



Lecture 5: “Simple Neural Networks”

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Today

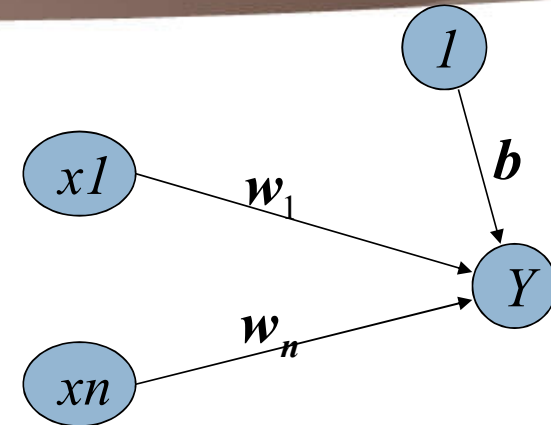
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- ▶ Hebb nets
 - ▶ Perceptron
 - ▶ Adaline
-
- ▶ Lecture Notes:
 - ▶ **Chapter 2**, Fundamentals of Neural Networks: Architectures, Algorithms, and Applications by Laurene Fausett

General architecture

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Single layer



net input to Y : $y_in = b + \sum_{i=1}^n x_i w_i$

bias \mathbf{b} is treated as the weight from a special unit with constant output 1. threshold θ related to Y

output $y = f(y_in) = \begin{cases} 1 & \text{if } y_in \geq \theta \\ -1 & \text{if } y_in < \theta \end{cases}$

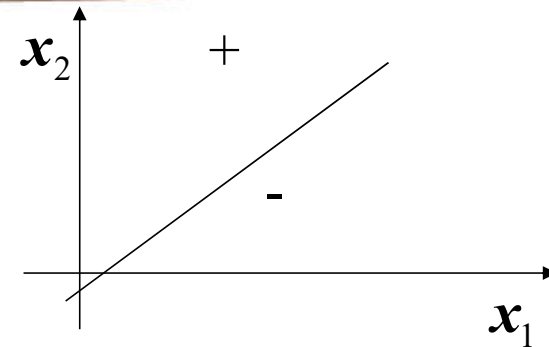
classify $(\mathbf{x}_1, \dots, \mathbf{x}_n)$ into one of the two classes

Decision region/boundary

$$n = 2, b \neq 0, \theta = 0$$

$$\mathbf{b} + \mathbf{x}_1 \mathbf{w}_1 + \mathbf{x}_2 \mathbf{w}_2 = 0 \text{ or}$$

$$\mathbf{x}_2 = -\frac{\mathbf{w}_1}{\mathbf{w}_2} \mathbf{x}_1 - \frac{\mathbf{b}}{\mathbf{w}_2}$$



is a line, called *decision boundary*, which partitions the plane into two decision regions

If a point/pattern $(\mathbf{x}_1, \mathbf{x}_2)$ is in the positive region, then

$\mathbf{b} + \mathbf{x}_1 \mathbf{w}_1 + \mathbf{x}_2 \mathbf{w}_2 \geq 0$, and the output is one (belongs to class one)

Otherwise, $\mathbf{b} + \mathbf{x}_1 \mathbf{w}_1 + \mathbf{x}_2 \mathbf{w}_2 < 0$, output -1 (belongs to class two)

$n = 2, b = 0, \theta \neq 0$ would result a similar partition

Hebb Nets

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- ▶ Hebb, in his influential book *The organization of Behavior* (1949), claimed
 - ▶ Behavior changes are primarily due to the changes of synaptic strengths (w_{ij}) between neurons i and j
 - ▶ w_{ij} increases only when both i and j are “on”: the **Hebbian learning law**
 - ▶ In ANN, Hebbian law can be stated: w_{ij} increases only if the outputs of both units x_i and y_j have the same sign.
 - ▶ In our simple network (one output and n input units)

$$w_{ij}(\text{new}) = w_{ij}(\text{old}) + x_i y$$

Hebb Nets

► Hebb net (supervised) learning algorithm (p.49)

```

Step 0. Initialization:  $b = 0$ ,  $w_i = 0$ ,  $i = 1$  to  $n$ 
Step 1. For each of the training sample  $s:t$  do steps 2 -4
        /*  $s$  is the input pattern,  $t$  the target output of the sample */
Step 2.    $x_i := s_i$ , ( $i = 1$  to  $n$ )           /* set  $s$  to input units */
Step 3.    $y := t$                                /* set  $y$  to the target */
Step 4.    $w_i := w_i + x_i * y$ , ( $i = 1$  to  $n$ ) /* update weight */
         $b := b + y$                                /* update bias */

```

Notes:

1) each training sample is used only once.

2) Weight change can be written $\Delta w_{ij} = x_i y$

$$w_{ij}(\text{new}) = w_{ij}(\text{old}) + \Delta w_{ij}$$

- Activation function If binary data : binary step function If bipolar data : bipolar sign function

Examples: AND function

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- ▶ Hebb net for **and function**: binary input and output

| Input | target | Weight changes | | | weights | | |
|-----------|--------|----------------|-------------|------------|---------|----|---|
| (x1,x2,1) | Y=t | $\Delta w1$ | $\Delta w2$ | Δb | w1 | w2 | b |
| | | | | | 0 | 0 | 0 |
| (1,1,1) | 1 | | | | | | |
| (1,0,1) | 0 | | | | | | |
| (0,1,1) | 0 | | | | | | |
| (0,0,1) | 0 | | | | | | |

↑
bias unit

Examples: AND function

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$$\Delta w_{ij} = x_i y$$

- Hebb net for **and function**: binary input and output

$$w_{ij}(\text{new}) = w_{ij}(\text{old}) + \Delta w_{ij}$$

| Input | target | Weight changes | | | weights | | |
|-----------|--------|----------------|-------------|------------|---------|----|---|
| (x1,x2,1) | Y=t | $\Delta w1$ | $\Delta w2$ | Δb | w1 | w2 | b |
| | | | | | 0 | 0 | 0 |
| (1,1,1) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| (1,0,1) | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| (0,1,1) | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| (0,0,1) | 0 | 0 | 0 | 0 | 1 | 1 | 1 |

↑
bias unit

Examples: AND function

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- ▶ Is it correctly learned after using each sample once?
- ▶ **Testing second pattern**
- ▶ $(1,0) : x_1=1 \text{ ,, } x_2=0 \text{ ,, } w_1=1 \text{ ,, } w_2=1 \text{ ,, } b=1 \text{ ,, } \theta=0$
- ▶ $x_1*w_1 + x_2*w_2 + b = 1 + 0 + 1 = 2 > \theta \rightarrow \text{output} = 1 \text{ which is wrong}$

Example 2: AND function

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- Bipolar units (1, -1)

| Input | target | Weight changes | | | weights | | |
|-----------|--------|----------------|-------------|------------|---------|----|----|
| (x1,x2,1) | Y=t | $\Delta w1$ | $\Delta w2$ | Δb | w1 | w2 | b |
| | | | | | 0 | 0 | 0 |
| (1,1,1) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| (1,-1,1) | -1 | -1 | 1 | -1 | 0 | 2 | 0 |
| (-1,1,1) | -1 | 1 | -1 | -1 | 1 | 1 | -1 |
| (-1,-1,1) | -1 | 1 | 1 | -1 | 2 | 2 | -2 |

Testing (1,1) : $x1=1$,,, $x2=1$,,, $w1=2$,, $w2=2$,, $b=-2$,, $\theta=0$
 $X1w1 + x2w2 + b = 2 + 2 - 2 = 2 > \theta \rightarrow \text{output} = 1$

Testing (1,-1) : $x1=1$,,, $x2=-1$,,, $w1=2$,, $w2=2$,, $b=-2$,, $\theta=0$
 $X1w1 + x2w2 + b = 2 - 2 - 2 = -2 < \theta \rightarrow \text{output} = -1$

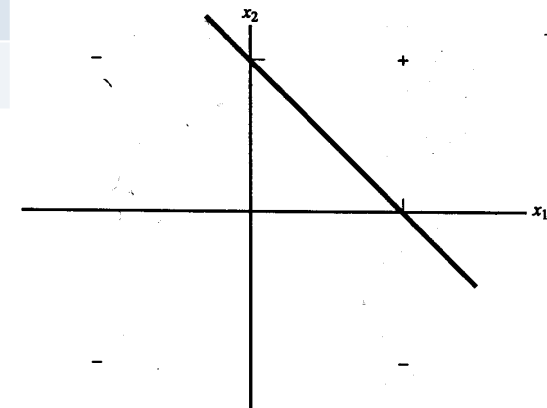
Example 2: AND function

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► Bipolar units (1, -1)

| Input (x1,x2,1) | target Y=t | Weight changes | | | weights | | |
|--------------------|---------------|----------------|-------------|------------|---------|----|----|
| | | $\Delta w1$ | $\Delta w2$ | Δb | w1 | w2 | b |
| | | | | | 0 | 0 | 0 |
| (1,1,1) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| (1,-1,1) | -1 | -1 | 1 | -1 | 0 | 2 | 0 |
| (-1,1,1) | -1 | 1 | -1 | -1 | 1 | 1 | -1 |
| (-1,-1,1) | -1 | 1 | 1 | -1 | 2 | 2 | -2 |

A correct boundary
 $-1 + x1 + x2 = 0$
is successfully learned



Testing (-1,1): $x1=-1$,,, $x2=1$,,, $w1=2$,, $w2=2$,, $b=-2$,, $\theta=0$
 $x1w1 + x2w2 + b = -2 + 2 - 2 = -2 < \theta \rightarrow \text{output} = -1$

Testing (-1,-1): $x1=-1$,,, $x2=-1$,,, $w1=2$,, $w2=2$,, $b=-2$,, $\theta=0$
 $x1w1 + x2w2 + b = -2 - 2 - 2 = -6 < \theta \rightarrow \text{output} = -1$

Examples: Character Recognition

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- Convert the patterns to input vectors by replace each # with 1 and . with -1

| | | |
|------------------|-----|------------------|
| # . . . # | | . # # # . |
| . # . # . | | # . . . # |
| . . # . . | and | # . . . # |
| . # . # . | | # . . . # |
| # . . . # | | . # # # . |
| Pattern 1 | | Pattern 2 |

ect. Pattern 1 then becomes

1 -1 -1 -1 1, -1 1 -1 1 -1, -1 -1 1 -1 -1, -1 1 -1 1 -1,
1 -1 -1 -1 1,

and pattern 2 becomes

-1 1 1 1 -1, 1 -1 -1 -1 1, 1 -1 -1 -1 1, 1 -1 -1 -1 1, -1 1 1 1 -1,

- The correct response for the first pattern is +1 and the second pattern is -1

Hebb Nets

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- ▶ It will fail to learn $x_1 \wedge x_2 \wedge x_3$, even though the function is linearly separable.
- ▶ Stronger learning methods are needed.
 - ▶ **Error driven:** for each sample $s:t$, compute y from s based on current W and b , then compare y and t
 - ▶ **Use training samples repeatedly**, and each time only change weights slightly ($\alpha \ll 1$)
 - ▶ Learning methods of Perceptron and Adaline are good examples

Perceptrons

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- ▶ By Rosenblatt (1958,1962)
 - ▶ Three layers of units: **S**ensory, **A**ssociation, and **R**esponse
 - ▶ Learning occurs only on weights from **A** units to **R** units (weights from **S** units to **A** units are fixed).
 - ▶ Simple perceptron used binary or bipolar activations for the sensory and associator units and activation of +1, 0 or -1 for response unit:

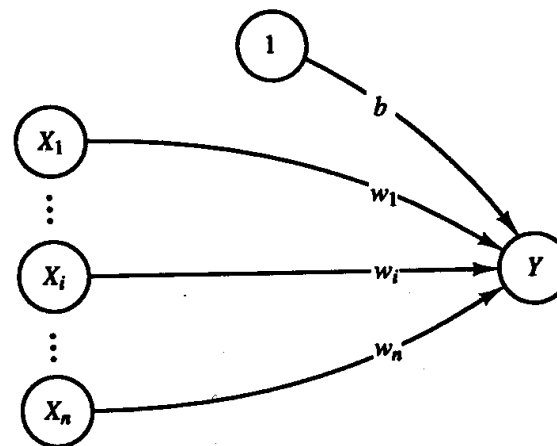
$$f(y_{in}) = \begin{cases} 1 & \text{if } y_{in} > \theta \\ 0 & \text{if } -\theta \leq y_{in} \leq \theta \\ -1 & \text{if } y_{in} < -\theta \end{cases}$$

- ▶ For a given training sample $s:t$, change weights only if the computed output y is different from the target output t (thus **error driven**)

Architecture for simple Perceptron

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- ▶ The output from the associator units was binary.
- ▶ Since only the weights from the associator units to the output unit could be adjusted, we limit our consideration to the single layer net.



Perceptron learning algorithm (p.62)

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Step 0. Initialization: $b = 0$, $w_i = 0$, $i = 1$ to n

Step 1. While stop condition is false do steps 2-5

Step 2. For each of the training sample $s:t$ do steps 3 -5

Step 3. $x_i := s_i$, $i = 1$ to n

Step 4. compute y

$$y_{in} = b + \sum x_i w_i$$

$$y = \begin{cases} 1 & , y_{in} > \theta \\ 0 & , -\theta \leq y_{in} \leq \theta \\ -1 & , y_{in} < -\theta \end{cases}$$

Step 5. If error occurs ($y \neq t$) update weight

$$w_i := w_i + \alpha * x_i * t, \quad i = 1 \text{ to } n$$

$$b := b + \alpha * t$$

Perceptrons

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- ▶ Notes:
 - ▶ Learning occurs only when a sample has $y \neq t$
 - ▶ Two loops, a completion of the inner loop (each sample is used once) is called an epoch
- ▶ Stop condition
 - ▶ When no weight is changed in the current epoch, or
 - ▶ When pre-determined number of epochs is reached

Examples: AND function

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$$w_i := w_i + \alpha * x_i * t$$

- ▶ Example and function : binary input and bipolar target $\alpha = 1$, $\theta = 0.2$
- ▶ First epoch

| Input | y_in | y | target | Weight changes | | | weights | | |
|-----------|------|----|--------|----------------|-------------|------------|---------|----|----|
| (x1,x2,1) | | | t | $\Delta w1$ | $\Delta w2$ | Δb | w1 | w2 | b |
| | | | | | | | 0 | 0 | 0 |
| (1,1,1) | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| (1,0,1) | 2 | 1 | -1 | -1 | 0 | -1 | 0 | 1 | 0 |
| (0,1,1) | 1 | 1 | -1 | 0 | -1 | -1 | 0 | 0 | -1 |
| (0,0,1) | -1 | -1 | -1 | 0 | 0 | 0 | 0 | 0 | -1 |

Examples: AND function

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► Second epoch

| Input | y_in | y | target | Weight changes | | | weights | | |
|-----------|------|----|--------|----------------|-------------|------------|---------|----|----|
| (x1,x2,1) | | | Y=† | $\Delta w1$ | $\Delta w2$ | Δb | w1 | w2 | b |
| | | | | | | | 0 | 0 | -1 |
| (1,1,1) | -1 | -1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |
| (1,0,1) | 1 | 1 | -1 | -1 | 0 | -1 | 0 | 1 | -1 |
| (0,1,1) | 0 | 0 | -1 | 0 | -1 | -1 | 0 | 0 | -2 |
| (0,0,1) | -2 | -1 | -1 | 0 | 0 | 0 | 0 | 0 | -2 |

► Tenth epoch

| Input | y_in | y | target | Weight changes | | | weights | | |
|-----------|------|----|--------|----------------|-------------|------------|---------|----|----|
| (x1,x2,1) | | | Y=† | $\Delta w1$ | $\Delta w2$ | Δb | w1 | w2 | b |
| | | | | | | | 2 | 3 | -4 |
| (1,1,1) | 1 | 1 | 1 | 0 | 0 | 0 | 2 | 3 | -4 |
| (1,0,1) | -2 | -1 | -1 | 0 | 0 | 0 | 2 | 3 | -4 |
| (0,1,1) | -1 | -1 | -1 | 0 | 0 | 0 | 2 | 3 | -4 |
| (0,0,1) | -4 | -1 | -1 | 0 | 0 | 0 | 2 | 3 | -4 |

Adaline(**A**daptive **L**inear Neuron)

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- ▶ By Widrow and Hoff (1960)
 - ▶ The same architecture of our simple network
 - ▶ *Usually uses bipolar activations for its input and output target.*
 - ▶ During training, the activation of the unit is its net input, i.e., the activation function is the identity function.
- ▶ Learning method: **delta rule** (another way of error driven), also called Widrow-Hoff learning rule
 - ▶ $\mathbf{b} := \mathbf{b} + \alpha * (\mathbf{t} - \mathbf{y_in})$
 - ▶ $\mathbf{w}_i := \mathbf{w}_i + \alpha * (\mathbf{t} - \mathbf{y_in}) * \mathbf{x}_i$
- ▶ Delta rule is a consequence of trying to reduce the squared error of an arbitrary training pattern.

Derivation of the delta rule

- ▶ Error for all P training samples: mean square error

$$E = \frac{1}{P} \sum_{p=1}^P (t(p) - y_{in}(p))^2 \quad \text{E is a function of } W = \{w_1, \dots, w_n\}$$

- ▶ Learning takes **gradient descent** approach to reduce E by modify W

- ▶ the gradient of E: $\nabla E = \left(\frac{\partial E}{\partial w_1}, \dots, \frac{\partial E}{\partial w_n} \right)$

- ▶ $\Delta w_i \propto -\frac{\partial E}{\partial w_i}$

$$\frac{d}{dx}[f(x)]^2 = 2f(x) \frac{d}{dx} f(x)$$

- ▶
$$\begin{aligned} \frac{\partial E}{\partial w_i} &= \left[\frac{2}{P} \sum_{p=1}^P (t(p) - y_{in}(p)) \right] \frac{\partial}{\partial w_i} (t(p) - y_{in}(p)) \\ &= - \left[\frac{2}{P} \sum_{p=1}^P (t(p) - y_{in}(p)) \right] x_i \end{aligned}$$

- ▶ There for
$$\Delta w_i \propto -\frac{\partial E}{\partial w_i} = \left[\frac{2}{P} \sum_{p=1}^P (t(p) - y_{in}(p)) \right] x_i$$

Adaline algorithm

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Step 0. Initialize weights.
(Small random values are usually used.)
Set learning rate α .
(See comments following algorithm.)

Step 1. While stopping condition is false, do Steps 2–6.

Step 2. For each bipolar training pair $s:t$, do Steps 3–5.

Step 3. Set activations of input units, $i = 1, \dots, n$:

$$x_i = s_i.$$

→ *Step 4.* Compute net input to output unit:

$$y_in = b + \sum_i x_i w_i.$$

→ *Step 5.* Update bias and weights, $i = 1, \dots, n$:

$$b(\text{new}) = b(\text{old}) + \alpha(t - y_in).$$

$$w_i(\text{new}) = w_i(\text{old}) + \alpha(t - y_in)x_i.$$

Step 6. Test for stopping condition:
If the largest weight change that occurred in Step 2 is smaller than a specified tolerance, then stop; otherwise continue.

MADALine [1960,1987]

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- ▶ MADALine consists of many Adaline neurons arranged in a multilayer net.
- ▶ There are two training algorithms (MR and MRII).
 - ▶ In MR, only weights for the hidden Adalines (Z_1 & Z_2) are adjusted, the weights for the output unit are fixed.
 - ▶ In MRII, all the weights in the net are adjusted.
- ▶ Thresholding activation function is used during training and testing.

$$f(x) = \begin{cases} 1 & \text{if } x \geq 0; \\ -1 & \text{if } x < 0. \end{cases}$$

