# CPSC 330 Lecture 16: DBSCAN, Hierarchical Clustering



#### **Announcements**

- HW6 is due next week Wednesday.
  - Computationally intensive (welcome to real-life ML!)
  - heads up: you will need to install many packages (welcome to real-life ML!)



### Default colormap 0 2 3 -Set default colormap 0 -3 -1 2 3



## Super cool Demo!

You can download and checkout the Demo here.

All credit to Dr. Varada Kolhatkar for putting this together!



## Recap: iClicker Exercise 15.3

Select all of the following statements which are **True** 

- a. If you train K-Means with n\_clusters= the number of examples, the inertia value will be 0.
- b. The elbow plot shows the tradeoff between within cluster distance and the number of clusters.
- c. Unlike the Elbow method, the Silhouette method is not dependent on the notion of cluster centers.
- d. The elbow plot is not a reliable method to obtain the optimal number of clusters in all cases.
- e. The Silhouette scores ranges between -1 and 1 where higher scores indicates better cluster assignments.



#### iClicker Exercise 16.1

Select all of the following statements which are TRUE.

- a. Similar to K-nearest neighbours, K-Means is a non parametric model.
- b. The meaning of *K* in K-nearest neighbours and K-Means clustering is very similar.
- c. Scaling of input features is crucial in clustering.
- d. In clustering, it's almost always a good idea to find equalsized clusters.



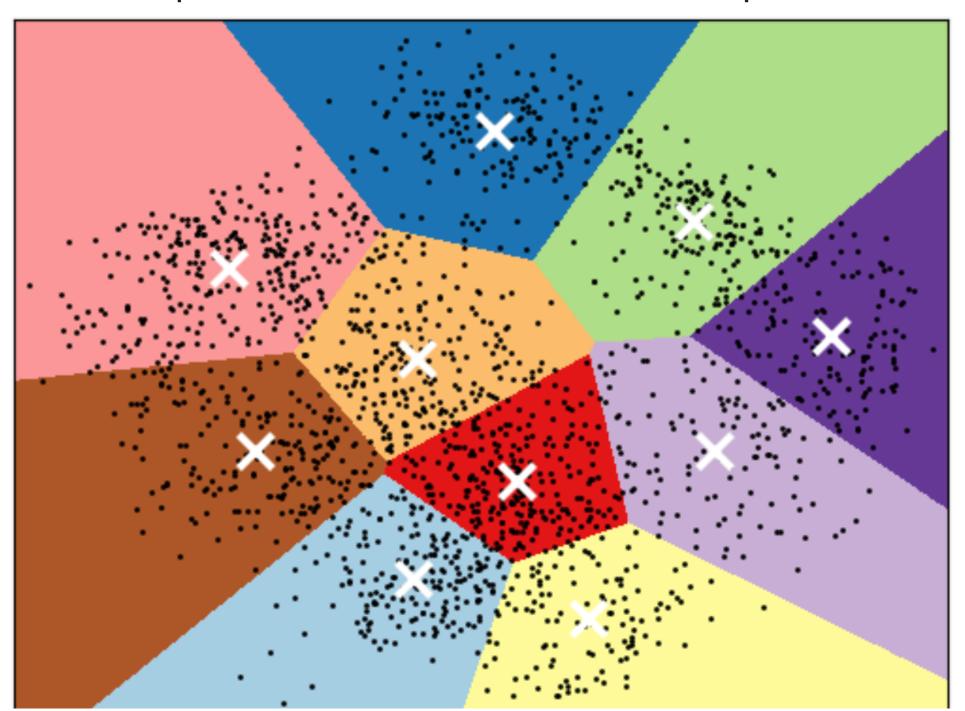
# Limitations of K-means



# **Shape of clusters**



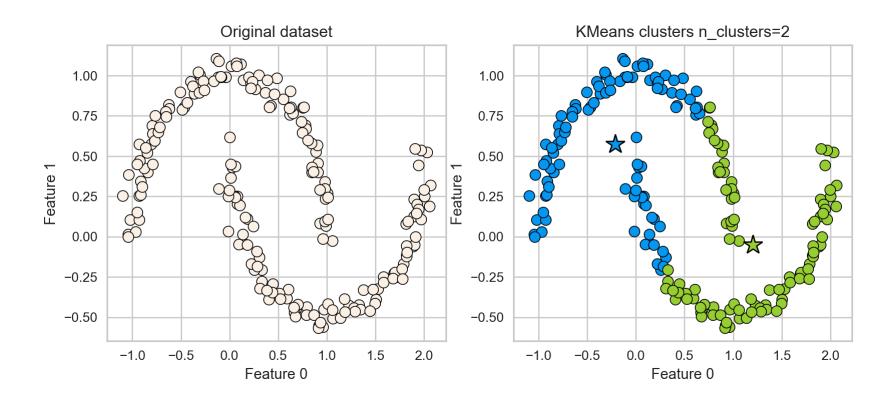
• Good for spherical clusters of more or less equal sizes





#### K-Means: failure case 1

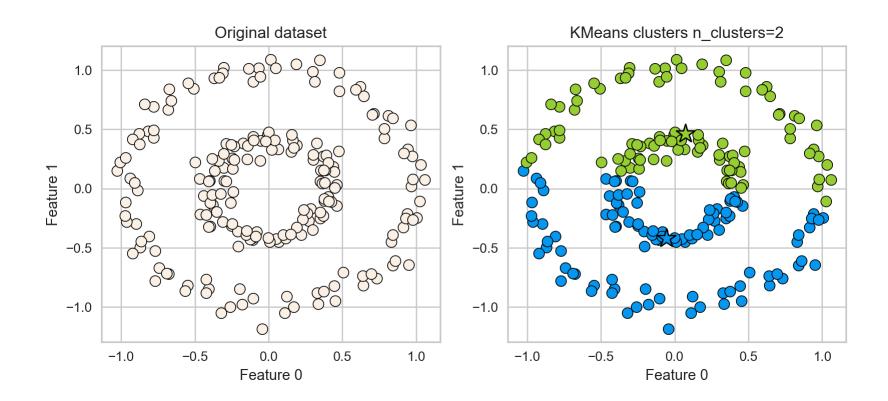
• K-Means performs poorly if the clusters have more complex shapes (e.g., two moons data below).





#### K-Means: failure case 2

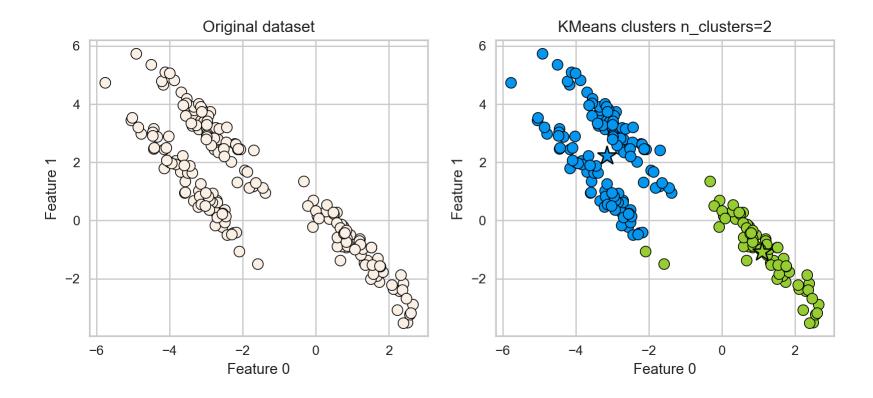
• Again, K-Means is unable to capture complex cluster shapes.





#### K-Means: failure case 3

• It assumes that all directions are equally important for each cluster and fails to identify non-spherical clusters.





# Can we do better?



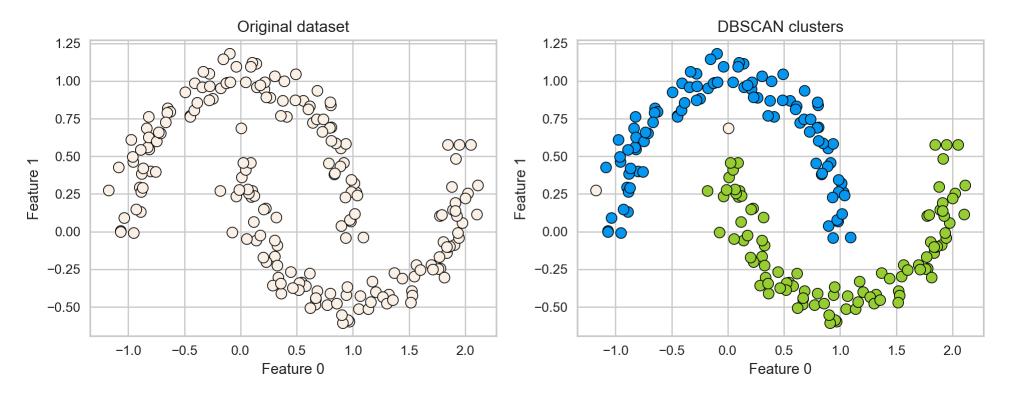
# **DBSCAN**

- Density-Based Spatial Clustering of Applications with Noise
- A density-based clustering algorithm



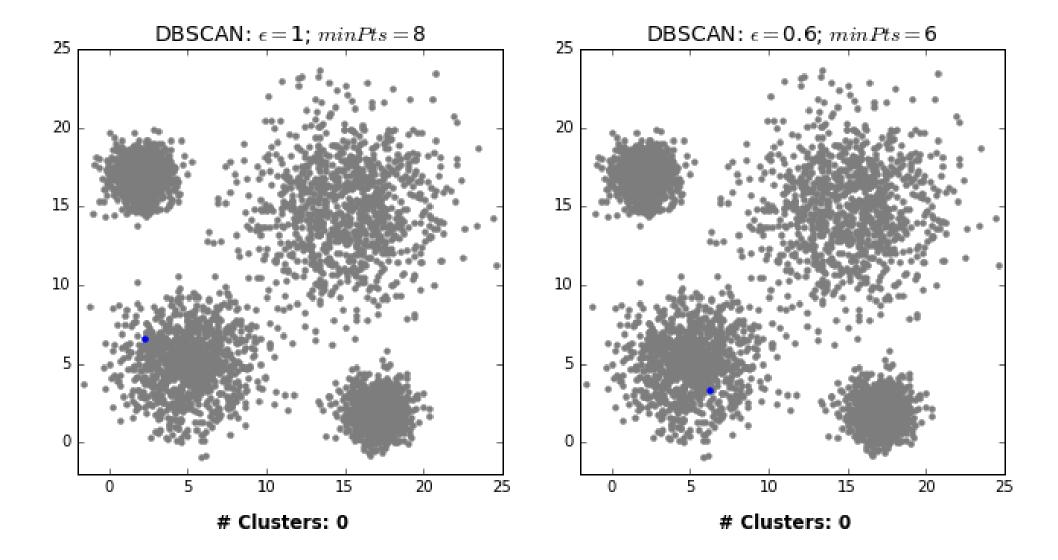
#### **DBSCAN**

```
1  X, y = make_moons(n_samples=200, noise=0.08, random_state=42)
2  dbscan = DBSCAN(eps=0.2)
3  dbscan.fit(X)
4  plot_original_clustered(X, dbscan, dbscan.labels_)
```





### How does it work?





# **DBSCAN Analogy**

Consider DBSCAN in a social context:

- Social butterflies (₩): Core points
- Friends of social butterflies who are not social butterflies:
   Border points
- Lone wolves (🍑): Noise points



# Two main hyperparameters

- eps: determines what it means for points to be "close"
- min\_samples: determines the number of neighboring points we require to consider in order for a point to be part of a cluster



#### **DBSCAN:** failure cases

- Let's consider this dataset with three clusters of varying densities.
- K-Means performs better compared to DBSCAN. But it has the benefit of knowing the value of *K* in advance.

```
[ 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15]
```



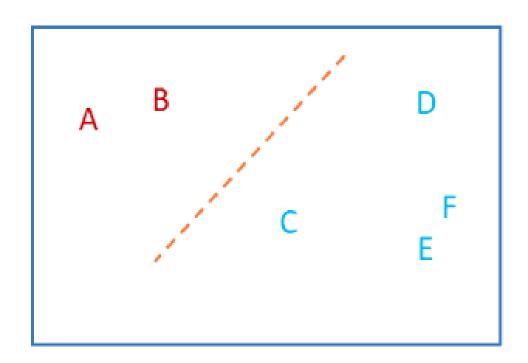
### Break

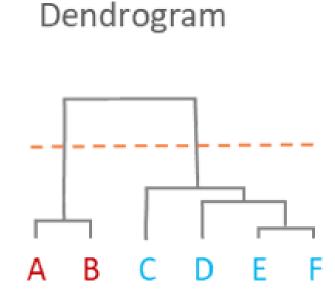
Let's take a 10-min break!



## Dendrogram

Definition: visual representation of a tree, in particular, the hierarchical representation of data...

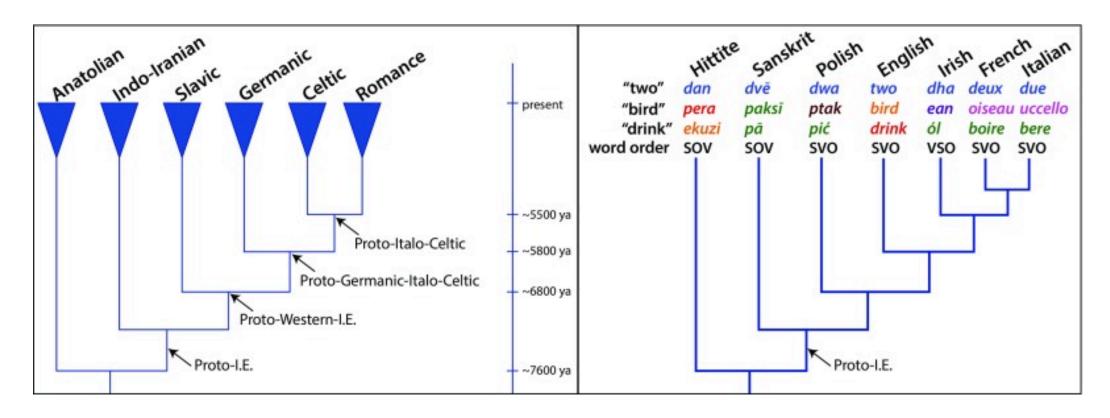




Source



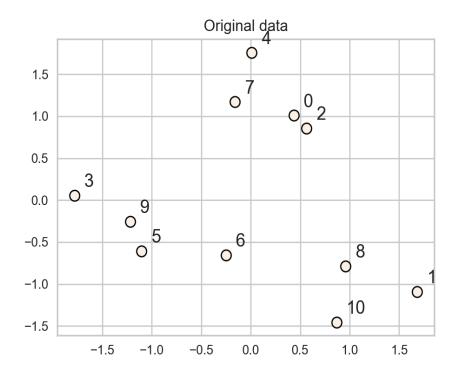
# **Example: Languages**

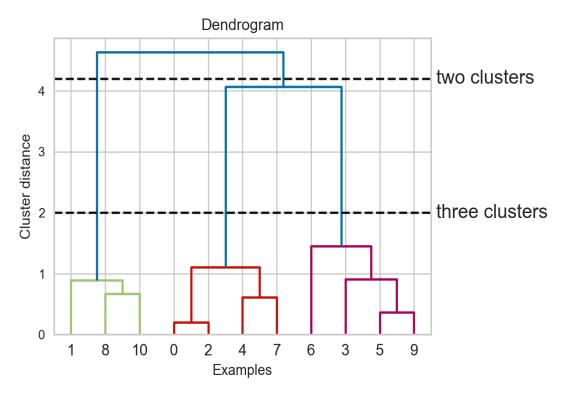


Source



# Hierarchical clustering







#### Flat clusters

- This is good but how can we get cluster labels from a dendrogram?
- We can bring the clustering to a "flat" format use fcluster



# Linkage criteria

- When we create a dendrogram, we need to calculate distance between clusters. How do we measure distances between clusters?
- The **linkage criteria** determines how to find similarity between clusters:
- Some example linkage criteria are:
  - Single linkage → smallest minimal distance, leads to loose clusters
  - Complete linkage → smallest maximum distance, leads to tight clusters
  - Average linkage → smallest average distance between all pairs of points in the clusters
  - Ward linkage → smallest increase in within-cluster variance, leads to equally sized clusters



# **Activity**

**Examples** 

• Fill in the table below

Clustering Method	KMeans	DBSCAN	Hierarchical Clustering
Approach			
Hyperparameters			
Shape of clusters			
Handling noise			

