



# Invatare automata in arta vizuala

Clasificarea Imaginilor.  
Optimizare.

Curs 2

# Descrierea problemei



```
[[[ 78 75 66]
[ 77 74 65]
[ 72 69 62]
...,
[255 255 255]
[255 255 255]
[255 255 255]]]

[[[ 69 69 59]
[ 69 69 59]
[ 65 65 57]
...,
[255 255 255]
[255 255 255]
[255 255 255]]]

[[[ 68 68 58]
[ 69 69 59]
[ 65 65 57]
...,
[255 255 255]
[255 255 255]
[255 255 255]]]

[[[114 106 93]
[117 109 96]
[119 111 98]
...,
[134 139 133]
[134 139 133]
[134 139 133]]]

[[[119 111 98]
[121 113 100]
[122 114 101]
...,
[135 140 134]
[135 140 134]
[135 140 134]]]

[[[131 123 110]
[125 117 104]
[118 110 97]
...,
[135 140 134]
[134 139 133]
[134 139 133]]]
```

Probabilitati peste clase  
discrete: catel, pisica,  
soarece ...



catel	0.2
tigru	0.3
pisica	0.4
soarece	0.1

Raspuns:  
pisica

# Dificultati



Deformare



Ocluzie



Iluminare



Camuflaj



Varietate

- Nu avem o solutie programatica (if magic then cat else dog )
- Imaginile sunt matrici de numere (pixeli  $[0, 255]$ )
- Orice schimbare de orientare schimba complet valorile acesteia

# Abordarea parametrica

**$W$  sau  $\theta$  = parametrii [parameters, weights]**

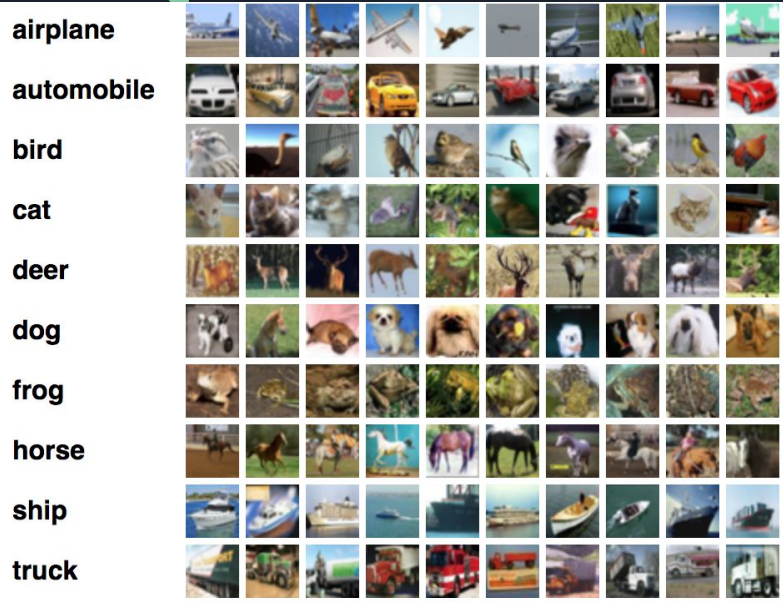


Vector 32x32x3  
(3072) elemente

$f(x; w)$

airplane	0.08
automobile	0.07
bird	0.04
cat	0.3
deer	0.06
dog	0.1
frog	0.09
horse	0.2
ship	0.04
truck	0.02

# Algoritmi de invatare din date



**Dataset** - perechi [imagine, eticheta]

50,000 imagini - antrenare [32x32x3]

10,000 imagini - evaluare

## Abordare

- Algoritmul de clasificare = **functie**:
  - $f(\text{imagine}) = [p_0, p_1, p_2 \dots p_n]$
- Aproximam functia cu niste parametrii
  - $f(\text{imagine}; w) = [p_0, p_1, \dots p_n]$
- **Invatam** parametrii  $w$  din imaginile de antrenare
- **Evaluam** pe imaginile de evaluare

antrenare

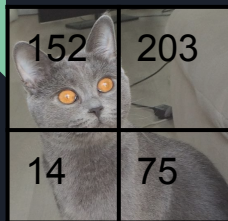
evaluare

antrenare

validare

evaluare

# Perceptronul



$$f(x; \mathbf{w}, \mathbf{b}) = \mathbf{W} * \mathbf{X} + \mathbf{b}$$

clasa	scor
pisica/not_pusica	123

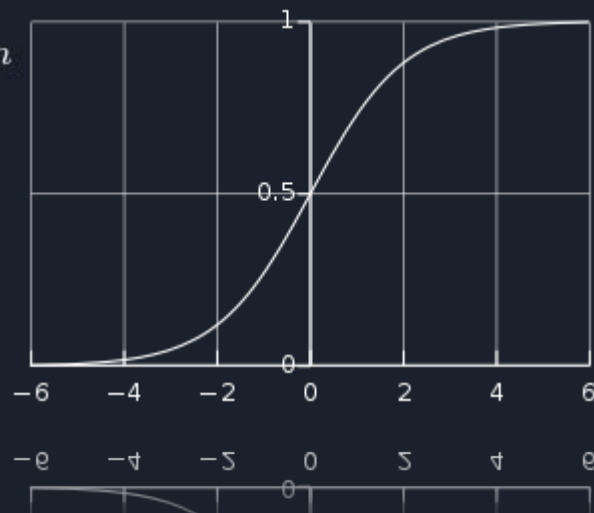
Se da o imagine  $x$ , vrem sa aflam  $\hat{y} = P(y = 1|x), x \in \mathbf{R}^n$

$$0 < \hat{y} \leq 1$$

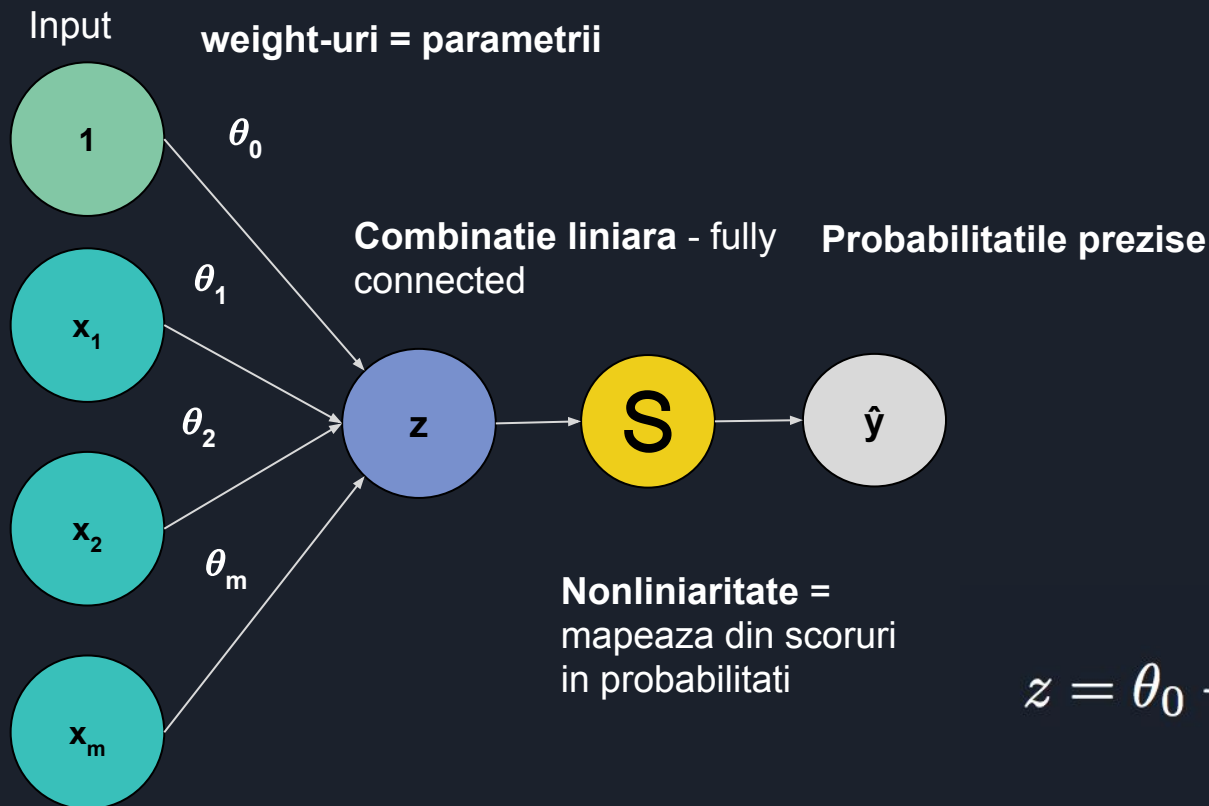
$$Scor = W^T x + b$$

$$\hat{y} = \sigma(W^T x + b)$$

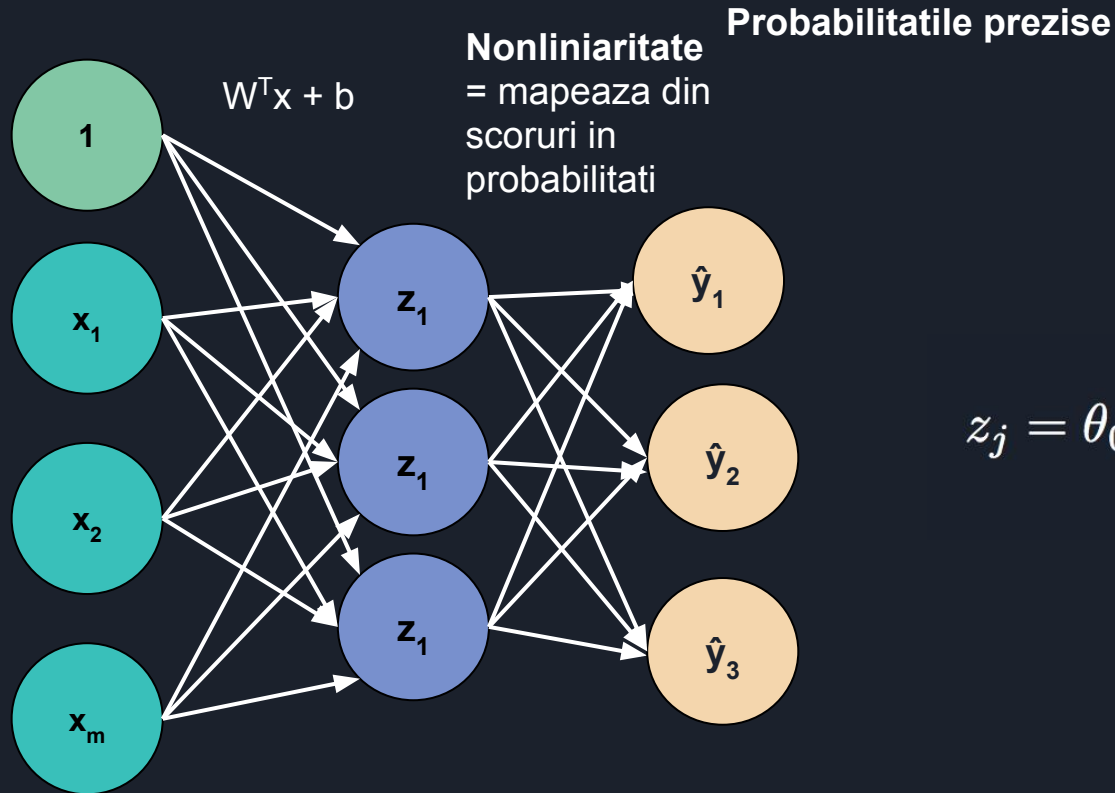
$$\text{Functia sigmoid } \sigma(z) = \frac{1}{1+e^{-z}}$$



# Perceptronul



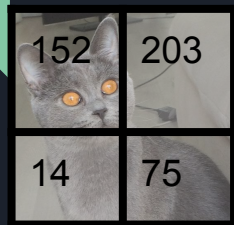
# Perceptron multi-iesire



$$z_j = \theta_{0,j} + \sum_{i=1}^m x_i \theta_{i,j}$$



# Clasificare liniara



$$f(x; w, b) = W * X + b$$

clasa	scor	probabilitate
caine	-54.7	6.05956038e-78
pisica	123.1	1.00000000e+00
soarece	31.05	1.05485543e-40

Diagram illustrating the linear classification calculation:

**W** (Weight matrix):

0.2	-0.5	0.1	0.2
0.4	0.3	0.1	0.0
0.0	0.25	0.2	-0.3

**X** (Input vector):

152
203
14
75

**b** (Bias vector):

0.01
0.03
0.09
0.02

**Scor** (Score vector):

-54.7
123.1
31.05

The calculation is shown as:  $W * X + b = \text{Scor}$

# Multinomial Logistic regression

- **Scorurile = log-probabilitati normalize**
- Generalizare de la scoruri cu doua clase - functia sigmoid (cat-not\_cat)
- **Softmax**  $P(Y = k|X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}}$
- $s_i$  = componenta i a scorurilor (scorul clasei j)

```
import numpy as np

scores = [3.0, 1.0, 0.2]

def softmax(x):
    """Compute softmax values for each sets of scores in x."""
    return np.exp(x) / np.sum(np.exp(x), axis=0)

print(softmax(scores))

[ 0.8360188  0.11314284  0.05083836]
```

```
import numpy as np

scores = [3.0, 1.0, 0.2]

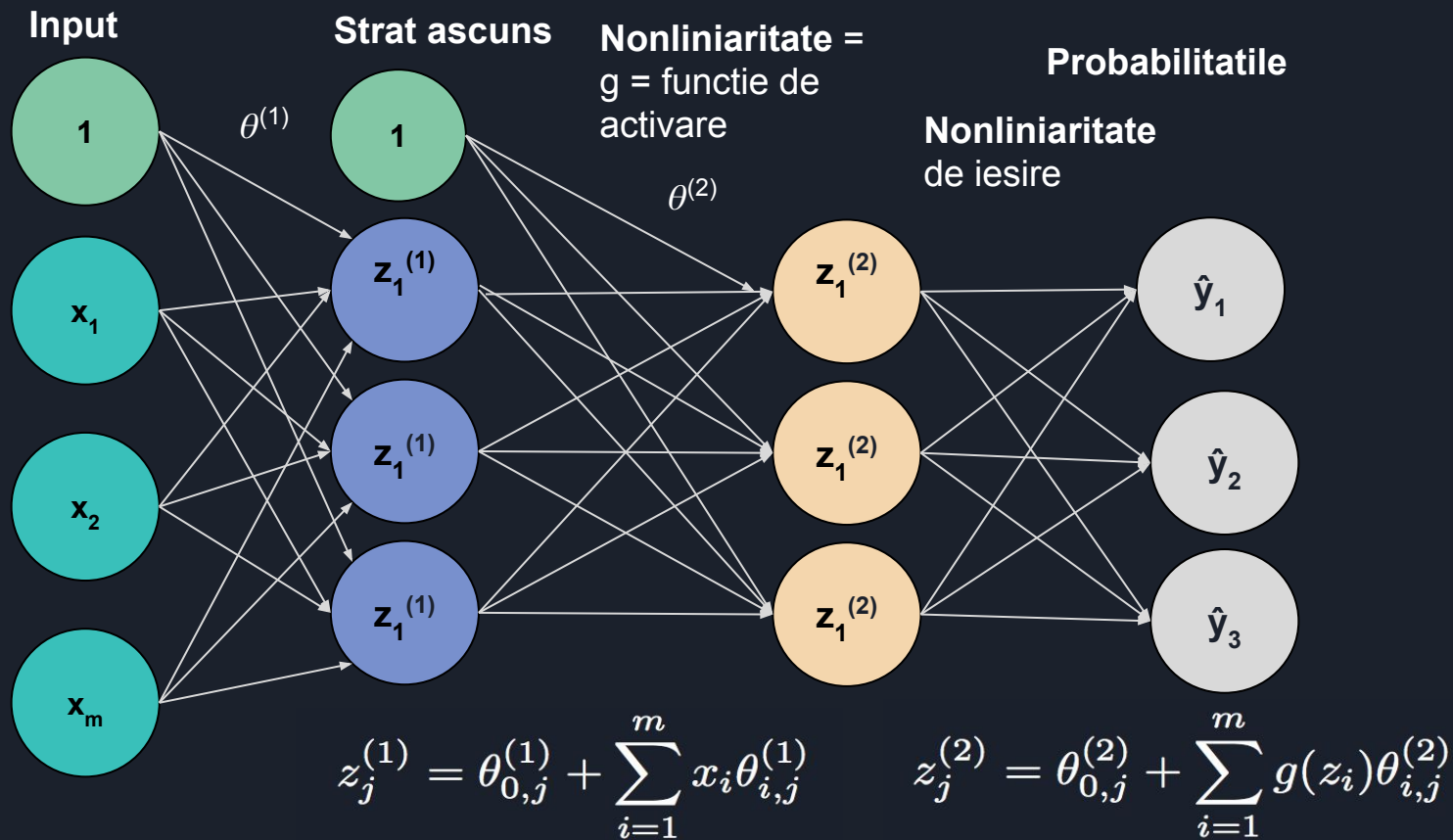
def softmax(x):
    """Compute softmax values for each sets of scores in x."""
    e_x = np.exp(x - np.max(x))
    return e_x / e_x.sum()

print(softmax(scores))

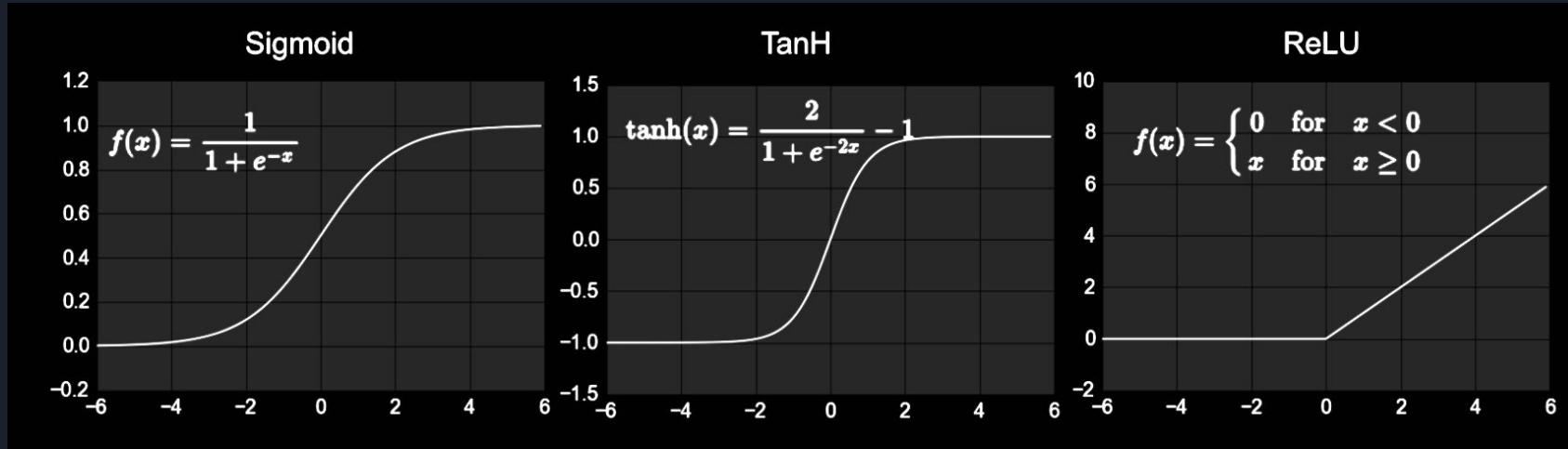
[ 0.8360188  0.11314284  0.05083836]
```

Numerically stable

# Retea cu un singur nivel

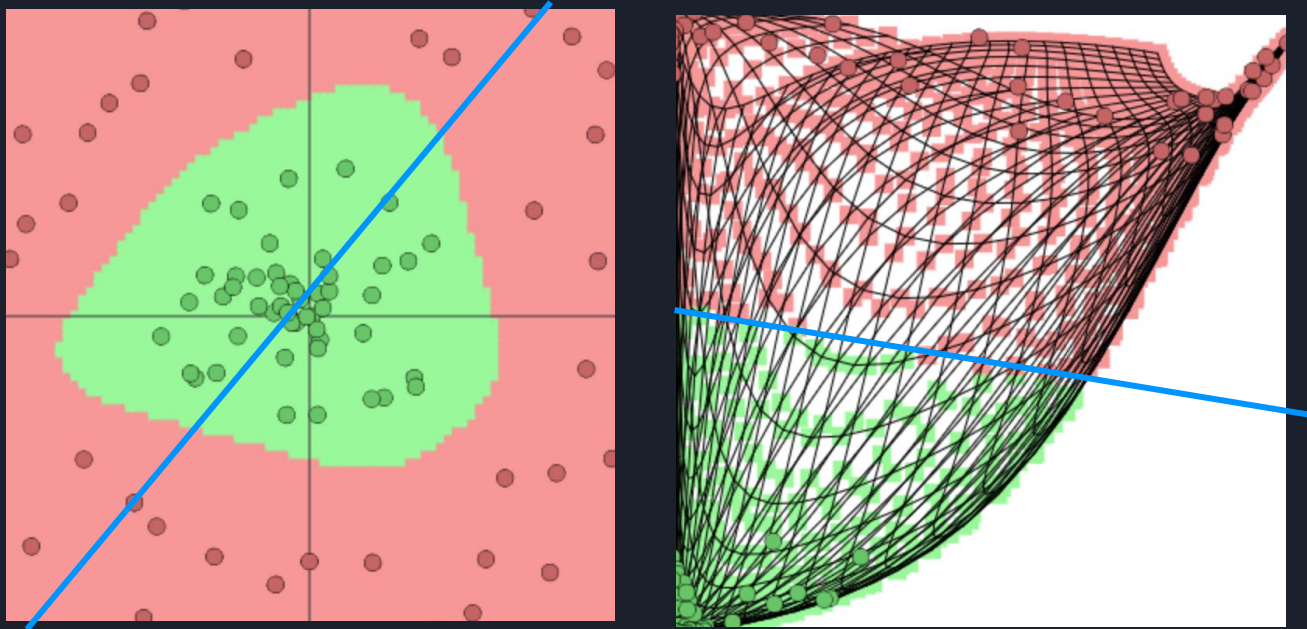


# Functii de activare



$$f'(x) = f(x)(1 - f(x)) \quad f'(x) = 1 - f(x)^2 \quad f'(x) = \begin{cases} 1, & x > 0 \\ 0, & \text{otherwise} \end{cases}$$

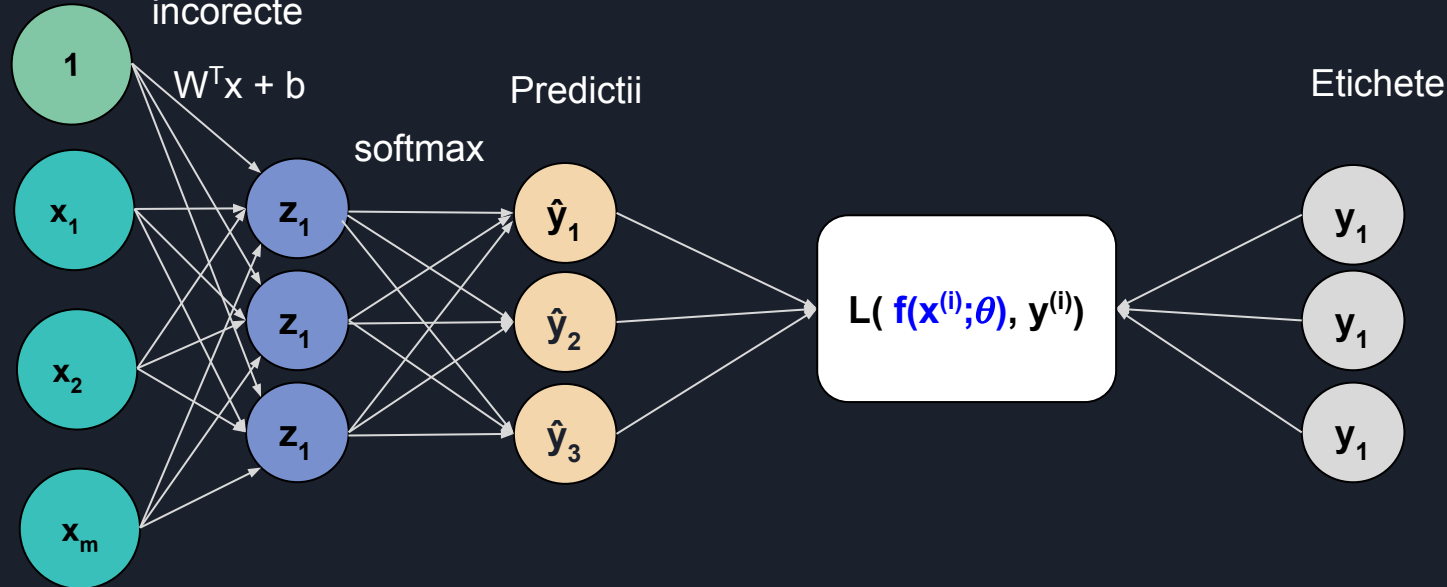
# Importanta functiilor de activare



- Functiile de activare liniare separa planul in doua **hiperplane** pentru clasificare
- Cateodata feature-urile **nu sunt liniar separabile**
- Avem nevoie de o **remapare** intr-un alt spatiu in care acestea pot fi separate de un hiperplan

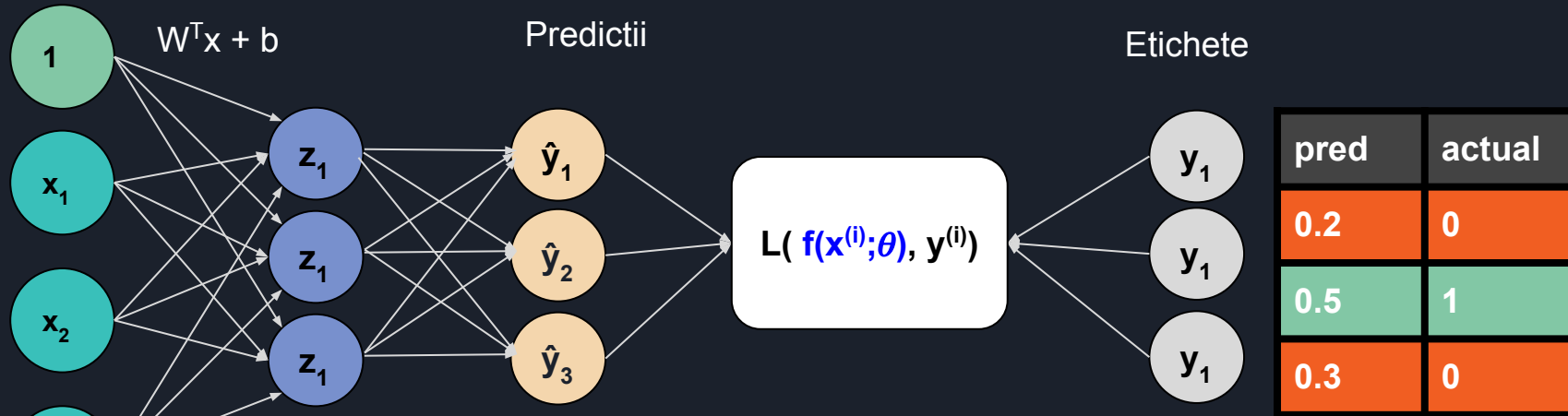
# Masurarea costului

- Avem nevoie de o **masura pentru cat de bun este un clasificator**
- Acem un dataset de exemple  $\{x_i, y_i\}_{i=1}^N$ , vrem sa calculam  $\hat{y}_i \cong y_i$
- $\hat{y} = \sigma(w^*x + b)$
- **Funcția de cost** masoara costul pe care trebuie sa-l platim pentru predictii incorecte



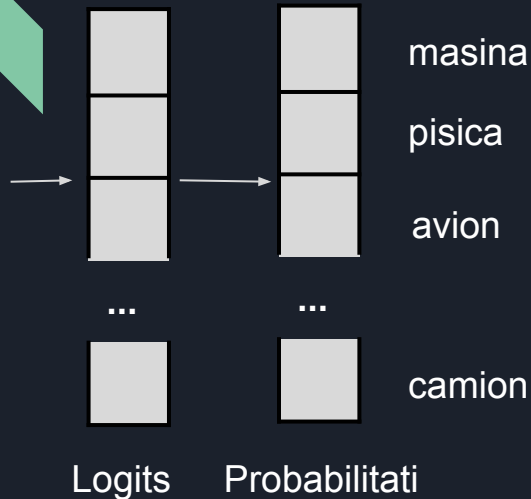
# Costul empiric (costul total, functia obiectiv, functia de cost, riscul empiric)

- Masoara costul total peste tot datasetul



$$J(\theta) = \frac{1}{n} \sum_{i=1}^n L(f(x^{(i)}; \theta), y^{(i)})$$

# Functia cost pentru clasificare



- Reteaua modeleaza **distributia claselor conditionata de imaginea data ca input**

$$\hat{y}_k(x) = p(C_k|x) \quad \hat{y}_i = \text{softmax}(z_i) = \frac{e^{z_i}}{\sum_j e^{z_j}}$$

- Pornim de la presupunerea ca datasetul este amestecat si datele sunt **i.i.d.**
- Probabilitatea de observare a datasetului este data de:

$$p(Y|X) = \prod_{n=0}^N p(y^{(n)}|x^{(n)}) = \prod_{n=0}^N \prod_{k=0}^K (\hat{y}_k(x^{(n)}))^{y_k^{(n)}}$$

- Maximizarea probabilitatii de a observa datasetul (**likelihood**) este echivalenta cu o functie de cost pe minimizarea probabilitatii logaritmice de observare a datasetului (**negative log-likelihood**)

$$L(\theta) = - \sum_{n=0}^N \sum_{k=0}^K y_k^{(n)} \log(\hat{y}_k^{(n)})$$

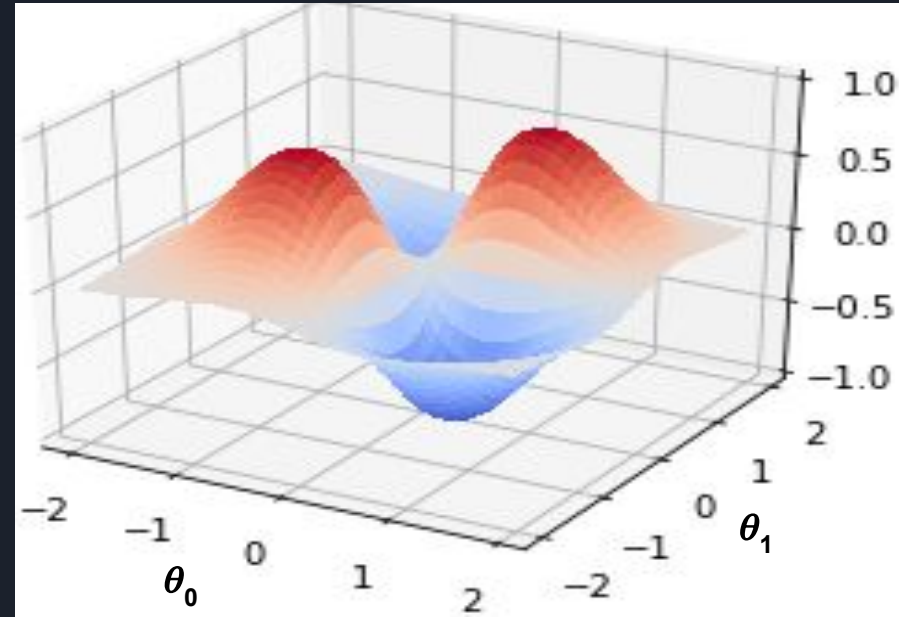


# Optimizare

- Vrem sa gasim parametrii care ne dau cel mai mic cost

$$\theta^* = \operatorname{argmin}_{\theta} \frac{1}{n} \sum_{i=1}^n L(f(x(i); \theta), y^{(i)})$$

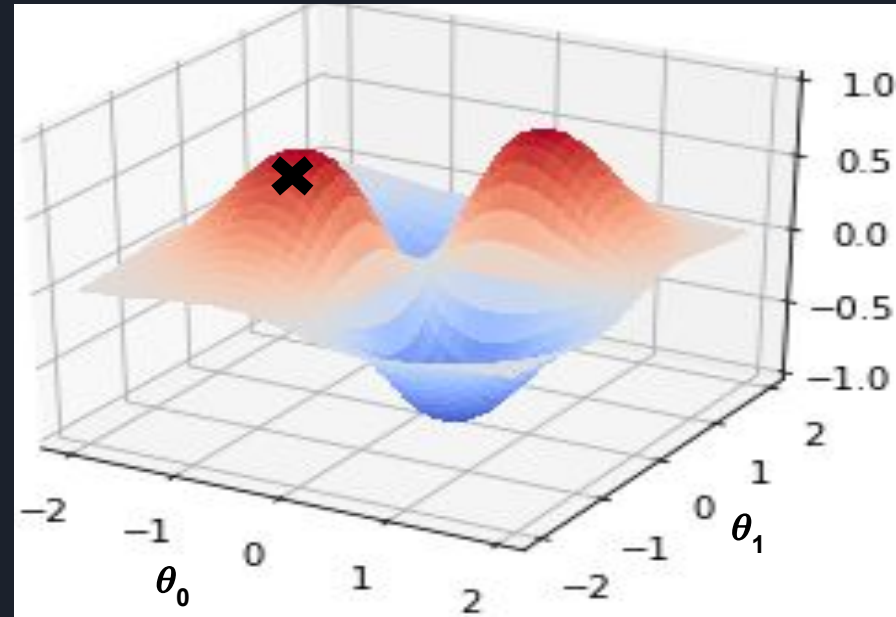
$L(\theta_0, \theta_1)$



# Stochastic Gradient Descent

- Alegem parametrii initiali random  $\theta_0^{(0)} \theta_1^{(0)}$

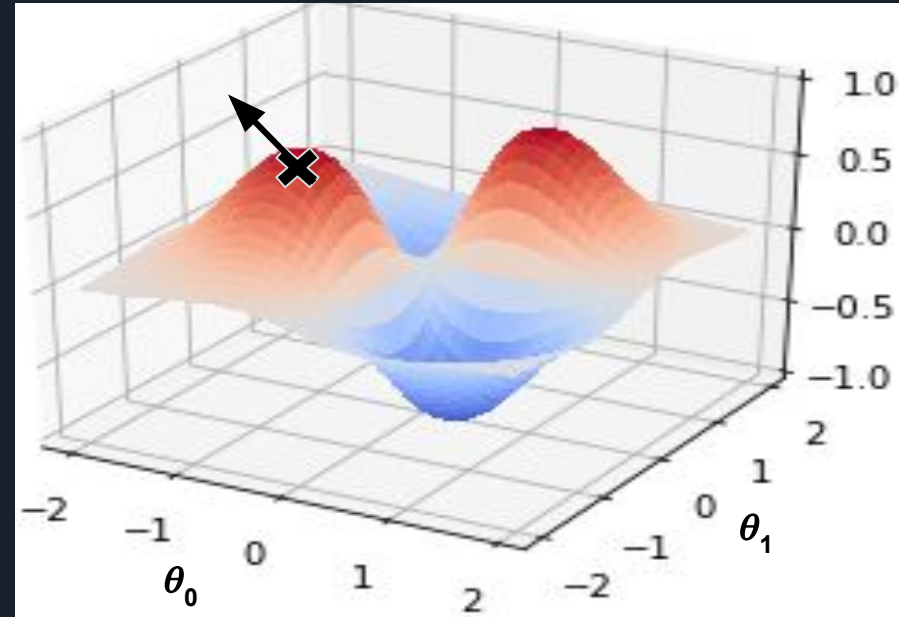
$$L(\theta_0, \theta_1)$$



# Stochastic Gradient Descent

- Alegem parametrii initiali random  $\theta_0^{(0)} \theta_1^{(0)}$
- Calculam gradientul (derivata)  $\frac{\partial L(\theta)}{\partial \theta}$

$L(\theta_0, \theta_1)$

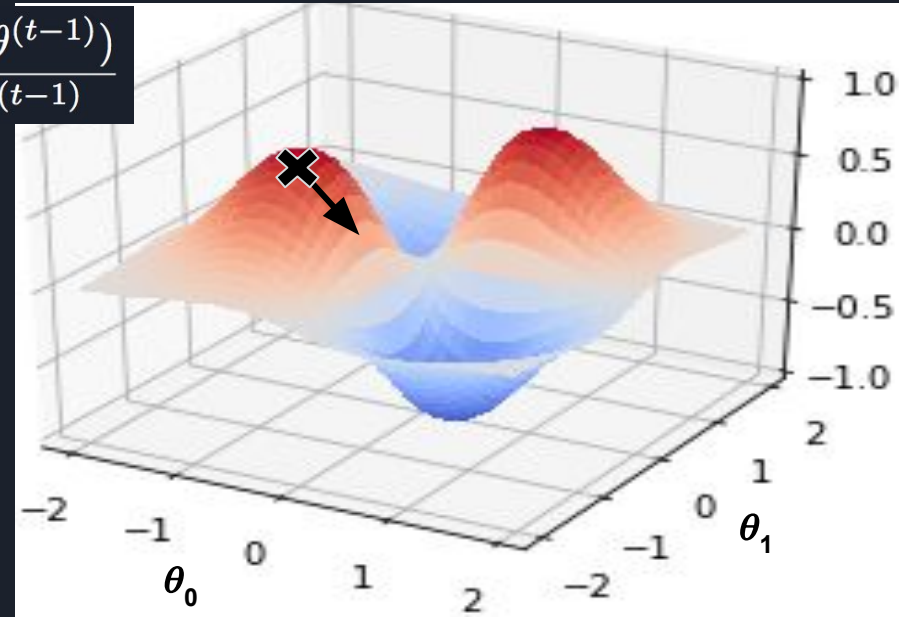


# Stochastic Gradient Descent

- Alegem parametrii initiali random  $\theta_0^{(0)} \theta_1^{(0)}$
- Calculam gradientul (derivata)  $\frac{\partial L(\theta)}{\partial \theta}$
- **Facem un pas mic in directia opusa**

**gradientului:**  $\theta^{(t)} \leftarrow \theta^{(t-1)} - \alpha \frac{\partial L(\theta^{(t-1)})}{\partial \theta^{(t-1)}}$

$L(\theta_0, \theta_1)$



# Stochastic Gradient Descent

$$L(\theta_0, \theta_1)$$

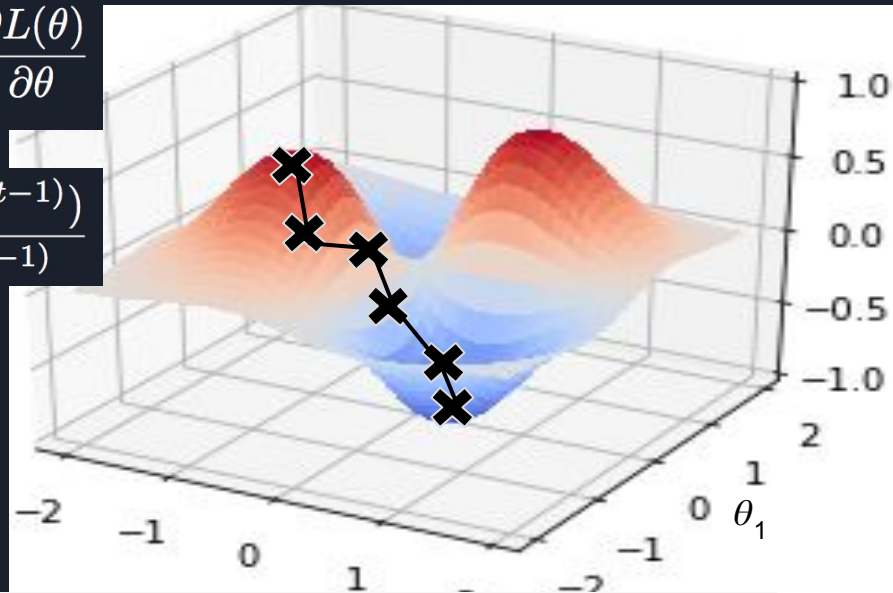
- Alegem parametrii initiali random  $\theta_0^{(0)} \theta_1^{(0)} \sim N(0, \sigma^2)$

- Calculam gradientul (derivata)  $\frac{\partial L(\theta)}{\partial \theta}$

- Facem un pas mic in directia opusa

gradientului:  $\theta^{(t)} \leftarrow \theta^{(t-1)} - \alpha \frac{\partial L(\theta^{(t-1)})}{\partial \theta^{(t-1)}}$

- Repetam pana la convergenta

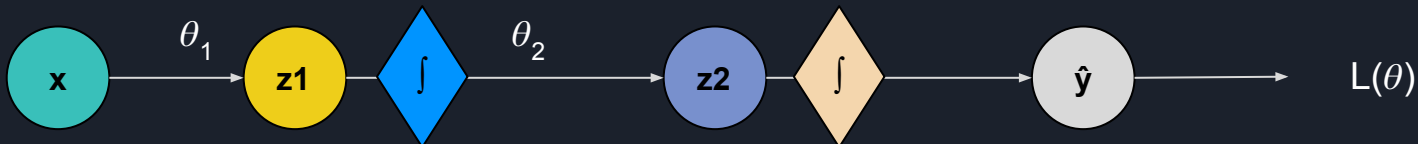


```
while not_converged:
```

```
    weights_grad = evaluate_gradient(loss, data, weights) //backpropagation
```

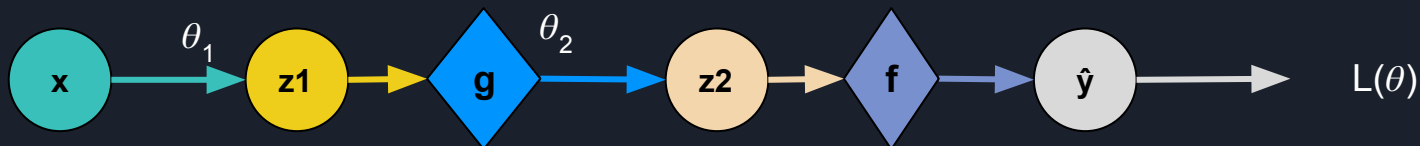
```
    weights -= step_size * weights_grad //updatarea parametrilor
```

# Calcularea gradientului - Backpropagation



- **Gradientul ne spune cum afecteaza o schimbare mica in parametrii  $\theta$  costul final  $L$**
- In 1D, derivata unei functii  $L$ : 
$$\frac{\partial L(\theta)}{\partial \theta} = \lim_{h \rightarrow 0} \frac{L(\theta + h) - L(\theta)}{h}$$
- In mai multe dimensiuni gradientul este un **vector de derivate partiale** pentru fiecare dimensiune
- Functia obiectiv este parametrizata de  $\theta \Rightarrow$  putem folosi reguli pentru a calcula **gradientul analitic**

# Calcularea gradientului - Backpropagation



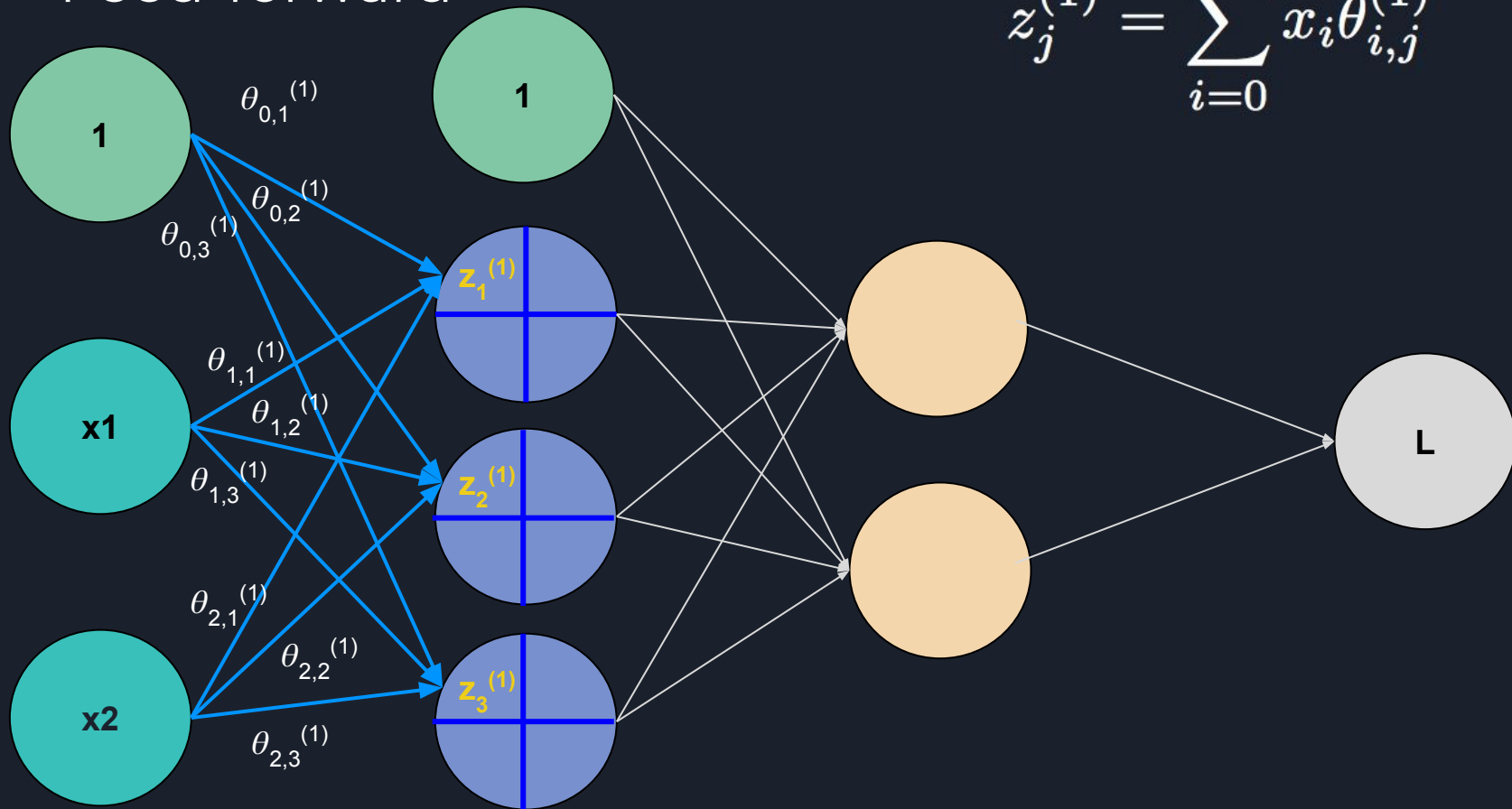
- The chain rule

$$\frac{\partial L(\theta)}{\partial \theta_2} = \underbrace{\frac{\partial L(\theta)}{\partial \hat{y}}}_{\text{white}} * \underbrace{\frac{\partial \hat{y}}{\partial f}}_{\text{blue}} * \underbrace{\frac{\partial f}{\partial z_2}}_{\text{orange}} * \underbrace{\frac{\partial z_2}{\partial \theta_2}}_{\text{blue}}$$

$$\frac{\partial L(\theta)}{\partial \theta_1} = \underbrace{\frac{\partial L(\theta)}{\partial \hat{y}}}_{\text{white}} * \underbrace{\frac{\partial \hat{y}}{\partial f}}_{\text{blue}} * \underbrace{\frac{\partial f}{\partial z_2}}_{\text{orange}} * \underbrace{\frac{\partial z_2}{\partial g}}_{\text{blue}} * \underbrace{\frac{\partial g}{\partial z_1}}_{\text{yellow}} * \underbrace{\frac{\partial z_1}{\partial \theta_1}}_{\text{teal}}$$

# Feed-forward

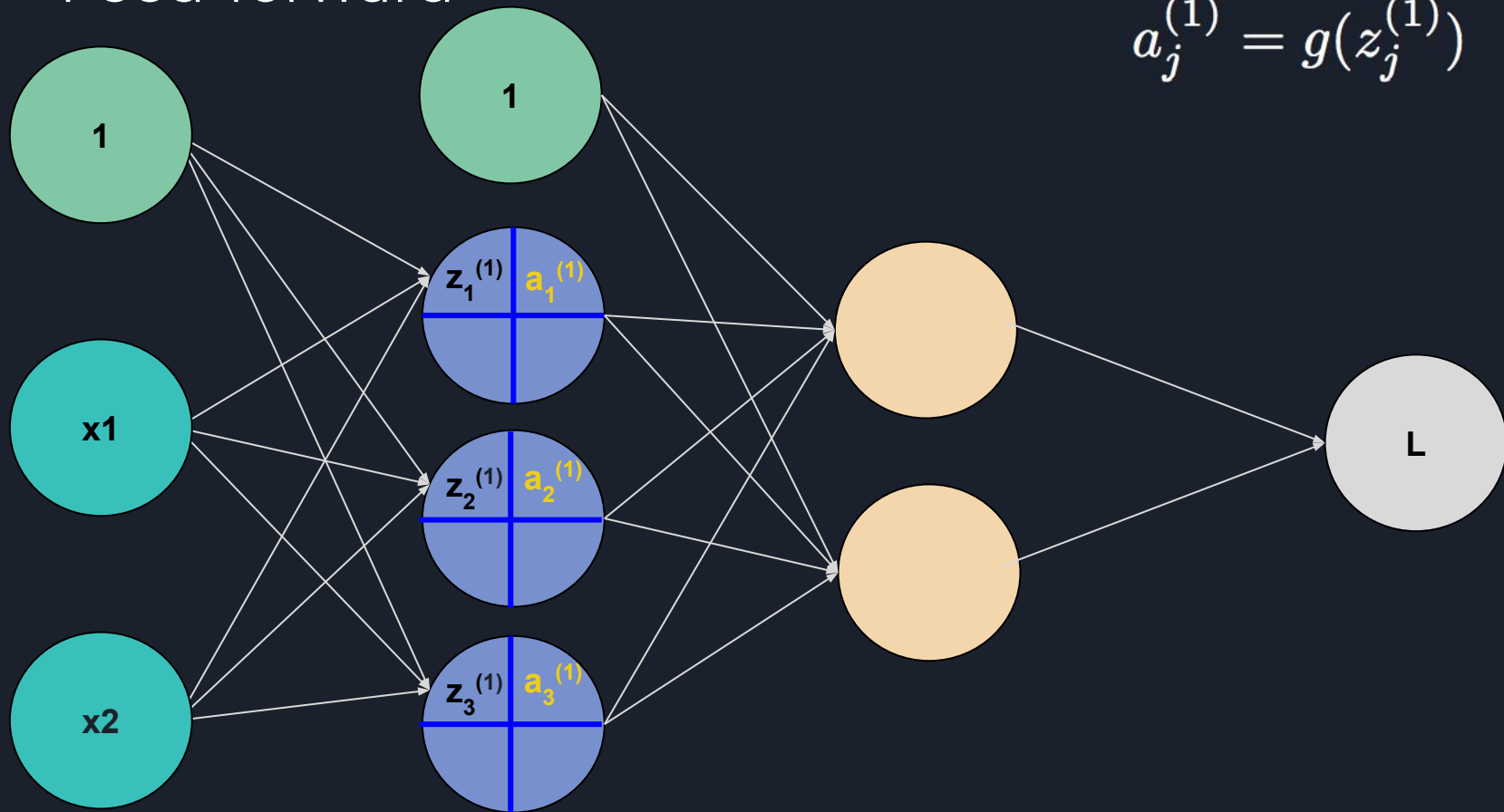
$$z_j^{(1)} = \sum_{i=0} x_i \theta_{i,j}^{(1)}$$





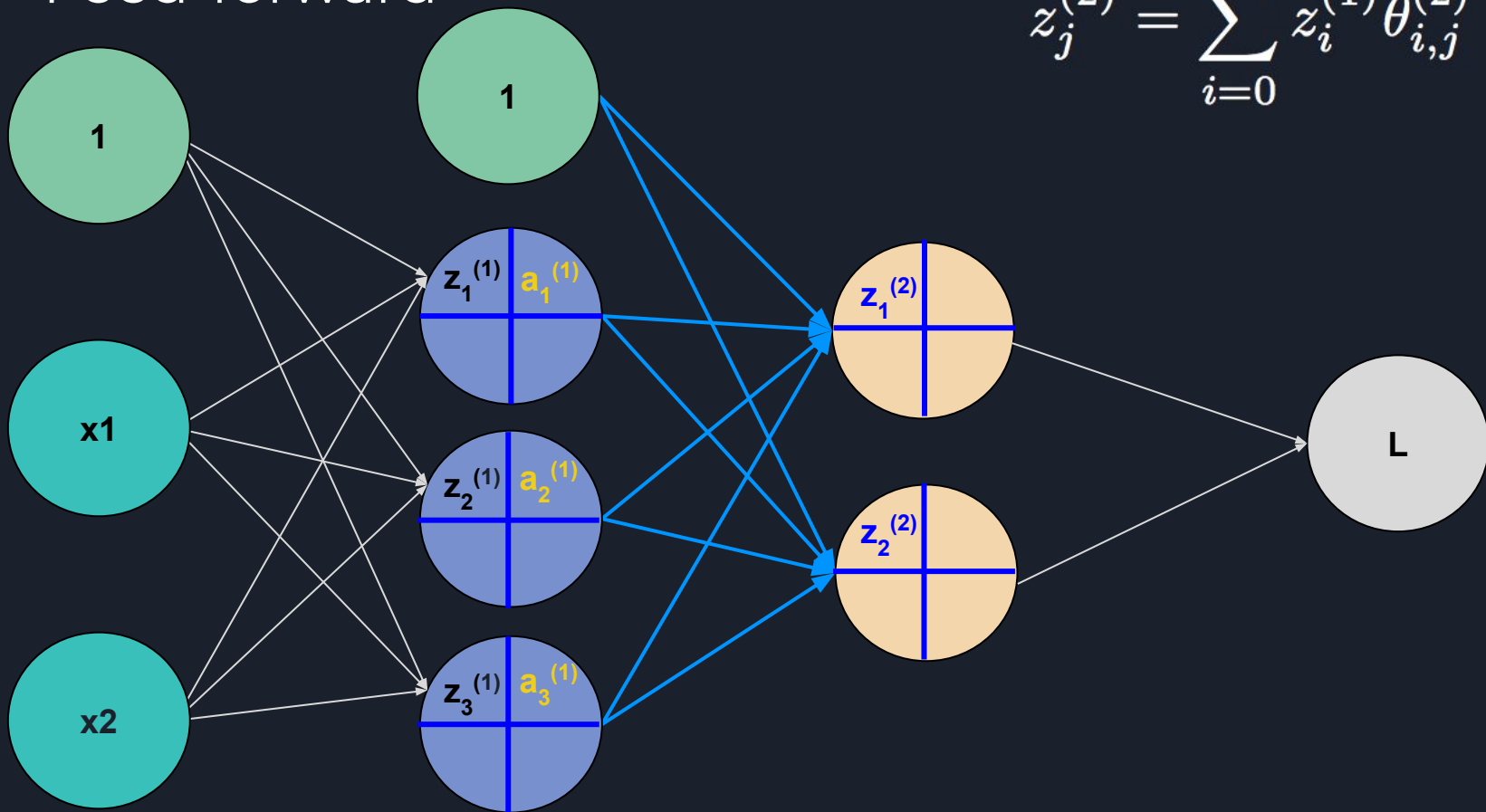
Feed-forward

$$a_j^{(1)} = g(z_j^{(1)})$$



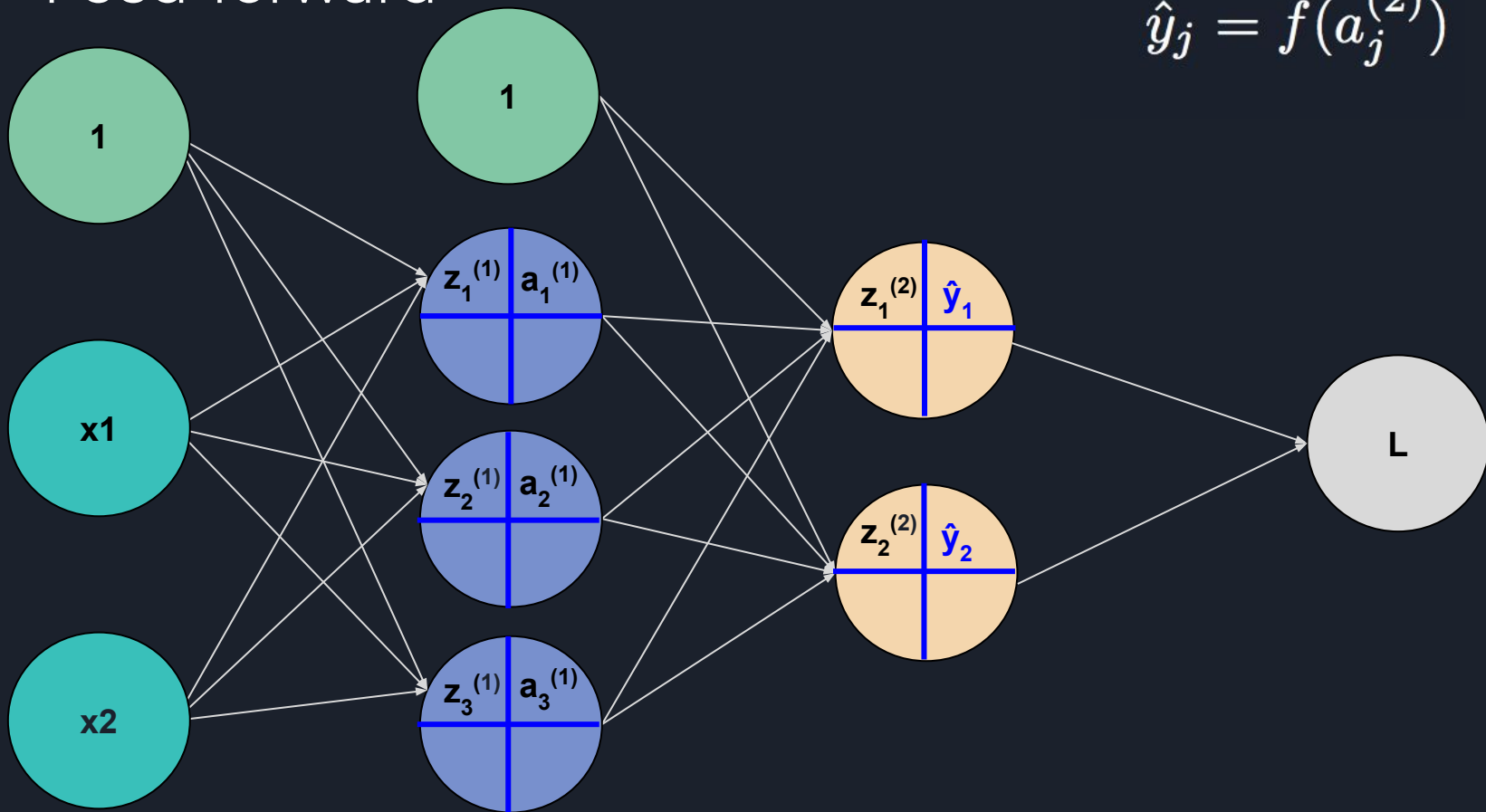
Feed-forward

$$z_j^{(2)} = \sum_{i=0} z_i^{(1)} \theta_{i,j}^{(2)}$$



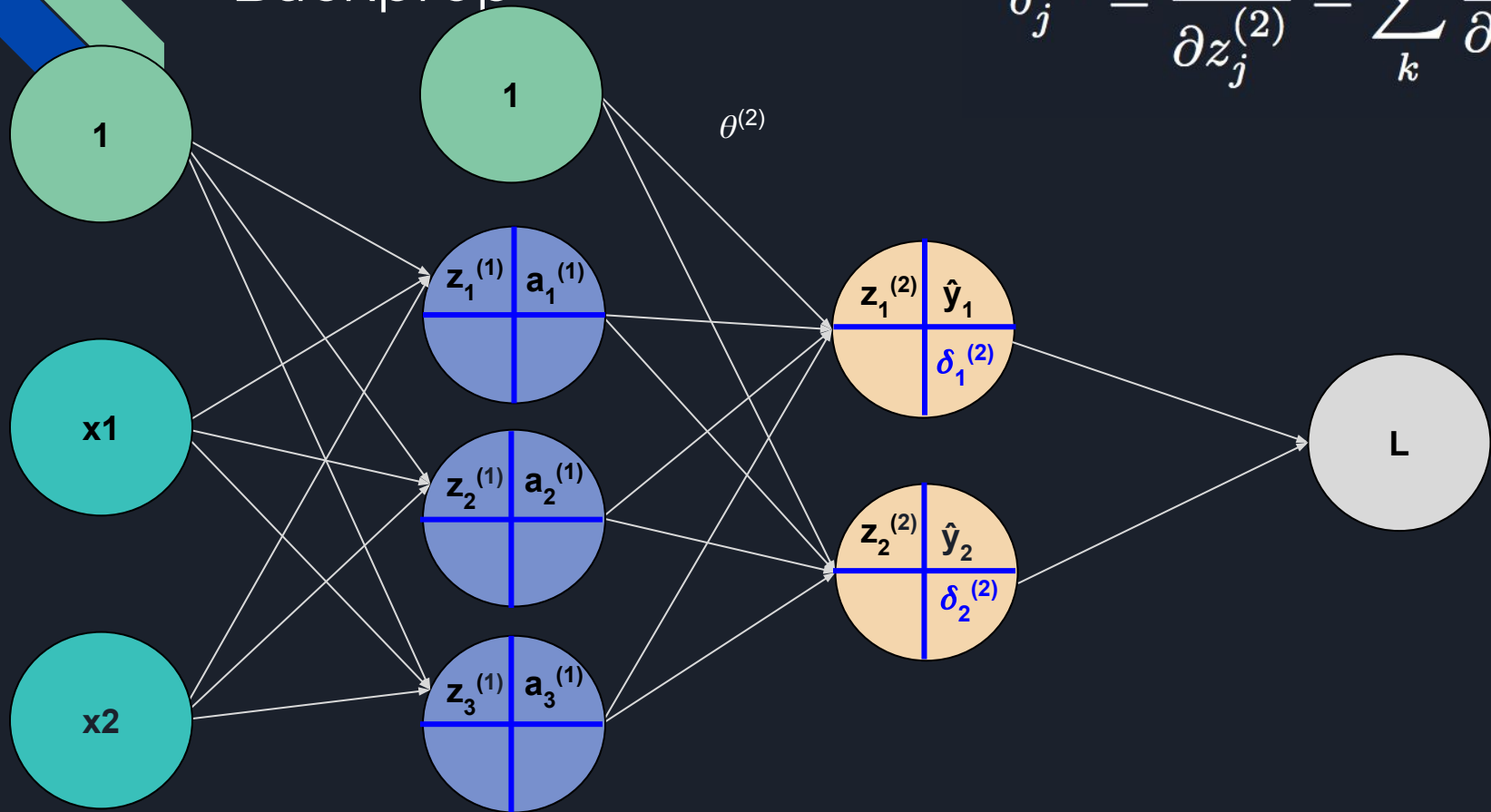
Feed-forward

$$\hat{y}_j = f(a_j^{(2)})$$



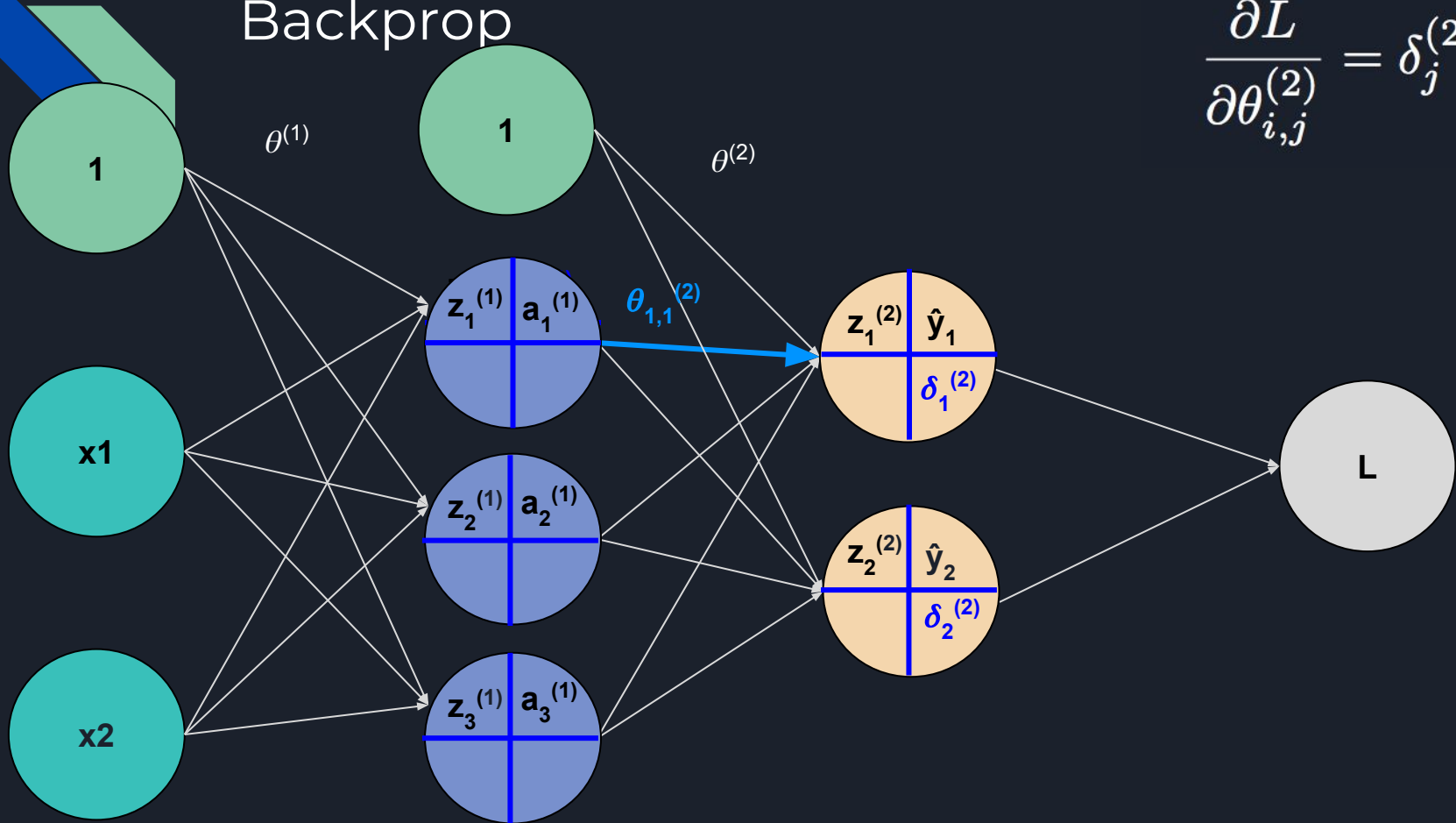
Backprop

$$\delta_j^{(2)} = \frac{\partial L}{\partial z_j^{(2)}} = \sum_k \frac{\partial L}{\partial \hat{y}_k} \frac{\partial \hat{y}_k}{\partial z_j^{(2)}}$$



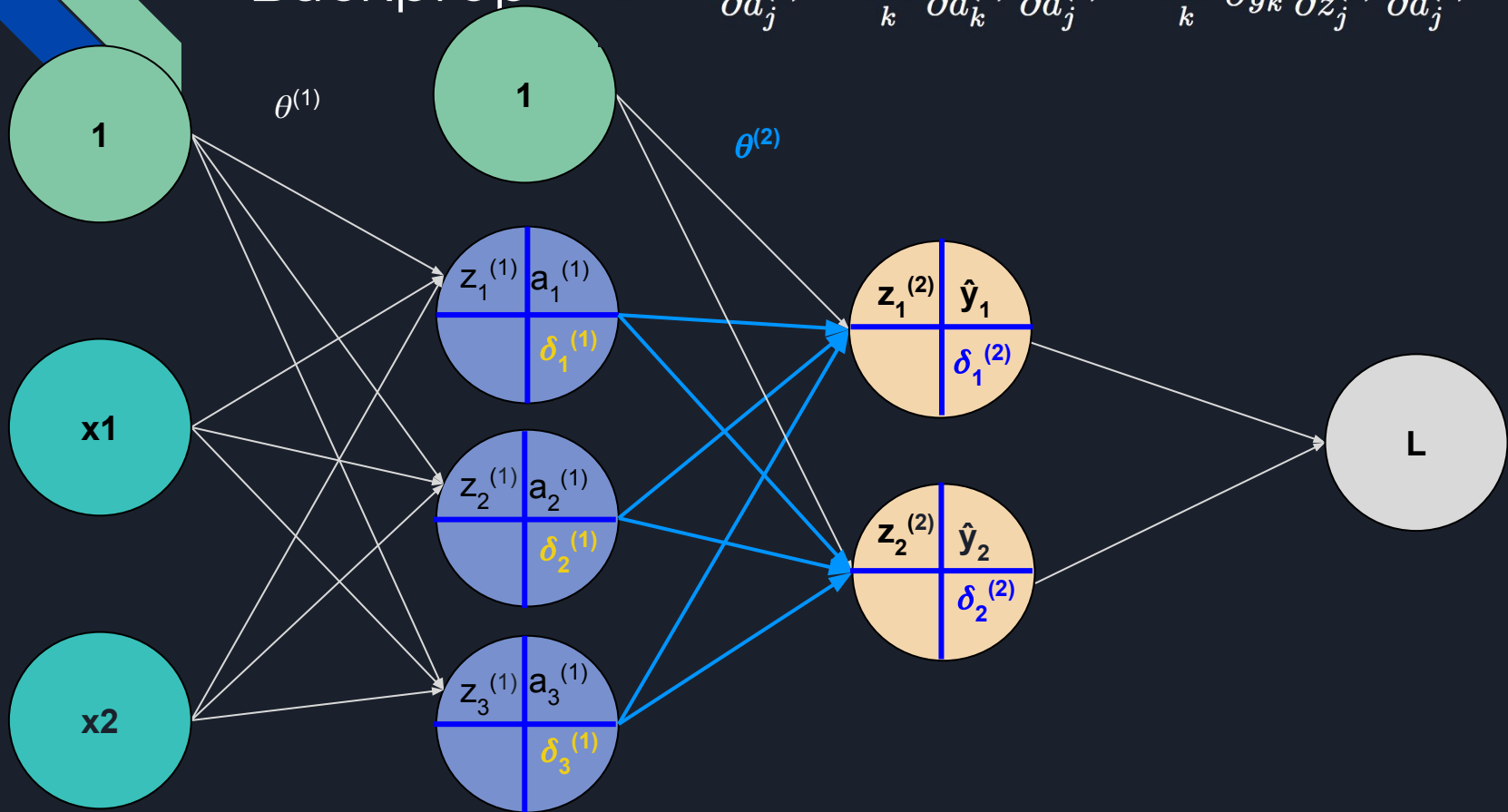
Backprop

$$\frac{\partial L}{\partial \theta_{i,j}^{(2)}} = \delta_j^{(2)} a_i^{(1)}$$



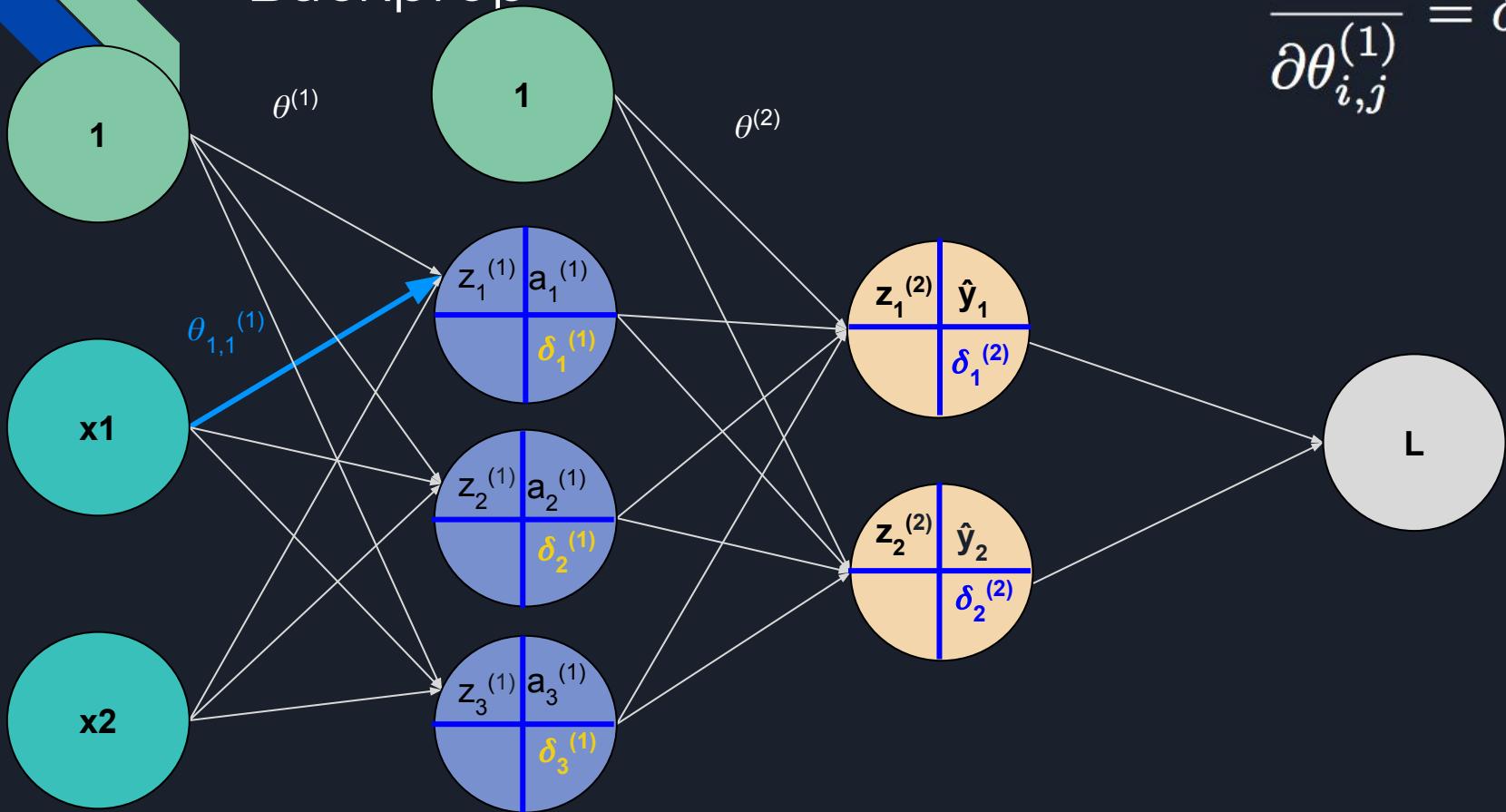
Backprop

$$\delta_j^{(1)} = \frac{\partial L}{\partial a_j^{(1)}} = \sum_k \frac{\partial L}{\partial a_k^{(2)}} \frac{\partial a_k^{(2)}}{\partial a_j^{(1)}} = \sum_k \frac{\partial L}{\partial \hat{y}_k} \frac{\partial \hat{y}_k}{\partial z_j^{(2)}} \frac{\partial z_j^{(2)}}{\partial a_j^{(1)}} = \sum_k \delta_k^{(2)} \theta_{j,k}^{(2)}$$

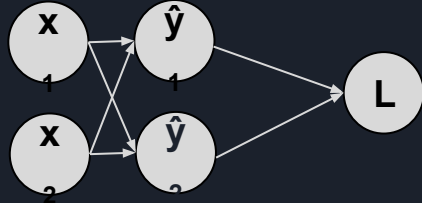


Backprop

$$\frac{\partial L}{\partial \theta_{i,j}^{(1)}} = \delta_j^{(1)} x_1$$



# Gradientul cross-entropiei



$$\hat{y}_k(x) = p(C_k|x)$$

$$p(Y|X) = \prod_{n=0}^N p(y^{(n)}|x^{(n)}) = \prod_{n=0}^N \prod_{k=0}^K (\hat{y}_k(x^{(n)}))^{y_k^{(n)}} = \text{log likelihood}$$

$$L(\theta) = - \sum_{n=0}^N \sum_{k=0}^K y_k^{(n)} \log(\hat{y}_k^{(n)}) = \text{negative log likelihood}$$

$$\frac{\partial L(x_i)}{\partial x_i} = \sum_k \frac{\partial L}{\partial \hat{y}_k} * \frac{\partial \hat{y}_k}{\partial x_i}$$

$$\frac{\partial L(x_i)}{\partial x_i} = \hat{y}_i - y_i$$

$$\frac{\partial f(x)}{\partial x} = \frac{\frac{\partial f(x)}{\partial x} * g(x) - f(x) * \frac{\partial g(x)}{\partial x}}{g(x)^2} \quad \delta_{ki} = \begin{cases} 1, & k == i \\ 0, & \text{otherwise} \end{cases}$$

Reminder !

$$\frac{\partial L}{\partial \hat{y}_k} = - \frac{y_k}{\hat{y}_k} \frac{\partial \hat{y}_i}{\partial x_i} = \frac{\partial \frac{e^{x_i}}{\sum_j e^{x_j}}}{\partial x_i} = \hat{y}_i * (1 - \hat{y}_i)$$

$$\frac{\partial \hat{y}_k}{\partial x_i} = \frac{\partial \frac{e^{x_k}}{\sum_j e^{x_j}}}{\partial x_i} = -\hat{y}_k * \hat{y}_i$$

$$\frac{\partial \hat{y}_k}{\partial x_i} = \hat{y}_k * (\delta_{ki} - \hat{y}_i)$$





# Updatearea parametrilor

- **Stochastic vs batch gradient descent**

$$\theta \leftarrow \theta - \alpha \frac{\partial L(\theta)}{\partial \theta}$$

- **Stochastic Gradient Descent**

- Modificam parametrii dupa fiecare exemplu
    - Exemple On-line, dataseturi redundante foarte mari

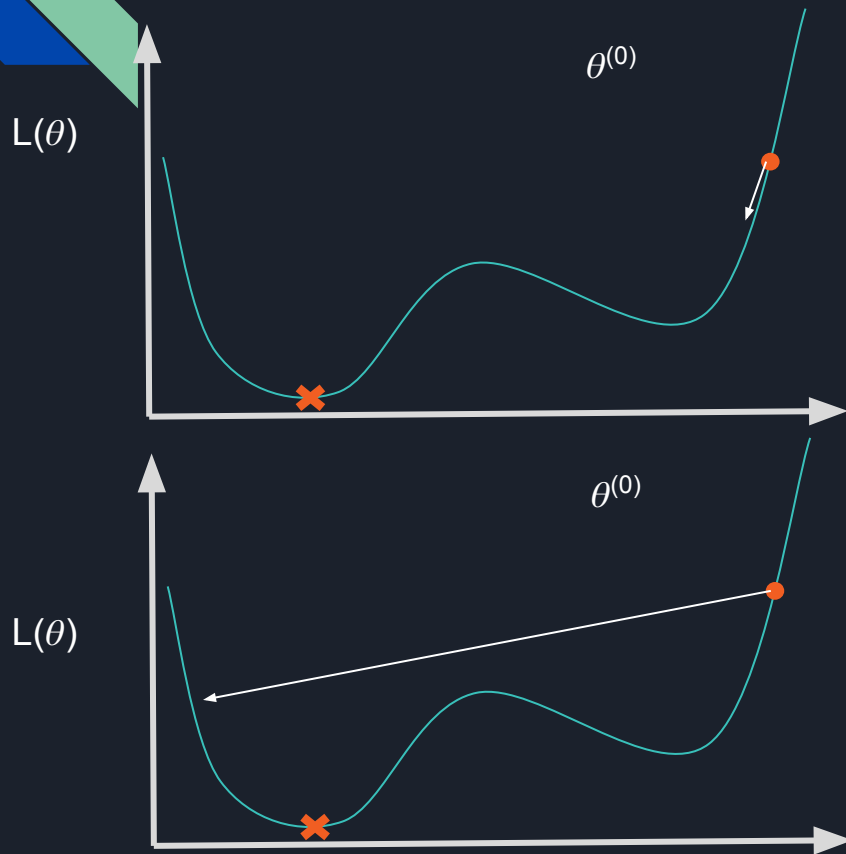
- **Batch Gradient Descent**

- Modificam parametrii dupa ce calculam eroarea (L) peste toate exemplele din dataset
    - Poate fi paralelizat, eroarea (L) este estimata foarte bine

- **Mini-batch Gradient Descent (cateodata denumit si SGD - stochastic gradient descent)**

- Modificam parametrii dupa ce calculam eroarea (L) peste un mini-batch de exemple din dataset (32, 64, 128, 256, 512, 1024)

# Setarea ratei de invatare



- **Rata de invatare mica**

- Converge foarte lent
- Poate ramane blocata intr-un minim local

- **Rata de invatare mare**

- Face un pas prea mare - sare peste goal
- Devine instabila, diverge

- **Rata de invatare adaptabila**

- In episodul urmator...

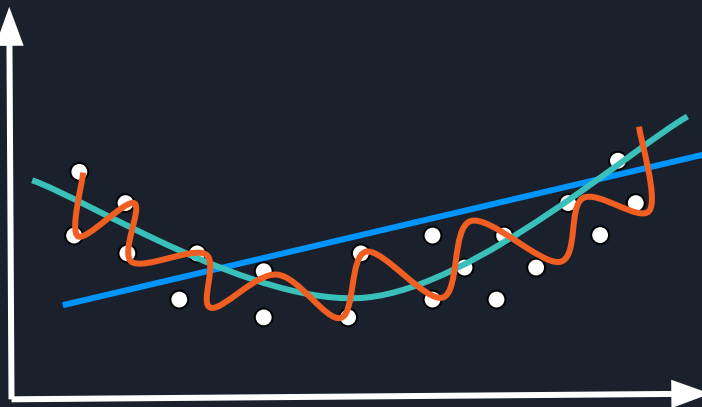
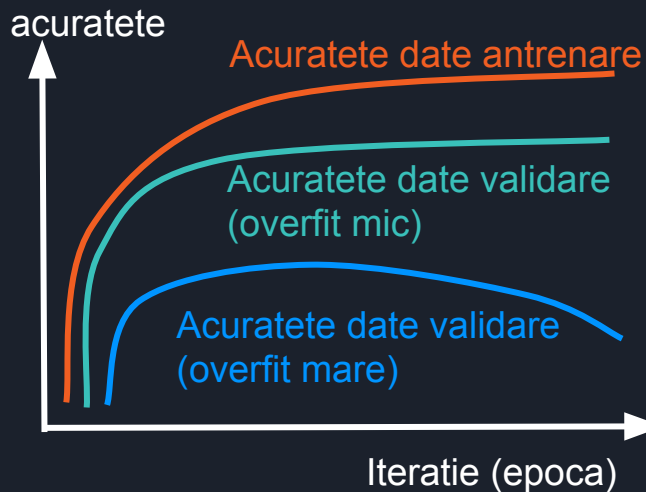
# Overfitting

- Generalizare

- Proprietatea unui estimator functional de a generaliza dincolo de exemplele pe care a fost antrenat

antrenare		evaluare
antrenare	validare	evaluare

50,000 imagini - antrenare [32x32x3]  
10,000 imagini - evaluare



# Initializarea parametrilor

- **Initializare cu zero ?**

- Fiecare neuron calculeaza acelasi output, acelasi gradient si executa acelasi update

- **Initializare cu numere mici random**

- Fiecare neuron este unic si calculeaza update-uri distincte
- Initializare dintr-o gaussiana centrata in 0 cu varianta =  $\text{sqrt}(0.01)$

- ```
W = 0.01 * np.random.randn(n)
```

 e proporțional pe valoarea parametrilor) va fi mic

- **Calibrarea variantei**

```
w = np.random.randn(n) / sqrt(n)
```

- Distributia activarilor unui neuron initializat random creste cu numarul de intrari
- Putem normaliza varianta fiecarui neuron pentru ca output-ul sa aiba varianta 1

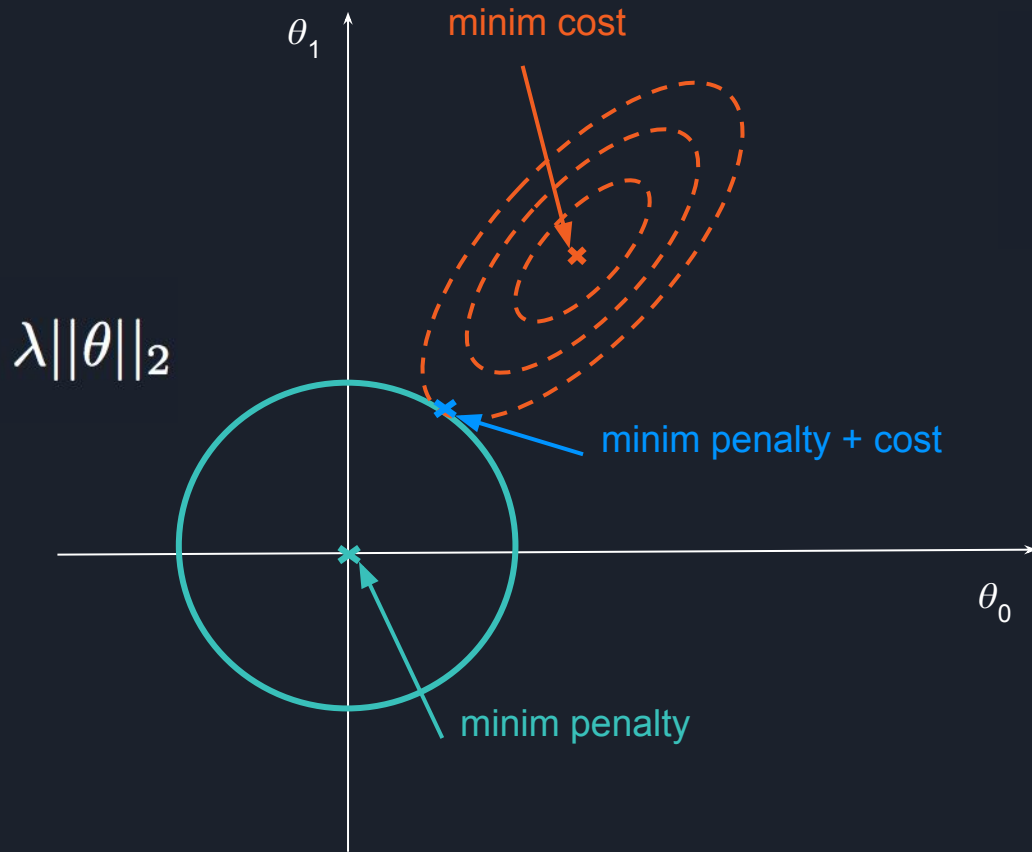


# Overfitting

- **Regularizare**

- **Aplicarea de constrangeri asupra problemei de optimizare pentru a descuraja modele complexe**
- Imbunatateste capacitatea de a generaliza a modelului pe date pe care nu le-a mai vazut
- Tehnici: **L1 norm, L2 norm, Dropout, Early stopping, label smoothing** (in episodul urmator...)

## L2 norm



$$\lambda ||\theta||_2 = \lambda * \sqrt{\sum_j \theta_j^2}$$



# Sumar concepte fundamentale

## Perceptronul

- ★ Unitatea de baza
- ★ Clasificare
- ★ Functii de activare nonliniare

## Retele cu un singur strat

- ★ Suprapunerea perceptronilor pentru a construi retele
- ★ Functii de cost
- ★ Optimizare cu backpropagation

## Antrenare

- ★ Mini-batch Stochastic gradient descent
- ★ Rate de invatare
- ★ Regularizare

