# Procesamiento de Lenguaje Natural

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## Tabla de contenidos

Pr	Prefacio				
No	otación	5			
1	Introducción	6			
2	Manejando Texto Paquetes usados				
	2.1 Normalización de Texto         2.2 Entidades         2.2.1 Usuarios         2.2.2 URL         2.2.3 Números	. 8 . 8			
	2.3 Ortografía	. 9 . 9 . 9			
	2.4 Normalización Semántica	. 10 . 11			
	2.5       Tokenization         2.5.1       n-grams         2.5.2       q-grams	. 12 . 12 . 13			
3	2.6 TextModel	. 13 <b>16</b>			
4	Clasificación de Texto Paquetes usados 4.1 Introducción . 4.2 Modelado Probabilistico (Distribución Categórica) 4.2.1 Problema Sintético . 4.2.2 Clasificador de Texto . 4.3 Modelado Vectorial .	17 . 17 . 17 . 18 . 18 . 20			
5	Representación de Texto	24			

6	Mezcla de Modelos	25
7	Tareas de Clasificación de Texto	26
8	Bases de Conocimiento	27
9	Visualización	28
10	Conclusiones	29
Re	Referencias	

### **Prefacio**

El curso trata de ser auto-contenido, es decir, no debería de ser necesario leer otras fuentes para poder entenderlo y realizar las actividades. De cualquier manera es importante comentar que el curso está basado en los siguientes libros de texto:

- Speech and Language Processing. An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition. Third Edition draft. Daniel Jurafsky and James H. Martin. pdf
- Introduction to machine learning, Third Edition. Ethem Alpaydin. MIT Press.
- An Introduction to Statistical Learning with Applications in R. Gareth James, Daniela Witten, Trevor Hastie, and Robert Tibshirani. Springer Texts in Statistics.
- All of Statistics. A Concise Course in Statistical Inference. Larry Wasserman. MIT Press.
- An Introduction to the Bootstrap. Bradley Efron and Robert J. Tibshirani. Monographs on Statistics and Applied Probability 57. Springer-Science+Business Media.
- Understanding Machine Learning: From Theory to Algorithms. Shai Shalev-Shwartz and Shai Ben-David. Cambridge University Press.

## Notación

La Tabla 1 muestra la notación que se seguirá en este documento.

Tabla 1: Notación

Símbolo	Significado
$\overline{x}$	Variable usada comunmente como entrada
y	Variable usada comunmente como salida
$\mathbb{R}$	Números reales
x	Vector Columna $\mathbf{x} \in \mathbb{R}^d$
d	Dimensión
$\mathbf{w} \cdot \mathbf{x}$	Producto punto donde $\mathbf{w}$ y $\mathbf{x} \in \mathbb{R}^d$
$\mathcal{D}$	Conjunto de datos
${\mathcal T}$	Conjunto de entrenamiento
$\mathcal{V}$	Conjunto de validación
$\mathcal G$	Conjunto de prueba
N	Número de ejemplos
K	Número de clases
$\mathbb{P}(\cdot)$	Probabilidad
$\mathcal{X}, \mathcal{Y}$	Variables aleatorías
$\mathcal{N}(\mu, \sigma^2)$	Distribución Normal con parámetros $\mu$ y $\sigma^2$
$f_{\mathcal{X}}$	Función de densidad de probabilidad de $\mathcal X$
$\mathbb{1}(e)$	Función para indicar; 1 only if $e$ is true
$\Omega$	Espacio de búsqueda
$\mathbb{V}$	Varianza
E	Esperanza

## 1 Introducción

### 2 Manejando Texto

El **objetivo** de la unidad es

#### Paquetes usados

```
from microtc.params import OPTION_GROUP, OPTION_DELETE, OPTION_NONE
from microtc.textmodel import SKIP_SYMBOLS
from b4msa.textmodel import TextModel
from b4msa.lang_dependency import LangDependency
from nltk.stem.snowball import SnowballStemmer
import unicodedata
import re
```

#### 2.1 Normalización de Texto

Se podría suponer que el texto se que se analizará está bien escrito y tiene un formato adecuado para su procesamiento. Desafortunadamente, la realidad es que en la mayoría de aplicaciones el texto que se analiza tiene errores de ortográficos, errores de formato y además no es trivial identificar la unidad mínima de procesamiento que podría ser de manera natural, en el español, las palabras. Por este motivo, esta unidad trata técnicas comunes que se utilizan para normalizar el texto, esta normalización es un proceso previo al desarrollo de los algoritmos de PLN.

La normalización descritas en esta unidad se basan principalmente en las utilizadas en los siguientes artículos científicos.

- 1. An automated text categorization framework based on hyperparameter optimization (Tellez et al. (2018))
- 2. A simple approach to multilingual polarity classification in Twitter (Tellez, Miranda-Jiménez, Graff, Moctezuma, Suárez, et al. (2017))
- 3. A case study of Spanish text transformations for twitter sentiment analysis (Tellez, Miranda-Jiménez, Graff, Moctezuma, Siordia, et al. (2017))

#### 2.2 Entidades

La descripción de diferentes técnicas de normalización empieza con el manejo de entidades en el texto. Algunas entidades que se tratarán serán los nombres de usuario, números o URLs mencionados en un texto. Por otro lado están las acciones que se realizarán a las entidades encontradas, estas acciones corresponden a su borrado o remplazo por algún otro toquen.

#### 2.2.1 Usuarios

En esta sección se trabajará con los nombres de usuarios que siguen el formato usado por Twitter. En un tuit, los nombres de usuarios son aquellas palabras que inician con el caracter @ y terminan con un espacio o caracter terminal. Las acciones que se realizarán con los nombres de usuario encontrados serán su borrado o reemplazo por una etiqueta en particular.

El procedimiento para encontrar los nombres de usuarios es mediante expresiones regulares, en particular se usa la expresión @\S+, tal y como se muestra en el siguiente ejemplo.

```
text = 'Hola @xx, @mm te está buscando'
re.sub(r"@\S+", "", text)
```

'Hola te está buscando'

La segunda acción es reemplazar cada nombre de usuario por una etiqueta particular, en el siguiente ejemplo se reemplaza por la etiqueta \_usr.

```
text = 'Hola @xx, @mm te está buscando'
re.sub(r"@\S+", "_usr", text)
```

'Hola \_usr \_usr te está buscando'

#### 2.2.2 URL

Los ejemplos anteriores se pueden adaptar para manejar URL; solamente es necesario adecuar la expresión regular que identifica una URL. En el siguiente ejemplo se muestra como se pueden borrar las URLs que aparecen en un texto.

```
text = "puedes verificar que http://google.com esté funcionando"
re.sub(r"https?://\S+", "", text)
```

'puedes verificar que esté funcionando'

#### 2.2.3 Números

The previous code can be modified to deal with numbers and replace the number found with a shared label such as \_num.

```
text = "we have won 10 M"
re.sub(r"\d\d*\.?\d*|\d*\.\d\d*", "_num", text)
```

```
'we have won _num M'
```

### 2.3 Ortografía

El siguiente bloque de normalizaciones agrupa aquellas modificaciones que se realizan a algún componente de tal manera que aunque impacta en su ortografía puede ser utilizado para reducir la dimensión y se ve reflejado en la complejidad del algoritmo.

#### 2.3.1 Mayúsculas y Minúsculas

La primera de estas transformaciones es convertir todas los caracteres a minúsculas. Como se puede observar esta transformación hace que el vocabulario se reduzca, por ejemplo, las palabras  $M\acute{e}xico$  o  $M\acute{e}XICO$  son representados por la palabra  $m\acute{e}xico$ . Esta operación se puede realizar con la función lower tal y cómo se muestra a continuación.

```
text = "México"
text.lower()
```

#### 2.3.2 Signos de Puntuación

Los signos de puntuación son necesarios para tareas como la generación de textos, pero existen otras aplicaciones donde los signos de puntuación tienen un efecto positivo en el rendimiento del algorithm, este es el caso de tareas de categorización de texto. El efecto que tiene el quitar los signos de puntuación es que el vocabulario se reduce. Los símbolos de puntuación se pueden remover teniendo una lista de los mismos, esta lista de signos de puntuación se encuentra en la variable SKIP\_SYMBOLS y el siguiente código muestra un procedimiento para quitarlos.

<sup>&#</sup>x27;méxico'

```
text = "¡Hola! buenos días:"
output = ""
for x in text:
   if x in SKIP_SYMBOLS:
        continue
   output += x
output
```

'Hola buenos días'

#### 2.3.3 Símbolos Diacríticos

Continuando con la misma idea de reducir el vocabulario, es común eliminar los símbolos diacríticos en las palabras. Esta transformación también tiene el objetivo de normalizar aquellos textos informales donde los símbolos diacríticos son usado con una menor frecuencia, en particular los acentos en el caso del español. Por ejemplo, es común encontrar la palabra *México* escrita como *Mexico*.

El siguiente código muestra un procedimiento para eliminar los símbolos diacríticos.

```
text = 'México'
output = ""
for x in unicodedata.normalize('NFD', text):
    o = ord(x)
    if 0x300 <= o and o <= 0x036F:
        continue
    output += x
output</pre>
```

'Mexico'

#### 2.4 Normalización Semántica

Las siguientes normalizaciones comparten el objetivo con las normalizaciones presentadas hasta este momento, el cual es la reducción del vocabulario; la diferencia es que las siguientes utilizan el significado o uso de la palabra.

#### 2.4.1 Palabras Vacías

Las palabras vacías (*stop words*) son palabras utilizadas frecuentemente en el lenguaje, las cuales son necesarias para comunicación, pero no aportan información para discriminar un texto de acuerdo a su significado.

The stop words are the most frequent words used in the language. These words are essential to communicate but are not so much on tasks where the aim is to discriminate texts according to their meaning.

Las palabras vacías se pueden guardar en un diccionario y el proceso de identificación consiste en buscar la existencia de la palabra en el diccionario. Una vez que la palabra analizada se encuentra en el diccionario, se procede a quitarla o cambiarla por un token particular. El proceso de borrado se muestra en el siguiente código.

```
lang = LangDependency('spanish')

text = '¡Buenos días! El día de hoy tendremos un día cálido.'

output = []

for word in text.split():
    if word.lower() in lang.stopwords[len(word)]:
        continue
    output.append(word)

output = " ".join(output)

output
```

#### 2.4.2 Lematización y Reducción a la Raíz

La idea de lematización y reducción a la raíz es transformar una palabra a su raíz mediante un proceso heurístico o morfológico. Por ejemplo, las palabras *jugando* o *jugaron* se transforman a la palabra *jugar*.

El siguiente código muestra el proceso de reducción a la raíz utilizando la clase SnowballStemmer.

```
stemmer = SnowballStemmer('spanish')

text = 'Estoy jugando futbol con mis amigos'
output = []
for word in text.split():
```

<sup>&#</sup>x27;¡Buenos días! día hoy día cálido.'

```
w = stemmer.stem(word)
  output.append(w)
output = " ".join(output)
output
```

'estoy jug futbol con mis amig'

#### 2.5 Tokenization

Once the text has been normalized, it is time to transform it into its fundamental elements, which could be words, bigrams, n-grams, substrings, or a combination of them; this process is known as tokenization. Different methods can be applied to tokenize a text, the one used is so far is to transform a text into a list of words where the word is surrounded by space or non-printable characters. The decision of which tokenizer to use depends on the application; for example, in order to generate text, it is crucial to learn the punctuation symbols, so these symbols are tokens. On the other hand, in the text categorization problem, where the task is to classify a text, it might be irrelevant to keep the order of the words.

#### 2.5.1 n-grams

The first tokenizer review corresponds to transforming the text into words, bigrams, and in general, n-grams. The case of words is straightforward using the function split; once the words have been obtained, these can be combined to form an n-gram of any size, as shown below.

```
['I~like~playing',
  'like~playing~football',
  'playing~football~on',
  'football~on~Saturday']
```

#### 2.5.2 q-grams

The q-gram tokenizer complements the n-grams one; it is defined as the substring of length q. The q-grams have two relevant features; the first one is that they are language agnostic consequently can be applied to any language, and the second is that they tackle the misspelling problem from an approximate matching perspective.

The code is equivalent to the one used to compute n-grams, being the difference that the iteration is on characters instead of words.

```
text = 'I like playing'
q = 4
q_grams = []
for a in zip(*[text[i:] for i in range(q)]):
        q_grams.append("".join(a))
q_grams
```

```
['I li',
    'lik',
    'like',
    'ike ',
    'ke p',
    'e pl',
    'play',
    'layi',
    'ayin',
    'ying']
```

#### 2.6 TextModel

The class TextModel of the library B4MSA contains the text normalization and tokenizers described and can be used as follows.

The first step is to instantiate the class given the desired parameters. The Entity parameters have three options to delete (OPTION\_DELETE) the entity, replace (OPTION\_GROUP) it with a predefined token, or do not apply that operation (OPTION\_NONE). These parameters are:

- usr\_option
- url option
- num\_option

The class has three additional transformation which are:

- emo option
- hashtag\_option
- ent\_option

The Spelling transformations can be triggered with the following keywords:

- lc
- del\_punc
- del diac

which corresponds to lower case, punctuation, and diacritic.

The Semantic normalizations are set up with the parameters:

- stopwords
- stemming

Finally, the tokenizer is configured with the token\_list parameter, which has the following format; negative numbers indicate n-grams and positive numbers q-grams.

For example, the following code invokes the text normalization algorithm; the only difference is that spaces are replaced with  $\sim$ .

```
'~i~like~play~fotbal~with~_usr~'
```

On the other hand, the tokenizer is used as follows.

```
['i',
 'like',
 'play',
 'fotbal',
 'with',
 '_usr',
 'q:~i~li',
 'q:i~lik',
 'q:~like',
 'q:like~',
 'q:ike~p',
 'q:ke~pl',
 'q:e~pla',
 'q:~play',
 'q:play~',
 'q:lay~f',
 'q:ay~fo',
 'q:y~fot',
 'q:~fotb',
 'q:fotba',
 'q:otbal',
 'q:tbal~',
 'q:bal~w',
 'q:al~wi',
 'q:l~wit',
 'q:~with',
 'q:with~',
 'q:ith~_',
 'q:th~_u',
 'q:h~_us',
 'q:~_usr',
 'q:_usr~']
```

It can be observed that all q-grams start with the prefix q:.

# 3 Modelado de Lenguaje

### 4 Clasificación de Texto

El **objetivo** de la unidad es

#### Paquetes usados

```
from microtc.utils import tweet_iterator, load_model, save_model
from b4msa.textmodel import TextModel
from EvoMSA.tests.test_base import TWEETS
from EvoMSA.utils import bootstrap_confidence_interval
from sklearn.model_selection import StratifiedKFold
from sklearn.metrics import recall_score, precision_score, f1_score
from sklearn.naive_bayes import MultinomialNB
from scipy.stats import norm, multinomial, multivariate_normal
from scipy.special import logsumexp
from collections import Counter
from matplotlib import pylab as plt
from os.path import join
import numpy as np
```

#### 4.1 Introducción

Text Categorization is an NLP task that deals with creating algorithms capable of identifying the category of a text from a set of predefined categories. For example, sentiment analysis belongs to this task, and the aim is to detect the polarity (e.g., positive, neutral, or negative) of a text. Furthermore, different NLP tasks that initially seem unrelated to this problem can be formulated as a classification one such as question answering and sentence entailment, to mention a few.

Text Categorization can be tackled from different perspectives; the one followed here is to treat it as a supervised learning problem. As in any supervised learning problem, the starting point is a set of pairs, where the first element of the pair is the input and the second one corresponds to the output. Let  $\mathcal{D} = \{(\text{text}_i, y_i) \mid i = 1, \dots, N\}$  where  $y \in \{c_1, \dots c_K\}$  and  $\text{text}_i$  is a text.

### 4.2 Modelado Probabilistico (Distribución Categórica)

#### 4.2.1 Problema Sintético

The description of Bayes' theorem continues with an example of a Categorical distribution. A Categorical distribution can simulate the drawn of K events that can be encoded as characters, and  $\ell$  repetitions can be represented as a sequence of characters. Consequently, the distribution can illustrate the generation sequences associated with different classes, e.g., positive or negative.

The first step is to create the dataset. As done previously, two distributions are defined, one for each class; it can be observed that each distribution has different parameters. The second step is to sample these distributions; the distributions are sampled 1000 times with the following procedure. Each time, a random variable representing the number of outcomes taken from each distribution is drawn from a Normal  $\mathcal{N}(15,3)$  and stored in the variable length. The random variable indicates the number of outcomes for each Categorical distribution; the results are transformed into a sequence, associated to the label corresponding to the positive and negative class, and stored in the list D.

```
pos = multinomial(1, [0.20, 0.20, 0.35, 0.25])
neg = multinomial(1, [0.35, 0.20, 0.25, 0.20])
length = norm(loc=15, scale=3)
D = []
m = {k: chr(122 - k) for k in range(4)}
id2w = lambda x: " ".join([m[_] for _ in x.argmax(axis=1)])
for l in length.rvs(size=1000):
    D.append((id2w(pos.rvs(round(1))), 1))
    D.append((id2w(neg.rvs(round(1))), 0))
```

The following table shows four examples of this process; the first column contains the sequence, and the second the associated label.

Text	Label
x w x x z w y	positive
y w z z z x w	negative
z x x x z x z w x w	positive
x w z w y z z z z w	negative

As done previously, the first step is to compute the likelihood given that dataset; considering that the data comes from a Categorical distribution, the procedure to estimate the parameters is similar to the ones used to estimate the prior. The following code estimates the data

parameters corresponding to the positive class. It can be observed that the parameters estimated are similar to the ones used to generate the dataset.

```
D_pos = []
[D_pos.extend(data.split()) for data, k in D if k == 1]
words, l_pos = np.unique(D_pos, return_counts=True)
w2id = {v: k for k, v in enumerate(words)}
l_pos = l_pos / l_pos.sum()
l_pos
array([0.25489421, 0.33854064, 0.20773186, 0.1988333])
```

An equivalent procedure is performed to calculate the likelihood of the negative class.

```
D_neg = []
[D_neg.extend(data.split()) for data, k in D if k == 0]
_, l_neg = np.unique(D_neg, return_counts=True)
l_neg = l_neg / l_neg.sum()
```

The prior is estimated with the following code, equivalent to the one used on all the examples seen so far.

```
_, priors = np.unique([k for _, k in D], return_counts=True)

N = priors.sum()

prior_pos = priors[1] / N

prior_neg = priors[0] / N
```

Once the parameters have been identified, these can be used to predict the class of a given sequence. The first step is to compute the likelihood, e.g.,  $\mathbb{P}(\mathbf{w} \ \mathbf{w} \ \mathbf{z} | \ \mathcal{Y})$ . It can be observed that the sequence needs to be transformed into tokens which can be done with the **split** method. Then, the token is converted into an index using the mapping **w2id**; once the index is retrieved, it can be used to obtain the parameter associated with the word. The likelihood is the product of all the probabilities; however, this product is computed in log space.

```
def likelihood(params, txt):
    params = np.log(params)
    _ = [params[w2id[x]] for x in txt.split()]
    tot = sum(_)
    return np.exp(tot)
```

The likelihood combined with the prior for all the classes produces the evidence, which subsequently is used to calculate the posterior distribution. The posterior is then used to predict the class for all the sequences in  $\mathcal{D}$ . The predictions are stored in the variable hy.

```
post_pos = [likelihood(l_pos, x) * prior_pos for x, _ in D]
post_neg = [likelihood(l_neg, x) * prior_neg for x, _ in D]
evidence = np.vstack([post_pos, post_neg]).sum(axis=0)
post_pos /= evidence
post_neg /= evidence
hy = np.where(post_pos > post_neg, 1, 0)
```

#### 4.2.2 Clasificador de Texto

The approach followed on text categorization is to treat it as supervised learning problem where the starting point is a dataset  $\mathcal{D} = \{(\text{text}_i, y_i) \mid i = 1, ..., N\}$  where  $y \in \{c_1, ..., c_K\}$  and  $\text{text}_i$  is a text. For example, the next code uses a toy sentiment analysis dataset with four classes: negative (N), neutral (NEU), absence of polarity (NONE), and positive (P).

```
D = [(x['text'], x['klass']) for x in tweet_iterator(TWEETS)]
```

As can be observed,  $\mathcal{D}$  is equivalent to the one used in the Categorical Distribution example. The difference is that sequence of letters is changed with a sentence. Nonetheless, a feasible approach is to obtain the tokens using the split method. Another approach is to retrieve the tokens using a Tokenizer, as covered in the Text Normalization Section.

The following code uses the TextModel class to tokenize the text using words as the tokenizer; the tokenized text is stored in the variable D.

```
tm = TextModel(token_list=[-1])
tok = tm.tokenize
D = [(tok(x), y) for x, y in D]
```

Before estimating the likelihood parameters, it is needed to encode the tokens using an index; by doing it, it is possible to store the parameters in an array and compute everything numpy operations. The following code encodes each token with a unique index; the mapping is in the dictionary w2id.

```
words = set()
[words.update(x) for x, y in D]
w2id = {v: k for k, v in enumerate(words)}
```

Previously, the classes have been represented using natural numbers. The positive class has been associated with the number 1, whereas the negative class with 0. However, in this dataset, the classes are strings. It was decided to encode them as numbers to facilitate subsequent

operations. The encoding process can be performed simultaneously with the estimation of the prior of each class. Please note that the priors are stored using the logarithm in the variable priors.

```
uniq_labels, priors = np.unique([k for _, k in D], return_counts=True)
priors = np.log(priors / priors.sum())
uniq_labels = {str(v): k for k, v in enumerate(uniq_labels)}
```

It is time to estimate the likelihood parameters for each of the classes. It is assumed that the data comes from a Categorical distribution and that each token is independent. The likelihood parameters can be stored in a matrix (variable  $1\_tokens$ ) with K rows, each row contains the parameters of the class, and the number of columns corresponds to the vocabulary's size. The first step is to calculate the frequency of each token per class which can be done with the following code.

```
l_tokens = np.zeros((len(uniq_labels), len(w2id)))
for x, y in D:
    w = l_tokens[uniq_labels[y]]
    cnt = Counter(x)
    for i, v in cnt.items():
        w[w2id[i]] += v
```

The next step is to normalize the frequency. However, before normalizing it, it is being used a Laplace smoothing with a value 0.1. Therefore, the constant 0.1 is added to all the matrix elements. The next step is to normalize (second line), and finally, the parameters are stored using the logarithm.

```
l_tokens += 0.1
l_tokens = l_tokens / np.atleast_2d(l_tokens.sum(axis=1)).T
l_tokens = np.log(l_tokens)
```

#### 4.2.2.1 Prediction

Once all the parameters have been estimated, it is time to use the model to classify any text. The following function computes the posterior distribution. The first step is to tokenize the text (second line) and compute the frequency of each token in the text. The frequency stored in the dictionary cnt is converted into the vector x using the mapping function w2id. The final step is to compute the product of the likelihood and the prior. The product is computed in log-space; thus, this is done using the likelihood and the prior sum. The last step is to compute the evidence and normalize the result; the evidence is computed with the function logsumexp.

The posterior function can predict all the text in  $\mathcal{D}$ ; the predictions are used to compute the model's accuracy. In order to compute the accuracy, the classes in  $\mathcal{D}$  need to be transformed using the nomenclature of the likelihood matrix and priors vector; this is done with the uniq\_labels dictionary (second line).

```
hy = np.array([posterior(x).argmax() for x, _ in D])
y = np.array([uniq_labels[y] for _, y in D])
(y == hy).mean()
0.974
```

#### **4.2.2.2 Training**

Solving supervised learning problems requires two phases; one is the training phase, and the other is the prediction. The posterior function handles the later phase, and it is missing to organize the code described in a training function. The following code describes the training function; it requires the dataset's parameters and an instance of TextModel.

```
def training(D, tm):
    tok = tm.tokenize
    D =[(tok(x), y) for x, y in D]
    words = set()
    [words.update(x) for x, y in D]
    w2id = {v: k for k, v in enumerate(words)}
    uniq_labels, priors = np.unique([k for _, k in D], return_counts=True)
    priors = np.log(priors / priors.sum())
    uniq_labels = {str(v): k for k, v in enumerate(uniq_labels)}
    l_tokens = np.zeros((len(uniq_labels), len(w2id)))
    for x, y in D:
        w = l_tokens[uniq_labels[y]]
```

```
cnt = Counter(x)
for i, v in cnt.items():
    w[w2id[i]] += v

l_tokens += 0.1

l_tokens = l_tokens / np.atleast_2d(l_tokens.sum(axis=1)).T

l_tokens = np.log(l_tokens)
return w2id, uniq_labels, l_tokens, priors
```

### 4.3 Modelado Vectorial

XXX

# 5 Representación de Texto

## 6 Mezcla de Modelos

## 7 Tareas de Clasificación de Texto

## 8 Bases de Conocimiento

## 9 Visualización

## 10 Conclusiones

### Referencias

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