

- Last time:
  - Chap 8.3: Divide-and-conquer algorithms and recurrence relations
- Today:
  - Chap 8.5: Inclusion-exclusion
  - Chap 8.6: Applications of inclusion-exclusion
- Assignment 2 due next week

# Review of last time

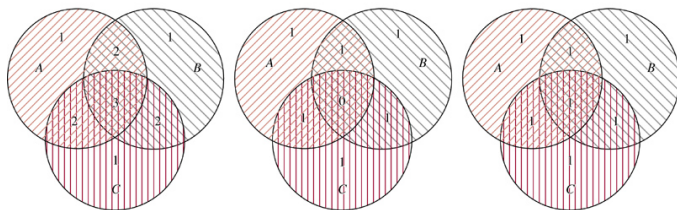
- Divide-and-conquer algorithms
- Divide-and-conquer recurrence relations
- Master Theorem

# Union of two sets

- $|A \cup B| = |A| + |B| - |A \cap B|$
- How many positive integers not exceeding 1000 are divisible by 7 or 11?
- 1807 freshmen, 453 takes CS, 567 takes Math, 299 takes both CS and Math. How many students take neither CS or Math?

# Union of three sets

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(a) Count of elements by  
 $|A|+|B|+|C|$

(b) Count of elements by  
 $|A|+|B|+|C|-|A\cap B|-|A\cap C|-|B\cap C|$

(c) Count of elements by  
 $|A|+|B|+|C|-|A\cap B|-|A\cap C|-|B\cap C|+|A\cap B\cap C|$

- $|A\cup B\cup C| = |A|+|B|+|C|-|A\cap B|-|A\cap C|-|B\cap C|+|A\cap B\cap C|$
- Example: Spanish: 1232, French: 879, Russian: 114; Spanish and French: 103, Spanish and Russian: 23, French and Russian: 14; Spanish, French, or Russian: 2092. How many students have taken all three languages?

# The principle of inclusion-exclusion

**Theorem:** Let  $A_1, A_2, \dots, A_n$  be finite sets. Then

$$\begin{aligned} |A_1 \cup A_2 \cup \dots \cup A_n| &= \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| \\ &+ \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| - \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n| \end{aligned}$$

There is one term for each non-empty subset of  $\{1, 2, \dots, n\}$ , hence there are  $2^n - 1$  terms.

**Proof:** We show that each element  $a$  of  $A_1 \cup A_2 \cup \dots \cup A_n$  is counted exactly once.

Suppose  $a$  belongs to exactly  $r$  sets of  $A_1, A_2, \dots, A_n$ .

Then the number of times  $a$  is counted is:

$$C(r, 1) - C(r, 2) + \dots + (-1)^{r+1} C(r, r)$$

## Another form of inclusion-exclusion

- Can be used to solve problems asking for the number of elements in a set  $A$  that have none of  $n$  properties  $P_1, P_2, \dots, P_n$ .
- Let  $A_i$  be the subset containing elements that satisfy  $P_i$ .
- Let  $N(P_{i_1} P_{i_2} \dots P_{i_k})$  denote  $|A_{i_1} \cap A_{i_2} \cap \dots \cap A_{i_k}|$ .
- Let  $N$  denote  $|A|$ , and  $N(P'_1 P'_2 \dots P'_n)$  denote the number of elements with none of the properties  $P_1, P_2, \dots, P_n$
- Then  $N(P'_1 P'_2 \dots P'_n) = N - |A_1 \cup A_2 \cup \dots \cup A_n| =$

$$\begin{aligned} & N - \sum_{1 \leq i \leq n} N(P_i) + \sum_{1 \leq i < j \leq n} N(P_i P_j) \\ & - \sum_{1 \leq i < j < k \leq n} N(P_i P_j P_k) + \dots + (-1)^n N(P_1 P_2 \dots P_n) \end{aligned}$$

# Examples

- The number of solutions of  $x_1 + x_2 + x_3 = 11$ , where  $x_1, x_2$ , and  $x_3$  are non-negative integers with  $x_1 \leq 3$ ,  $x_2 \leq 4$ , and  $x_3 \leq 6$ .
- The number of primes not exceeding 100
- The number of onto functions from a set with 6 elements to a set with 3 elements
- A derangement is a permutation of objects that leaves no objects in its original position. For example, 21453 is a derangement of 12345, but 21543 is not. The number of derangements of a set with 4 elements