

# 人工智能

## ——人工神经网络 II



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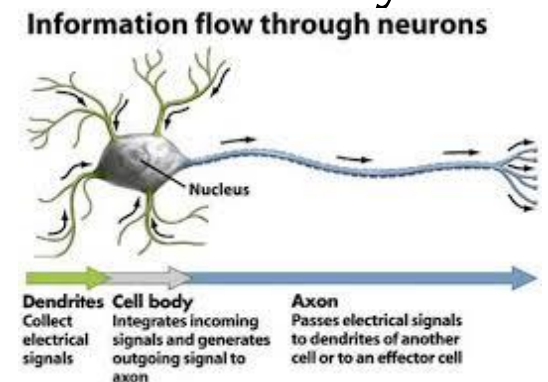
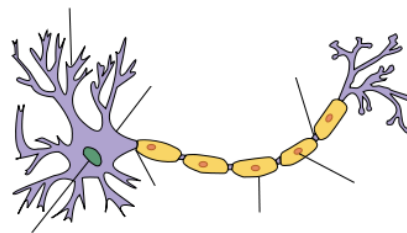
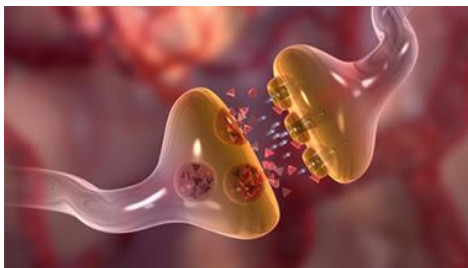
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# Neural Network

- The study of artificial neural networks was inspired by attempts to simulate biological neural systems.
- The human brain consists of nerve cells called neurons (神经元) primarily .
- Neurons are linked together via strands of fiber called axons (轴突).
- Axons are used to transmit nerve impulses from one neuron to another whenever the neurons are stimulated.

# Neural Network

- A neuron is connected to the axons of other neurons via dendrites (树突), which are extensions from the cell body of the neuron.
- The contact point between a dendrite and an axon is called a synapse (突触).
- The human brain learns by changing the strength of the synaptic connection between neurons upon repeated stimulation by the same impulse.



# Neural Network

- A neural network consists of a large number of simple and interacting nodes (artificial neurons). 相互作用
- Knowledge is represented by the strength of connections between these nodes.
- Knowledge is acquired by adjusting the connections through a process of learning. 获得 调整
- All the neurons process their inputs simultaneously and independently. 处理 同时地

# Neural Network

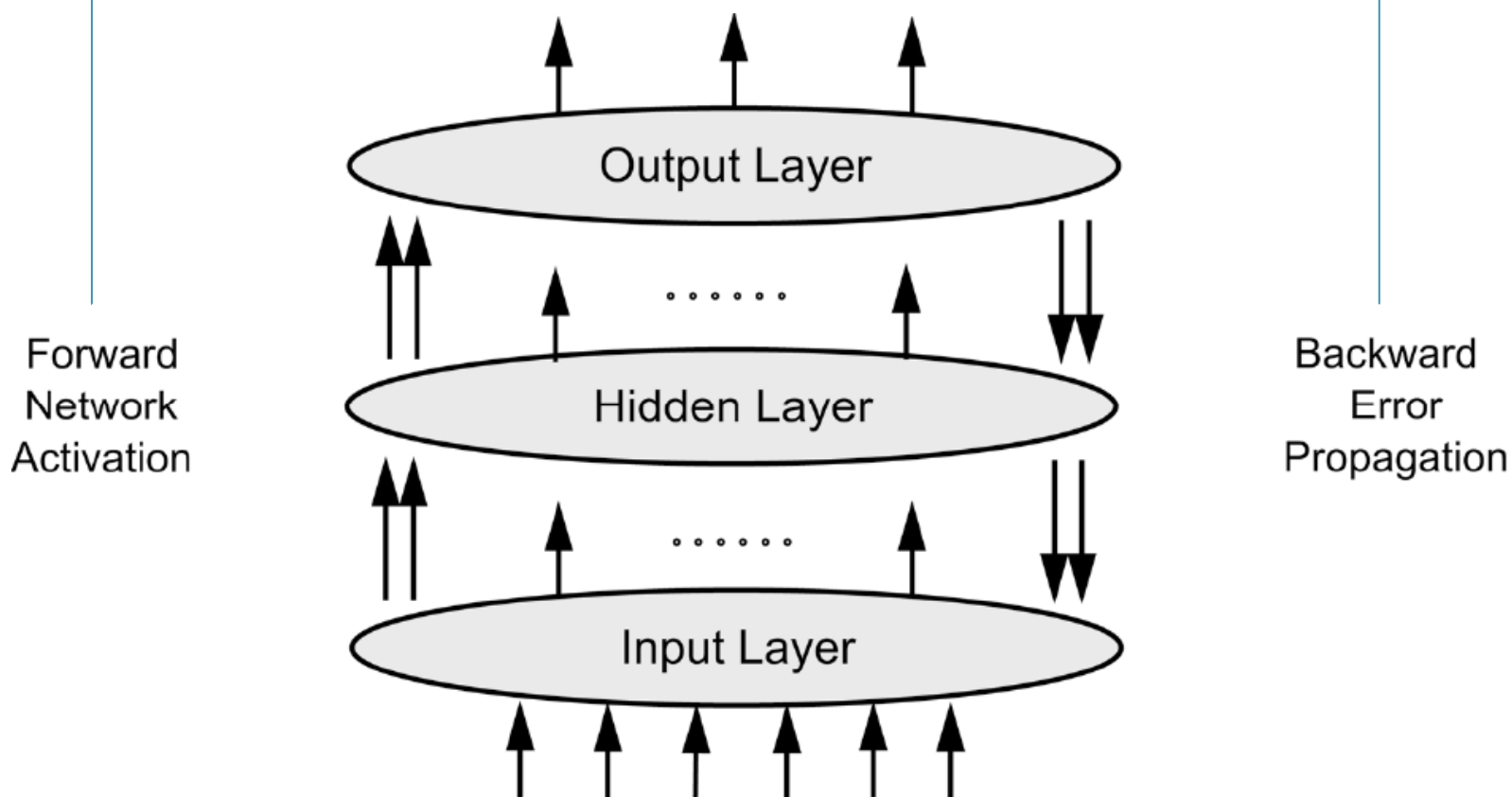
- The additional layers in between the input and output nodes are called hidden layers.
- The nodes <sup>嵌入的</sup> embedded in these layers are called hidden nodes.
- We focus on feedforward neural networks, in which the nodes in one layer are connected only to the nodes <sup>一层中的节点仅连接到下一层中的节点</sup> in the next layer.
- The <sup>反向传播</sup> **backpropagation** learning algorithm is specifically designed for neural networks with multiple layers.

# Neural Network

- There are two <sup>阶段</sup>phases in each iteration of the training algorithm
  - The forward phase
  - The backward phase

# Neural Network

多层网络中的学习



多层前馈神经网络

# Neural Network

在前向阶段，利用前一次迭代得到的权重计算每个神经元的输出值

- During the forward phase, the weights obtained from the previous iteration are used to compute the output value of each neuron.
- Outputs of the neurons at level  $l$  are computed prior to computing the outputs at level  $l+1$ .

在计算L+1层神经元的输出之前，先计算L层神经元的输出

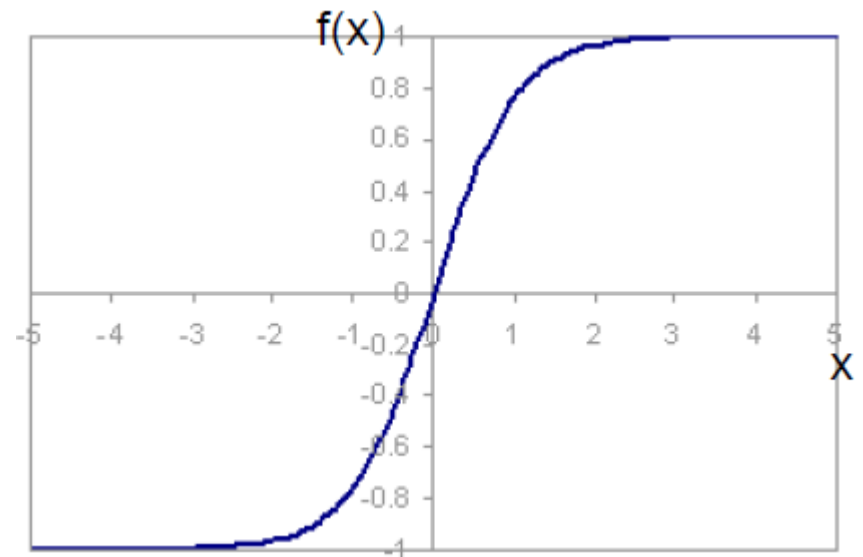
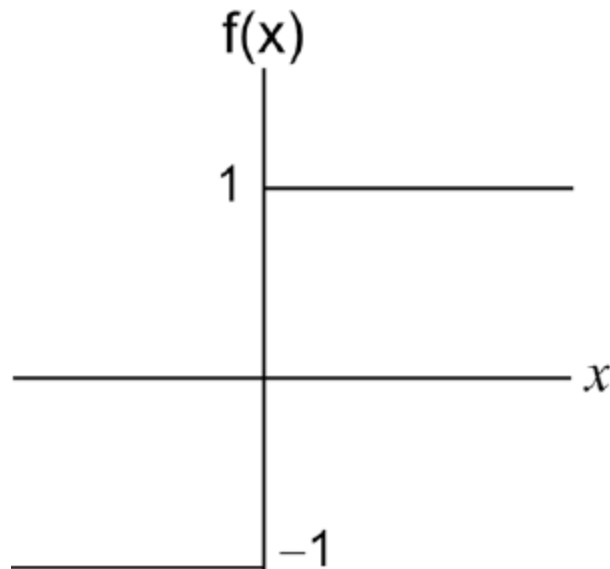


# Neural Network

- During the backward phase, the weight update equation is applied in the reverse direction. 在向后阶段，权重更新方程的应用方向相反
- In other words, the weights at level  $l+1$  are updated before the weights at level  $l$  are updated. 在更新L层的权重前更新L+1层的权重
- The learning algorithm allows us to use the errors for neurons at layer  $l+1$  to estimate the errors for neurons at layer  $l$ . 使用L+1层神经元的误差来估计L层神经元的误差

# Neural Network

- For this type of network, instead of the threshold function, another activation function is used.



# Neural Network

- A common activation function is the hyperbolic tangent function

双曲正切函数

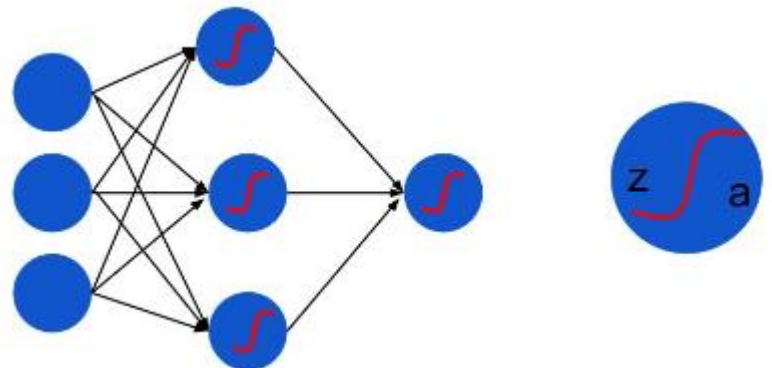
$$f(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}} = \frac{e^{2x} - 1}{e^{2x} + 1}$$

取值范围为 $[-1, 1]$

- An important property of the function is that it is differentiable

可微的

$$f'(x) = 1 - f(x)^2$$



# Neural Network

- Another common activation function is the **sigmoid** function

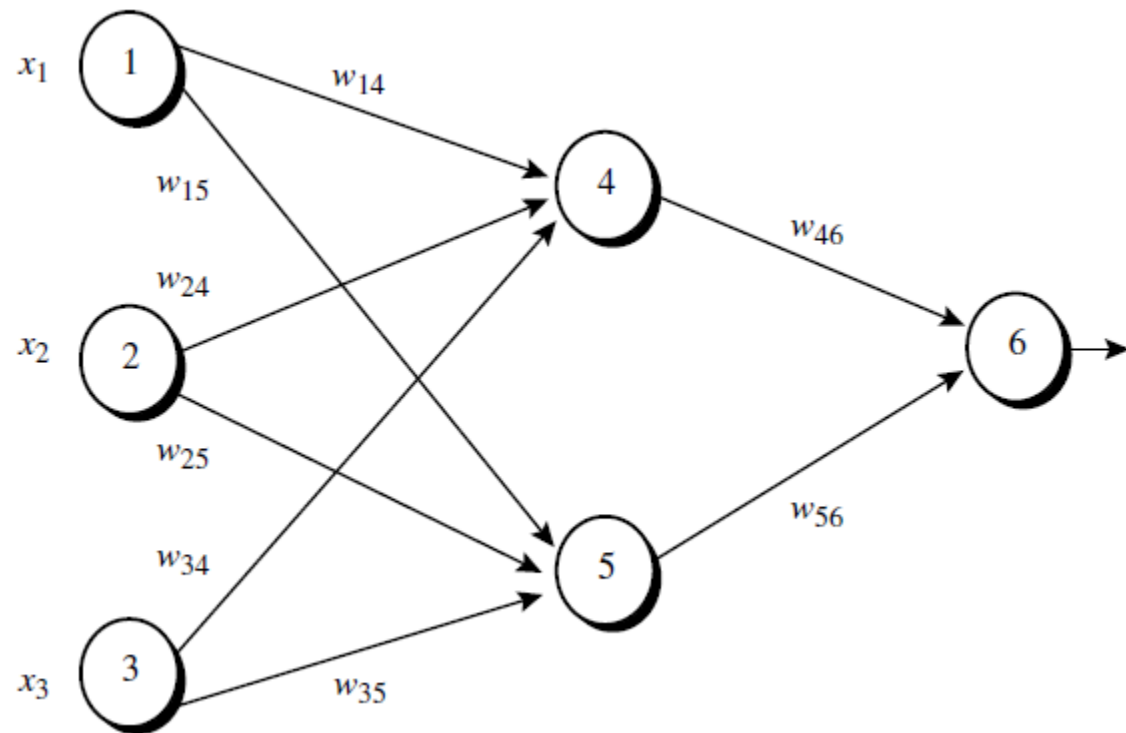
$$f(x) = \frac{1}{1 + e^{-x}}$$

- This function is also differentiable

$$f'(x) = f(x)(1 - f(x))$$

# Example

- One training tuple  $\mathbf{X}=(1,0,1)$ , whose class label is 1.



# Example

Initial input, weight, and bias values. 偏移量

$x_1$	$x_2$	$x_3$	$w_{14}$	$w_{15}$	$w_{24}$	$w_{25}$	$w_{34}$	$w_{35}$	$w_{46}$	$w_{56}$	$\theta_4$	$\theta_5$	$\theta_6$
1	0	1	0.2	-0.3	0.4	0.1	-0.5	0.2	-0.3	-0.2	-0.4	0.2	0.1

The net input and output calculations.

Unit $j$	Net input, $I_j$	Output, $O_j$
4	$0.2 + 0 - 0.5 - 0.4 = -0.7$	$1/(1 + e^{0.7}) = 0.332$
5	$-0.3 + 0 + 0.2 + 0.2 = 0.1$	$1/(1 + e^{-0.1}) = 0.525$
6	$(-0.3)(0.332) - (0.2)(0.525) + 0.1 = -0.105$	$1/(1 + e^{0.105}) = 0.474$

# Example

Calculation of the error at each node.

<i>Unit j</i>	<i>Err<sub>j</sub></i>
6	$(0.474)(1 - 0.474)(1 - 0.474) = 0.1311$
5	$(0.525)(1 - 0.525)(0.1311)(-0.2) = -0.0065$
4	$(0.332)(1 - 0.332)(0.1311)(-0.3) = -0.0087$

Calculations for weight and bias updating.

<i>Weight or bias</i>	<i>New value</i>
$w_{46}$	$-0.3 + (0.9)(0.1311)(0.332) = -0.261$
$w_{56}$	$-0.2 + (0.9)(0.1311)(0.525) = -0.138$
$w_{14}$	$0.2 + (0.9)(-0.0087)(1) = 0.192$
$w_{15}$	$-0.3 + (0.9)(-0.0065)(1) = -0.306$
$w_{24}$	$0.4 + (0.9)(-0.0087)(0) = 0.4$
$w_{25}$	$0.1 + (0.9)(-0.0065)(0) = 0.1$
$w_{34}$	$-0.5 + (0.9)(-0.0087)(1) = -0.508$
$w_{35}$	$0.2 + (0.9)(-0.0065)(1) = 0.194$
$\theta_6$	$0.1 + (0.9)(0.1311) = 0.218$
$\theta_5$	$0.2 + (0.9)(-0.0065) = 0.194$
$\theta_4$	$-0.4 + (0.9)(-0.0087) = -0.408$

# Neural Network

- Given a unit  $j$  in a hidden or output layer, the net input,  $I_j$ , to unit  $j$  is  $I_j = \sum_i w_{ij} O_i + \theta_j$  前一层节点的输出乘以相应的权重再求和最后加上偏移量

Propagate the inputs forward

where  $w_{ij}$  is the weight of the connection from unit  $i$  in the previous layer to unit  $j$ ;  $O_i$  is the output of unit  $i$  from the previous layer; and  $\theta_j$  is the bias of the unit.

- Given the net input  $I_j$  to unit  $j$ , then  $O_j$ , the output of unit  $j$ , is computed as

$$O_j = \frac{1}{1 + e^{-I_j}}$$

Backpropagate the error

- For a unit  $k$  in the output layer, the error  $Err_k$  is computed by

$$Err_k = O_k (1 - O_k) (T_k - O_k) \quad T_k \text{表示实际结果}$$

- The error of a hidden layer unit  $j$  is

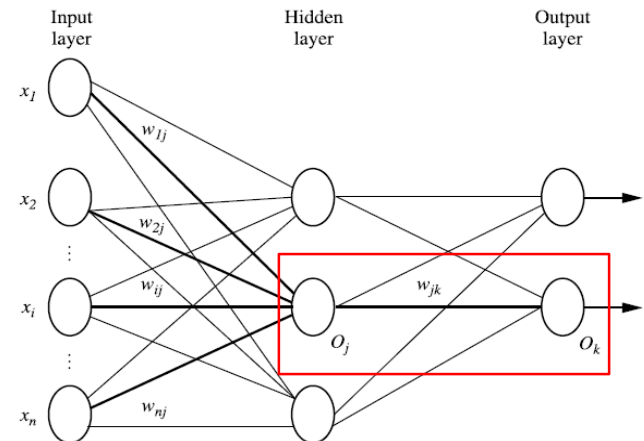
$$Err_j = O_j (1 - O_j) \sum_k Err_k w_{jk}$$

求和表示该节点有多个输出  
k为下一层节点

- Weights are updated by

$$w_{jk} = w_{jk} + \eta Err_k O_j$$

$$\theta_k = \theta_k + \eta Err_k$$





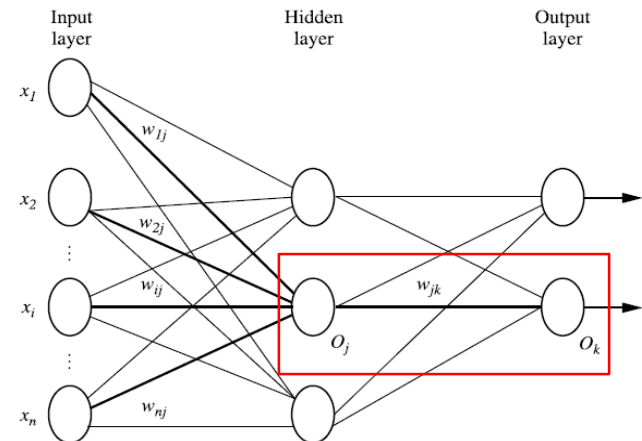
# Neural Network

- Minimize the error of node  $O_k$
- We define it as  $E = \frac{1}{2} e^2 = \frac{1}{2} (T - O)^2$   
与真实数据的误差的二分之一方差比较常用
- To adjust weight  $w_{jk}$ , we first calculate the partial derivation of  $E$  on  $w_{jk}$

偏微分

$$\begin{aligned}\frac{\partial E}{\partial w_{jk}} &= \frac{\partial E}{\partial e} \times \frac{\partial e}{\partial O_k} \times \frac{\partial O_k}{\partial w_{jk}} \\ &= -(e) \times (O_k(1 - O_k)) \times (O_j) \\ &= -(T_k - O_k) O_k(1 - O_k) O_j\end{aligned}$$

- and then use the “gradient decent”



# Neural Network

- Backpropagation learning is based on the idea of an error surface.
- The surface represents cumulative error over a data set as a function of network weights.
- Each possible network weight configuration is represented by a point on the surface.

# Neural Network

- The goal of the learning algorithm is to determine a set of weights that minimize the error.
- The learning algorithm should be designed to find the direction on the surface which most rapidly reduces the error.
- This can be achieved by moving in the opposite direction of the gradient vector at each surface point (i.e., by employing the gradient descent learning method).

通过在每个表面点沿梯度向量的相反方向移动来实现

# Neural Network

- **Weakness**

- Long training time 很长的训练时间
- Require a number of parameters typically best determined empirically, e.g., the network topology or “structure”. 需要一系列参数，通常由经验确定，例如，网络拓扑或结构
- Poor interpretability 解释能力差
  - Difficult to interpret the symbolic meaning behind the learned weights and of “hidden units” in the network 很难解释所学的权重背后的含义和网络中的“隐藏单元”

# Neural Network

- **Strength**

- High tolerance to noisy data  
高噪声数据耐受性
- Well-suited for continuous-valued inputs and outputs  
非常适合连续值输入和输出
- Successful on a wide array of real-world data  
在大量真实数据上取得成功
- Techniques have recently been developed for the extraction of rules from trained neural networks  
最近已开发出从训练过的神经网络中提取规则的技术。

# Neural Network

- **Rule extraction from networks:** network pruning
    - Simplify the network structure by removing weighted links that have the least effect on the trained network
    - The set of input and activation values are studied to derive rules describing the relationship between the input and hidden unit layers
  - **Sensitivity analysis:** assess the impact that a given input variable has on a network output. The knowledge gained from this analysis can be represented in rules
- 评估给定输入变量对网络输出的影响，从这个分析中获得的知识可以用规则表示