

Byzantine Vector Consensus in Complete Graphs

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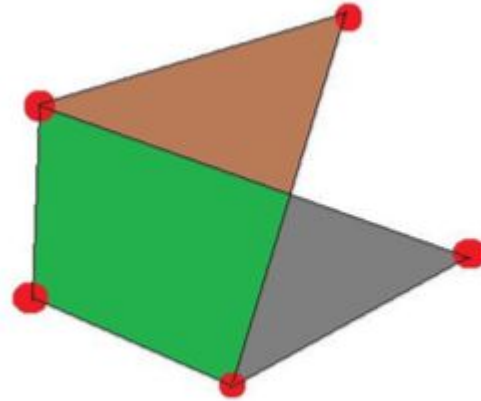
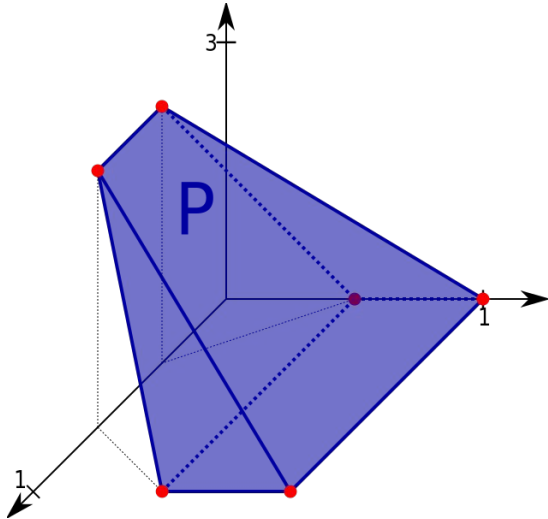
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Vector Consensus

In a lot of linear optimization problems, the feasible solutions lies inside a convex region.



Byzantine General Consensus

Works for one dimension

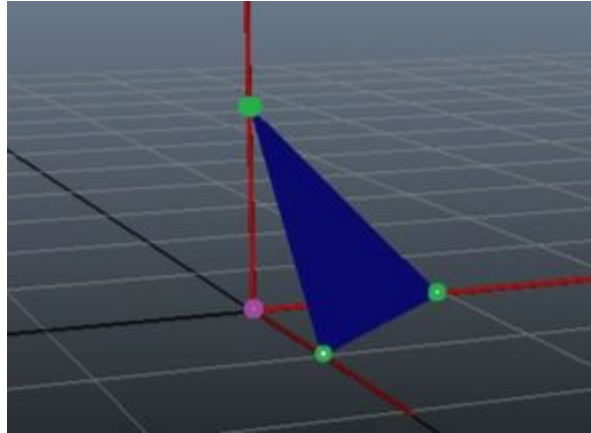
Agreement: non-faulty processes agree on the same value

Non-triviality

Termination

$$n \geq 3f+1$$

Naive Solution: BGA on each dimension



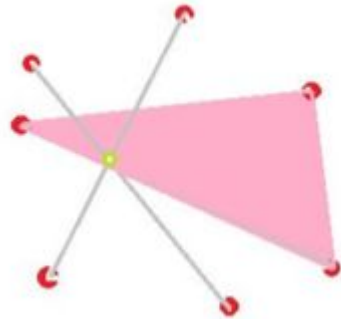
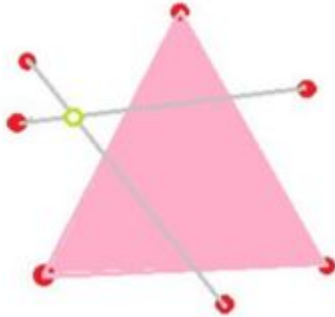
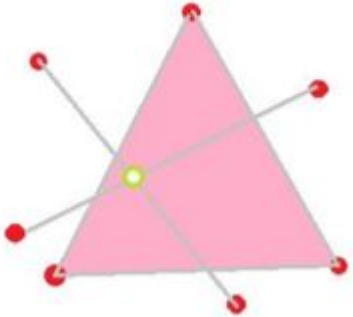
Tverberg's Theorem

We have n points, each has d -dimensions

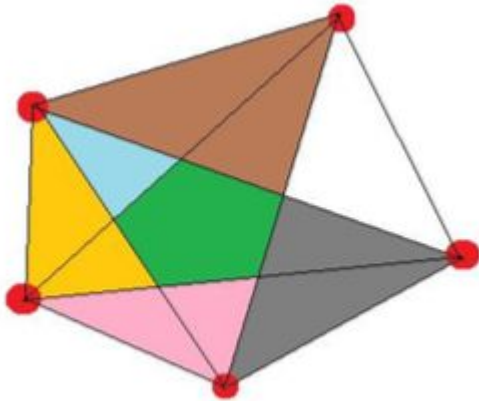
How to divide the points so that each partition intersects with other partitions on at least one point.

In particular, $n \geq (d+1)f+1$, there's a set of $f+1$ partitions such that all the convex hulls intersects.

$n \geq (d+1)f+1$ for $n = 7$, $d = 2$, $f = 2$



Tverberg's partition exists for any $|n - f|$ subset:



$$n = 5, t = 1, d = 2$$

All non-faulty processes can use a predetermined algorithm to find a location in this region. For example, use linear programming to locate an optimal point inside this region

Summary

The problem of Byzantine vector consensus(BVC) requires agreement on a d -dimensional vector that is in the convex hull of the d -dimensional input vectors of the non-faulty processes. This paper states the following:

■ In **synchronous systems**, $n \geq \max(3f + 1, (d+1)f + 1)$ is necessary and sufficient for achieving exact Byzantine vector consensus.

■ In **asynchronous systems**, exact consensus is impossible in presence of faulty processes. $n \geq (d+2)f+1$ is necessary and sufficient to achieve approximate Byzantine vector consensus.

Exact Vector Consensus

- **Agreement:** *The decision vector at all the non-faulty processes must be identical.*
- **Validity:** The decision vector at each non-faulty process must be in the convex hull of the input vectors of all non-faulty processes.
- **Termination:** Each non-faulty process must terminate after a finite amount of time.

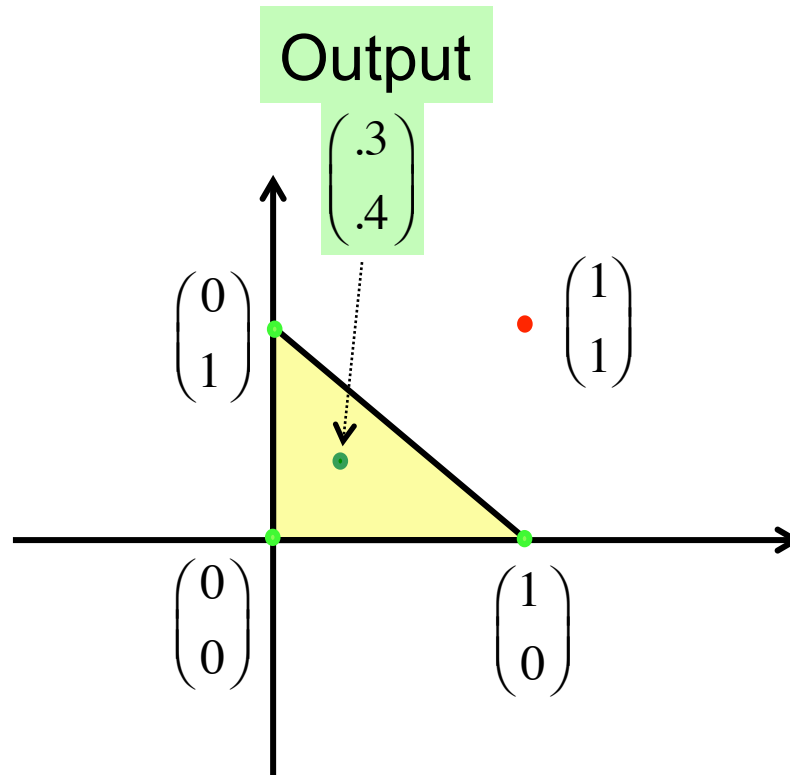
Inputs

$$\begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 \\ 1 \end{pmatrix}$$



Traditional Consensus Problem

- Special case of vector consensus : $d = 1$
- Necessary & sufficient condition for complete graphs:

$$n \geq 3f + 1$$

in **synchronous** [Lamport, Shostak, Pease]
& **asynchronous** systems [Abraham, Amit, Dolev]

Synchronous Systems:

$$n \geq \max(3, d+1) f + 1 \quad \text{necessary}$$

■ $n \geq 3f + 1$ necessary due to Lamport, Shostak, Pease

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■ Proof of $n \geq (d+1)f + 1$ by contradiction ...

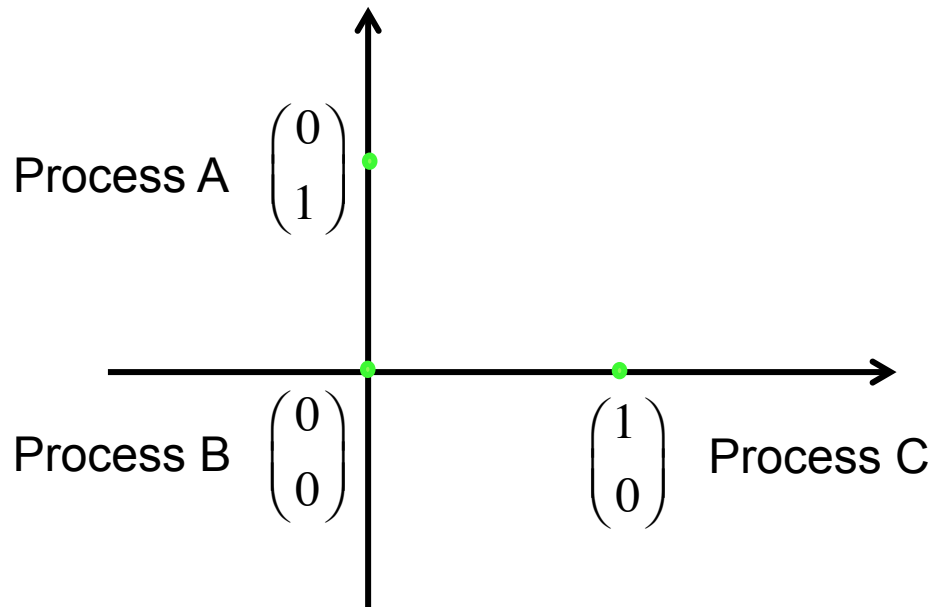
suppose that

$$f = 1$$

$$n \leq (d+1)$$

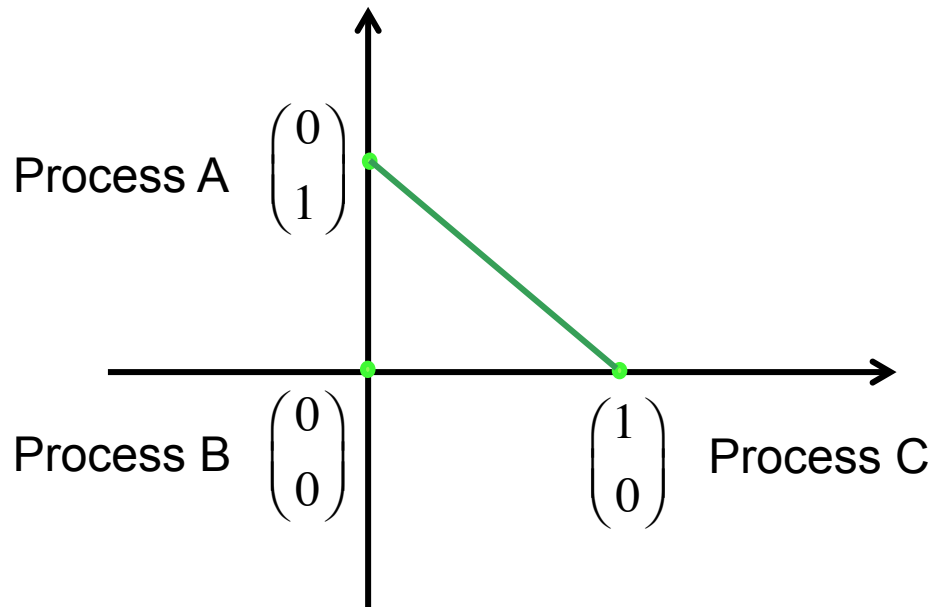
$$n \leq d+1 = 3 \quad \text{when } d = 2$$

- Three processes, with inputs are shown below



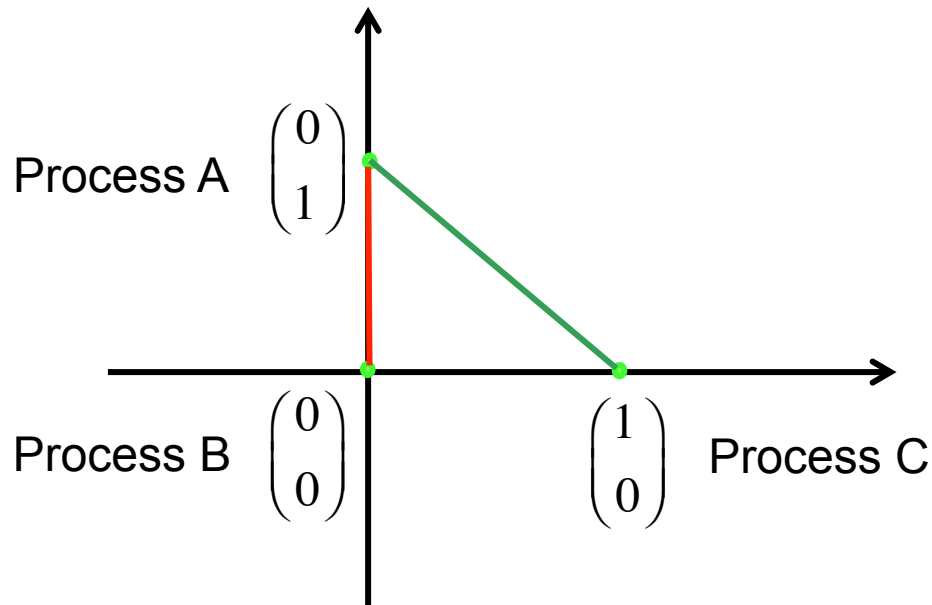
Process A's Viewpoint

- If B faulty : output on **green** segment (for validity)



Process A's Viewpoint

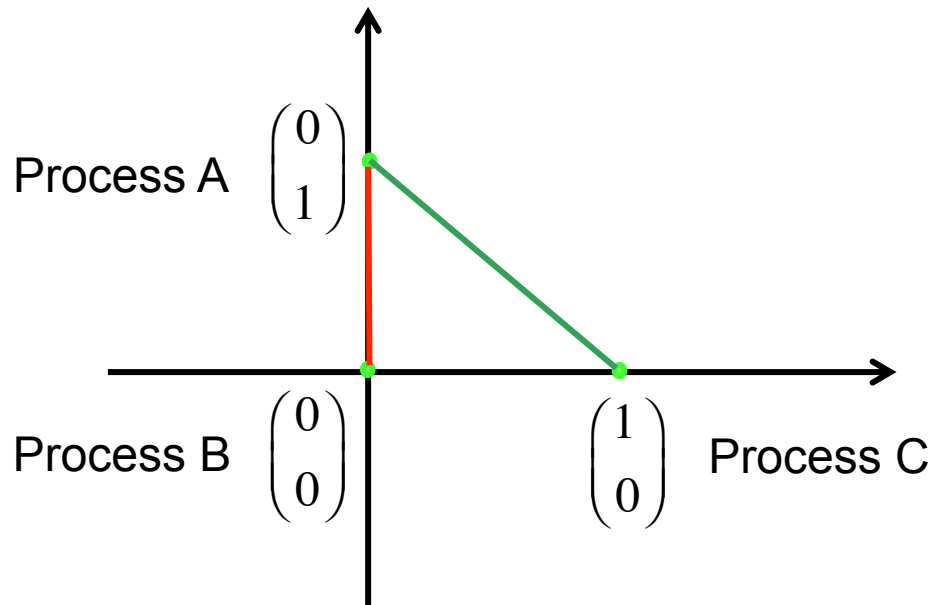
- If B faulty : output on **green** segment (for validity)
- If C faulty : output on **red** segment



Process A's Viewpoint

- If B faulty : output on **green** segment (for validity)
- If C faulty : output on **red** segment

→ Output must be on both segments = **initial state**



$$d = 2$$

- Validity forces each process to choose output = own input

→ No agreement

→ $n = (d+1)$ insufficient when $f = 1$

→ By simulation, $(d+1)f$ insufficient

Proof generalizes to all d

Synchronous System

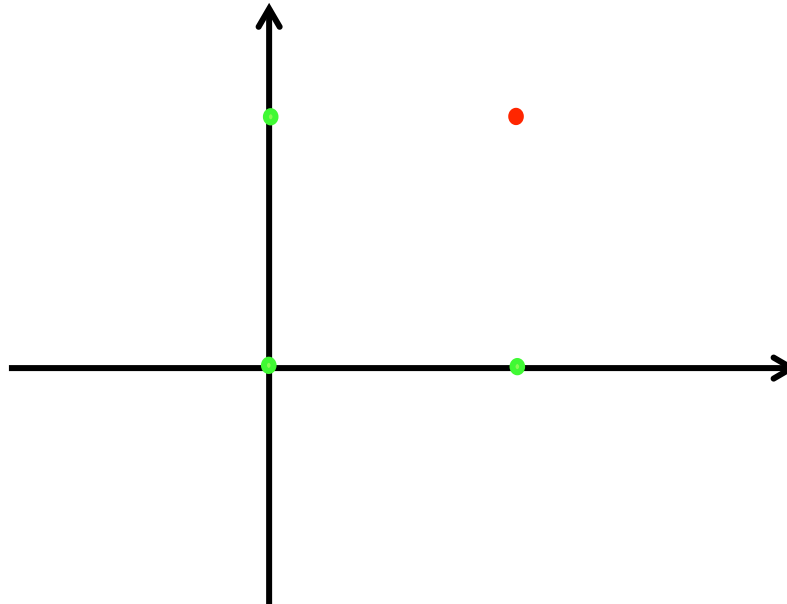
$$n \geq \max(3, d+1) f + 1$$

1. Reliably broadcast input vector to all processes
[Lamport, Shostak, Pease]
2. Receive multiset Y containing n vectors
3. Output = a deterministically chosen point in

$$\Gamma(Y) = \bigcap_{T \subseteq Y, |T|=|Y|-f} \text{Hull}(T)$$

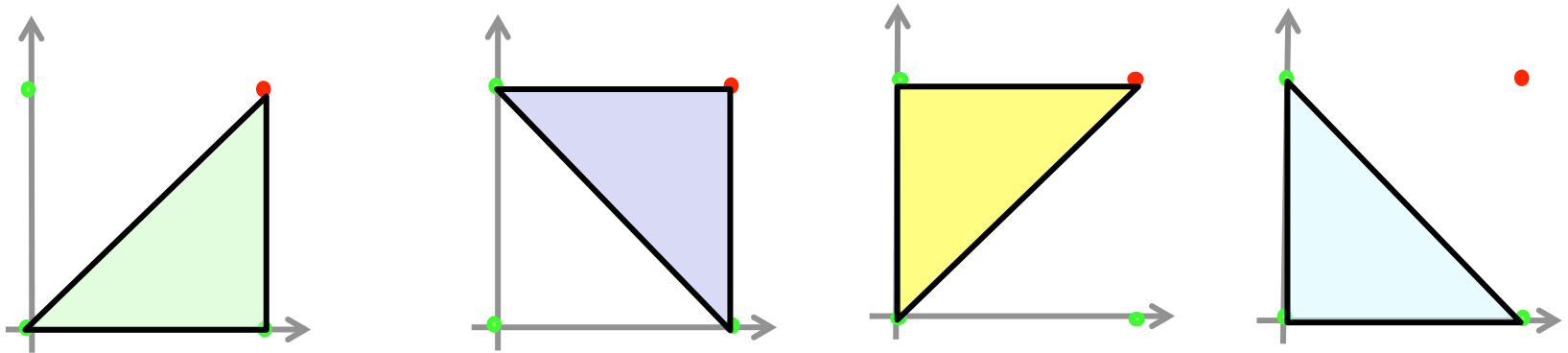
$$d = 2, \quad f = 1, \quad n = 4$$

- Y contains 4 points, one from faulty process



$$n-f = 3$$

- Y contains 4 points, one from faulty process
- Output in intersection of hulls of $(n-f)$ -sets in Y



Proof of Validity

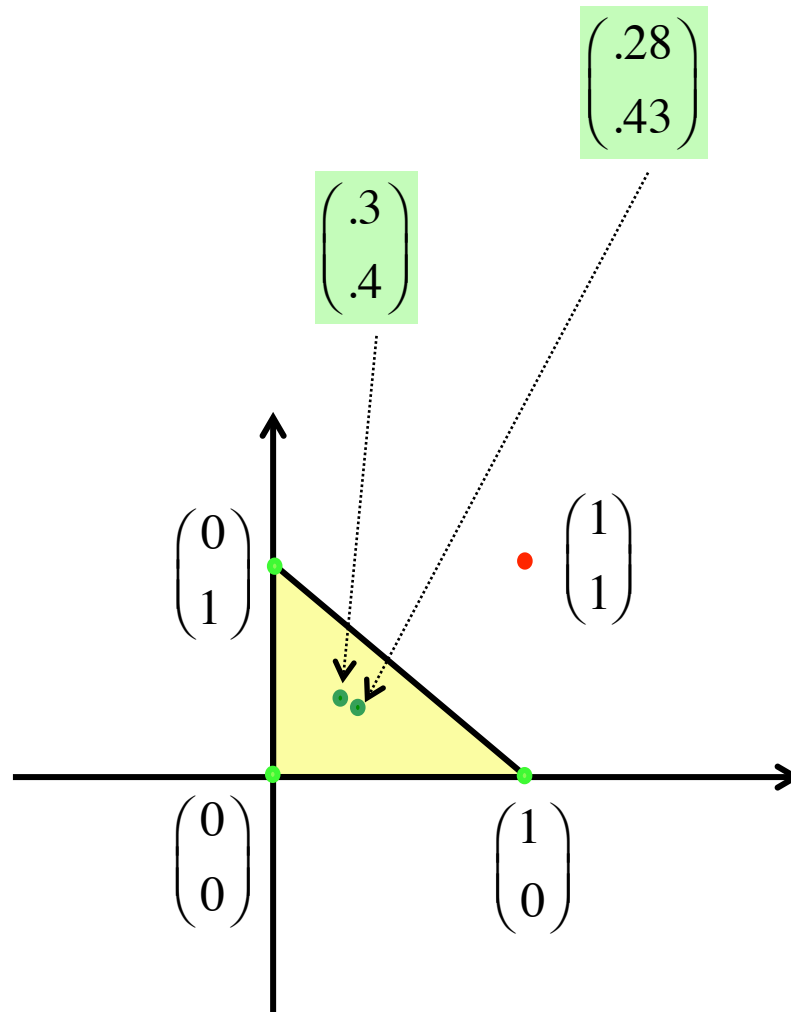
Output in $\Gamma(Y) = \bigcap_{T \subseteq Y, |T|=|Y|-f} \text{Hull}(T)$

- **Claim 1** : Intersection is non-empty
- **Claim 2** : All points in intersection are in convex hull of fault-free inputs

Approximate Vector Consensus

- **ϵ -Agreement**: Decision vectors at any two non-faulty processes must be within ϵ of each other, where $\epsilon > 0$ is a pre-defined constant
- **Validity**: The decision vector at each non-faulty process must be in the convex hull of the input vectors of all non-faulty processes.
- **Termination**: Each non-faulty process must terminate after a finite amount of time.

$$\varepsilon = 0.04$$



Asynchronous BVC

Abraham, Amit and Dolev (AAD) proposed that the consensus can be approximated.

Each process i performs :

$V_i[t - 1]$,

state of at least $n-f$ other processes,

Compute $V_i[t]$

V_i converges for all non-faulty processes.

approximate BVC work when $n-f \geq (d+1)f + 1$, or $n \geq (d+2)f + 1$

