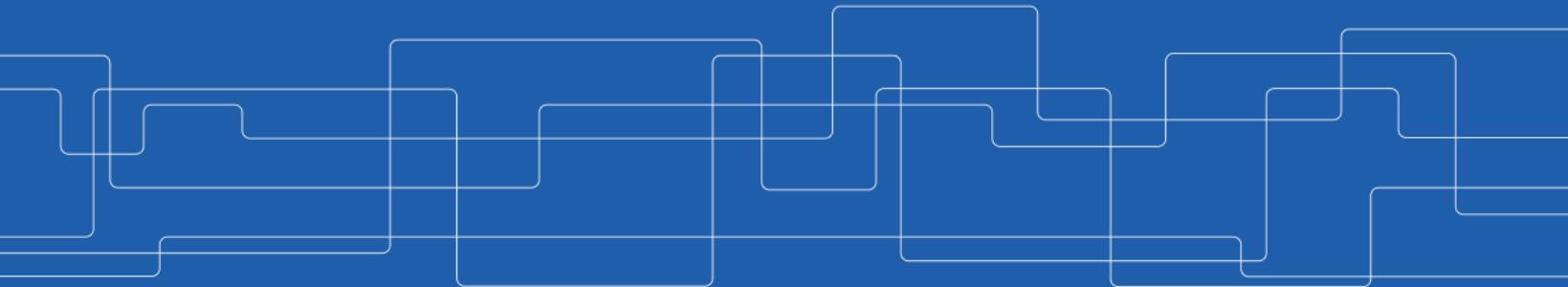




NoSQL Databases

Amir H. Payberah
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03/09/2018



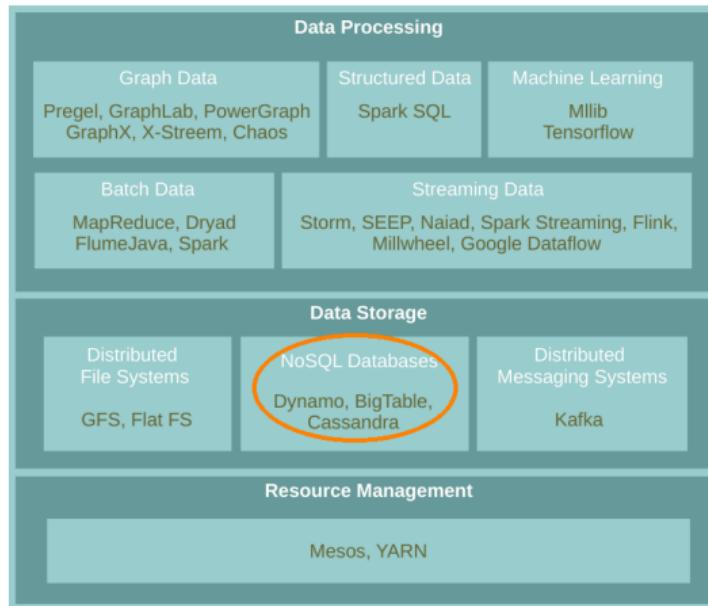


The Course Web Page

<https://id2221kth.github.io>



Where Are We?



Database and Database Management System

- ▶ Database: an **organized** collection of **data**.



- ▶ **Database Management System (DBMS)**: a **software** that interacts with users, other applications, and the database itself to **capture** and **analyze** data.

Relational Databases Management Systems (RDMBSs)

- ▶ RDMBSs: the **dominant** technology for storing **structured** data in web and business applications.
- ▶ SQL is good
 - Rich language and toolset
 - Easy to use and integrate
 - Many **vendors**
- ▶ They promise: **ACID**





ACID Properties

► Atomicity

- All included statements in a transaction are either **executed** or the **whole** transaction is **aborted** without affecting the database.



ACID Properties

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- All included statements in a transaction are either **executed** or the **whole** transaction is **aborted** without affecting the database.

► Consistency

- A database is in a **consistent** state before and after a transaction.



ACID Properties

► Atomicity

- All included statements in a transaction are either **executed** or the **whole** transaction is **aborted** without affecting the database.

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- A database is in a **consistent** state before and after a transaction.

► Isolation

- Transactions can not see **uncommitted changes** in the database.



ACID Properties

► Atomicity

- All included statements in a transaction are either **executed** or the **whole** transaction is **aborted** without affecting the database.

► Consistency

- A database is in a **consistent** state before and after a transaction.

► Isolation

- Transactions can not see **uncommitted changes** in the database.

► Durability

- Changes are written to a **disk** before a database commits a transaction so that committed data cannot be lost through a power **failure**.



RDBMS Challenges

- ▶ Web-based applications caused spikes.
 - Internet-scale data size
 - High read-write rates
 - Frequent schema changes





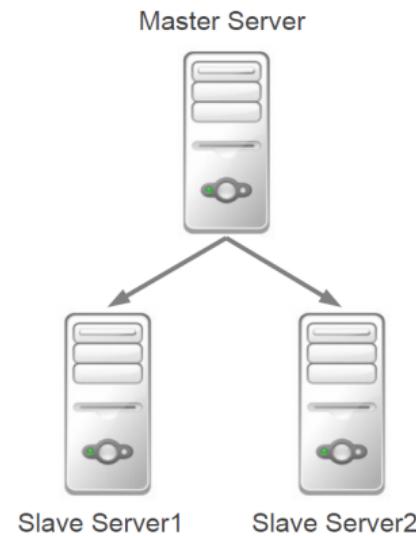
Let's Scale RDBMSs

- ▶ RDBMS were not designed to be **distributed**.
- ▶ Possible solutions:
 - Replication
 - Sharding



Let's Scale RDBMSs - Replication

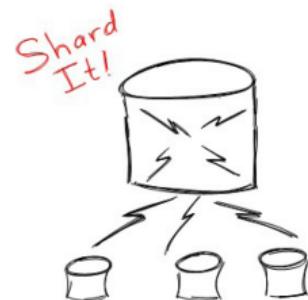
- ▶ Master/Slave architecture
- ▶ Scales **read** operations



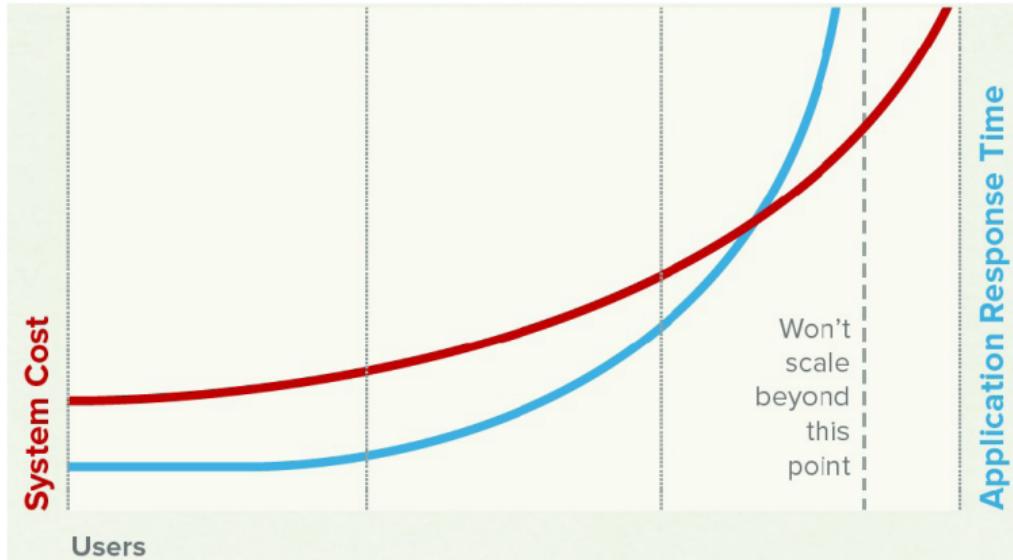


Let's Scale RDBMSs - Sharding

- ▶ Dividing the database across many machines.
- ▶ It scales **read** and **write** operations.
- ▶ **Cannot** execute **transactions** across shards (partitions).



Scaling RDBMSs is Expensive and Inefficient



[<http://www.couchbase.com/sites/default/files/uploads/all/whitepapers/NoSQLWhitepaper.pdf>]

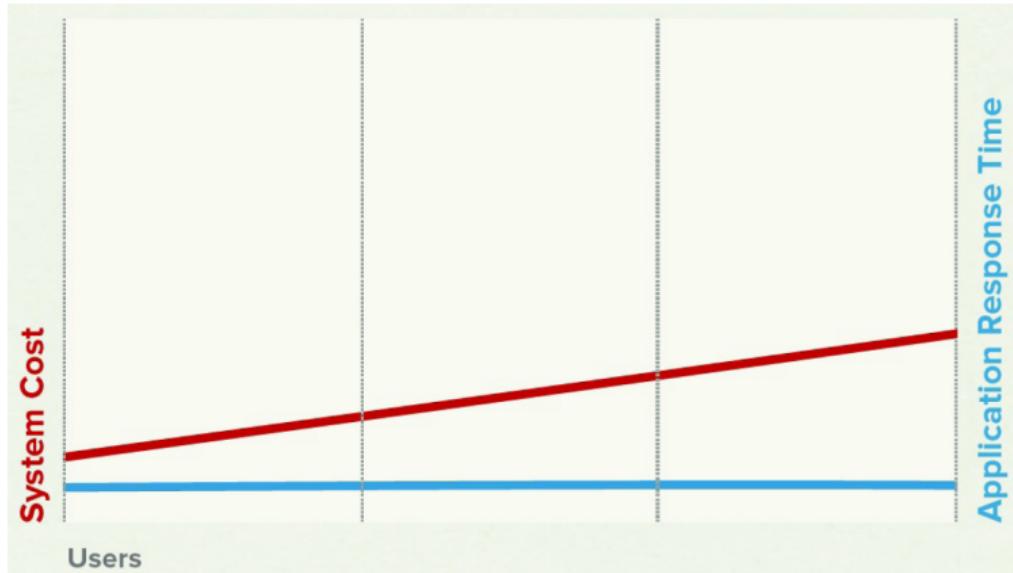


NoSQL

- ▶ Avoids:
 - Overhead of ACID properties
 - Complexity of SQL query
- ▶ Provides:
 - Scalability
 - Easy and frequent changes to DB
 - Large data volumes

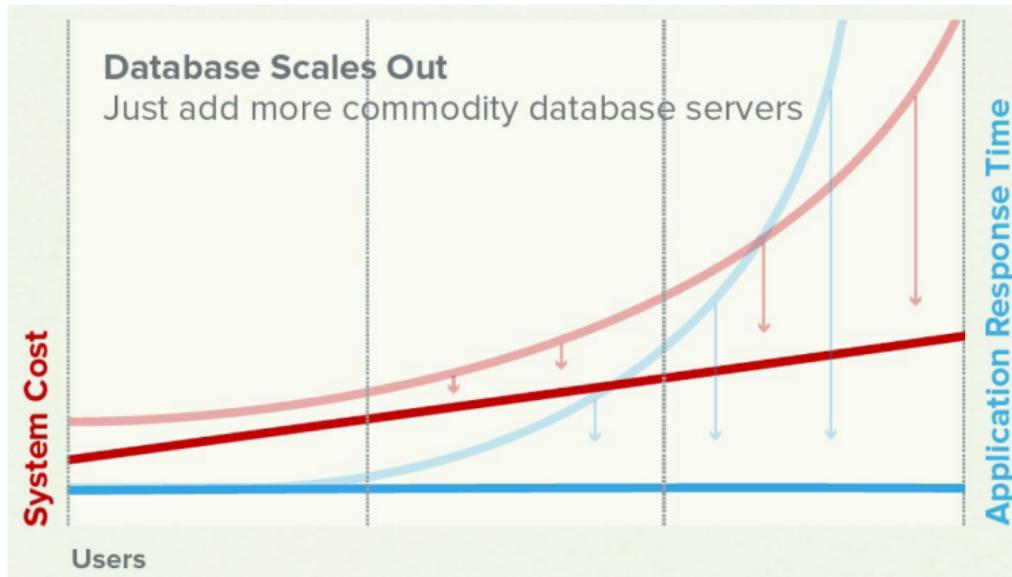


NoSQL Cost and Performance



[<http://www.couchbase.com/sites/default/files/uploads/all/whitepapers/NoSQLWhitepaper.pdf>]

RDBMS vs. NoSQL

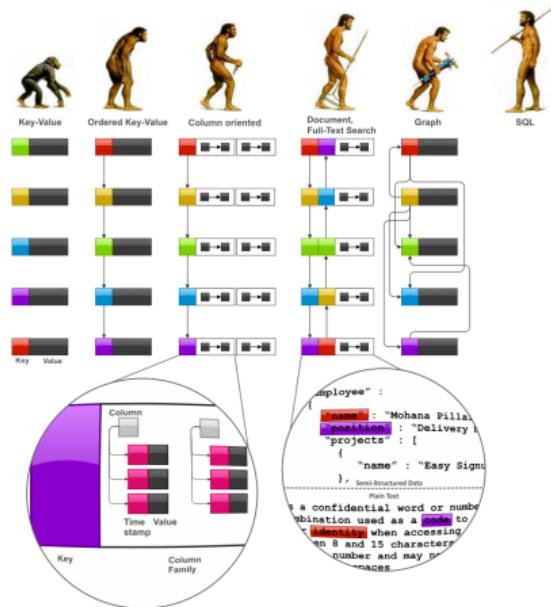


[<http://www.couchbase.com/sites/default/files/uploads/all/whitepapers/NoSQLWhitepaper.pdf>]



NoSQL Data Models

NoSQL Data Models



[<http://highlyscalable.wordpress.com/2012/03/01/nosql-data-modeling-techniques>]



Key-Value Data Model

- ▶ Collection of key/value pairs.
- ▶ Ordered Key-Value: processing over key ranges.
- ▶ Dynamo, Scalaris, Voldemort, Riak, ...

Column-Oriented Data Model

- ▶ Similar to a **key/value** store, but the **value** can have multiple **attributes** (Columns).
- ▶ **Column**: a set of data **values** of a particular **type**.
- ▶ Store and process data by **column** instead of **row**.
- ▶ **BigTable, Hbase, Cassandra, ...**





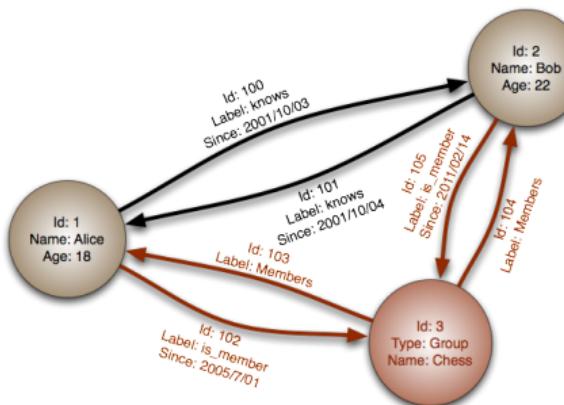
Document Data Model

- ▶ Similar to a **column-oriented** store, but values can have **complex documents**.
- ▶ Flexible schema (XML, YAML, JSON, and BSON).
- ▶ **CouchDB, MongoDB, ...**

```
{  
    FirstName: "Bob",  
    Address: "5 Oak St.",  
    Hobby: "sailing"  
}  
  
{  
    FirstName: "Jonathan",  
    Address: "15 Wanamassa Point Road",  
    Children: [  
        {Name: "Michael", Age: 10},  
        {Name: "Jennifer", Age: 8},  
    ]  
}
```

Graph Data Model

- ▶ Uses **graph** structures with **nodes**, **edges**, and **properties** to represent and store data.
- ▶ Neo4J, InfoGrid, ...



[http://en.wikipedia.org/wiki/Graph_database]



Consistency

Consistency

► Strong consistency

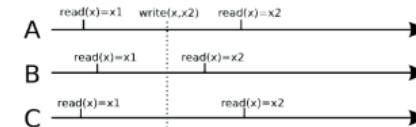
- After an update completes, any subsequent access will return the updated value.



Consistency

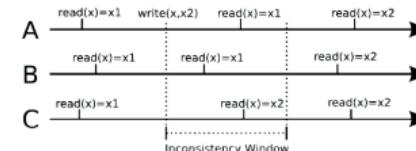
► Strong consistency

- After an update completes, any subsequent access will return the **updated value**.



► Eventual consistency

- Does **not guarantee** that subsequent accesses will return the **updated value**.
- **Inconsistency window**.
- If no new updates are made to the object, **eventually** all accesses will return the last updated value.





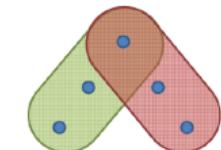
Quorum Model

- ▶ N : the number of nodes to which a data item is replicated.
- ▶ R : the number of nodes a value has to be read from to be accepted.
- ▶ W : the number of nodes a new value has to be written to before the write operation is finished.
- ▶ To enforce strong consistency: $R + W > N$

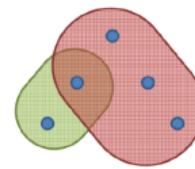


Quorum Model

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- ▶ W : the number of nodes a new value has to be written to before the write operation is finished.
- ▶ To enforce strong consistency: $R + W > N$



$R = 3, W = 3, N = 5$



$R = 4, W = 2, N = 5$



CAP Theorem

► Consistency

- Consistent state of data after the execution of an operation.

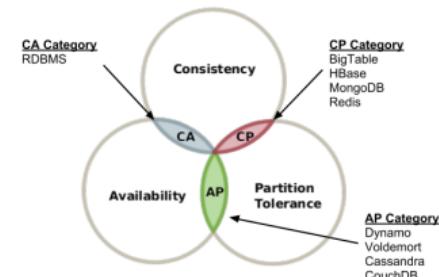
► Availability

- Clients can always read and write data.

► Partition Tolerance

- Continue the operation in the presence of network partitions.

► You can choose only two!





Consistency vs. Availability

- ▶ The large-scale applications have to be **reliable**: availability + partition tolerance
- ▶ These properties are **difficult** to achieve with **ACID** properties.
- ▶ The **BASE** approach forfeits the ACID properties of **consistency** and **isolation** in favor of **availability** and performance.



BASE Properties

► Basic Availability

- Possibilities of faults but not a fault of the whole system.

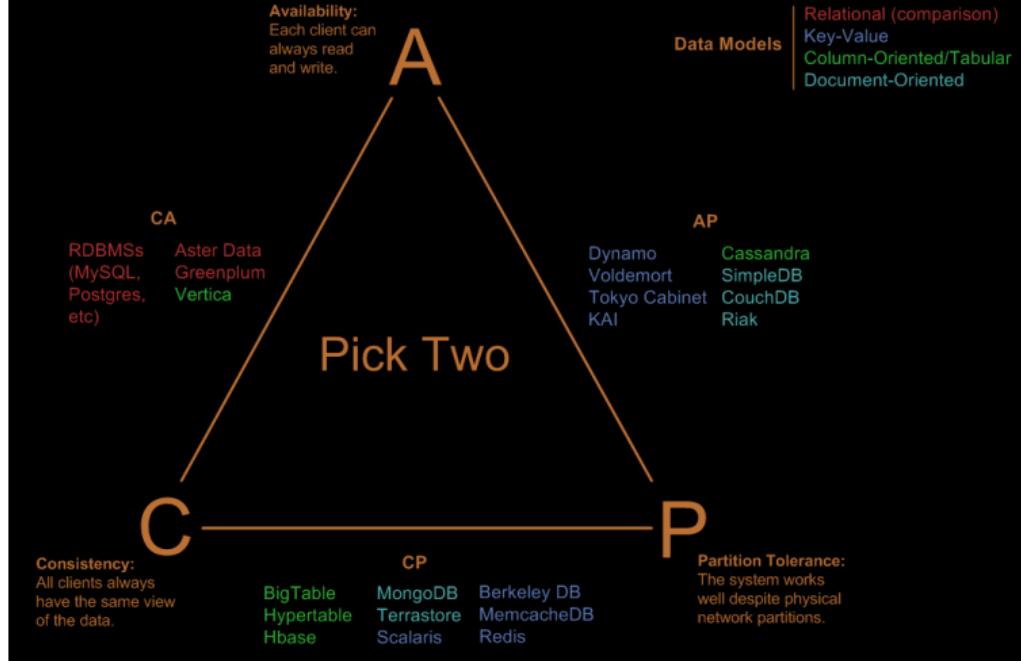
► Soft-state

- Copies of a data item may be inconsistent

► Eventually consistent

- Copies becomes consistent at some later time if there are no more updates to that data item

Visual Guide to NoSQL Systems





Dyanmo



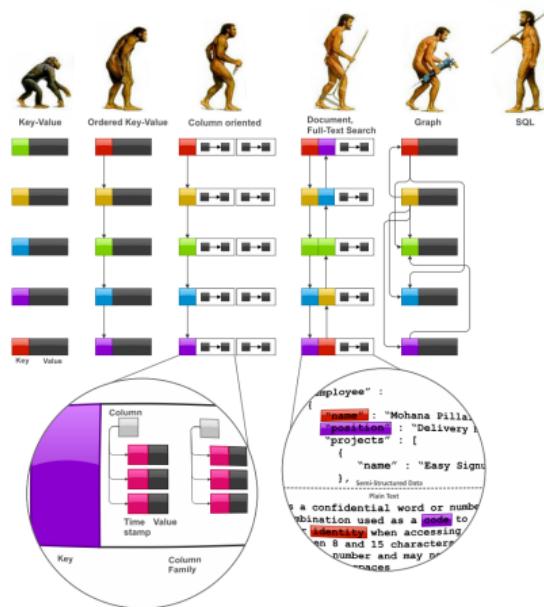
Dynamo

- ▶ Distributed **key/value** storage system
- ▶ Scalable and Highly available
- ▶ **CAP**: it sacrifices **strong consistency** for **availability**: **always writable**



Data Model

Data Model



[<http://highlyscalable.wordpress.com/2012/03/01/nosql-data-modeling-techniques>]

Partitioning

- ▶ Key/value, where values are stored as **objects**.
- ▶ If size of data exceeds the capacity of a single machine: **partitioning**



Partitioning

- ▶ Key/value, where values are stored as **objects**.
- ▶ If size of data exceeds the capacity of a single machine: **partitioning**
- ▶ **Consistent hashing** is one form of **sharding** (partitioning).





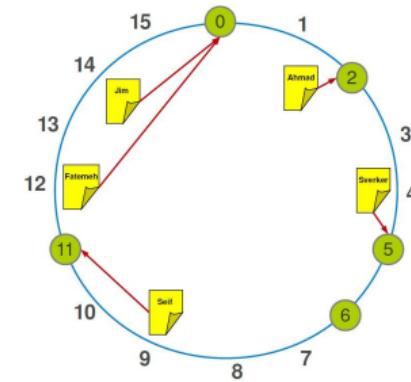
Consistent Hashing

- ▶ Hash both **data** and **nodes** using the **same** hash function in a **same** id space.
- ▶ `partition = hash(d) mod n`, **d**: data, **n**: number of nodes

Consistent Hashing

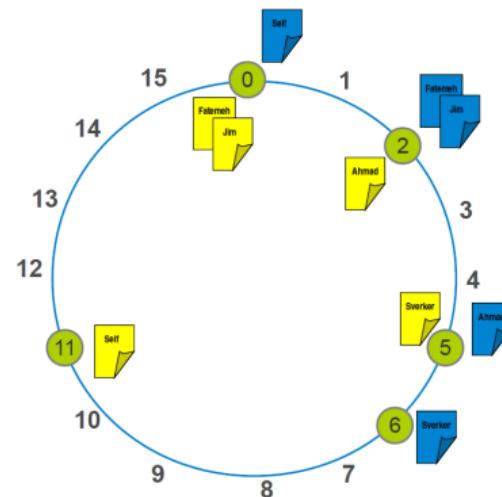
- ▶ Hash both **data** and **nodes** using the **same hash function** in a **same id space**.
- ▶ **partition = hash(d) mod n**, **d**: data, **n**: number of nodes

```
hash("Fatemeh") = 12  
hash("Ahmad") = 2  
hash("Seif") = 9  
hash("Jim") = 14  
hash("Sverker") = 4
```



Replication

- To achieve high availability and durability, data should be replicates on multiple nodes.





Data Consistency

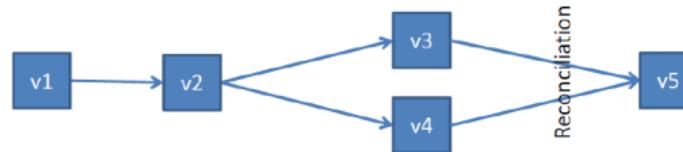


Data Consistency

- ▶ **Eventual consistency:** updates are propagated asynchronously.
- ▶ Each update/modification of an item results in a new and immutable version of the data.
 - Multiple versions of an object may exist.
- ▶ Replicas eventually become consistent.

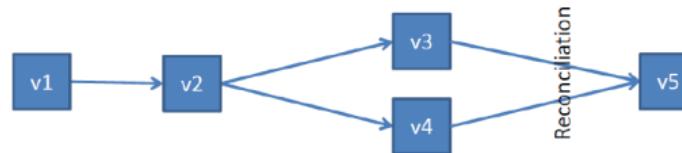
Data Versioning (1/2)

- ▶ Use **vector clocks** for capturing **causality**, in the form of (node, counter)
 - If **causal**: older version can be forgotten
 - If **concurrent**: conflict exists, requiring reconciliation



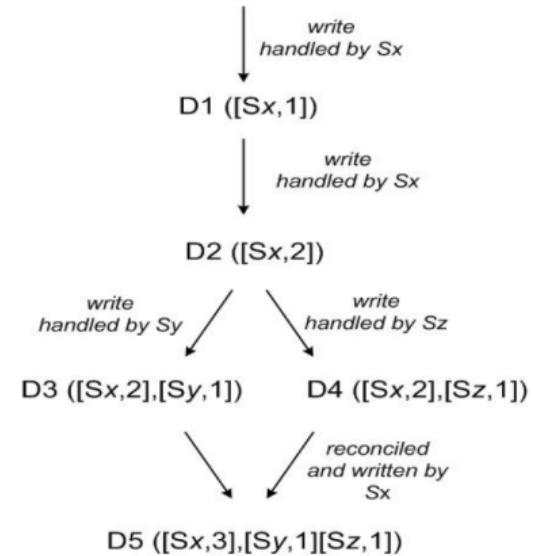
Data Versioning (1/2)

- ▶ Use **vector clocks** for capturing **causality**, in the form of (node, counter)
 - If **causal**: older version can be forgotten
 - If **concurrent**: conflict exists, requiring reconciliation
- ▶ **Version branching** can happen due to **node/network failures**.



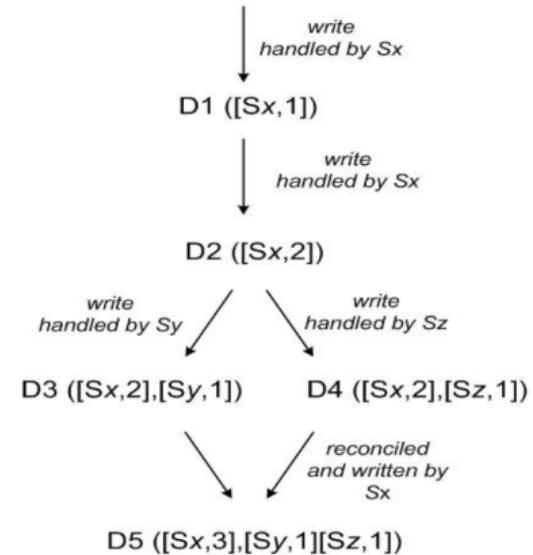
Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.



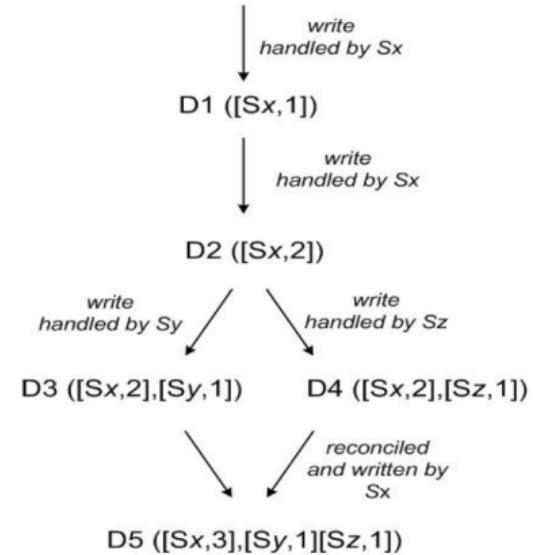
Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.
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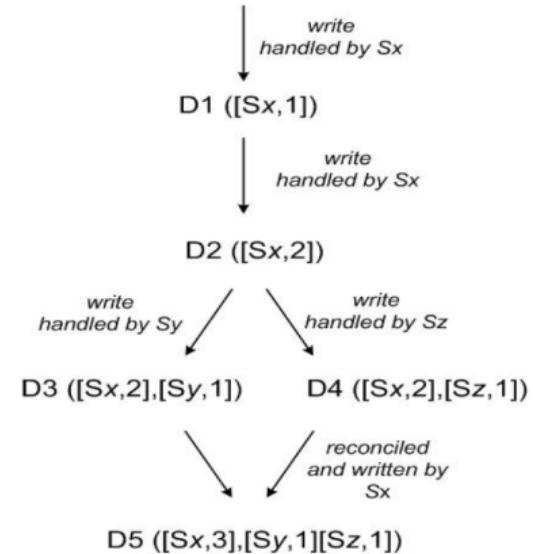
Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.
- ▶ C1 updates the object via Sx.
- ▶ C1 updates the object via Sy.



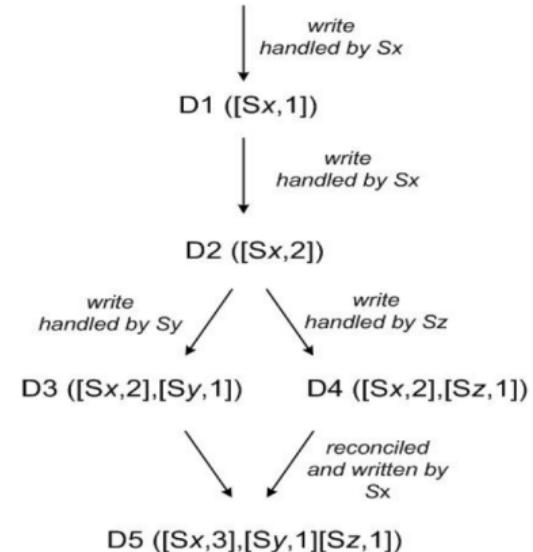
Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.
- ▶ C1 updates the object via Sx.
- ▶ C1 updates the object via Sy.
- ▶ C2 reads D2 and updates the object via Sz.



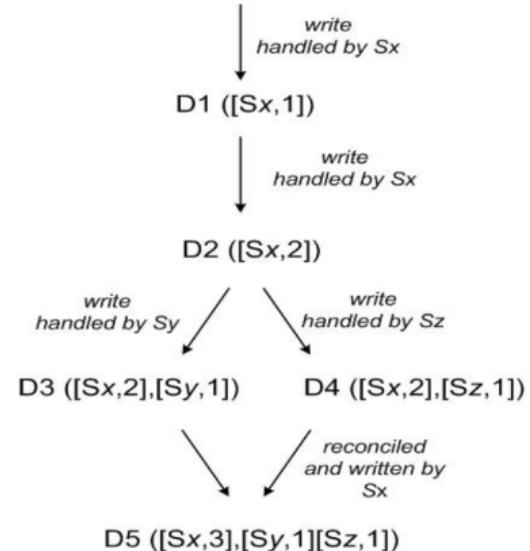
Data Versioning (2/2)

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- ▶ C1 updates the object via Sy.
- ▶ C2 reads D2 and updates the object via Sz.
- ▶ C3 reads D3 and D4 via Sx.
 - The read context is a summary of the clocks of D3 and D4: [(Sx, 2), (Sy, 1), (Sz, 1)].



Data Versioning (2/2)

- ▶ Client C1 writes new object via Sx.
- ▶ C1 updates the object via Sx.
- ▶ C1 updates the object via Sy.
- ▶ C2 reads D2 and updates the object via Sz.
- ▶ C3 reads D3 and D4 via Sx.
 - The read context is a summary of the clocks of D3 and D4: [(Sx, 2), (Sy, 1), (Sz, 1)].
- ▶ Reconciliation





Dynamo API



Dynamo API

► `get(key)`

- Return single object or list of objects with conflicting version and context.

► `put(key, context, object)`

- Store object and context under key.
- Context encodes system metadata, e.g., version number.



put Operation

- ▶ Coordinator generates **new vector clock** and writes the new version **locally**.
- ▶ Send to **N** nodes.
- ▶ Wait for response from **W** nodes.



get Operation

- ▶ Coordinator requests existing versions from N .
 - Wait for response from R nodes.
- ▶ If **multiple versions**, return all versions that are causally unrelated.
- ▶ **Divergent versions** are then reconciled.
- ▶ Reconciled version written back.



Membership Management

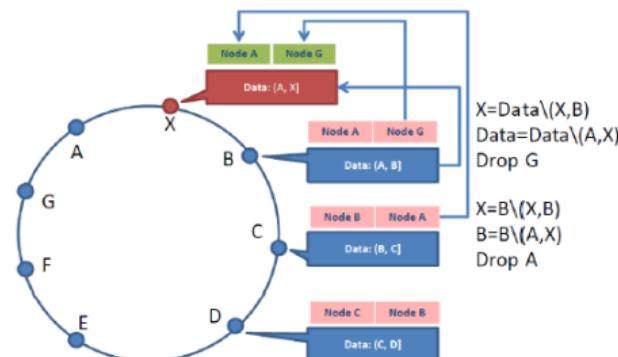


Membership Management

- ▶ Administrator explicitly adds and removes nodes.
- ▶ Gossiping to propagate membership changes.
 - Eventually consistent view.
 - $O(1)$ hop overlay.

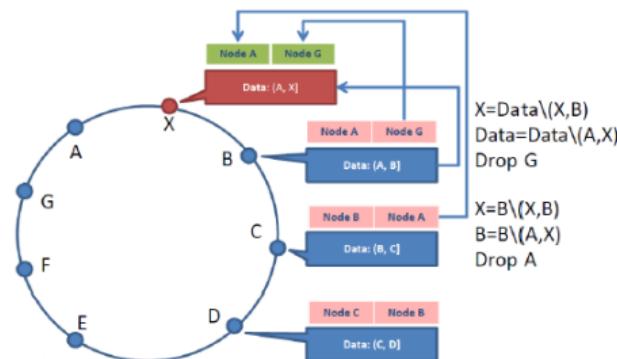
Adding and Removing Nodes

- ▶ A new node **X** added to system.
 - **X** is assigned key ranges w.r.t. its virtual servers.
 - For each key range, it **transfers the data items**.



Adding and Removing Nodes

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 - **X** is assigned key ranges w.r.t. its virtual servers.
 - For each key range, it **transfers the data items**.



- ▶ Removing a node: **reallocation of keys** is a reverse process of adding nodes.



Failure Detection

- ▶ **Passive** failure detection.
 - Use **pings** only for detection from failed to alive.
- ▶ In the **absence of client requests**, node **A** doesn't need to know if node **B** is alive.



BigTable



Motivation

- ▶ Lots of (semi-)structured data at Google.
 - URLs, TextGreener-user data, geographical locations, ...
- ▶ Big data
 - Billions of URLs, hundreds of millions of users, 100+TB of satellite image data, ...



BigTable

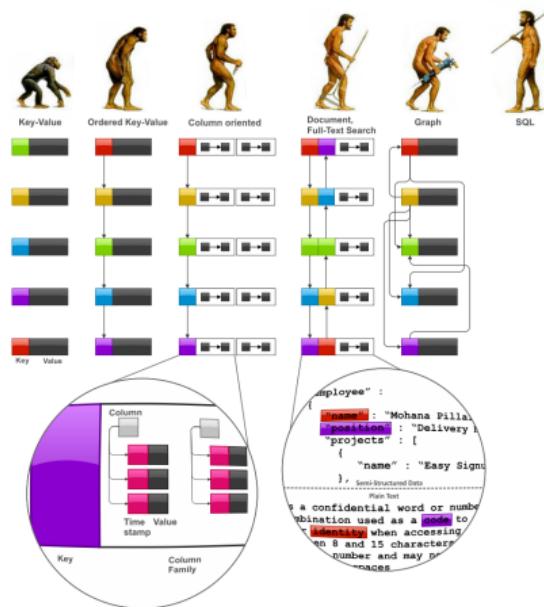
- ▶ Distributed multi-level map
- ▶ Fault-tolerant
- ▶ Scalable and self-managing
- ▶ CAP: strong consistency and partition tolerance





Data Model

Data Model



[<http://highlyscalable.wordpress.com/2012/03/01/nosql-data-modeling-techniques>]

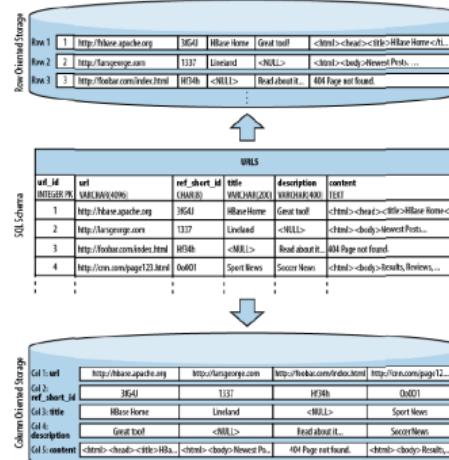
Column-Oriented Data Model (1/2)

- ▶ Similar to a **key/value** store, but the **value** can have multiple **attributes** (Columns).
- ▶ **Column**: a set of data **values** of a particular **type**.
- ▶ Store and process data by **column** instead of **row**.



Columns-Oriented Data Model (2/2)

- In many analytical databases queries, **few attributes** are needed.
- Column values** are stored **contiguously** on disk: **reduces I/O**.



[Lars George, "Hbase: The Definitive Guide", O'Reilly, 2011]



BigTable Data Model (1/5)

- ▶ Table
- ▶ Distributed multi-dimensional sparse map





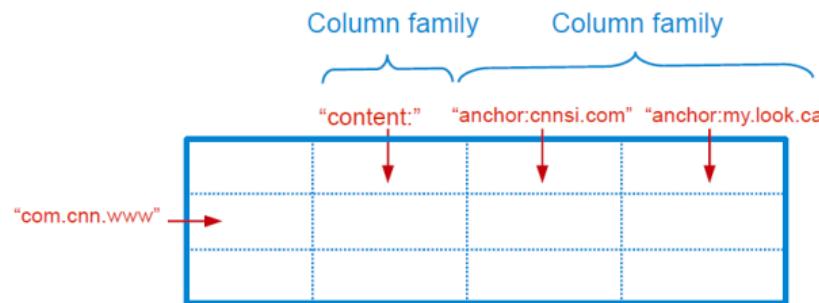
BigTable Data Model (2/5)

- ▶ Rows
- ▶ Every read or write in a **row** is **atomic**.
- ▶ Rows sorted in **lexicographical** order.



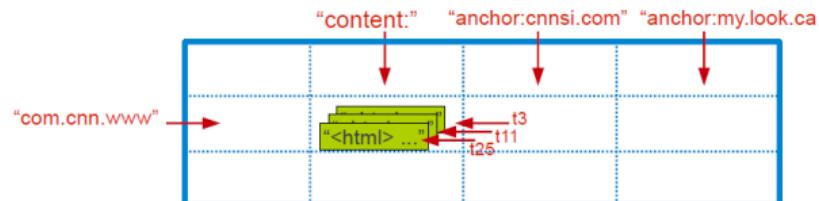
BigTable Data Model (3/5)

- ▶ Column
 - ▶ The **basic unit** of data access.
 - ▶ Column families: group of (the same type) column keys.
 - ▶ Column key naming: **family:qualifier**



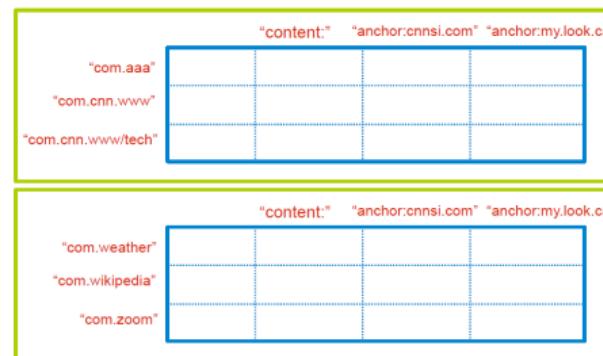
BigTable Data Model (4/5)

- ▶ **Timestamp**
- ▶ Each column value may contain multiple **versions**.



BigTable Data Model (5/5)

- ▶ **Tablet**: contiguous ranges of rows stored together.
- ▶ Tables are **split** by the system when they become too large.
- ▶ Each **tablet** is served by exactly one **tablet server**.

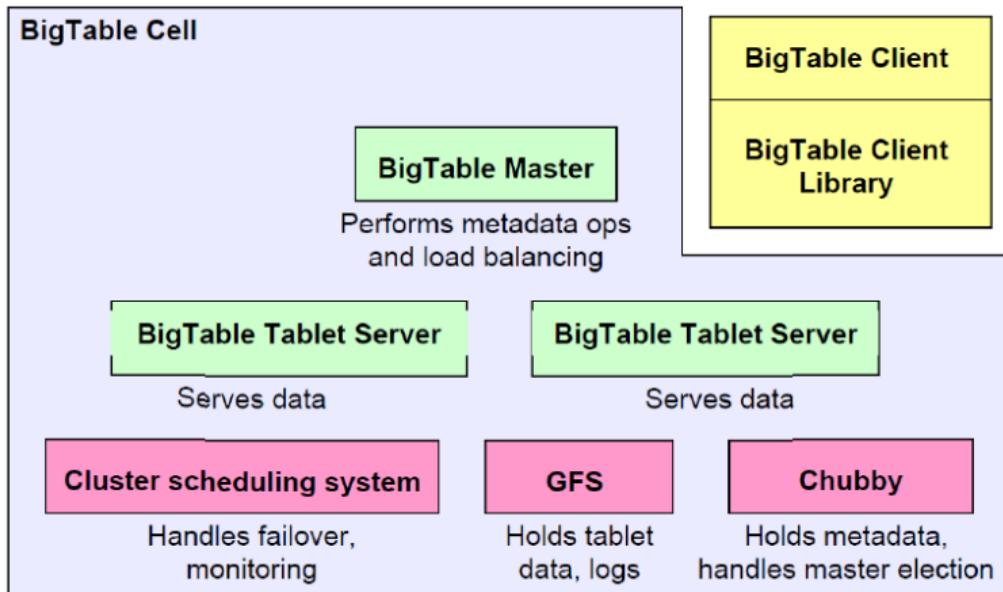




BigTable Architecture

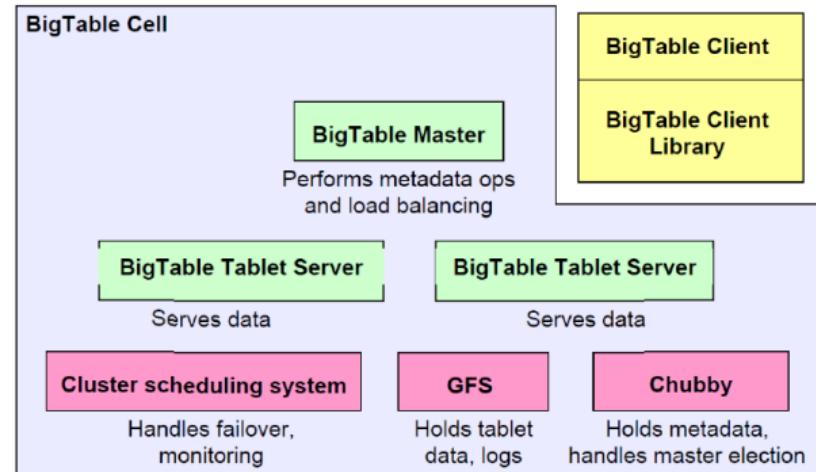


BigTable Cell



Main Components

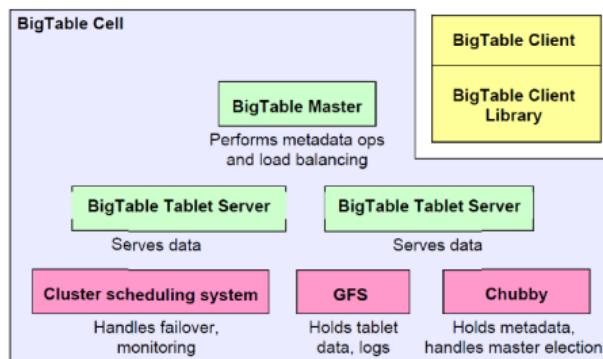
- ▶ Master server
- ▶ Tablet server
- ▶ Client library





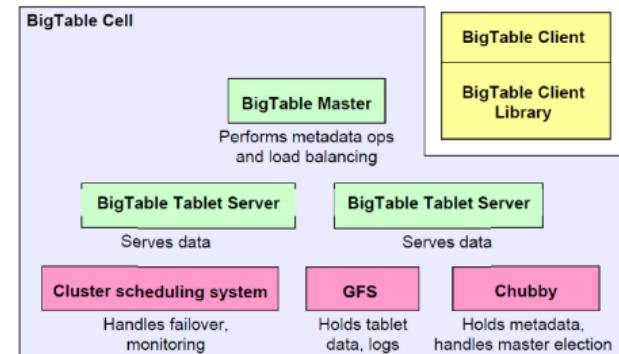
Master Server

- ▶ Assigns tablets to tablet server.
- ▶ Balances tablet server load.
- ▶ Garbage collection of unneeded files in GFS.
- ▶ Handles schema changes, e.g., table and column family creations



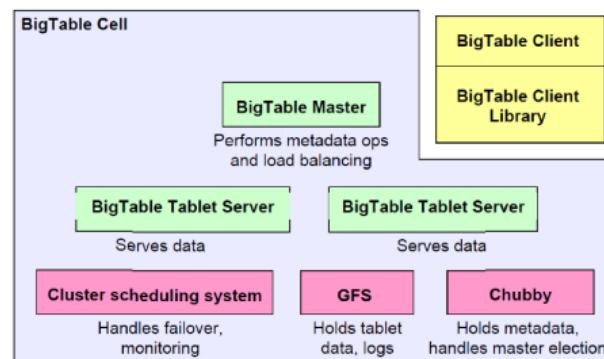
Tablet Server

- ▶ Can be **added** or **removed** **dynamically**.
- ▶ Each **manages** a set of tablets (typically 10-1000 tablets/server).
- ▶ Handles **read/write** requests to tablets.
- ▶ **Splits tablets** when too large.



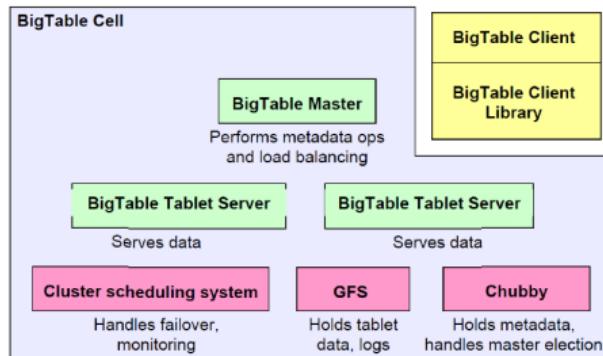
Client Library

- ▶ **Library** that is linked into every client.
- ▶ Client **data** does not move though the **master**.
- ▶ Clients communicate **directly** with **tablet servers** for **reads/writes**.



Building Blocks

- ▶ The building blocks for the BigTable are:
 - **Google File System (GFS)**: raw storage
 - **Chubby**: distributed lock manager
 - **Scheduler**: schedules jobs onto machines





Google File System (GFS)

- ▶ Large-scale **distributed file system**.
- ▶ Store **log and data** files.



Chubby Lock Service

- ▶ Ensure there is only **one active master**.
- ▶ Store **bootstrap location** of BigTable data.
- ▶ **Discover** tablet servers.
- ▶ Store BigTable **schema** information.
- ▶ Store **access control lists**.



Table Serving



Master Startup

- ▶ The **master** executes the following steps at **startup**:



Master Startup

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 - Grabs a unique master **lock in Chubby**, which prevents **concurrent master** instantiations.



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 - **Scans the servers directory** in Chubby to find the live servers.



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 - **Communicates** with every live tablet server to discover what tablets are already assigned to each server.



Master Startup

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 - **Scans the servers directory** in Chubby to find the live servers.
 - **Communicates** with every live tablet server to discover what tablets are already assigned to each server.
 - **Scans the METADATA** table to learn the set of tablets.



Tablet Assignment

- ▶ 1 tablet → 1 tablet server.



Tablet Assignment

- ▶ 1 tablet → 1 tablet server.
- ▶ Master uses **Chubby** to keep tracks of **live tablet servers** and **unassigned tablets**.
 - When a **tablet server starts**, it creates and acquires an **exclusive lock** in Chubby.



Tablet Assignment

- ▶ 1 tablet → 1 tablet server.
- ▶ Master uses **Chubby** to keep tracks of **live tablet servers** and **unassigned tablets**.
 - When a **tablet server starts**, it creates and acquires an **exclusive lock** in Chubby.
- ▶ Master detects the **status of the lock** of each tablet server by checking periodically.

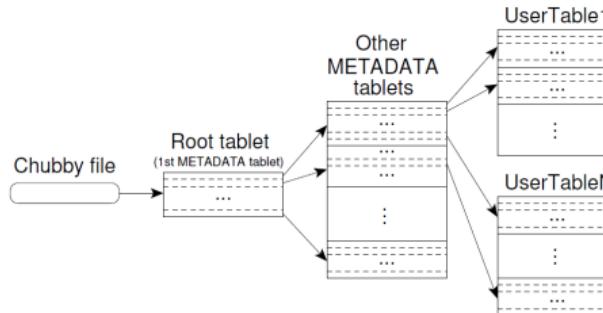


Tablet Assignment

- ▶ 1 tablet → 1 tablet server.
- ▶ Master uses **Chubby** to keep tracks of **live tablet servers** and **unassigned tablets**.
 - When a **tablet server starts**, it creates and acquires an **exclusive lock** in Chubby.
- ▶ Master detects the **status of the lock of each tablet server** by checking periodically.
- ▶ Master is responsible for finding when tablet server is **no longer serving its tablets** and **reassigning** those tablets as soon as possible.

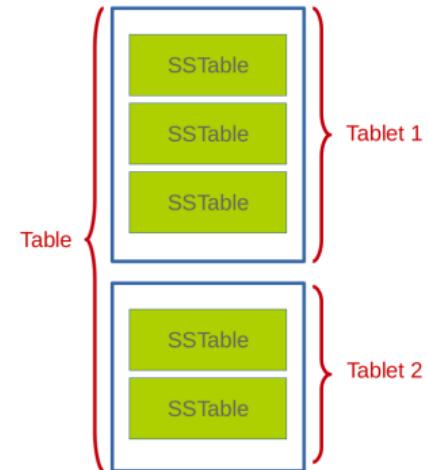
Finding a Tablet

- ▶ Three-level hierarchy.
- ▶ The first level is a **file stored in Chubby** that contains the location of the root tablet.
- ▶ Root tablet contains **location of all tablets** in a special **METADATA** table.
- ▶ **METADATA** table contains location of each **tablet** under a row.
- ▶ The client library **caches tablet locations**.



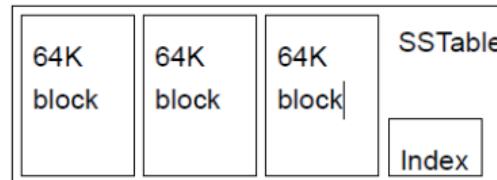
SSTable (1/2)

- ▶ **SSTable** file format used internally to store Bigtable data.
- ▶ Immutable, sorted file of key-value pairs.
- ▶ Each SSTable is stored in a **GFS file**.



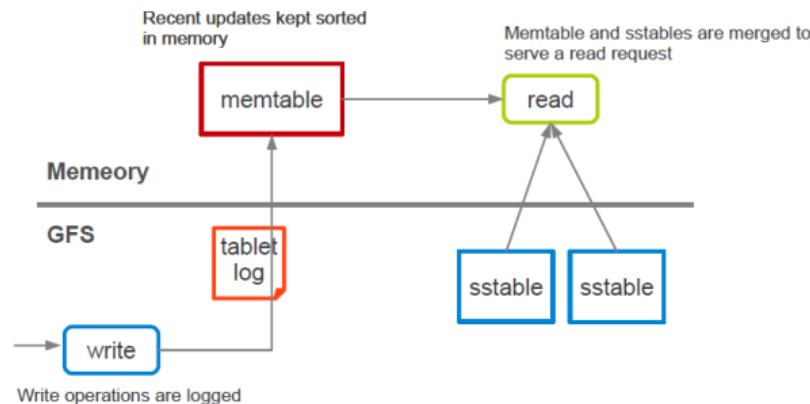
SSTable (2/2)

- ▶ Chunks of **data** plus a **block index**.
 - A **block index** is used to **locate blocks**.
 - The index is **loaded into memory** when the SSTable is opened.



Tablet Serving (1/2)

- ▶ Updates committed to a **commit log**.
- ▶ Recently committed updates are stored in **memory** - **memtable**
- ▶ Older updates are stored in a sequence of **SSTables**.





Tablet Serving (2/2)

- ▶ Strong consistency

- Only one tablet server is responsible for a given piece of data.
- Replication is handled on the GFS layer.



Tablet Serving (2/2)

- ▶ Strong consistency
 - Only one tablet server is responsible for a given piece of data.
 - Replication is handled on the GFS layer.
- ▶ Trade-off with availability
 - If a tablet server fails, its portion of data is temporarily unavailable until a new server is assigned.



Loading Tablets

- ▶ To load a tablet, a **tablet server** does the following:
- ▶ Finds **location of tablet** through its **METADATA**.
 - Metadata for a tablet includes **list of SSTables** and set of redo points.
- ▶ Read **SSTables index blocks** into memory.
- ▶ Read the **commit log** since the redo point and reconstructs the **memtable**.



Compaction

- ▶ **Minor** compaction
 - Convert the **memtable** into an **SSTable**.



Compaction

- ▶ **Minor** compaction
 - Convert the **memtable** into an **SSTable**.
- ▶ **Merging** compaction
 - Reads the contents of a **few SSTables and the memtable**, and writes out a new **SSTable**.



Compaction

- ▶ **Minor** compaction
 - Convert the **memtable** into an **SSTable**.
- ▶ **Merging** compaction
 - Reads the contents of a **few SSTables and the memtable**, and writes out a new **SSTable**.
- ▶ **Major** compaction
 - Merging compaction that results in only one **SSTable**.
 - No deleted records, only sensitive live data.



BigTable vs. HBase

BigTable	HBase
GFS	HDFS
Tablet Server	Region Server
SSTable	StoreFile
Memtable	MemStore
Chubby	ZooKeeper



HBase Example

```
# Create the table "test", with the column family "cf"
create 'test', 'cf'

# Use describe to get the description of the "test" table
describe 'test'

# Put data in the "test" table
put 'test', 'row1', 'cf:a', 'value1'
put 'test', 'row2', 'cf:b', 'value2'
put 'test', 'row3', 'cf:c', 'value3'

# Scan the table for all data at once
scan 'test'

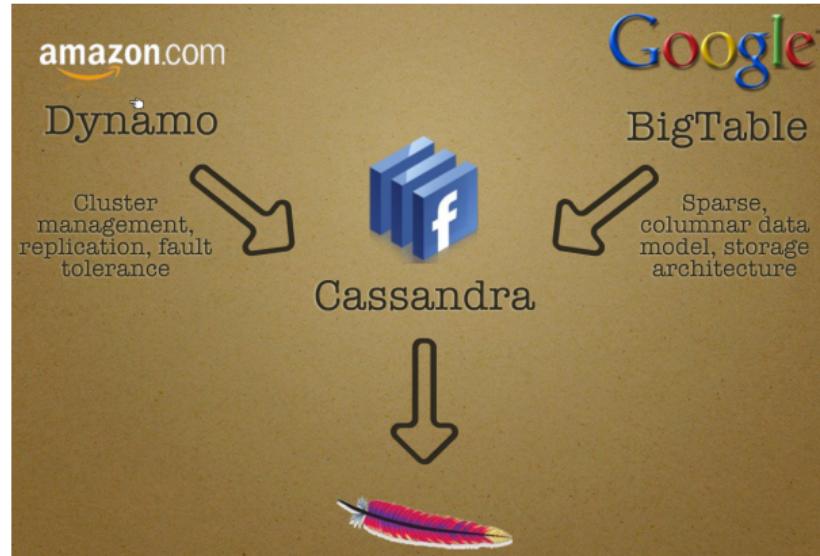
# To get a single row of data at a time, use the get command
get 'test', 'row1'
```



Cassandra



Cassandra





From Dynamo

- ▶ Symmetric P2P architecture
- ▶ Gossip based discovery and error detection
- ▶ Distributed key-value store: partitioning and topology discovery
- ▶ Eventual consistency



From BigTable

- ▶ Sparse Column oriented sparse array
- ▶ SSTable disk storage
 - Append-only commit log
 - Memtable (buffering and sorting)
 - Immutable sstable files
 - Compaction



Cassandra Example

```
# Create a keyspace called "test"
# (a keyspace is similar to a database in the RDBMS)
create keyspace test
with replication = {'class': 'SimpleStrategy', 'replication_factor': 1};

# Print the list of keyspaces
describe keyspaces;

# Navigate to the "test" keyspace
use test

# Create the "words" table in the "test" keyspace
create table words (word text, count int, primary key (word));

# Insert a row
insert into words(word, count) values('hello', 5);

# Look at the table
select * from words;
```



Summary



Summary

- ▶ NoSQL data models: key-value, column-oriented, document-oriented, graph-based
- ▶ Sharding and consistent hashing
- ▶ ACID vs. BASE
- ▶ CAP (Consistency vs. Availability)



Summary

- ▶ Dynamo: key/value storage: put and get
- ▶ Data partitioning: consistent hashing
- ▶ Replication: several nodes, preference list
- ▶ Data versioning: vector clock, resolve conflict at read time by the application
- ▶ Membership management: join/leave by admin, gossip-based to update the nodes' views, ping to detect failure



Summary

- ▶ BigTable
- ▶ Column-oriented
- ▶ Main components: master, tablet server, client library
- ▶ Basic components: GFS, SSTable, Chubby

References

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- ▶ F. Chang et al., Bigtable: A distributed storage system for structured data, ACM Transactions on Computer Systems (TOCS) 26.2, 2008.
- ▶ A. Lakshman et al., Cassandra: a decentralized structured storage system, ACM SIGOPS Operating Systems Review 44.2, 2010.



Questions?