

Applications of entailments: de Groot duality

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A topological space is **stably compact** if it is sober, locally compact, and finite intersections of compact saturated subsets are compact.

De Groot dual X^d of a stably compact space X is a set X equipped with the cocompact topology (i.e. the topology generated by the complements of compact saturated subsets). The space X^d is stably compact and $(X^d)^d = X$.

Goubault-Larrecq (2010) showed that the de Groot duality induces a family of dualities on various powerdomain constructions:

- ▶ The dual of the Smyth powerdomain is the Hoare powerdomain of the dual, i.e. $P_U(X)^d \cong P_L(X^d)$, and *vice versa*.
- ▶ The Plotkin powerdomain construction commutes with duality, i.e. $P_V(X)^d \cong P_V(X^d)$.
- ▶ So does the probabilistic powerdomain construction.
- ▶ ...

We give a point-free (and constructive) account of de Groot duality.

A locale X is **stably compact** if the frame $\Omega(X)$ is a continuous lattice and the set

$$\uparrow x \stackrel{\text{def}}{=} \{x' \in X \mid x \ll x'\}$$

is a filter for each $x \in X$.

Stably compact locales are the Stone dual of stably compact spaces through the equivalence **SoberSpa** \cong **SpatialLoc**.

The de Groot dual X^Δ of a stably compact locale X is the frame of Scott open filters on $\Omega(X)$ (cf. Escardó 2000).

I tried to reconstruct the dualities due to Goubault-Larrecq in the point-free setting, and got a couple of results, e.g. $P_U(X)^d \cong P_L(X^d)$.
But I got stuck . . .



On the Duality of Compact vs. Open

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ABSTRACT: It is a pleasant fact that Stone-duality may be described very smoothly restricted to the category of compact spectral spaces: The Stone-dual of a metric algebraic lattices, may be replaced by their sublattices of compact elements. We present a similar approach to describe the Stone-dual of a lattice by compact elements. We present a similar approach to describe the Stone-dual of a lattice by compact elements.

1. Strong proximity lattices

2. De Groot duality

3. Applications

Proposition

A locale is stably compact if and only if it is a retract of a spectral locale (i.e. the frame of ideals of a distributive lattice).

Proof.

A stably compact locale X is a retract of the frame of ideals $\mathbf{Idl}(X)$. \square

Since every idempotent splits in the category of locales:

Corollary

The category of stably compact locales is equivalent to the splitting of idempotents $\mathbf{Split}(\mathbf{Spec})$ of the category \mathbf{Spec} of spectral locales.

- ▶ An object of $\mathbf{Split}(\mathbf{Spec})$ is an idempotent (i.e. $f: X \rightarrow X$ s.t. $f \circ f = f$) in \mathbf{Spec} .
- ▶ A morphism $g: (f: X \rightarrow X) \rightarrow (f': X' \rightarrow X')$ in $\mathbf{Split}(\mathbf{Spec})$ is a continuous map $g: X \rightarrow X'$ in \mathbf{Spec} such that $f' \circ g = g = g \circ f$.

A relation $r \subseteq D \times D'$ between distributive lattices D and D' is **approximable** if

1. $ra \stackrel{\text{def}}{=} \{b \in D' \mid a r b\}$ is a filter for each $a \in D$,
2. $r^-b \stackrel{\text{def}}{=} \{a \in D \mid a r b\}$ is an ideal of D for each $b \in D'$,
3. $a r 0' \implies a = 0$,
4. $a r b \vee' c \implies (\exists b', c' \in D) a \leq b' \vee c' \ \& \ b' r b \ \& \ c' r c$.

Distributive lattices and approximable relations form a category $\mathbf{DL}_{\mathbf{AP}}$ with identities \leq_D and relational compositions.

Proposition

The category $\mathbf{DL}_{\mathbf{AP}}$ is equivalent to the category of spectral locales.

Strong proximity lattices (Jung & Sünderhauf)

A **strong proximity lattice** is an object of $\mathbf{Split}(\mathbf{DL}_{\mathbf{AP}})$, i.e. a distributive lattice D equipped with an idempotent relation \prec such that

1. $\downarrow a \stackrel{\text{def}}{=} \{b \in D \mid b \prec a\}$ is an ideal
2. $\uparrow a \stackrel{\text{def}}{=} \{b \in D \mid b \succ a\}$ is a filter
3. $a \prec 0 \implies a = 0$
4. $a \prec b \vee c \implies (\exists b' \prec b) (\exists c' \prec c) a \leq b' \vee c'$
5. $1 \prec a \implies a = 1$
6. $a \wedge b \prec c \implies (\exists a' \succ a) (\exists b' \succ b) a' \wedge b' \leq c$.

Morphisms of strong proximity lattices are **approximable** relations.

Remark A strong proximity lattice (D, \prec) represents a stably compact locale X such that

$$\Omega(X) \cong \text{Rounded ideals of } (D, \prec).$$

An ideal $I \subseteq D$ is **rounded** if $a \in I \iff (\exists b \succ a) b \in I$.

Continuous entailment relations (Coquand & Zhang)

An **entailment relation** on a set S is a binary relation \vdash on the finite subsets of S such that

$$\frac{a \in S}{a \vdash a} \qquad \frac{A \vdash B}{A', A \vdash B, B'} \qquad \frac{A \vdash B, a \quad a, A \vdash B}{A \vdash B}$$

where “,” denotes a union.

Remark An entailment relation (S, \vdash) presents a distributive lattice generated with generators S and relations $\bigwedge A \leq \bigvee B$ for $A \vdash B$.

An entailment relation (S, \vdash) is **continuous** if it is equipped with an idempotent relation \prec on S such that

$$(\exists C) A \prec_U C \vdash B \iff (\exists D) A \vdash D \prec_L B$$

where

$$A \prec_U B \stackrel{\text{def}}{\iff} (\forall b \in B) (\exists a \in A) a \prec b$$
$$A \prec_L B \stackrel{\text{def}}{\iff} (\forall a \in A) (\exists b \in B) a \prec b.$$

Proposition

The category of continuous entailment relations is equivalent to that of strong proximity lattices.

Proof.

- If (D, \prec) is a strong proximity lattice, then (D, \vdash_D) defined by

$$A \vdash_D B \stackrel{\text{def}}{\iff} \bigwedge A \leq_D \bigvee B$$

together with \prec is a continuous entailment relation.

- If (S, \vdash, \prec) is a continuous entailment relation, then the lattice D_S generated by (S, \vdash) together with the relation \ll on D_S defined by

$$\bigvee_{i < N} \bigwedge A_i \ll \bigwedge \bigvee_{j < M} B_j \stackrel{\text{def}}{\iff} \forall i < N \forall j < M \exists C [A_i \prec_U C \vdash B_j]$$

is a strong proximity lattice.



Generated continuous entailment relations

Let R be a set of pairs of finite subsets of a set S (called R a set of **axioms**). An entailment relation (S, \vdash) is **generated** by R if it is the smallest entailment relation on S that contains R , i.e. \vdash is generated by the following rules:

$$\frac{(A, B) \in R}{A \vdash B} \quad \frac{a \in S}{a \vdash a} \quad \frac{A \vdash B}{A', A \vdash B, B'} \quad \frac{A \vdash B, a \quad a, A \vdash B}{A \vdash B}$$

Lemma

Let (S, \vdash) be the entailment relation generated by a set R of axioms. Then the dual \dashv is generated by $R^{\text{op}} \stackrel{\text{def}}{=} \{(B, A) \mid (A, B) \in R\}$.

Proposition

Let (S, \vdash) be the entailment relation generated by a set R of axioms, and let \prec be an idempotent relation on S . Then (S, \vdash, \prec) is a continuous entailment if and only if for each A and B

1. $A \prec_U C \ \& \ (C, D) \in R \implies (\exists D') A \vdash D' \prec_L D$
2. $(C, D) \in R \ \& \ D \prec_L B \implies (\exists C') C \prec_U C' \vdash B$

Continuous entailment relation as the space its models

Definition

A **model** of a continuous entailment relation (S, \vdash, \prec) is a subset $\alpha \subseteq S$ such that

1. $A \subseteq \alpha \implies (\exists b \in B) b \in \alpha$ for each $A \vdash B$,
2. $a \in \alpha \implies (\exists b \prec a) b \in \alpha$.

Example

If X is a locale presented by a strong proximity lattice (D, \prec) , the **Scott topology** $\Sigma(X)$ can be defined as the space of its rounded ideals.

$\Sigma(X)$ can be presented by an entailment relation on

$\boxtimes D \stackrel{\text{def}}{=} \{\boxtimes a \mid a \in D\}$ generated by

$$\emptyset \vdash \boxtimes 0 \qquad \boxtimes a, \boxtimes b \vdash \boxtimes (a \vee b) \qquad \boxtimes a \vdash \boxtimes b \quad (a \geq b)$$

together with the idempotent relation $\boxtimes a \prec_{\boxtimes} \boxtimes b \stackrel{\text{def}}{\iff} a \succ b$.

1. Strong proximity lattices

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Definition

- ▶ The **dual** D^d of a strong proximity lattice (D, \prec) is (D^d, \succ) , where D^d is the dual lattice of D .
- ▶ The **dual** S^d of a continuous entailment relation (S, \vdash, \prec) is (S, \dashv, \succ) .

Proposition

The equivalence between continuous entailment relations and strong proximity relations commutes with the dualities.

Question

If X is the stably compact locale presented by (D, \prec) , does (D^d, \succ) present the de Groot dual of X ?

The de Groot dual X^Δ of a stably compact locale X is the frame of Scott open filters on $\Omega(X)$.

Definition

Let X be a stably compact locale. The **upper powerlocale** $P_U(X)$ is a locale whose points (i.e. models) are Scott open filters on $\Omega(X)$.

The de Groot dual of X can be characterized by $P_U(X) \cong \Sigma(X^d)$.

Proposition

Given a strong proximity lattice (D, \prec) , let X and Y be stably compact locales presented by (D, \prec) and (D^d, \succ) respectively. Then $P_U(X) \cong \Sigma(Y)$.

Proof. The upper powerlocale $P_U(X)$ is presented by an entailment relation on $\Box D \stackrel{\text{def}}{=} \{\Box a \mid a \in D\}$ generated by

$$\emptyset \vdash \Box 1 \qquad \Box a, \Box b \vdash \Box(a \wedge b) \qquad \Box a \vdash \Box b \quad (a \leq b)$$

together with the idempotent relation $\Box a \prec_{\Box} \Box b \stackrel{\text{def}}{\iff} a \prec b$.

The Scott topology $\Sigma(Y)$ is presented by an entailment relation on $\boxtimes D \stackrel{\text{def}}{=} \{\boxtimes a \mid a \in D\}$ generated by

$$\emptyset \vdash \boxtimes 1 \qquad \boxtimes a, \boxtimes b \vdash \boxtimes(a \wedge b) \qquad \boxtimes a \vdash \boxtimes b \quad (a \leq b)$$

together with the idempotent relation $\boxtimes a \prec_{\boxtimes} \boxtimes b \stackrel{\text{def}}{\iff} a \prec b$. □

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The lower and upper powerlocales

Let X be a stably compact locale presented by a strong proximity lattice (D, \prec) .

- ▶ The **lower powerlocale** $P_L(X)$ is presented by an entailment relation on $\Diamond D \stackrel{\text{def}}{=} \{\Diamond a \mid a \in D\}$ generated by

$$\Diamond 0 \vdash \emptyset \qquad \Diamond(a \vee b) \vdash \Diamond a, \Diamond b \qquad \Diamond a \vdash \Diamond b \quad (a \leq b)$$

together with the idempotent relation $\Diamond a \prec_{\Diamond} \Diamond b \stackrel{\text{def}}{\iff} a \prec b$.

- ▶ The **upper powerlocale** $P_U(X)$ is presented by an entailment relation on $\Box D \stackrel{\text{def}}{=} \{\Box a \mid a \in D\}$ generated by

$$\emptyset \vdash \Box 1 \qquad \Box a, \Box b \vdash \Box(a \wedge b) \qquad \Box a \vdash \Box b \quad (a \leq b)$$

together with the idempotent relation $\Box a \prec_{\Box} \Box b \stackrel{\text{def}}{\iff} a \prec b$.

Proposition

If X is stably compact then $P_L(X)^d \cong P_U(X^d)$ and $P_U(X)^d \cong P_L(X^d)$.

Proof.

Suppose X is presented by a strong proximity lattice (D, \prec) .

- ▶ $P_L(X)$ is presented by an entailment relation generated by

$$\Diamond 0 \vdash \emptyset \qquad \Diamond(a \vee b) \vdash \Diamond a, \Diamond b \qquad \Diamond a \vdash \Diamond b \quad (a \leq b)$$

with the idempotent relation $\Diamond a \prec_\Diamond \Diamond b \stackrel{\text{def}}{\iff} a \prec b$.

- ▶ $P_L(X)^d$ is presented by an entailment relation generated by

$$\emptyset \vdash \Diamond 0 \qquad \Diamond a, \Diamond b \vdash \Diamond(a \vee b) \qquad \Diamond b \vdash \Diamond a \quad (a \leq b)$$

with the idempotent relation $\Diamond a \prec_\Diamond \Diamond b \stackrel{\text{def}}{\iff} a \succ b$.

- ▶ This is just a presentation of $P_U(X^d)$. □

The Vietoris powerlocales

Let X be a stably compact locale presented by a strong proximity lattice (D, \succ) .

The **Vietoris powerlocale** $P_V(X)$ is presented by an entailment relation on $\Diamond D \cup \Box D$ generated by

$$\begin{array}{lll} \Diamond 0 \vdash \emptyset & \Diamond(a \vee b) \vdash \Diamond a, \Diamond b & \Diamond a \vdash \Diamond b \quad (a \leq b) \\ \emptyset \vdash \Box 1 & \Box a, \Box b \vdash \Box(a \wedge b) & \Box a \vdash \Box b \quad (a \leq b) \\ \Box a, \Diamond b \vdash \Diamond(a \wedge b) & \Box(a \vee b) \vdash \Box a, \Diamond b & \end{array}$$

The idempotent relation associated with $P_V(X)$ is $\prec_\Diamond \cup \prec_\Box$.

Proposition

If X is stably compact then $P_V(X)^d \cong P_V(X^d)$.

A **probability valuation** on a locale X is a Scott continuous map $\mu: \Omega(X) \rightarrow \overrightarrow{[0, 1]}$ to the lower reals $\overrightarrow{[0, 1]}$ satisfying $\mu(0) = 0$, $\mu(1) = 1$, and the modular law: $\mu(x) + \mu(y) = \mu(x \wedge y) + \mu(x \vee y)$. Let $\mathfrak{V}(X)$ be the locale whose points are valuations on X .

A **covaluation** on X is a Scott continuous map $\nu: \Omega(X) \rightarrow \overleftarrow{[0, 1]}$ to the upper reals $\overleftarrow{[0, 1]}$ satisfying $\nu(1) = 0$, $\nu(0) = 1$, and the modular law. Let $\mathfrak{C}(X)$ be a locale whose points are covaluations on X .

Proposition

If X is a locale presented by a strong proximity lattice (D, \prec) , then the space of valuations $\mathfrak{V}(X)$ is presented by an entailment relation on $\{\langle p, a \rangle \mid p \in \mathbb{Q} \ \& \ a \in D\}$ generated by the axioms

$$\begin{aligned} \emptyset \vdash \langle p, 0 \rangle \quad (p < 0) & \qquad \langle p, 0 \rangle \vdash \emptyset \quad (0 < p) \\ \emptyset \vdash \langle p, 1 \rangle \quad (p < 1) & \qquad \langle p, 1 \rangle \vdash \emptyset \quad (1 < p) \\ \langle p, a \rangle \vdash \langle q, b \rangle & \qquad (p \geq q \ \& \ a \leq b) \\ \langle p, a \rangle, \langle q, b \rangle \dashv\vdash \langle r, a \wedge b \rangle, \langle s, a \vee b \rangle & \quad (p + q = r + s) \end{aligned}$$

with an idempotent relation $\langle p, a \rangle \prec_{\mathfrak{V}} \langle q, b \rangle \stackrel{\text{def}}{\iff} p > q \ \& \ a \prec b$.

Note. Each generator $\langle p, a \rangle$ expresses $p < \mu(a)$.

Proposition

If X is a stably compact locale, then $\mathfrak{V}(X)^d \cong \mathfrak{C}(X^d)$.

Summary

By representing a stably compact locale by a continuous entailment relation, one may be able to read off what its de Groot dual is.

Future work

Can strong proximity lattices (or continuous entailment relations) handle combination of non-determinism and probability powerdomain constructions?