: In this neighbor discovery scheme, each vehicle randomly selects a role (e.g., Tx or Rx) and a direction to cast a Tx or Rx beam. When a Tx beam is aligned with a Rx beam, the corresponding Tx vehicle is identified by the Rx vehicle.
- *SDWM*: In this matching scheme, vehicles have a second broadcasting channel to exchange information to all its neighbors. Each vehicle chooses the unmatched neighbor that has the best link quality with itself. A pair of vehicles are matched if they choose each other and they broadcast this information to their own neighbors.
- *RM*: In this matching scheme, each vehicle randomly selects a neighbor. A pair of vehicles are matched if they are both unmatched before and choose each other.

We compare the overall performance of mmV2V with the following two schemes:

- *Random OHM Protocol (ROP)*: ROP adopts the RND and RM schemes for neighbor discovery and matching, respectively.

- *IEEE 802.11ad*: The PBSS (Personal Basic Service Set) of IEEE 802.11ad, where stations can communicate with each other directly, can be utilized for solving the OHM problem. In addition, a central unit called PCP (PBSS control point) is in charge of communication scheduling in a PBSS [30]. In our experiments, beacon length are set to be 20 ms and the probability that one vehicle chooses to be PCP is set to 30%. After receiving several beacons sent by PCPs, a vehicle will randomly choose a PBSS to join in.

We consider the following three metrics to evaluate the performance of all candidate schemes:

- *OHM Completion Ratio (OCR)*: Let  $\mathcal{N}_i^C$  denote the set of neighboring vehicles that have completed data exchange with  $v_i$ . OCR of  $v_i$  is calculated as  $\frac{|\mathcal{N}_i^C|}{|\mathcal{N}_i|}$ .
- *Average of Transmission Progress (ATP)*: Let  $D_{i,j}$  denote the amount of data that have exchanged between  $v_i$  and  $v_j$ , and let  $\eta_{i,j}$  denote the transmission progress between  $v_i$  and  $v_j$ , i.e.,  $\eta_{i,j} = \frac{D_{i,j}}{D}$ . ATP of  $v_i$ , denoted as  $\bar{\eta}_i$ , reflects the average progress of data exchange between  $v_i$  and its neighbors, calculated as  $\bar{\eta}_i = \frac{1}{|\mathcal{N}_i|} \sum_{v_j \in \mathcal{N}_i} \eta_{i,j}$ .
- *Deviation of Transmission Progress (DTP)*: DTP of  $v_i$  is calculated as  $\sqrt{\frac{\sum_{v_j \in \mathcal{N}_i} |\eta_{i,j} - \bar{\eta}_i|^2}{|\mathcal{N}_i|}}$ , which measures the fairness of communication opportunities among its neighbors. A small DTP value means that  $v_i$  tends to exchange data with its neighbors equally.

## B. Parameter Configuration

1) *Effect of Beam Width  $\alpha$  and  $\beta$* : In this experiment, we examine the effect of Tx and Rx beam width ( $\alpha$  and  $\beta$ , respectively) to the performance of neighbor discovery. In line with the 802.11ad control message requirement that EVM is no larger than -6 dB, we consider a vehicle  $v_j$  that has a LOS path of no less than 6 dB SNR with  $v_i$  within 100 meters as a neighbor of  $v_i$ . We generate various traffic on the simulated 6-lane dual-direction road segment, with vehicle density varying from 15 vehicles per lane per kilometer (vpl) to 30 vpl with an interval of 5 vpl. In each traffic setting, we respectively vary  $\alpha$  and  $\beta$  from 10° to 60° with an interval of 2° and set the sweeping and sensing interval  $\theta = \frac{\alpha}{2}$  for one hundred times. We calculate the probability that a neighbor can be successfully identified with the SND protocol.

Fig. 12 plots the neighbor discovery probability under traffic scenarios, where the solid curves in each subfigure present the probability of 95% obtained under different combination of  $\alpha$  and  $\beta$ . It can be seen that with narrow Tx and Rx beams, it is guaranteed to identify all neighbors in all traffic scenarios. However, the number of sector  $S$  is determined by Tx beam width and it will also take longer time using narrower Tx beams in one discovery round. It is therefore a tradeoff between neighbor discovery efficiency and accuracy. We set  $\alpha = 30^\circ$  and  $\beta = 12^\circ$  in the following experiments so that 95% neighbors can be efficiently discovered in all traffic conditions meanwhile the number of sectors is the minimum. In addition, it can also be seen that in general, the probability increases as the vehicle density

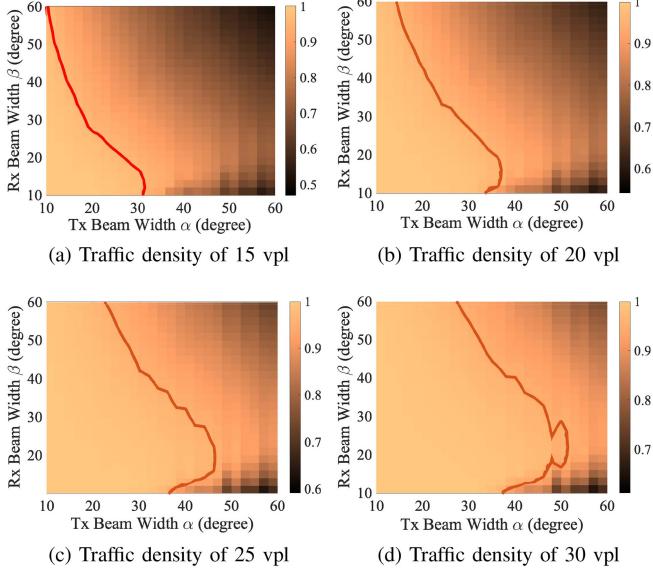


Fig. 12. Effect of Tx and Rx beam width in identifying neighbors under traffic of different densities.

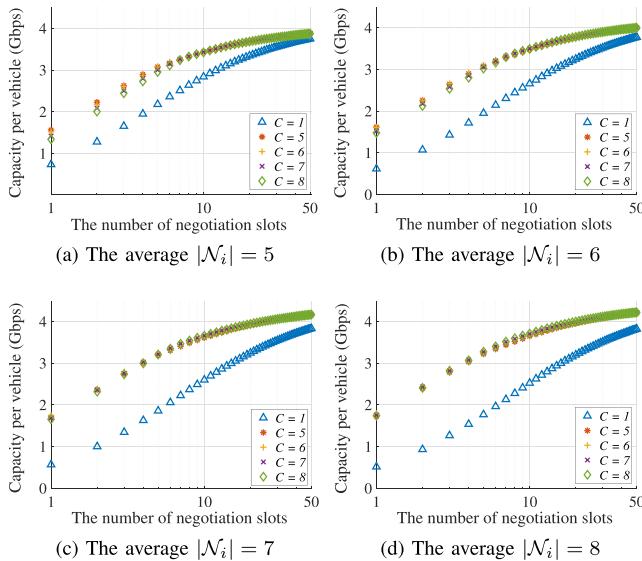


Fig. 13. Capability of the constant  $C$  to separate neighbors in different negotiation slots.

increases. It is because when vehicles are dense, the distance between a pair of neighbors is also short, which reduces the path loss.

2) *Effect of the Constant  $C$ :* In this experiment, we explore the capability of the constant  $C$  (and together the hash function) to separate neighbors in different negotiation slots. Similarly, we generate traffic of different densities. In each traffic setting, we vary the value of  $C$  from one to twelve with an interval of one, and calculate the average communication capacity per vehicle over the whole network after a certain number of negotiation slots.

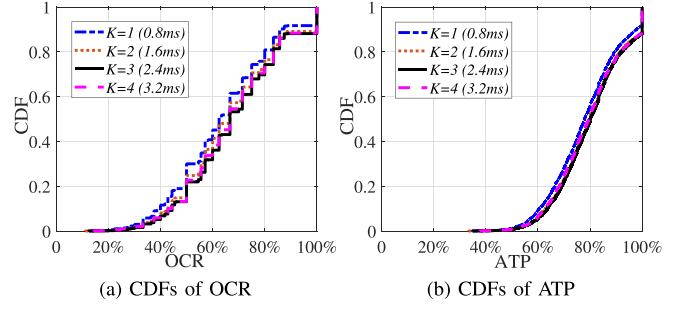


Fig. 14. Effect of the number of discovery trials  $K$ .

Fig. 13 plots the capacity per vehicle as a function of the number of negotiation slots under four different traffic scenarios.

The average number of neighbor  $N_i$  of the generated traffic is five, six, seven, and eight, respectively. It can be seen that the best choice of  $C$  varies when  $|N_i|$  varies. When  $C$  is smaller than  $|N_i|$ , it requires more negotiation slots before a high capacity is achieved. The reason is that given a small  $C$ , a vehicle  $v_i$  would likely cast more than one neighbors into the same negotiation slot and randomly pick one to negotiate, which means that the negotiation decisions could be inconsistent among vehicles and hurts the matching efficiency per slot. This can be remedied by increasing the number of negotiation slots. On the contrary, a large  $C$  would waste many negotiation slot unassigned. As a result, it would be ideal if  $C = |N_i|$  as seen from Fig. 13. Since  $|N_i|$  varies,  $C = 7$  is a good practice.

3) *Effect of the Number of Neighbor Discovery Rounds  $K$ :* In this experiment, we study the effect of the number of neighbor discovery rounds  $K$ . We generate traffic of different densities as set in above experiments and set the number of negotiation slots  $M$  equal to 32. In each traffic setting, we vary  $k$  from one to four with an interval of one and repeat the experiment for one hundred times.

Fig. 14 plots the cumulative density function (CDF) of OCR and ATP, respectively, obtained with different neighbor discovery rounds when the traffic density is 20 vpl. It can be seen from the figure that when  $K = 3$  mmV2V achieves the best performance. The reason is that the SND protocol is a probabilistic neighbor discovery method. Using more discovery rounds will find more neighbors but also spends more time. A good tradeoff is  $K = 3$ , according to the observation in Section III-B, the expected ratio of identified neighbors in a single beacon is 87.5% and time overhead of SND is 2.4 ms in one beacon. Similar results are seen in other traffic scenarios.

4) *Effect of the Number of Negotiation Slots  $M$ :* We explore the effect of the number of negotiation slots  $M$  under different traffic densities in this experiment. We generate traffic of different densities as set in above experiments and calculate the average throughput per vehicle in the whole network with  $M$  varying from 1 to 70 with an interval of 1. We repeat experiments for 100 times and calculate the average results under each traffic density.

Fig. 15 plots the average throughput per vehicle as a function of  $M$ . It can be seen that a higher traffic density leads to a higher

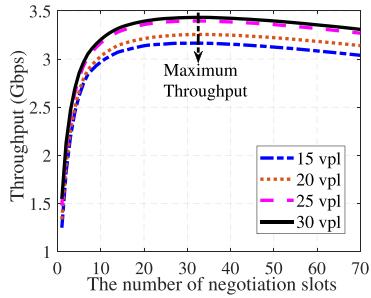


Fig. 15. Performance of DCM under different traffic densities.

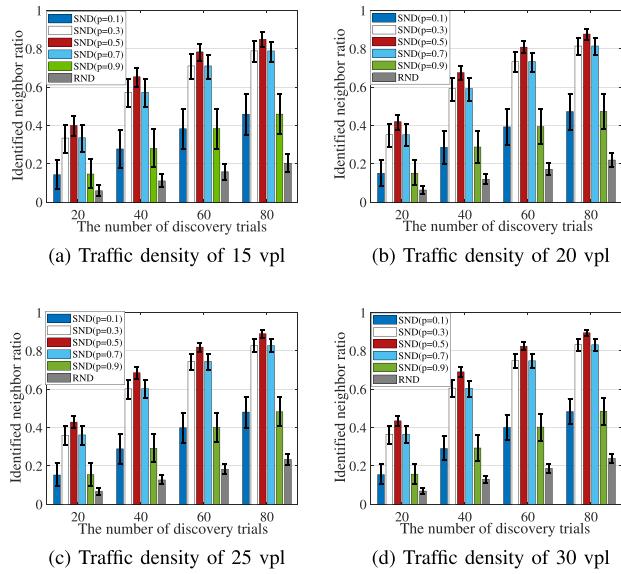


Fig. 16. Comparison of different neighbor discovery schemes.

throughput per vehicle as the average link quality gets better with a shorter distance between vehicles. It can also be seen that the average throughput per vehicle reaches the maximum at certain  $M$ . It is because an insufficient  $M$  will lead to sub-optimal matching results whereas an overwhelming  $M$  wastes more time for data transmission. As a result, the maximum throughput is achieved when  $M$  equals to from 31 to 33 when the traffic density varies from 15 to 30 vpl. We take  $M = 32$  as a general setting for different traffic densities.

### C. Performance Comparison

1) *Comparison of Different Neighbor Discovery Schemes:* We first compare our SND protocol with RND in different traffic conditions. As RND does not organize neighbor discovery into synchronized rounds, we compare both schemes with the same number of individual Tx or Rx beamforming trials. In each traffic setting, we vary the number of neighbor discovery trials from 20 to 80 with an interval of 20. For SND, we vary the probability  $p$  in role selection from 0.1 to 0.9 with an interval of 0.2. We calculate the ratio of identified neighbors and repeat the experiment for one hundred times. Fig. 16 plots the ratio of identified neighbors

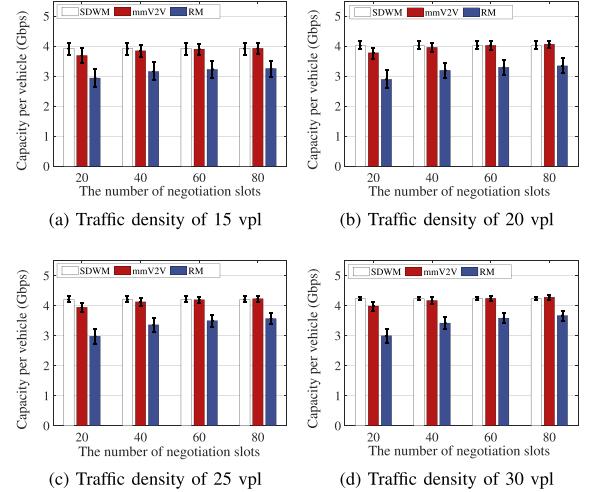


Fig. 17. Comparison of different matching schemes.

as the function of neighbor discovery trials. It can be seen that for all traffic conditions, SND achieves the best performance when  $p = 0.5$  which is consistent with our observation in Section III-B. Moreover, it can also be seen that SND can discover vast majority of neighbors after a moderate number of discovery trials and outwits RND by over three times.

2) *Comparison of Different Matching Schemes:* We compared our DCM protocol with other two matching algorithms, i.e., RM and SDWM. In each traffic setting, we vary the number of negotiation slots  $M$  from 20 to 80 with an interval of 20. We calculate the average communication capacity per vehicle over the whole network after a certain number of negotiation slots and repeat the experiment for one hundred times. Fig. 17 plots the communication capacity per vehicle as the function of the number of negotiation slots. It can be seen that DCM converges faster and achieves higher capacity per vehicle than RM in all traffic scenarios. Moreover, DCM can achieve similar convergence speed and capacity per vehicle compared with SDWM where vehicles have an additional broadcasting side channel.

3) *Comparison of Different Overall Protocols:* Finally, we compare mmV2V as a whole OHM protocol with ROP and IEEE 802.11ad in different traffic scenarios. In each setting, we set  $\alpha = 30^\circ$ ,  $\beta = 12^\circ$ ,  $\theta = 15^\circ$ ,  $C = 7$ ,  $K = 3$ , and  $M = 32$ .

First, we compare three protocols in different traffic densities. In each traffic setting, vehicle performs a 200 Mbps HRIE task, we vary traffic density from 15 to 30 vpl with an interval of 5. Fig. 18 plots three metrics as functions of the traffic density. It can be seen that mmV2V can achieve a high average OCR of 74.7% when the traffic density is 15 vpl (i.e., the distance  $D$  between a pair of vehicle on a lane is about 66 meters). In contrast, the average OCR achieved by ROP and 802.11ad at the same traffic density are 31.9% and 46.5%, respectively. Even when the traffic density reaches 30 vpl ( $D = 33$  meters), the average OCR achieved by mmV2V is 56.4%, comparing to 22.7% and 19.2% obtained by ROP and 802.11ad, respectively.

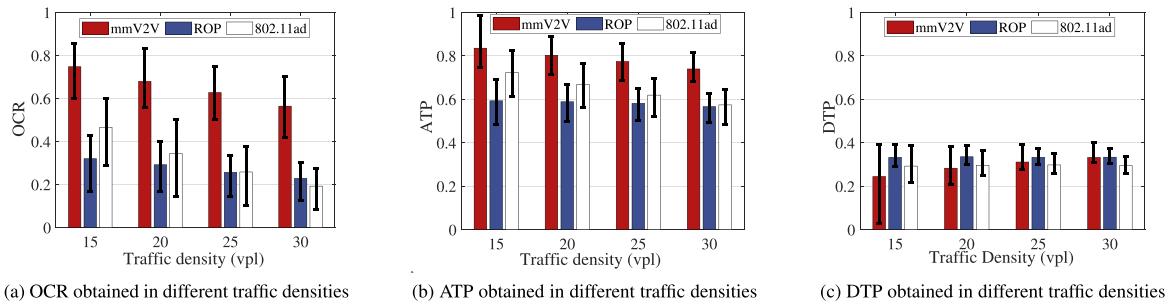


Fig. 18. Comparison of different protocols in different traffic densities.

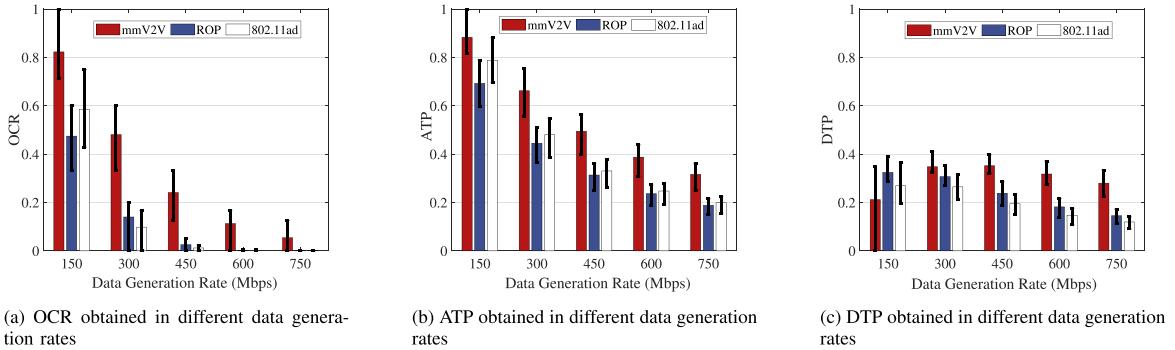


Fig. 19. Comparison of different protocols in different data generation rates.

Furthermore, from Fig. 18(c), it can be seen that when traffic density and the corresponding workload is low, mmV2V can complete the HRIE task among most vehicles, leading to small DTP values. When the traffic density is high, mmV2V prefers to complete data exchange between vehicles with better link quality, resulting a higher DTP.

Second, we compare mmV2V with ROP and IEEE 802.11ad in different sensory data generation rates. In each setting, we set vehicle density to 20 vpl and vary sensory data generation rate of each vehicle from 150 Mbps to 750 Mbps with an interval of 150 Mbps.

Fig. 19 plots three metrics as functions of the data generation rate. It can be seen that mmV2V achieves better performance than two other protocols at all sensory data generation rates. When the sensory data generation rate is 150 Mbps, mmV2V can achieve a high average OCR of 82.3% while the average OCR achieved by ROP and 802.11ad at the same data generation rate are 47.4% and 58.6%, respectively. Even when the sensory data generation rate of each vehicle reaches 750 Mbps, mmV2V can still achieve an average ATP of 31.6%, while comparing ROP and 802.11ad can only achieve an average ATP of 18.8% and 19.9%, respectively. Furthermore, Fig. 19(c) demonstrates that when sensory data generation rate of each vehicle is low which means workload of the whole network is low, mmV2V can complete most of data exchange tasks between vehicles, leading to small DTP values.

Finally, we evaluate mmV2V and other two protocols on the highD dataset. Similarly, we vary the sensory data generation

rate in the same way as above. Fig. 20 plots three metrics as functions of the data generation rate. It can be seen that mmV2V can also achieve better performance than two other protocols. When the sensory data generation rate is 150 Mbps, mmV2V can achieve a average OCR of 71.3% while the average OCR achieved by ROP and 802.11ad at the same data generation rate are 35.4% and 49.2%, respectively. When the sensory data generation rate reaches up to 750 Mbps, mmV2V can still achieve a high ATP of 30.4%, comparing to 15.9% and 19.7% obtained by ROP and 802.11ad, respectively.

## VI. DISCUSSION

In this section, we discuss issues that mmV2V may encounter in practice.

*Protocol efficiency and feasibility:* The overhead of mmV2V mainly stems from the SND and the DCM schemes. With COTS mmWave hardware, the SND with three rounds of neighbor discovery takes  $0.8 \times 3 = 2.4$  ms and the DCM with 32 negotiation slots takes  $0.03 \times 32 = 1$  ms. Therefore, on one hand, long beacons would have high bandwidth utility. On the other hand, it is also critical for mmV2V to deal with fast movement of vehicles. Consistent neighbor distribution calls for short beacons. As a result, there is a tradeoff between the protocol efficiency and feasibility. We take beacons of 20 ms as an appropriate configuration. For instance, in the worst case where two vehicles located in adjacent lanes of 5 meters wide move in the opposite directions, the maximum relative speed that

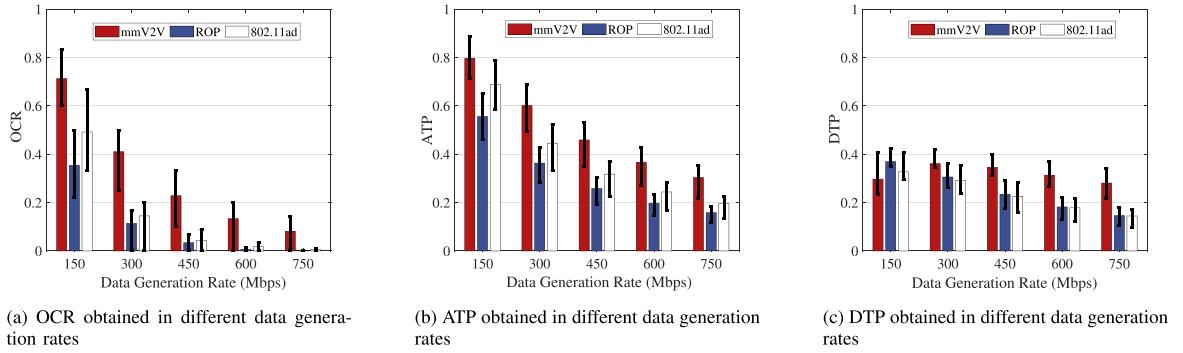


Fig. 20. Comparison of different protocols on the highD trace.

will not lead to change of best beam pair in a beacon reaches 233 km/h. Meanwhile, beacons of 20 ms can achieve a relative high bandwidth utility of 83% (i.e., 16.6 ms is used for data transmission).

*Probability of message collisions:* Based on the SND design and the characteristic of highly directional communication of mmWave, all Tx vehicles will transmit sweep frames to the same sector at same time so that message collisions can be mostly avoided. Moreover, angle range of one sector in mmV2V is small, e.g.,  $15^\circ$ , making the situation where two vehicles co-locate in the same sector is rare. In case two vehicles  $v_i$  and  $v_j$  co-locate in the same sector, message collisions happen only when  $v_i$  and  $v_j$  both select the same role and a third vehicle  $v_k$  in the same sector selects another role. The probability of occurring of this situation is 25% in one round of neighbor discovery. When the number of neighbor discovery rounds  $K$  equals to 3, the probability of message collision occurring in the SND is as low as  $25\%^3 = 1.6\%$ .

*Effect of channel dynamics:* Channel dynamics in traffic scenarios are mostly caused by Doppler Effect. The Doppler frequency shift  $f_D$  can be calculated as  $\frac{v \cos \alpha}{\lambda}$  where  $v$  is the relative speed between two vehicles,  $\alpha$  is the angle of arrival and  $\lambda$  is the carrier wavelength. When the relative speed is 60 km/h and the angle of arrival is  $10^\circ$ ,  $f_D = 3.28\text{KHZ}$ .

The coherence time  $T_c$  is defined as the time at which the channel correlation decreases to a predefined value  $R$  and the channel can be treated as unchanged during coherence time.  $T_c$  can be calculated as  $\frac{\sqrt{1/R^4 - 1}}{2\pi f_D \theta^2}$  [26] where  $\theta$  is the beam width of receiver which is  $10^\circ$  after beam refinement. When  $R = 0.5$  and  $f_D = 3.28\text{KHZ}$ , it can be calculated that  $T_c = 6\text{ms}$ . In our experiments, vehicle positions and link quality are updated every 5 ms which meets the requirement of coherence time.

## VII. RELATED WORK

*Traditional mmWave Networks:* Beam alignment is a classic topic in researches about mmWave networks [31], [32], [33], [34], [35]. Hassanieh et al. [31] utilized multi-armed beams and proposed a hash-based algorithm to decrease the complexity of beam searching. Their result demonstrated that the best beam can be founded in a logarithmic number of measurements. Hashemi et al. [36] modeled the beam alignment problem as an online stochastic optimization problem and utilized a contextual MAB

model to solve it. Their algorithm is proved to be asymptotically optimal. Haider et al. [34] calculated the best beam direction on device by tracking indicator LEDs on Wireless APs and no beam training is required. These beam alignment algorithms mainly focus on beam alignment between two nodes.

Plenty of research is done to increase the throughput and robustness of the communication in mmWave networks. Jog et al. [11] proposed a protocol called BounceNet which conduct many-to-many beam alignment between multiple APs and clients and calculate a communication combination with minimum interference. Zhang et al. [12] proposes a protocol called mmChoir to tackle the problem of blockage occurring in mmWave networks by letting multiple APs transmit to the clients simultaneously. Wei et al. [37] proposed a 60 GHz network architecture called Pia which employs pose information on mobile devices, together with a multi-AP network architecture, to achieve seamless coverage in mmWave networks. Experiment results show that BounceNet, mmChoir and Pia can increase the performance of mmWave network. However, all of these protocols are all designed for indoor scenarios and requires centralized APs.

*mmWave communication in VANET:* Some works utilize side-channel information to help beam alignment in VANET. Va et al. [38] utilized GPS information to aid beam prediction. Gonzalez-Prelcic et al. [39] utilized the channel information of mmWave radar to help conduct beam alignment. However these works only focus on beam alignment in VANET.

Wang et al. [2] deployed an experimental testbed consisting of one vehicle and four RSUs to enable microscopic investigation of the channel and the V2R link. From extensive measurement, they found that beam management can be handled easily if the codebook is properly designed. Moreover, highly effective spatial multiplexing can be realized with aligned transmission and reception beams. However, their work only investigates the characteristics of mmWave links in vehicular environments. Kim et al. [10] placed commercial 802.11ad products on two vehicles and conducted real-world experiments to evaluate the performance of 802.11ad in V2V communications, their results demonstrated that link quality is mainly effected by vehicle speed and distance between vehicles. However, their work only investigates the data transmission between two vehicles.

The most relevant work to our scheme is the matching scheme proposed by Perfecto et al. [40]. This work proposed a distributed

association and beam alignment scheme in mmWave VANETs, where vehicles are matched based on a utility function. However, this work mainly considers the matching strategy without considering how to efficiently schedule vehicles in a distributed network setting.

### VIII. CONCLUSION

In this work, a fully distributed OHM scheme in vehicular networks, called mmV2V, has been proposed. In mmV2V, vehicles can efficiently discover their one-hop neighbors with directional beams. Moreover, individual vehicles can collectively make a communication schedule to achieve appealing network throughput. We have implemented a 60 GHz testbed to verify the feasibility of mmV2V. From our implementation, mmV2V is a lightweight and fully distributed scheme, requiring the minimal hardware. We have conducted extensive simulations and the results demonstrate that mmV2V can achieve a high completion ratio in rigid OHM tasks under various traffic conditions.

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