

# Discrete Mathematics: Lecture 15

- Last time:
  - Chap 5.1: Mathematical induction
  - Chap 5.2: Strong induction and well-ordering
- Today:
  - Chap 5.3: Recursive definitions and structural induction
- Assignment 5: Due in two weeks
- Next time:
  - Chap 5.4: Recursive algorithms
  - Chap 5.5 Program correctness

# Review of last time

- Simple induction, strong induction, well-ordering property
- Equivalence of the three

# The well-ordering property (良序性质)

Every nonempty set of nonnegative integers has a least element.

Example proofs of using the property

- If  $a$  is an integer and  $d$  is a positive integer, then there are unique integers  $q$  and  $r$  with  $0 \leq r < d$  and  $a = dq + r$ .
- In a round-robin tournament (循环锦标赛) every player plays every other player exactly once and each match has a winner and a loser. We say that the players  $p_1, p_2, \dots, p_m$  form a cycle if  $p_1$  beats  $p_2$ ,  $p_2$  beats  $p_3$ ,  $\dots$ , and  $p_m$  beats  $p_1$ . Show that if there is a cycle of length  $m$  ( $m \geq 3$ ), there must be a cycle of 3.

# Equivalence to simple induction

- the well-ordering property  $\Rightarrow$  the principle of simple induction
  - Let  $S$  be the set of  $n \in \mathbf{N}$  such that  $P(n)$  does not hold
- the principle of simple induction  $\Rightarrow$  the well-ordering property
  - Assume to the contrary
  - Let  $P(n)$ : for all  $i \leq n$ ,  $i \notin S$
  - We prove that  $P(n)$  holds for all  $n \in \mathbf{N}$

# Recursively defined functions

- To define a function with the set of nonnegative integers as its domain, we use two steps:
  - Basis step: specify  $f(0)$
  - Recursive step: give a rule for defining  $f(n)$  from  $f(k)$  where  $k < n$
- Example: Give a recursive definition of  $F(n) = n!$
- Recursively defined functions are well-defined, that is, if  $f$  is recursively defined, then for all  $n \in \mathbf{N}$ ,  $f(n)$  is uniquely defined.
  - proof by complete induction

# Fibonacci numbers

- Definition:  $f_0 = 0$ ,  $f_1 = 1$ ,  $f_n = f_{n-1} + f_{n-2}$ , where  $n \geq 2$
- Show that whenever  $n \geq 3$ ,  $f_n > \alpha^{n-2}$ , where  $\alpha = (1 + \sqrt{5})/2$

# LAME's theorem

Let  $a$  and  $b$  be positive integers with  $a \geq b$ . Then the number of divisions used by the Euclidean algorithm to find  $\gcd(a, b)$  is  $\leq 5$  times the number of decimal digits in  $b$ .

# Recursively defined sets and structures

To define a set

- Basis step: specify an initial collection of elements
- Recursive step: give rules for forming new elements from existing elements
- Exclusion rule: the set contains nothing other than those specified in the basis step or generated by applications of the recursive step

Exclusion rule is often omitted.



# Recursive definition of strings

Recall that a string over an alphabet  $\Sigma$  is a finite sequence of symbols from  $\Sigma$

The set  $\Sigma^*$  of strings over an alphabet  $\Sigma$  can be defined recursively by

- Basis step:  $\lambda \in \Sigma^*$ , where  $\lambda$  represents the empty string
- Recursive step: If  $w \in \Sigma^*$  and  $x \in \Sigma$ , then  $wx \in \Sigma^*$

# Recursively defined functions on recursively defined sets

- Two strings can be combined via the operation of concatenation. We define a function  $\cdot : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$ . Let  $w \in \Sigma^*$ 
  - Basis step:  $w \cdot \lambda = w$
  - Recursive step: If  $w' \in \Sigma^*$  and  $x \in \Sigma$ , then  $w \cdot (w'x) = (w \cdot w')x$
- The length of a string can be recursively defined by
  - Basis step:  $l(\lambda) = 0$
  - Recursive step: If  $w \in \Sigma^*$  and  $x \in \Sigma$ , then  $l(wx) = l(w) + 1$

# Well-formed formulae (wff) for compound propositions

- Recursive definition
  - Basis step:  $T$ ,  $F$ , and  $p$  are wffs, where  $p$  is a propositional variable
  - Recursive step: If  $E$  and  $F$  are wffs, so are  $(\neg E)$ ,  $(E \vee F)$ ,  $(E \wedge F)$ ,  $(E \rightarrow F)$ ,  $(E \leftrightarrow F)$
- Examples:  $((p \vee q) \rightarrow (q \wedge F))$
- Counter-examples:  $\neg \wedge pq$

The set of rooted trees can be recursively defined:

- Basis step: A single vertex  $r$  is a rooted tree
- Recursive step: Suppose that  $T_1, T_2, \dots, T_n$  are disjoint rooted trees with roots  $r_1, r_2, \dots, r_n$ , respectively. Then the graph formed by starting with a root  $r$ , which is not in any of  $T_1, T_2, \dots, T_n$ , and adding an edge to each of  $r_1, r_2, \dots, r_n$ , is also a rooted tree.

- Extended binary trees
  - Basis step: The empty set is an extended binary tree.
  - Recursive step: If  $T_1$  and  $T_2$  are extended binary trees, there is an extended binary tree, denoted by  $T_1 \cdot T_2$ , consisting of a root  $r$  together with edges connecting the root to each of the roots of the left subtree  $T_1$  and the right subtree  $T_2$  when these trees are not empty.
- Full binary trees
  - Basis step: A single vertex is a full binary tree.
  - Recursive step: If  $T_1$  and  $T_2$  are full binary trees, there is a full binary tree, denoted by  $T_1 \cdot T_2$ , consisting of a root  $r$  together with edges connecting the root to each of the roots of the left subtree  $T_1$  and the right subtree  $T_2$ .

# Recursive definitions for full binary trees

- The height  $h(T)$  of a full binary tree  $T$ 
  - Basis step: If  $T$  consists of only a root,  $h(T) = 0$
  - Recursive step: If  $T_1$  and  $T_2$  are full binary trees, then the full binary tree  $T = T_1 \cdot T_2$  has height  $h(T) = \max(h(T_1), h(T_2)) + 1$ .
- The number of vertices  $n(T)$  in a full binary tree  $T$ 
  - Basis step: If  $T$  consists of only a root,  $n(T) = 1$
  - Recursive step: If  $T_1$  and  $T_2$  are full binary trees, then the full binary tree  $T = T_1 \cdot T_2$  has  $n(T) = n(T_1) + n(T_2) + 1$ .

# Structural induction

To prove results about recursively defined sets

- Basis step: show that the result hold for all initial elements
- Recursive step: show that if the result holds for all elements used to construct new elements, then the result holds for all new elements

simple induction  $\Rightarrow$  structural induction

- Let  $Q(n)$ : The result holds for all elements generated by at most  $n$  applications of the recursive step

# Example proofs

- Show that every wff for compound propositions has an equal number of left and right parentheses.
- Show that  $l(xy) = l(x) + l(y)$ , where  $x, y \in \Sigma^*$ .
- If  $T$  is a full binary tree, then  $n(T) \leq 2^{h(T)+1} - 1$