**Integrating the Healthcare Enterprise**



**IHE IT Infrastructure**

**Technical Framework Supplement**

**Patient Master Identity Registry**

**(PMIR)**

HL7® FHIR® R4

Using Resources at FMM Level 2-N

**Revision 1.3 – Trial Implementation**

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Please verify you have the most recent version of this document. See [here](http://ihe.net/Technical_Frameworks/) for Trial Implementation and Final Text versions and [here](http://ihe.net/Public_Comment/) for Public Comment versions.

**Foreword**

This is a supplement to the IHE IT Infrastructure Technical Framework V17.0. Each supplement undergoes a process of public comment and trial implementation before being incorporated into the volumes of the Technical Frameworks.

This supplement is published on December 11, 2020 for trial implementation and may be available for testing at subsequent IHE Connectathons. The supplement may be amended based on the results of testing. Following successful testing it will be incorporated into the IT Infrastructure Technical Framework. Comments are invited and may be submitted at [http://www.ihe.net/ITI\_Public\_Comments](http://www.ihe.net/ITI_Public_Comments/).

This supplement describes changes to the existing technical framework documents.

“Boxed” instructions like the sample below indicate to the Volume Editor how to integrate the relevant section(s) into the relevant Technical Framework volume.

Amend Section X.X by the following:

Where the amendment adds text, make the added text bold underline. Where the amendment removes text, make the removed text bold strikethrough. When entire new sections are added, introduce with editor’s instructions to “add new text” or similar, which for readability are not bolded or underlined.

General information about IHE can be found at [http://ihe.net](http://ihe.net/).

Information about the IHE IT Infrastructure domain can be found at [http://ihe.net/IHE\_Domains](http://ihe.net/IHE_Domains/).

Information about the organization of IHE Technical Frameworks and Supplements and the process used to create them can be found at [http://ihe.net/IHE\_Process](http://ihe.net/IHE_Process/) and [http://ihe.net/Profiles](http://ihe.net/Profiles/).

The current version of the IHE IT Infrastructure Technical Framework can be found at [http://ihe.net/Technical\_Frameworks](http://ihe.net/Technical_Frameworks/).

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# Introduction to this Supplement

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Whenever possible, IHE profiles are based on established and stable underlying standards. However, if an IHE domain determines that an emerging standard has high likelihood of industry adoption, and the standard offers significant benefits for the use cases it is attempting to address, the domain may develop IHE profiles based on such a standard. During Trial Implementation, the IHE domain will update and republish the IHE profile as the underlying standard evolves.  Product implementations and site deployments may need to be updated in order for them to remain interoperable and conformant with an updated IHE profile.  This PMIR Profile incorporates content from Release 4 of the HL7® FHIR® standard. HL7 describes FHIR Change Management and Versioning at <https://www.hl7.org/fhir/versions.html>.  HL7 provides a rating of the maturity of FHIR content based on the FHIR Maturity Model (FMM): level 0 (draft) through N (Normative). See http://hl7.org/fhir/versions.html#maturity.  The FMM levels for FHIR content used in this profile are:   |  |  | | --- | --- | | FHIR Content | FMM Level | | Patient | N | | RelatedPerson | 2 | | Bundle | N | | MessageHeader | 4 | | Subscription | 3 | |

The Patient Master Identity Registry (PMIR) Profile supports the creating, updating and deprecating of patient master identity information about a subject of care, as well as subscribing to changes to the patient master identity, using the HL7 FHIR standard resources and RESTful transactions. In PMIR, “patient identity” information includes all information found in the FHIR Patient Resource such as identifier, name, phone, gender, birth date, address, marital status, photo, others to contact, preference for language, general practitioner, and links to other instances of identities. The “patient master identity” is the dominant patient identity managed centrally among many participating organizations (a.k.a., “Golden Patient Identity”).

Beyond the basic create, retrieve, update, and delete transaction set, this profile addresses important patient safety issues related to cases where there are two or more patient master identities that have been established for the same person, thus it is not clear which identity is the “true” one. There is also a risk that health data (possibly conflicting) may be associated with each identity – and these disparate data, together, may need to be reconciled before a fully and accurate “health picture” can be developed for this person. These situations represent patient safety risks. This profile addresses how these multiple patient master identities can be merged into a single patient master identity, and how this merge flows down to data custodians so that they take appropriate actions. It is outside the scope of this profile to define how references to the deprecated patient master identity from other data should be handled.

This profile is intended for FHIR-only configurations without other underlying standards for patient master identity management. The FHIR message pattern was chosen because it fits well into the subscription notification model.

## Open Issues and Questions

**PMIR-1:** HL7 Patient Administration workgroup is looking at better defining the patient merge/link functionality in FHIR. We will follow this work and collaborate with HL7 to keep these efforts aligned. See <https://confluence.hl7.org/display/PA/Merge+Operation>

**PMIR-2:** Should we include shall, should, or may for Provenance resources in the Mobile Patient Identity Feed transaction? This version doesn’t provide any guidance on Provenance, should it?

**PMIR-6:** Should we include an option on the Patient Identity Registry to support the FHIR $match operation on patients? This would require an additional actor and transaction.

**PMIR-7:** IHE has submitted a Change Request [GF#23009](https://gforge.hl7.org/gf/project/fhir/tracker/?action=TrackerItemEdit&tracker_item_id=23009) with HL7 to clarify their usage of link with RelatedPerson as recommended in this profile to allow for management of parent relationships to children.

**PMIR-8:** Should we require maintaining resource versioning information when updates are made to resources?

**PMIR-9:** If other domain patient identities are included in the feed, should there be expected actions on the Patient Identity Registry or Patient Identity Consumer?

**PMIR-10:** The security for the feed transaction is currently being debated in IHE and HL7. The mutual authentication solution in ATNA may be used. The IUA solution with OAuth identities may be used. These solutions aren’t straight-forward and resolution of IHE’s recommendation requires trial implementation feedback.

**PMIR-11:** CP-ITI-1203 changed the name of a PMIR actor from “Patient Identity Manager” to “Patient Identity Registry” to better reflect the passive role that the actor plays in PMIR. There was a suggestion at that time to change the name of the profile from “Patient Master Identity Registry” to “Patient Master Identity Registration.” Should the profile be renamed?

## Closed Issues

**PMIR-3:** Should Subscription be an option or required on the Patient Identity Registry? Should the configurable feed destination be an option or required for Patient Identity Registry?

*Subscription is required and there is no requirement for how a configurable feed destination is handled.*

***PMIR-4:*** *There is a new profile work item in ITI that would bind this profile and MHD into a FHIR based document sharing health information exchange. That profile is Mobile Health Document Sharing (MHDS).*

**PMIR-5:** In some profiles demographics is used to cover all demographic information including identifiers. This profile uses identity to cover demographics and identifiers. Which term is most clear and should this profile use demographics instead of identity?

*We have used identity to cover both identifiers and demographics as defined under the new glossary terms and in the Concepts section.*

# IHE Technical Frameworks General Introduction

The [IHE Technical Framework General Introduction](http://ihe.net/Technical_Frameworks/#GenIntro) is shared by all of the IHE domain technical frameworks. Each technical framework volume contains links to this document where appropriate.

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# IHE Technical Frameworks General Introduction Appendices

The [IHE Technical Framework General Introduction Appendices](http://ihe.net/Technical_Frameworks/#GenIntro) are components shared by all of the IHE domain technical frameworks. Each technical framework volume contains links to these documents where appropriate.

Update the following appendices to the General Introduction as indicated below. Note that these are **not** appendices to this domain’s Technical Framework (TF-1, TF-2, TF-3 or TF-4) but rather, they are appendices to the IHE Technical Frameworks General Introduction located [here](https://www.ihe.net/resources/technical_frameworks/#GenIntro).

# Appendix A – Actor Summary Definitions

*Add the following new or modified actors to the IHE Technical Frameworks General Introduction Appendix A:*

|  |  |
| --- | --- |
| Actor Name | Definition |
| Patient Identity Registry | Receives patient identity updates, manages patient master identities, sends changes, and provides a searchable repository of patient identity information. |
| Patient Identity Subscriber | Sends subscription requests for patient identity updates ~~to be sent to a Patient Identity Consumer~~. |
| Patient Identity Consumer | Receives patient identity updates. |

# Appendix B – Transaction Summary Definitions

Add the following new or modified transactions to the IHE Technical Frameworks General Introduction Appendix B:

|  |  |
| --- | --- |
| Transaction Name and Number | Definition |
| Mobile Patient Identity Feed [ITI-93] | Notifications of all events related to patient identities (creation, update, link, etc.). |
| Subscribe to Patient Updates [ITI-94] | Subscription to notifications about events impacting patient identities (creation, update, link, etc.). |

# Appendix D – Glossary

Add the following new or modified glossary terms to the IHE Technical Frameworks General Introduction Appendix D.

No additions to the general glossary for the IHE Technical Framework.

Volume 1 – Profiles

Add new Section 49

# 49 Patient Master Identity Registry (PMIR) Profile

The Patient Master Identity Registry (PMIR) Profile is intended for use in an environment where each patient has a single “Golden Patient record”, such as in Low and Middle Income Countries (LMIC) or in Organization for Economic Cooperation and Development (OECD) settings. PMIR supports creating, updating, and deprecating patient identity information about a subject of care, as well as subscribing to changes, using HL7 FHIR resources and RESTful transactions.

**PMIR Concepts and Defined Terms:**

In PMIR, a patient has a single “Patient Master Identity” (a.k.a. Golden Patient record) that is comprised of identifying information, such as business identifiers, name, phone, gender, birth date, address, marital status, photo, contacts, preference for language, and links to other patient identities (e.g., a mother’s identity linked to a newborn).

A “Master Patient Identification Domain" is a collection of Patient Master Identities shared among a set of PMIR Patient Identity Source and Patient Identity Consumer Actors using the services of a single Patient Identity Registry.

A patient’s Patient Master Identity is managed by the Patient Identity Sources in the domain according to policies and business rules that determine when those Sources create, update, or delete a Patient Master Identity, or merge two Patient Master Identities in the Registry. The policies may include authorization needed for a Patient Identity Consumer to access Patient Master Identities. These policies vary by domain and are not constrained by this profile.

PMIR also enables resolution of cases where two or more Patient Master Identities have mistakenly been established for the same person. There is a patient safety risk that health data (possibly conflicting) may be associated with each identity, and these disparate data may need to be reconciled. This profile addresses how these multiple Patient Master Identities can be merged into a single Patient Master Identity, and how this merge flows down to data custodians so that they take appropriate actions. It is outside the scope of this profile to define how references from other data to a subsumed Patient Master Identity should be handled. Other IHE profiles, such as Mobile Health Document Sharing (MHDS), address these requirements.

## 49.1 PMIR Actors, Transactions, and Content Modules

This section defines the actors, transactions, and/or content modules in this profile. General definitions of actors are given in the Technical Frameworks General Introduction Appendix A. IHE Transactions can be found in the Technical Frameworks General Introduction Appendix B. Both appendices are located at <http://ihe.net/Technical_Frameworks/#GenIntro>

Figure 49.1-1 shows the actors directly involved in the PMIR Profile and the relevant transactions between them.

Patient Identity Source

Patient Identity Registry

Patient Identity Consumer

Patient Identity Subscriber

Patient Demographics Consumer

Patient Identifier Cross-reference Consumer

Mobile Patient Identity Feed [ITI-93]

Mobile Patient Identity Feed [ITI-93] →

Subscribe to Patient Updates

[ITI-94]

Mobile Patient Identifier Cross-reference Query [ITI-83] →

Mobile Patient Demographics Query [ITI-78] →

↑

↓

Figure 49.1-1: PMIR Actor Diagram

Table 49.1-1 lists the transactions for each actor directly involved in the PMIR Profile. To claim compliance with this profile, an actor shall support all required transactions (labeled “R”) and may support the optional transactions (labeled “O”).

Table 49.1-1: PMIR Profile - Actors and Transactions

| Actors | Transactions | Initiator or Responder | Optionality | Reference |
| --- | --- | --- | --- | --- |
| Patient Identity Source | Mobile Patient Identity Feed [ITI-93] | Initiator | R | ITI TF-2: 3.93 |
| Patient Identity Consumer | Mobile Patient Identity Feed [ITI-93] | Responder | R | ITI TF-2: 3.93 |
| Patient Identity Registry | Mobile Patient Identity Feed [ITI-93] | Initiator and Responder | R | ITI TF-2: 3.93 |
| Mobile Patient Identifier Cross-reference Query [ITI-83] | Responder | R | ITI TF-2: 3.83  (Note 1) |
| Mobile Patient Demographics Query [ITI-78] | Responder | R | ITI TF-2: 3.78  (Note 2) |
| Subscribe to Patient Updates [ITI-94] | Responder | R | ITI TF-2: 3.94 |
| Patient Demographics Consumer | Mobile Patient Demographics Query [ITI-78] | Initiator | R | ITI TF-2: 3.83 |
| Patient Identifier Cross-reference Consumer | Mobile Patient Identifier Cross-reference Query [ITI-83] | Initiator | R | ITI TF-2: 3.83 |
| Patient Identity Subscriber | Subscribe to Patient Updates [ITI-94] | Initiator | R | ITI TF-2: 3.94 |

Note 1: The Patient Identity Registry shall respond to [ITI-83] queries using the requirements in that transaction for the Patient Identity Cross-Reference Manager.

Note 2: The Patient Identity Registry shall respond to [ITI-78] queries using the requirements in that transaction for the Patient Demographics Supplier.

### 49.1.1 Actor Descriptions and Actor Profile Requirements

Most requirements are documented in ITI TF-2 Transactions. This section documents additional requirements on the profile’s actors.

#### 49.1.1.1 Patient Identity Registry

In PMIR, there is one and only one Patient Identity Registry in a Master Patient Identification Domain. The Patient Identity Registry is a passive store for shared (or golden) identities that are under the distributed control of Patient Identity Sources.

The Patient Identity Registry persists Patient Master Identities from the Patient Identity Sources in the Master Patient Identification Domain.

The Patient Identity Registry makes available the Patient Master Identity for each patient in the Patient Identification Domain:

* when responding to a Mobile Patient Demographics Query [ITI-78] or Mobile Patient Identifier Cross-reference Query [ITI-83]
* when sending Mobile Patient Identity Feed [ITI-93] to a Patient Identity Consumer

#### 49.1.1.2 Patient Identity Source

Patient Identity Sources are responsible for the accuracy of Patient Master Identities in the Patient Identity Registry.

All Patient Identity Source Actors in the Master Patient Identification Domain shall apply the domain’s policies and business rules for determining the accuracy of a patient’s Patient Master Identity and thus when to use Mobile Patient Identity Feed [ITI-93] to initiate a create, update, delete, or merge of Patient Master Identities. For example, during a patient encounter, a Patient Identity Source may group with a Patient Demographics Consumer to use PDQm Query [ITI-78] to find the patient’s Patient Master Identity. If more than one Patient Master Identity appears to refer to that same patient, it is the responsibility of that Patient Identity Source to decide, based on domain policy, whether it needs to initiate a merge of one of the Patient Master Identities into a single surviving Patient Master Identity.

## 49.2 PMIR Actor Options

Options that may be selected for each actor in this profile, if any, are listed in the Table 49.2-1. Dependencies between options, when applicable, are specified in notes.

Table 49.2-1: PMIR – Actors and Options

|  |  |  |
| --- | --- | --- |
| Actor | Option Name | Reference |
| Patient Identity Source | None | -- |
| Patient Identity Consumer | None | -- |
| Patient Identity Registry | None | -- |
| Patient Demographics Consumer | None | -- |
| Patient Identifier Cross-reference Consumer | None | -- |
| Patient Identity Subscriber | None | -- |

## 49.3 PMIR Required Actor Groupings

Table 49.3-1: PMIR Profile - Required Actor Groupings

|  |  |  |  |
| --- | --- | --- | --- |
| PMIR Actor | Actor(s) to be grouped with | Reference | Content Bindings Reference |
| Patient Identity Source | None | -- | -- |
| Patient Identity Consumer | None | -- | *--* |
| Patient Identity Registry | None | -- | *--* |
| Patient Demographics Consumer | None | -- | -- |
| Patient Identifier Cross-reference Consumer | None | -- | -- |
| Patient Identity Subscriber | None | -- | *--* |

## 49.4 PMIR Overview

### 49.4.1 Intentionally Left Blank

### 49.4.2 Use Cases

#### 49.4.2.1 Use Case #1: Lookup Patient Identity

A client needs to lookup a patient master identity.

##### 49.4.2.1.1 Lookup Patient Identity Use Case Description

When a patient master identity is needed, a client uses a PIXm or PDQm query; then a patient master identity will be returned.

#### 49.4.2.2 Use Case #2: Create Patient Identity

A new client record is created in a demographic database.

##### 49.4.2.2.1 Create Patient Identity Use Case Description

Following a healthy pregnancy, Mosa gives birth to her new baby Joshua. Information is captured about Joshua and about the relationship between him and his parents in the care facility’s electronic medical records (EMR) system. Leveraging the information in the EMR, a new patient master identity record is created for baby Joshua in the Ministry of Health’s (MOH) national client registry (CR).

Joshua’s patient master identity in the client registry establishes his unique identity across the care delivery network operated under the auspices of the MOH. Joshua’s data are also securely shared with the Civil Registration and Vital Statistics (CRVS) database maintained by the Ministry of Home Affairs in the country where Joshua was born. These data are used to generate a birth certificate for Joshua.

Some days after Mosa and Joshua return home from the care facility, Joshua’s health card and his birth certificate are delivered. Joshua now has his unique master identifier for health purposes and his birth certificate, which affords him a legal status in his country.

In Figure 49.4.2.2.2-1 below: The EMR acts as a Patient Identity Source. The CR acts as the Patient Identity Registry. The CRVS acts as a Patient Identity Consumer.

##### 49.4.2.2.2 Create Patient Identity Process Flow

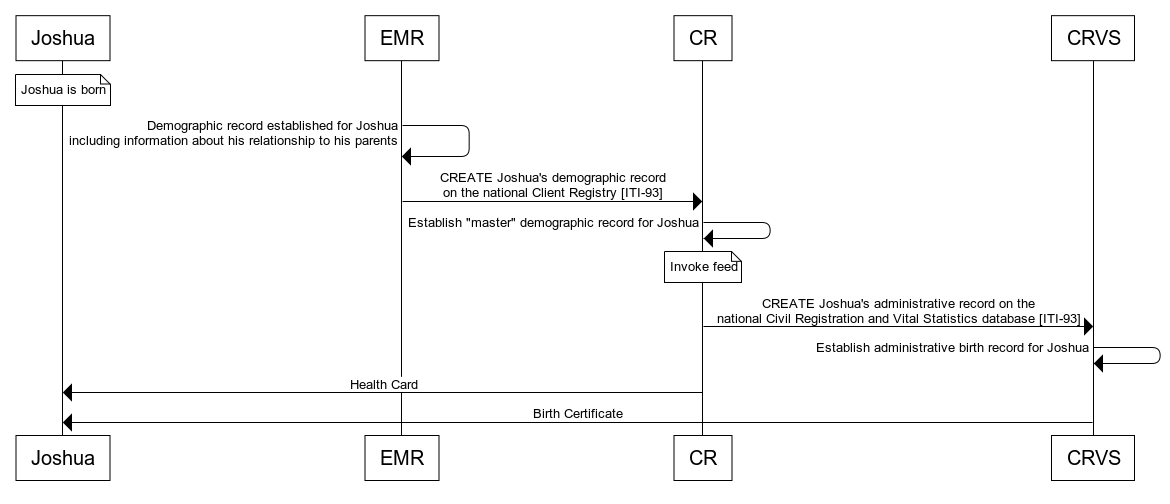


Figure 49.4.2.2.2-1: Process Flow for the Create Patient Identity Use Case

@startuml

participant Joshua

participant EMR

participant CR

participant CRVS

Note over Joshua: Joshua is born

EMR->EMR: Demographic record established for Joshua\nincluding information about his relationship to his parents

EMR->CR: CREATE Joshua's demographic record\non the national Client Registry [ITI-93]

CR->CR: Establish "master" demographic record for Joshua

Note over CR: Invoke feed

CR->CRVS: CREATE Joshua's administrative record on the\nnational Civil Registration and Vital Statistics database [ITI-93]

CRVS->CRVS: Establish administrative birth record for Joshua

CR->Joshua: Health Card

CRVS->Joshua: Birth Certificate

@enduml

Figure 49.4.2.2.2-2: Create Patient Identity Workflow Diagram Pseudocode

**Pre-conditions:**

Joshua is born at a care facility. The details about his name, his gender, and his parental relationships are known. These are captured in the care facility’s EMR. Since this is a birth and the first time the record is entered in the EMR no queries are made to search for existing records.

**Main Flow:**

Joshua’s information in the care facility’s EMR is communicated to the MOH’s national Client Registry (CR). If the information is valid, the patient identity will be created on the CR. Joshua’s information in the CR is also communicated to the MOH’s national Civil Registration and Vital Statistics (CRVS) database.

**Post-conditions:**

If the Create message was valid, his new patient master identity will be established on the MOH’s CR and on the MOH’s CRVS. In time, Joshua will receive his health card and his birth certificate.

#### 49.4.2.3 Use Case #3: Update Patient Identity

An existing client identity is updated in an identity database.

##### 49.4.2.3.1 Update Patient Identity Use Case Description

Following a healthy childhood and after completing his schooling, Joshua leaves home to start a new job in a nearby city. As part of starting his new job at his new company, Joshua attends at a local community clinic in the new city to obtain a physical check-up as part of the process to become enrolled in the company’s health insurance plan.

Joshua’s identity details are created in the clinic’s EMR with his new address and his new mobile phone number. The EMR searches the CR for Joshua’s master patient identity and then updates the MOH CR with Joshua’s updated identity details.

In Figure 49.4.2.3.2-1 below: The EMR acts as a Patient Identity Supplier. The CR acts as the Patient Identity Registry.

##### 49.4.2.3.2 Update Patient Identity Process Flow

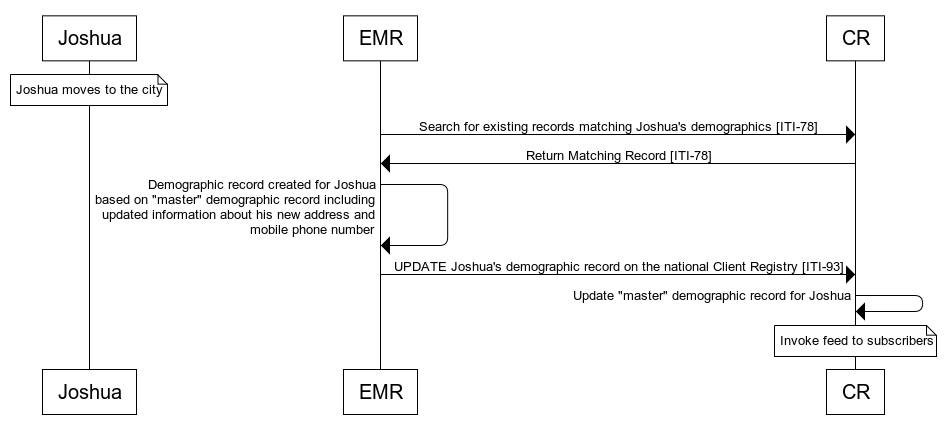


Figure 49.4.2.3.2-1: Process Flow for the Update Patient Identity Use Case

@startuml

participant Joshua

participant EMR

participant CR

Note over Joshua: Joshua moves to the city

EMR->CR: Search for existing records matching Joshua's demographics [ITI-78]

CR->EMR: Return Matching Record [ITI-78]

EMR->EMR: Demographic record created for Joshua\nbased on "master" demographic record including\nupdated information about his new address and\nmobile phone number

EMR->CR: UPDATE Joshua's demographic record on the national Client Registry [ITI-93]

CR->CR: Update "master" demographic record for Joshua

Note over CR: Invoke feed to subscribers93@enduml

Figure 49.4.2.3.2-2: Update Patient Identity Workflow Diagram Pseudocode

**Pre-conditions:**

Joshua has moved to the city and has a new address and mobile phone number. Joshua’s master patient identity is retrieved from the CR into the EMR and these updated details are captured in the community care facility’s EMR.

**Main Flow:**

Joshua’s information in the care facility’s EMR is communicated as an UPDATE to the MOH’s national Client Registry (CR).

**Post-conditions:**

If the EMR message was valid, his existing patient master identity will be updated on the MOH’s CR with the new, more up-to-date information that was captured in the community clinic’s EMR.

#### 49.4.2.4 Use Case #4: Merge Patient Identities

A *duplicate* patient master identity is detected, in an identity database. This duplicate patient master identity is merged with the pre-existing *correct* patient master identity and health data that has been captured against the duplicate patient master identity is corrected to the *surviving* patient master identity.

##### 49.4.2.4.1 Merge Patient Identities Use Case Description

Joshua becomes concerned and travels to a different city to visit a Voluntary Counseling and Testing (VCT) clinic to be tested for HIV. He pretends that he has forgotten his health card and provides inaccurate demographic information at the VCT, who set up a new record for him in their EMR. The EMR communicates this demographic information to the MOH’s CR where a new demographic record for Joshua is established.

Joshua completes the HIV rapid test, which is positive. A confirmatory test is taken, which must be sent to the regional lab for processing. Both the results of the rapid test and the results of the confirmatory test reference Joshua’s *duplicate* patient master identity. The test results are saved to the Shared Health Record (SHR) referencing the duplicate patient master identity.

When Joshua returns to the clinic to receive his confirmatory lab results, and after receiving counselling regarding confidentiality rules and the importance of care continuity, Joshua corrects his patient master identity. The EMR merges Joshua’s two patient master identities to a single patient master identity and sends a merge message to the national CR to do the same. Note: An unmerge would be handled as an administrative function and is out of scope for this profile.

The various databases that store health information about Joshua have subscribed to patient update transactions on the national CR. To ensure patient safety for Joshua, these systems ensure that a query using Joshua’s resolved patient master identity would, correctly, return all of the health information associated with him -- whether it was originally persisted under his correct (surviving) patient master identity or under the duplicate (subsumed) patient master identity.

In Figure 49.4.2.4.2-1 below, the EMR acts as a Patient Identity Source. The LAB and SHR act as Patient Identity Consumers. The CR acts as the Patient Identity Registry.

##### 49.4.2.4.2 Merge Patient Identities Process Flow

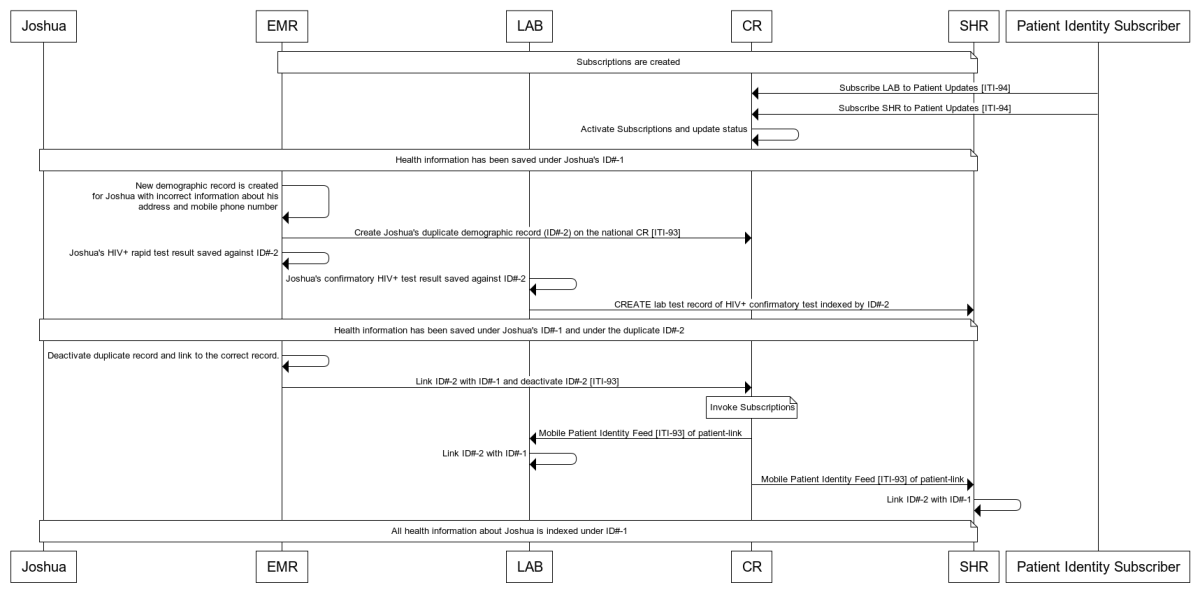


Figure 49.4.2.4.2-1: Process Flow for the Merge Patient Identities Use Case

@startuml

participant Joshua

participant EMR

participant LAB

participant CR

participant SHR

participant Patient Identity Subscriber

Note over EMR,LAB,CR,SHR: Subscriptions are created

Patient Identity Subscriber->CR: Subscribe LAB to Patient Updates [ITI-94]

Patient Identity Subscriber->CR: Subscribe SHR to Patient Updates [ITI-94]

CR->CR: Activate Subscriptions and update status

Note over Joshua,EMR,LAB,CR,SHR: Health information has been saved under Joshua's ID#-1

EMR->EMR: New demographic record is created\nfor Joshua with incorrect information about his\naddress and mobile phone number

EMR->CR: Create Joshua's duplicate demographic record (ID#-2) on the national CR [ITI-93]

EMR->EMR: Joshua's HIV+ rapid test result saved against ID#-2

LAB->LAB: Joshua's confirmatory HIV+ test result saved against ID#-2

LAB->SHR: CREATE lab test record of HIV+ confirmatory test indexed by ID#-2

Note over Joshua,EMR,LAB,CR,SHR: Health information has been saved under Joshua's ID#-1 and under the duplicate ID#-2

EMR->EMR: Deactivate duplicate record and link to the correct record.

EMR->CR: Link ID#-2 with ID#-1 and deactivate ID#-2 [ITI-93]

Note over CR: Invoke Subscriptions

CR->LAB: Mobile Patient Identity Feed [ITI-93] of patient-link

LAB->LAB: Link ID#-2 with ID#-1

CR->SHR: Mobile Patient Identity Feed [ITI-93] of patient-link

SHR->SHR: Link ID#-2 with ID#-1

Note over Joshua,EMR,LAB,CR,SHR: All health information about Joshua is indexed under ID#-1949494939393@enduml

Figure 49.4.2.4.2-2: Merge Patient Identities Workflow Diagram Pseudocode

**Pre-conditions:**

Systems that maintain patient information subscribe to the Patient Identity Feed on the national Client Registry.

**Main Flow:**

A duplicate patient master identity is detected and when the error is found, the duplicate identities are merged on the EMR, and a transaction is executed to merge them on the CR. This triggers sending notifications to the health data systems that have subscribed to updates on the CR, which include updated information about the patient identities that were merged. Each of these health data systems updates their local health data to reflect the merged patient master identity.

**Post-conditions:**

Following the execution of the triggered merge message, each system that maintains health data about the subject of care has updated this local data to reflect the merge of the two patient identities. The subsumed patient identity is deprecated.

## 49.5 PMIR Security Considerations

The PMIR Profile is communicating patient identity information including identifiers, addresses, demographics, and contact information. This information includes personal identifiers, and the identity is linked to health information. Care must be taken to protect the privacy of the patient and the security of system.

See ITI TF-2x: Appendix Z.8 for general FHIR security considerations.

## 49.6 PMIR Cross Profile Considerations

None.

Volume 1 Appendices

Not applicable.

Volume 2 – Transactions

Add Section 3.93

## 3.93 Mobile Patient Identity Feed [ITI-93]

### 3.93.1 Scope

The Mobile Patient Identity Feed transaction sends a FHIR Bundle of new and updated Patient Resources.

### 3.93.2 Actor Roles

The roles in this transaction are defined in the following table and may be played by the actors shown here:

Table 3.93.2-1: Actor Roles

|  |  |
| --- | --- |
| **Role:** | Supplier: Sends a bundle of updates. |
| **Actor(s):** | The following actors may play the role of *Supplier:*  Patient Identity Source  Patient Identity Registry |
| **Role:** | Consumer: Accepts the bundle request and returns a bundle response. |
| **Actor(s):** | The following actors may play the role of *Consumer:*  Patient Identity Registry  Patient Identity Consumer |

### 3.93.3 Referenced Standards

* HL7 FHIR standard Release 4 <http://hl7.org/fhir/R4/index.html>

### 3.93.4 Messages

Mobile Patient Identity Feed Response

Mobile Patient Identity Feed Request

Supplier

Consumer

Figure 3.93.4-1: Interaction Diagram

#### 3.93.4.1 Mobile Patient Identity Feed Request Message

The Mobile Patient Identity Feed message is a FHIR message with the new and updated Patient Resource(s).

##### 3.93.4.1.1 Trigger Events

A Supplier triggers a Mobile Patient Identity Feed Request to a Consumer when it detects, according to domain policy, a change in the Patient Master Identity (see ITI TF-1: 49) i.e., create, update, merge, delete.

A patient’s “Patient Master Identity” contains:

* a unique identifier for the patient (Patient.id in the FHIR Patient Resource) that is assigned by the Patient Identity Registry in the domain.
* zero or more business identifiers for the patient, e.g., local patient ID(s), driver’s license number, etc. (Patient.identifier in the FHIR Patient Resource).
* other information to identify the patient in the FHIR Patient Resource.

**Note:** The Consumer is identified either by a subscription in the Subscribe to Patient Updates [ITI-94] transaction or by a configuration.

##### 3.93.4.1.2 Message Semantics

A Supplier initiates a FHIR message request using HTTP POST as defined at <https://www.hl7.org/fhir/R4/messaging.html> on a Bundle Resource.

A Supplier shall create a Bundle Resource of type “message” constrained as specified in Section 3.93.4.1.2.1.

A Supplier shall be able to send a request for both the JSON and the XML messaging formats as defined in FHIR. A Consumer shall support accepting either the JSON or the XML messaging formats as defined in FHIR depending on the Subscription or configuration of the Consumer. See ITI TF-2x: Appendix Z.6 for more details.

See ITI TF-2x: Appendix W for informative implementation material for this transaction.

###### 3.93.4.1.2.1 FHIR Message Bundle Resource Constraints

The message Bundle Resource shall be constrained as described in Table 3.93.4.1.2.1-1.

The Element column in Table 3.93.4.1.2.1-1 references the object model defined at <https://www.hl7.org/fhir/R4/bundle.html#resource>.

Table 3.93.4.1.2.1-1: Message Bundle Resource Constraints

| Element  &  Cardinality | Constraints |
| --- | --- |
| type  [1..1] | Shall be: message |
| entry  [2..2] | entry[0] shall be a MessageHeader Resource with constraints as specified in Section 3.93.4.1.2.2.  entry[1] shall be a Bundle Resource of type “history” with constraints as specified in Section 3.93.4.1.2.3. |

###### 3.93.4.1.2.2 FHIR MessageHeader Resource Constraints

A Supplier shall create a MessageHeader Resource that is entry[0] in the message Bundle. The MessageHeader Resource shall be constrained as described in Table 3.93.4.1.2.2-1.

The Element column in Table 3.93.4.1.2.2-1 references the object model defined at <https://www.hl7.org/fhir/R4/messageheader.html#resource>.

Table 3.93.4.1.2.2-1: MessageHeader Resource Constraints

| Element  &  Cardinality | Constraints |
| --- | --- |
| eventUri  [1..1] | Shall be:  urn:ihe:iti:pmir:2019:patient-feed |
| focus  [1..1] | Reference(Bundle)  The history Bundle of patients being sent in this feed. |
| source.endpoint  [1..1] | Actual message source address or id. |
| destination  [1..\*] | The destination(s) of this feed. |
| sender  [0..1] | Required if known. |
| enterer  [0..1] | Required if known. |
| author  [0..1] | Required if known. |
| responsible  [0..1] | Required if known. |

###### 3.93.4.1.2.3 FHIR History Bundle Resource Constraints

A Supplier shall create a Bundle Resource of type “history” that is entry[1] in the message Bundle. The history Bundle Resource shall be constrained as described in Table 3.93.4.1.2.3-1. The entry shall contain unique Patient Resource(s) that have been successfully created, updated, or deleted on the Supplier. Unsuccessful changes are not included.

The Element column in Table 3.93.4.1.2.3-1 references the object model defined at [https://www.hl7.org/fhir/R4/bundle.html#resource](https://www.hl7.org/fhir/R4/messageheader.html#resource).

Table 3.93.4.1.2.3-1: History Bundle Resource Constraints

| Element  &  Cardinality | Constraints |
| --- | --- |
| type  [1..1] | Shall be:  history |
| entry  [1..\*] | The entries shall be unique Patient Resource(s). In other words, the same Patient Resource shall not appear twice in this Bundle. |
| entry.request.method  [1..1] | Each entry of Patient Resource shall include the request method that shall be used to handle this resource to indicate created, updated, or deleted records:  POST | PUT | DELETE |
| entry.request.url  [1..1] | The URL for this entry, relative to the root (the address to which the request is posted). |
| entry.response.status  [1..1] | The response status shall be an HTTP response status of 200 (or any 2XX status) since unsuccessful changes are not included in this feed. |

###### 3.93.4.1.2.4 FHIR History Bundle entry Constraints for Merge

When a merge is needed, the Patient Resource to be deprecated shall be included in the history Bundle and shall be constrained as described in Table 3.93.4.1.2.4-1.

The Element column in Table 3.93.4.1.2.4-1 references the object model defined at <https://www.hl7.org/fhir/R4/patient.html#resource>.

Table 3.93.4.1.2.4-1: History Bundle entry Constraints for Merge

| Element  &  Cardinality | Constraints |
| --- | --- |
| entry.resource.active | Shall be:  “false” |
| entry.resource.link.type | Shall be:  “replaced-by” |
| entry.resource.link.other | Shall be a reference to the surviving Patient Resource. |
| entry.request.method | PUT |

###### 3.93.4.1.2.5 FHIR Patient Resource Constraints for Related Person

When a Patient needs to be associated to a related person (such as a mother to a newborn), the Patient Resource of the patient master identity shall be constrained as described in Table 3.93.4.1.2.5-1.

The Element column in Table 3.93.4.1.2.5-1 references the object model defined at <https://www.hl7.org/fhir/R4/patient.html#resource>.

For guidance on mother and newborn relationships, see <https://www.hl7.org/fhir/R4/patient.html#maternity>.

Table 3.93.4.1.2.5-1: Patient Resource Constraints

| Element  &  Cardinality | Constraints |
| --- | --- |
| link.type | Shall be:  “seealso” |
| link.other | Shall be a reference to a RelatedPerson Resource that shall be constrained as described in Table 3.93.4.1.2.5-2. |

Table 3.93.4.1.2.5-2: RelatedPerson Resource Constraints

| Element  &  Cardinality | Constraints |
| --- | --- |
| patient  [1..1] | Shall be a reference to the Patient this person is related to. |
| relationship  [1..\*] | There shall be at least on relationship from this value set:  <http://hl7.org/fhir/ValueSet/relatedperson-relationshiptype> |

###### 3.93.4.1.2.6 Example FHIR Bundle Excerpt for a Merge

Figure 3.93.4.1.2.6-1 shows a Bundle excerpt to show Patient 123 being replaced by Patient 456 with no patient identity changes made to the surviving patient identity.

{

"resourceType": "Bundle",

"type": "message",

"entry": [

{

"fullUrl": "http://example.com/fhir/MessageHeader/1",

"resource": {

"resourceType": "MessageHeader",

"id": "1",

"eventUri": "urn:ihe:iti:pmir:2019:patient-feed",

"source": {

"endpoint": "http://example.com/patientSource"

},

"focus": [

{

"reference": "Bundle/abc"

}

],

"destination": [

{

"endpoint": "http://example.com/patientEndpoint"

}

]

}

},

{

"fullUrl": "http://example.com/fhir/Bundle/abc",

"resource": {

"resourceType": "Bundle",

"id": "abc",

"type": "history",

"entry": [

{

"fullUrl": "http://example.com/fhir/Patient/123",

"resource": {

"resourceType": "Patient",

"id": "123",

"active": false,

...

"link": [

{

"other": {

"reference": "http://example.com/fhir/Patient/456"

},

"type": "replaced-by"

}

]

},

"request": {

"method": "PUT",

"url": "Patient/123"

},

"response": {

"status": "200"

}

}

]

}

}

]

}

Figure 3.93.4.1.2.6-1: Example FHIR Bundle Excerpt for a Merge

##### 3.93.4.1.3 Expected Actions

A Patient Identity Registry shall accept the message and return a Mobile Patient Identity Feed Response message (Section 3.93.4.2) and shall:

* **Create:** create Patient Resources when a create is sent. The Patient Identity Registry shall persist Patient Master Identities from the Master Patient Identification Domain. This Domain is represented by the base URL of the Patient Identity Registry.
* **Update:** persist updates to Patient Resources.
* **Delete:** See Section 3.93.4.1.3.1.
* **Merge:** deprecate the Patient Resource when the message includes a replaced-by link.type. The Patient Identity Registry shall set the deprecated Patient active to “false” and include a link with type set to “replaced-by” and other set to the surviving Patient. See Section 3.93.4.1.3.1.
* **Unmerge:** not supported by this transaction. When a Patient Identity Registry receives a Patient Resource where a link.type of “replaced-by” has been removed (i.e., an attempt to unmerge), it shall return a correlated entry.response.code of 405, unless local policy allows unmerge.

A Patient Identity Consumer shall accept the message and return a Mobile Patient Identity Feed Response message (Section 3.93.4.2) and:

* **Create, Update, Delete, Merge:** will process the feed according to its application capabilities. IHE profiles that use this transaction, e.g., MHDS, may provide additional requirements such as updating the data the Patient Identity Consumer is managing.

###### 3.93.4.1.3.1 Post Merge/Delete Expectations

Based upon policy, a Patient Identity Registry, when performing a GET on the deprecated or deleted Patient Resource Id (e.g., GET [base]/Patient/pat01), shall return:

* 200 OK, and return the deprecated Patient which is now marked as inactive, or
* 404 Not Found

Based up policy, a Patient Identity Registry, when performing a SEARCH by the deprecated or deleted Patient Resource Id (e.g., GET [base]/Patient?\_id=pat01), shall return:

* 200 OK, and return a Bundle with the inactive patient which is marked as inactive, or
* 200 OK, and return a Bundle with no patient resource, or
* If merged, 200 OK, and return a Bundle with both the target and old patient resources

#### 3.93.4.2 Mobile Patient Identity Feed Response

##### 3.93.4.2.1 Trigger Events

A Consumer sends the Mobile Patient Identity Feed Response to the Supplier when the Feed Request Message is fully processed.

##### 3.93.4.2.2 Message Semantics

A Consumer responds to the Mobile Patient Identity Feed Request with an HTTP Status of 2xx with a Bundle Resource with the type set to “message” and one entry that is a MessageHeader Resource that reports the outcome of processing the Mobile Patient Identity Feed, or an error code, 4xx or 5xx.

##### 3.93.4.2.3 Expected Actions

The Supplier receives the response and continues with workflow appropriate to success or failure as indicated in the Mobile Patient Identity Feed Response.

### 3.93.5 Security Considerations

See ITI TF-1: 49.5 for security considerations for the PMIR Profile.

See ITI TF-2x: Appendix Z.8 for common mobile security considerations.

The Mobile Patient Identity Feed transaction should have both server authentication and client authentication, so that the client knows that the feed is going to the correct destination (server) and that the destination (server) knows the authenticity of the source (client). The content needs to be protected against integrity failures, and confidentiality failures. The common use of https, with server-side authentication, can address most of these requirements, however common https does not address client authentication. For this client authentication function, one could either use the mutual-authenticated-TLS found in ATNA, or OAuth mechanism found in IUA. Other solutions can be used as appropriate agreement between client and server.

#### 3.93.5.1 Security Audit Considerations

The Mobile Patient Identity Feed transaction is a Patient Record Message event as defined in ITI TF-2: 3.20.4.1.1.1-1.

Note that the same audit message is recorded by both Supplier and Consumer. The difference being the Audit Source element. Both sides record to show consistency between message sent by the Supplier and action taken at the Consumer.

The actors involved shall record audit events according to the following:

##### 3.93.5.1.1 Supplier audit message:

|  |  |  |  |
| --- | --- | --- | --- |
|  | Field Name | Opt | Value Constraints |
| Event  AuditMessage/ EventIdentification | EventID | M | EV(110110, DICOM, “Patient Record”) |
| EventActionCode | M | EV(message, http://hl7.org/fhir/bundle-type, “message” ) |
| *EventDateTime* | *M* | *not specialized* |
| *EventOutcomeIndicator* | *M* | *not specialized* |
| EventTypeCode | M | EV(“ITI-93”, “IHE Transactions”, “Mobile Patient Identity Feed”) |
| Source (Supplier) (1) | | | |
| Destination (Consumer) (1) | | | |
| Audit Source (Supplier) (1) | | | |
| Patient (1..N) Patient Identities in the message | | | |
| Message Identity (1) | | | |

Where:

|  |  |  |  |
| --- | --- | --- | --- |
| Source  AuditMessage/ ActiveParticipant | *UserID* | *U* | *not specialized* |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| *UserIsRequestor* | *U* | *not specialized* |
| RoleIDCode | M | EV(110153, DCM, “Source”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Destination  AuditMessage/ ActiveParticipant | UserID | M | http endpoint URI. |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| UserIsRequestor | M | “false” |
| RoleIDCode | M | EV(110152, DCM, “Destination”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Audit Source  AuditMessage/ AuditSourceIdentification | *AuditSourceID* | *U* | *not specialized* |
| *AuditEnterpriseSiteID* | *U* | *not specialized* |
| *AuditSourceTypeCode* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Patient  (AuditMessage/ ParticipantObjectIdentification)  (1..N) | ParticipantObjectTypeCode | M | “1” (Person) |
| ParticipantObjectTypeCodeRole | M | “1” (Patient) |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| *ParticipantObjectIDTypeCode* | *M* | *not specialized* |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | M | The Patient.\_id value |
| *ParticipantObjectName* | *U* | *not specialized* |
| *ParticipantObjectQuery* | *U* | *not specialized* |
| *ParticipantObjectDetail* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Message Identity  (AuditMessage/ ParticipantObjectIdentification) | ParticipantObjectTypeCode | M | EV(MessageHeader, http://hl7.org/fhir/resource-types, “MessageHeader) |
| *ParticipantObjectTypeCodeRole* | *U* | *not specialized* |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| *ParticipantObjectIDTypeCode* | *U* | *not specialized* |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | M | MessageHeader.\_id value |
| ParticipantObjectName | M | MessageHeader.eventUri value |
| *ParticipantObjectQuery* | *U* | *not specialized* |
| *ParticipantObjectDetail* | *U* | *not specialized* |

##### 3.93.5.1.2 Consumer audit message:

|  |  |  |  |
| --- | --- | --- | --- |
|  | Field Name | Opt | Value Constraints |
| Event  AuditMessage/ EventIdentification | EventID | M | EV(110110, DICOM, “Patient Record”) |
| EventActionCode | M | EV(message, http://hl7.org/fhir/bundle-type, “message” ) |
| *EventDateTime* | *M* | *not specialized* |
| *EventOutcomeIndicator* | *M* | *not specialized* |
| EventTypeCode | M | EV(“ITI-93”, “IHE Transactions”, “Mobile Patient Identity Feed”) |
| Source (Supplier) (1) | | | |
| Destination (Consumer) (1) | | | |
| Audit Source (Consumer) (1) | | | |
| Patient (1..N) Patient Identities in the message | | | |
| Message Identity (1) | | | |

Where:

|  |  |  |  |
| --- | --- | --- | --- |
| Source  AuditMessage/ ActiveParticipant | *UserID* | *U* | *not specialized* |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| *UserIsRequestor* | *U* | *not specialized* |
| RoleIDCode | M | EV(110153, DCM, “Source”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Destination  AuditMessage/ ActiveParticipant | UserID | M | http endpoint URI. |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| UserIsRequestor | M | “false” |
| RoleIDCode | M | EV(110152, DCM, “Destination”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Audit Source  AuditMessage/ AuditSourceIdentification | *AuditSourceID* | *U* | *not specialized* |
| *AuditEnterpriseSiteID* | *U* | *not specialized* |
| *AuditSourceTypeCode* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Patient  (AuditMessage/ ParticipantObjectIdentification)  (1..N) | ParticipantObjectTypeCode | M | “1” (Person) |
| ParticipantObjectTypeCodeRole | M | “1” (Patient) |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| *ParticipantObjectIDTypeCode* | *M* | *not specialized* |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | M | The Patient.\_id value |
| *ParticipantObjectName* | *U* | *not specialized* |
| *ParticipantObjectQuery* | *U* | *not specialized* |
| *ParticipantObjectDetail* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Message Identity  (AuditMessage/ ParticipantObjectIdentification) | ParticipantObjectTypeCode | M | EV(MessageHeader, http://hl7.org/fhir/resource-types, “MessageHeader) |
| *ParticipantObjectTypeCodeRole* | *U* | *not specialized* |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| *ParticipantObjectIDTypeCode* | *U* | *not specialized* |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | M | MessageHeader.\_id value |
| ParticipantObjectName | M | MessageHeader.eventUri value |
| *ParticipantObjectQuery* | *U* | *not specialized* |
| *ParticipantObjectDetail* | *U* | *not specialized* |

Add Section 3.94

## 3.94 Subscribe to Patient Updates [ITI-94]

### 3.94.1 Scope

The Subscribe to Patient Updates transaction allows a Patient Identity Subscriber to subscribe to a Mobile Patient Resource Feed [ITI-93] depending on the requested criteria.

### 3.94.2 Actor Roles

Table 3.94.2-1: Actor Roles

|  |  |
| --- | --- |
| **Actor:** | Patient Identity Subscriber |
| **Description:** | Sends a Subscription request to the Patient Identity Registry |
| **Actor:** | Patient Identity Registry |
| **Description:** | Accepts a Subscription request and returns where the Subscription can be accessed. |

### 3.94.3 Referenced Standards

* HL7 FHIR standard Release 4 <http://hl7.org/fhir/R4/index.html>

### 3.94.4 Messages

The Patient Identity Registry shall support all these interactions. The Patient Identity Subscriber shall utilize the Subscribe to Patient Updates Request and may utilize other interactions as required by its application workflow.

Subscribe to Patient Updates Response

Subscribe to Patient Updates Request

Patient Identity Subscriber

Patient Identity Registry

Get Patient Subscription Response

Get Patient Subscription Request

Enable/Disable Patient Subscription Response

Enable/Disable Patient Subscription Request

Delete Patient Subscription Response

Delete Patient Subscription Request

Figure 3.94.4-1: Interaction Diagram

#### 3.94.4.1 Subscribe to Patient Updates Request Message

The Subscribe to Patient Updates message is a FHIR create operation on a Subscription Resource.

##### 3.94.4.1.1 Trigger Events

A Patient Identity Subscriber triggers a Subscribe to Patient Updates Request to a Patient Identity Registry according to the business rules in its system. These business rules are outside the scope of this transaction.

##### 3.94.4.1.2 Message Semantics

A Patient Subscriber initiates a FHIR create request using HTTP POST as defined at <https://www.hl7.org/fhir/R4/http.html#create> on a Subscription Resource as defined at <https://www.hl7.org/fhir/R4/subscription.html>.

A Patient Identity Registry shall support accepting a request for both the JSON and the XML messaging formats as defined in FHIR. A Patient Subscriber shall send either the JSON or the XML messaging formats as defined in FHIR. See ITI TF-2x: Appendix Z.6 for more details.

See ITI TF-2x: Appendix W for informative implementation material for this transaction.

###### 3.94.4.1.2.1 FHIR Subscription Resource Constraints

A Patient Identity Subscriber shall create a Subscription Resource. The Subscription Resource shall be further constrained as described in Table 3.94.4.1.2.1-1. The Element column in Table 3.94.4.1.2.1-1 references the object model defined at <https://www.hl7.org/fhir/R4/subscription.html#resource>.

Table 3.94.4.1.2.1-1: Subscription Resource Constraints

| Element  &  Cardinality | Constraints |
| --- | --- |
| channel.type  [1..1] | The type shall be “message” |
| channel.endpoint  [1..1] | The endpoint must be a defined URL. |
| channel.payload  [1..1] | The payload shall be either:  application/fhir+json  application/fhir+xml |
| status  [1..1] | The status shall be “requested” |
| contact  [0..\*] | The contact for the subscription. |
| contact.system  [1..1] | The system of the contact value. |
| contact.value  [1..1] | The value where the contact can be reached. |
| criteria  [1..1] | See Section 3.94.4.1.2.1.1 |

3.94.4.1.2.1.1 Criteria Constraints

The values for criteria enable Consumers to limit results based on what Patients they are concerned with.

The Patient Identity Subscriber shall support the ability to subscribe to the Mobile Patient Identity Feed [ITI-93] with at least one criteria. The Patient Identity Registry shall support processing subscriptions with all criteria. The criteria list includes:

* Patient – to subscribe to all Patient updates
* Patient?\_id=X – to subscribe to updates for a single Patient where X is the id of the Patient Resource
* Patient?organization=X – to subscribe to updates for Patients related to a single Organization
* Patient?identifier=X – to subscribe to updates for Patients based on their identifier. Since X is a token parameter type, a subscriber may limit by system, value, or both. See <http://hl7.org/fhir/search.html#token>.

##### 3.94.4.1.3 Expected Actions

A Patient Identity Registry shall accept the request, and return an HTTP 201 response when the Subscription is created or an error code with an OperationOutcome if an error occurs as per <https://www.hl7.org/fhir/http.html#create>.

A Patient Identity Registry shall store the Subscription Resource and manage the subscription to enable the Mobile Patient Identity Feed [ITI-93]. How it creates and manages the subscription is not specified by IHE. When the subscription has been activated, the Subscription Resource status shall be changed to “active.”

If an error occurs at any time with an active subscription, the Patient Identity Registry shall update the Subscription Resource and set the status to “error” and the error element with the error message. The Patient Identity Subscriber may use the Get Patient Subscription Request to get the current status of the Subscription. See Section 3.94.4.3.

#### 3.94.4.2 Subscribe to Patient Updates Response

##### 3.94.4.2.1 Trigger Events

A Patient Identity Registry sends the Subscribe to Patient Updates Response to the Patient Subscriber when the subscription request is received.

##### 3.94.4.2.2 Message Semantics

A Patient Identity Registry responds to the Subscribe to Patient Updates Request with an HTTP Status of 201 with the Location header set to the created Subscription Resource or an error as defined at <https://www.hl7.org/fhir/http.html#create>.

##### 3.94.4.2.3 Expected Actions

A Patient Identity Subscriber has received the response and continues with its workflow. It may maintain the returned id if the Subscription Resource needs to be queried, disabled, or deleted later.

#### 3.94.4.3 Get Patient Subscription Request/Response Message

A Patient Identity Subscriber can retrieve from the Patient Identity Registry the current details of a subscription by accessing the Location returned by the Subscribe to Patient Updates Response as defined at <https://www.hl7.org/fhir/http.html#read> on the Subscription Resource.

A Patient Identity Registry shall return the current Subscription Resource.

#### 3.94.4.4 Enable/Disable Patient Subscription Request/Response Message

A Patient Identity Subscriber can enable or disable a subscription on the Patient Identity Registry by accessing the Location returned by the Subscribe to Patient Updates Response as defined at <https://www.hl7.org/fhir/http.html#update> on the Subscription Resource. This can be used to temporarily disable the subscription by changing the status to “off” or re-enable a subscription by changing the status to “requested.”

A Patient Identity Registry shall disable a subscription when the status is “off.”

The Patient Identity Registry shall handle changes with a status of “requested” as per Section 3.94.4.1.3.

#### 3.94.4.5 Delete Patient Subscription Request/Response Message

A Patient Identity Subscriber can delete a subscription from the Patient Identity Registry by accessing the Location returned by the Subscribe to Patient Updates Response as defined at <https://www.hl7.org/fhir/http.html#delete> on the Subscription Resource.

A Patient Identity Registry shall stop sending the Mobile Patient Identity Feed to the Patient Identity Consumer endpoint defined in the Subscription*.*channel.

### 3.94.5 Security Considerations

See ITI TF-1: 49.5 for security considerations for the PMIR Profile.

See ITI TF-2x: Z.8 for common mobile security considerations.

The subscription is made by the Patient Subscriber, which must be authorized at the Patient Identity Registry to request subscriptions. This authorization is valid at the time the Subscribe to Patient Update transaction request/response. The timeout associated with the client authorization does not impact the life of the subscription. However, the Patient Subscriber identity has no impact on the resulting Mobile Patient Identity Feed endpoint authentication or authorization. There is no communication of security credentials for the Mobile Patient Identity Feed transaction within the Subscribe to Patient Update transactions.

#### 3.94.5.1 Security Audit Considerations

The Subscribe to Patient Updates transaction is a REST Information event as defined in ITI TF-2: 3.20.4.1.1.1-1.

Note that the same audit message is recorded by both Patient Identity Subscriber and Patient Identity Registry, the difference being the Audit Source element. Both actors audit this transaction to show consistency between the request by the Patient Identity Subscriber and the action taken by the Patient Identity Registry.

The actors involved shall record audit events according to the following:

##### 3.94.5.1.1 Patient Identity Subscriber audit message:

|  |  |  |  |
| --- | --- | --- | --- |
|  | Field Name | Opt | Value Constraints |
| Event  AuditMessage/ EventIdentification | EventID | M | EV(“rest”, http://terminology.hl7.org/CodeSystem/audit-event-type, “rest”) |
| EventActionCode | M | code - one of (create, read, update, delete) system - http://hl7.org/fhir/restful-interactions |
| *EventDateTime* | *M* | *not specialized* |
| *EventOutcomeIndicator* | *M* | *not specialized* |
| EventTypeCode | M | EV(“ITI-94”, “IHE Transactions”, “Subscribe to Patient Updates”) |
| Source (Patient Subscriber) (1) | | | |
| Human Requestor (0..n) | | | |
| Destination (Patient Identity Registry) (1) | | | |
| Audit Source (Patient Subscriber) (1) | | | |
| Patient (0..1) Patient if specific. | | | |
| Query Parameters (1) | | | |

Where:

|  |  |  |  |
| --- | --- | --- | --- |
| Source  AuditMessage/ ActiveParticipant | *UserID* | *U* | *not specialized* |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| *UserIsRequestor* | *U* | *not specialized* |
| RoleIDCode | M | EV(110153, DCM, “Source”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Human Requestor (if known)  AuditMessage/ ActiveParticipant | UserID | M | Identity of the human that initiated the transaction. |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| *UserIsRequestor* | *U* | *not specialized* |
| RoleIDCode | U | Access Control role(s) the user holds that allows this transaction. |
| *NetworkAccessPointTypeCode* | *U* | *not specialized* |
| *NetworkAccessPointID* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Destination  AuditMessage/ ActiveParticipant | UserID | M | http endpoint URI. |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| UserIsRequestor | M | “false” |
| RoleIDCode | M | EV(110152, DCM, “Destination”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Audit Source  AuditMessage/ AuditSourceIdentification | *AuditSourceID* | *U* | *not specialized* |
| *AuditEnterpriseSiteID* | *U* | *not specialized* |
| *AuditSourceTypeCode* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Patient  (AuditMessage/ ParticipantObjectIdentification) | ParticipantObjectTypeCode | M | “1” (Person) |
| ParticipantObjectTypeCodeRole | M | “1” (Patient) |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| *ParticipantObjectIDTypeCode* | *M* | *not specialized* |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | M | The Patient.\_id value |
| *ParticipantObjectName* | *U* | *not specialized* |
| *ParticipantObjectQuery* | *U* | *not specialized* |
| *ParticipantObjectDetail* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Query Parameters  (AuditMessage/ ParticipantObjectIdentification) | ParticipantObjectTypeCode | M | “2” (system object) |
| ParticipantObjectTypeCodeRole | M | “24” (query) |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| ParticipantObjectIDTypeCode | M | EV(“ITI-94, “IHE Transactions”, “Subscribe to Patient Update”) |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | C | Subscription.\_id value – when known (empty on create) |
| *ParticipantObjectName* | *U* | *not specialized* |
| ParticipantObjectQuery | M | the Subscription.criteria value |
| *ParticipantObjectDetail* | *U* | *not specialized* |

##### 3.94.5.1.2 Patient Identity Registry audit message:

|  |  |  |  |
| --- | --- | --- | --- |
|  | Field Name | Opt | Value Constraints |
| Event  AuditMessage/ EventIdentification | EventID | M | EV(“rest”, http://terminology.hl7.org/CodeSystem/audit-event-type, “rest”) |
| EventActionCode | M | code - one of (create, read, update, delete) system - http://hl7.org/fhir/restful-interactions |
| *EventDateTime* | *M* | *not specialized* |
| *EventOutcomeIndicator* | *M* | *not specialized* |
| EventTypeCode | M | EV(“ITI-94”, “IHE Transactions”, “Subscribe to Patient Updates”) |
| Source (Patient Subscriber) (1) | | | |
| Human Requestor (0..n) | | | |
| Destination (Patient Identity Registry) (1) | | | |
| Audit Source (Patient Identity Registry) (1) | | | |
| Patient (0..1) Patient if specific. | | | |
| Query Parameters (1) | | | |

Where:

|  |  |  |  |
| --- | --- | --- | --- |
| Source  AuditMessage/ ActiveParticipant | *UserID* | *U* | *not specialized* |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| *UserIsRequestor* | *U* | *not specialized* |
| RoleIDCode | M | EV(110153, DCM, “Source”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Human Requestor (if known)  AuditMessage/ ActiveParticipant | UserID | M | Identity of the human that initiated the transaction. |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| *UserIsRequestor* | *U* | *not specialized* |
| RoleIDCode | U | Access Control role(s) the user holds that allows this transaction. |
| *NetworkAccessPointTypeCode* | *U* | *not specialized* |
| *NetworkAccessPointID* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Destination  AuditMessage/ ActiveParticipant | UserID | M | http endpoint URI. |
| *AlternativeUserID* | *U* | *not specialized* |
| *UserName* | *U* | *not specialized* |
| UserIsRequestor | M | “false” |
| RoleIDCode | M | EV(110152, DCM, “Destination”) |
| NetworkAccessPointTypeCode | M | “1” for machine (DNS) name, “2” for IP address |
| NetworkAccessPointID | M | The machine name or IP address. |

|  |  |  |  |
| --- | --- | --- | --- |
| Audit Source  AuditMessage/ AuditSourceIdentification | *AuditSourceID* | *U* | *not specialized* |
| *AuditEnterpriseSiteID* | *U* | *not specialized* |
| *AuditSourceTypeCode* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Patient  (AuditMessage/ ParticipantObjectIdentification) | ParticipantObjectTypeCode | M | “1” (Person) |
| ParticipantObjectTypeCodeRole | M | “1” (Patient) |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| *ParticipantObjectIDTypeCode* | *M* | *not specialized* |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | M | The Patient.\_id value |
| *ParticipantObjectName* | *U* | *not specialized* |
| *ParticipantObjectQuery* | *U* | *not specialized* |
| *ParticipantObjectDetail* | *U* | *not specialized* |

|  |  |  |  |
| --- | --- | --- | --- |
| Query Parameters  (AuditMessage/ ParticipantObjectIdentification) | ParticipantObjectTypeCode | M | “2” (system object) |
| ParticipantObjectTypeCodeRole | M | “24” (query) |
| *ParticipantObjectDataLifeCycle* | *U* | *not specialized* |
| ParticipantObjectIDTypeCode | M | EV(“ITI-94, “IHE Transactions”, “Subscribe to Patient Update”) |
| *ParticipantObjectSensitivity* | *U* | *not specialized* |
| ParticipantObjectID | C | Subscription.\_id value |
| *ParticipantObjectName* | *U* | *not specialized* |
| ParticipantObjectQuery | M | the Subscription.criteria value |
| *ParticipantObjectDetail* | *U* | *not specialized* |

Replace Section 3.78.2 with the following.

(Note: This section is currently in the PDQm Trial Implementation Supplement)

### 3.78.2 Actor Roles

Table 3.78.2-1: Actor Roles

|  |  |
| --- | --- |
| **Role:** | Patient Demographics Consumer: Requests a list of patients matching the supplied set of demographics criteria (example: ID or Name) from the Patient Demographics Supplier. The Patient Demographics Consumer populates its attributes with demographic information received from the Patient Demographics Supplier. |
| **Actor(s):** | The following actors may play the role of Patient Demographics Consumer:  Patient Demographics Consumer |
| **Role:** | Patient Demographics Supplier: Returns demographic information for all patients matching the demographics criteria provided by the Patient Demographics Consumer. |
| **Actor(s):** | The following actors may play the role of Patient Demographics Supplier:  Patient Demographics Supplier  Patient Identity Registry |

Replace Section 3.83.2 with the following

(Note: This section is currently in the PIXm Trial Implementation Supplement)

### 3.83.2 Actor Roles

Table 3.83.2-1: Actor Roles

|  |  |
| --- | --- |
| **Role:** | Patient Identifier Cross-reference Consumer:  Requests, from the Patient Identifier Cross-reference Manager, a list of patient identifiers matching the supplied Patient Identifier. |
| **Actor(s):** | The following actors may play the role of Patient Identifier Cross-reference Consumer:  Patient Identifier Cross-reference Consumer |
| **Role:** | Patient Identifier Cross-reference Manager:  Returns Cross-referenced Patient Identifiers for the patient that cross-matches the Patient Identifier criteria provided by the Patient Identifier Cross-reference Consumer. |
| **Actor(s):** | The following actors may play the role of Patient Identifier Cross-reference Manager:  Patient Identifier Cross-reference Manager  Patient Identity Registry |