

线性代数

曾坤

63212114@qq

中山大学 软件学院



1.7 Linear Independence

§ 1.7 Linear Independence

Definition

- Linear Independence(线性独立/无关)

An indexed set of vectors $\{v_1, \dots, v_p\}$ in R^n is said to be linearly independent if the vector equation

$$x_1\mathbf{v}_1 + x_2\mathbf{v}_2 + \cdots + x_p\mathbf{v}_p = \mathbf{0}$$

has only the trivial solution.

- Linear Dependence(线性相关)

The set $\{v_1, \dots, v_p\}$ is said to be linearly dependent if there exist weights c_1, \dots, c_p , not all zero, such that

$$c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \cdots + c_p\mathbf{v}_p = \mathbf{0}$$

Example 1

$$v_1 = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}, \quad v_2 = \begin{bmatrix} 4 \\ 5 \\ 6 \end{bmatrix}, \quad v_3 = \begin{bmatrix} 2 \\ 1 \\ 0 \end{bmatrix}$$

- Determine if the set $\{v_1, v_2, v_3\}$ is linearly independent.
- If possible, find a linear dependence relation among v_1, v_2, v_3

Example 1

a. Row reduce the augmented matrix

$$\begin{bmatrix} 1 & 4 & 2 & 0 \\ 2 & 5 & 1 & 0 \\ 3 & 6 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 4 & 2 & 0 \\ 0 & -3 & -3 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Example 1

a. Row reduce the augmented matrix

$$\begin{bmatrix} 1 & 4 & 2 & 0 \\ 2 & 5 & 1 & 0 \\ 3 & 6 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 4 & 2 & 0 \\ 0 & -3 & -3 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Clearly, x_1 and x_2 are basic variables, and x_3 is free.

Each nonzero value of x_3 determines a nontrivial solution.

Hence v_1, v_2, v_3 are linearly dependent.

Example 1

b. completely row reduce the augmented matrix:

$$\begin{bmatrix} 1 & 4 & 2 & 0 \\ 2 & 5 & 1 & 0 \\ 3 & 6 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 4 & 2 & 0 \\ 0 & -3 & -3 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -2 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad \begin{array}{rcl} x_1 - 2x_3 & = & 0 \\ x_2 + x_3 & = & 0 \\ 0 & = & 0 \end{array}$$

Thus, $x_1=2x_3$, $x_2=-x_3$, and x_3 is free.

Example 1

b. completely row reduce the augmented matrix:

$$\begin{bmatrix} 1 & 4 & 2 & 0 \\ 2 & 5 & 1 & 0 \\ 3 & 6 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 4 & 2 & 0 \\ 0 & -3 & -3 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -2 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad \begin{array}{rcl} x_1 - 2x_3 & = & 0 \\ x_2 + x_3 & = & 0 \\ 0 & = & 0 \end{array}$$

Thus, $x_1=2x_3$, $x_2=-x_3$, and x_3 is free.

Choose $x_3=5$, Then $x_1=10$ and $x_2=-5$.

So one possible linear dependence relations among v_1, v_2, v_3 is

$$10v_1 - 5v_2 + 5v_3 = 0$$

2. Linear Independence of Matrix Columns

Suppose a matrix $A = [a_1 \cdots a_n]$ instead of a set vectors. Then the matrix equation $Ax=0$ can be written as

$$x_1 \mathbf{a}_1 + x_2 \mathbf{a}_2 + \cdots + x_n \mathbf{a}_n = \mathbf{0}$$

注意:

The columns of a matrix A are linearly independent if and only if the equation $Ax = 0$ has only the trivial solution.

Example 3

Determine if the columns of the following matrix are linearly independent.

$$A = \begin{bmatrix} 0 & 1 & 4 \\ 1 & 2 & -1 \\ 5 & 8 & 0 \end{bmatrix}$$

Example 3

Determine if the columns of the following matrix are linearly independent.

$$A = \begin{bmatrix} 0 & 1 & 4 \\ 1 & 2 & -1 \\ 5 & 8 & 0 \end{bmatrix}$$

解: row reduce the augmented matrix:

$$A = \begin{bmatrix} 0 & 1 & 4 & 0 \\ 1 & 2 & -1 & 0 \\ 5 & 8 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 2 & -1 & 0 \\ 0 & 1 & 4 & 0 \\ 0 & -2 & 5 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 2 & -1 & 0 \\ 0 & 1 & 4 & 0 \\ 0 & 0 & 13 & 0 \end{bmatrix}$$

Example 3

Determine if the columns of the following matrix are linearly independent.

$$A = \begin{bmatrix} 0 & 1 & 4 \\ 1 & 2 & -1 \\ 5 & 8 & 0 \end{bmatrix}$$

解: row reduce the augmented matrix:

$$A = \begin{bmatrix} 0 & 1 & 4 & 0 \\ 1 & 2 & -1 & 0 \\ 5 & 8 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 2 & -1 & 0 \\ 0 & 1 & 4 & 0 \\ 0 & -2 & 5 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 2 & -1 & 0 \\ 0 & 1 & 4 & 0 \\ 0 & 0 & 13 & 0 \end{bmatrix}$$

Clearly, $Ax=0$ has only the trivial solution, thus the columns of A are linearly independent.

3. Linear Independence of Sets of One or Two Vectors

- A set of two vectors $\{v_1, v_2\}$ is **linearly dependent** if at least one of the vectors is a multiple of the other.
- The set is **linearly independent** if and only if neither of the vectors is a multiple of the other.

Sometimes we can determine linear independence of a set with minimal effort.

1. A Set of One Vector

Consider the set containing one nonzero vector: $\{v_1\}$

The only solution to $x_1 v_1 = 0$ is $x_1 = \underline{0}$.

Sometimes we can determine linear independence of a set with minimal effort.

1. A Set of One Vector

Consider the set containing one nonzero vector: $\{v_1\}$

The only solution to $x_1 v_1 = 0$ is $x_1 = \underline{0}$.

So $\{v_1\}$ is linearly independent when $v_1 \neq 0$.

2. A Set of Two Vectors

EXAMPLE Let

$$\mathbf{u}_1 = \begin{bmatrix} 2 \\ 1 \end{bmatrix}, \mathbf{u}_2 = \begin{bmatrix} 4 \\ 2 \end{bmatrix}, \mathbf{v}_1 = \begin{bmatrix} 2 \\ 1 \end{bmatrix}, \mathbf{v}_2 = \begin{bmatrix} 2 \\ 3 \end{bmatrix}.$$

- Determine if $\{\mathbf{u}_1, \mathbf{u}_2\}$ is a linearly dependent set or a linearly independent set.
- Determine if $\{\mathbf{v}_1, \mathbf{v}_2\}$ is a linearly dependent set or a linearly independent set.

Solution: (a) Notice that $\mathbf{u}_2 = \underline{2} \mathbf{u}_1$. Therefore

$$\underline{2} \mathbf{u}_1 + \underline{-1} \mathbf{u}_2 = \mathbf{0}$$

This means that $\{\mathbf{u}_1, \mathbf{u}_2\}$ is a linearly dependent set.

(b) Suppose

$$c\mathbf{v}_1 + d\mathbf{v}_2 = \mathbf{0}.$$

Then $\mathbf{v}_1 = \frac{-d}{c}\mathbf{v}_2$ if $c \neq 0$. But this is impossible since \mathbf{v}_1 is

not a multiple of \mathbf{v}_2 which means $c = \underline{0}$.

Similarly, $\mathbf{v}_2 = \frac{-c}{d}\mathbf{v}_1$ if $d \neq 0$.

But this is impossible since \mathbf{v}_2 is not a multiple of \mathbf{v}_1 and so $d = 0$.

This means that $\{\mathbf{v}_1, \mathbf{v}_2\}$ is a linearly independent set.

(b) Suppose

$$c\mathbf{v}_1 + d\mathbf{v}_2 = \mathbf{0}.$$

Then $\mathbf{v}_1 = \frac{-d}{c}\mathbf{v}_2$ if $c \neq 0$. But this is impossible since \mathbf{v}_1 is

not a multiple of \mathbf{v}_2 which means $c = \underline{0}$.

Similarly, $\mathbf{v}_2 = \frac{-c}{d}\mathbf{v}_1$ if $d \neq 0$.

But this is impossible since \mathbf{v}_2 is not a multiple of \mathbf{v}_1 and so $d = 0$.

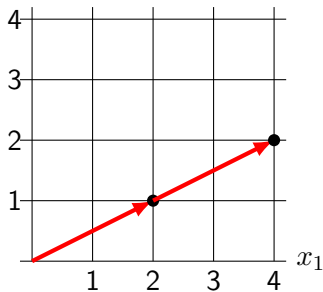
This means that $\{\mathbf{v}_1, \mathbf{v}_2\}$ is a linearly independent set.

注意:

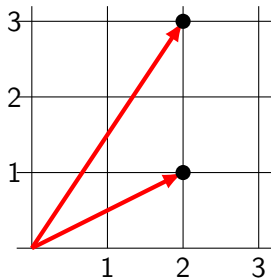
A set of two vectors is linearly dependent if at least one vector is a multiple of the other.

解:

(a) $\{u_1, u_2\}$ is linear dependent



(b) $\{v_1, v_2\}$ is linear independent



4. Linear Independence of Sets of Two or More Vectors

Theorem (7: Characterization of Linearly Dependent Sets)

An indexed set $S = \{v_1, \dots, v_p\}$ of two or more vectors is linearly dependent if and only if at least one of the vectors in S is a linear combination of the others. In fact, if S is linearly dependent and $v_1 \neq 0$, then some v_j is a linear combination of the preceding vectors, v_1, \dots, v_{j-1} .

PROOF OF THEOREM 7 (Characterization of Linearly Dependent Sets).

If some v_j in S equals a linear combination of the other vectors, then v_j can be subtracted from both sides of the equation, producing a linear dependence relation with a nonzero weight(-1) on v_j . [For instance, if $v_1 = c_2v_2 + c_3v_3$, then $0 = (-1)v_1 + c_2v_2 + c_3v_3 + 0v_4 + \cdots + 0v_p$.] Thus S is linearly dependent.

Conversely, suppose S is linearly dependent. If v_1 is zero, then it is a (trivial) linear combination of the other vectors in S . Otherwise, $v_1 \neq 0$, and there exist weights c_1, \dots, c_p , not all zeros, such that

$$c_1v_1 + c_2v_2 + \cdots + c_pv_p = 0$$

Let j be the largest subscript for which $c_j \neq 0$. If $j = 1$, then $c_1v_1 = 0$, which is impossible because $v_1 \neq 0$. So $j > 1$, and

$$c_1v_1 + \cdots + c_jv_j + 0v_{j+1} + \cdots + 0v_p = 0$$

$$c_jv_j = -c_1v_1 - \cdots - c_{j-1}v_{j-1}$$

$$v_j = \left(-\frac{c_1}{c_j}\right)v_1 + \cdots + \left(-\frac{c_{j-1}}{c_j}\right)v_{j-1}$$

Example :

$$\text{Let } \mathbf{u} = \begin{bmatrix} 3 \\ 1 \\ 0 \end{bmatrix}, \mathbf{v} = \begin{bmatrix} 1 \\ 6 \\ 0 \end{bmatrix},$$

Describe the set spanned by \mathbf{u} and \mathbf{v} , and explain why a vector \mathbf{w} is in $\text{Span} \{ \mathbf{u}, \mathbf{v} \}$ if and only if $\{ \mathbf{u}, \mathbf{v}, \mathbf{w} \}$ is linearly dependent

Example :

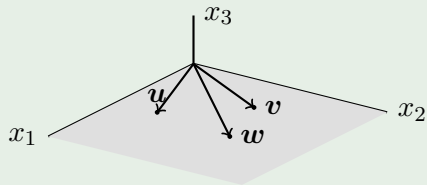
$$\text{Let } \mathbf{u} = \begin{bmatrix} 3 \\ 1 \\ 0 \end{bmatrix}, \mathbf{v} = \begin{bmatrix} 1 \\ 6 \\ 0 \end{bmatrix},$$

Describe the set spanned by \mathbf{u} and \mathbf{v} , and explain why a vector \mathbf{w} is in $\text{Span}\{\mathbf{u}, \mathbf{v}\}$ if and only if $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$ is linearly dependent

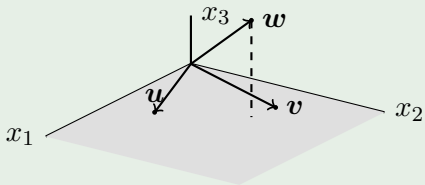
解:

\mathbf{u} and \mathbf{v} are linearly independent, so they span a plane in \mathbb{R}^3 . $\text{Span}\{\mathbf{u}, \mathbf{v}\}$ is the $x_1 - x_2$ plane ($x_3=0$). If \mathbf{w} is a linear combination of \mathbf{u} and \mathbf{v} , then $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$ is linearly dependent. Conversely, suppose that $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$ is linearly dependent, by Theorem 7, some vector in $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$ is a linear combination of the preceding vectors. That vector must be \mathbf{w} . since \mathbf{v} is not a multiple of \mathbf{u} . So \mathbf{w} is in $\text{Span}\{\mathbf{u}, \mathbf{v}\}$.

Example 6



Linearly dependent,
 w in $\text{Span}\{u, v\}$



Linearly independent,
 w not in $\text{Span}\{u, v\}$

Theorem (8)

If a set contains more vectors than there are entries in each vector, then the set is linearly dependent. That is, any set $\{v_1, \dots, v_p\}$ in \mathbb{R}^n is linearly dependent if $p > n$.

Theorem (8)

If a set contains more vectors than there are entries in each vector, then the set is linearly dependent. That is, any set $\{v_1, \dots, v_p\}$ in \mathbb{R}^n is linearly dependent if $p > n$.

Outline of Proof:

$A = [v_1 \ v_2 \ \cdots \ v_p]$ is $n \times p$

Suppose $p > n$.

Theorem (8)

If a set contains more vectors than there are entries in each vector, then the set is linearly dependent. That is, any set $\{v_1, \dots, v_p\}$ in R^n is linearly dependent if $p > n$.

Outline of Proof:

$A = [v_1 \ v_2 \ \cdots \ v_p]$ is $n \times p$

Suppose $p > n$.

$\Rightarrow \mathbf{Ax} = \mathbf{0}$ has more variables than equations

$\Rightarrow \mathbf{Ax} = \mathbf{0}$ has nontrivial solutions

\Rightarrow columns of \mathbf{A} are linearly dependent



Theorem (9)

If a set $S = \{v_1, \dots, v_p\}$ in R^n contains the zero vector, then the set is linearly dependent.

Theorem (9)

If a set $S = \{v_1, \dots, v_p\}$ in R^n contains the zero vector, then the set is linearly dependent.

Proof.

Renumber the vectors so that $v_1 = \underline{0}$. Then

$$\underline{1} v_1 + \underline{0} v_2 + \cdots + \underline{0} v_p = \underline{0}$$

which shows that S is linearly dependent.



Example

Determine by inspection if the given set is linearly dependent

- a. $\begin{bmatrix} 1 \\ 7 \\ 6 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 9 \end{bmatrix}, \begin{bmatrix} 3 \\ 1 \\ 5 \end{bmatrix}, \begin{bmatrix} 4 \\ 1 \\ 8 \end{bmatrix}$ b. $\begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 8 \end{bmatrix}$ c. $\begin{bmatrix} -2 \\ 4 \\ 6 \\ 10 \end{bmatrix}, \begin{bmatrix} 3 \\ -6 \\ -9 \\ 15 \end{bmatrix}$

Example

Determine by inspection if the given set is linearly dependent

a. $\begin{bmatrix} 1 \\ 7 \\ 6 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 9 \end{bmatrix}, \begin{bmatrix} 3 \\ 1 \\ 5 \end{bmatrix}, \begin{bmatrix} 4 \\ 1 \\ 8 \end{bmatrix}$ b. $\begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 8 \end{bmatrix}$ c. $\begin{bmatrix} -2 \\ 4 \\ 6 \\ 10 \end{bmatrix}, \begin{bmatrix} 3 \\ -6 \\ -9 \\ 15 \end{bmatrix}$

解:

- a. \therefore The set contains 4 vectors, each has 3 entries.
 \therefore Dependent

Example

Determine by inspection if the given set is linearly dependent

a. $\begin{bmatrix} 1 \\ 7 \\ 6 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 9 \end{bmatrix}, \begin{bmatrix} 3 \\ 1 \\ 5 \end{bmatrix}, \begin{bmatrix} 4 \\ 1 \\ 8 \end{bmatrix}$ b. $\begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 8 \end{bmatrix}$ c. $\begin{bmatrix} -2 \\ 4 \\ 6 \\ 10 \end{bmatrix}, \begin{bmatrix} 3 \\ -6 \\ -9 \\ 15 \end{bmatrix}$

解:

a. \therefore The set contains 4 vectors, each has 3 entries.

\therefore Dependent

b. \therefore The zero vector is in the set

\therefore Dependent

Example

Determine by inspection if the given set is linearly dependent

a. $\begin{bmatrix} 1 \\ 7 \\ 6 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 9 \end{bmatrix}, \begin{bmatrix} 3 \\ 1 \\ 5 \end{bmatrix}, \begin{bmatrix} 4 \\ 1 \\ 8 \end{bmatrix}$ b. $\begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 8 \end{bmatrix}$ c. $\begin{bmatrix} -2 \\ 4 \\ 6 \\ 10 \end{bmatrix}, \begin{bmatrix} 3 \\ -6 \\ -9 \\ 15 \end{bmatrix}$

解:

a. \because The set contains 4 vectors, each has 3 entries.

\therefore Dependent

b. \because The zero vector is in the set

\therefore Dependent

c. \because Neither is a multiple of the other

\therefore Independent

§ 1.8 Linear Transformations

§ 1.8 Linear Transformations

- Transformations
- Matrix Transformations
- Linear Transformations

$$Ax = b$$

Matrix A is an object acting on x by multiplication to produce a new vector Ax or b .

1. Transformations

$$Ax = b \quad \begin{bmatrix} 4 & -3 & 1 & 3 \\ 2 & 0 & 5 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 5 \\ 8 \end{bmatrix}$$

$\uparrow \quad \quad \uparrow \quad \quad \uparrow$
 $A \quad \quad x \quad \quad b$

$$Au = 0 \quad \begin{bmatrix} 4 & -3 & 1 & 3 \\ 2 & 0 & 5 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 4 \\ -1 \\ 3 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$\uparrow \quad \quad \uparrow \quad \quad \uparrow$
 $A \quad \quad u \quad \quad 0$

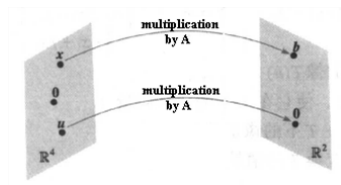
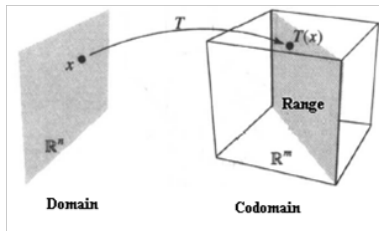


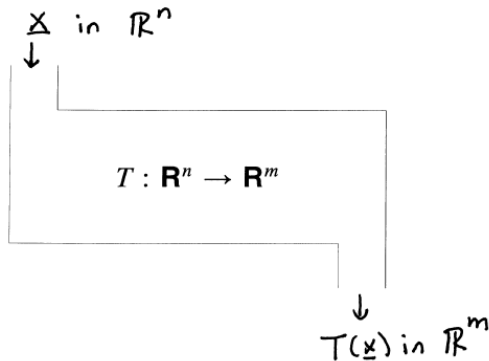
Figure: Transforming vectors

Transformation T

- R^n domain of T (定义域)
- R^m codomain of T (余定义域)
- $T: R^n \rightarrow R^m$
- Image of x $T(x)$ in R^m (像)
- Range of T Set of all images $T(x)$ range of T (值域)



A **transformation** T from \mathbf{R}^n to \mathbf{R}^m is a rule that assigns to each vector \mathbf{x} in \mathbf{R}^n a vector $T(\mathbf{x})$ in \mathbf{R}^m .



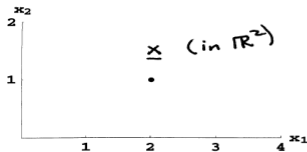
Example

Let $A = \begin{bmatrix} 1 & 0 \\ 2 & 1 \\ 0 & 1 \end{bmatrix}$. Define a transformation $T : R^2 \rightarrow R^3$ by $T(x) = Ax$.

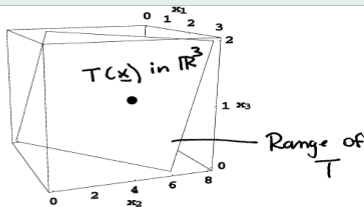
Example

Let $A = \begin{bmatrix} 1 & 0 \\ 2 & 1 \\ 0 & 1 \end{bmatrix}$. Define a transformation $T : \mathbb{R}^2 \rightarrow \mathbb{R}^3$ by $T(x) = Ax$.

$$\text{Then if } x = \begin{bmatrix} 2 \\ 1 \end{bmatrix}, T(x) = Ax = \begin{bmatrix} 1 & 0 \\ 2 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 5 \\ 1 \end{bmatrix}$$



Domain : \mathbb{R}^2



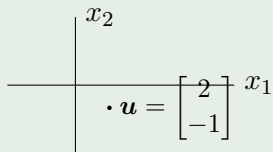
Codomain : \mathbb{R}^3

Example

$$\text{Let } A = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix}, \mathbf{u} = \begin{bmatrix} 2 \\ -1 \end{bmatrix}, \mathbf{b} = \begin{bmatrix} 3 \\ 2 \\ -5 \end{bmatrix}, \mathbf{c} = \begin{bmatrix} 3 \\ 2 \\ 5 \end{bmatrix}, \quad T: R^2 \rightarrow R^3$$

$$T(\mathbf{x}) = A\mathbf{x} = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 - 3x_2 \\ 3x_1 + 5x_2 \\ -x_1 + 7x_2 \end{bmatrix}$$

- Find $T(\mathbf{u})$, the image of \mathbf{u} under the transformation T .
- Find an \mathbf{x} in R^2 whose image under T is \mathbf{b} .
- Is there more than one \mathbf{x} whose image under T is \mathbf{b} ?
- Determine if \mathbf{c} is in the range of the transformation T .

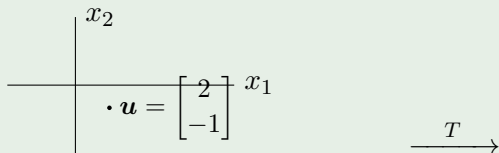


Example

$$\text{Let } A = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix}, \mathbf{u} = \begin{bmatrix} 2 \\ -1 \end{bmatrix}, \mathbf{b} = \begin{bmatrix} 3 \\ 2 \\ -5 \end{bmatrix}, \mathbf{c} = \begin{bmatrix} 3 \\ 2 \\ 5 \end{bmatrix}, \quad T: R^2 \rightarrow R^3$$

$$T(\mathbf{x}) = A\mathbf{x} = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 - 3x_2 \\ 3x_1 + 5x_2 \\ -x_1 + 7x_2 \end{bmatrix}$$

- Find $T(\mathbf{u})$, the image of \mathbf{u} under the transformation T .
- Find an \mathbf{x} in R^2 whose image under T is \mathbf{b} .
- Is there more than one \mathbf{x} whose image under T is \mathbf{b} ?
- Determine if \mathbf{c} is in the range of the transformation T .

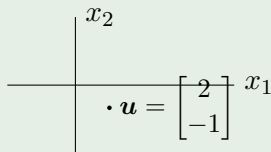


Example

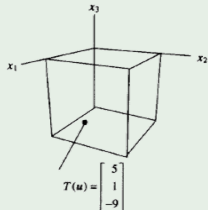
$$\text{Let } A = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix}, u = \begin{bmatrix} 2 \\ -1 \end{bmatrix}, b = \begin{bmatrix} 3 \\ 2 \\ -5 \end{bmatrix}, c = \begin{bmatrix} 3 \\ 2 \\ 5 \end{bmatrix}, \quad T: R^2 \rightarrow R^3$$

$$T(x) = Ax = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 - 3x_2 \\ 3x_1 + 5x_2 \\ -x_1 + 7x_2 \end{bmatrix}$$

- Find $T(u)$, the image of u under the transformation T .
- Find an x in R^2 whose image under T is b .
- Is there more than one x whose image under T is b ?
- Determine if c is in the range of the transformation T .



\xrightarrow{T}



解:

a. Compute $T(\mathbf{u}) = \mathbf{A}\mathbf{u} = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix} \begin{bmatrix} 2 \\ -1 \end{bmatrix} = \begin{bmatrix} 5 \\ 1 \\ -9 \end{bmatrix}$

解:

a. Compute $T(u) = Au = \begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix} \begin{bmatrix} 2 \\ -1 \end{bmatrix} = \begin{bmatrix} 5 \\ 1 \\ -9 \end{bmatrix}$

b. Solve $T(x) = b$ for x .

$$\begin{bmatrix} 1 & -3 \\ 3 & 5 \\ -1 & 7 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} 3 \\ 2 \\ -5 \end{bmatrix} \quad (1)$$

Hence,

$$\begin{bmatrix} 1 & -3 & 3 \\ 3 & 5 & 2 \\ -1 & 7 & -5 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 14 & -7 \\ 0 & 4 & -2 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 1 & -0.5 \\ 0 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 1.5 \\ 0 & 1 & -0.5 \\ 0 & 0 & 0 \end{bmatrix} \quad (2)$$

$$x_1 = 1.5, x_2 = -0.5, x = \begin{bmatrix} 1.5 \\ -0.5 \end{bmatrix}$$

- c. Any x whose image under T is \mathbf{b} must satisfy (1). From (2), it is clear that equation (1) has a unique solution. So there is exactly one x whose image is \mathbf{b} .

d.
$$\begin{bmatrix} 1 & -3 & 3 \\ 3 & 5 & 2 \\ -1 & 7 & 5 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 14 & -7 \\ 0 & 4 & 8 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 1 & 2 \\ 0 & 14 & -7 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 1 & 2 \\ 0 & 0 & -35 \end{bmatrix}$$

- c. Any x whose image under T is b must satisfy (1). From (2), it is clear that equation (1) has a unique solution. So there is exactly one x whose image is b .

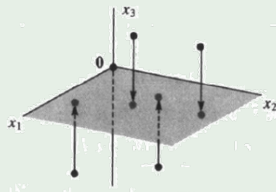
d.
$$\begin{bmatrix} 1 & -3 & 3 \\ 3 & 5 & 2 \\ -1 & 7 & 5 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 14 & -7 \\ 0 & 4 & 8 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 1 & 2 \\ 0 & 14 & -7 \end{bmatrix} \sim \begin{bmatrix} 1 & -3 & 3 \\ 0 & 1 & 2 \\ 0 & 0 & -35 \end{bmatrix}$$

The system is inconsistent. So c is not in the range T .

Matrix transformation have many applications - including *computer graphics*.

E.g. Projection Transformation (投影变换)

$$A = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$



Shear transformation (错切变换)

$$A = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \quad T: R^2 \rightarrow R^2 \quad T(x) = Ax$$

E.g.

The image of the point $u = \begin{bmatrix} 0 \\ 2 \end{bmatrix}$ is $\begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 2 \end{bmatrix} = \begin{bmatrix} 6 \\ 2 \end{bmatrix}$

$$\begin{bmatrix} 2 \\ 2 \end{bmatrix} \text{ is } \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} = \begin{bmatrix} 8 \\ 2 \end{bmatrix}$$

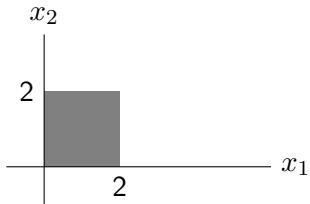
Shear transformation (错切变换)

$$A = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \quad T: \mathbb{R}^2 \rightarrow \mathbb{R}^2 \quad T(x) = Ax$$

E.g.

The image of the point $u = \begin{bmatrix} 0 \\ 2 \end{bmatrix}$ is $\begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 2 \end{bmatrix} = \begin{bmatrix} 6 \\ 2 \end{bmatrix}$

$$\begin{bmatrix} 2 \\ 2 \end{bmatrix} \text{ is } \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} = \begin{bmatrix} 8 \\ 2 \end{bmatrix}$$



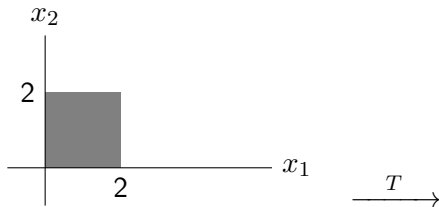
Shear transformation (错切变换)

$$A = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \quad T: \mathbb{R}^2 \rightarrow \mathbb{R}^2 \quad T(x) = Ax$$

E.g.

The image of the point $u = \begin{bmatrix} 0 \\ 2 \end{bmatrix}$ is $\begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 2 \end{bmatrix} = \begin{bmatrix} 6 \\ 2 \end{bmatrix}$

$$\begin{bmatrix} 2 \\ 2 \end{bmatrix} \text{ is } \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} = \begin{bmatrix} 8 \\ 2 \end{bmatrix}$$



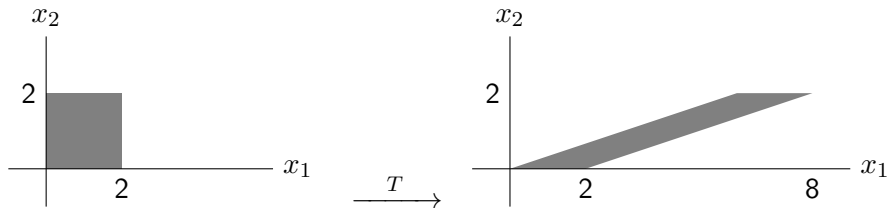
Shear transformation (错切变换)

$$A = \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \quad T: \mathbb{R}^2 \rightarrow \mathbb{R}^2 \quad T(\mathbf{x}) = A\mathbf{x}$$

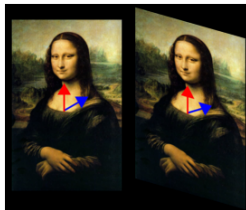
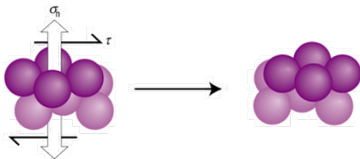
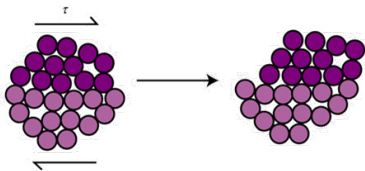
E.g.

The image of the point $\mathbf{u} = \begin{bmatrix} 0 \\ 2 \end{bmatrix}$ is $\begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 2 \end{bmatrix} = \begin{bmatrix} 6 \\ 2 \end{bmatrix}$

$$\begin{bmatrix} 2 \\ 2 \end{bmatrix} \text{ is } \begin{bmatrix} 1 & 3 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix} = \begin{bmatrix} 8 \\ 2 \end{bmatrix}$$



§ 1.8 Linear Transformations



Definition

A transformation T is linear if:

- (a) $T(u + v) = T(u) + T(v)$ for all u, v in the domain of T ;
- (b) $T(cu) = cT(u)$ for all u and all scalars c .

Every Matrix transformation is a linear transformation.

RESULT

If T is a linear transformation, then

$$T(\mathbf{0}) = \mathbf{0} \quad \text{and} \quad T(c\mathbf{u} + d\mathbf{v}) = cT(\mathbf{u}) + dT(\mathbf{v}).$$

RESULT

If T is a linear transformation, then

$$T(0) = 0 \quad \text{and} \quad T(cu + dv) = cT(u) + dT(v).$$

Proof.

$$T(0) = T(0u) = 0T(u) = 0.$$

$$\begin{aligned} T(cu + dv) &= T(cu) + T(dv) \\ &= cT(u) + dT(v). \end{aligned}$$



Example :

Define a linear transformation $T : R^2 \rightarrow R^2$ by

$$T(\mathbf{x}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} -x_2 \\ x_1 \end{bmatrix}$$

Find the image under T of $\mathbf{u} = \begin{bmatrix} 4 \\ 1 \end{bmatrix}$, $\mathbf{v} = \begin{bmatrix} 2 \\ 3 \end{bmatrix}$ $\mathbf{u} + \mathbf{v} = \begin{bmatrix} 6 \\ 4 \end{bmatrix}$

Example :

Define a linear transformation $T : R^2 \rightarrow R^2$ by

$$T(\mathbf{x}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} -x_2 \\ x_1 \end{bmatrix}$$

Find the image under T of $\mathbf{u} = \begin{bmatrix} 4 \\ 1 \end{bmatrix}$, $\mathbf{v} = \begin{bmatrix} 2 \\ 3 \end{bmatrix}$ $\mathbf{u} + \mathbf{v} = \begin{bmatrix} 6 \\ 4 \end{bmatrix}$

解:

$$T(\mathbf{u}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 4 \\ 1 \end{bmatrix} = \begin{bmatrix} -1 \\ 4 \end{bmatrix} \quad T(\mathbf{v}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \end{bmatrix} = \begin{bmatrix} -3 \\ 2 \end{bmatrix},$$

$$T(\mathbf{u} + \mathbf{v}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 6 \\ 4 \end{bmatrix} = \begin{bmatrix} -4 \\ 6 \end{bmatrix}$$

Example

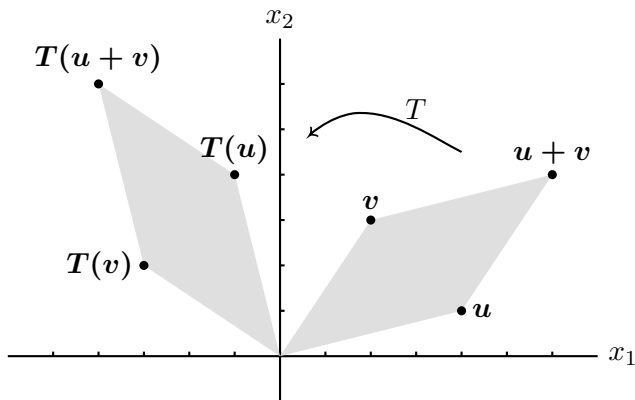


Figure: A rotation transformation

Example

Let $e_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$, $e_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$, $y_1 = \begin{bmatrix} 1 \\ 0 \\ 2 \end{bmatrix}$ and $y_2 = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$. Suppose

$T : R^2 \rightarrow R^3$ is a linear transformation which maps e_1 into y_1 and e_2 into y_2 . Find the images of $\begin{bmatrix} 3 \\ 2 \end{bmatrix}$ and $\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$.

解:

First, note that

$$T(e_1) = y_1 \quad \text{and} \quad T(e_2) = y_2.$$

Also

$$3e_1 + 2e_2 = \begin{bmatrix} 3 \\ 2 \end{bmatrix}$$

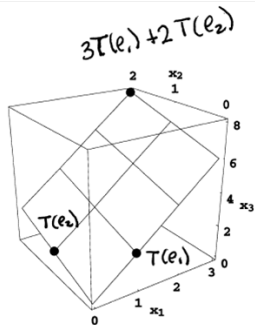
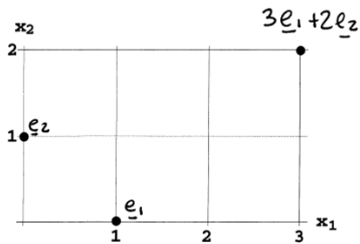
Then

$$T\left(\begin{bmatrix} 3 \\ 2 \end{bmatrix}\right) = T(3e_1 + 2e_2) =$$

$$3T(e_1) + 2T(e_2) =$$

$$3y_1 + 2y_2 =$$

$$\begin{bmatrix} 3 \\ 0 \\ 6 \end{bmatrix} + \begin{bmatrix} 0 \\ 2 \\ 2 \end{bmatrix} = \begin{bmatrix} 3 \\ 2 \\ 8 \end{bmatrix}$$



$$T(3\underline{e}_1 + 2\underline{e}_2) = 3T(\underline{e}_1) + 2T(\underline{e}_2)$$

Also

$$\begin{aligned} T\left(\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}\right) &= T(x_1 e_1 + x_2 e_2) = \\ &= x_1 T(e_1) + x_2 T(e_2) = \\ &= x_1 \begin{bmatrix} 1 \\ 0 \\ 2 \end{bmatrix} + x_2 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ 2x_1 + x_2 \end{bmatrix} \end{aligned}$$