Natural Language Processing

Natural Language Processing

- NLP is the branch of computer science focused on developing systems that allow computers to communicate with people using everyday language.
- Also called Computational Linguistics
 - Also concerns how computational methods can aid the understanding of human language

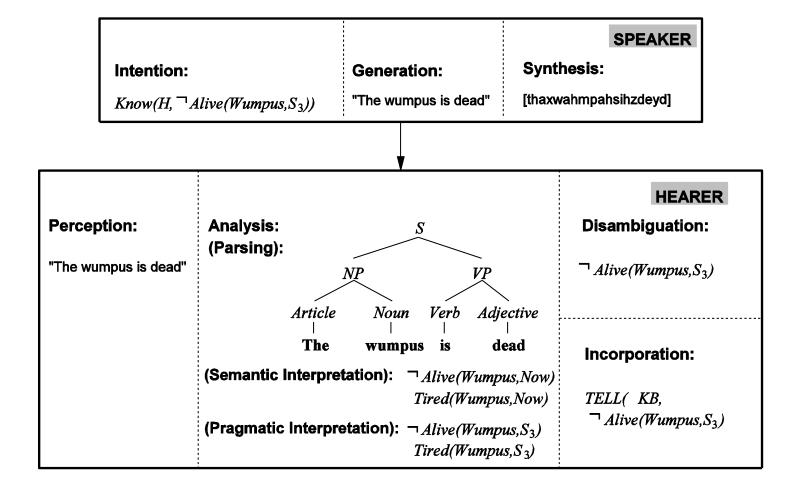
Communication

- The goal in the production and comprehension of natural language is communication.
- Communication for the speaker:
 - Intention: Decide when and what information should be transmitted (a.k.a. *strategic generation*). May require planning and reasoning about agents' goals and beliefs.
 - Generation: Translate the information to be communicated (in internal logical representation or "language of thought") into string of words in desired natural language (a.k.a. *tactical generation*).
 - Synthesis: Output the string in desired modality, text or speech.

Communication (cont)

- Communication for the hearer:
 - Perception: Map input modality to a string of words,
 e.g. optical character recognition (OCR) or speech recognition.
 - Analysis: Determine the information content of the string.
 - Syntactic interpretation (parsing): Find the correct parse tree showing the phrase structure of the string.
 - **Semantic Interpretation**: Extract the (literal) meaning of the string (*logical form*).
 - **Pragmatic Interpretation**: Consider effect of the overall context on altering the literal meaning of a sentence.
 - Incorporation: Decide whether or not to believe the content of the string and add it to the KB.

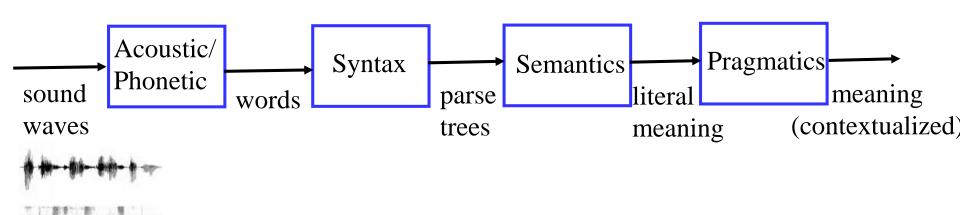
Communication (cont)



Syntax, Semantic, Pragmatics

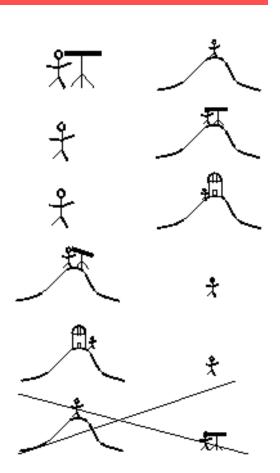
- Syntax concerns the proper ordering of words and its affect on meaning.
 - The dog bit the boy.
 - The boy bit the dog.
 - − * Bit boy dog the the.
 - Colorless green ideas sleep furiously.
- Semantics concerns the (literal) meaning of words, phrases, and sentences.
 - "plant" as a photosynthetic organism
 - "plant" as a manufacturing facility
 - "plant" as the act of sowing
- Pragmatics concerns the overall communicative and social context and its effect on interpretation.

Modular Comprehension



Ambiguity

- Natural language is highly ambiguous and must be disambiguated.
 - I saw the man on the hill with a telescope.
 - I saw the Grand Canyon flying to LA.
 - Time flies like an arrow.
 - Horse flies like a sugar cube.
 - Time runners like a coach.
 - Time cars like a Porsche.



Ambiguity is Ubiquitous

Speech Recognition

- "recognize speech" vs. "wreck a nice beach"
- "youth in Asia" vs. "euthanasia"
- Syntactic Analysis
 - "I ate spaghetti with chopsticks" vs. "I ate spaghetti with meatballs."
- Semantic Analysis
 - "The dog is in the pen." vs. "The ink is in the pen."
 - "I put the plant in the window" vs. "Ford put the plant in Mexico"
- Pragmatic Analysis
 - From "The Pink Panther Strikes Again":
 - <u>Clouseau</u>: Does your dog bite?

Hotel Clerk: No.

<u>Clouseau</u>: [bowing down to pet the dog] Nice doggie.

[Dog barks and bites Clouseau in the hand]

Clouseau: I thought you said your dog did not bite!

Hotel Clerk: That is not my dog.

Ambiguity is Explosive

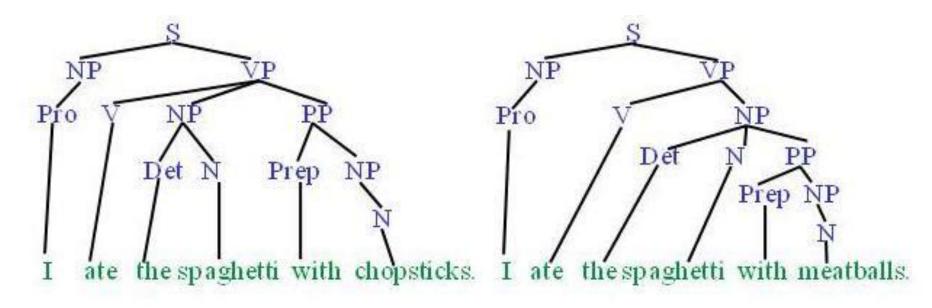
- Ambiguities compound to generate enormous numbers of possible interpretations.
- In English, a sentence ending in *n* prepositional phrases has *over* 2ⁿ syntactic interpretations (cf. Catalan numbers).
 - "I saw the man with the telescope": 2 parses
 - "I saw the man on the hill with the telescope.": 5 parses
 - "I saw the man on the hill in Texas with the telescope":
 14 parses
 - "I saw the man on the hill in Texas with the telescope at noon.": 42 parses
 - "I saw the man on the hill in Texas with the telescope at noon on Monday" 132 parses

Natural Languages vs. Computer Languages

- Ambiguity is the primary difference between natural and computer languages.
- Formal programming languages are designed to be unambiguous, i.e. they can be defined by a grammar that produces a unique parse for each sentence in the language.
- Programming languages are also designed for efficient (deterministic) parsing, i.e. they are deterministic context-free languages (DCFLs).
 - A sentence in a DCFL can be parsed in O(n) time where n is the length of the string.

Syntactic Parsing

• Produce the correct syntactic parse tree for a sentence.



Context Free Grammars (CFG)

- N a set of non-terminal symbols (or variables)
- Σ a set of *terminal symbols* (disjoint from N)
- R a set of *productions* or *rules* of the form $A \rightarrow \beta$, where A is a non-terminal and β is a string of symbols from $(\Sigma \cup N)^*$
- S, a designated non-terminal called the *start symbol*

Simple CFG

Grammar

 $S \rightarrow NP VP$

 $S \rightarrow Aux NP VP$

 $S \rightarrow VP$

 $NP \rightarrow Pronoun$

NP → Proper-Noun

 $NP \rightarrow Det Nominal$

Nominal → Noun

Nominal → Nominal Noun

Nominal → Nominal PP

 $VP \rightarrow Verb$

 $VP \rightarrow Verb NP$

 $VP \rightarrow VP PP$

 $PP \rightarrow Prep NP$

Lexicon

Det \rightarrow the | a | that | this

Noun → book | flight | meal | money

Verb → book | include | prefer

Pronoun \rightarrow I | he | she | me

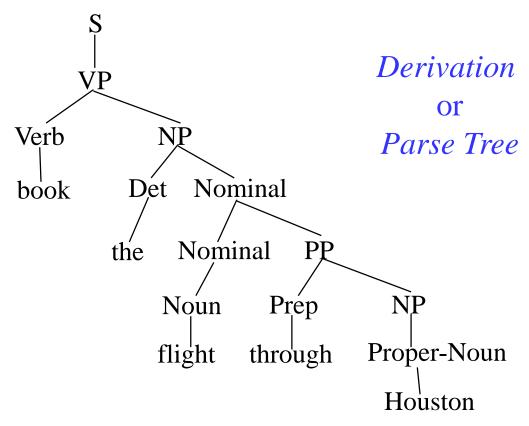
Proper-Noun → Houston | NWA

 $Aux \rightarrow does$

 $Prep \rightarrow from \mid to \mid on \mid near \mid through$

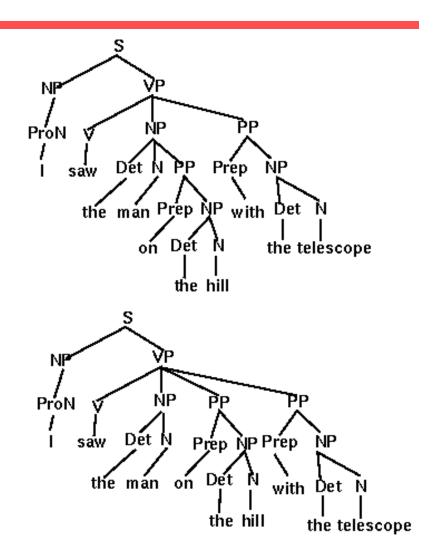
Sentence Generation

• Sentences are generated by recursively rewriting the start symbol using the productions until only terminals symbols remain.



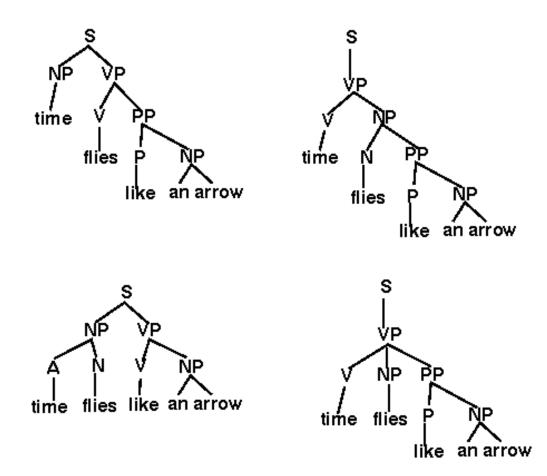
Parse Trees and Syntactic Ambiguity

• If a sentence has more than one possible derivation (parse tree) it is said to be *syntactically ambiguous*.



Spurious Ambiguity

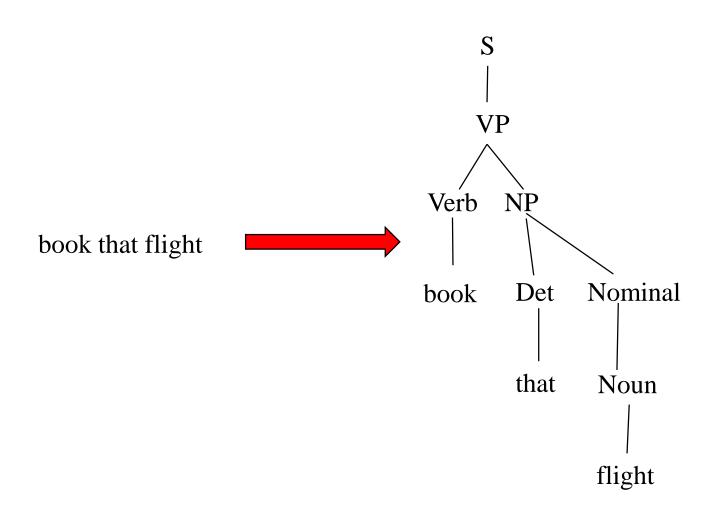
 Most parse trees of most NL sentences make no sense.



Parsing

- Given a string of non-terminals and a CFG, determine if the string can be generated by the CFG.
 - Also return a parse tree for the string
 - Also return all possible parse trees for the string
- Must search space of derivations for one that derives the given string.
 - Top-Down Parsing: Start searching space of derivations for the start symbol.
 - Bottom-up Parsing: Start search space of reverse deivations from the terminal symbols in the string.

Parsing Example



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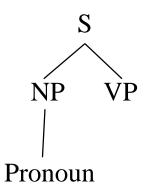
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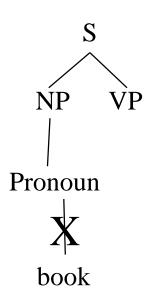
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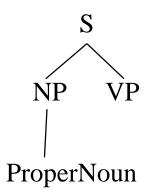
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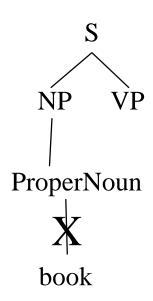
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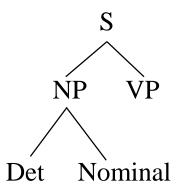
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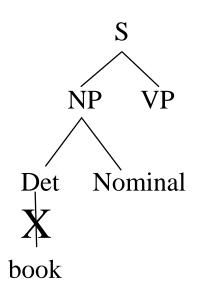
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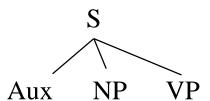
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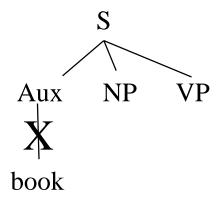
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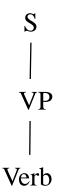
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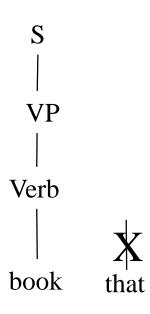
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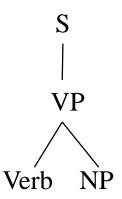
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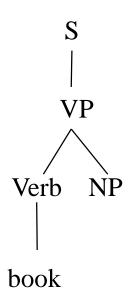
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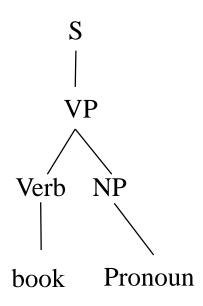
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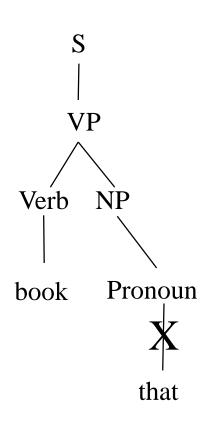
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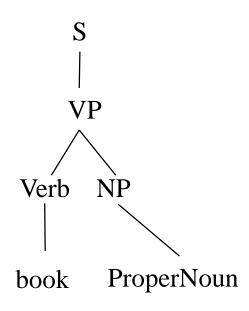
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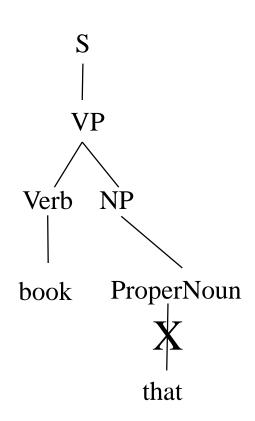
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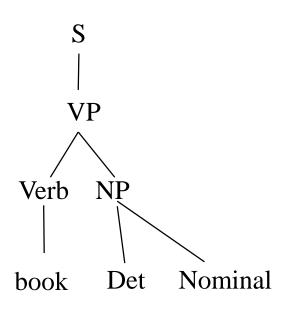
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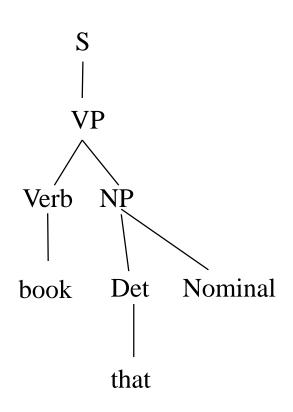
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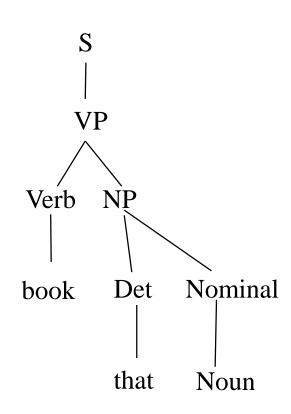
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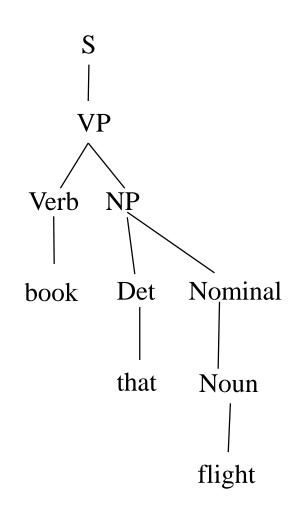
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Det → the | a | that | this

Noun → book | flight | meal | money

Verb → book | include | prefer

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Aux → does

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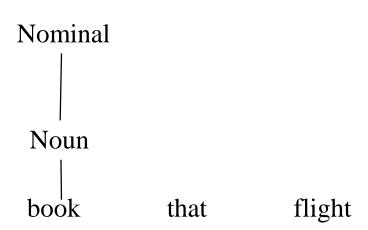
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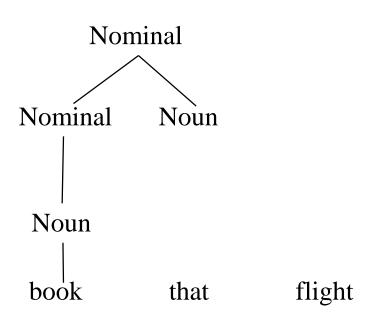
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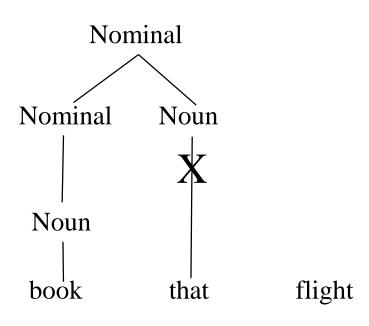
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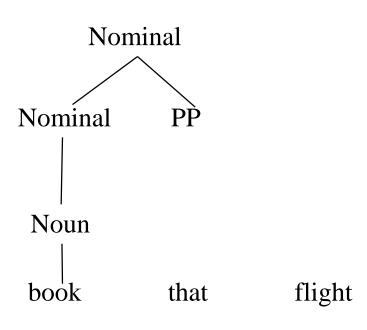
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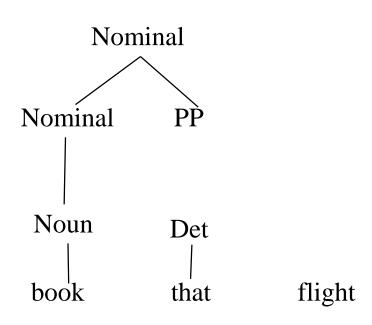
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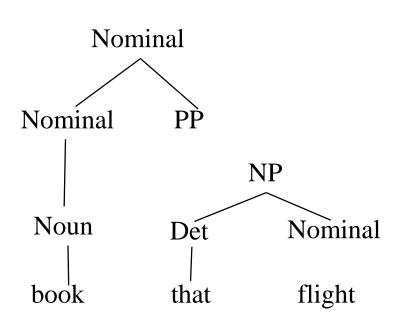
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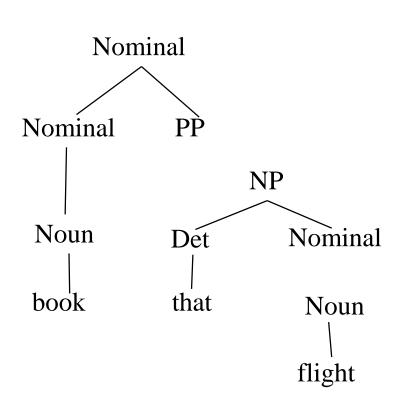
Nominal → Nominal PP

 $VP \rightarrow Verb$

 $VP \rightarrow Verb NP$

 $VP \rightarrow VP PP$

 $PP \rightarrow Prep NP$



Lexicon

Grammar

 $S \rightarrow NP VP$

 $S \rightarrow Aux NP VP$

 $S \rightarrow VP$

 $NP \rightarrow Pronoun$

NP → Proper-Noun

 $NP \rightarrow Det Nominal$

Nominal → Noun

Nominal → Nominal Noun

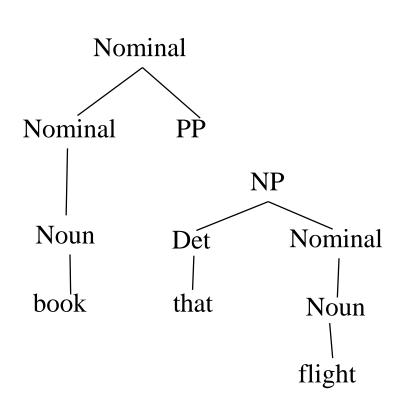
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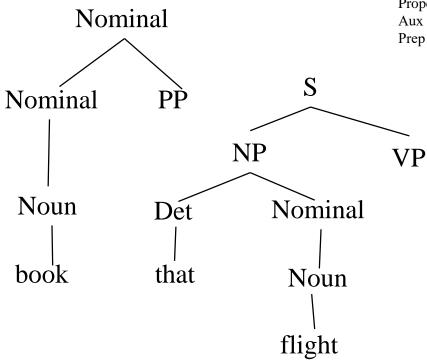
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Lexicon



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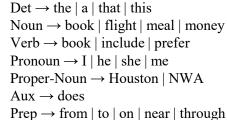
 $VP \rightarrow Verb$

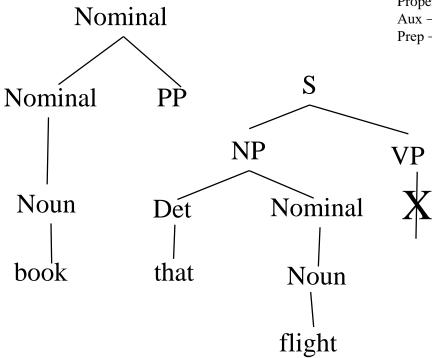
 $VP \rightarrow Verb NP$

 $\text{VP} \rightarrow \text{VP PP}$

 $PP \rightarrow Prep NP$

Lexicon





Grammar

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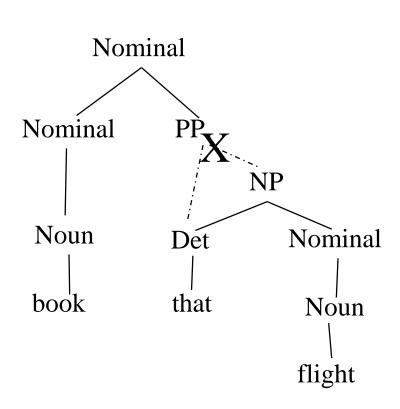
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 $PP \rightarrow Prep NP$



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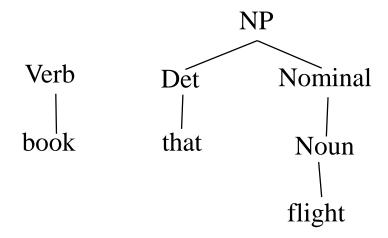
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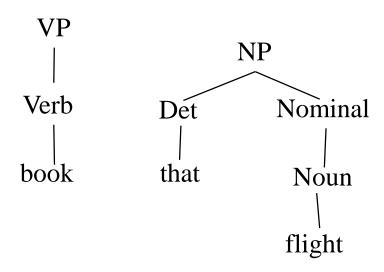
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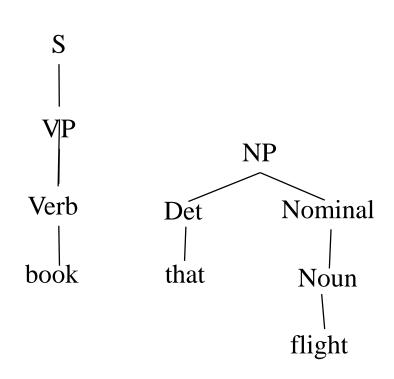
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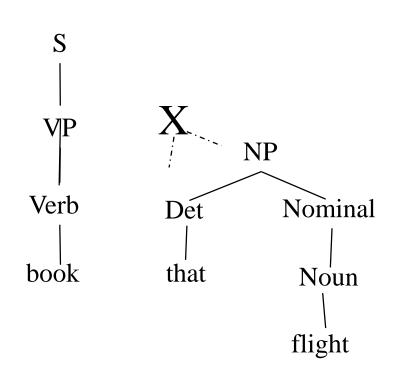
 $VP \rightarrow Verb$

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Lexicon



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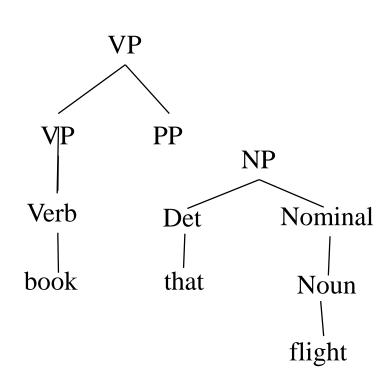
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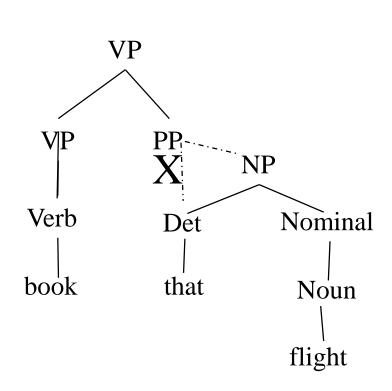
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Lexicon

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 $NP \rightarrow Pronoun$

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Nominal → Noun

Nominal → Nominal Noun

Nominal → Nominal PP

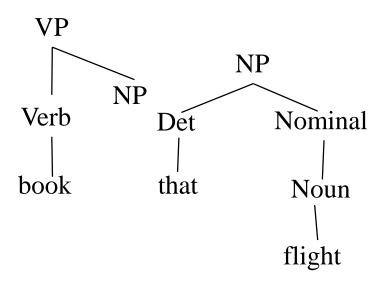
 $VP \rightarrow Verb$

 $VP \rightarrow Verb NP$

 $VP \rightarrow VP PP$

 $PP \rightarrow Prep NP$

Lexicon



Grammar

 $S \to NP \; VP$

 $S \rightarrow Aux NP VP$

 $S \rightarrow VP$

 $NP \rightarrow Pronoun$

NP → Proper-Noun

 $NP \rightarrow Det Nominal$

Nominal → Noun

Nominal → Nominal Noun

Nominal → Nominal PP

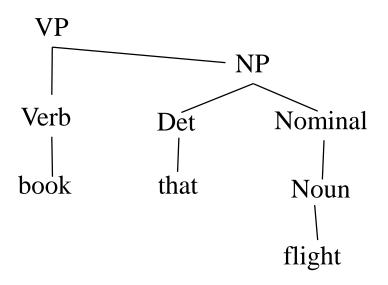
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Lexicon



Grammar

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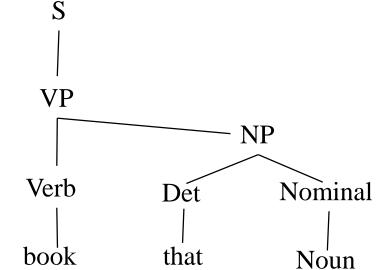
Nominal → Nominal PP

 $VP \rightarrow Verb$

 $VP \rightarrow Verb NP$

 $VP \rightarrow VP PP$

 $PP \rightarrow Prep NP$



flight

Lexicon

Top Down vs. Bottom Up

- Top down never explores options that will not lead to a full parse, but can explore many options that never connect to the actual sentence.
- Bottom up never explores options that do not connect to the actual sentence but can explore options that can never lead to a full parse.
- Relative amounts of wasted search depend on how much the grammar branches in each direction.

Syntactic Parsing & Ambiguity

- Just produces all possible parse trees.
- Does not address the important issue of ambiguity resolution.

Statistical Parsing

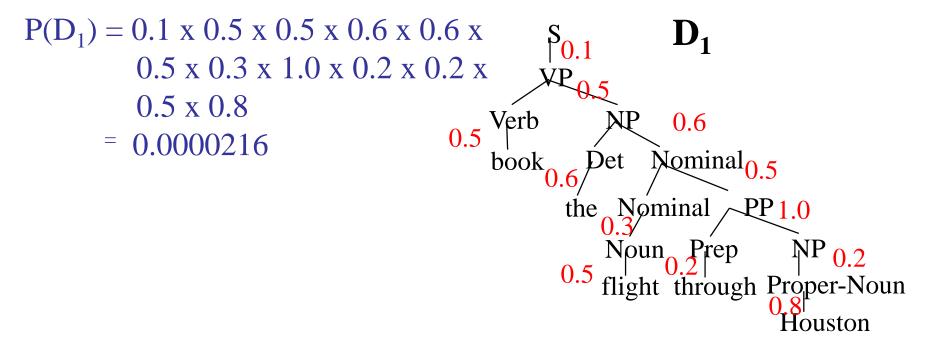
- Statistical parsing uses a probabilistic model of syntax in order to assign probabilities to each parse tree.
- Provides principled approach to resolving syntactic ambiguity.
 - Allows supervised learning of parsers from tree-banks of parse trees provided by human linguists.

Simple PCFG for ATIS English

Grammar	Prob	Lexicon
$S \rightarrow NP \ VP$ $S \rightarrow Aux \ NP \ VP$ $S \rightarrow VP$ $NP \rightarrow Pronoun$ $NP \rightarrow Proper-Noun$ $NP \rightarrow Det \ Nominal$ $Nominal \rightarrow Noun$ $Nominal \rightarrow Nominal \ Nominal \ PP$ $VP \rightarrow Verb$ $VP \rightarrow Verb \ NP$ $VP \rightarrow VP \ PP$ $PP \rightarrow Prep \ NP$	$0.8 \\ 0.1 \\ 0.1 \\ 0.2 \\ 0.2 \\ 0.2 \\ 0.6 \\ 0.3$	Det \rightarrow the a that this 0.6 0.2 0.1 0.1 Noun \rightarrow book flight meal money 0.1 0.5 0.2 0.2 Verb \rightarrow book include prefer 0.5 0.2 0.3 Pronoun \rightarrow I he she me 0.5 0.1 0.1 0.3 Proper-Noun \rightarrow Houston NWA 0.8 0.2 Aux \rightarrow does 1.0 Prep \rightarrow from to on near through 0.25 0.25 0.1 0.2 0.2
		0.25 0.25 0.1 0.2 0.2

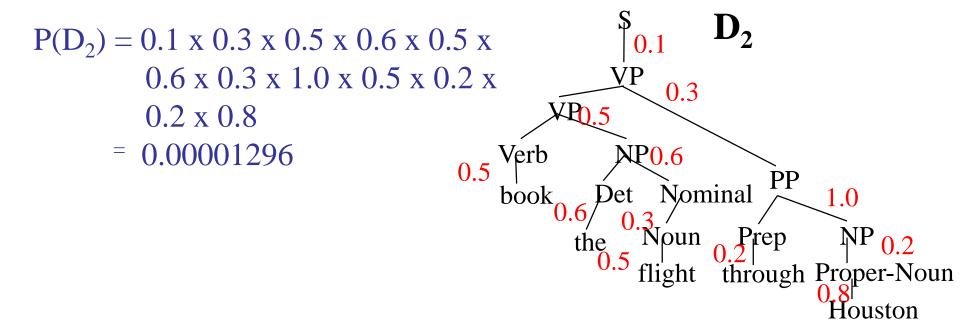
Sentence Probability

- Assume productions for each node are chosen independently.
- Probability of derivation is the product of the probabilities of its productions.



Syntactic Disambiguation

 Resolve ambiguity by picking most probable parse tree.



Homework: build the most probable parse tree for "She books the flight from NWA to Houston"

Sentence Probability

• Probability of a sentence is the sum of the probabilities of all of its derivations.

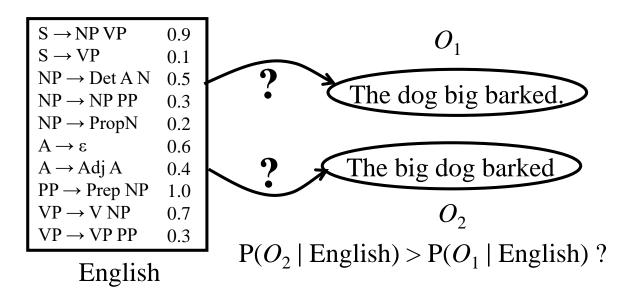
```
P("book the flight through Houston") = P(D_1) + P(D_2) = 0.0000216 + 0.00001296
= 0.00003456
```

Three Useful PCFG Tasks

- Observation likelihood: To classify and order sentences.
- Most likely derivation: To determine the most likely parse tree for a sentence.
- Maximum likelihood training: To train a PCFG to fit empirical training data.

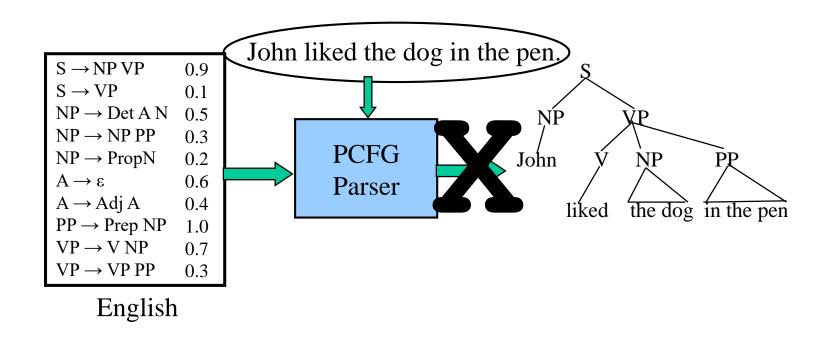
PCFG: Observation Likelihood

- What is the probability that a given string is produced by a given PCFG.
- Can use a PCFG as a language model to choose between alternative sentences for speech recognition or machine translation.



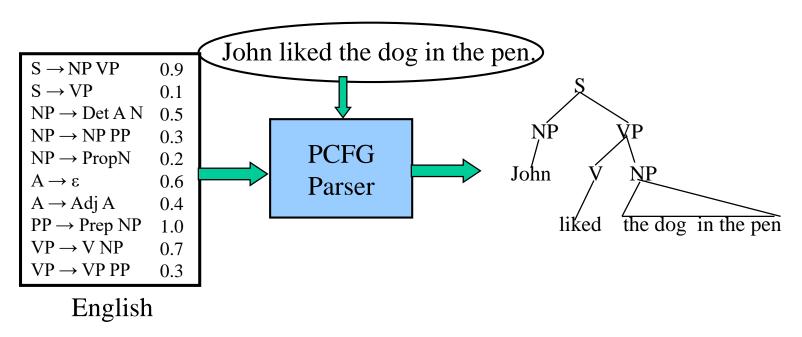
PCFG: Most Likely Derivation

• What is the most probable derivation (parse tree) for a sentence.



PCFG: Most Likely Derivation

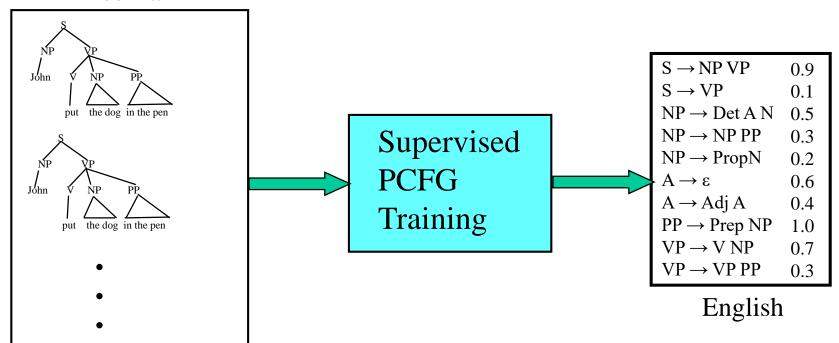
• What is the most probable derivation (parse tree) for a sentence.



PCFG: Supervised Training

• If parse trees are provided for training sentences, a grammar and its parameters can be estimated directly from counts accumulated from the tree-bank (with appropriate smoothing).

Tree Bank



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Estimating Production Probabilities

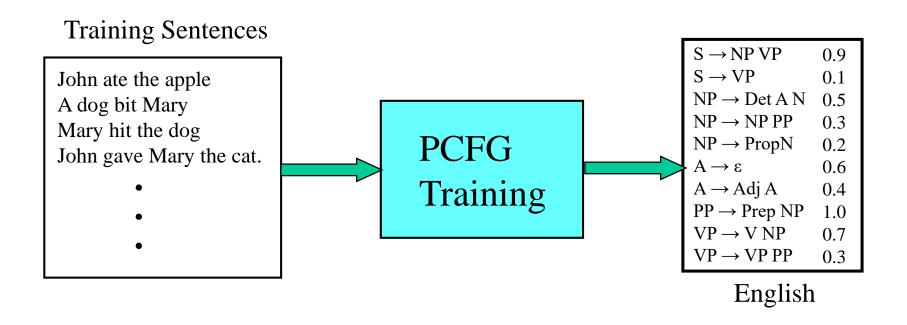
- Set of production rules can be taken directly from the set of rewrites in the treebank.
- Parameters can be directly estimated from frequency counts in the treebank.

$$P(\alpha \to \beta \mid \alpha) = \frac{\text{count}(\alpha \to \beta)}{\sum_{\gamma} \text{count}(\alpha \to \gamma)} = \frac{\text{count}(\alpha \to \beta)}{\text{count}(\alpha)}$$

PCFG: Maximum Likelihood Training

- Given a set of sentences, induce a grammar that maximizes the probability that this data was generated from this grammar.
- Assume the number of non-terminals in the grammar is specified.
- Only need to have an unannotated set of sequences generated from the model. Does not need correct parse trees for these sentences. In this sense, it is unsupervised.

PCFG: Maximum Likelihood Training



Treebanks

- English Penn Treebank: Standard corpus for testing syntactic parsing consists of 1.2 M words of text from the Wall Street Journal (WSJ).
- Typical to train on about 40,000 parsed sentences and test on an additional standard disjoint test set of 2,416 sentences.
- Chinese Penn Treebank: 100K words from the Xinhua news service.
- Other corpora existing in many languages, see the Wikipedia article "Treebank"

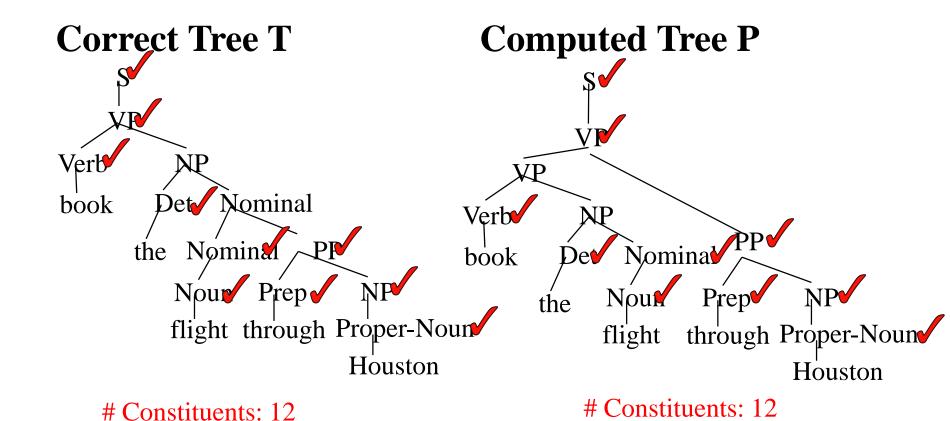
First WSJ Sentence

```
(S)
  (NP-SBJ
   (NP (NNP Pierre) (NNP Vinken))
   (,,)
   (ADJP
    (NP (CD 61) (NNS years))
    (JJ old))
   (,,)
  (VP (MD will)
   (VP (VB join)
    (NP (DT the) (NN board))
    (PP-CLR (IN as)
     (NP (DT a) (JJ nonexecutive) (NN director) ))
    (NP-TMP (NNP Nov.) (CD 29) )))
  (..)))
```

Parsing Evaluation Metrics

- PARSEVAL metrics measure the fraction of the constituents that match between the computed and human parse trees. If *P* is the system's parse tree and *T* is the human parse tree (the "gold standard"):
 - Recall = (# correct constituents in P) / (# constituents in T)
 - **Precision** = (# correct constituents in P) / (# constituents in P)
- Labeled Precision and labeled recall require getting the non-terminal label on the constituent node correct to count as correct.
- F_1 is the harmonic mean of precision and recall.

Computing Evaluation Metrics



Correct Constituents: 10

Recall = 10/12 = 83.3% Precision = 10/12 = 83.3% F_1

 $F_1 = 83.3\%$

Treebank Results

• Results of current state-of-the-art systems on the English Penn WSJ treebank are slightly greater than 90% labeled precision and recall.

Word Sense Disambiguation (WSD)

- Words in natural language usually have a fair number of different possible meanings.
 - Ellen has a strong interest in computational linguistics.
 - Ellen pays a large amount of interest on her credit card.
- For many tasks (question answering, translation), the proper sense of each ambiguous word in a sentence must be determined.

Word Sense Disambiguation (WSD) as Text Categorization

- Each sense of an ambiguous word is treated as a category.
 - "play" (verb)
 - play-game
 - play-instrument
 - play-role
 - "pen" (noun)
 - writing-instrument
 - enclosure
- Treat current sentence (or preceding and current sentence) as a document to be classified.
 - "play":
 - play-game: "John played soccer in the stadium on Friday."
 - play-instrument: "John played guitar in the band on Friday."
 - play-role: "John played Hamlet in the theater on Friday."
 - "pen":
 - writing-instrument: "John wrote the letter with a pen in New York."
 - enclosure: "John put the dog in the pen in New York."

Learning for WSD

- Assume part-of-speech (POS), e.g. noun, verb, adjective, for the target word is determined.
- Treat as a classification problem with the appropriate potential senses for the target word given its POS as the categories.
- Encode context using a set of features to be used for disambiguation.
- Train a classifier on labeled data encoded using these features.
- Use the trained classifier to disambiguate future instances of the target word given their contextual features.

WSD "line" Corpus

- 4,149 examples from newspaper articles containing the word "line."
- Each instance of "line" labeled with one of 6 senses from WordNet.
- Each example includes a sentence containing "line" and the previous sentence for context.

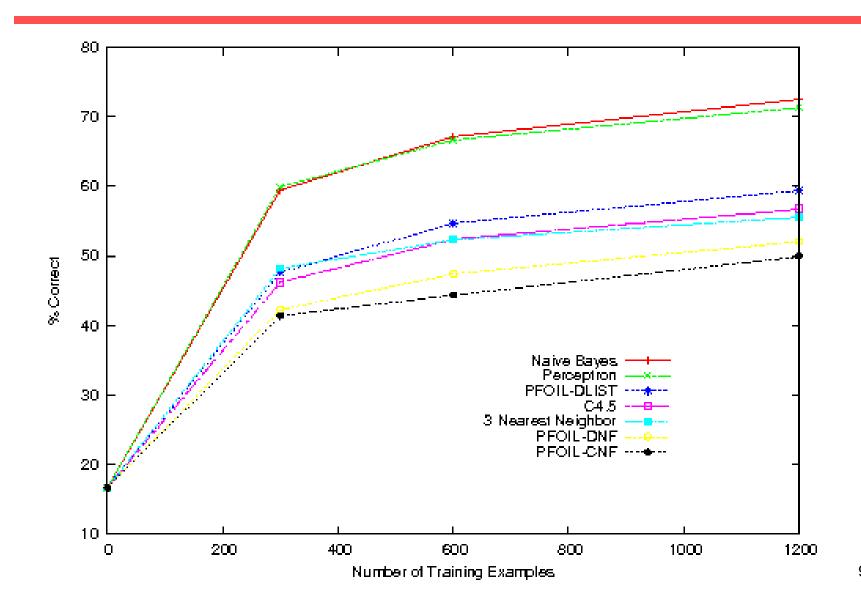
Senses of "line"

- Product: "While he wouldn't estimate the sale price, analysts have estimated that it would exceed \$1 billion. Kraft also told analysts it plans to develop and test a line of refrigerated entrees and desserts, under the Chillery brand name."
- Formation: "C-LD-R L-V-S V-NNA reads a sign in Caldor's book department. The 1,000 or so people fighting for a place in line have no trouble filling in the blanks."
- Text: "Newspaper editor Francis P. Church became famous for a 1897 editorial, addressed to a child, that included the line "Yes, Virginia, there is a Santa Clause."
- Cord: "It is known as an aggressive, tenacious litigator. Richard D. Parsons, a partner at Patterson, Belknap, Webb and Tyler, likes the experience of opposing Sullivan & Cromwell to "having a thousand-pound tuna on the line."
- Division: "Today, it is more vital than ever. In 1983, the act was entrenched in a new constitution, which established a tricameral parliament along racial lines, whith separate chambers for whites, coloreds and Asians but none for blacks."
- Phone: "On the tape recording of Mrs. Guba's call to the 911 emergency line, played at the trial, the baby sitter is heard begging for an ambulance." 89

Learning Algorithms

- Naïve Bayes
 - Binary features
- K Nearest Neighbor
 - Simple instance-based algorithm with k=3 and Hamming distance
- Perceptron
 - Simple neural-network algorithm.
- C4.5
 - State of the art decision-tree induction algorithm
- PFOIL-DNF
 - Simple logical rule learner for Disjunctive Normal Form
- PFOIL-CNF
 - Simple logical rule learner for Conjunctive Normal Form
- PFOIL-DLIST
 - Simple logical rule learner for decision-list of conjunctive rules

Learning Curves for WSD of "line"



Other Syntactic Tasks

Word Segmentation

- Breaking a string of characters (graphemes) into a sequence of words.
- In some written languages (e.g. Chinese) words are not separated by spaces.
- Even in English, characters other than white-space can be used to separate words [e.g.,;.-:()]
- Examples from English URLs:
 - jump the shark .com \Rightarrow jump the shark .com
 - myspace.com/pluckerswingbar
 - ⇒ myspace .com pluckers wing bar
 - myspace .com plucker swing bar

Morphological Analysis

- *Morphology* is the field of linguistics that studies the internal structure of words. (Wikipedia)
- A *morpheme* is the smallest linguistic unit that has semantic meaning (Wikipedia)
 - e.g. "carry", "pre", "ed", "ly", "s"
- Morphological analysis is the task of segmenting a word into its morphemes:
 - carried \Rightarrow carry + ed (past tense)
 - independently \Rightarrow in + (depend + ent) + ly
 - Googlers \Rightarrow (Google + er) + s (plural)
 - unlockable \Rightarrow un + (lock + able) ?
 - \Rightarrow (un + lock) + able ?

Part Of Speech (POS) Tagging

 Annotate each word in a sentence with a part-of-speech.

```
I ate the spaghetti with meatballs.

Pro V Det N Prep N

John saw the saw and decided to take it to the table.

PN V Det N Con V Part V Pro Prep Det N
```

 Useful for subsequent syntactic parsing and word sense disambiguation.

Phrase Chunking

- Find all non-recursive noun phrases (NPs) and verb phrases (VPs) in a sentence.
 - [NP I] [VP ate] [NP the spaghetti] [PP with][NP meatballs].
 - [NP He] [VP reckons] [NP the current account deficit] [VP will narrow] [PP to] [NP only # 1.8 billion] [PP in] [NP September]

Other Semantic Tasks

Semantic Role Labeling (SRL)

• For each clause, determine the semantic role played by each noun phrase that is an argument to the verb.

agent patient source destination instrument

- John drove Mary from Austin to Dallas in his Toyota Prius.
- The hammer broke the window.
- Also referred to a "case role analysis," "thematic analysis," and "shallow semantic parsing"

Semantic Parsing

- A *semantic parser* maps a natural-language sentence to a complete, detailed semantic representation (*logical form*).
- For many applications, the desired output is immediately executable by another program.
- Example: Mapping an English database query to Prolog:

Textual Entailment

• Determine whether one natural language sentence entails (implies) another under an ordinary interpretation.

Textual Entailment Problems from PASCAL Challenge

TEXT	HYPOTHESIS	ENTAIL MENT
Eyeing the huge market potential, currently led by Google, Yahoo took over search company Overture Services Inc last year.	Yahoo bought Overture.	TRUE
Microsoft's rival Sun Microsystems Inc. bought Star Office last month and plans to boost its development as a Web-based device running over the Net on personal computers and Internet appliances.	Microsoft bought Star Office.	FALSE
The National Institute for Psychobiology in Israel was established in May 1971 as the Israel Center for Psychobiology by Prof. Joel.	Israel was established in May 1971.	FALSE
Since its formation in 1948, Israel fought many wars with neighboring Arab countries.	Israel was established in 1948.	TRUE

Pragmatics/Discourse Tasks

Anaphora Resolution/ Co-Reference

- Determine which phrases in a document refer to the same underlying entity.
 - John put the carrot on the plate and ate(it)
 - -Bush started the war in Iraq. But the president needed the consent of Congress.
- Some cases require difficult reasoning.
 - Today was Jack's birthday. Penny and Janet went to the store. They were going to get presents. Janet decided to get a kite "Don't do that," said Penny. "Jack has a kite He will make you take it back."

Ellipsis Resolution

• Frequently words and phrases are omitted from sentences when they can be inferred from context.

"Wise men talk because they have something to say; fools talk because they have to say something." (Plato)

"Wise men talk because they have something to say; fools, because they have to say something." (Plato)

Other Tasks

Information Extraction (IE)

- Identify phrases in language that refer to specific types of entities and relations in text.
- Named entity recognition is task of identifying names of people, places, organizations, etc. in text.

people organizations places

- Michael Dell is the CEO of Dell Computer
 Corporation and lives in Austin Texas.
- Relation extraction identifies specific relations between entities.
 - Michael Dell is the CEO of Dell Computer
 Corporation and lives in Austin Texas.

Question Answering

- Directly answer natural language questions based on information presented in a corpora of textual documents (e.g. the web).
 - When was Barack Obama born? (factoid)
 - August 4, 1961
 - Who was president when Barack Obama was born?
 - John F. Kennedy
 - How many presidents have there been since Barack Obama was born?

Text Summarization

- Produce a short summary of a longer document or article.
 - Article: With a split decision in the final two primaries and a flurry of superdelegate endorsements, <u>Sen. Barack Obama</u> sealed the Democratic presidential nomination last night after a grueling and history-making campaign against <u>Sen. Hillary Rodham Clinton</u> that will make him the first African American to head a major-party ticket. Before a chanting and cheering audience in St. Paul, Minn., the first-term senator from Illinois savored what once seemed an unlikely outcome to the Democratic race with a nod to the marathon that was ending and to what will be another hard-fought battle, against <u>Sen. John McCain</u>, the presumptive Republican nominee....
 - Summary: Senator Barack Obama was declared the presumptive Democratic presidential nominee.

Machine Translation (MT)

- Translate a sentence from one natural language to another.
 - − Hasta la vista, bebé ⇒
 Until we see each other again, baby.

NLP Conclusions

- The need for disambiguation makes language understanding difficult.
- Levels of linguistic processing:
 - Syntax
 - Semantics
 - Pragmatics
- CFGs can be used to parse natural language but produce many spurious parses.
- Statistical learning methods can be used to:
 - Automatically learn grammars from (annotated) corpora.
 - Compute the most likely interpretation based on a learned statistical model.