

# 初等数论

## 第七章 连分数

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# 1. 有限连分数的定义

形如:

$$x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3 + \frac{1}{x_4 + \frac{1}{x_5 + \frac{1}{x_6}}}}}}$$

的数, 其中 $x_1 \sim x_6$ 都是正实数, 将这个数称为是一个**6阶连分数**(6条横线), 它的值自然是一个实数.

特别, 如果 $x_1 \sim x_6$ 都是正整数,  $x_0$ 是整数的话, 这个分数被称为**6阶简单连分数**, 比如

$$-3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3 + \frac{1}{5 + \frac{1}{9 + \frac{1}{100}}}}}}$$

可以将上述连分数记为:  $[x_0, x_1, x_2, x_3, x_4, x_5, x_6]$ , 比如 $[-3, 2, 4, 3, 5, 9, 100]$

● 一般地, 形如:

$$x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3 + \frac{1}{\dots + \frac{1}{x_{n-1} + \frac{1}{x_n}}}}}}$$

的数( $x_i(i \leq 0) \in \mathbb{R}, x_j(j \geq 1) > 0$ ), 称为 $n$ 阶有限连分数, 为书写方便, 记为 $[x_0, x_1, x_2, \dots, x_n]$ . 如果这里面的数字都是整数, 这个连分数被称为**有限简单连分数**.

将 $[x_0, x_1, x_2, \dots, x_k]$ 称为是 $[x_0, x_1, x_2, \dots, x_n]$ 的第 $k$ 个渐近分数, 比如:

$$-3 + \frac{1}{2}, \quad -3 + \frac{1}{2 + \frac{1}{4}}, \quad -3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3}}}$$

都是

$$-3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3 + \frac{1}{5 + \frac{1}{9 + \frac{1}{100}}}}}}$$

的渐近分数(值越来越精确).

## 2. 有限连分数的性质

以下性质中, 均假设  $(x_i (i \leq 0) \in \mathbb{R}, x_j (j \leq 1) > 0)$

比如:

$$-3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3 + \frac{1}{5 + \frac{1}{9 + \frac{1}{100}}}}}} = -3 + \frac{1}{2 + \frac{1}{4 + \left( 3 + \frac{1}{5 + \frac{1}{9 + \frac{1}{100}}} \right)}}$$

i.e.,  $[-3, 2, 4, 3, 5, 9, 100] = [-3, 2, 4, \star], \text{ where } \star = [3, 5, 9, 100]$

所以有:  $[-3, 2, 4, 3, 5, 9, 100] = [-3, 2, 4, [3, 5, 9, 100]]$

2.1 所以根据我们的记号, 可以很容易的看到:

$$[x_0, x_1, x_2, \dots, x_{n-1}, x_n, x_{n+1}, \dots, x_{n+r}] = [x_0, x_1, x_2, \dots, x_{n-1}, [x_n, x_{n+1}, \dots, x_{n+r}]]$$

事实上, 又可以看到:

$$[3, 5, 9, 100] = 3 + \frac{1}{\left(5 + \frac{1}{9 + \frac{1}{100}}\right)}$$

所以又有:

$$[-3, 2, 4, 3, 5, 9, 100] = [-3, 2, 4, [3, 5, 9, 100]] = [-3, 2, 4, 3 + \frac{1}{[5, 9, 100]}]$$

2.2 即,一般地我们有:

$$\begin{aligned} & [x_0, x_1, x_2, \dots, x_{n-1}, x_n, x_{n+1}, \dots, x_{n+r}] \\ &= [x_0, x_1, x_2, \dots, x_{n-1}, [x_n, x_{n+1}, \dots, x_{n+r}]] \\ &= [x_0, x_1, x_2, \dots, x_{n-1}, x_n + \frac{1}{[x_{n+1}, \dots, x_{n+r}]}] \end{aligned}$$

另外,我们还可以注意到( $\eta > 0$ ):

$$-3 + \frac{1}{2 + \frac{1}{4}} < -3 + \frac{1}{2 + \frac{1}{4+\eta}}$$

$$i.e., \quad x_0 + \frac{1}{x_1 + \frac{1}{x_2}} < x_0 + \frac{1}{x_1 + \frac{1}{x_2+\eta}}$$

类似地:

$$-3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3+\frac{1}{5}}}} < -3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3+\frac{1}{5+\eta}}}}$$

$$i.e., \quad x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3 + \frac{1}{x_4}}}} < x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3 + \frac{1}{x_4+\eta}}}}$$

2.3 更一般地我们有:

$$[x_0, x_1, x_2, \dots, x_{2k-1}, x_{2k}] < [x_0, x_1, x_2, \dots, x_{2k-1}, x_{2k} + \eta]$$

另外,我们还可以注意到( $\eta > 0$ ):

$$-3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3}}} > -3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3\eta}}}$$

$$i.e., \quad x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3}}} > x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3 + \eta}}}$$

类似地:

$$-3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3 + \frac{1}{5 + \frac{1}{9}}}}} > -3 + \frac{1}{2 + \frac{1}{4 + \frac{1}{3 + \frac{1}{5 + \frac{1}{9 + \eta}}}}}$$

$$i.e., \quad x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3 + \frac{1}{x_4 + \frac{1}{x_5}}}}} > x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{x_3 + \frac{1}{x_4 + \frac{1}{x_5 + \eta}}}}}$$

2.4 更一般地我们有:

$$[x_0, x_1, x_2, \dots, x_{2k}, x_{2k+1}] > [x_0, x_1, x_2, \dots, x_{2k}, x_{2k+1} + \eta]$$

2.5 注意到这里的 $\eta$ 可以是任意正实数:

$$[x_0, x_1, x_2, \dots, x_{2k-1}, x_{2k}] < [x_0, x_1, x_2, \dots, x_{2k-1}, x_{2k} + \eta]$$

所以有

$$[x_0, x_1, \dots, x_{2k-1}, x_{2k}] < [x_0, x_1, \dots, x_{2k-1}, x_{2k} + \frac{1}{x_{2k+1}}] = [x_0, x_1, \dots, x_{2k}, x_{2k+1}]$$

类似地:

$$\begin{aligned} [x_0, x_1, \dots, x_{2k-1}, x_{2k}] &< [x_0, x_1, \dots, x_{2k-1}, x_{2k} + \frac{1}{x_{2k+1} + \frac{1}{x_{2k+2}}}] \\ &= [x_0, x_1, \dots, x_{2k}, x_{2k+1}, x_{2k+2}] \end{aligned}$$

更一般的有:

$$[x_0, x_1, \dots, x_{2k-1}, x_{2k}] < [x_0, x_1, \dots, x_{2k}, x_{2k+1}, x_{2k+2}, \dots, x_{2k+r}]$$

(where  $r \geq 1$ ). 记 $\theta_n = [x_0, x_1, \dots, x_n]$ , 则有

$$\theta_{2k} < \theta_{2k+r} \quad (r \geq 1)$$



2.6 注意到这里的 $\eta$ 可以是任意正实数:

$$[x_0, x_1, x_2, \dots, x_{2k}, x_{2k+1}] > [x_0, x_1, x_2, \dots, x_{2k}, x_{2k+1} + \eta]$$

所以有

$$[x_0, x_1, \dots, x_{2k}, x_{2k+1}] > [x_0, x_1, \dots, x_{2k}, x_{2k+1} + \frac{1}{x_{2k+2}}] = [x_0, x_1, \dots, x_{2k}, x_{2k+1}]$$

类似地:

$$\begin{aligned} [x_0, x_1, \dots, x_{2k}, x_{2k+1}] &> [x_0, x_1, \dots, x_{2k}, x_{2k+1} + \frac{1}{x_{2k+2} + \frac{1}{x_{2k+3}}}] \\ &= [x_0, x_1, x_2, \dots, x_{2k+1}, x_{2k+2}, x_{2k+3}] \end{aligned}$$

更一般的有:

$$[x_0, x_1, \dots, x_{2k}, x_{2k+1}] > [x_0, x_1, \dots, x_{2k+1}, x_{2k+2}, x_{2k+3}, \dots, x_{2k+1+r}]$$

(where  $r \geq 1$ ), 记 $\theta_n = [x_0, x_1, \dots, x_n]$ , 则有

$$\theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1)$$

## 2.7 对:

$$\theta_{2k} < \theta_{2k+r} \quad (r \geq 1) :$$

$$k = 0 : \theta_0 < \theta_1, \theta_2, \theta_3, \theta_4, \theta_5, \theta_6, \theta_7, \theta_8, \dots$$

$$k = 1 : \theta_2 < \theta_3, \theta_4, \theta_5, \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10} \dots$$

$$k = 2 : \theta_4 < \theta_5, \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10} \dots$$

.....

即:

$$\theta_0 < \theta_1$$

$$\theta_2 < \theta_3$$

$$\theta_4 < \theta_5$$

$$\theta_6 < \theta_7$$

$$\theta_8 < \theta_9$$

$$\theta_{10} < \theta_{11}$$

.....

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$$k = 2 : \theta_4 < \theta_5, \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10} \dots$$

.....

即:

$$\theta_0$$

$$\wedge$$

$$\theta_2$$

$$\wedge$$

$$\theta_4$$

$$\wedge$$

$$\theta_6$$

$$\wedge$$

$$\theta_8$$

$$\wedge$$

$$\theta_{10}$$

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$$k = 2 : \theta_4 < \theta_5, \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10}, \dots$$

.....

即:

$$\theta_0 < \theta_1$$

$\wedge$

$$\theta_2 < \theta_3$$

$\wedge$

$$\theta_4 < \theta_5$$

$\wedge$

$$\theta_6 < \theta_7$$

$\wedge$

$$\theta_8 < \theta_9$$

$\wedge$

2.7 对:

$$\theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1):$$

$$k = 0 : \theta_1 > \theta_2, \theta_3, \theta_4, \theta_5, \theta_6, \theta_7, \theta_8, \dots$$

$$k = 1 : \theta_3 > \theta_4, \theta_5, \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10}, \dots$$

$$k = 2 : \theta_5 > \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10}, \dots$$

.....

即:

$$\theta_1 > \theta_2$$

$$\theta_3 > \theta_4$$

$$\theta_5 > \theta_6$$

$$\theta_7 > \theta_8$$

$$\theta_9 > \theta_{10}$$

$$\theta_{11} > \theta_{12}$$

.....

2.7 对:

$$\theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1) :$$

$k = 0 : \theta_1 > \theta_2, \theta_3, \theta_4, \theta_5, \theta_6, \theta_7, \theta_8, \dots$

$k = 1 : \theta_3 > \theta_4, \theta_5, \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10} \dots$

$k = 2 : \theta_5 > \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10} \dots$

$\dots$

即:

$\theta_1$

$\vee$

$\theta_3$

$\vee$

$\theta_5$

$\vee$

$\theta_7$

$\vee$

$\theta_9$

$\vee$

$\theta_{11}$

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$$\theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1) :$$

$$k = 0 : \theta_1 > \theta_2, \theta_3, \theta_4, \theta_5, \theta_6, \theta_7, \theta_8, \dots$$

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$$k = 2 : \theta_5 > \theta_6, \theta_7, \theta_8, \theta_9, \theta_{10} \dots$$

.....

即:

$$\theta_1 > \theta_2$$

∨

$$\theta_3 > \theta_4$$

∨

$$\theta_5 > \theta_6$$

∨

$$\theta_7 > \theta_8$$

∨

$$\theta_9 > \theta_{10}$$

∨

$$\theta_{2k} < \theta_{2k+r}, \theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1) :$$

$$\begin{array}{c}
 \theta_0 < \theta_1 \\
 \wedge \\
 \theta_2 < \theta_3 \\
 \wedge \\
 \theta_4 < \theta_5 \\
 \wedge \\
 \theta_6 < \theta_7 \\
 \wedge \\
 \theta_8 < \theta_9 \\
 \wedge \\
 \theta_{10} < \theta_{11} \\
 \wedge \\
 \text{.....}
 \end{array}$$

$$\begin{array}{c}
 \theta_1 > \theta_2 \\
 \vee \\
 \theta_3 > \theta_4 \\
 \vee \\
 \theta_5 > \theta_6 \\
 \vee \\
 \theta_7 > \theta_8 \\
 \vee \\
 \theta_9 > \theta_{10} \\
 \vee \\
 \theta_{11} > \theta_{12} \\
 \vee \\
 \text{.....}
 \end{array}$$

$$\begin{array}{c}
 \theta_0 < \theta_1 \\
 \wedge \swarrow \vee \\
 \theta_2 < \theta_3 \\
 \wedge \\
 \theta_4 < \theta_5 \\
 \wedge \\
 \theta_6 < \theta_7 \\
 \wedge \\
 \theta_8 < \theta_9 \\
 \wedge \\
 \theta_{10} < \theta_{11} \\
 \wedge \\
 \text{.....}
 \end{array}$$



$$\theta_{2k} < \theta_{2k+r}, \theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1):$$

$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \\ \theta_2 < \theta_3 \\ \wedge \\ \theta_4 < \theta_5 \\ \wedge \\ \theta_6 < \theta_7 \\ \wedge \\ \theta_8 < \theta_9 \\ \wedge \\ \theta_{10} < \theta_{11} \\ \wedge \\ \dots \end{array}$$

$$\begin{array}{c} \theta_1 > \theta_2 \\ \vee \\ \theta_3 > \theta_4 \\ \vee \\ \theta_5 > \theta_6 \\ \vee \\ \theta_7 > \theta_8 \\ \vee \\ \theta_9 > \theta_{10} \\ \vee \\ \theta_{11} > \theta_{12} \\ \vee \\ \dots \end{array}$$

$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \nearrow \vee \\ \theta_2 < \theta_3 \\ \wedge \nearrow \vee \\ \theta_4 < \theta_5 \\ \wedge \\ \theta_6 < \theta_7 \\ \wedge \\ \theta_8 < \theta_9 \\ \wedge \\ \theta_{10} < \theta_{11} \\ \wedge \\ \dots \end{array}$$

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 \wedge \\
 \theta_6 < \theta_7 \\
 \wedge \\
 \theta_8 < \theta_9 \\
 \wedge \\
 \theta_{10} < \theta_{11} \\
 \wedge \\
 \dots
 \end{array}$$

$$\begin{array}{c}
 \theta_1 > \theta_2 \\
 \vee \\
 \theta_3 > \theta_4 \\
 \vee \\
 \theta_5 > \theta_6 \\
 \vee \\
 \theta_7 > \theta_8 \\
 \vee \\
 \theta_9 > \theta_{10} \\
 \vee \\
 \theta_{11} > \theta_{12} \\
 \vee \\
 \dots
 \end{array}$$

$$\begin{array}{c}
 \theta_0 < \theta_1 \\
 \wedge \swarrow \vee \\
 \theta_2 < \theta_3 \\
 \wedge \swarrow \vee \\
 \theta_4 < \theta_5 \\
 \wedge \swarrow \vee \\
 \theta_6 < \theta_7 \\
 \wedge \\
 \theta_8 < \theta_9 \\
 \wedge \\
 \theta_{10} < \theta_{11} \\
 \wedge \\
 \dots
 \end{array}$$

$$\theta_{2k} < \theta_{2k+r}, \theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1):$$

$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \\ \theta_2 < \theta_3 \\ \wedge \\ \theta_4 < \theta_5 \\ \wedge \\ \theta_6 < \theta_7 \\ \wedge \\ \theta_8 < \theta_9 \\ \wedge \\ \theta_{10} < \theta_{11} \\ \wedge \\ \dots \end{array}$$

$$\begin{array}{c} \theta_1 > \theta_2 \\ \vee \\ \theta_3 > \theta_4 \\ \vee \\ \theta_5 > \theta_6 \\ \vee \\ \theta_7 > \theta_8 \\ \vee \\ \theta_9 > \theta_{10} \\ \vee \\ \theta_{11} > \theta_{12} \\ \vee \\ \dots \end{array}$$

$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \swarrow \vee \\ \theta_2 < \theta_3 \\ \wedge \swarrow \vee \\ \theta_4 < \theta_5 \\ \wedge \swarrow \vee \\ \theta_6 < \theta_7 \\ \wedge \swarrow \vee \\ \theta_8 < \theta_9 \\ \wedge \swarrow \vee \\ \theta_{10} < \theta_{11} \\ \wedge \\ \dots \end{array}$$

$$\theta_{2k} < \theta_{2k+r}, \theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1):$$

$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \\ \theta_2 < \theta_3 \\ \wedge \\ \theta_4 < \theta_5 \\ \wedge \\ \theta_6 < \theta_7 \\ \wedge \\ \theta_8 < \theta_9 \\ \wedge \\ \theta_{10} < \theta_{11} \\ \wedge \\ \dots \end{array}$$

$$\begin{array}{c} \theta_1 > \theta_2 \\ \vee \\ \theta_3 > \theta_4 \\ \vee \\ \theta_5 > \theta_6 \\ \vee \\ \theta_7 > \theta_8 \\ \vee \\ \theta_9 > \theta_{10} \\ \vee \\ \theta_{11} > \theta_{12} \\ \vee \\ \dots \end{array}$$

$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \swarrow \vee \\ \theta_2 < \theta_3 \\ \wedge \swarrow \vee \\ \theta_4 < \theta_5 \\ \wedge \swarrow \vee \\ \theta_6 < \theta_7 \\ \wedge \swarrow \vee \\ \theta_8 < \theta_9 \\ \wedge \swarrow \vee \\ \theta_{10} < \theta_{11} \\ \wedge \\ \dots \end{array}$$

$$\theta_{2k} < \theta_{2k+r}, \theta_{2k+1} > \theta_{2k+1+r} \quad (r \geq 1):$$

$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \\ \theta_2 < \theta_3 \\ \wedge \\ \theta_4 < \theta_5 \\ \wedge \\ \theta_6 < \theta_7 \\ \wedge \\ \theta_8 < \theta_9 \\ \wedge \\ \theta_{10} < \theta_{11} \\ \wedge \\ \dots \end{array}$$

$$\begin{array}{c} \theta_1 > \theta_2 \\ \vee \\ \theta_3 > \theta_4 \\ \vee \\ \theta_5 > \theta_6 \\ \vee \\ \theta_7 > \theta_8 \\ \vee \\ \theta_9 > \theta_{10} \\ \vee \\ \theta_{11} > \theta_{12} \\ \vee \\ \dots \end{array}$$

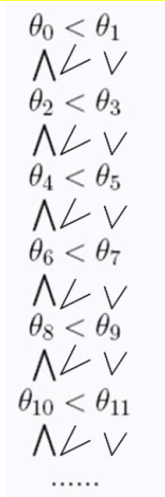
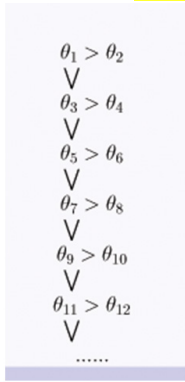
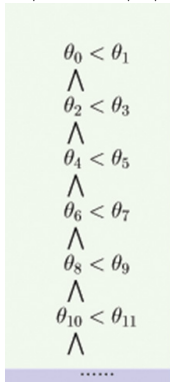
$$\begin{array}{c} \theta_0 < \theta_1 \\ \wedge \swarrow \vee \\ \theta_2 < \theta_3 \\ \wedge \swarrow \vee \\ \theta_4 < \theta_5 \\ \wedge \swarrow \vee \\ \theta_6 < \theta_7 \\ \wedge \swarrow \vee \\ \theta_8 < \theta_9 \\ \wedge \swarrow \vee \\ \theta_{10} < \theta_{11} \\ \wedge \swarrow \vee \\ \dots \end{array}$$

$$\theta_{2k} < \theta_{2k+r}, \theta_{2k+1} > \theta_{2k+1+r}$$

$(r \geq 1) \implies$  任意奇数下标的 $\theta$  > 任意偶数下标的 $\theta$

$$\theta_{2k} < \theta_{2k+r}, \theta_{2k+1} > \theta_{2k+1+r}$$

$(r \geq 1) \implies$  任意下标的 $\theta$ 的值都在 $[\theta_0, \theta_1]$ 区间内.



2.8  $[x_0, x_1, \dots, x_n] = \frac{P_n}{Q_n}$ , 其中  $P_n = x_n P_{n-1} + P_{n-2}$ ,  $Q_n = x_n Q_{n-1} + Q_{n-2}$ ,  
 $P_{-2} = 0, P_{-1} = 1, Q_{-2} = 1, Q_{-1} = 0$

对  $n$  使用数学归纳法:  $n = 0$  的时候直接检验:

$$P_0 = x_0 P_{-1} + 0 = x_0, Q_0 = x_0 \cdot 0 + Q_{-2} \implies \frac{P_0}{Q_0} = x_0$$

现在假设  $n = k$  时结论成立, 即  $[x_0, x_1, \dots, x_k] = \frac{P_k}{Q_k}$ , 我们需要说明  $n = k + 1$  时结论也成立:

$$[x_0, x_1, \dots, x_k, x_{k+1}] = [x_0, x_1, \dots, x_k + \frac{1}{x_{k+1}}]$$

此时右边可以使用归纳假设:

$$\begin{aligned} [x_0, x_1, \dots, x_k + \frac{1}{x_{k+1}}] &= \frac{(x_k + \frac{1}{x_{k+1}})P_{k-1} + P_{k-2}}{(x_k + \frac{1}{x_{k+1}})Q_{k-1} + Q_{k-2}} \\ &= \frac{(x_k x_{k+1} + 1)P_{k-1} + x_{k+1}P_{k-2}}{(x_k x_{k+1} + 1)Q_{k-1} + x_{k+1}Q_{k-2}} = \frac{x_{k+1}(x_k P_{k-1} + P_{k-2}) + P_{k-1}}{x_{k+1}(x_k Q_{k-1} + Q_{k-2}) + Q_{k-1}} \\ &= \frac{x_{k+1}P_k + P_{k-1}}{x_{k+1}Q_k + Q_{k-1}} = \frac{P_{k+1}}{Q_{k+1}} \end{aligned}$$

这个结论也给出了一种求连分数的方法。

求有限连分数 $[1, 1, 1, 1, 1, 1, 1, 1, 1, 1]$ 的各个渐进分数

利用前述结论,  $[1, 1, 1, 1, 1, 1, 1, 1, 1, 1] = \frac{P_9}{Q_9}$

而 $P_9$ 和 $Q_9$ 可以递推出来:

$$x_0 = 1, x_1 = 1, x_2 = 1, \dots, x_9 = 1, P_{-2} = 0, P_{-1} = 1, Q_{-2} = 1, Q_{-1} = 0$$

$$P_n = P_{n-1} + P_{n-2}, Q_n = Q_{n-1} + Q_{n-2}$$

$n$	0	1	2	3	4	5	6	7	8	9
$x_n$	1	1	1	1	1	1	1	1	1	1
$P_n$	1	2	3	5	8	13	21	34	55	89
$Q_n$	1	1	2	3	5	8	13	21	34	55

从而可以写出各个渐进分数 $\frac{P_n}{Q_n}$ .



2.8.1.  $P_n = x_n P_{n-1} + P_{n-2}$ ,  $Q_n = x_n Q_{n-1} + Q_{n-2}$ ,  $P_{-2} = 0$ ,  $P_{-1} = 1$ ,  $Q_{-2} = 1$ ,  $Q_{-1} = 0$ , 则,  $P_n Q_{n-1} - P_{n-1} Q_n = (-1)^{n+1}$ , ( $n \geq -1$ )

对 $n$ 使用数学归纳法:

$n = -1$ 时, 直接验算:  $P_{-1} Q_{-2} - P_{-2} Q_{-1} = 1 - 0 = 1 = (-1)^0$

假设 $n = k$ 时成立, 即 $P_k Q_{k-1} - P_{k-1} Q_k = (-1)^{k+1}$ , 需要说明 $n = k + 1$ 时结论也成立: 即有 $P_{k+1} Q_k - P_k Q_{k+1} = (-1)^{k+2}$

事实上, 由

$$\begin{aligned} \begin{cases} P_{k+1} = x_{k+1} P_k + P_{k-1} \\ Q_{k+1} = x_{k+1} Q_k + Q_{k-1} \end{cases} &\implies \begin{cases} x_{k+1} = \frac{P_{k+1} - P_{k-1}}{P_k} \\ x_{k+1} = \frac{Q_{k+1} - Q_{k-1}}{Q_k} \end{cases} \\ &\implies \frac{P_{k+1} - P_{k-1}}{P_k} = \frac{Q_{k+1} - Q_{k-1}}{Q_k} \\ &\implies P_k(Q_{k+1} - Q_{k-1}) = Q_k(P_{k+1} - P_{k-1}) \\ &\implies P_k Q_{k+1} - P_k Q_{k-1} = Q_k P_{k+1} - Q_k P_{k-1} \\ &\implies Q_k P_{k+1} - P_k Q_{k+1} = -(P_k Q_{k-1} - Q_k P_{k-1}) = -(-1)^{k+1} = (-1)^{k+2} \quad \diamond \end{aligned}$$

2.8.2. 这样:

$$\theta_n - \theta_{n-1} = \frac{P_n}{Q_n} - \frac{P_{n-1}}{Q_{n-1}} = \frac{P_n Q_{n-1} - Q_n P_{n-1}}{Q_n Q_{n-1}} = \frac{(-1)^{n+1}}{Q_n Q_{n-1}} \quad (n \geq -1)$$

2.8.3.  $P_n = x_n P_{n-1} + P_{n-2}$ ,  $Q_n = x_n Q_{n-1} + Q_{n-2}$ ,  $P_{-2} = 0$ ,  $P_{-1} = 1$ ,  $Q_{-2} = 1$ ,  $Q_{-1} = 0$ , 则,  $P_n Q_{n-2} - P_{n-2} Q_n = (-1)^n x_n$ , ( $n \geq 0$ )

在  $n \geq 0$  时,

$$\begin{cases} P_n = x_n P_{n-1} + P_{n-2} \\ Q_n = x_n Q_{n-1} + Q_{n-2} \end{cases} \implies \begin{cases} P_n Q_{n-2} = x_n P_{n-1} Q_{n-2} + P_{n-2} Q_{n-2} \\ Q_n P_{n-2} = x_n Q_{n-1} P_{n-2} + Q_{n-2} P_{n-2} \end{cases}$$

$$\implies P_n Q_{n-2} - Q_n P_{n-2} = x_n P_{n-1} Q_{n-2} + P_{n-2} Q_{n-2} - x_n Q_{n-1} P_{n-2} - Q_{n-2} P_{n-2}$$

$$\implies P_n Q_{n-2} - Q_n P_{n-2} = x_n (P_{n-1} Q_{n-2} - Q_{n-1} P_{n-2})$$

$$\implies P_n Q_{n-2} - Q_n P_{n-2} = x_n (-1)^n \quad \diamond$$

2.8.4. 这样:

$$\theta_n - \theta_{n-2} = \frac{P_n}{Q_n} - \frac{P_{n-2}}{Q_{n-2}} = \frac{P_n Q_{n-2} - P_{n-2} Q_n}{Q_n Q_{n-2}} = \frac{(-1)^n x_n}{Q_n Q_{n-2}} \quad (n \geq -1)$$

### 3. 有理分数的有限简单连分数表示

对于一个分子, 分母很大的分数, 比如  $\frac{103993}{33102}$ , 可以用连分数找到一个分子, 分母较小的数来近似它,

$$\begin{aligned}\frac{103993}{33102} &= 3 + \frac{4687}{33102} = 3 + \frac{1}{\frac{33102}{4687}} = 3 + \frac{1}{7 + \frac{293}{4687}} \\ &= 3 + \frac{1}{7 + \frac{1}{\frac{4687}{293}}} = 3 + \frac{1}{7 + \frac{1}{15 + \frac{292}{293}}} \\ &= 3 + \frac{1}{7 + \frac{1}{15 + \frac{1}{1 + \frac{1}{292}}}}\end{aligned}$$

扔掉这些“分数”中小于1的数可以以此得到近似值:

$$3, \frac{22}{7}, \frac{333}{106}, \frac{355}{113}, \dots$$

上例的做法具有一般性: 对于一个不是整数的有理分数  $\frac{u_2}{u_1}$  ( $u_1 \geq 2$ ), 要得到它的有限简单连分数表示, 可以使用辗转相除法,

$$\left\{ \begin{array}{l} u_0 = b_0 u_1 + u_2, (0 < u_2 < u_1) \\ u_1 = b_1 u_2 + u_3, (0 < u_3 < u_2) \\ u_2 = b_2 u_3 + u_4, (0 < u_4 < u_3) \\ u_3 = b_3 u_4 + u_5, (0 < u_5 < u_4) \\ \dots\dots\dots \\ u_{s-1} = b_{s-1} u_s + u_{s+1}, (0 < u_{s+1} < u_s) \\ u_s = b_s u_{s+1} \end{array} \right.$$

这样就得到了  $\frac{u_2}{u_1}$  ( $u_1 \geq 2$ ) 的简单连分数表示  $[b_0, b_1, b_2, b_3, \dots, b_{s-1}, b_s]$  ( $b_s > 1$ ), 另外, 我们知道  $[x_0, x_1, \dots, x_{n-1}, x_n, x_{n+1}] = [x_0, x_1, \dots, x_{n-1}, x_n + \frac{1}{x_{n+1}}]$  而  $[b_0, b_1, b_2, b_3, \dots, b_{s-1}, b_s] = [b_0, b_1, b_2, b_3, \dots, b_{s-1}, b_s - 1 + \frac{1}{1}]$  所以  $[b_0, b_1, b_2, b_3, \dots, b_{s-1}, b_s] = [b_0, b_1, b_2, b_3, \dots, b_{s-1}, b_s - 1, 1]$  对  $\frac{u_2}{u_1}$  ( $u_1 \geq 2$ ) 再也没有其他的连分数表示形式了.

**表示的唯一性** 给定两个有限简单连分数 $[a_0, a_1, \dots, a_n] (a_n > 1)$ ,  $[b_0, b_1, \dots, b_s]$  ( $b_s > 1$ ), 如果 $[a_0, a_1, \dots, a_n] = [b_0, b_1, \dots, b_s]$ , 则必有 $s = n, a_j = b_j (j = 0, 1, \dots, s)$

**证明: 对 $n$ 使用数学归纳法**

当 $n = 0$ 时, 如果 $s \geq 1$ 的话,

$$a_0 = [b_0, b_1, \dots, b_s] = [b_0, [b_1, \dots, b_s]] = b_0 + \frac{1}{[b_1, \dots, b_s]}$$

因为 $b_s > 1, \therefore [b_1, \dots, b_s] > 1$ , 所以上式不可能成立(左边是整数, 右边是分数).  
这样 $s = 0$ , 从而 $a_0 = b_0$ .

假设 $n = k$ 时结论成立, 当 $n = k + 1$ 时,

$$[a_0, a_1, \dots, a_k, a_{k+1}] = a_0 + \frac{1}{[a_1, \dots, a_{k+1}]}, \quad [b_0, \dots, b_s] = b_0 + \frac{1}{[b_1, \dots, b_s]}$$

又 $a_{k+1} > 1 \Rightarrow [a_1, \dots, a_{k+1}] > 1, b_s > 1 \Rightarrow [b_1, \dots, b_s] > 1$ , 从而

$$[a_0, \dots, a_k, a_{k+1}] = [b_0, \dots, b_s] \Rightarrow a_0 + \frac{1}{[a_1, \dots, a_{k+1}]} = b_0 + \frac{1}{[b_1, \dots, b_s]}$$

$$\Rightarrow \begin{cases} a_0 = b_0 \\ \frac{1}{[a_1, \dots, a_{k+1}]} = \frac{1}{[b_1, \dots, b_s]} \Rightarrow [a_1, \dots, a_{k+1}] = [b_1, \dots, b_s] \end{cases}$$

此时可以使用归纳假设, 得到 $a_1 = b_1, \dots$   $\diamond$

## 4. 无限连分数

### 4.1. 定义

形如:

$$x_0 + \frac{1}{x_1 + \frac{1}{x_2 + \frac{1}{\dots + \frac{1}{x_{n-1} + \frac{1}{\dots}}}}}$$

的数( $x_1, x_2, x_3, \dots > 0$ ), 称为**无限连分数**, 记为 $[x_0, x_1, x_2, \dots, x_{n-1}, \dots]$ . 如果这里的数字都是整数, 这个连分数被称为**无限简单连分数**.

将 $[x_0, x_1, x_2, \dots, x_k]$  ( $k \geq 0$ ) 称为是 $[x_0, x_1, x_2, \dots, x_n, \dots]$ 的第 $k$ 个渐近分数.

如果有

$$\lim_{k \rightarrow \infty} [x_0, x_1, x_2, \dots, x_k] = \theta$$

则称无限连分数 $[x_0, x_1, x_2, \dots, x_n, \dots]$ 是**收敛的**,  $\theta$ 即为其值, 记

作 $[x_0, x_1, x_2, \dots, x_n, \dots] = \theta$ .

令 $\theta_k = [x_0, x_1, x_2, \dots, x_k]$ , 这样 $\theta_0, \theta_1, \dots$ 都是 $\theta$ 的渐进分数.

如果不存在极限, 则称无限连分数 $[x_0, x_1, x_2, \dots, x_n, \dots]$ 是**发散的**.

## 4.2. 性质

无限简单连分数一定是收敛的, 也就是说 $\theta_k = [x_0, \dots, x_k]$ , 则一定存在极限

$$\lim_{k \rightarrow \infty} \theta_k = \theta$$

$$s.t., \theta_0 < \theta_2 < \theta_4 < \dots < \theta < \dots < \theta_{2s-1} < \dots < \theta_3 < \theta_1$$

事实上, 下标为奇数的渐近分数序列是有下界 $\theta_0$ 的严格递减数列, 所以我们知道它必定有极限, 即 $\lim_{n \rightarrow \infty} \theta_{2n-1} = \theta''$

下标为偶数的渐近分数序列是有上届 $\theta_1$ 的严格递增数列, 所以我们知道它必定有极限, 即 $\lim_{n \rightarrow \infty} \theta_{2n} = \theta'$ , 从而有

$$\theta_1 > \theta_3 > \theta_5 > \theta_7 > \dots > \theta'' \quad \theta' > \dots > \theta_6 > \theta_4 > \theta_2 > \theta_0$$

我们知道任意下标为奇数渐近分数值都>任意下标为偶数的渐近分数值, 所以有

$$\theta_1 > \theta_3 > \theta_5 > \theta_7 > \dots > \theta'' \geq \theta' > \dots > \theta_6 > \theta_4 > \theta_2 > \theta_0$$

从而

$$0 \leq \theta'' - \theta' \leq \theta_{2k-1} - \theta_{2k} = \frac{1}{Q_{2k-1} Q_{2k}}$$

对无限简单连分数来说, 可以看到 $1 = Q_0 \leq x_1 = Q_1 < Q_2 < Q_3 < Q_4 < \dots < Q_k < \dots, Q_k \rightarrow \infty (k \rightarrow \infty)$  所以 $\theta' = \theta''$

由此可以看到,  $\theta = [x_0, x_1, x_2, \dots]$  必定处于它的两个渐近分数  $\theta_k$  和  $\theta_{k+1}$  之间, 而且这个  $\theta$  肯定是无理数(即, 无限简单连分数的值是无理数), 当然肯定是实数.

否则, 设它是有理数,  $\theta = \frac{u}{v}$  则由

$$\theta_1 > \theta_3 > \theta_5 > \theta_7 > \dots > \theta'' \geq \theta' > \dots > \theta_6 > \theta_4 > \theta_2 > \theta_0$$

知

$$0 < |\theta - \theta_k| < |\theta_{k+1} - \theta_k| = \frac{1}{Q_{k+1}Q_k}$$

从而

$$0 < \left| \frac{u}{v} - \frac{P_k}{Q_k} \right| < \frac{1}{Q_{k+1}Q_k}$$

从而

$$0 < \left| \frac{uQ_k - vP_k}{vQ_k} \right| < \frac{1}{Q_kQ_{k+1}}$$

即有

$$0 < \left| \frac{uQ_k - vP_k}{v} \right| = \frac{|uQ_k - vP_k|}{|v|} < \frac{1}{Q_{k+1}}$$

自然有  $|uQ_k - vP_k|$  一定是整数, 即  $|uQ_k - vP_k| \geq 1$ , 所以应该有  $Q_{k+1} < |v|$ , 换句话说,  $\{Q_k\}$  是有界的, 不可能.  $\diamond$



## 5. 循环连分数

**5.1. 定义:** 设实数 $\theta$ 是无限简单连分数 $[x_0, x_1, \dots]$ , 如果从某个下标(比如 $m$ )开始, 之后的数字都是一段一段的循环, 即存在整数 $k \geq 1$ , 对于所有的大于等于 $m$ 的下标来说都有 $x_{n+k} = x_n$ , 这个连分数(即 $\theta$ )就叫做循环简单连分数, 简称循环连分数  
比如

$$[-100, 2, 3, 4, 2, 3, 4, 2, 3, 4, 2, 3, 4, \dots]$$
$$0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, \dots$$

是循环连分数, 这里 $m = 1, k = 3$ , 即从 $x_1$ 开始每3位循环, 可以把它记为 $[-100, \overline{2, 3, 4}]$ .  
一般情况下就是

$$[x_0, x_1, \dots, x_{m-1}, \overline{x_m, x_{m+1}, \dots, x_{m+k-1}}]$$

注: 这种记法不是唯一的, 比如 $[-100, 2, 3, 4, 2, 3, 4, 2, 3, 4, 2, 3, 4, \dots]$ 也可以记为 $[-100, 2, \overline{3, 4, 2}]$ , 也可以是 $[-100, 2, 3, \overline{4, 2, 3}]$   
再如 $[1, 2, 2, 2, 2, \dots]$ 也是一个循环连分数, 即 $[1, \overline{2}]$ .

如果一个循环连分数中 $m = 0$ , 则这个循环连分数称为纯循环连分数,  
比如 $[2, 5, 3, 2, 5, 3, 2, 5, 3, \dots] = [\overline{2, 5, 3}]$ ,  $[5, 3, 2, 5, 3, 2, 5, 3, 2, \dots] = [\overline{5, 3, 2}]$ ,  
 $[1, 1, 1, 1, \dots] = [\overline{1}]$ 都是纯循环连分数.

## 5.2. 性质

**注1:** 一个复数 $\alpha$ 称为是一个二次无理数(二次代数数)指的是 $\alpha$ 是某个整系数二次方程

$$ax^2 + bx + c = 0$$

的根, 其中判别式 $b^2 - 4ac$ 不是平方数.

这就是说 $\alpha$ 是

$$\frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

中的一个.

当二次无理数 $\alpha$ 是实数时, 就称之为实二次无理数.

显然, 二次无理数 $\alpha$ 是实的  $\iff b^2 - 4ac > 0$

**注2:**  $\alpha$ 是二次无理数的充要条件是存在非平方数的整数 $d$ , 及有理数 $r, s (s \neq 0)$ 使得 $\alpha = r + s\sqrt{d}$

证明:

" $\implies$ :" 设 $\alpha$ 是二次无理数, 即 $\alpha$ 是某个整系数二次方程

$$ax^2 + bx + c = 0$$

的根, 从而 $\alpha$ 是

$$\frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

中的一个, 从而取

$$d = b^2 - 4ac, r = \frac{b}{2a}$$

$$s = \frac{1}{2a} \quad \text{or} \quad -\frac{1}{2a}$$

" $\Leftarrow$ :" 设 $\alpha = r + s\sqrt{d}$ , 其中非平方数的整数 $d$ , 及有理数 $r, s (s \neq 0)$ 则 $\alpha$ 满足二次方程

$$[x - (r + s\sqrt{d})][x - (r - s\sqrt{d})] = 0$$

即

$$x^2 - 2rx + (r^2 - ds^2) = 0$$

令

$$r = \frac{h}{l}, s = \frac{k}{l}$$

其中 $h, k, l$ 均为整数,  $l > 0, k \neq 0$ , 代入方程, 得

$$l^2 x^2 - 2lhx + (h^2 - dk^2) = 0$$

它的判别式为

$$(2lh)^2 - 4l^2(h^2 - dk^2) = (2lk)^2 d$$

由于 $d$ 不是平方数, 所以这个判别式不是平方数, 所以 $\alpha$ 是二次无理数.  $\diamond$

**注3:**  $\alpha$ 是二次无理数的充要条件是存在非平方数的整数 $d > 0$ , 及有理数 $r, s (s \neq 0)$ 使得 $\alpha = r + s\sqrt{d}$

**注4:** 整数 $d$ 不是平方数, $r, s$ 为有理数, 则形如 $\alpha = r + s\sqrt{d}$ 的数的和,差,积,商仍是这种形式的数.

## 定理

$\theta$ 是循环简单连分数  $\iff \theta$ 是实二次无理数.

" $\implies$ :" 设 $\theta$ 是纯循环简单连分数(从而它的值一定是无理数)

$$\begin{aligned}\theta &= [a_0, a_1, \dots, a_{k-1}, a_0, a_1, \dots, a_{k-1}, a_0, a_1, \dots, a_{k-1}, \dots] (a_0, a_1, \dots > 0) \\ &= [\overline{a_0, a_1, \dots, a_{k-1}}] = [a_0, a_1, \dots, a_{k-1}, \overline{a_0, a_1, \dots, a_{k-1}}] \\ &= [a_0, a_1, \dots, a_{k-1}, \theta] \\ &= \frac{P_k}{Q_k} = \frac{\theta P_{k-1} + P_{k-2}}{\theta Q_{k-1} + Q_{k-2}} \\ \implies \theta \cdot (\theta Q_{k-1} + Q_{k-2}) - (\theta P_{k-1} + P_{k-2}) &= 0 \\ \implies Q_{k-1} \theta^2 + (Q_{k-2} - P_{k-1}) \theta - P_{k-2} &= 0\end{aligned}$$

即 $\theta$ 满足这样的整系数二次方程, 而 $\theta$ 是无理数, 所以这个方程的判别式必定不是平方数, 所以, 这个 $\theta$ 是实二次无理数.

如果 $\theta$ 不是纯循环简单连分数,

$$\begin{aligned}\theta &= [a_0, a_1, \dots, a_{m-1}, a_m, a_{m+1}, \dots, a_n, a_m, a_{m+1}, \dots, a_n, \dots] \\ &= [a_0, a_1, \dots, a_{m-1}, a_m, a_{m+1}, \dots, a_n, \overline{a_m, a_{m+1}, \dots, a_n}] \\ &= [a_0, a_1, \dots, a_{m-1}, \theta_0] \\ &= \frac{P_m}{Q_m} = \frac{\theta_0 P_{m-1} + P_{m-2}}{\theta_0 Q_{m-1} + Q_{m-2}}\end{aligned}$$

由于 $\theta_0$ 是实二次无理数, 从而存在非平方数的整数 $d > 0$ , 及有理数 $r, s (s \neq 0)$ 使得 $\theta_0 = r + s\sqrt{d}$

由于 $P_{m-1}, P_{m-2}, Q_{m-1}, Q_{m-2}$ 都是整数, 所以 $\theta$ 就是对 $\theta_0$ 作的和差积商运算, 结果还是非平方的整数 $d > 0$ , 及有理数 $r', s' (s' \neq 0)$ 使得 $\theta = r' + s'\sqrt{d}$ , 从而 $\theta$ 还是实二次无理数.

" $\Leftarrow$ " (不证)  $\diamond$

