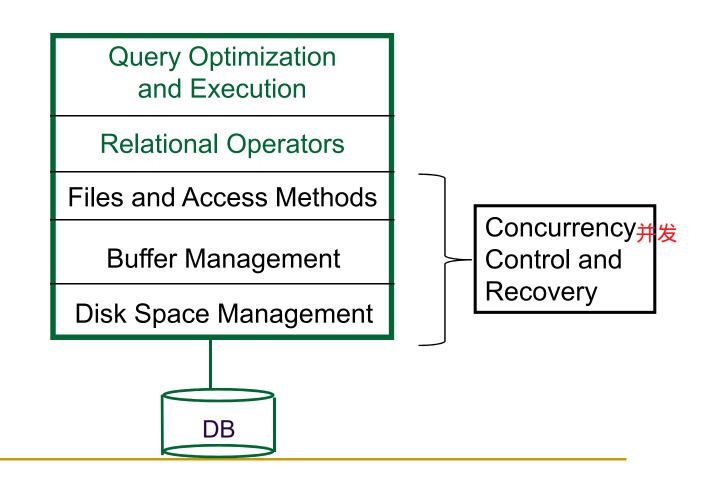
Storing Data: Disks and Files

SUN YAT-SEN UNIVERSITY

Block diagram of a DBMS



Disks

- DBMS stores information on disks.
- Fixed unit of transfer
 - Read/write disk blocks or pages (8K)

磁盘块 页/数据页/磁盘页

- Not "random access" (vs. RAM)
 - □ Time to retrieve a block depends on location
 - □ Relative placement of blocks on disk has major impact on **DBMS** performance!

Components of a Disk

Disk head

Arm assembly

Arm movement

Spindle

Tracks

Platters

Sector

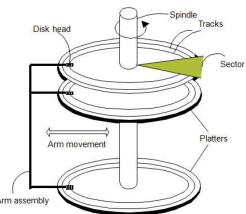
The platters spin (say, 120 rps).

The arm assembly is moved in or out to position a head on a desired track. Tracks under heads make a *cylinder* (imaginary!).

Only one head reads/writes at any one time.

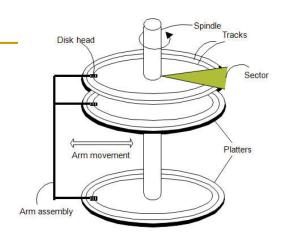
❖ Block size is a multiple of sector size (which is fixed).

Accessing a Disk Page



- Time to access (read/write -- 存取时间) a disk block:
 - □ seek time (寻道时间-moving arms to position disk head on track)
 - rotational delay (旋转延迟-waiting for block to rotate under head)
 - □ transfer time (传输时间-actually moving data to/from disk surface)
- Seek time and rotational delay dominate.
 - □ Seek time varies from 0 to 10msec . 平均时间
 - Rotational delay varies from 0 to 3msec
 - □ Transfer rate around 0.2msec per 8K block
- Key to lower I/O cost: reduce seek/rotation delays! Hardware vs. software solutions?

Arranging Pages on Disk



- Next' block concept:
 - blocks on same track, followed by
 - blocks on same cylinder, followed by
 - blocks on adjacent cylinder
- Blocks in a file should be arranged sequentially on disk (by `next'), to minimize seek and rotational delay.
 - For a sequential scan, <u>pre-fetching</u> (预读取) several pages at a time is a big win!

Disk Space Management

Query Optimization and Execution

Relational Operators

Files and Access Methods

Buffer Management

Disk Space Management

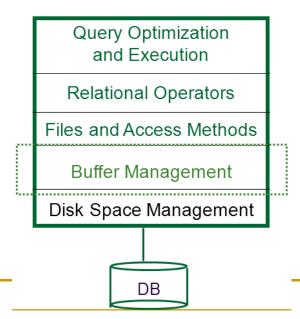
- Lowest layer of DBMS, manages space on disk
- 接口: Higher levels call upon this layer to:
 - allocate/de-allocate a page
 - read/write a page
- 期望性能:Request for a sequence of pages best satisfied by pages stored sequentially on disk!
 - Responsibility of disk space manager.
 - Higher levels don't know how this is done, or how free space is managed.
 - They may make performance assumptions!
 - Hence disk space manager should do a decent job.

Context

Query Optimization and Execution **Relational Operators** Files and Access Methods **Buffer Management** Disk Space Management DB

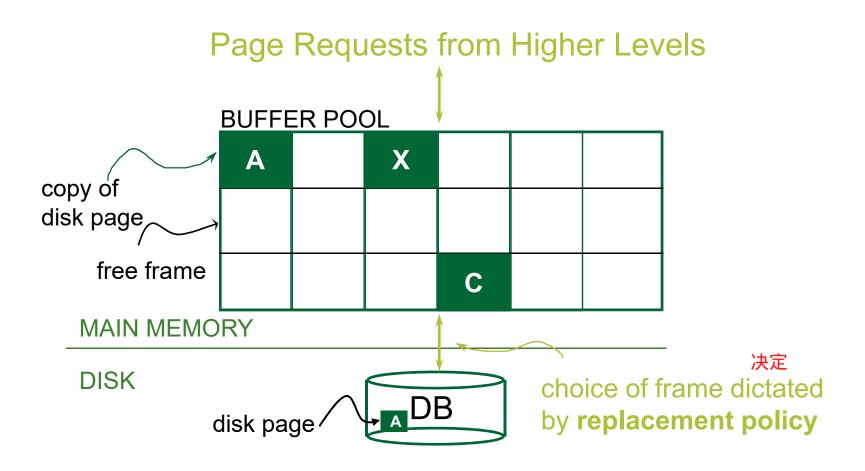
Buffer Management in a DBMS 缓冲区管理

- Data must be in RAM for DBMS to operate on it!
- BufMgr hides the fact that not all data is in RAM



Buffer Management in a DBMS

缓冲区管理—how?



When a Page is Requested ...

- Buffer pool information table contains:
 - <frame#, pageid, pin_count, dirty>
- 1. If requested page is not in pool:
 - a. Choose a frame for *replacement*.

 Only "un-pinned" pages are candidates!
 - b. If frame "dirty", write current page to disk
 - c. Read requested page into frame
- 2. Pin the page and return its address.

Page Requests from Higher Levels BUFFER POOL A X Copy of disk page MAIN MEMORY DISK Choice of frame dictated by replacement policy.

More on Buffer Management

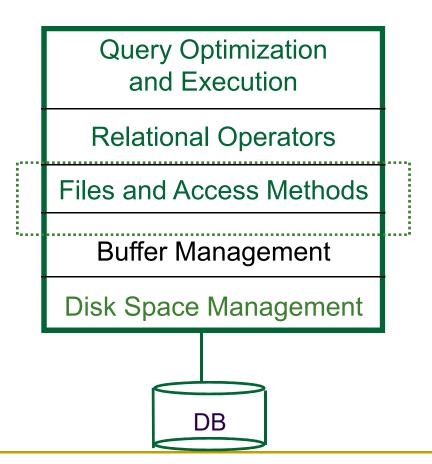
- Requestor of page must eventually:
 - 1. unpin it -- 解钉 pin_count--
 - indicate whether page was modified via *dirty* bit.

<frame#, pageid, pin_count, dirty>

- Page in pool may be requested many times,
 - a pin _count is used.

 - A page is a candidate for replacement iff pin_count == 0 ("unpinned")

Context



Files of Records (记录文件)

- Blocks are the interface for I/O, but...
 - Higher levels of DBMS operate on records, and files of records.
- FILE: A collection of pages, each containing a collection of records. Must support:
 - insert/delete/modify record
 - fetch a particular record (specified using record id)
 - scan all records (possibly with some conditions on the records to be retrieved)

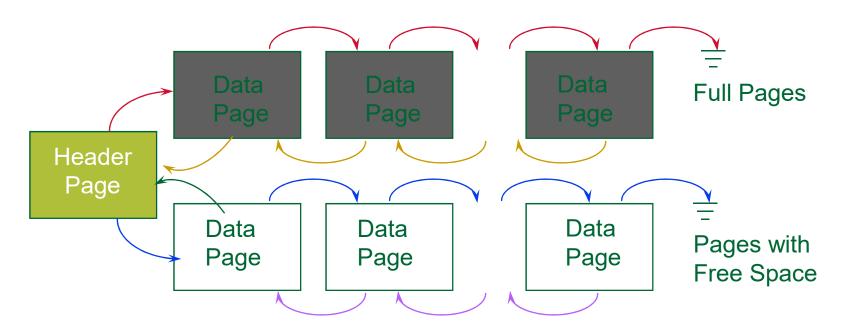
Unordered (Heap) Files -- 堆文件

Collection of records in no particular order.

收缩

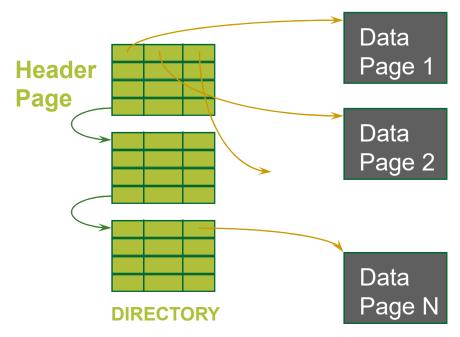
- As file shrinks/grows, disk pages (de)allocated
- To support record level operations, we must:
 - keep track of the pages in a file
 - keep track of free space on pages
 - keep track of the records on a page
- There are many alternatives for keeping track of this.
 - We'll consider two.

Heap File Implemented as a List (页链表)



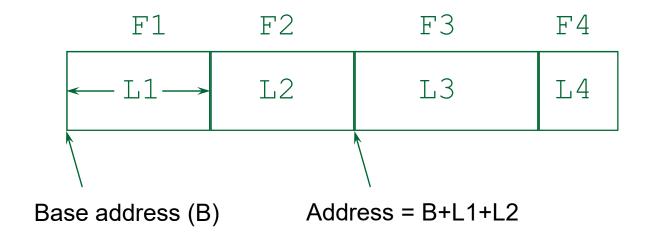
- The header page(首页) id and Heap file name must be stored someplace.
 - Database "catalog"
- Each page contains 2 `pointers' plus data.

Heap File Using a Page Directory(页目录)



- The directory is itself a collection of pages;
 - each page can hold several entries.
- To insert a record, we can search the directory to determine which page has enough space to hold the record.

Record Formats(记录格式): Fixed Length(定长记录)



- Information about field types same for all records in a file; stored in system catalogs.
- Finding i'th field done via arithmetic.

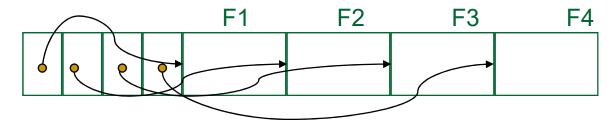
Record Formats:

Variable Length(变长记录)

Two alternative formats (# fields is fixed):



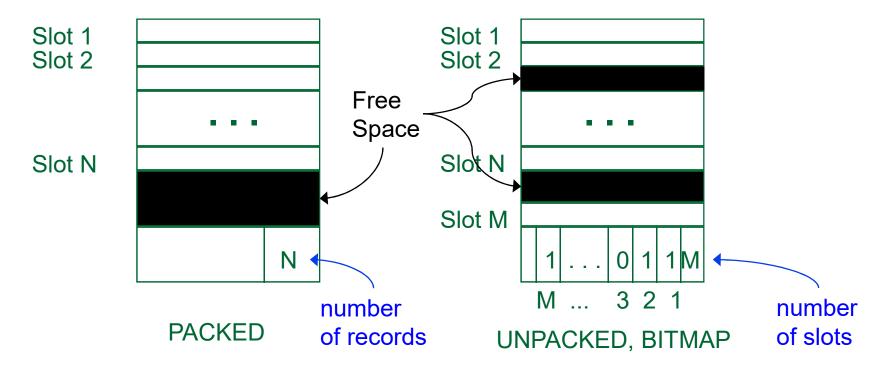
Fields Delimited by Special Symbols 由特殊符号分隔的字段



Array of Field Offsets-字段偏移量数组

⊠ Second offers direct access to i'th field, efficient storage of <u>nulls</u> (special don't know value); small directory overhead.

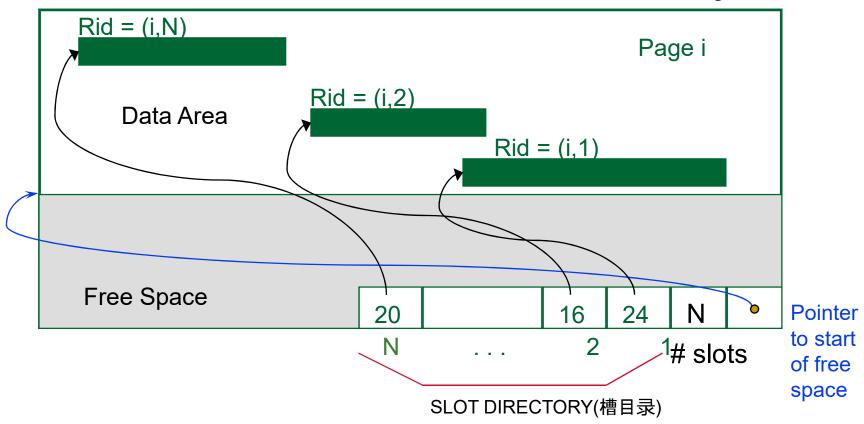
Page Formats(页格式): Fixed Length Records



- ⊠ Record id = <page id, slot #>.
- - moving records for free space management changes rid;
 - may not be acceptable.

Page Formats: Variable Length Records

slot's format: <record offset, record length>



□ Can move records on page without changing rid; so, attractive for fixed-length records too.

| Page i | Pointer to start of free | Pointer to start of free | Pointer to start of start of free | Pointer to start of free |

Slotted page: a detailed view

slot's format: <record offset, record length>

