## **Key Points**

- Research suggests that training AI models, especially large language models (LLMs)
   like GPT-3, requires various parameters that influence performance and efficiency.
- It seems likely that key parameters include model architecture (e.g., number of layers, hidden size) and training settings (e.g., learning rate, batch size), with specific values varying by model size.
- The evidence leans toward these parameters affecting model accuracy, training speed, and resource use, with some values assumed based on standard practices due to limited public data.

### Introduction

Training AI models, particularly large language models (LLMs) like GPT-3, involves a combination of model architecture parameters and training hyperparameters. These parameters are crucial for determining how well the model learns, performs, and generalizes to new tasks. Below, we outline the key parameters and their effects, providing specific examples from GPT-3 where possible.

#### **Model Architecture Parameters**

The structure of the model itself, such as the number of layers and the size of hidden states, plays a significant role in its capacity to learn complex patterns.

- Number of Layers: This determines the depth of the model, with more layers
  potentially capturing more intricate patterns but risking overfitting and higher
  computational costs.
- **Hidden Size**: The dimensionality of hidden states affects how detailed the representations can be, requiring more memory and computation for larger sizes.
- **Number of Attention Heads**: This influences how the model focuses on different parts of the input, with more heads increasing complexity but potentially improving performance.
- **Feed-forward Size**: Typically four times the hidden size, this parameter enhances capacity but adds to the parameter count.
- **Vocabulary Size**: Determines the number of unique tokens, impacting the embedding layer's size and the model's ability to represent diverse words.

 Maximum Sequence Length: Affects how much context the model can process, with longer lengths requiring more memory but providing richer context.

## **Training Hyperparameters**

These settings control the training process, influencing how the model learns from data and converges to optimal performance.

- Optimizer: Typically Adam, which adapts learning rates for faster convergence.
- Learning Rate: Controls update step size, critical for balancing speed and stability.
- **Learning Rate Schedule**: Often involves cosine annealing with warmup, adjusting the rate over time for better optimization.
- **Batch Size**: The number of sequences processed at once, affecting gradient estimates and memory use.
- **Number of Training Tokens:** The total data volume, impacting performance but requiring significant computation.
- Weight Decay: Regularizes by penalizing large weights, helping prevent overfitting.
- **Gradient Clipping**: Limits gradient values to stabilize training and prevent exploding gradients.
- **Dropout Rate**: Randomly drops units during training to reduce overfitting, typically set at 0.1 for transformers.

# **Specific Values for GPT-3 (175B Parameters)**

For the largest GPT-3 model, specific values include:

- Architecture: 96 layers, hidden size of 12288, 96 attention heads, feed-forward size of 49152, vocabulary size of 50257, and maximum sequence length of 2048.
- Training: Adam optimizer, learning rate of 0.6 × 10<sup>-4</sup>, batch size of 3.2 million tokens, 300 billion training tokens, with assumed dropout rate of 0.1, weight decay of 0.01, and gradient clipping of 1.0.

# Survey Note: Comprehensive Analysis of Parameters for Training AI Models, Especially LLMs

This section provides a detailed examination of the parameters required to train AI models, with a focus on large language models (LLMs) like GPT-3, and how each parameter affects

the model. The analysis is grounded in available research and resources, acknowledging where assumptions are made due to limited public data.

#### **Background and Context**

Training AI models, particularly LLMs, involves optimizing a complex interplay of model architecture and training hyperparameters. These parameters determine the model's ability to learn from vast datasets, generalize to new tasks, and perform efficiently. Given the scale of models like GPT-3, with 175 billion parameters, understanding these parameters is crucial for researchers and practitioners. The following analysis draws on information from various sources, including academic papers, blog posts, and technical documentation, to ensure a comprehensive overview.

#### **Model Architecture Parameters**

The architecture of an LLM defines its structural capacity to process and generate language. These parameters are set before training and influence the model's complexity and performance.

- Number of Layers (n\_layers): This parameter indicates the depth of the transformer model, with each layer adding to the model's ability to process hierarchical features. For GPT-3, the 175B model has 96 layers. More layers can capture complex patterns, but they may lead to overfitting, especially with limited data, and increase computational cost, making training slower and more resource-intensive.
- Hidden Size (d\_model): The dimensionality of the hidden states, set at 12288 for GPT-3's largest model, determines how richly the model can represent input features. Larger hidden sizes allow for more detailed representations, enabling better capture of semantic and syntactic relationships, but they require significantly more memory and computational power, potentially limiting scalability on standard hardware.
- Number of Attention Heads (n\_heads): For GPT-3, this is 96, reflecting the number
  of parallel attention mechanisms in each layer. Each head focuses on different
  aspects of the input, enhancing the model's ability to attend to various parts of the
  context simultaneously. Increasing the number of heads can improve performance
  on tasks requiring diverse attention, but it also increases computational
  complexity, potentially leading to diminishing returns.

- Feed-forward Size (d\_ff): Typically set to four times the hidden size (49152 for GPT-3's largest model), this parameter defines the dimensionality of the feed-forward networks within each transformer block. Larger values enhance the model's capacity to process non-linear transformations, improving performance on complex tasks, but they also increase the parameter count, exacerbating memory and computation demands.
- Vocabulary Size: For GPT-3, assumed to be 50257 based on GPT-2, this is the
  number of unique tokens the model can recognize. A larger vocabulary allows the
  model to represent a broader range of words and subwords, improving coverage of
  rare terms, but it increases the size of the embedding layer, adding to memory
  usage and potentially slowing training.
- Maximum Sequence Length: Set at 2048 for GPT-3, this parameter limits the
  context window the model can process in one pass. Longer sequences enable the
  model to capture extended dependencies, beneficial for tasks like long document
  summarization, but they require more memory, potentially limiting batch size and
  increasing training time.

#### **Training Hyperparameters**

These settings control the optimization process during training, directly impacting how the model learns from data and converges to optimal performance.

- **Optimizer:** Research suggests that GPT-3 uses the Adam optimizer, known for its adaptive learning rate properties, which adjust based on running averages of recent gradients. This helps in faster convergence, especially for large models, by adapting to the scale of each parameter, but it can be sensitive to hyperparameter choices like betas and epsilon.
- **Learning Rate:** For GPT-3, the learning rate varies with model size, with 0.6 × 10<sup>-4</sup> for the 175B model, down from 6.0 × 10<sup>-4</sup> for the 125M model. It controls the step size for weight updates, crucial for balancing speed and stability. A higher learning rate can speed up training but risk divergence, while a lower rate ensures stability but may slow convergence, requiring careful tuning.
- Learning Rate Schedule: It seems likely that GPT-3 uses a cosine annealing schedule with warmup, similar to practices in GPT-2, where the learning rate starts low, increases to a peak, then decreases. This approach, with a warmup ratio of around 0.01–0.05, helps stabilize early training and improve final performance by allowing the model to explore the loss landscape effectively, though exact details for GPT-3 are not publicly detailed.

- **Batch Size:** For GPT-3, this is set in millions of tokens, with 3.2M for the 175B model, up from 0.5M for the 125M model. Larger batch sizes provide better gradient estimates, potentially leading to more stable training, but they require significant memory, limiting scalability on standard hardware and potentially affecting generalization if too large.
- Number of Training Tokens: GPT-3 was trained on 300 billion tokens, a vast
  dataset that enhances performance by exposing the model to diverse language
  patterns. More tokens can improve generalization and reduce overfitting, but they
  require substantial computational resources, with training costs estimated at
  millions of dollars, as seen in reports like OpenAI's GPT-3 Language Model: A
  Technical Overview.
- **Weight Decay:** Assumed at 0.01 based on GPT-2 practices, this adds a penalty to large weights, helping prevent overfitting by encouraging smaller, more generalizable weights. It balances model complexity and fit, but its effect can vary, potentially requiring tuning for different tasks or datasets.
- **Gradient Clipping:** Assumed at 1.0, this limits the maximum gradient value to prevent exploding gradients, stabilizing training by avoiding large updates that can destabilize the model. It ensures robustness, especially for deep models, but may slow convergence if set too low, requiring careful calibration.
- **Dropout Rate:** Assumed at 0.1, based on GPT-1 and GPT-2 practices, this is the probability of dropping units during training to prevent co-adaptation. It reduces overfitting by ensuring the model does not rely on specific neurons, improving generalization, but too high a rate can underfit, especially for smaller models, necessitating careful tuning.

#### **Specific Values and Assumptions**

For GPT-3's largest model (175B parameters), specific values include:

- **Architecture:** 96 layers, hidden size of 12288, 96 attention heads, feed-forward size of 49152, vocabulary size of 50257, and maximum sequence length of 2048, derived from <a href="How does GPT-3">How does GPT-3</a> spend its 175B parameters?.
- **Training:** Adam optimizer, learning rate of 0.6 × 10<sup>-4</sup>, batch size of 3.2 million tokens, 300 billion training tokens, with assumed dropout rate of 0.1, weight decay of 0.01, and gradient clipping of 1.0, based on standard practices and GPT-2 details from Pretraining GPT-2 From Scratch.

These parameters collectively shape the training process, with effects on accuracy, training speed, and resource use. The assumptions made reflect standard practices in transformer training, given the limited public disclosure of exact GPT-3 hyperparameters, highlighting the need for further research into optimal settings.

## **Key Citations**

- Language Models are Few-Shot Learners
- OpenAI's GPT-3 Language Model: A Technical Overview
- How does GPT-3 spend its 175B parameters?
- Pretraining GPT-2 From Scratch